

# High Frequency High-Efficiency Voltage Regulators for Future Microprocessors

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## (ABSTRACT)

Microprocessors in today's computers continue to get faster and more powerful. From the Intel 80X86 series to today's Pentium IV, CPUs have greatly improved in performance. Accordingly, their power consumption has increased dramatically [1][2]. An evolution began in power loss reduction when the high-performance Pentium processor was driven by a non-standard, less-than-5V power supply, instead of drawing its power from the 5V plane on the system board. In order to provide the power as quickly as possible, the voltage regulator (VR), a dedicated DC-DC converter, is placed in close proximity to power the processor. At first, VRs drew power from the 5V output of the silver box. As the power delivered through the VR increased so dramatically, it became no longer efficient to use the 5V bus. Then for desktop and workstation applications, the VR input voltage moved to the 12V output of the silver box. For laptop application, the VR input voltage range covers the battery voltage range and the adaptor voltage. In the meantime, microprocessors will run at very low voltage (sub 1V), and will consume up to 150A of current, and will have dynamics of about 400A/us.

The current VR solution is the 12V-input multiphase interleaved buck converter. The switching frequency is around 300KHz. This approach has several limitations for the future. OSCON capacitor is one limitation due to its large ESR and ESL; the low switching frequency the second limitation and the large inductance is the third limitation. Analysis shows that the all-ceramic solution is a better solution than the OSCON solution when the VR switching frequency reaches 1MHz. However, the 12V-input multiphase buck converter suffers low efficiency at high switching frequency, which rules out a legitimate chance of the current VR topology benefiting from high switching frequency.

The extreme duty cycle is the fundamental reason why the 12V-input multiphase buck converter is not suitable for future VRs. Employing the transformer concept can extend duty cycle, and therefore offer an opportunity to improve efficiency. The push-pull buck (PPB) converter is proposed as a solution. The efficiency is improved compared with the buck converter. Integrated magnetic techniques can be used to further improve the efficiency and simplify the implementation. The impact of transformer concept on transient response is analyzed.

The PPB converter efficiency is still not satisfactory at 1MHz due to the switching loss. Switching loss being a barrier, soft switching is needed. The proposed soft-switched phase-shift buck (PSB) converter achieves soft switching for the top switches. Highly efficient power conversion is achieved at high switching frequency. The integrated magnetics makes the implementation concise and delivers good performance. Given that the PSB converter has good performance, the matrix-transformer phase-shift buck (MTPSB) converter is a simplified version of the four-phase PSB converter. The MTPSB converter trades off some performance with circuit complexity. This feature establishes itself as a very cost-effective solution for future VRs. The magnetic structure of the MTPSB converter is also very simple with the use of integrated magnetics.

Mobile CPUs are used in laptop computers. They require very challenging power management. The challenges for a laptop VR are different from and greater than those for a desktop VR. A laptop VR needs to have high efficiency at both heavy load and light load, good transient response and small and light form-factor, and work well with the wide input voltage range. Future mobile CPUs demand very aggressive power. The current single-stage VR approach cannot provide a suitable solution for the future. The PSB converter has disadvantages in light-load efficiency and does not work well with wide input voltage range; therefore it is not a suitable solution for laptop VRs although it is still a suitable solution for desktop VRs. The two-stage approach solves the wide-input-voltage-range issue and improves efficiency at heavy load significantly. The intermediate bus voltage  $V_{bus}$  is a very important parameter impacting overall efficiency. There is not one optimal  $V_{bus}$  value for all load conditions. The heavier the load, the higher the optimal  $V_{bus}$ . Based on this fact, the ABVP control is proposed.  $V_{bus}$  is adaptively positioned according to the load current therefore optimal  $V_{bus}$  is achieved under most

conditions. Experimental results verify the theoretical prediction. The ONP control is another control scheme proposed to improve the light-load efficiency. By selecting optimal number of phases based on mobile processor power states, the VR light-load efficiency is improved. Experimental results show the proof. The baby-buck concept is the third concept proposed to improve the very-light-load efficiency. By operating the baby-buck channel, the two-stage VR improves efficiency at very light load. The two-stage VR featuring the three proposed control schemes has much higher efficiency than the single-stage VR over a very wide load range; therefore the battery life is extended. The two-stage VR with the proposed control schemes is a good solution for future laptop VRs.

The problem solving process in this work proves that good solutions in isolated converters can be modified to fit into the non-isolated application. Non-isolated converters and isolated converters are not two separated worlds. On the contrary, these two worlds have many things in common. Good concepts can be transplanted from one world to another with minor modification and many problems can be solved this way. Another proven point in this work is that sometimes the solution is a fundamental, such as the change of power delivery architecture. One should not be limited by what is available right now, and should think outside the box. Once a fundamental change is made, it is very beneficial to take full advantage of the change, as it provides new opportunities.

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# Chapter 1. Introduction

## 1.1. Background.

CPUs continue to get faster and more powerful. From Intel's 4004 to today's Pentium IV, they have greatly improved their clock frequency by three orders of magnitude through the continuing scaling of Complementary Metal-Oxide-Silicon (CMOS) manufacturing technology. Fig. 1.1 shows the historical data on the Intel CPU silicon process. The data shows that the process is  $1.1\mu\text{m}$  in year 1986 and is down to  $0.09\mu\text{m}$  as of year 2004. Microprocessor scaling has consistently adhered to Moore's law [109], verified by Fig. 1.2, which shows the historical data on the number of transistors integrated on an Intel CPU die. As the result, Pentium IV CPU die has hundreds of millions of transistors, as opposed to thousands of transistors on a 4004 CPU die.

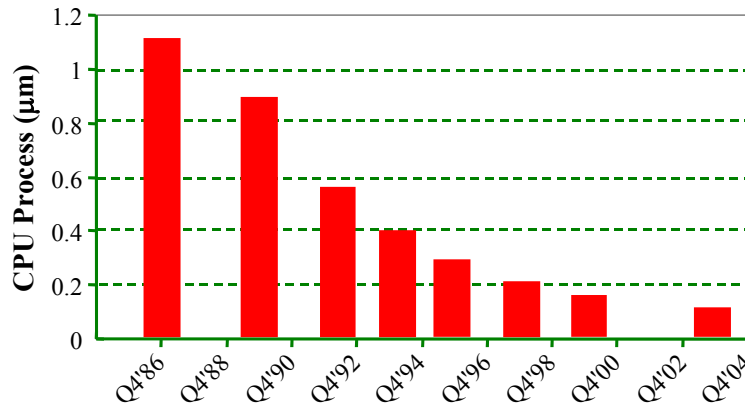


Fig. 1.1. Historical data on the decrease in the Intel microprocessor silicon process.

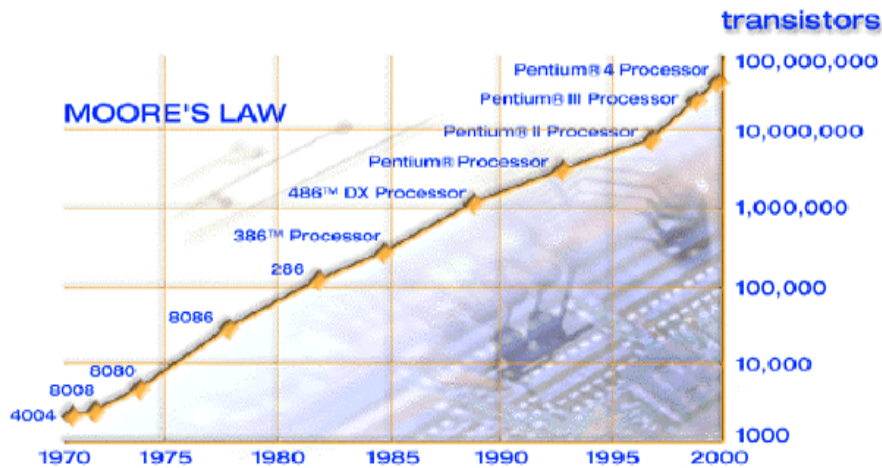


Fig. 1.2. The number of transistors integrated on the CPU die for Intel processors.

The electrical power consumed by the CPU is given by formula 1.1,

$$P_{CPU} = AV \cdot f \cdot C \cdot V_{CC}^2 \quad (1.1)$$

where  $AV$  is the activity factor,  $f$  is the clock frequency,  $C$  is the lumped capacitance of all the logic gates, and  $V_{CC}$  is the CPU core voltage. The scaling of CPU increases the capacitance  $C$ . For a fixed die size, with MOS transistor channel length, oxide thickness, and supply voltage all decreasing by about 0.7x per generation, the total circuit capacitance  $C$  increases by 1.43x (i.e.  $1/0.7$ ) [113]. The increase of  $C$  combined with the performance demanded from next-generation microprocessors results in increased processor power [1][2][3]. Fig. 1.3 shows the historical data on the increase in power for Intel CPUs [108]. It is observed that as the clock speed scales up over time, so does the power dissipation of microprocessors.

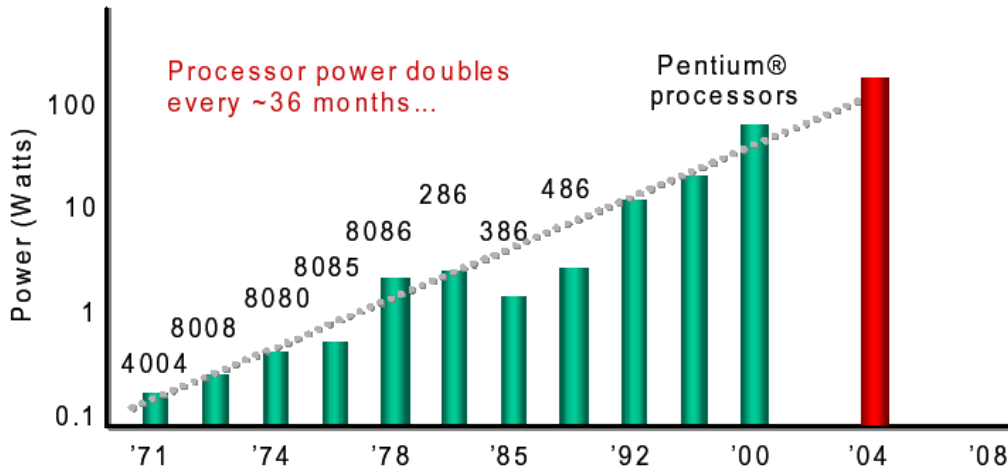


Fig. 1.3. Historical data on the increase in power for Intel CPUs.

The CPU consumes electrical power and eventually turns it into heat. This poses great challenges to CPU thermal management. Several efforts have been made to reduce the heat generated by the CPU.

One method is to improve CPU architecture and design to contain and manage CPU power against CPU performance as well as die area [107]. Power-saving features in the CPU architecture mandate various operating conditions that lower power consumption to a minimum through “sleep,” “stand-by,” “idle,” and “power-down” states. This reduces  $AV$  in formula 1.1. Fig. 1.4 shows an example of CPU power consumption profile [107].  $P_{max}$  is the maximum possible CPU power drawn under normal operating conditions.  $P_{tdp}$

is the thermal design power, which is the maximum sustained power across a set of realistic applications drawn under normal operating conditions.  $P_{active}$  is the thermal design power time averaged over a period of time  $\gg$  thermal time constant. The CPU performs data processing during App 1, App 2, and App 3 and stays in idle states thereafter. The idle state power is consumed in quiescent states where there is little or no clock activity. Examples are the sleep states of CPUs. By entering sleep states, the CPU average power is effectively reduced.

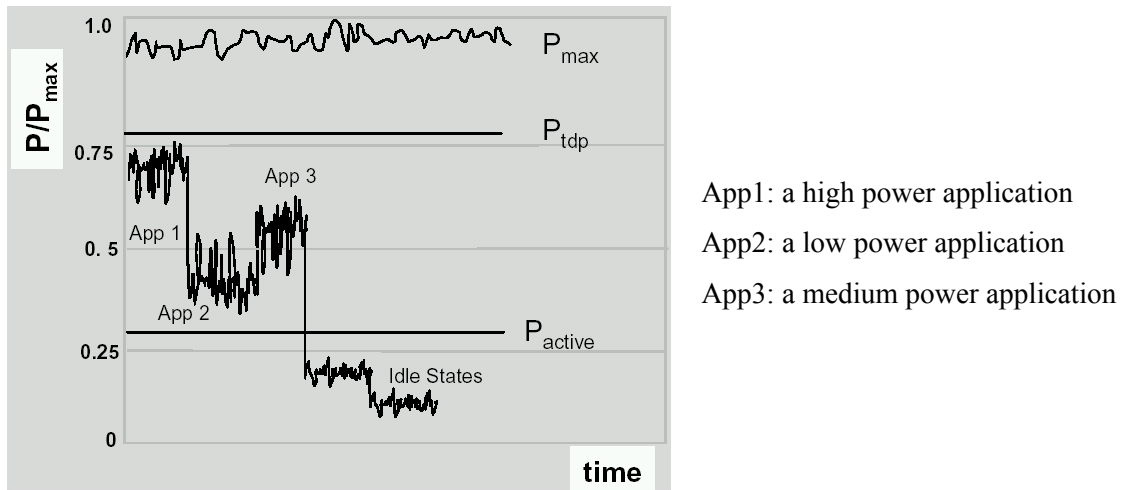


Fig. 1.4. An example of CPU power consumption profile.

Another method to reduce the heat generated by the CPU is to scale CPU core voltage  $V_{CC}$ . Fig. 1.5 shows the historical data on the scaling of CPU core voltage. As of year 1999, CPU core voltage had decreased from 5V to less than 2V as CPU clock frequency increased. Since CPU power consumption is proportional to  $V_{CC}^2$ , it decreases significantly as  $V_{CC}$  decreases.

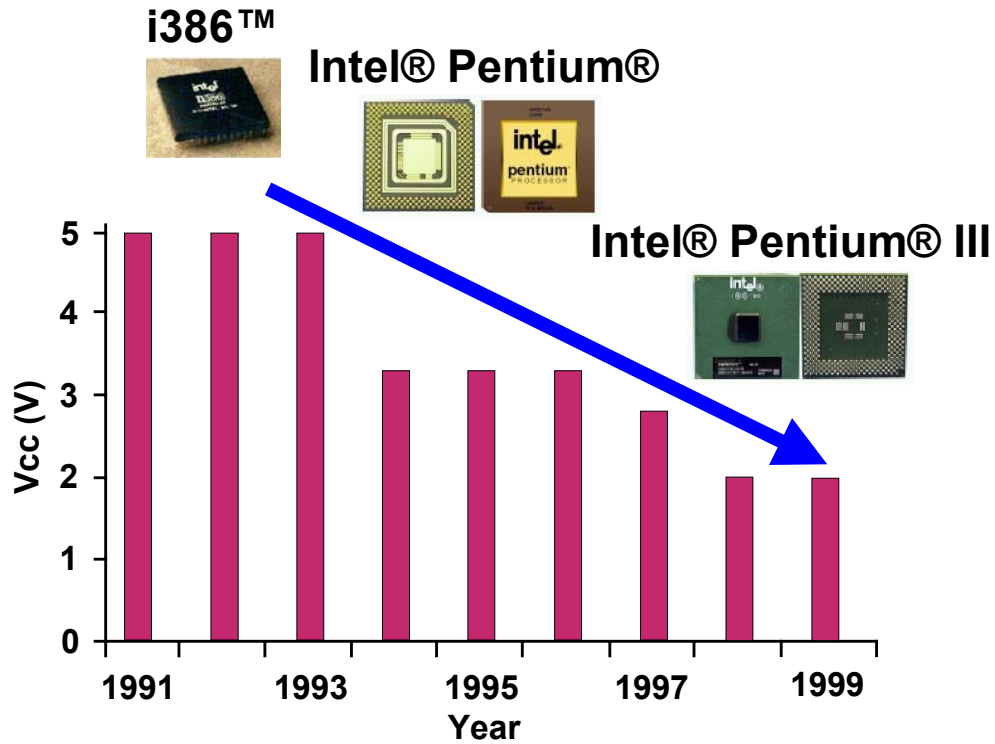


Fig. 1.5. Historical data on the scaling of CPU core voltage.

Although the above two methods are effective ways to reduce CPU power consumption, the power reduction obtained from architecture and process modifications is not commensurate with the scaling in die size, voltage, and frequency to support a cap in power consumption; therefore the power consumption of CPUs is still trended higher for the future [107]. CPU current increases from 10, 20A to 100A range, and is expected to increase to 150A range in the near future. Fig. 1.6 shows the roadmap of voltage and current of Intel 32-bit CPUs [1]. Fig. 1.6 (a) shows that future CPUs will run at sub 1V with an ever-tighter voltage tolerance. Meanwhile, Fig.1.6 (b) indicates a high current consumption reaching over 100A, and fast dynamics of about 400A/us.

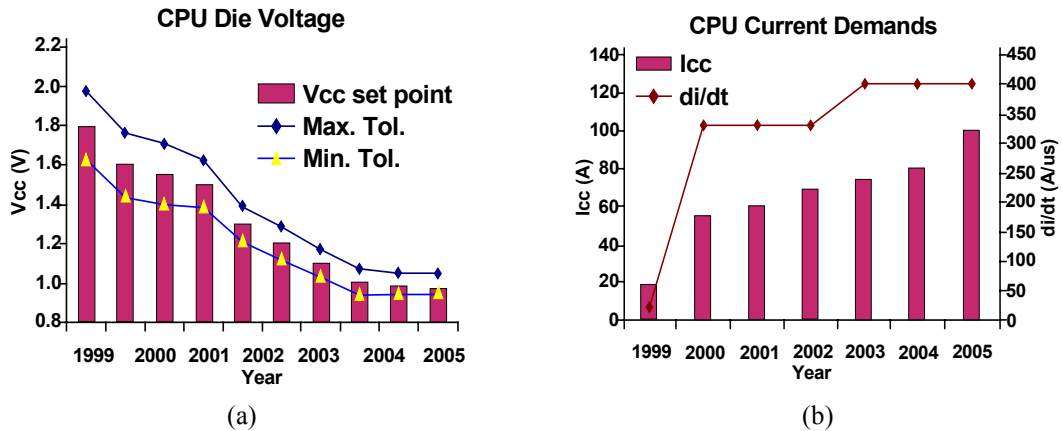


Fig.1.6. Intel roadmap of the 32-bit CPU load, (a) CPU die voltage and (b) CPU current demands at CPU-system connector

## 1.2. Voltage Regulator (VR) Introduction and Dissertation Objectives

Fig. 1.7 (a) shows the initial power delivery architecture for CPU. The CPU draws power from the 5V output of the silver box directly. The scaling of CPU core voltage  $V_{CC}$  leads to a change of the power delivery architecture. As the high-performance Pentium CPU demands a non-standard, less-than-5V power supply, the voltage regulator (VR), a dedicated DC-DC converter, is placed in close proximity to power the CPU. Fig. 1.7 (b) shows the current power delivery architecture for CPUs. A voltage regulator converts the silver box output voltage to a suitable regulated DC voltage rail to power the core of the CPU.

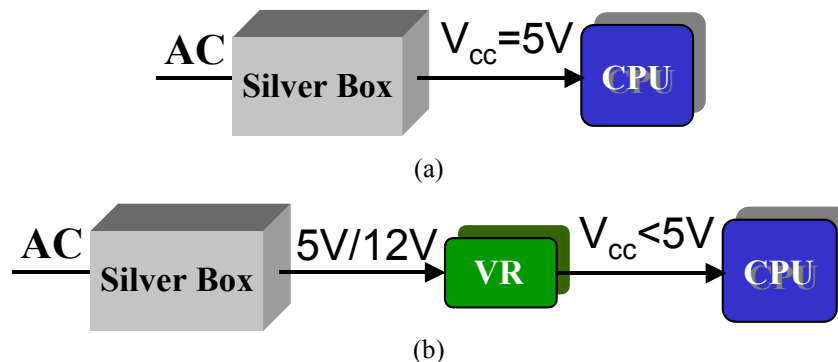


Fig. 1.7. Power delivery structures: (a) The initial power delivery architecture for CPUs; and (b) the current power delivery structure for CPUs.

At first, the VR draws power from the 5V output of the silver box. The topology is the single-phase buck converter, as shown in Fig. 1.8 (a). Fig. 1.8 (b) shows the photograph of a VR product of that time. The output voltage is 2V and the output current is 13A.

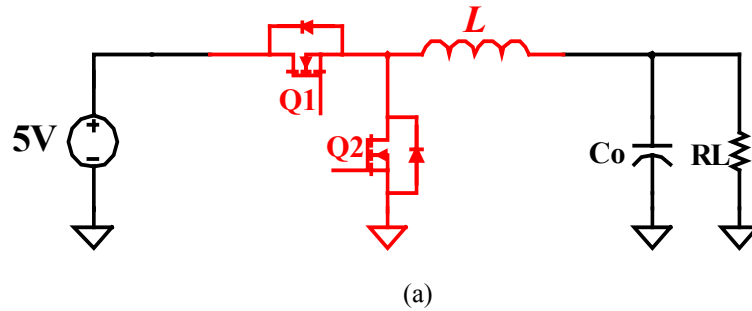
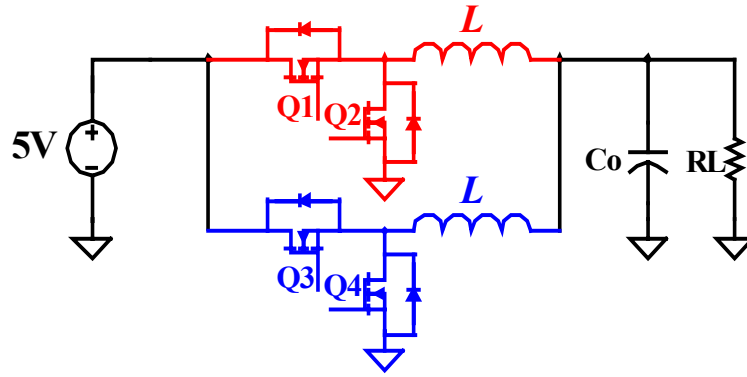
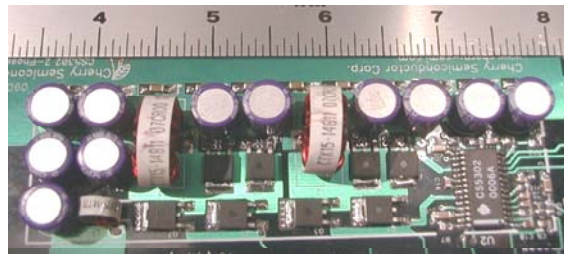


Fig. 1.8. 5V-input single-phase buck VR: (a) The schematic, and (b) a product photograph.

As CPU power increases, a single-phase buck converter can no longer deliver the required current. Handling the high current in a single-phase converter would place high thermal demands on the components in the system such as the inductors and MOSFETs (metal-oxide semiconductor field-effect transistors). Therefore the VR topology adopts the multiphase buck converter [4][5][6][7][8][9][10][12], as shown in Fig. 1.9 (a), proposed by VPEC/CPES in 1997. Fig. 1.9 (b) shows the photograph of a VR product from ON Semiconductor in year 1999. It is a two-phase buck converter. The input voltage is 5V, the output voltage is 1.7V, and the output current is 35A. Multiphase operation is important for producing the high currents and low voltages demanded by today's CPUs, as it reduces current ripple by interleaving phases and provides better thermal management due to the distributed structure. However, using more phases not only increases cost, but overall complexity as well.



(a)



(b)

Fig. 1.9. 5V-input multiphase buck VR: (a) The schematic; and (b) a product photograph..

As the power delivered through the VR increases dramatically, the power of the 5V output of the silver box is so high that the distribution loss on the 5V bus is quite considerable. Hooking the VR to the 5V bus is no longer efficient from the system point of view; therefore, VR input voltage moves to the 12V output of the silver box. In the meantime, more phases are used because higher current is delivered through the VR. Fig. 1.10 (a) shows the state-of-the-art VR topology — the 12V-input multiphase buck converter. Fig. 1.10 (b) shows the photograph of a VR product from Intersil Corporation. It is a four-phase buck converter. The input voltage is 12V, the output voltage is 1.45V, and the output current is 60A.

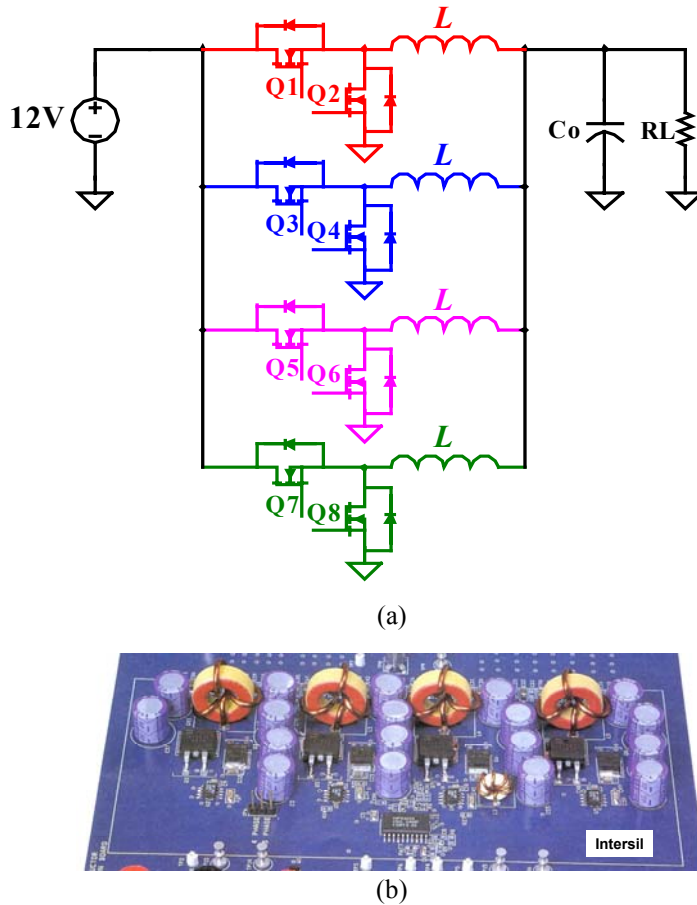
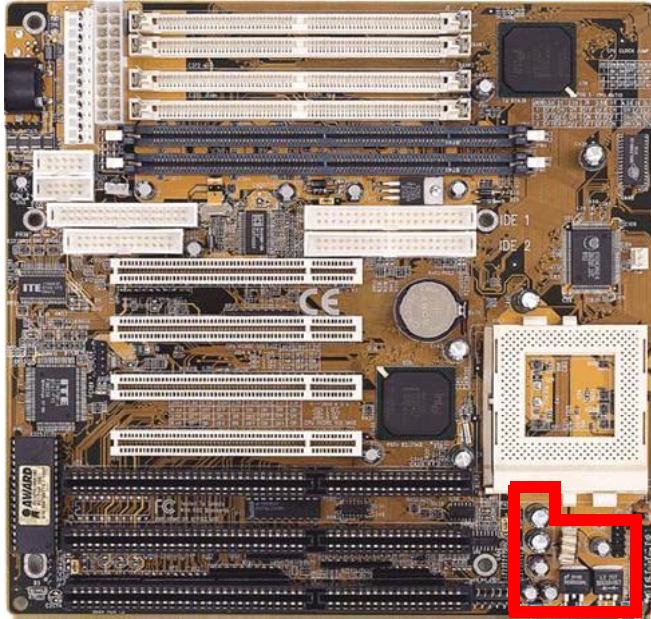


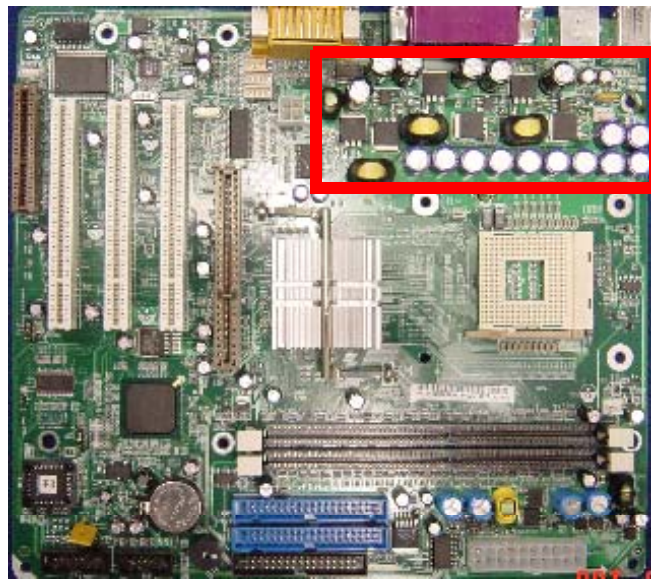
Fig. 1.10. 12V-input multiphase buck VR: (a) The schematic, and (b) a product photograph.

Decreasing the size is one of the great challenges for designing VRs. As VRs evolve, they require more motherboard space. Fig. 1.11 (a) shows a photograph of a Pentium computer motherboard. The marked rectangular area is the VR powering a CPU demanding approximately 10A current. It accounts for only 2% of the motherboard space. Fig. 1.11 (b) shows the photograph of a Pentium IV motherboard. The marked rectangular area is the VR powering a CPU demanding 60 ~ 70A current. It accounts for 12% of the motherboard space. Comparing Fig. 1.11 (a) and (b), it can be observed that the VR size increases by five times as the VR out current increases by five times. In other words, the power density of the VRs is the same. Fig. 1.11 (c) shows a conceptual motherboard for future CPUs demanding 150A current and sub 1V voltage if the present approach still applies. Approximately 30% of the motherboard area is for the VR. This is a very questionable approach because the motherboard cannot afford so much precious space for the VR.

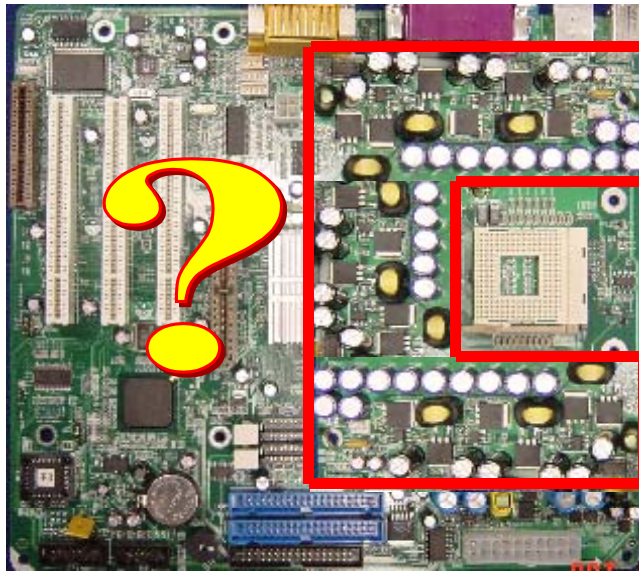




(a)



(b)



(c)

Fig. 1.11. The VR on a desktop motherboard: (a) a Pentium motherboard; (b) a Pentium IV motherboard; and (c) a conceptual future motherboard.

A stringent transient response requirement is also one of the great challenges for the design of VRs. Fig. 1.12 shows a VR load line example from Intel VR 10 spec. The vertical axis is the VR output voltage and the horizontal axis is the VR output current. The solid line is the nominal load line and the dotted lines describe the regulation band. The VR output voltage needs to be positioned according to load current, and needs to stay within the stringent regulation band. However, in a CPU, the high clock speed circuits and power conservation design techniques such as clock gating and sleep modes result in fast, unpredictable, and large magnitude changes in the supply current. Fig. 1.6 (b) and [1][2][3] have shown this trend. The rate of change could be many Amps per nanosecond. If not well managed, these current transients may cause the VR output voltage to go outside the regulation band and manifest them as power supply noise that ultimately limits how fast the CPU can operate. This is further compounded by the reduced noise margin in the CMOS logic circuits that result from power supply voltage scaling. While voltage overshoots may cause the CPU reliability to degrade, undershoots may cause malfunctions of the CPU, often resulting in the “blue screen”.

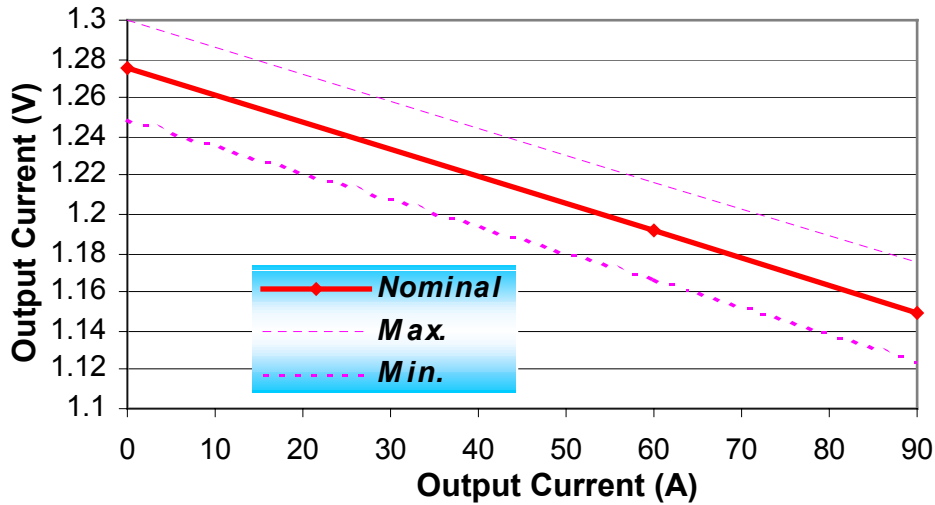


Fig. 1.12. A load line example from Intel VR10 spec.

As the power handled by the VR increases significantly, reducing the heat dissipated by the VR becomes another big challenge. Fig. 1.13 shows the thermal imaging of the motherboard area around the CPU socket. The darker the color in the image, the higher the temperature of the motherboard. The area where a few MLCCs (multi-layer ceramic capacitors) are located is where the CPU is. It is a hot spot because the CPU is generating so much heat. On the top portion of the image is where the VR is. It can be seen that the VR is another hot spot. The fact is that with a heavy load, VR components, such as switching field effect transistors (FETs) and inductors, reach maximum temperature and may heat the motherboard layers and neighboring components above their thermal limits, which may cause heat-related failures. For example, a high board temperature causes the multiplayer print circuit board (PCB) to delaminate; therefore the maximum allowed board temperature should be limited. On a desktop motherboard which is made of FR4 material, the temperature limit is normally in 95 ~ 105°C range. To limit the board temperature, VR loss needs to be kept below a certain value. Generally speaking, VR loss increases as load increases. So the limit of maximum VR loss translates into an efficiency requirement at heavy load.

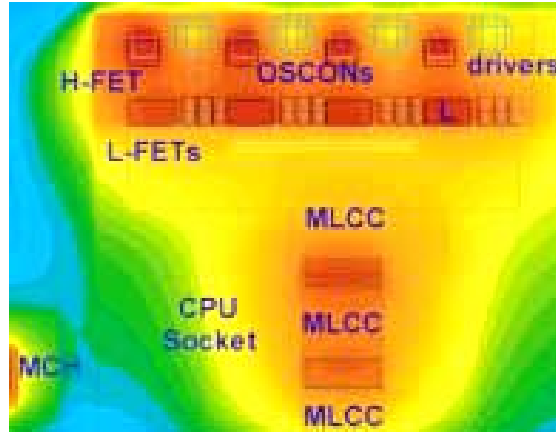


Fig. 1.13. A thermal imaging of the motherboard area around the CPU socket.

The two most common types of personal computer (PC) are desktop computers and laptop computers. Laptop VRs encounter all the technical challenges that desktop VRs do, and more due to their special work conditions. One unique challenge is how to prolong battery life. Battery life is calculated based on formula (1.2),

$$\text{Battery Life (hr)} = \frac{\text{Input Power Capacity (Whr)}}{\text{Average Platform Power Consumption}} \quad (1.2)$$

in which VR efficiency has a significant impact on average platform power consumption. Since the CPU is very frequently in sleep states, the VR is frequently at light-load conditions. High efficiency at light load is more important than ever for a laptop VR. It is desirable to achieve high efficiency in the entire load range. Another unique challenge for laptop VRs is how to maintain high efficiency with the wide input voltage range. Laptop VRs need to work with battery packs and adapters, and thus need to work with a wide input voltage range. This wide input voltage makes it difficult for the VR to maintain high efficiency.

The preceding discussion has explained the main challenges of VRs. They include the need to shrink size, meet stringent requirement on transient response, reduce heat generated, and to improve efficiency in the entire load range under all input voltage conditions. This dissertation addresses these challenges. It is the goal of this work to find better approaches for future VRs than the current approach, which will be problematic under future scenarios. The scope of this work covers VRs for desktop computers and laptop computers.

### **1.3. Dissertation Outline.**

Chapter 1 introduces the background of this work. It first discusses the developing trend of CPUs, including the technological improvements on the CPU side trying to hold down the CPU power consumption. Then this chapter briefly introduces VR history and the main challenges.

Chapter 2 analyzes the limitations of the current VR approach. It also presents VR output capacitor design in frequency domain. OSCON capacitors, low switching frequency, and large inductance are identified as the main limitations of the current approach. The discussion shows that high switching frequency is the solution for future VRs. It also shows that the low efficiency is the main technical barrier.

Chapter 3 makes the point that the extreme duty cycle is the reason of the low efficiency of the current approach. Therefore this chapter proposes the push-pull buck (PPB) converter to extend the duty cycle. The PPB converter extends the duty cycle with the help from the transformer concept. Integrated magnetics technique is used to simplify the implementation and to further improve the performance. Test result shows that the PPB converter greatly improves the efficiency compared with the buck converter. While improving efficiency, the transformer concept has a significant impact on transient response. The impact is analyzed with the critical inductance concept as the tool. The design trade-off of efficiency and the transient response is addressed.

Chapter 4 proposes the soft-switched phase-shift buck (PSB) converter. Since the PPB converter is a hard-switching converter, the switching loss is still significant when it operates in MHz range. The PSB converter is proposed to eliminate the switching loss. The PSB converter achieves high efficiency and is capable of handling high current at 1MHz switching frequency. To make a better system solution, the matrix-transformer phase-shift buck (MTPSB) converter is proposed as a simplified version of the PSB converter. With simpler structure, the MTPSB converter is more attractive in view of system trade off between performances and cost. It is demonstrated that the MTPSB is a more cost-effective solution than today's multiphase buck solution. Integrated magnetics implementations of the PSB and the MTPSB converters are also discussed.

Chapter 5 discusses laptop VRs. The laptop computer is becoming mainstream in PCs. A laptop VR faces all the challenges as a desktop VR does, and it has other unique challenges. One is the wide input voltage range; another is light-load efficiency requirement. A laptop VR works with 8.7V ~ 19V input voltage, which makes it difficult to optimize the design. In another aspect, the VR needs to have high efficiency even at very light load so the battery life can be extended. The MTPSB solution proposed in chapter 4 is not suitable in view of these requirements; therefore the two-stage solution is explored. The two-stage solution increases efficiency at heavy load. Optimal number-of-phases (ONP), baby buck and adaptive bus-voltage positioning (ABVP) are three corresponding advanced control schemes to optimize efficiency at light load. With the two-stage VR efficiency increased over a wide load range, the laptop battery life is extended.

## Chapter 2. Limitations of the Current VR Approach

### 2.1. The Limitation of OSCON Capacitors.

The discussion in Chapter 1 has shown that VR size has increased significantly in the past decade and is only going to get even bigger in the future, if the current approach is still used. Fig. 2.1 shows the motherboard of a Pentium IV desktop computer. The marked area is where the VR output capacitors are located. Comparing Fig. 2.1 with Fig. 1.11 (b), it can be observed that output capacitors are the biggest parts of the VR. Also as Fig. 2.1 indicates, two types of capacitors are used at VR output: One is OSCON capacitor, which is essentially an electrolytic type of capacitor; another is ceramic capacitor.

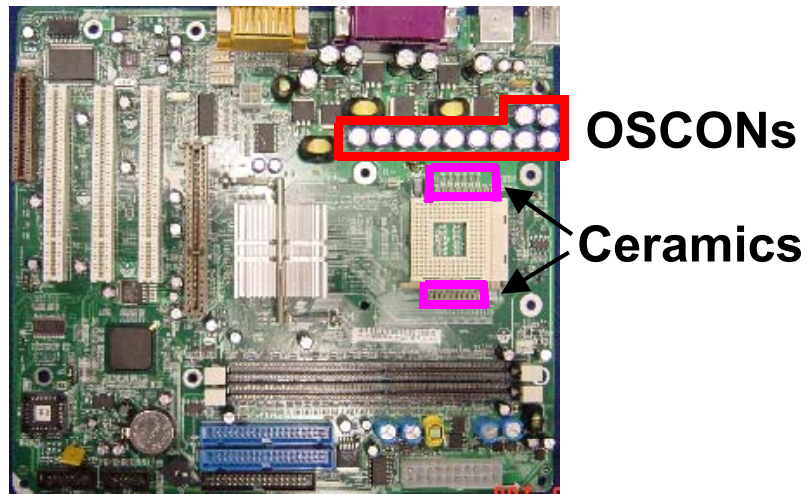


Fig. 2.1. VR output capacitors used on a Pentium-IV desktop computer motherboard.

Fig. 2.2 shows the cost breakdown of the VR design as of year 2002. From the left hand side to the right hand side, the nine columns represent the cost of the controller, the driver, the upper FETs, the lower FETs, the aluminum-electrolytic input capacitors, the OSCON output capacitors, the ceramic output capacitors, the output inductors, and the input inductor. The output capacitor cost (the two solid columns) accounts for 50% of the total cost, which is the biggest portion.

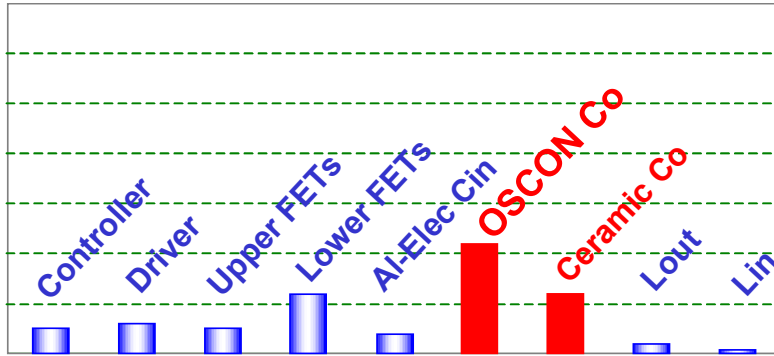


Fig. 2.2. Cost breakdown of the buck VR solution.

Since output capacitors are the most important part in total size and cost, there is a strong incentive to reduce the amount of output capacitors. Analysis of output capacitor design is a prerequisite to reducing their size. The main functions of output capacitors are filtering switching ripple and handling transient response. With multiphase-interleaving operation helping to reduce the ripple of the current that flows into the output capacitors, transient response is a more dominant consideration.

Fig. 2.3 shows the VR output voltage-current load line that has been shown in Fig. 1.12. The vertical axis is the VR output voltage and the horizontal axis is the VR output current. The solid line is the nominal value. The load line concept is also called adaptive voltage positioning (AVP), which means that the voltage be positioned according to the load current. By positioning the CPU core voltage at a lower level at higher core current, the CPU heavy-load power consumption is reduced, and the output capacitors are also reduced. The slope of the load line is called  $R_{droop}$ , as it represents a voltage-current relationship. The load line, or AVP concept implies that when there is a load current step change  $\Delta I_o$ , the corresponding VR output voltage change is  $\Delta V_o = \Delta I_o \times R_{droop}$ . The example in Fig. 2.3 shows an  $R_{droop}$  of 1.214m $\Omega$ . The specified  $R_{droop}$  is trending down; therefore during transient response the acceptable  $\Delta V_o$  is decreasing.

The solid line describes steady state characteristics, and the dotted lines describe the specified regulation band within which VR output voltage needs to stay. Fig. 2.3 shows a  $\pm 25$ mV regulation band. To meet this stringent transient response requirement, a great deal of valuable study [42] ~ [72] has been done concerning the design of the output



capacitors. Reference [65] discusses that AVP essentially means that the output impedance of the VR is not zero in frequency domain. Fig. 2.4 (a) shows the equivalent circuit of the VR, represented as an ideal voltage source in series with output impedance equal to  $R_o$ . The load is represented by a current source. Fig. 2.4 (b) shows the output voltage and current waveforms of the VR. If  $R_o$  is equal to the specified  $R_{droop}$  of the load line, the output voltage  $v_o$  will change with the magnitude equal to  $\Delta I_o \times R_{droop}$  whenever the load current  $i_o$  changes with magnitude of  $\Delta I_o$ . In the ideal case,  $v_o$  does not have any overshoot or undershoot, and is always within the regulation band. From this illustration, it can be seen that it is very beneficial to design the VR output impedance to be constant as  $R_{droop}$ . It can be seen that frequency domain analysis gives clearer insight of the function of output capacitors. That being said, none of the previous studies discuss the mixture of different types of output capacitors in frequency domain.

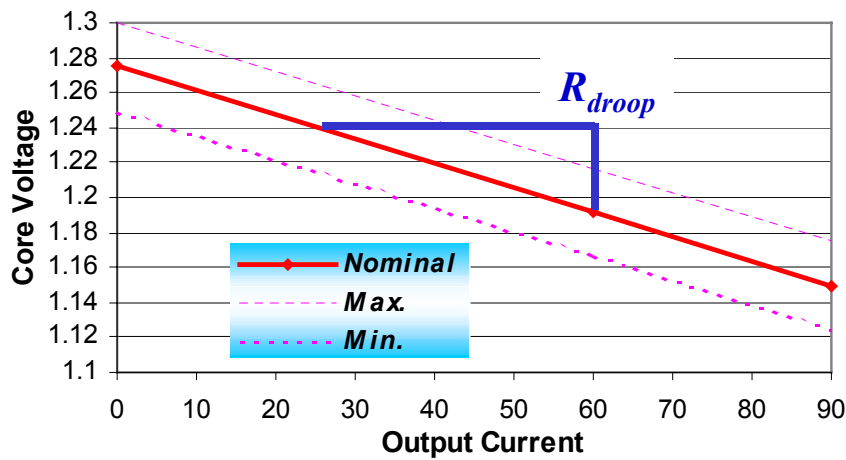
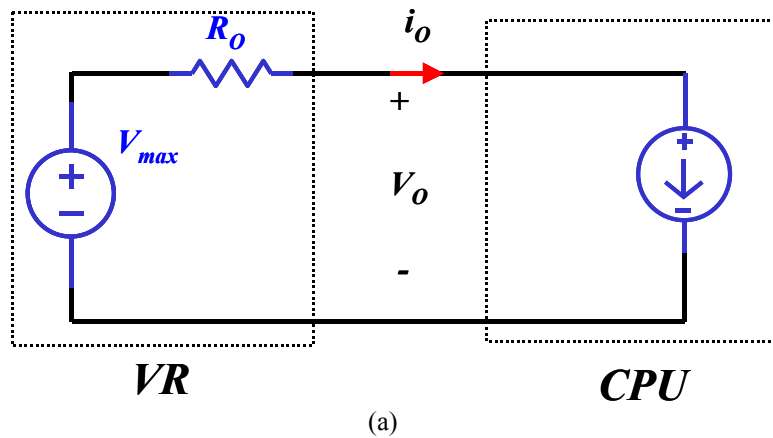


Fig. 2.3. A load line example from Intel VR10 spec.



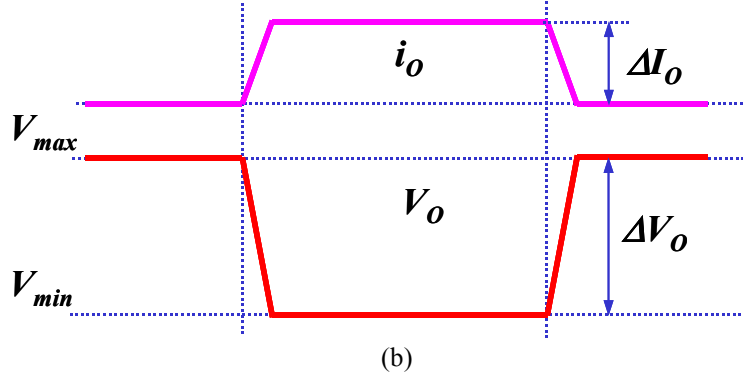


Fig. 2.4. The constant output impedance of the VR means perfect transient response: (a) the equivalent circuit, and (b) the output voltage current waveforms.

The output capacitor is a part of the VR, so it contributes to VR output impedance. Fig. 2.5 (a) shows the equivalent circuit of a capacitor. It consists of three parts: the pure capacitor  $C$ , the equivalent series  $R$  (ESR) and the equivalent series  $L$  (ESL). An OSCON capacitor is essentially an electrolytic capacitor. It features large capacitance and a relatively low ESR value and a relatively low ESL value. A ceramic capacitor has even lower ESR and ESL than an OSCON capacitor, but also has lower capacitance.

Fig. 2.5 (b) shows an asymptotic impedance curve of the capacitor according to the model shown in Fig. 2.5 (a). There are two zeros in the curve. Roughly speaking the ESR and the  $C$  form the first zero ( $f_{z1}$ ); and the ESR and the ESL form the second ( $f_{z2}$ ). The mathematic expressions are

$$f_{z1} \approx \frac{1}{2\pi \cdot ESR \cdot C} \quad (2.1)$$

and

$$f_{z2} \approx \frac{ESR}{2\pi \cdot ESL} \quad (2.2)$$

Using the OSCON capacitor as an example:  $C=820\mu\text{F}$ ,  $ESR=12\text{m}\Omega$ ,  $ESL=4\text{nH}$ ; some simple calculation gives that  $f_{z1}=16\text{KHz}$  and  $f_{z2}=477\text{KHz}$ . The capacitor impedance is dominated by different parameters in different frequency ranges. Below  $f_{z1}$  the capacitance  $C$  dominates; between  $f_{z1}$  and  $f_{z2}$  the ESR dominates and beyond  $f_{z2}$  the ESL dominates. Fig. 2.5 (c) shows the frequency characteristic of an  $820\mu\text{F}/4\text{V}$  OSCON capacitor. Fig. 2.5 (d) shows the frequency characteristic of a ceramic capacitor. A

ceramic capacitor also has ESR and ESL, but the zeros  $f_{z1}$  and  $f_{z2}$  are not very distinct and they are located in the MHz range, which is much higher than those of OSCON capacitors.

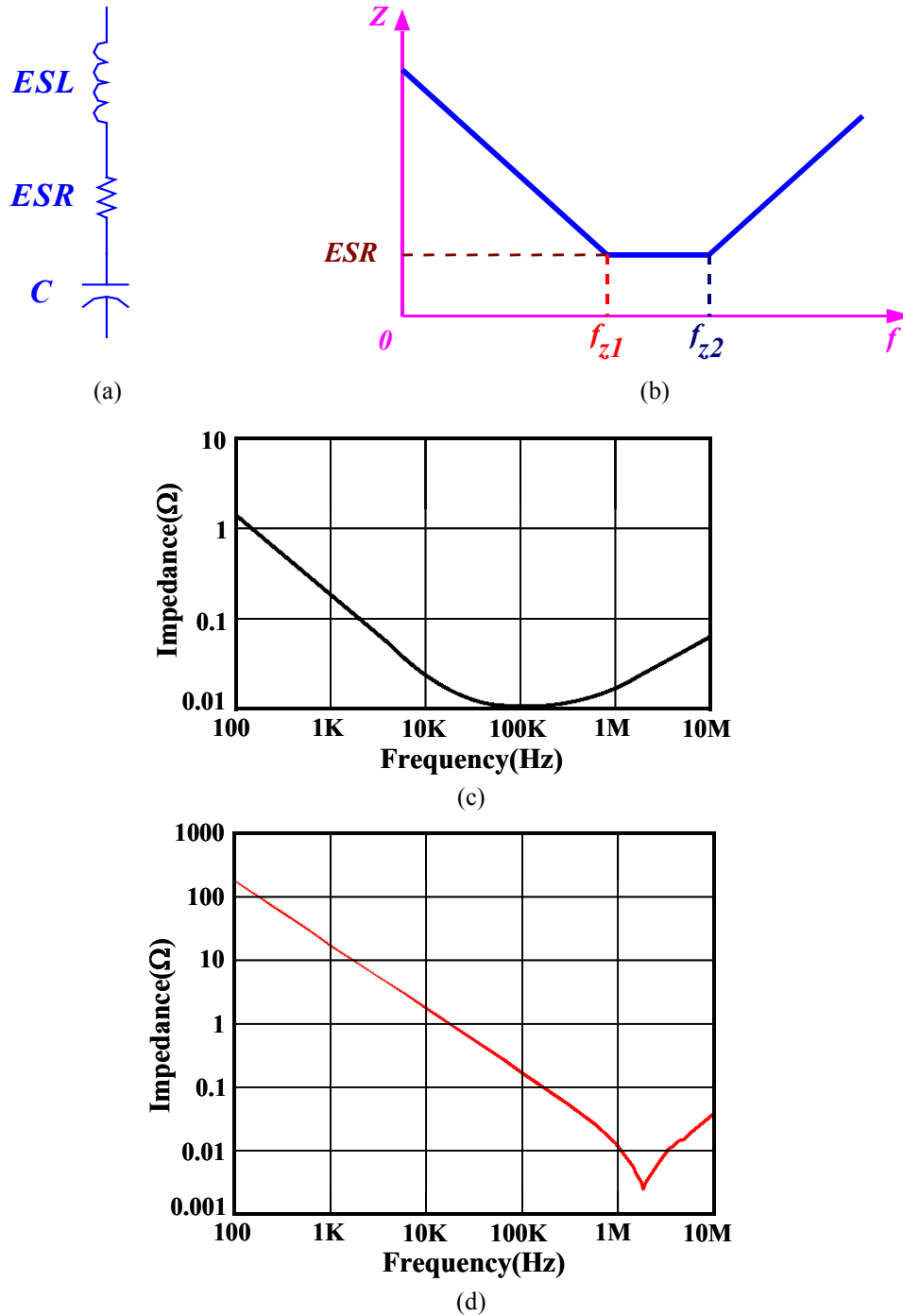


Fig. 2.5. The frequency characteristic of an OSCON capacitor: (a) the capacitor model, (b) the asymptotic curve of capacitor impedance, (c) the impedance curve of an OSCON capacitor, and (d) the impedance curve of an OSCON capacitor.

The current desktop VRs use OSCON capacitors. Fig. 2.6 (a) shows a multiphase buck VR with pure OSCON capacitors at the output. The VR output impedance is shown in Fig. 2.6 (b). The dashed curve marked as  $Z_c$  is the output capacitor characteristic. The solid curve marked as  $Z_{o-open}$  is the VR open-loop output impedance.  $Z_{o-open}$  is the parallel of output choke inductor impedance and output capacitor impedance. At low frequency  $Z_{o-open}$  follows the output choke inductor ESR, then the choke inductor  $L$ , then the L-C resonance of the choke inductor and the output capacitor. After the resonance  $Z_{o-open}$  follows the output capacitor characteristic.

Given the shape of  $Z_{o-open}$ , close-loop control is necessary to flatten it. Fig. 2.7 (a) shows the close-loop buck VR. When the control loop is effective, there is an important parameter — the control bandwidth  $f_c$ . What  $f_c$  can do is illustrated in Fig. 2.7 (b). The dashed line  $Z_c$  is the output capacitor characteristic and the dashed line  $Z_{o-open}$  is the VR open-loop output impedance. The solid curve  $Z_{o-close}$  is the VR close-loop output impedance. Within the control bandwidth  $f_c$ , correct design of the compensator can make the output impedance constant. However,  $Z_{o-close}$  beyond  $f_c$  can only follow  $Z_{o-open}$ , as the close loop gain is less than 0dB and therefore is no longer effective.

The first zero  $f_{z1}$  of the OSCON capacitor is located at 20KHz. When there is  $f_c \geq f_{z1}$ , the scenario is like the solid curve shown in Fig. 2.8: Within  $f_c$ ,  $Z_{o-close}$  is shaped by the control loop to be constant, between  $f_c$  and the second zero  $f_{z2}$  of the OSCON capacitor ( $\approx 500\text{KHz}$ ),  $Z_{o-close}$  is the ESR of the OSCON capacitor, and therefore is also approximately constant. Beyond  $f_{z2}$ ,  $Z_{o-close}$  is dominated by the ESL effect of the OSCON capacitor and goes up.

The  $Z_{o-close}$  curve in Fig. 2.8 is based on that only one OSCON capacitor is used. One OSCON capacitor has an ESR=12m $\Omega$ . This value is much larger than the required  $R_{droop}$ . The dashed line is one example of the desired  $Z_{o-close}=R_{droop}=1.214\text{m}\Omega$ . It can be seen that the solid line  $Z_{o-close}$  is higher than  $R_{droop}$ .

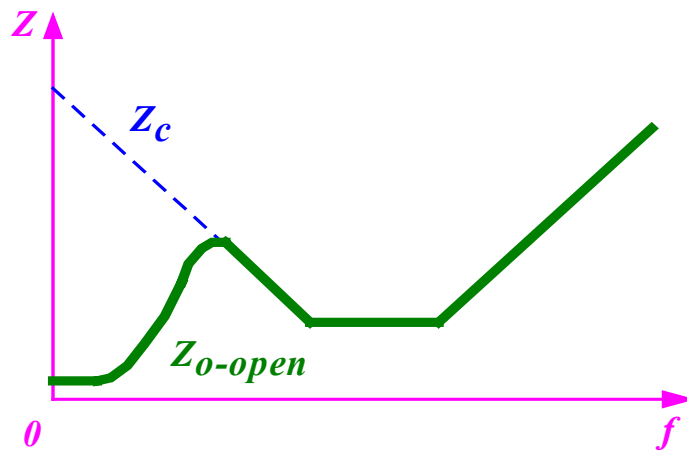
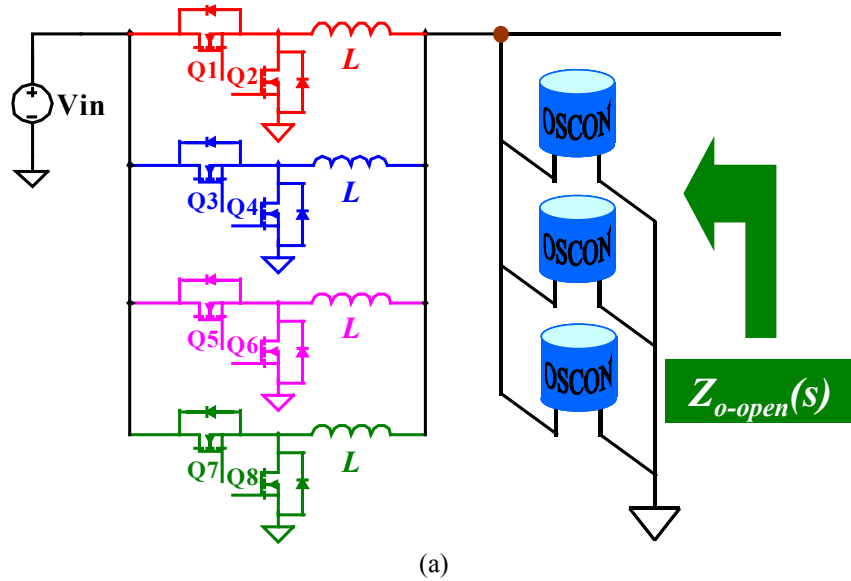


Fig. 2.6. VR open loop output impedance: (a) the open-loop buck VR using OSCON capacitors; and (b) the open-loop output impedance of the VR.

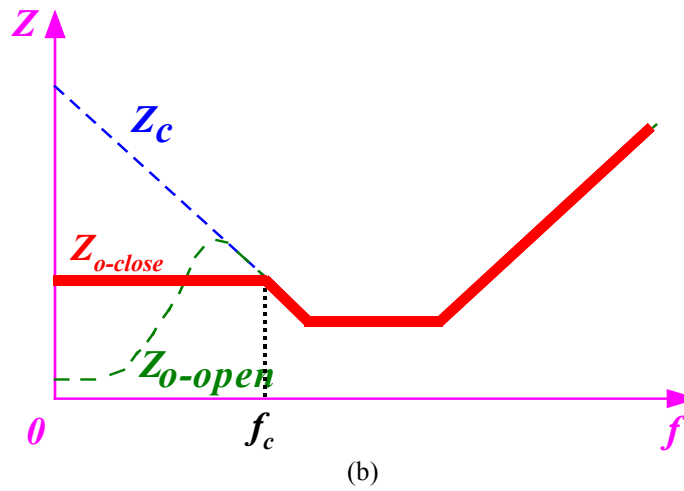
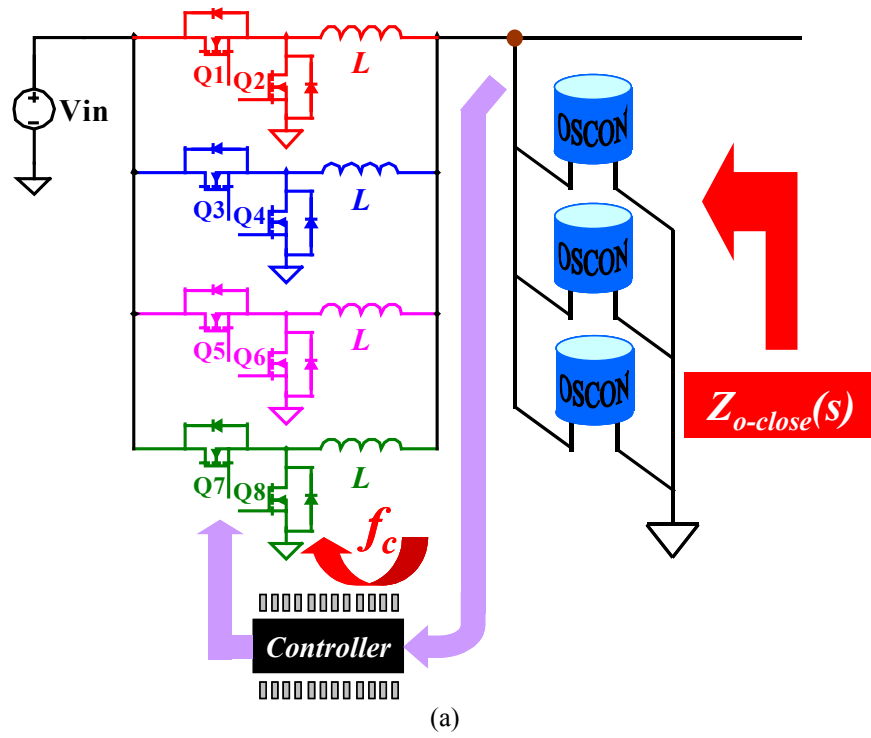


Fig. 2.7. VR close-loop output impedance: (a) the close-loop buck VR using OSCON capacitors; and (b) the close-loop output impedance of the VR.

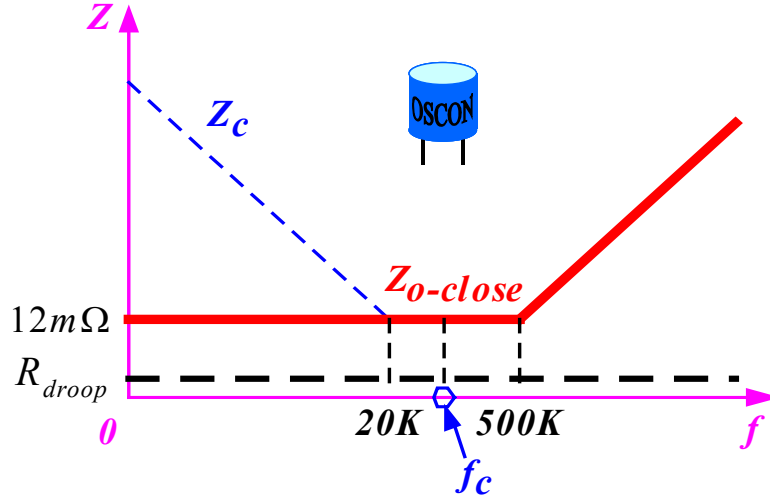


Fig. 2.8. Today's VR close-loop output impedance using one OSCON capacitor.

The way to bring  $Z_{o-close}$  down to the value of  $R_{droop}$  is to parallel multiple OSCON capacitors. The dashed line marked as  $Z_c$  in Fig. 2.9 (a) is the characteristic of the paralleled OSCON capacitors. Although  $f_{z1}$  and  $f_{z2}$  don't change after paralleling of the capacitors, the equivalent ESR is reduced to  $\frac{ESR_{OSCON}}{n_{OSCON}}$ , where  $ESR_{OSCON}$  is the ESR of a single OSCON capacitor and  $n_{OSCON}$  is the number of OSCON capacitors. By paralleling OSCON capacitors,  $Z_c$  curve in Fig. 2.9 (a) shifts down compared with that in Fig. 2.8. The correct number of OSCON capacitors is given by

$$n_{OSCON} \approx \frac{ESR_{OSCON}}{R_{droop}} \quad (2.3)$$

The solid line in Fig. 2.9 (a) is  $Z_{o-close}$  after paralleling OSCON capacitors. Up to 500KHz,  $Z_{o-close}$  is kept at  $R_{droop}$ . Given  $ESR_{OSCON} = 12m\Omega$  and  $R_{droop} = 1.214m\Omega$ , the needed  $n_{OSCON} \approx 11$ . This can be verified by counting the number of OSCON capacitors on today's Pentium IV desktop computer motherboard as shown in Fig. 2.9 (b).

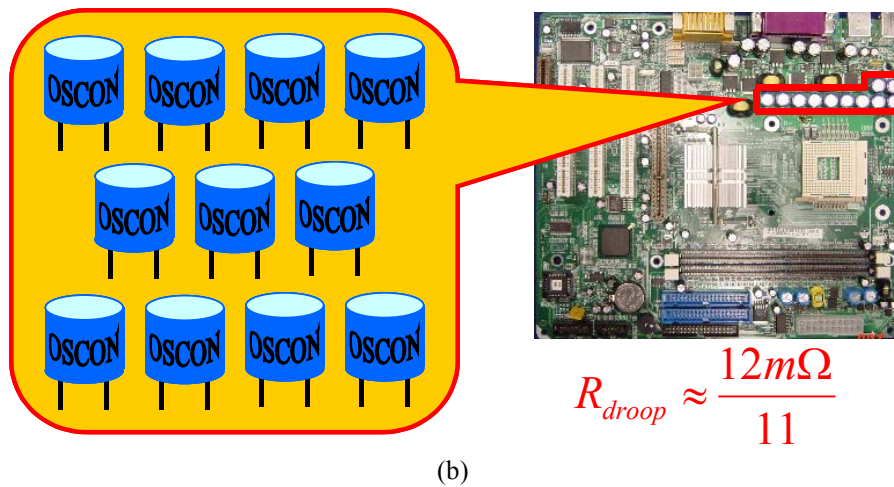
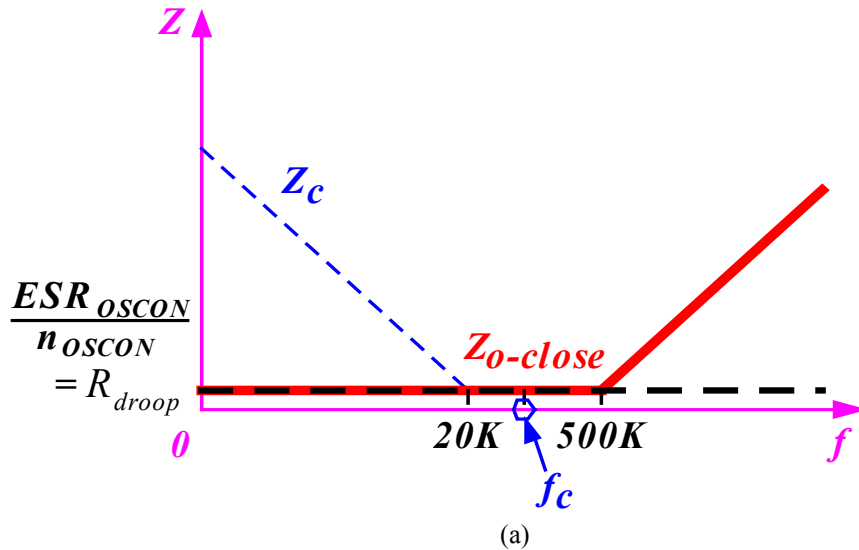


Fig. 2.9. Use multiple OSCON capacitors to achieve  $R_{droop}$ : (a) the output impedance when multiple OSCON capacitors are paralleled; and (b) a desktop motherboard practice.

The  $Z_{o-close}$  shown in Fig. 2.9 (a) is theoretically kept at  $R_{droop}$  up to 500 KHz, but eventually goes up due to the ESL effect of OSCON capacitors. The bode-plot in Fig. 2.10 shows a switching-model simulation result of the  $Z_{o-close}$ . Eleven OSCON capacitors are used and the control bandwidth  $f_c$  is 50KHz. It is seen that  $Z_{o-close}$  is larger than  $R_{droop}$  in the excess of 120KHz range. The load current step change is from 70A to 0A @ 400A/ $\mu$ s. The VR can be viewed as a voltage source in series with output impedance consisting of a resistor of  $R_{droop}$  in series with an inductor of  $ESL_{OSCON}/n_{OSCON}$ . Fig. 2.11 (a) shows the simulation result of  $V_o$  based on the simplified equivalent circuit shown in



Fig. 2.10. There are two voltage spikes of interest, namely  $\Delta V_{o1}$  and  $\Delta V_{o2}$ .  $\Delta V_{o2}$  is caused by the voltage drop on  $R_{droop}$ , and is given by

$$\Delta V_{o2} = R_{droop} \times i_o \quad (2.4)$$

$\Delta V_{o2}$  is the desired voltage deviation for AVP. However, there is another voltage spike  $\Delta V_{o1}$  added on top of  $\Delta V_{o2}$ .  $\Delta V_{o1}$  is caused by the sudden change of  $di_o/dt$  and is given by

$$\Delta V_{o1} = \frac{ESL_{OSCON}}{n_{OSCON}} \times \frac{di_o}{dt} \quad (2.5)$$

In a real case,  $ESL_{OSCON}=4nH$ ,  $n_{OSCON}=11$ , and  $di_o/dt=400A/\mu s$ . Formula (2.5) gives  $\Delta V_{o1}=145mV$ . Based on the load line spec shown in Fig. 2.3,  $V_o$  is equal to 1.2V at 70A, and is equal to 1.275V at 0A. During the transient, the  $V_o$  spike is not allowed into the forbidden region ( $V_o > 1.3V$  region). However, the simulation result shows that  $V_o$  spike is in the forbidden region, which is unacceptable. Fig. 2.11 (b) shows the switching-model simulation of  $V_o$  transient response. It also shows that  $V_o$  spike goes into the forbidden region. In addition, due to the large ESL of OSCON capacitors, the steady state voltage ripple is very large as well. This  $V_o$  waveform is not acceptable.

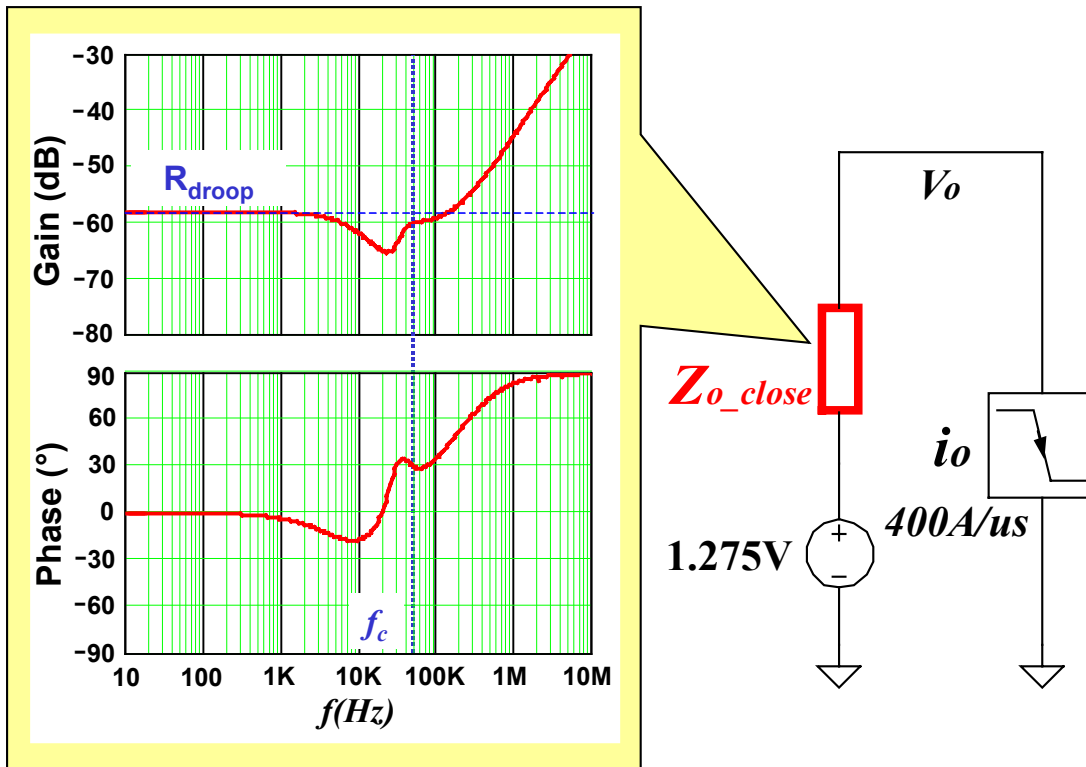


Fig. 2.10. Simulated  $Z_{o-close}$  when using all OSCON capacitors.

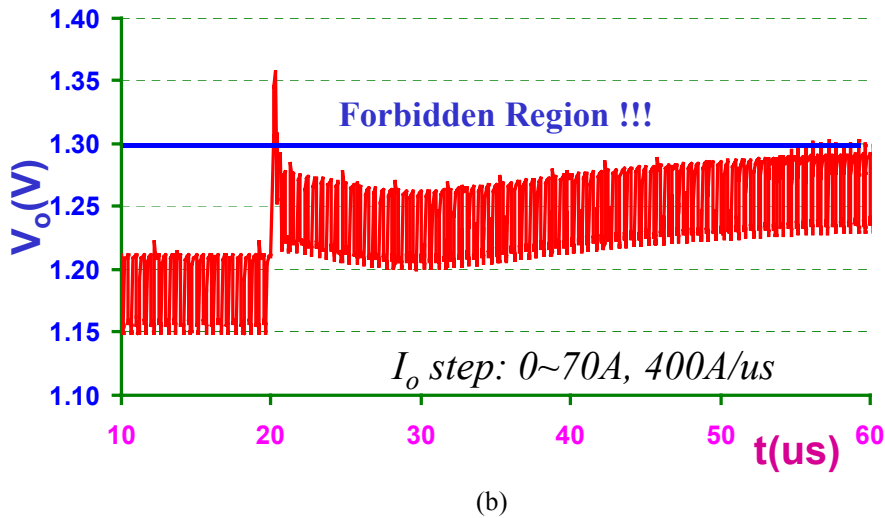
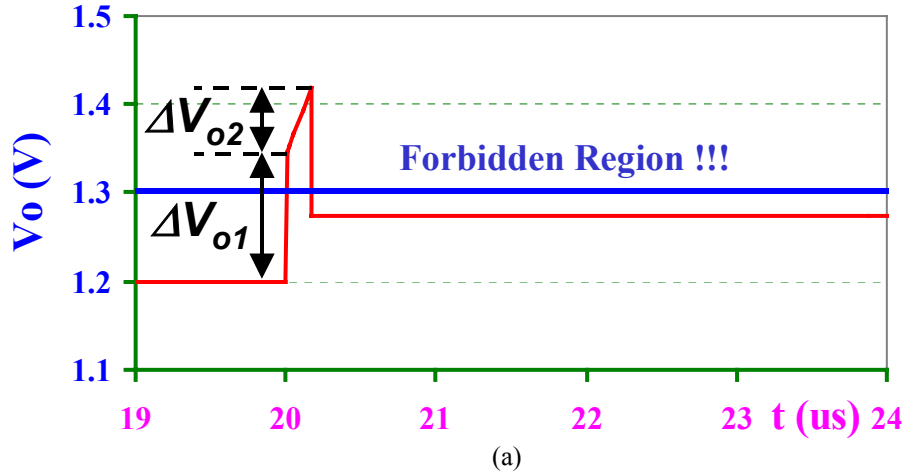


Fig. 2.11. Simulated  $V_o$  transient response when using all OSCON capacitors: (a) simplified analysis, and (b) switching model simulation.

The ESL effect of OSCON capacitors is detrimental. The solution is to parallel ceramic capacitors with OSCON capacitors. An example of ceramic capacitor parameters:  $C=100\mu\text{F}$ ,  $ESR=1\text{m}\Omega$ ,  $ESL=0.4\text{nH}$ . The characteristic of OSCON capacitors is shown in Fig. 2.12 (a). The impedance is given by

$$Z_{OSCON}(s) = \frac{1}{s \cdot n_{OSCON} \cdot C_{OSCON}} + \frac{ESR_{OSCON}}{n_{OSCON}} + \frac{s \cdot ESL_{OSCON}}{n_{OSCON}} \quad (2.6)$$

The characteristic of ceramic capacitors is shown in Fig. 2.12 (b). The impedance is given by

$$Z_{cer}(s) = \frac{1}{s \cdot n_{cer} \cdot C_{cer}} + \frac{ESR_{cer}}{n_{cer}} + \frac{s \cdot ESL_{cer}}{n_{cer}} \quad (2.7)$$

When the OSCON capacitors and the ceramic capacitors are in parallel, the impedance of the mixture is a parallel of  $Z_{OSCON}(s)$  and  $Z_{cer}(s)$ , given by

$$Z_{mix}(s) = \frac{1}{\frac{1}{Z_{OSCON}(s)} + \frac{1}{Z_{cer}(s)}} \quad (2.8)$$

So

$$Z_{mix}(s) = \frac{1}{\frac{1}{\frac{ESR_{OSCON}}{n_{OSCON}} + \frac{1}{s \cdot n_{OSCON} \cdot C_{OSCON}} + \frac{s \cdot ESL_{OSCON}}{n_{OSCON}}} + \frac{1}{\frac{ESR_{cer}}{n_{cer}} + \frac{1}{s \cdot n_{cer} \cdot C_{cer}} + \frac{s \cdot ESL_{cer}}{n_{cer}}}} \quad (2.9)$$

The solid curve in Fig. 2.12 (c) shows the characteristic of the mixture of the two types of capacitors. There is a pole marked as  $pole_{mix}$  on the curve at which  $Z_{OSCON}(s)$  and  $Z_{cer}(s)$  intersect. Because the two types of capacitors are parallel, the lower impedance one dominates. Therefore below  $pole_{mix}$ ,  $Z_{mix}(s)$  follows  $Z_{OSCON}(s)$  while beyond  $pole_{mix}$ ,  $Z_{mix}(s)$  follows  $Z_{cer}(s)$ . The expression of  $pole_{mix}$  is given by formula (2.10):

$$pole_{mix} = \frac{1}{2\pi} \cdot \left( \frac{1}{\frac{ESR_{OSCON}}{n_{OSCON}} + \frac{ESR_{cer}}{n_{cer}}} \right) \cdot \left( \frac{1}{n_{OSCON} \cdot C_{OSCON}} + \frac{1}{n_{cer} \cdot C_{cer}} \right) \quad (2.10)$$

From formula (2.10), it is observed that  $1/pole_{mix}$  is the R-C time constant of the loop formed by the two types of capacitors, as shown in Fig. 2.12 (c). By making the assumption that  $ESR_{OSCON} \gg ESR_{cer}$  and  $C_{OSCON} \gg C_{cer}$ , it can be approximated that

$$pole_{mix} = \frac{1}{2\pi} \cdot \frac{n_{OSCON}}{ESR_{OSCON}} \cdot \frac{1}{n_{cer} \cdot C_{cer}} \quad (2.11)$$

Formula (2.11) shows that  $ESR$  of the OSCON capacitors and the  $C$  of the ceramic capacitors determine  $pole_{mix}$ .

Fig. 2.13 shows a simulated  $Z_{mix}(s)$  as a result of paralleling 11 OSCON capacitors and three ceramic capacitors. The parameters are  $n_{OSCON}=11$ ,  $ESR_{OSCON}=12m\Omega$ ,  $C_{OSCON}=820\mu F$ ,  $n_{cer}=3$ ,  $ESR_{cer}=1m\Omega$ , and  $C_{cer}=100\mu F$ . Formula (2.11) predicts an approximate  $pole_{mix} \approx 490$  KHz. This calculation matches the curve in Fig. 2.13 closely.

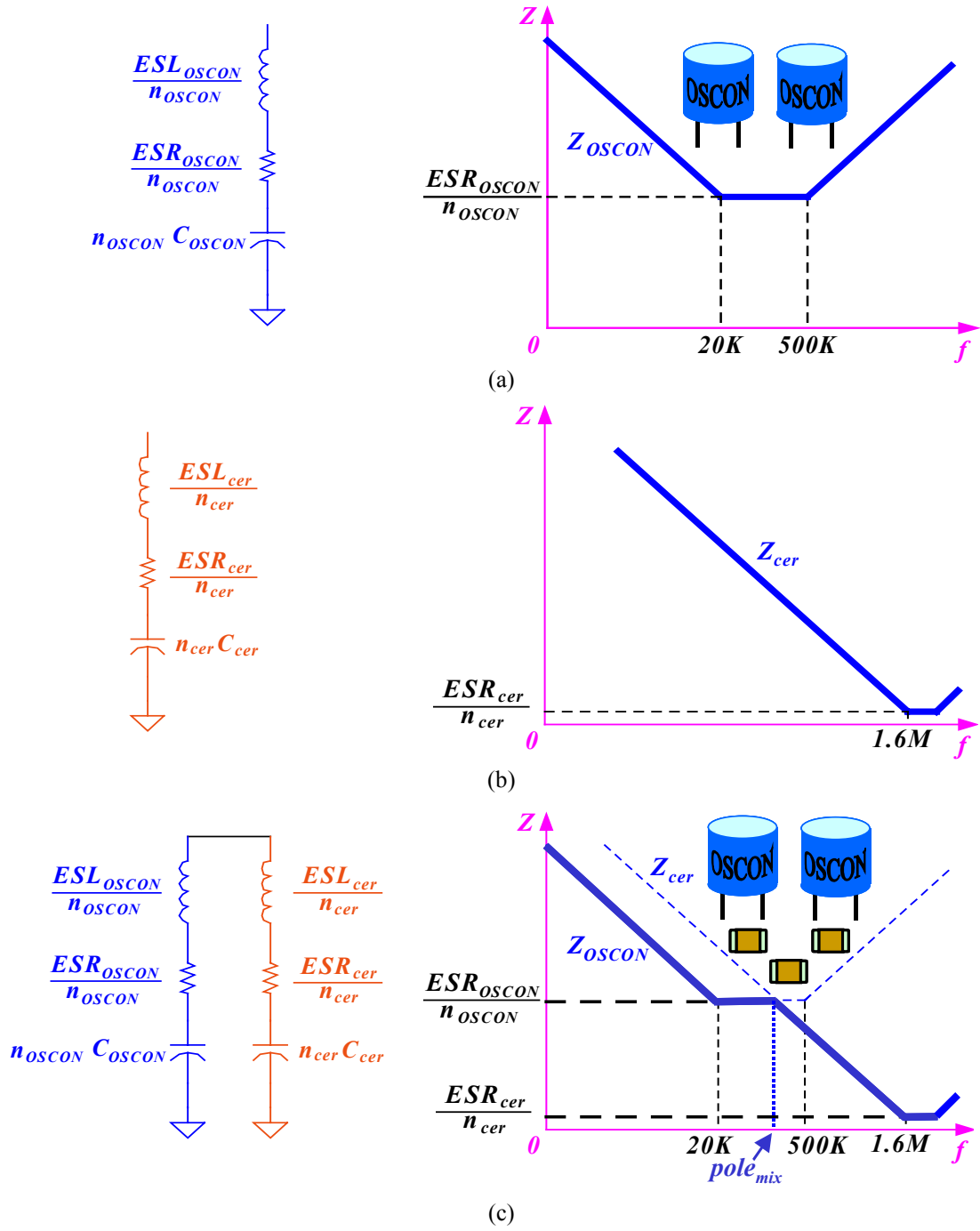


Fig. 2.12. Creating a new capacitor characteristic by mixing two types of capacitors: (a) OSCON capacitor characteristic; (b) ceramic capacitor characteristic; and (c) the characteristic by mixing OSCON and ceramic capacitors.

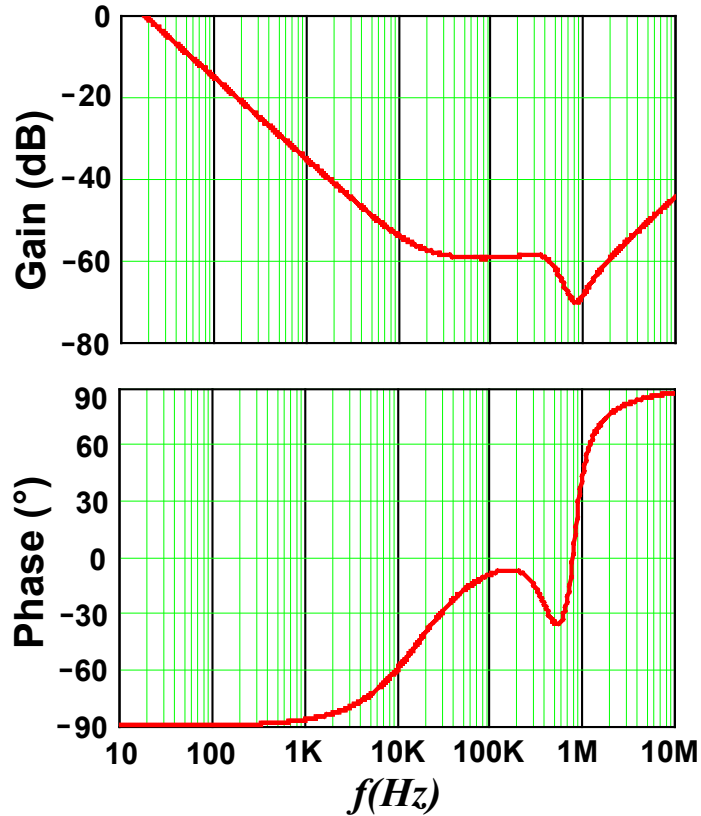


Fig. 2.13. A simulated  $Z_{mix}(s)$  of the mixture of OSCON capacitors and ceramic capacitors.

Mixing ceramic capacitors with OSCON capacitors creates a new characteristic, which helps to reduce or even get rid of the ESL effect of OSCON capacitors. With more ceramic capacitors used,  $Z_{cer}(s)$  is more toward the left-hand side and  $pole_{mix}$  is located at a lower frequency. There are three possible scenarios associated with different amounts of ceramic capacitors used.

Fig. 2.14 (a) shows the case when too few ceramic capacitors are used. The solid line is  $Z_{mix}(s)$ . In this case, because  $Z_{cer}(s)$  intersects  $Z_{OSCON}(s)$  after the  $f_{z2}$  of OSCON capacitors (500KHz),  $Z_{mix}(s)$  has a bump after 500KHz, which includes the ESL information of the OSCON capacitors.

Fig. 2.14 (b) shows that  $Z_{cer}(s)$  intersects  $Z_{OSCON}(s)$  right at the  $f_{z2}$  of OSCON capacitors (500KHz) when a certain amount of ceramic capacitors are used. Then  $Z_{mix}(s)$  does not have a bump as in Fig. 3.17 (a).

Fig. 2.14 (c) shows that when more ceramic capacitors are used,  $Z_{cer}(s)$  intersects  $Z_{OSCON}(s)$  before the  $f_{z2}$  of OSCON capacitors (500KHz), and  $Z_{mix}(s)$  does not have a bump either.

It is very easy for today's practice to achieve a control bandwidth  $f_c$  higher than  $f_{z1}$  of OSCON capacitors (20KHz), but very difficult to achieve an  $f_c$  higher than the  $f_{z2}$  of OSCON capacitors (500KHz). Fig. 2.15 (a)~(c) show the VR close-loop output impedance  $Z_{o-close}(s)$  corresponding to the capacitor characteristics shown in Fig. 2.14. The fact is that  $Z_{o-close}(s)$  is controlled to be the desired  $R_{droop}$  within  $f_c$  and follows  $Z_{mix}(s)$  beyond  $f_c$ . Fig. 2.15 (a) shows the  $Z_{o-close}(s)$  corresponding to the  $Z_{mix}(s)$  of Fig. 2.14 (a); it is observed that  $Z_{o-close}(s)$  has a bump with a value higher than  $R_{droop}$ . Fig. 2.15 (b) shows the  $Z_{o-close}(s)$  corresponding to the  $Z_{mix}(s)$  of Fig. 2.14 (b); it is observed that  $Z_{o-close}(s)$  has no bump. Fig. 2.15 (c) shows the  $Z_{o-close}(s)$  corresponding to the  $Z_{mix}(s)$  of Fig. 2.14 (c); it is observed that  $Z_{o-close}(s)$  has no bump either. It can also be seen that Fig. 2.15 (b) already eliminates the ESL effect of OSCONS capacitors and uses fewer ceramic capacitors than Fig. 2.15 (c). So the design in Fig. 2.15 (c) uses more than adequate ceramic capacitors thus is undesirable.

Fig. 2.15 (b) is the case that uses such amount of ceramic capacitors that it satisfies  $pole_{mix}=f_{z2\_OSCON}$ , where  $f_{z2\_OSCON}$  is the  $f_{z2}$  of OSCON capacitors. Therefore equalize formulas (2.2) and (2.11)

$$\frac{1}{2\pi} \cdot \frac{n_{OSCON}}{ESR_{OSCON}} \cdot \frac{1}{n_{cer} \cdot C_{cer}} = \frac{ESR_{OSCON}}{2\pi \cdot ESL_{OSCON}} \quad (2.12)$$

the solution is

$$n_{cer} \cdot C_{cer} = \frac{n_{OSCON}}{ESR_{OSCON}} \cdot \frac{ESL_{OSCON}}{ESR_{OSCON}} \quad (2.13)$$

Because it has already been determined that the number of OSCON capacitors is dictated by

$$n_{OSCON} = \frac{ESR_{OSCON}}{R_{droop}} \quad (2.14)$$

formula (2.13) can be further expressed as

$$n_{cer} \cdot C_{cer} = \frac{ESL_{OSCON}}{R_{droop} \cdot ESR_{OSCON}} \quad (2.15)$$

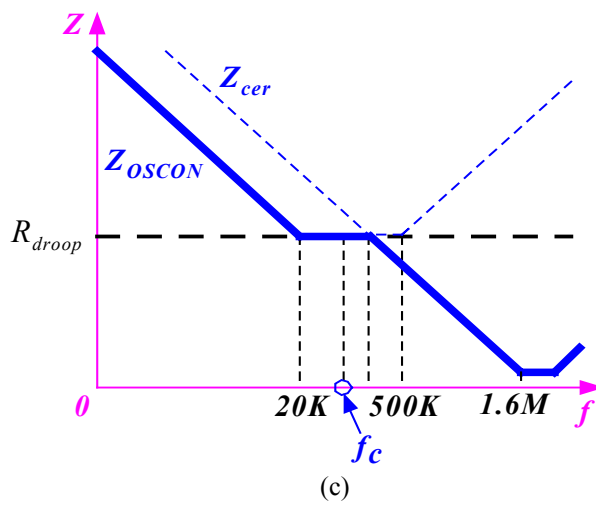
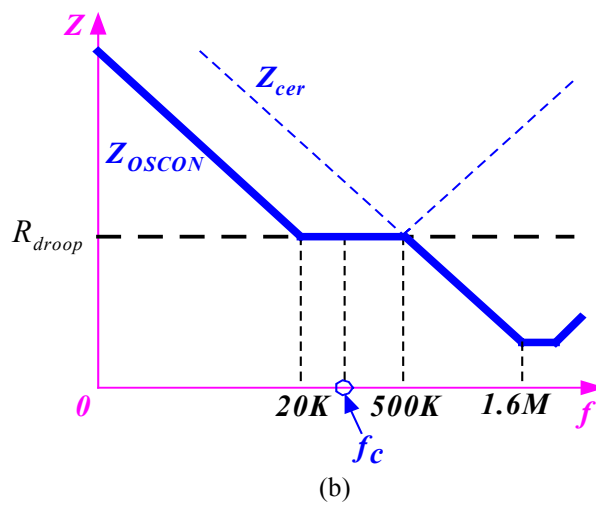
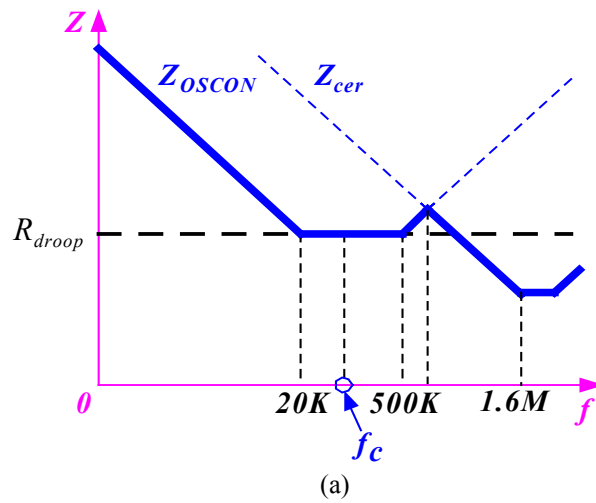


Fig. 2.14. Different  $Z_{mix}(s)$  created by different amount of ceramic capacitors used: (a) too few ceramic capacitors; (b) the right amount of ceramic capacitors; and (c) too many ceramic capacitors.

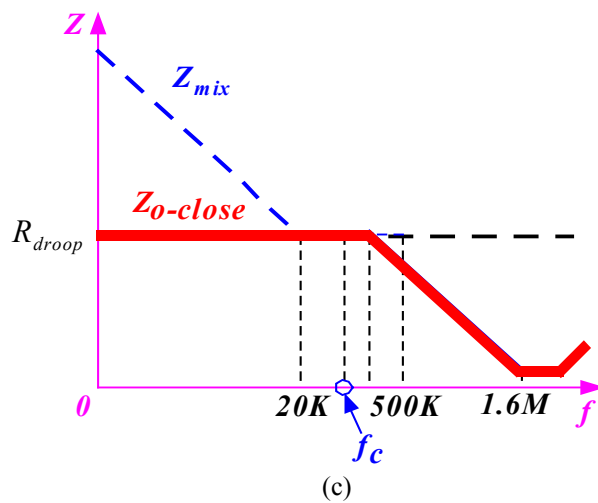
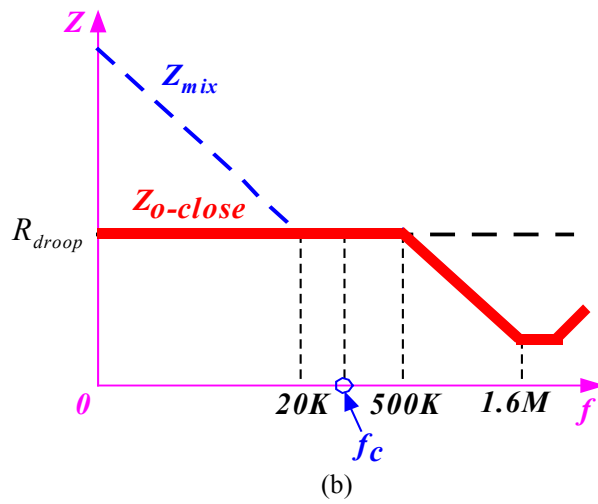
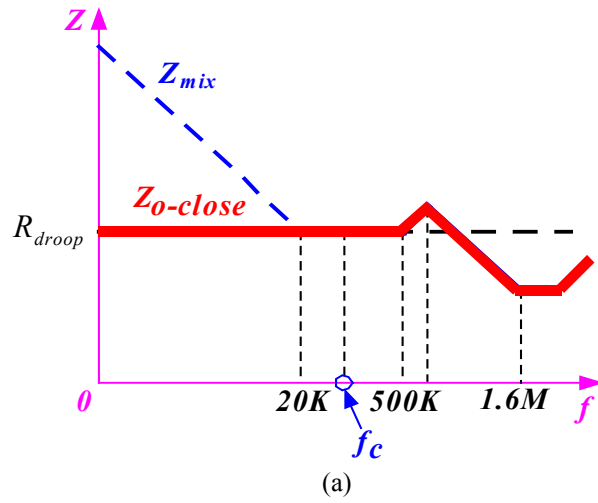


Fig. 2.15. Different  $Z_{o-close}(s)$  created by different amount of ceramic capacitors used: (a) too few ceramic capacitors; (b) the right amount of ceramic capacitors; and (c) too many ceramic capacitors.



Formula (2.15) is the right design of ceramic capacitors. Still using the VR 10 spec as an example,  $R_{droop}=1.214\text{m}\Omega$ ,  $ESL_{OSCON}=4\text{nH}$  and  $ESR_{OSCON}=12\text{m}\Omega$ , some simple calculation following formula (2.15) gives  $n_{cer}\cdot C_{cer}=300\mu\text{F}$ . Fig. 2.16 shows the simplified equivalent circuit of the VR. Compare with Fig. 2.10, the VR output impedance eliminates the ESL effect of the OSCON capacitors. It eventually still goes up due to the ESL of ceramic capacitors, but the ESL effect of ceramic capacitors appears at very high frequency range Fig. 2.17 (a) shows the corresponding simulation result of  $V_o$ , based on the equivalent circuit shown in Fig. 2.16. Compare with Fig. 2.11 (a), it can be seen that the  $di_o/dt$ -related voltage spike still exists, but is much smaller. The reason is that the ceramic's ESL is much smaller than the OSCON's ESL. Fig. 2.17 (b) shows the switching model simulation of  $V_o$  transient response when the output capacitors are 11 OSCONs + 300 $\mu\text{F}$  ceramic capacitors. Compared with the waveform in Fig. 3.14, the steady-state ripple is much smaller and the transient voltage spike does not go into the forbidden region.

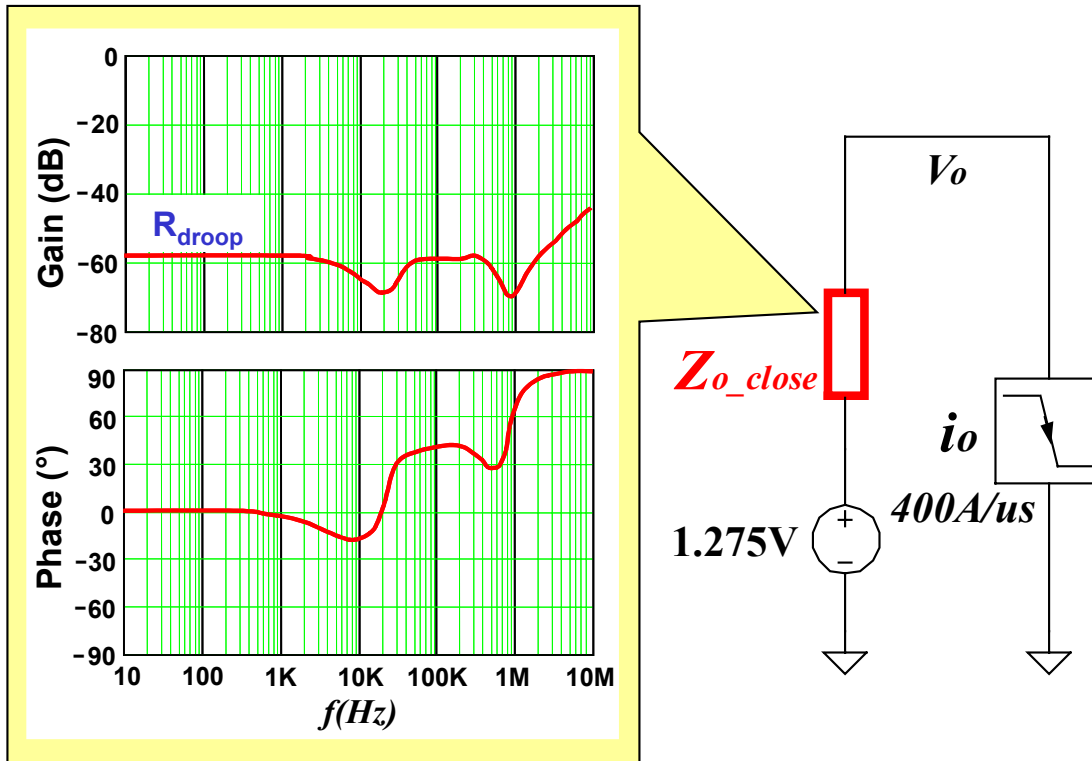


Fig. 2.16. Simulated  $Z_{o\_close}$  when using all OSCON capacitors.

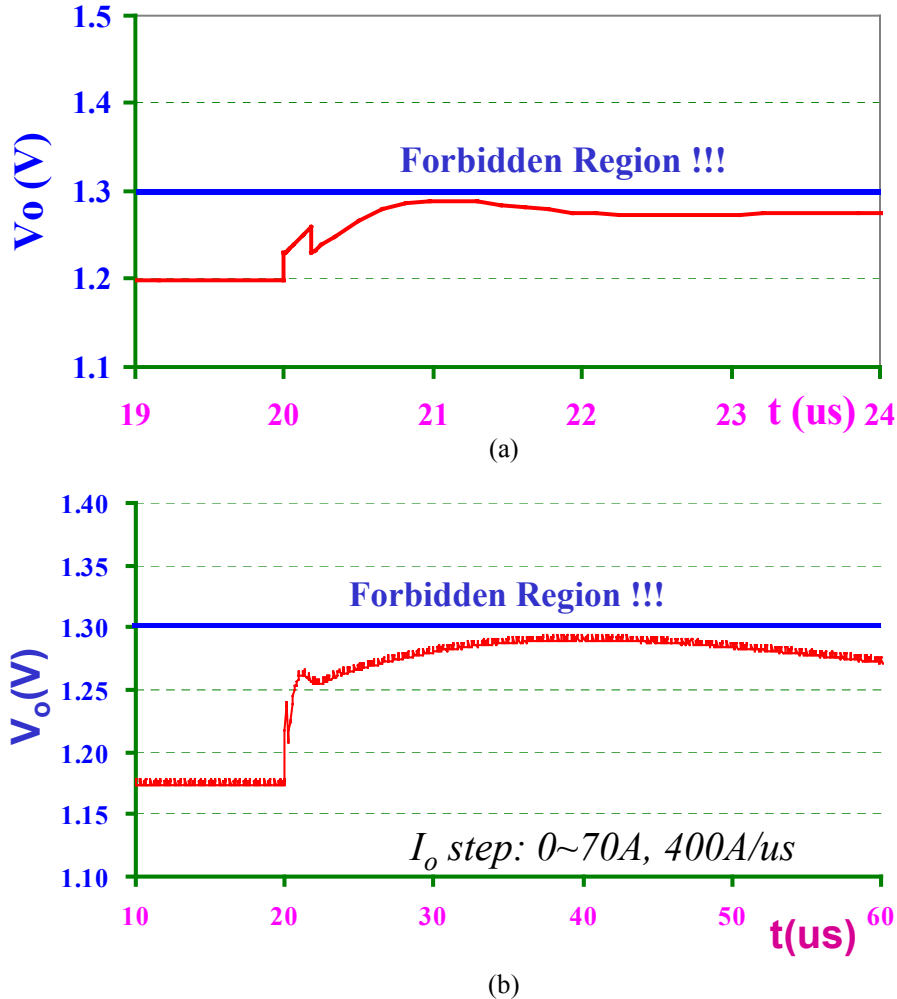


Fig. 2.17. Simulated  $V_o$  transient response when using 11 OSCONs plus  $300\mu\text{F}$  ceramic capacitors: (a) simplified analysis, and (b) switching model simulation.

It is now clear that using OSCON capacitors plus ceramic capacitors is an option for VR output capacitors. The number of OSCON capacitors is given by formula (2.14) and the number of ceramic capacitors is given by formula (2.15). This approach has several limitations:

- (1). OSCON capacitors have large ESR. The ESR determines the number of OSCON capacitors needed, and the capacitance is actually more than needed.
- (2). OSCON capacitors have large ESL, which requires substantial amount of ceramic capacitors to suppress.
- (3). OSCON capacitors are large. This fact makes VR take up a large space on desktop computer motherboard.

(4). The control bandwidth needs to be higher than 20KHz, but further pushing up does not reduce the number of capacitors.

If the current approach still applies, to meet the voltage regulation band requirement, a lot more capacitors will be needed at the output to handle the transient response. Fig. 2.18 shows an estimation of capacitors needed for future VRs. For example, for a future VR with 0.8V/150A output, 30 OSCON capacitors and quite a lot of ceramic capacitors are needed. The VR takes almost 30% of the space on the motherboard, as opposed to 12% in Fig. 1.10 (b). This is unacceptable. Fig. 2.19 shows the cost comparison of the current solution and the future solution. The total cost increases by more than 100% of the cost of current solution. In addition, the output capacitor cost accounts for 65.3% of the total cost.

OSCON capacitors don't seem to be suitable as future VR output capacitors due to their inherent limitations.

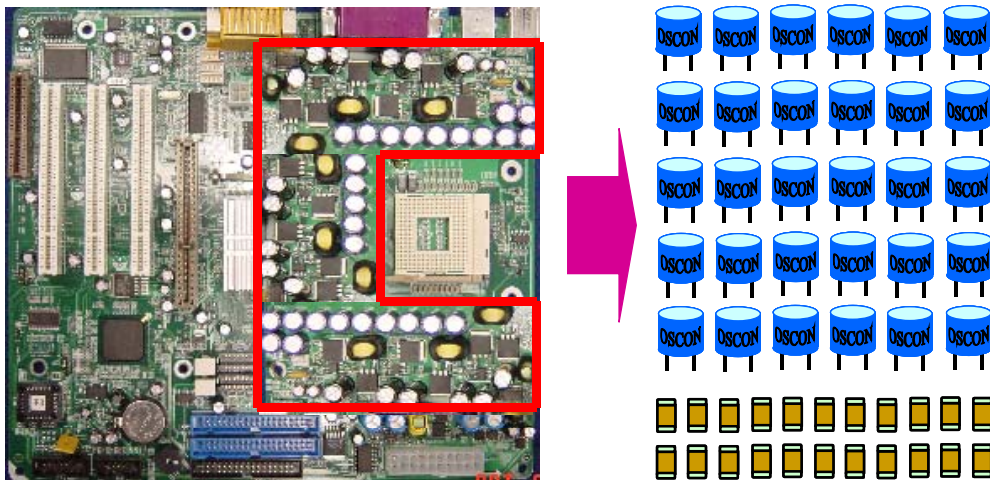


Fig. 2.18. Capacitors needed for future VRs.

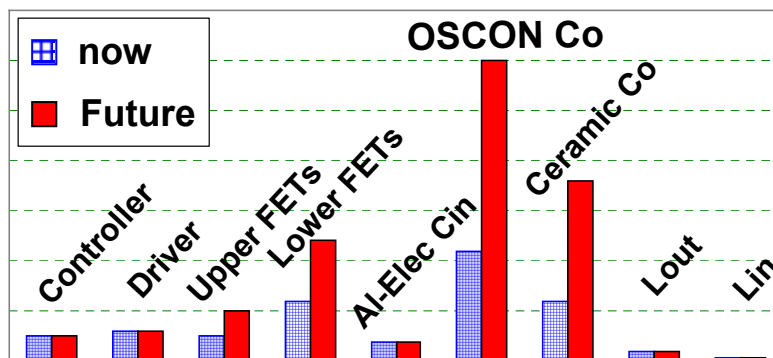


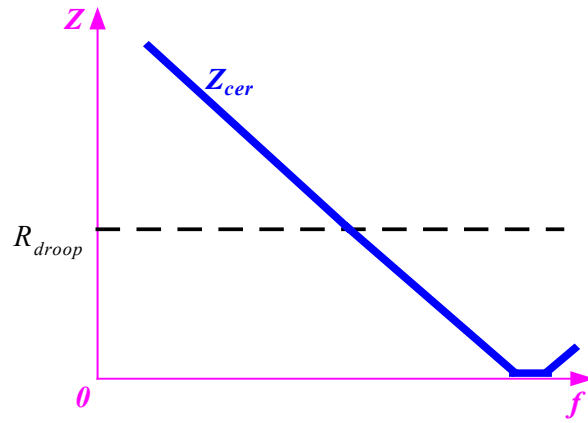
Fig. 2.19. Cost estimation for future VRs.

## 2.2. The Limitation of Low Switching Frequency.

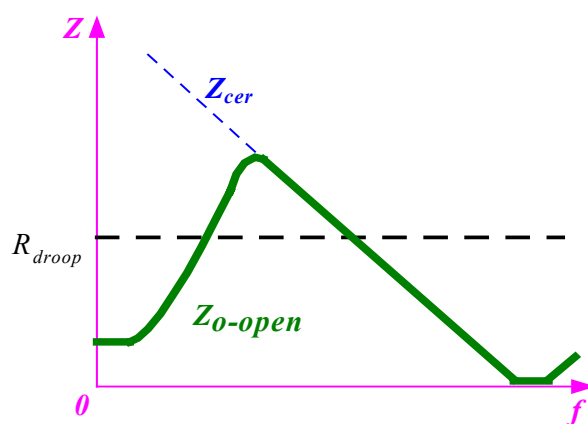
The previous discussion has analyzed the desktop computer VR solution, which uses OSCON capacitors. OSCON capacitors are electrolytic type of capacitors, so they have low reliability. This is a big concern in some reliability-sensitive applications, such as servers. In servers, VR output capacitors are all ceramic capacitors as they have higher reliability. An additional benefit is that ceramic capacitors have low ESR and ESL, which establishes them as a good solution to overcome OSCON capacitor's inherent limitations.

The design of all-ceramic capacitors is explained as follows. Fig. 2.20 (a) shows the ceramic capacitor impedance curve  $Z_{cer}$ . It mainly is the capacitance; the ESR is very low and the ESL effect shows up at very high frequency range.  $R_{droop}$  is the desired VR output impedance. The solid line in Fig. 2.20 (b) shows the open-loop VR impedance  $Z_{o-open}$ . At low frequency  $Z_{o-open}$  follows the output choke inductor ESR, then the L-C resonance of the choke inductor and the output ceramic capacitor. After the resonance  $Z_{o-open}$  follows the output ceramic capacitor characteristic. Fig. 2.20 (c) shows the close-loop VR output impedance  $Z_{o-close}$ . The control loop sets its bandwidth  $f_c$  at the higher one of the two frequencies where  $R_{droop}$  intersects  $Z_{o-open}$ . By correct design of the control loop compensator,  $Z_{o-close}$  is controlled to be  $R_{droop}$  within  $f_c$ , and follows  $Z_{cer}$  thereafter. It can be seen that  $Z_{o-close}$  eventually goes up due to the ESL effect of the ceramic capacitor. But as previously discussed, the ESL effect of the ceramic capacitor is not an issue at VR output. So the  $Z_{o-close}$  shape should be able to give a good transient response. The amount of ceramic capacitance is given by

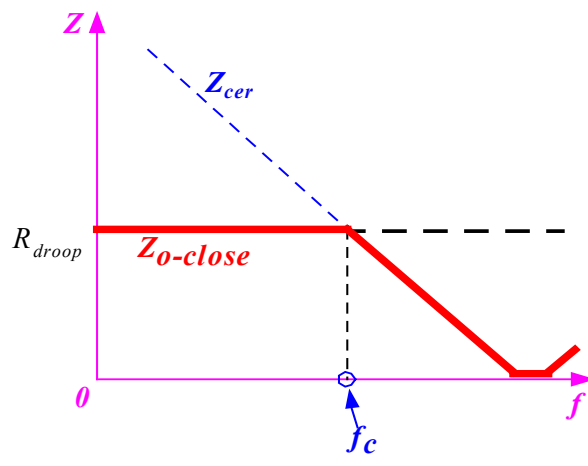
$$n_{cer} \cdot C_{cer} = \frac{1}{2\pi \cdot R_{droop} \cdot f_c} \quad (2.16)$$



(a)



(b)



(c)

Fig. 2.20. VR open loop output impedance: (a) ceramic capacitor characteristics; (b) the open-loop buck VR using all-ceramic capacitors; and (c) the close-loop output impedance of the VR.

The dashed curve in Fig. 2.21 shows the ceramic capacitor impedance curve  $Z_{cer}$  and the solid curve in Fig. 2.21 shows the VR close-loop output impedance  $Z_{o-close}$  obtained through a switching-model simulation. It can be seen that  $Z_{o-close}$  is kept at  $R_{droop}$  until approximately 50KHz and follows  $Z_{o-close}$  thereafter. It is also noted that  $Z_{o-close}$  eventually goes up due to the ESL of the ceramic capacitor, but the ESL effect of ceramic capacitors appears at very high frequency range. Fig. 2.22 (a) shows the simplified equivalent circuit of the VR and Fig. 2.22 (b) shows the corresponding simulation result of  $V_o$ . Comparing with Fig. 2.17 (a), it can be seen that the  $di_o/dt$ -related voltage spike is much smaller. The reason is that the ceramic's ESL is much smaller than the OSCON's ESL. Fig. 2.23 shows the switching model simulation of  $V_o$  transient response when the output capacitors are 800 $\mu$ F ceramic capacitors. The transient voltage spike does not go into the forbidden region.

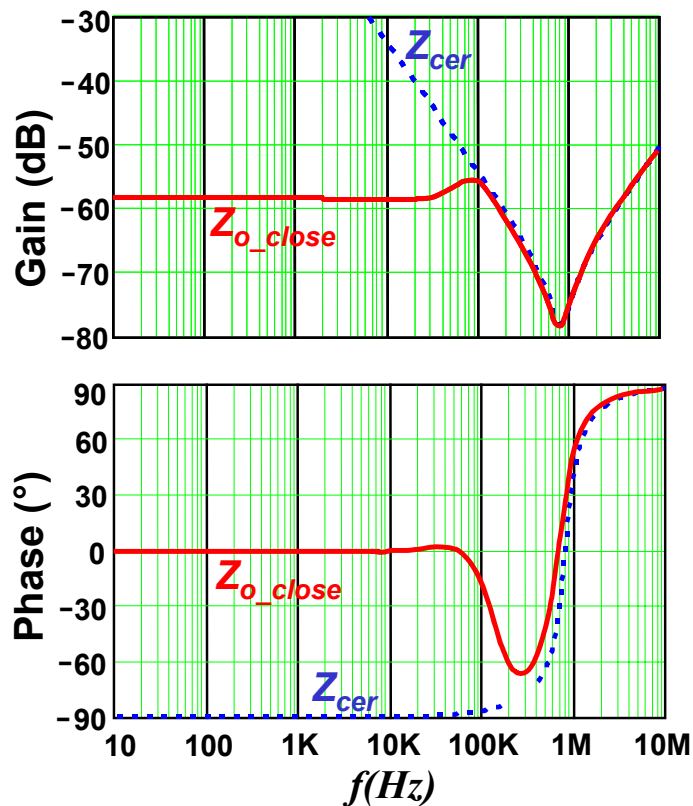
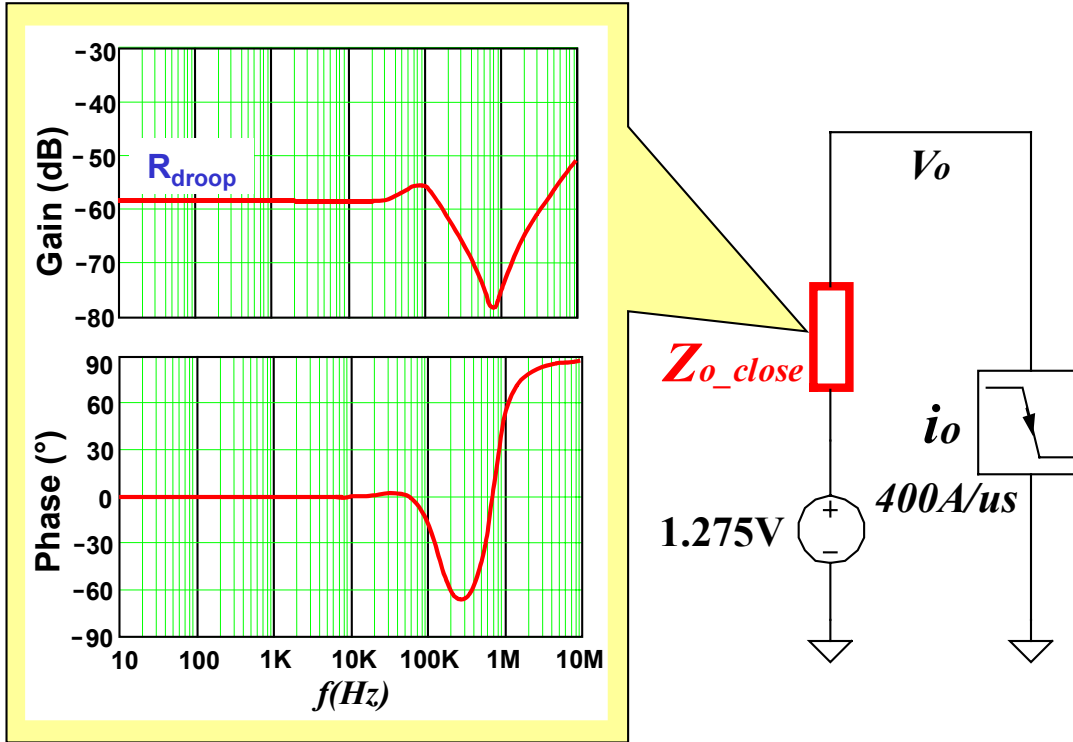
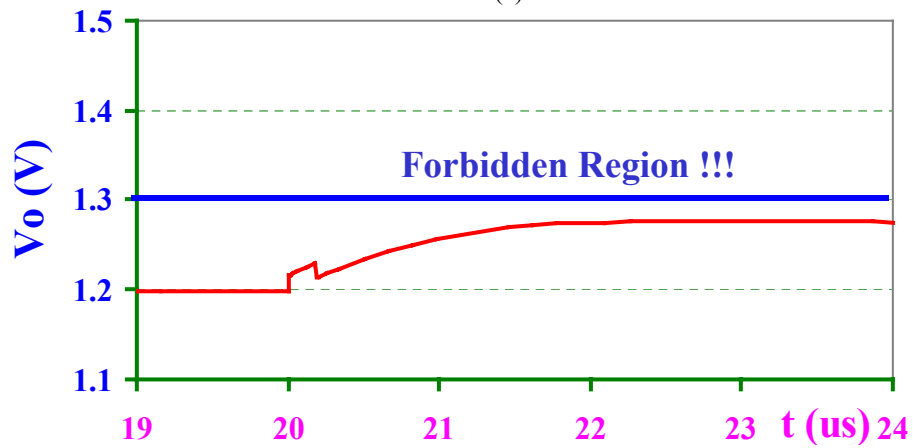


Fig. 2.21. Ceramic capacitor characteristics and the simulated  $Z_{o-close}$ .



(a)



(b)

Fig. 2.22. Simplified VR equivalent circuit and the transient response: (a) VR equivalent circuit; and (b) the transient response obtained through the equivalent circuit.

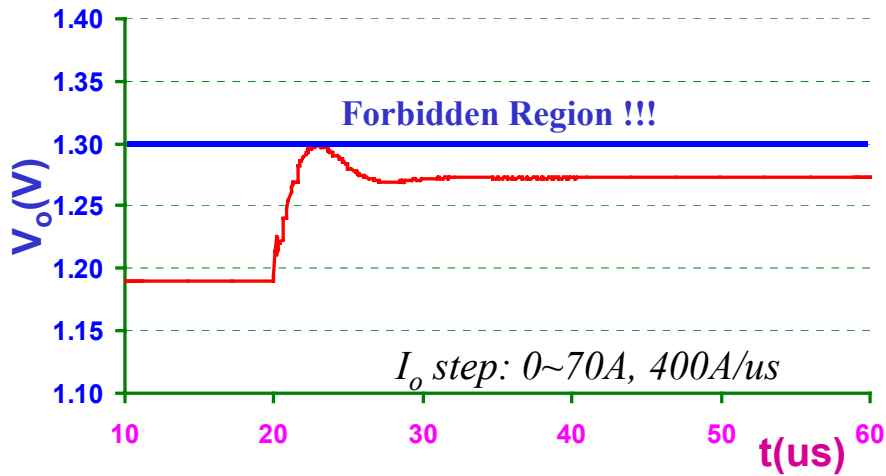


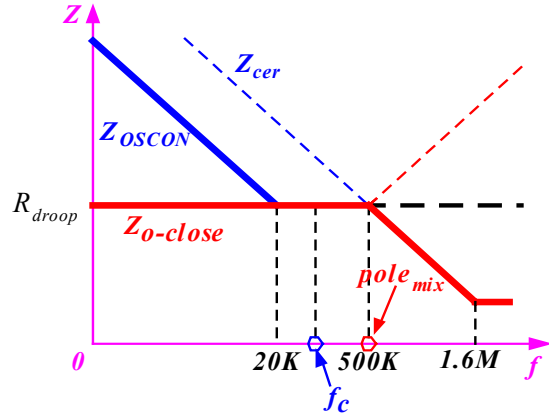
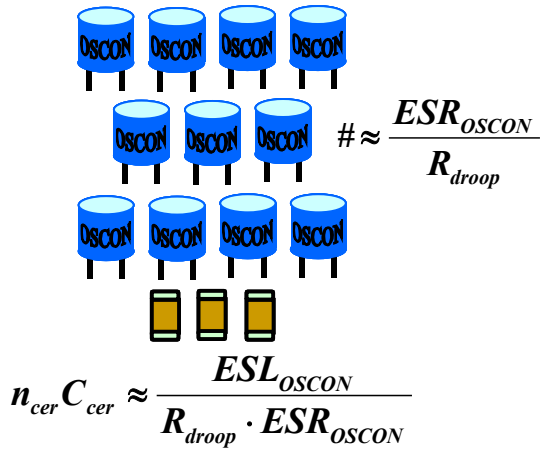
Fig. 2.23. The switching model simulation result of  $V_o$  transient response.

The previous discussion has shown that there are two options for VR output capacitors:

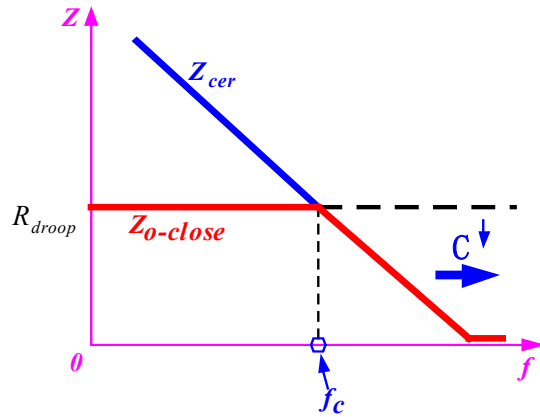
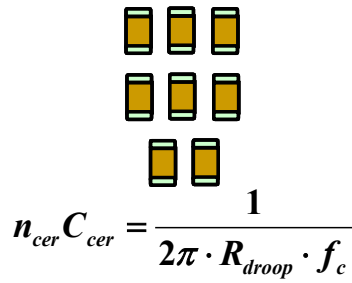
- (1). Use OSCON capacitors plus some ceramic capacitors. Fig. 2.24 (a) explains this option. The number of OSCON capacitors is given by formula (2.3) and the ceramic capacitance is given by formula (2.15). The control bandwidth  $f_c$  needs to be higher than 20KHz, but further raising won't help to reduce the number of capacitors.
- (2) Use all-ceramic capacitors. Fig. 2.24 (b) shows the all-ceramic solution. The amount of ceramic capacitors is given by formula (2.16). The higher the control bandwidth, the smaller amount of ceramic capacitors needed.

Fig. 2.25 shows the experimental test results to verify the theoretical prediction. The design spec is the VR10 load line shown in Fig. 2.3. The switching frequency of the multiphase buck VR is 1MHz. Fig. 2.25 (c) shows the load current, which steps down from 60A to 0A with 60A/ $\mu$ s slew rate. Figs. 2.25 (a) and (b) are the VR output voltage waveforms, and they correspond to the cases shown in Fig. 2.24 (a) and (b). The dashed lines above which the graphs say “forbidden region” are where  $V_o=1.3V$ .  $V_o$  is 1.275 V at a load of 0A, and is 1.19V at a load of 60A. Case Fig. 2.24 (a) uses 11 OSCON capacitors plus 300 $\mu$ F ceramic capacitors, and has a good  $V_o$  waveform; Fig. 2.24 (b) uses 800 $\mu$ F ceramic capacitors, and also has a good  $V_o$  waveform.





(a)



(b)

Fig. 2.24. Options of VR output capacitors: (a) OSCONs plus ceramics, and (b) the all-ceramic solution.

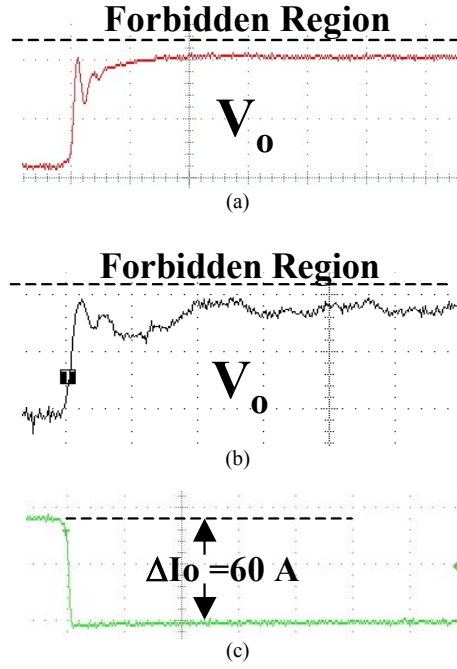


Fig. 2.25. Experimental test results of the transient response: (a) OSCONs plus ceramics, (b) the all-ceramic solution, and (c) the load current step change.

The two options for VR output capacitors are compared as regards footprint and size. Assume the footprint area of an OSCON capacitor is  $A_{OSCON}$  and the footprint area of a ceramic capacitor is  $A_{cer}$ . The total footprint area of the OSCON solution is

$$S_{OSCON} = \frac{ESR_{OSCON}}{R_{droop}} \cdot A_{OSCON} + \frac{ESL_{OSCON}}{R_{droop} \cdot C_{cer} \cdot ESR_{OSCON}} \cdot A_{cer} \quad (2.17)$$

and the total footprint area of the all-ceramic solution is

$$S_{cer} = \frac{1}{2\pi \cdot R_{droop} \cdot f_c \cdot C_{cer}} \cdot A_{cer} \quad (2.18)$$

Since the higher control bandwidth, the fewer ceramic capacitors needed for an all-ceramic solution, there exists a bandwidth  $f_{c\_A}$  that makes  $S_{cer} < S_{OSCON}$  when  $f_c > f_{c\_A}$ , which means when  $f_c > f_{c\_A}$  the all-ceramic solution takes smaller footprint area. This  $f_c$  is given by

$$f_{c\_A} = \frac{1}{2\pi \cdot C_{cer} \cdot \left( \frac{A_{OSCON}}{A_{cer}} \cdot ESR_{OSCON} + \frac{ESL_{OSCON}}{ESR_{OSCON} \cdot C_{cer}} \right)} \quad (2.19)$$

For today's situation,  $ESR_{OSCON}=12\text{m}\Omega$ ,  $ESL_{OSCON}=4\text{nH}$ ,  $A_{OSCON}=0.163\text{in}^2$ ,  $C_{cer}=100\mu\text{F}$ , and  $A_{cer}=0.0216\text{in}^2$ . A calculation based on formula (2.19) gives  $f_{c\_A}=17\text{KHz}$ . This control bandwidth is very easy to achieve. If the control bandwidth is 1/6 of the switching frequency, 17KHz bandwidth means about 100KHz switching frequency. So if the VR can operate at above 100KHz, it will be able to use the all-ceramic solution as a smaller-size solution.

Assume the cost of an OSCON capacitor is  $\$_{OSCON}$  and the cost of a ceramic capacitor is  $\$_{cer}$ . The total cost of the OSCON solution is

$$Cost_{OSCON} = \frac{ESR_{OSCON}}{R_{droop}} \cdot \$_{OSCON} + \frac{ESL_{OSCON}}{R_{droop} \cdot C_{cer} \cdot ESR_{OSCON}} \cdot \$_{cer} \quad (2.20)$$

and the total cost of the all-ceramic solution is

$$Cost_{cer} = \frac{1}{2\pi \cdot R_{droop} \cdot f_c \cdot C_{cer}} \cdot \$_{cer} \quad (2.21)$$

Since the higher control bandwidth, the fewer ceramic capacitors needed for an all-ceramic solution, there exists a bandwidth  $f_{s\_A}$  that makes  $Cost_{cer} < Cost_{OSCON}$  when  $f_c > f_{s\_A}$ . This means when  $f_c > f_{s\_A}$  the all-ceramic solution is cheaper. It can be easily derived that

$$f_{s\_A} = \frac{1}{2\pi \cdot \left( \frac{\$_{OSCON}}{\$_{cer}/C_{cer}} \cdot ESR_{OSCON} + \frac{ESL_{OSCON}}{ESR_{OSCON}} \right)} \quad (2.22)$$

As of year 2002, calculation based on formula (2.22) gives  $f_{s\_A}=170\text{KHz}$ . If the control bandwidth is 1/6 of the switching frequency, 170KHz bandwidth means about 1MHz switching frequency. So if the VR can operate at 1MHz, it will be able to use the all-ceramic solution as a more cost-effective and smaller-size solution.

Fig. 2.26 (a) shows the cost comparison of the VRs operating at 300KHz. The meshed columns are for the OSCON solution, and the solid columns are for the all-ceramic solution. Because of the low switching frequency, the all-ceramic solution requires quite a lot ceramic output capacitors. The total cost of the all-ceramic solution almost doubles that of the OSCON solution. Fig. 2.26 (b) shows the cost comparison of

the OSCON solution and the all-ceramic solution operating at 1MHz. The total cost of the all-ceramic solution is the same as the OSCON solution.

The previous discussion has shown that high switching frequency reduces capacitor size and cost in the all-ceramic solution. However, high switching frequency decreases efficiency. Fig. 2.27 shows the multiphase buck VR efficiency at 300KHz and 1MHz switching frequencies. The efficiency is already low at 300KHz, and is even lower at 1MHz. The low efficiency prevents the VR from benefiting from the reduction of capacitors, which accounts for the current practice of VR solutions.

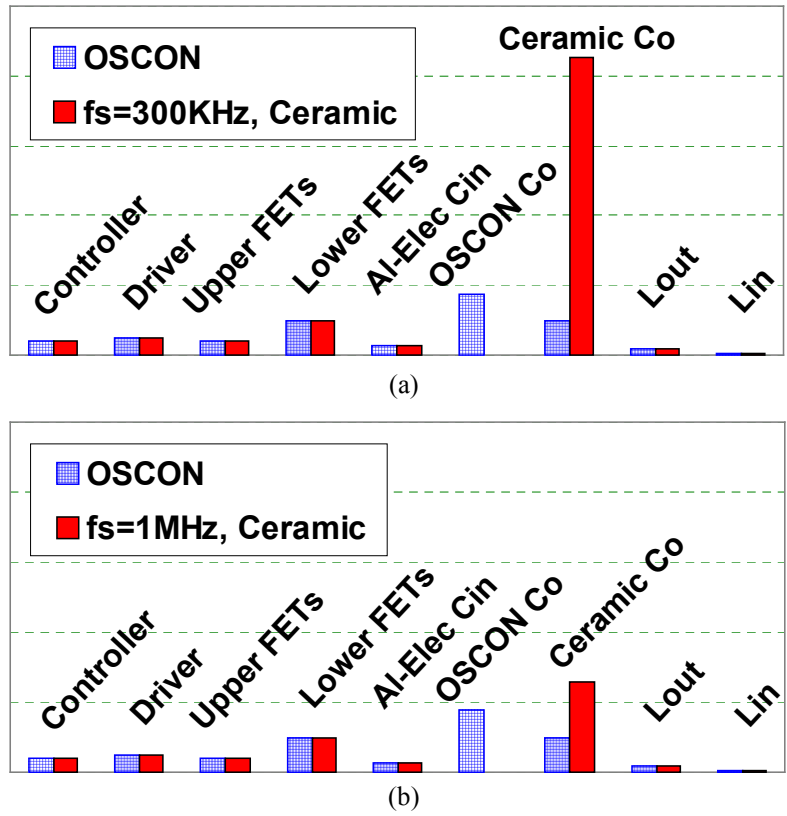


Fig. 2.26. Cost comparison of the OSCON solution and the all-ceramic solution: (a) At 300KHz switching frequency, and (b) at 1MHz switching frequency.

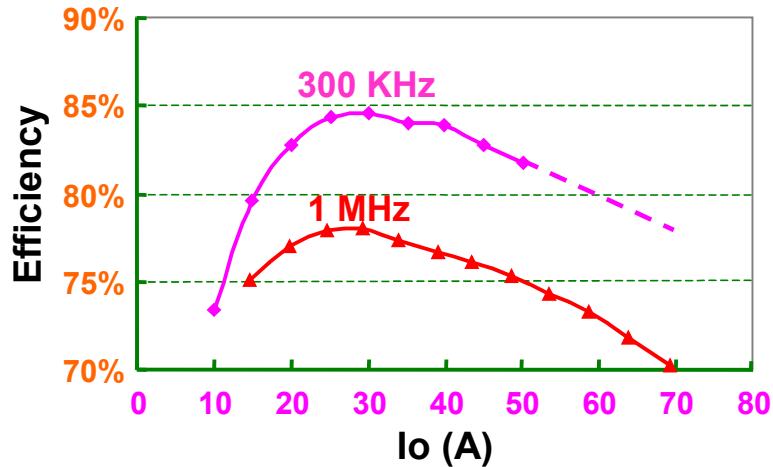


Fig. 2.27. Efficiency comparison of the 12V-input multiphase buck VR at 300KHz and 1MHz switching frequency.

### 2.3. The Limitation of Large Inductance.

The previous discussion has pointed out that high switching frequency helps to reduce output capacitance, but the feasibility of high frequency solutions is limited by VR efficiency. Presently working with 12V input, the multiphase buck VR has historically worked with different input voltages. When CPUs are demanding 2V or so core voltage and 10, 20A core current, VRs work with 5V input. Later on when CPUs are demanding sub 1V core voltage and much higher core current, VRs work with 12V input. While a typical 5V input VR has efficiency in the range of 90%, a typical 12V input VR has efficiency in the range of 80%. This downgrading in efficiency is in the opposite direction of the VR efficiency requirement for the future. Fig. 2.28 (a) shows the power delivery architectures in the past. The 5V input multiphase buck VR is good. Fig. 2.28 (b) shows the current power delivery architecture. The only change is that the VR input voltage is 12V instead of 5V. However, just because the multiphase buck VR is good for 5V input does not automatically mean it is good for 12V input.

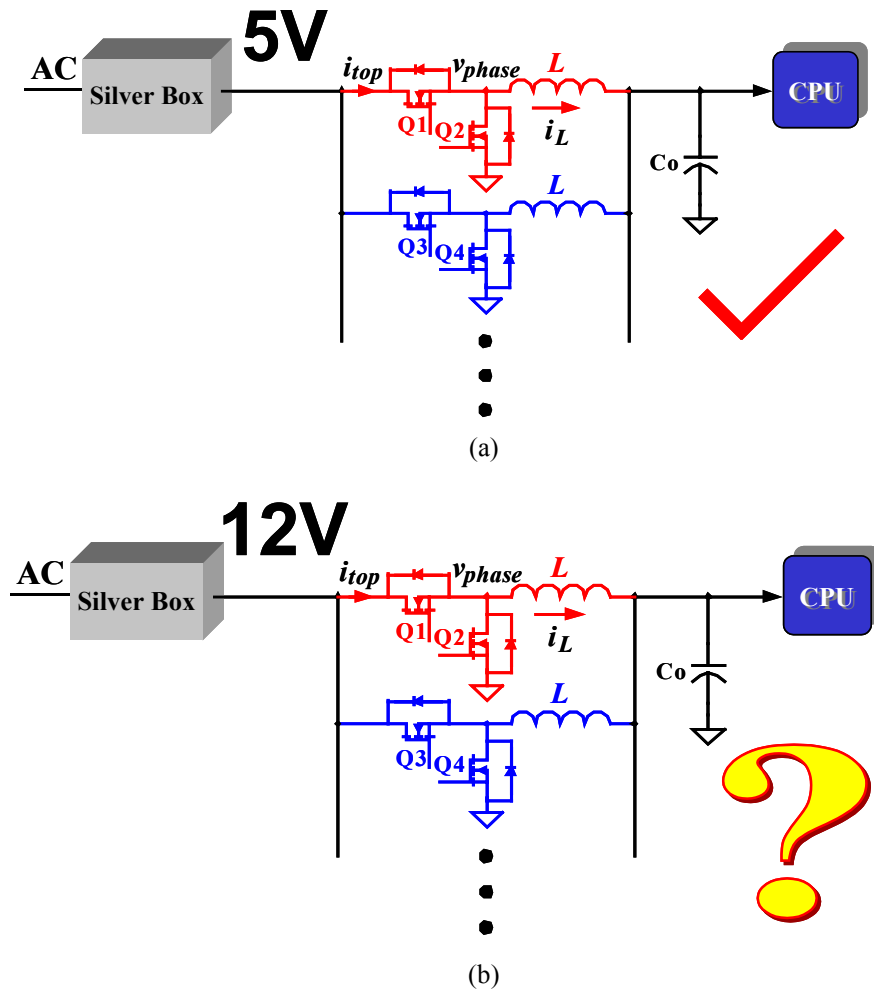


Fig. 2.28. Power delivery architectures for desktop computers: (a) With 5V-input VRs, and (b) with 12V-input VRs.

The duty cycle of a buck VR is given by

$$D = \frac{V_o}{V_{in}} \quad (2.23)$$

where  $V_o$  is the output voltage and  $V_{in}$  is the input voltage. For example: for  $V_o=1.5\text{V}$ , the duty cycle is  $1.5/12=0.125$  for a 12V-input buck VR, as opposed to 0.3 for a 5V-input buck VR. It can be seen that after the VR input voltage switches from 5V to 12V, the buck VR needs to work with a much smaller duty cycle due to the large step-down ratio. This extreme duty cycle makes it difficult to design an efficient buck converter [16].

In order to facilitate the following discussion, some nomenclatures are defined as shown in Fig. 2.28:  $i_L$  is the inductor current,  $i_{top}$  is the top switch current and  $v_{phase}$  is the so-called “phase voltage”.

Fig. 2.29 (a) ~ (d) show some key waveforms in a buck VR when the input voltage is 5V and 12V. The output voltage is 1.5V and the current is 12.5A per phase. Because output capacitor  $C_o$  cannot sustain DC current, the average value of  $i_L$  is the load current regardless the input voltage. However, the ripples of  $i_L$  are different. Based on the inductor current discharging period, the peak-to-peak  $i_L$  ripple is given by

$$\Delta i_L = \frac{V_o \cdot (1-D)}{L \cdot f_s} \quad (2.24)$$

where  $L$  is the inductance,  $f_s$  is the switching frequency, and  $D$  is the duty cycle. When  $V_{in}$  moves from 5V to 12V,  $D$  becomes smaller; therefore  $\Delta i_{top}$  becomes larger. Fig. 2.29 (a) shows the inductor current  $i_L$ . It is seen that with 12V input,  $i_L$  has larger ripple. Given the fact that the average value is the same, it can be understood that the peak value of  $i_L$  is higher with 12V input. Fig. 2.29 (b) shows the top switch current  $i_{top}$ . Since  $i_{top}$  is  $i_L$  when the top switch Q1 is conducting, it can be seen that the peak  $i_{top\_pk}$  is higher with 12V input.

The turn-off loss of MOSFET Q1 is given by

$$P_{turn-off} = i_{top\_pk} \cdot \frac{Q_{gd} + Q_{gs2}}{i_{g1}} \cdot v_{off\_stress} \cdot f_s \quad (2.25)$$

where  $Q_{gd}$  and  $Q_{gs2}$  are two gate charge parameters of Q1;  $v_{off\_stress}$  is the turn-off voltage stress of Q1;  $i_{g1}$  is the gate current of Q1; and  $f_s$  is the switching frequency. Since  $v_{off\_stress}$  is theoretically the input voltage, it is higher with 12V input than with 5V input. After the input voltage changes from 5V to 12V,  $i_{top\_pk}$  and  $v_{off\_stress}$  both increase, which significantly increases the turn-off loss of the buck converter.

Fig. 2.29 (c) shows  $v_{phase}$  waveform.  $v_{phase}$  is  $V_{in}$  when Q1 is on, and is approximately zero when Q1 is off. Because the output inductor cannot sustain DC voltage, the average value of  $v_{phase}$  is the output voltage  $V_o$ . The body-diode reverse recovery loss is given by

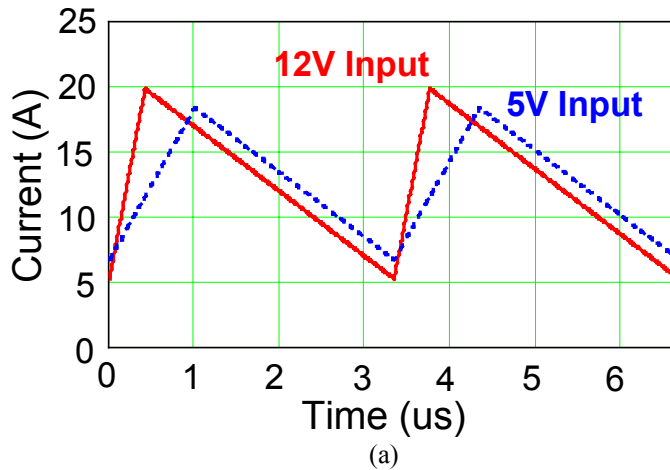
$$P_{rr} = Q_{rr} \cdot V_{phase\_on} \cdot f_s \quad (2.26)$$

where  $Q_{rr}$  is the reverse-recovery charge and  $v_{phase\_on}$  is the  $v_{phase}$  value when Q1 is on. Since  $v_{phase\_on}$  is theoretically the input voltage, it is higher with 12V input than with 5V

input, which means that body-diode reverse recovery loss increases significantly with 12V input.

Fig. 2.29 (d) shows the bottom switch current. The bottom switch current is the inductor current when Q2 is on and is zero when Q2 is off. When the input voltage is 12V, the top switch duty cycle decreases and the bottom switch duty cycle increases. Thus it is not difficult to find out that the rms value of the bottom switch current increases, which increases bottom switch conduction loss.

A buck converter is used to estimate loss. To reduce the turn-off loss, devices featuring high switching speed are preferred for top switches; therefore, devices with less  $Q_{gd}$  are better candidates for top switches. The top switches are Si4884DY (30V,  $R_{ds}=10.5\text{m}\Omega$ ,  $Q_{gd}=4.8\text{nC}$ ). On the other hand, low on-resistance devices are better candidates for bottom switches in order to minimize the conduction loss. The bottom switches are Si4874DY (30V,  $R_{ds}=7.5\text{m}\Omega$ ,  $Q_g=35\text{nC}$ ). Other specs are:  $V_o=1.5\text{V}$ ,  $I_{omax}=12.5\text{A/phase}$ ,  $f_s=300\text{KHz/phase}$ , and  $L=300\text{nH/phase}$ . The top switches are Si4884DY (30V,  $R_{ds}=10.5\text{m}\Omega$ ,  $Q_{gd}=4.8\text{nC}$ ) and the bottom switches are Si4874DY (30V,  $R_{ds}=7.5\text{m}\Omega$ ,  $Q_g=35\text{nC}$ ). Fig. 2.30 shows the loss estimation per phase. The shaded columns are for 5V input and the solid columns are for 12V input. Comparing the result, it is seen that after the input voltage changes to 12V, the top switch conduction loss decreases, the top switch turn-off loss increases significantly, the reverse recovery loss increases significantly, and the bottom switch conduction increases as well.





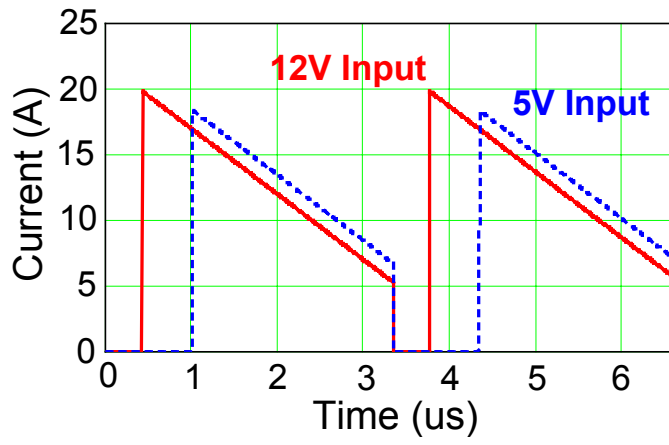
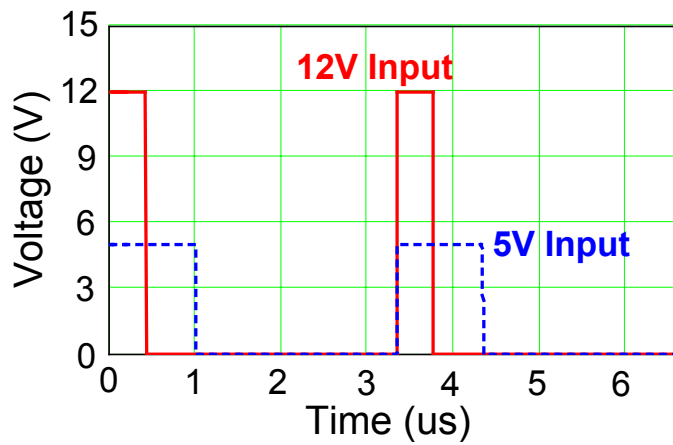
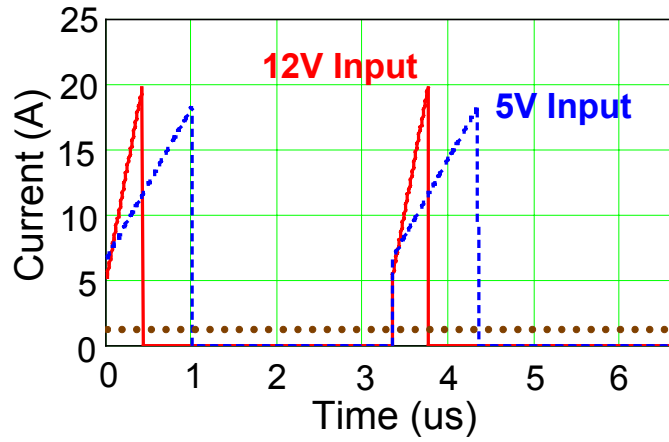


Fig. 2.29. Key waveforms in a buck VR when input voltage is 5 V and 12 V: (a) the inductor current, (b) the top switch current, (c) the phase voltage, and (d) the bottom switch current.

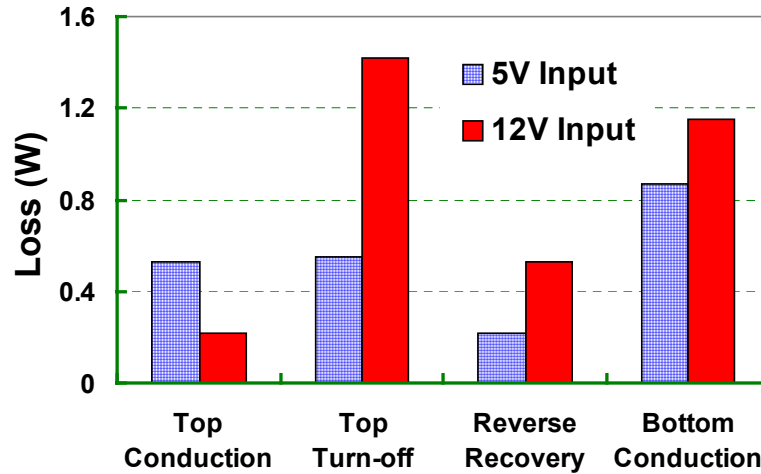


Fig. 2.30. VR loss breakdown with 5V and 12V input voltage.

A VR input voltage change from 5V to 12V increases loss significantly, thus dragging down VR efficiency. A four-phase interleaved buck VR prototype is built for evaluation purposes. Fig. 2.31 shows a photograph of the prototype. Fig. 2.32 shows the experimental test waveforms of the bottom switch gate signal (upper trace) and the phase node (lower trace) voltage when the input voltage is 12V. It is clearly shown that the duty cycle is very small. Fig. 2.33 shows the measured efficiency. The dashed curve is for 5V input and the solid curve is for 12V input. It is seen that with 5V input, the VR has pretty good efficiency, reaching 88% at full load; however, when with 12V input, the VR has much lower efficiency, dropping to 82% at full load. This shows the penalty brought by the high input voltage.

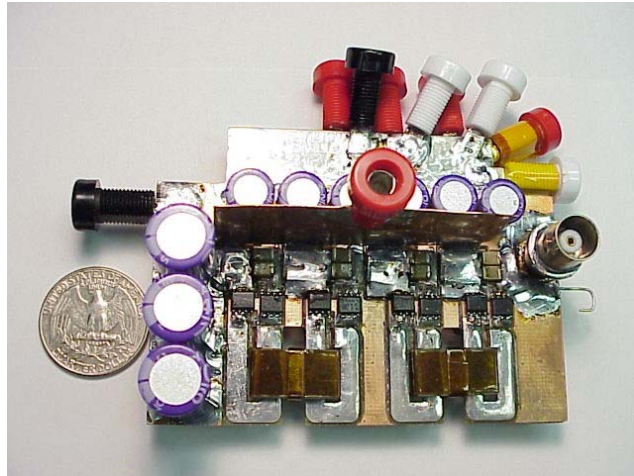


Fig. 2.31. Photograph of the four-phase interleaved buck VR prototype.

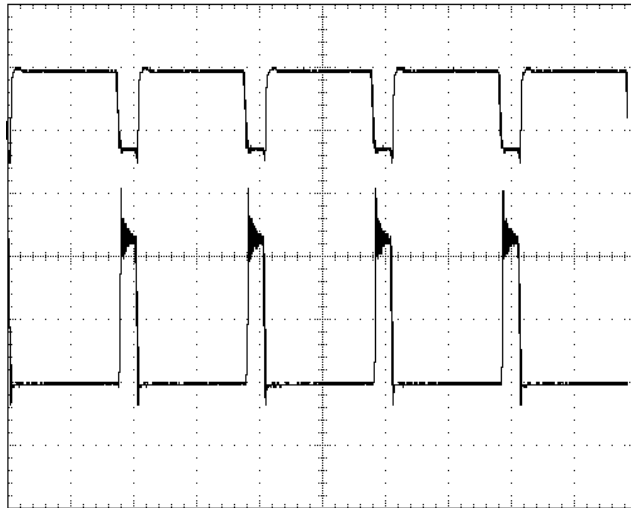


Fig. 2.32. Experimental test waveforms of the buck VR.

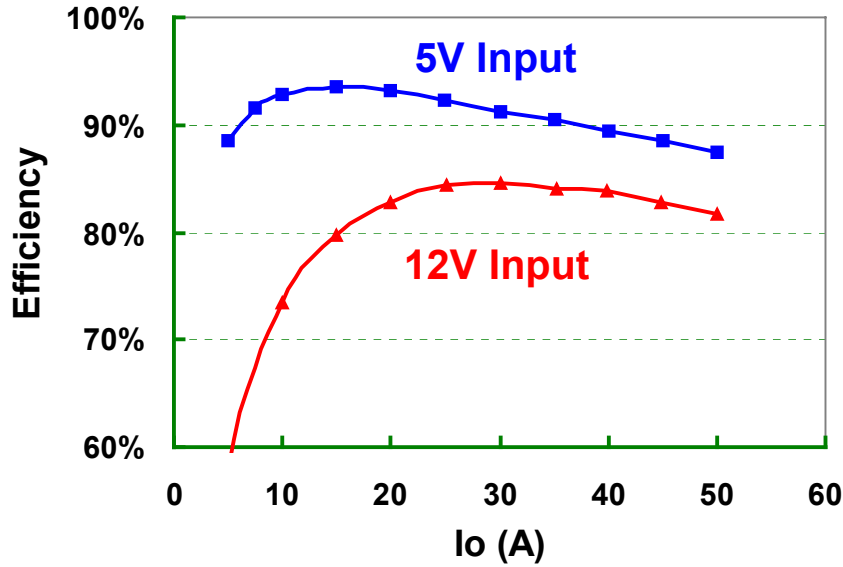


Fig. 2.33. Efficiency of the four-phase interleaved buck VR.

The 12V-input buck VR efficiency is much lower than the 5V-input buck VR. The low efficiency results in a large amount of heat generated by the VR. To increase efficiency, large inductance is used in today's 12V-input buck VRs. Based on formula (2.24), it can be seen that the larger  $L$  is, the smaller  $i_L$  ripple is. Fig. 2.34 (a) shows  $i_L$  waveform with 300nH and with 500nH inductances. It can be seen that with larger inductance  $i_L$  ripple is smaller. Fig. 2.34 (b) shows  $i_{top}$  waveform. The peak value is reduced from 19.8A to 16.8A, which reduces top switch turn-off loss. With a smaller ripple, the rms value of  $i_{top}$  is also reduced from 4.65A to 4.5A, which reduces top switch conduction loss. Fig. 2.34 (c) shows the bottom switch current waveform. After changing the inductance from 300nH to 500nH, the rms value is also reduced from 12.33A to 11.9A, which reduces the bottom switch conduction loss. Fig. 2.35 shows the loss estimation with 300nH and 500nH inductances. It is seen that with 500nH inductance the top switch conduction loss, the top switch turn-off loss, and the bottom switch conduction are reduced. On the other hand, the reverse recovery remains the same. Fig. 2.36 shows the measured efficiency. It is shown that using 500nH inductance improved efficiency by 4% at full load compared with using 300nH inductance.

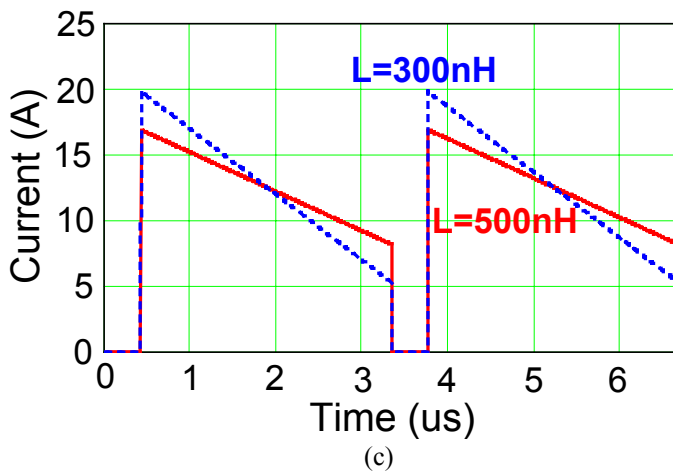
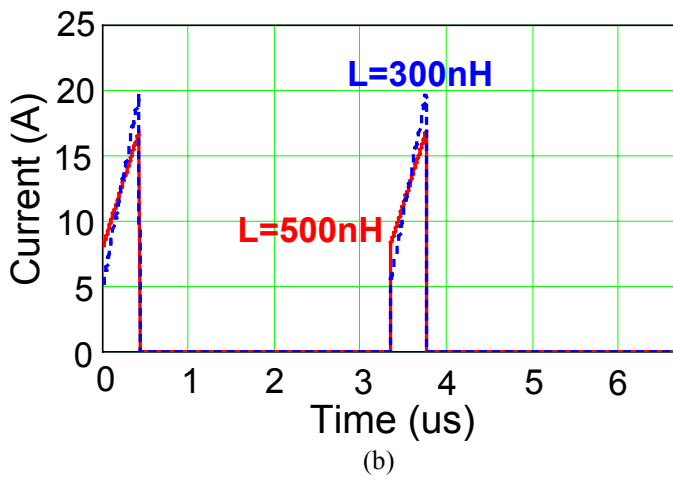
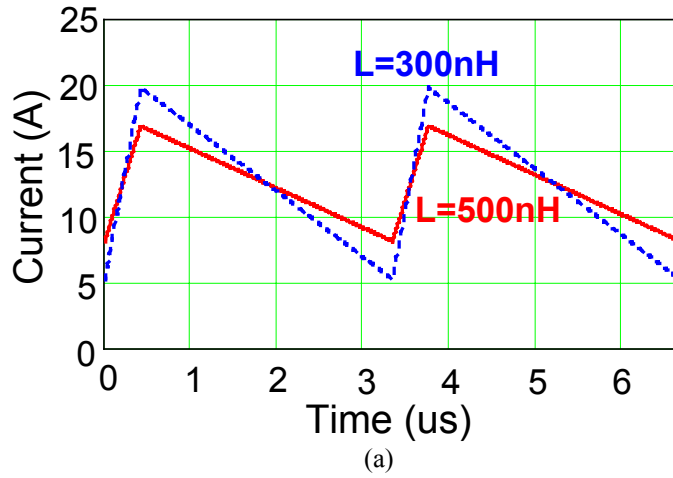


Fig. 2.34. Key waveforms in a buck VR when input voltage is 5 V and 12 V: (a) the inductor current, (b) the top switch current, and (c) the bottom switch current.

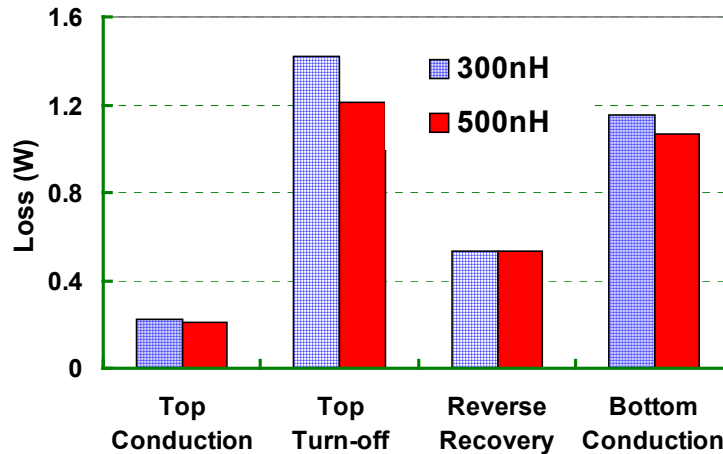


Fig. 2.35. VR loss breakdown with 300nH and 500nH inductance.

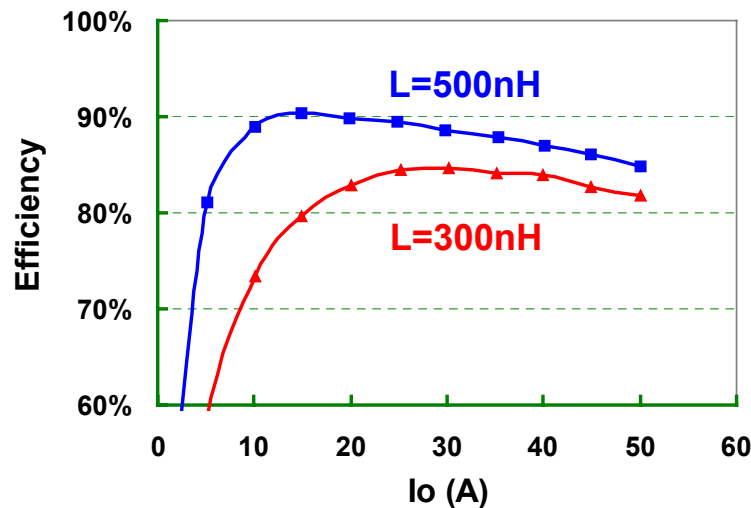


Fig. 2.36. Measured efficiency of a buck VR with 300nH and 500nH inductance.

It has been shown that the current VR practice uses large inductance to get higher efficiency. However, efficiency is not the only concern in a VR. As discussed previously, transient response is another important concern.

In addressing transient response, references [13][14] propose the concept of critical inductance as a tool to analyze transient response. To achieve fast transient response, VRs tend to use small inductance to accelerate the energy delivery. However, it is not always the case that smaller inductance provides faster transient response. Fig. 2.37 shows a simulated result of voltage spike as a function of inductance, with given control bandwidth  $f_c$ . The vertical axis is the voltage spike during transient response and the

horizontal axis is the inductance. It can be seen that there is a critical inductance  $L_{ct}$  that is the largest inductance that yields the best transient response. When  $L$  value is smaller than  $L_{ct}$ , the voltage spike is the same regardless of the  $L$  value; when  $L$  value is larger than  $L_{ct}$ , the voltage spike increases as  $L$  value increases.

The critical inductance is given by

$$L_{ct} = \frac{V_{in}}{4 \cdot \Delta I_o \cdot f_c} \cdot \Delta D_{max} \quad (2.27)$$

where  $V_{in}$  is the input voltage,  $\Delta I_o$  is the maximum current change,  $f_c$  is the control bandwidth, and  $\Delta D_{max}$  is the maximum allowed duty cycle change.

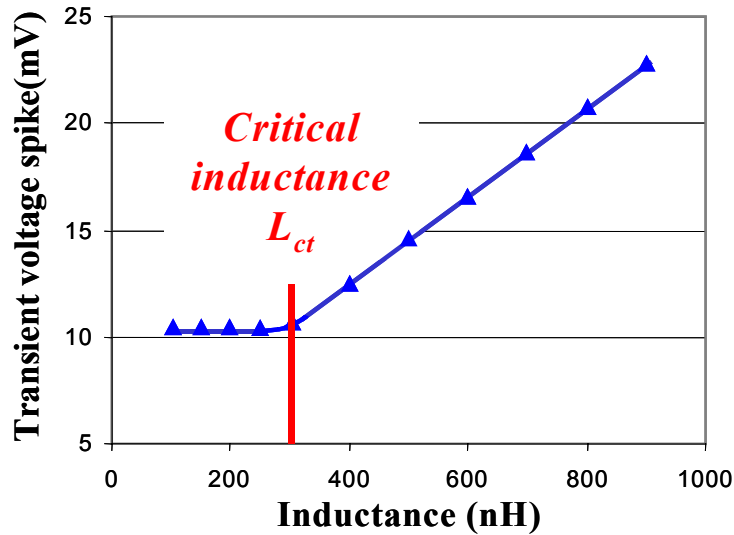


Fig. 2.37. Inductances smaller than  $L_{ct}$  give the same transient responses: The transient voltage spikes increase for inductances larger than  $L_{ct}$ .

Formula (2.27) reveals that the critical inductance  $L_{ct}$  is proportional to the allowed maximum duty cycle change during transient response. The duty cycle responds to the load change in two ways: it increases when the load steps up and decreases when the load steps down. Define the maximum duty cycle change for step up as  $\Delta D_{max1}$ , and then because the upper limit of duty cycle is 1, there is

$$\Delta D_{max1} = 1 - D \quad (2.28)$$

Define the maximum duty cycle change for step down as  $\Delta D_{max2}$ , and then because the lower limit of duty cycle is 0, there is

$$\Delta D_{max2} = D \quad (2.29)$$

It is apparent that step-up and step-down transients have different allowed  $\Delta D_{max}$ ; therefore there are two candidates for the critical inductance. To facilitate future discussion, the candidate suggested by step-up transient is marked as  $L_{ct1}$  and the one suggested by step-down transient is marked as  $L_{ct2}$ . For a buck converter, substitution of formula (2.28) into formula (2.27) yields

$$L_{ct1} = \frac{V_{in}}{4 \cdot \Delta I_o \cdot f_c} \cdot (1 - D) = \frac{V_{in} - V_o}{4 \cdot \Delta I_o \cdot f_c} \quad (2.30)$$

and substitution of formula (2.29) into formula (2.27) yields

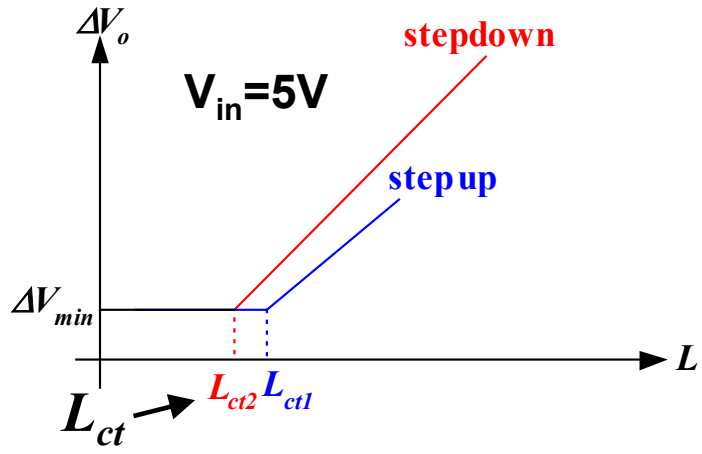
$$L_{ct2} = \frac{V_{in}}{4 \cdot \Delta I_o \cdot f_c} \cdot D = \frac{V_o}{4 \cdot \Delta I_o \cdot f_c} \quad (2.31)$$

Since the critical inductance  $L_{ct}$  is the largest inductance that yields the best transient response,  $L_{ct}$  should be the smaller of  $L_{ct1}$  and  $L_{ct2}$ .

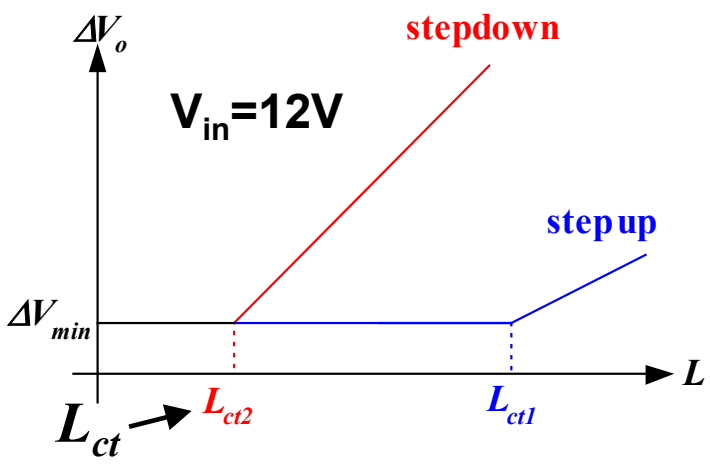
When old VRs work with 5V input and 2.2V output, the duty cycle  $D$  is 0.44; therefore  $\Delta D_{max1}=0.54$  and  $\Delta D_{max2}=0.46$ . In this case,  $L_{ct1}$  and  $L_{ct2}$  are not far away from each other. Fig. 2.38 (a) shows the transient voltage spikes as functions of inductance. There are two curves, one is for step up, and the other is for step down. The two curves are almost overlapping. The critical inductance  $L_{ct}$  is  $L_{ct2}$  and also is  $L_{ct1}$ . The voltage spikes are always the same for step up and step down transient responses.

Present VRs work with 12V input and sub 1V output. Due to the large voltage step-down ratio the steady-state duty cycle  $D$  is very small ( $\approx 0.1$ ). Formulas (2.28) and (2.29) indicate that  $\Delta D_{max1} \gg \Delta D_{max2}$ , and therefore  $L_{ct1} \gg L_{ct2}$ . Fig. 2.38 (b) shows this scenario. The step-up curve is far away on the right hand side of the step-down curve. Because the critical inductance  $L_{ct}$  is the smaller one of  $L_{ct1}$  and  $L_{ct2}$ , it is  $L_{ct2}$  in this case.





(a)



(b)

Fig. 2.38. The relationship of inductance  $L$  and transient voltage spike  $\Delta V_o$ : (a) for 5V-input buck VRs, and (b) for 12V-input VRs.

In the current 12V-input VR approach, the inductance is larger than the critical inductance in order to maximize VR efficiency. For example: for  $V_o=1.5V$ ,  $\Delta I_o=12.5A$  (per phase),  $f_c=100KHz$ , the critical inductance is  $L_{ct}=300nH$ . However, the normal practice of a commercial VR is around 500 ~ 1000nH range. Fig. 2.39 shows the transient voltage spikes vs. inductance with fixed control bandwidth  $f_c$  and amount of output ceramic capacitance  $C_o$ . Fig. 2.40 (a) shows the simulated transient voltage spikes when the real L value is equal to  $L_{ct}$ . It can be seen that step up and step down create same transient voltage spikes. Fig. 2.40 (b) shows the simulated transient voltage spikes when the real L value is larger than  $L_{ct}$ . It can be seen that step up creates a larger transient voltage spike than step down.

Using large inductance increases the step-down voltage spike. To maintain the same voltage spike magnitude, more capacitance is needed. Fig. 2.41 shows the simulation result of the transient voltage spike. After more capacitance is used, the transient voltage is reduced. This is the penalty of the large inductance used. The VR efficiency is increased, but the output capacitor size and cost are increased too.

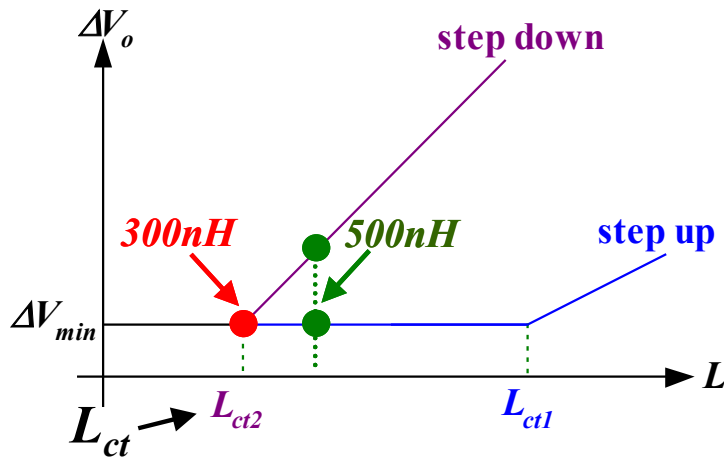
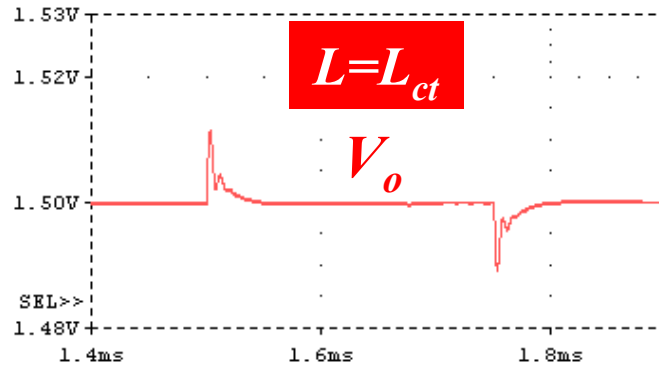
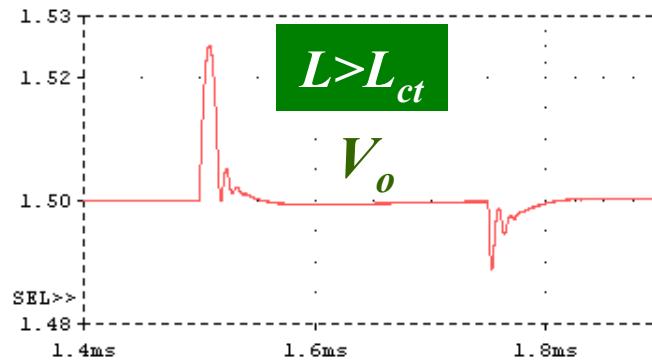


Fig. 2.39. Inductance impact on transient voltage spikes.



(a)



(b)

Fig. 2.40. Simulated transient voltage spikes: (a) For 5V-input VRs, and (b) for 12V-input VRs.

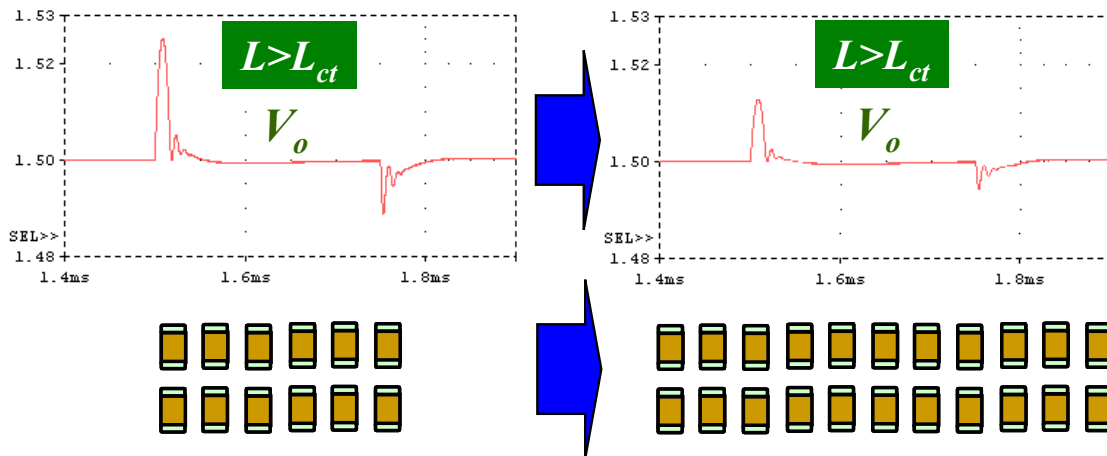
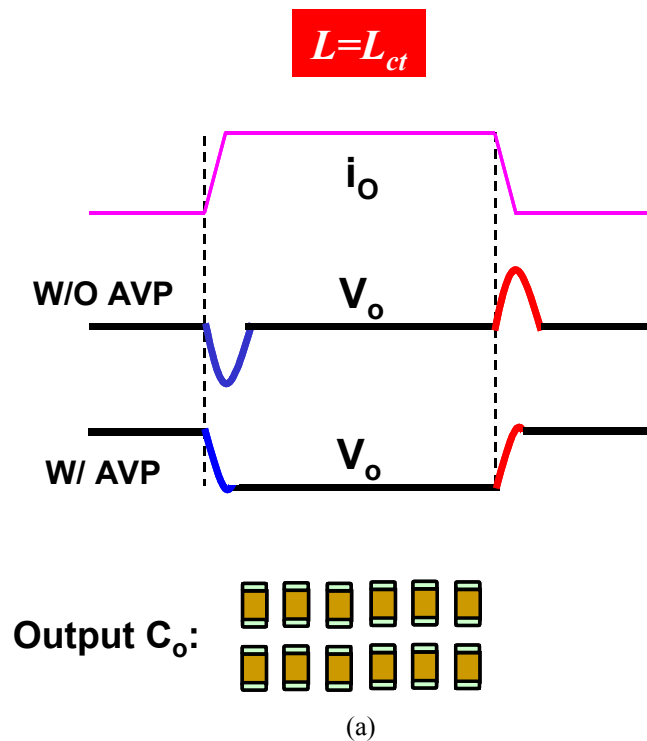


Fig. 2.41. Simulated transient voltage spikes with more output capacitors.

In today's VR design, adaptive voltage positioning (AVP) is a requirement for VR output voltage. Fig. 2.42 (a) shows the AVP design when the real  $L$  value is equal to  $L_{ct}$ . The first waveform is the load current  $i_o$ . The second waveform is the output voltage  $V_o$  without AVP; step down and step up creates same magnitude of voltage spikes. The third

waveform is the output voltage  $V_o$  with AVP. With AVP the peak-to-peak  $V_o$  spike magnitude is just the magnitude of the step down/up voltage spike. Fig. 2.42 (b) shows the AVP design when the real L value is larger than  $L_{ct}$ . The first waveform is the load current  $i_o$ . The second waveform is the output voltage  $V_o$  without AVP; step down creates a larger voltage spike than step up. Compared with Fig. 2.42 (a), the step-down voltage spike is kept the same at the cost of more output capacitance. The third waveform is the output voltage  $V_o$  with AVP. The dashed curve is the would-be  $V_o$  level after load steps up if no active AVP function is employed. In a real design, the active AVP function forces  $V_o$  to decrease to the desired level. So the peak-to-peak  $V_o$  spike magnitude with AVP is just the magnitude of the step down voltage spike.

From the above discussion, it can be seen that large inductance increases VR efficiency, but requires extra output capacitors to meet transient response requirement. Because future VR transient response requirement will be more stringent, many more output capacitors will be needed if large inductance is still used. VR size and cost will be significantly increased.



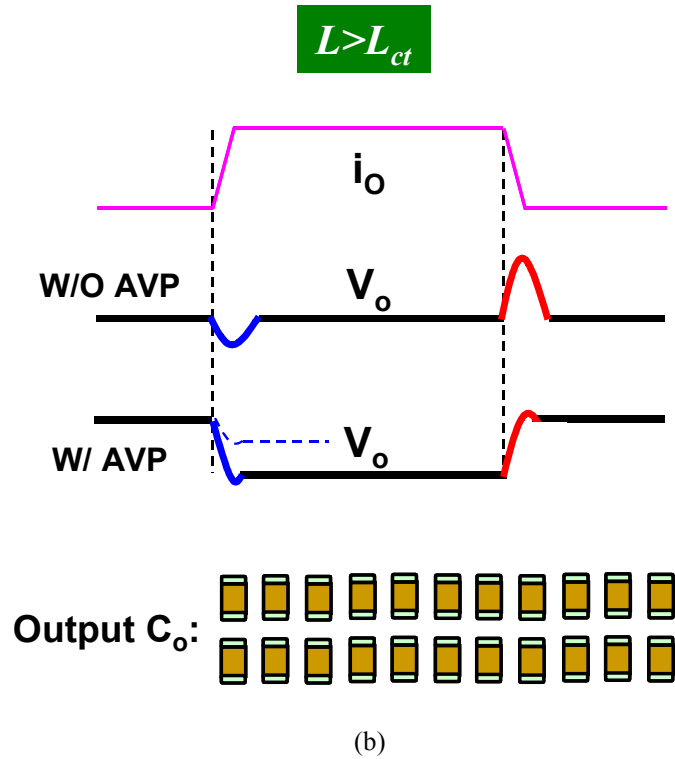


Fig. 2.42. Concept of adaptive voltage positioning (AVP): (a) when  $L < L_{ct}$ , and (b) when  $L > L_{ct}$ .

#### 2.4. Summary.

The previous discussion identifies several limitations of the current 12V-input multiphase buck converter as the solution for future VRs.

OSCON capacitor is one of the limitations: OSCON capacitors are presently widely used to handle the load transient. It has large size, large ESR and large ESL. The number of OSCON capacitors is determined by the ESR requirement, and the ESL effect needs substantial amount of ceramic capacitors to suppress. ESR and ESL being the bottleneck, the control bandwidth needs to be higher than 20KHz, but further raising of control bandwidth does not help to reduce capacitors. The OSCON solution creates very large size and is a questionable approach for future VRs.

Low switching frequency is another limitation: Ceramic capacitors don't have the limitations from the ESR and ESL, so the all-ceramic solution is a promising candidate. The higher the switching frequency, the less the ceramic capacitance needed. Analysis shows that the all-ceramic solution is a better solution than the OSCON solution when the

VR switching frequency exceeds a certain value, which is approximately 1MHz. However, 12V-input multiphase buck converter suffers low efficiency at high switching frequency, which rules out a legitimate chance for the current VR topology to benefit from high switching frequency. Therefore the current VRs switch at low frequency, which results in large VR size.

The large inductance is also a limitation. After VR input voltage changes from 5V to 12V, VR efficiency suffers due to the extreme duty cycle. Large inductance is used in the buck converter to reduce the switching loss and the conduction loss; therefore decent efficiency is achieved. But because the inductance used is larger than the critical inductance, the transient response gets worse. More output capacitors are needed to handle the load transient. This approach will require many more output capacitors for the future, which is bulky and costly.

A new VR solution is needed. The new VR solution needs to

- (1) use all-ceramic output capacitors to avoid the limitations of large ESR and ESL;
- (2) use the critical inductance as the L value to minimize the output capacitors;
- (3) have high efficiency at high switching frequency.

## Chapter 3. Innovative Topological Approach to Extend Duty Cycle

### — The Push-Pull Buck (PPB) Converter

#### 3.1. Benefits of Extending Duty Cycle.

At the end of last chapter, the three features of future VRs are identified. The technical barriers of using all-ceramic capacitors, using critical inductance, and operating at high switching frequency all come down to low efficiency. In Chapter 2, it is shown that high switching frequency can reduce the capacitor size and cost. The all-ceramic solution is of smaller size and lower cost when the switching frequency reaches 1MHz. But high switching frequency decreases VR efficiency. Desktop computers are cost sensitive. This fact places bounds on the effective power removal capabilities of the chassis, which means high efficiency is a must. Thus the current 12V-input multiphase buck solution does not benefit from high switching frequency.

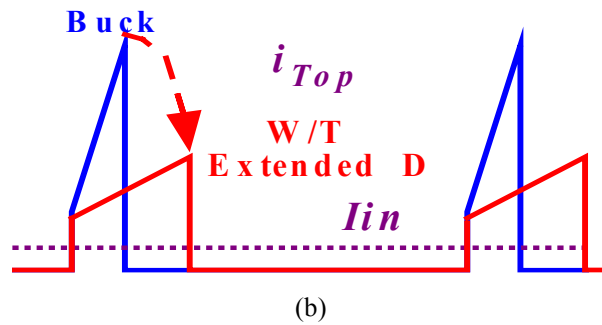
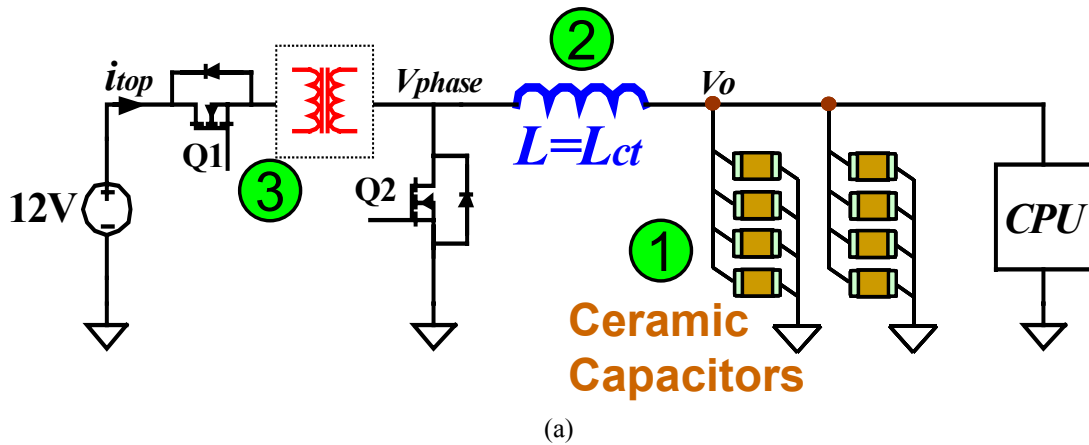
In Chapter 2, it is also concluded that the extreme duty cycle in the 12V-input multiphase buck solution is the main reason of low efficiency. The extreme duty cycle increases switching loss and reverse recovery loss, which are frequency-related and increase greatly when VRs work with high switching frequency; therefore VRs suffer low efficiency. With the 12V-input multiphase buck converter facing great challenges as the solution for future VRs, a lot of effort has been made in searching for alternatives [15] ~ [29].

Since the extreme duty cycle is the fundamental limitation of current buck VRs, it is a natural thinking to extend the duty cycle. However, for buck VRs, because the duty cycle is given by  $D = V_o / V_{in}$ , where  $V_o$  is the specified output voltage and  $V_{in}$  is the given input voltage, it cannot be extended. To extend the duty cycle, the buck converter needs to be modified.

Fig. 3.1 (a) shows a conceptual solution for future VRs. The three desired features are marked and illustrated: The first one is the output capacitors — it uses ceramic capacitors; the second one is the inductance — it uses critical inductance; and the third

one is the improved efficiency — it uses the transformer concept to extend duty cycle to improve efficiency. A transformer introduces an additional design variable — the turn's ratio  $n$ , which allows one to modify the duty cycle. Fig. 3.1 (b) and (c) show the waveforms of the top switch current  $i_{top}$  and the phase voltage  $v_{phase}$  before and after the duty cycle is extended. When the duty cycle is extended, to deliver the same amount of average input current  $I_{in}$ ,  $i_{top}$  waveform is fatter and shorter; therefore the turn-off value is much lower. This reduces the top switch turn-off loss. To get the same  $V_o$ , which is the average value of  $v_{phase}$ ,  $v_{phase}$  waveform is also fatter and shorter with an extended duty cycle. This reduces the bottom switch reverse-recovery loss.

The previous discussion has shown that VR efficiency needs to be improved in order to gain capacitor reduction through high switching frequency. The extreme duty cycle is the fundamental limitation for increasing efficiency. Using the transformer concept to extend the duty cycle is a good approach to improve the VR efficiency [24].





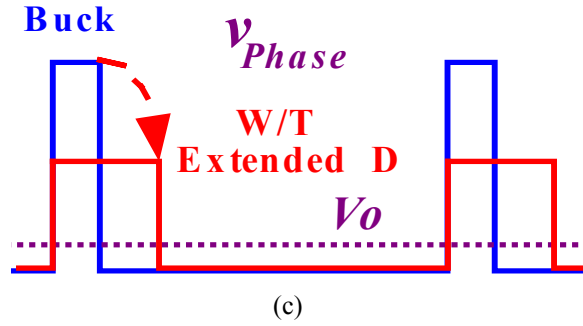


Fig. 3.1. Extending duty cycle: (a) using transformer concept to extend duty cycle (b) extending duty cycle reduces top switch turn-off current; and (c) extending duty cycle reduces the phase voltage.

The tapped-inductor buck converter [23] is the simplest implementation of the transformer concept to extend the duty cycle. Fig. 3.2 (a) shows the schematic and Fig. 3.2 (b) shows the operation principle. The tapped-inductor buck converter uses a tapped inductor instead of the simple inductor in the buck. The bottom switch is connected to the tapped node of the inductor; therefore the voltage swing at the phase node is from ground to  $(V_{in} - V_o)/n + V_o$ , where  $n$  is the turn's ratio as defined in Fig. 3.2 (a). Since  $V_o$  is the average value of  $v_{phase}$ , there is

$$D \cdot \left[ \frac{V_{in} - V_o}{n} + V_o \right] = V_o \quad (3.1)$$

Solutions to equation (3.1) yield

$$D = \frac{n \cdot V_o}{V_{in} + (n-1) \cdot V_o} \quad (3.2)$$

and

$$\frac{V_o}{V_{in}} = \frac{D}{D + n \cdot (1-D)} \quad (3.3)$$

Equation (3.2) gives the duty cycle value and equation (3.3) gives the voltage conversion gain of the tapped-inductor buck converter. The duty cycle can be extended through choice of  $n$ . Fig. 3.3 shows the duty cycle comparison between the buck and the tapped-inductor buck with different choices of  $n$ . The vertical axis is the voltage gain of the converters and the horizontal axis is the duty cycle. The dashed line is the case when

$V_{in}=12\text{V}$  and  $V_o=1.5\text{V}$ , so the voltage gain is 0.125. A buck converter needs a duty cycle equal to 0.125 to do the conversion; a tapped-inductor buck converter with  $n=2$  needs a duty cycle equal to 0.222 to do the conversion; a tapped-inductor buck converter with  $n=3$  needs a duty cycle equal to 0.3 to do the conversion; etc. The trend is that a larger  $n$  extends the duty cycle more.

Similar to that in a buck converter, the output inductor  $L$  is defined as the inductor between the phase node and the output. Accordingly, the inductance formed by the  $n$ -turn structure is  $n^2L$ . The inductor of  $n^2L$  “bucks”  $V_{in}-V_o$  during Q1 conduction. When Q1 turns off, all the energy stored in  $n^2L$  is transferred to  $L$  through magnetic coupling; so the inductor current  $i_L$  is jumping, which causes additional switching ripple at  $V_o$ . This is one disadvantage of the tapped-inductor buck converter.

There is another serious issue for the tapped-inductor buck converter: the leakage inductor associated with the tapped-inductor structure, as marked as  $L_k$  in Fig. 3.2 (a). Since  $L_k$  is in series with Q1, when Q1 turns off, the energy stored in  $L_k$  causes a voltage spike across Q1 as the waveform  $V_{DS1}$  in Fig. 3.2 (b) shows. If the energy stored in  $L_k$  is large enough, the voltage spike can be higher than the maximum drain-source voltage rating of Q1, therefore Q1 is broken down; also that the energy stored in the  $L_k$  is purely dissipated when Q1 turns off is bad from the efficiency point of view.

A four-phase interleaved tapped-inductor buck VR prototype is built. The design specifications are the same as that of the benchmark buck built in Chapter 2:  $V_{in}=12\text{V}$ ,  $V_o=1.5\text{V}$ ,  $I_o=50\text{A}$ , and  $f_s=300\text{KHz/phase}$ . The same devices are used here as in the benchmark buck converter. The top switches are Si4884DY (30V,  $R_{ds}=10.5\text{m}\Omega$ ,  $Q_{gd}=4.8\text{nC}$ ) and the bottom switches are Si4874DY (30V,  $R_{ds}=7.5\text{m}\Omega$ ,  $Q_g=35\text{nC}$ ). Fig. 3.4 shows the magnetic structure. Each tapped inductor consists of a two-turn structure and the drain of the bottom device is connected to the middle node of the two turns. The output inductor value  $L$  is designed equal to 300nH, which is also the inductance used in the benchmark buck converter.

In Fig. 3.5 is a photograph of the prototype. Experimental test waveforms are given in Fig. 3.6. From top to bottom, the traces are: the top switch current  $i_{Q1}$ ; gate signal of the bottom switch  $V_{gs2}$ ; top switch drain-source voltage  $V_{DS1}$ ; and bottom switch drain-source voltage  $V_{DS2}$ . It is seen that with extended duty cycle,  $i_{Q1}$  waveform is extended, which

will result in lower turn-off value. However, the  $V_{DSI}$  waveform has a very obvious voltage spike. The measured efficiency is plotted in Fig. 3.7. Compared with the buck converter, the tapped-inductor buck converter improves efficiency. When the load current is low, Q1 can survive the drain-source voltage spike; when the load current increase, the voltage spike becomes higher as the energy stored in  $L_k$  increases. When the load reaches 40A, Q1 is broken down and the circuit fails. Efficiency beyond that point is therefore not available.

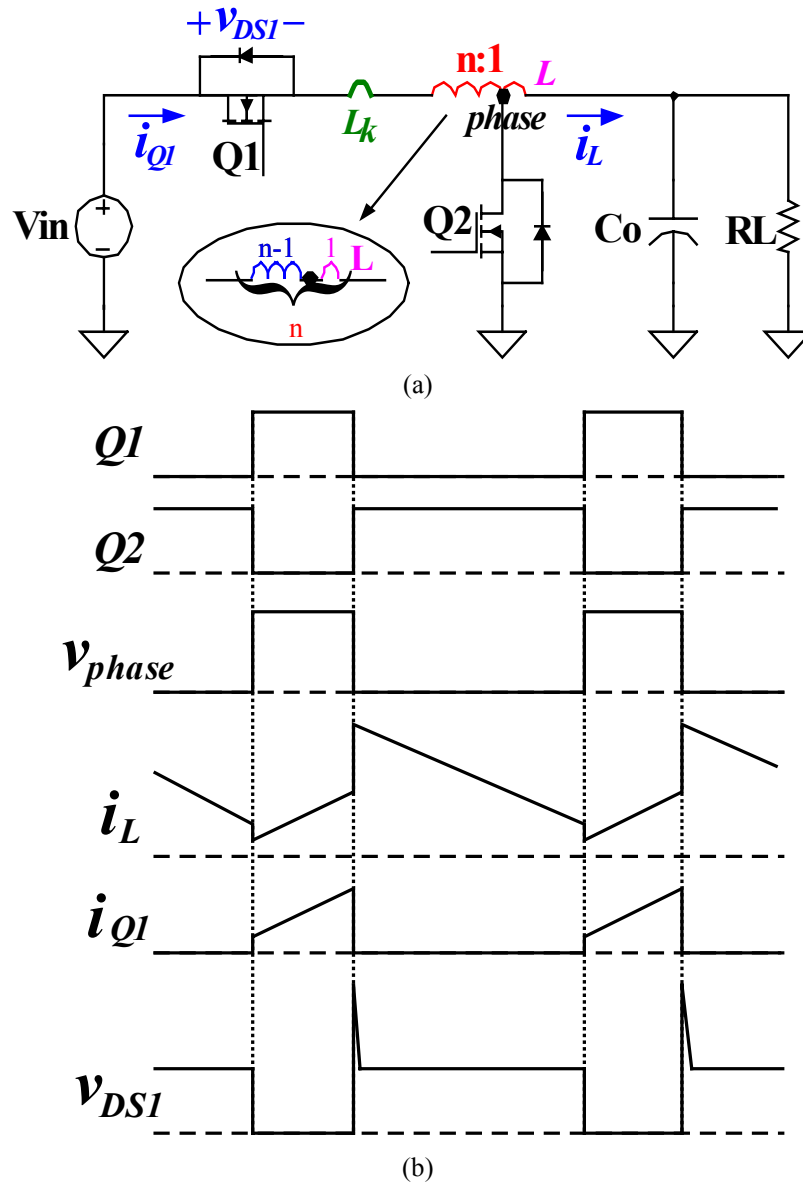


Fig. 3.2. The tapped-inductor buck converter: (a) the schematic; and (b) the operation principle.

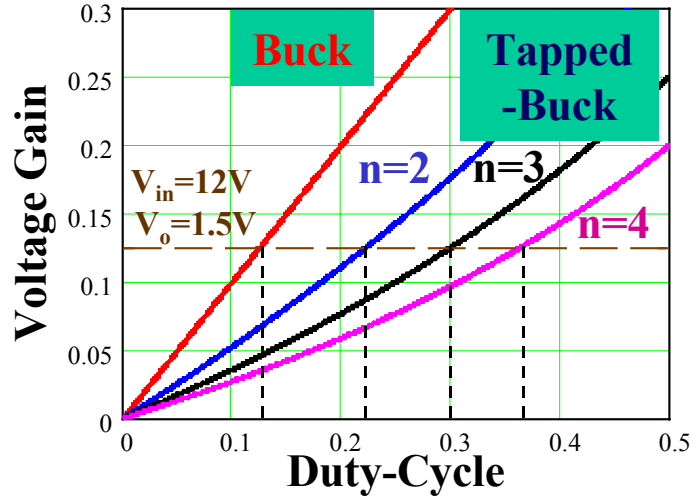


Fig. 3.3. Comparison of the duty cycles of buck converter and tapped-inductor buck converter.

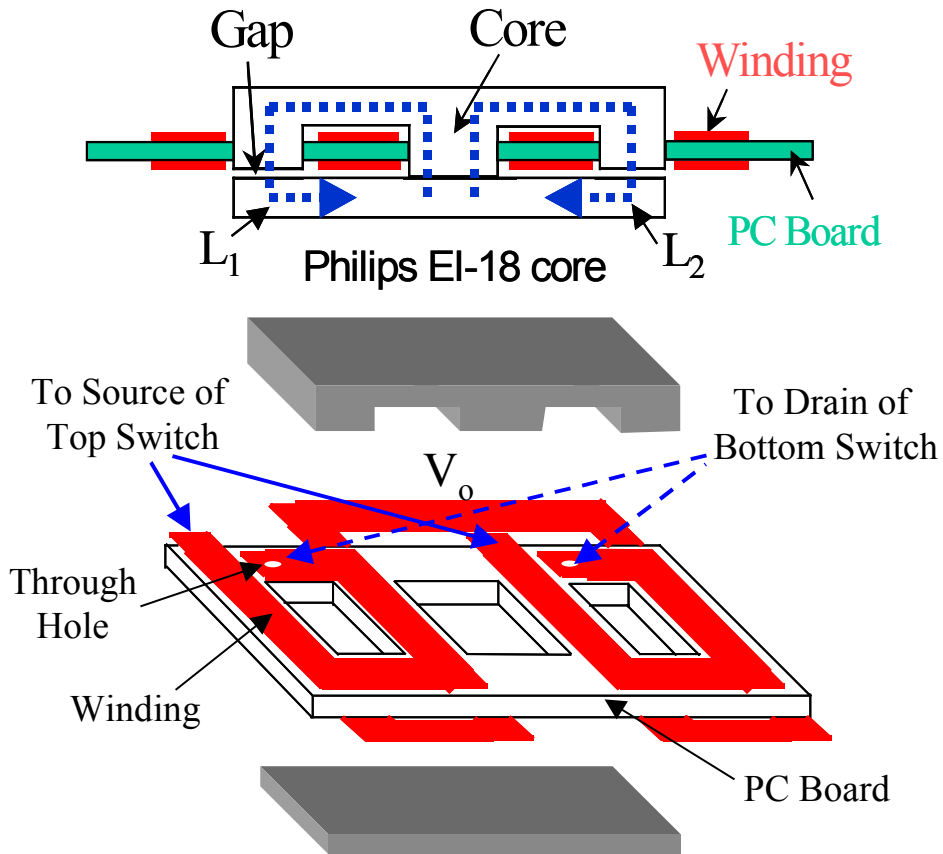


Fig. 3.4. Integrated-magnetic implementation of the tapped-inductor buck VR.

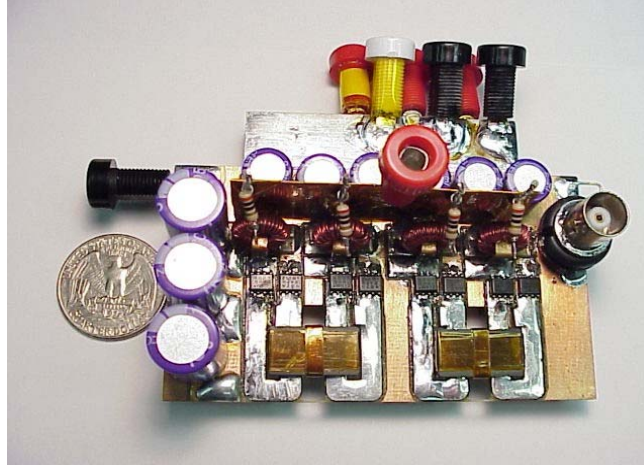


Fig. 3.5. Photograph of the four-phase interleaved tapped-inductor buck VR prototype.

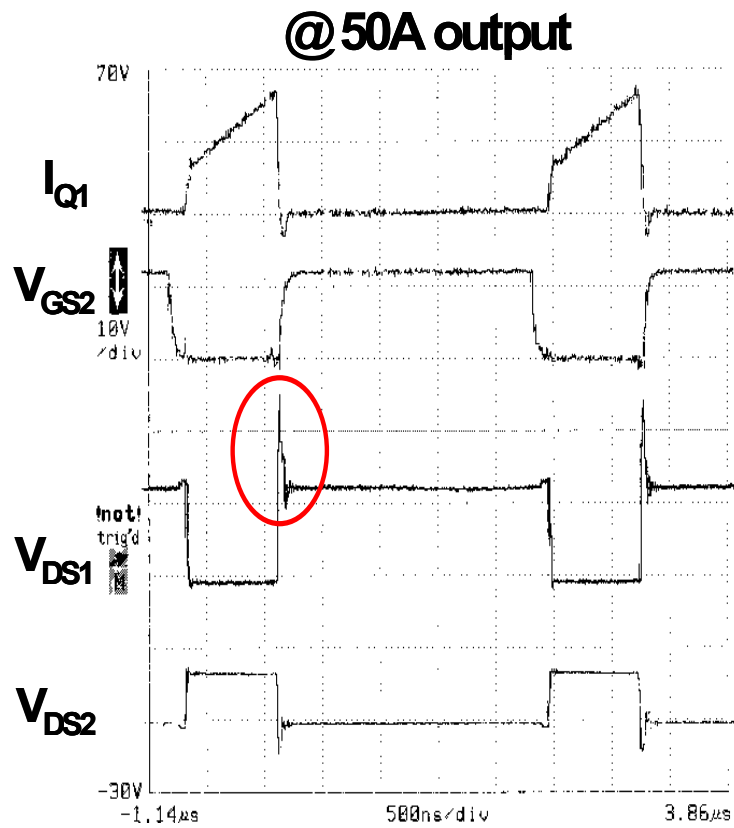


Fig. 3.6. Test waveforms of the tapped-inductor buck VR.

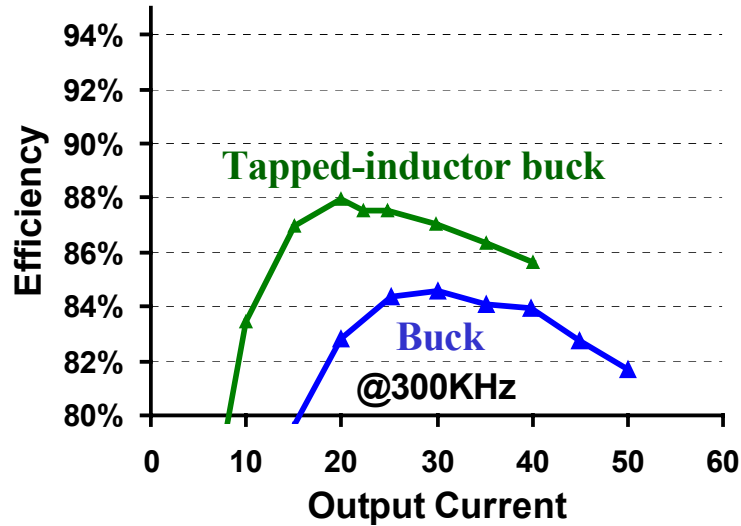


Fig. 3.7. Measured efficiency of the tapped-inductor buck VR.

Although the tapped-inductor buck converter itself is not a successful solution, it does verify that extending the duty cycle helps to increase efficiency. The efficiency curves shown in Fig. 3.7 show a promising trend that converters with extended duty cycle can improve efficiency. The tapped-inductor buck converter is the simplest implementation, but cannot accomplish the job. Its failure unveils one important issue: the transformer leakage inductance. When a transformer is used to extend the duty cycle, the energy stored in the leakage inductor needs to be handled properly; otherwise it may be detrimental.

### 3.2. The Proposed Push-Pull Buck (PPB) Converter.

Topologies with transformers are widely used in isolated converters; leakage inductor causing voltage spike is an old problem that has existed in many cases for a long time. There are several general ideas to solve this problem: use a snubber circuit; use a clamp circuit; or do soft switching.

Fig. 3.8 shows a push-pull converter with current-doubler.  $L_{k1}$  and  $L_{k2}$  are the leakage inductors of the transformer primary windings. Just as in the tapped-inductor buck converter, the leakage inductors cause voltage spikes across primary switches Q1 and Q2. References [30] ~ [32] present the push-pull forward (PPF) converter, as shown in Fig. 3.9. To simplify the drawing, the leakage inductors are not drawn any more. The clamp capacitor  $C_C$  and the body-diodes of the primary switches form paths for the leakage

inductor currents to flow during the primary switches off period. This simple concept helps to clamp the voltage spike across the primary switches at  $C_C$  voltage. In addition, the energy stored in the leakage inductors is recovered, which helps to increase efficiency.

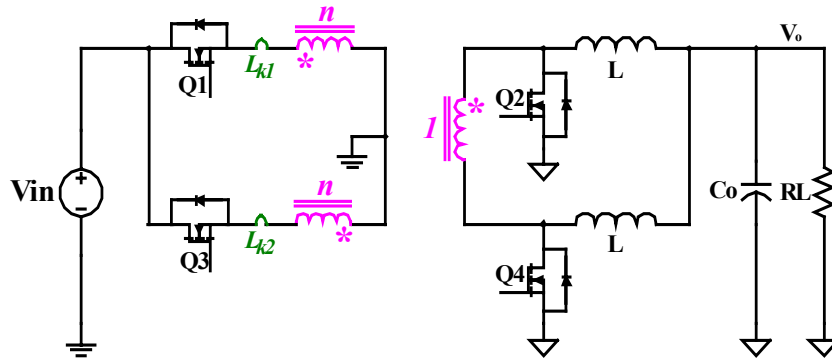


Fig. 3.8. The push-pull converter with current doubler.

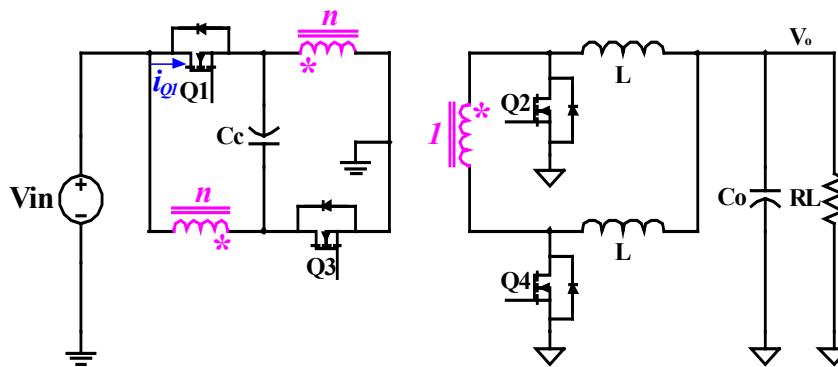


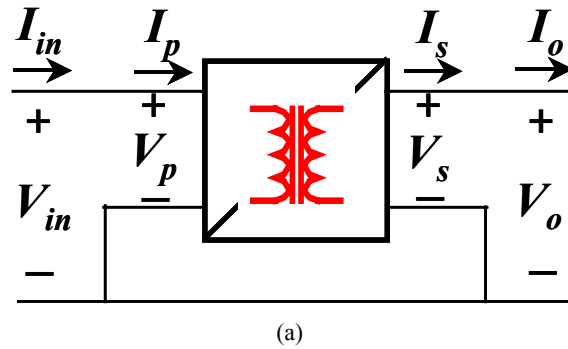
Fig. 3.9. The push-pull forward converter with current doubler.

The PPF converter is developed for 48V-input transformer-isolated VR application. The clamp method is developed to solve problem caused by the transformer leakage inductors. However, after the transformer concept is plugged in, the non-isolated VR in nature greatly resembles the isolated VR, although the transformer is not used as an isolation method. Therefore the solutions developed for isolated converters can be applied to the non-isolated VR with some minimum modification.

The PPF converter is a success for 48-input isolated VR; this motivates one to modify it to fit in the non-isolated VR application. The most straightforward modification is to connect the primary and secondary grounds together. But that's just a non-isolated PPF converter.

Reference [33] discusses a general concept in improving converter efficiency. Fig. 3.10 (a) shows a conventional connection making a non-isolated converter out of an isolated converter simply by connecting the primary and secondary grounds together.  $V_{in}$  and  $V_o$  are converter input and output voltages;  $I_{in}$  and  $I_o$  are converter input and output currents;  $V_p$  and  $V_s$  are transformer primary and secondary voltages; and  $I_p$  and  $I_s$  are transformer primary and secondary currents. Fig. 3.10 (b) shows the connection with the direct-energy-transfer concept. With this concept,  $V_s$  is still  $V_o$ , but  $V_p$  is no longer  $V_{in}$ , instead,  $V_p$  is  $V_{in}-V_o$ . Also, the transformer primary current  $I_p$  is directed to the converter output to supply the load together with the transformer secondary current  $I_s$ . So there is  $I_o=I_s+I_p$ . There are two parts of transferred energy in  $I_o$ : One is through the transformer coupling, represented by  $I_s$ ; the other is directly transferred, represented by  $I_p$ . Compared with Fig. 3.10 (a), where the energy is transferred through pure transformer coupling, Fig. 3.10 (b) is a more efficient way.

The PPF converter can be modified following the concept shown in Fig. 3.10 (b). In addition to connecting the primary and secondary grounds together, the transformer primary current is directed to the output. Fig. 3.11 shows the result of the derivation — the proposed push-pull buck (PPB) converter [28]. The PPB converter features some direct energy transfer in addition to transformer coupling, which makes it more efficient than the PPF converter.





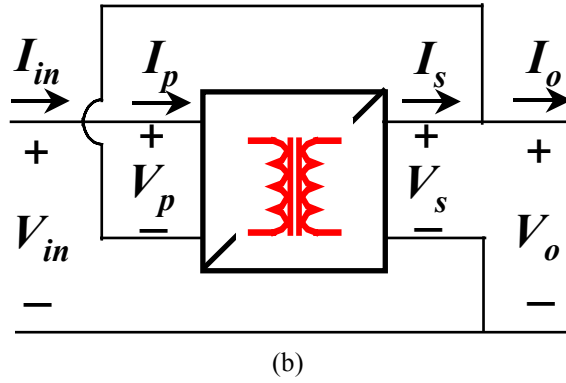


Fig. 3.10. A general concept of direct energy transfer: (a) Conventional connection, and (b) with the direct-energy-transfer concept.

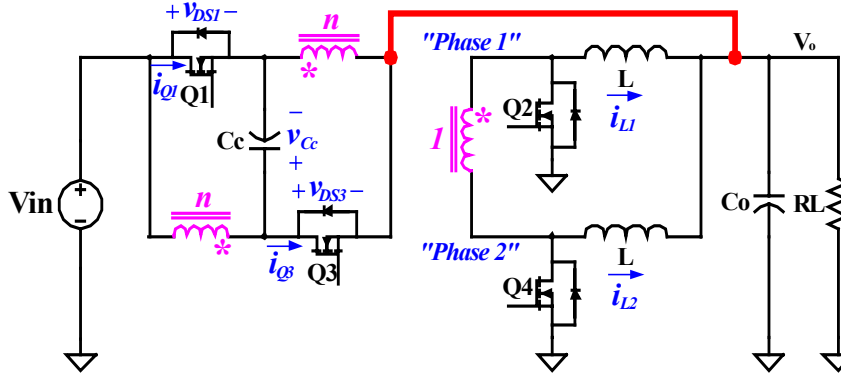


Fig. 3.11. The proposed push-pull buck (PPB) converter with current doubler.

Fig. 3.12 shows the operation principle of the PPB converter. The transformer turns ratio is  $n:1$ .

$V_{C_c}$  is the voltage across the clamp capacitor  $C_c$ . Because the transformer primary windings cannot sustain DC voltage,  $V_{C_c}$  is  $V_{in} - V_o$ .

During  $t_1 \sim t_2$  Q1 is on, Q2 is off and Q4 is on for inductor  $L_2$  current freewheeling; the converter is in the energy transfer mode. Fig. 3.13 (a) shows the equivalent circuit. The voltage applied to both primary windings is  $V_{in} - V_o$ . Through transfer coupling, “phase 1” voltage potential is  $(V_{in} - V_o)/n$ , thus the inductor  $L_1$  current  $i_{L1}$  is charged up. In the meantime, the transformer primary winding current  $i_l$  directly goes to the output as well.

During  $t_2 \sim t_3$  is the reset of leakage inductor current. Fig. 3.13 (b) shows the equivalent circuit. Q1 has just been turned off. The leakage inductor  $L_{kl}$  current has to continue flowing, so it goes through the body diode of Q3 and the clamp capacitor  $C_c$  to

form a path. In the meantime, the leakage inductor  $L_{k2}$  current also finds a path through body diode of Q3 to go the  $V_{in}$ . By doing so the voltage across Q1 is clamped at  $V_{in}-V_o$ . In this mode,  $i_1$  linearly decreases;  $i_2$ , originally negative, linearly decreases to zero and then linearly increases. When  $i_1=i_2$ , this mode ends.

During  $t_3\sim t_4$  is the freewheeling mode. Fig. 3.13 (c) shows the equivalent circuit. Q2 and Q4 are “on” to provide freewheeling paths for output inductor currents. Transformer primary currents  $i_1=i_2$  and go through  $C_C$  to the output. Note that the transformer primary windings are in anti-series now, therefore the transformer secondary winding doesn't carry any load current. Also because  $V_{Cc}=V_{in}-V_o$ , the leakage inductors do not see any voltage-second so their currents remain constant.

Since  $t_4\sim t_5$  is the dual mode of  $t_1\sim t_2$ ,  $t_5\sim t_6$  is the dual mode of  $t_2\sim t_3$ , and  $t_6\sim t_7$  is the dual mode of  $t_3\sim t_4$ , these are not discussed.

In view of the fact that  $V_o$  is the average value of  $v_{phase}$ , there is

$$D \cdot \frac{V_{in} - V_o}{n} = V_o \quad (3.4)$$

where  $D$  is defined as

$$D = \frac{T_1}{T_s} \quad (3.5)$$

in which  $T_1$  is the duration of mode  $t_1\sim t_2$  and  $T_s$  is the duration of a full switching cycle. Solutions to equation (3.4) yield

$$D = \frac{n \cdot V_o}{V_{in} - V_o} \quad (3.6)$$

and

$$\frac{V_o}{V_{in}} = \frac{D}{D + n} \quad (3.7)$$

Formula (3.6) shows that the duty cycle can be extended through choices of a large  $n$ . A design example:  $V_{in}=12\text{V}$ ,  $V_o=1.5\text{V}$ ,  $n=2$ , will yield  $D=0.286$ .

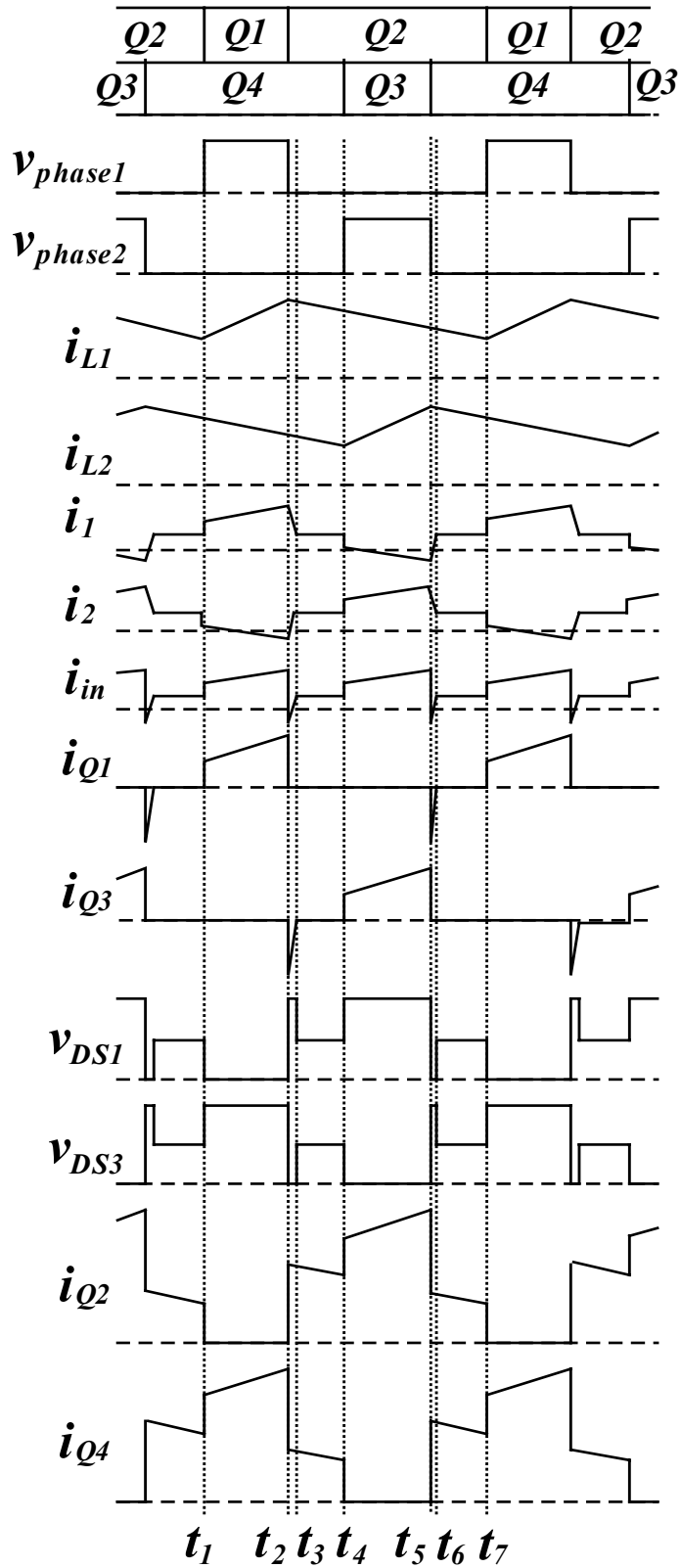


Fig. 3.12. The operation principle of the proposed Push-Pull Buck (PPB) converter.

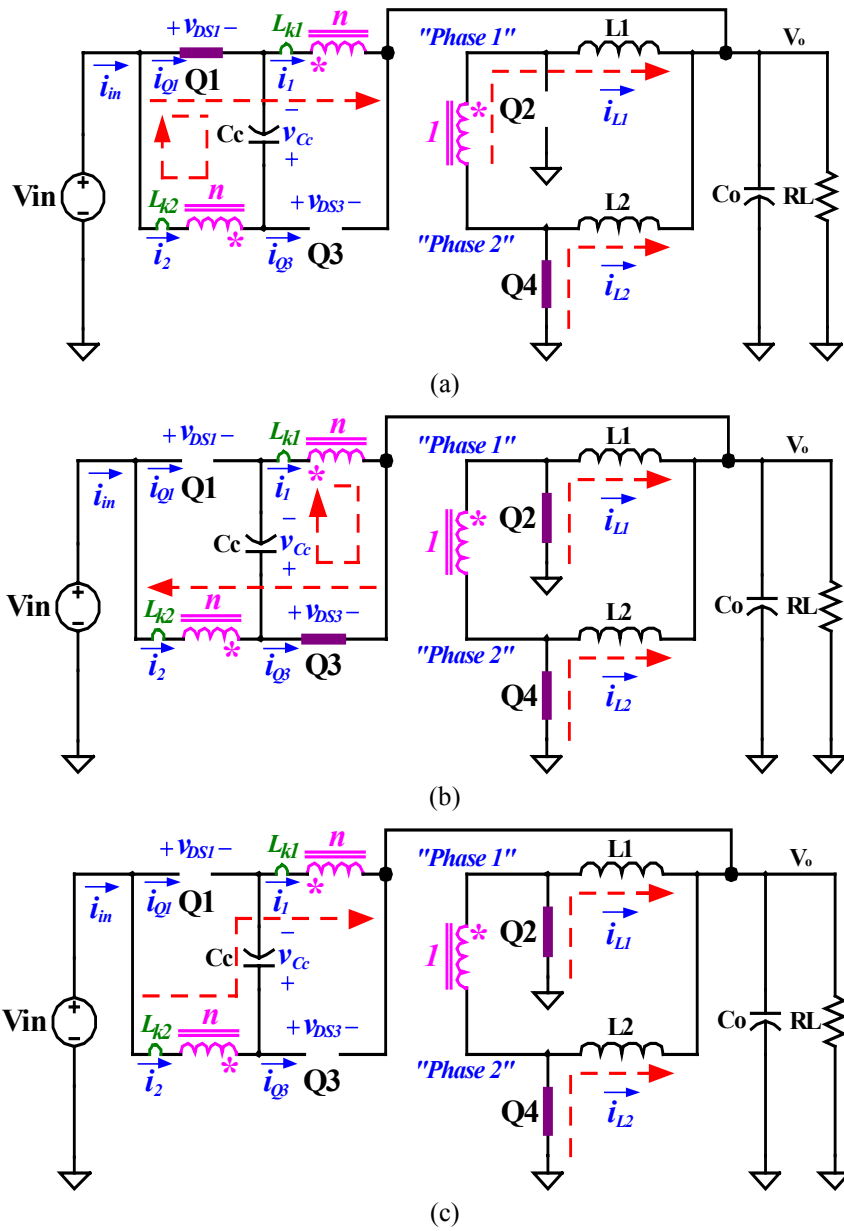


Fig. 3.13. The equivalent circuit of the push-pull buck converter: (a) During  $t_1 \sim t_2$ ; (b) during  $t_2 \sim t_3$ ; and (c) during  $t_3 \sim t_4$ .

Fig. 3.14 (a) shows the comparison of the key waveforms of the PPB converter and the PPF converter. In both converters,  $V_{in}=12\text{V}$ ,  $V_o=1.5\text{V}$ ,  $n=2$ ,  $f_s=300\text{KHz}$ ,  $L=300\text{nH}$ , and  $I_o=25\text{A}$ . From top to bottom, the waveforms are: top switch current  $i_{top}$ , bottom switch current  $i_{bottom}$ , and the phase voltage  $v_{phase}$ . The PPF converter waveforms are shown in dotted lines, and the PPB converter waveforms are shown in solid lines. The turn-off value of  $i_{top}$  is 8.5A in the PPB converter as opposed to 9.8A in the PPF converter, which helps to reduce the top switch turn-off loss in the PPB converter. The rms value of  $i_{bottom}$  is 14A in the PPB converter, as opposed to 16A in the PPF converter, which helps to reduce the bottom switch conduction loss in the PPB converter. The peak value of  $v_{phase}$  is 5.25V in the PPB converter, as opposed to 6V in the PPF converter, which helps to reduce the bottom switch reverse-recovery loss in the PPB converter. From this example, it is shown that the PPB converter is more efficient than the PPF converter. The advantage of the PPB converter comes from the direct energy transfer.

Fig. 3.14 (b) shows the comparison of the key waveforms of the PPB converter and the two-phase buck converter. In both converters,  $V_{in}=12\text{V}$ ,  $V_o=1.5\text{V}$ ,  $f_s=300\text{KHz}$ ,  $L=300\text{nH}$ , and  $I_o=25\text{A}$ . The transformer turn's ratio is  $n=2$  in the PPB converter. From top to bottom, the waveforms are: top switch current  $i_{top}$ , bottom switch current  $i_{bottom}$ , and the phase voltage  $v_{phase}$ . The buck converter waveforms are shown as dotted lines, and the PPB converter waveforms are shown as solid lines. The turn-off value of  $i_{top}$  is 8.5A in the PPB converter as opposed to 20.2A in the buck converter, which helps to substantially reduce the top switch turn-off loss. The rms value of  $i_{bottom}$  is 14A in the PPB converter as opposed to 11.2A in the buck converter, which increases the bottom switch conduction loss a little bit. The peak value of  $v_{phase}$  is 5.25V in the PPB converter as opposed to 12V in the buck converter, which helps to greatly reduce the bottom switch reverse-recovery loss.

Fig. 3.15 shows the loss comparison between the PPB converter ( $n=2$ ) and the two-phase buck converter. In both converters, the top switches are Si4884DY (30V,  $R_{ds}=10.5\text{m}\Omega$ ,  $Q_{gd}=4.8\text{nC}$ ) and the bottom switches are Si4874DY (30V,  $R_{ds}=7.5\text{m}\Omega$ ,  $Q_g=35\text{nC}$ ). From Fig. 3.15 it can be observed that with an extended duty cycle the PPB converter is able to substantially reduce the top switch turn-off loss and the reverse

recovery loss. Meanwhile the PPB converter has larger conduction than the buck converter. The net result is that the PPB converter has less loss than the buck converter.

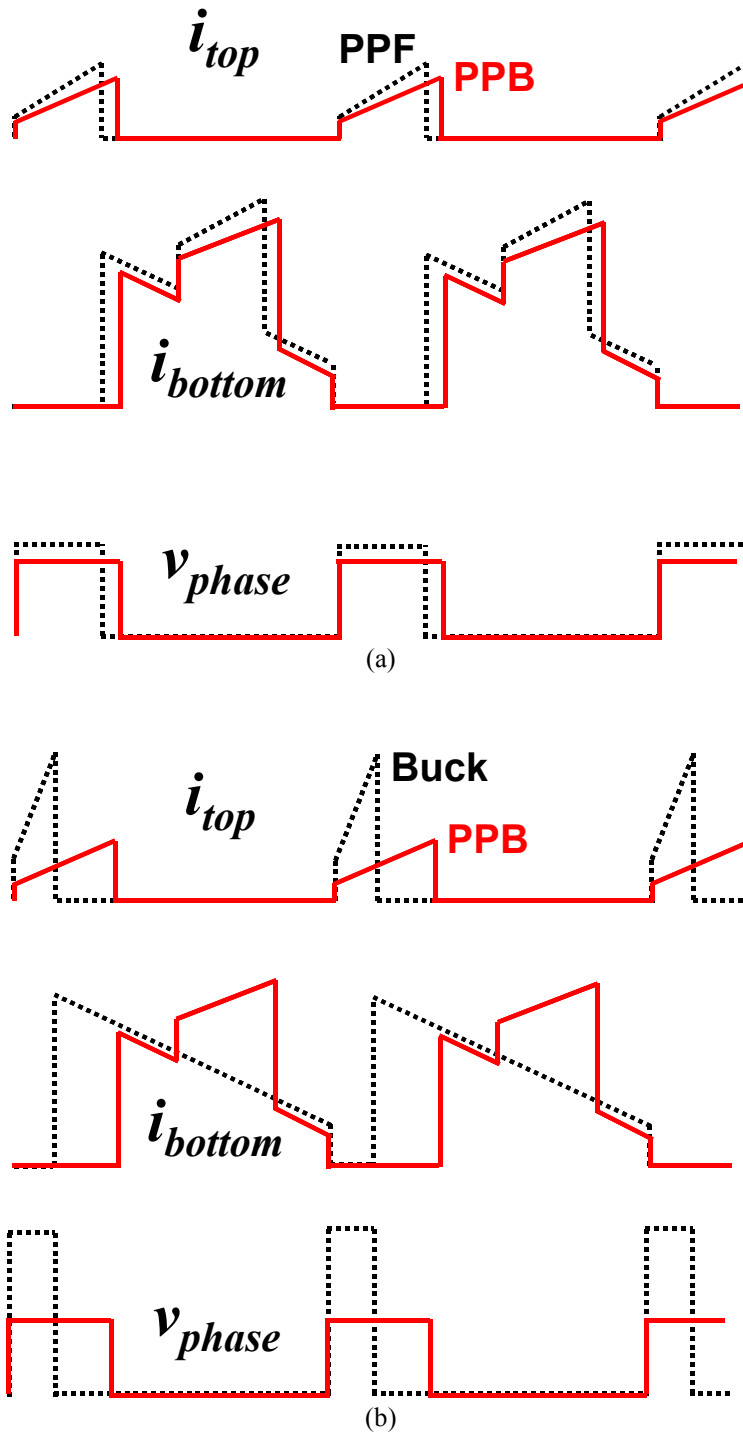


Fig. 3.14. Comparison of the key waveforms of: (a) The PPB and the PPF converters; and (b) the PPB converter and the buck converters.

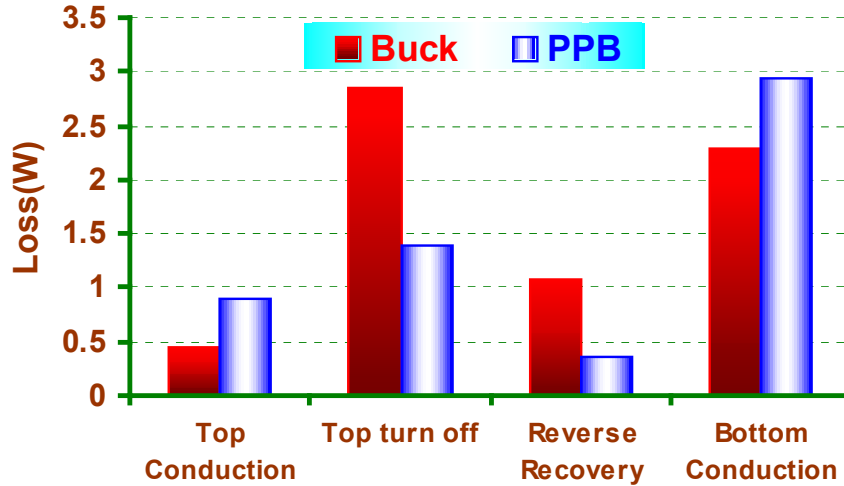


Fig. 3.15. Loss comparison of the PPB converter and the two-phase buck converter.

### 3.3. Efficiency Improvement with Integrated Magnetics of the PPB Converter.

The PPB converter uses a current doubler. Three magnetic components are needed, namely, one transformer and two inductors. In addition to size and cost concerns, the interconnection loss of these components also negatively impacts the efficiency, especially in high-current applications [110]. The integrated magnetic (IM) technique can be used to simplify the magnetic structure. Four IM structures for the PPB converter are going to be discussed as follows:

Fig. 3.16 (a) shows the schematic associated with IM-1 structure. For a current doubler, reference [12] proposed a simple way of integrating the transformer and the two inductors in one core. The structure is shown in Fig. 3.16 (b), marked as IM-1. Primary windings of the transformer are put around the center leg of the core. There is no air gap on the center leg. The inductor windings are put on the outer legs of the core. Therefore, air gaps on both outer legs store energy and form the inductors. The fact that the flux going through the center leg also goes through the outer legs makes the secondary winding of the transformer physically unnecessary. The IM-1 structure is simple. However, it has two disadvantages: the first disadvantage is that because the primary windings and the secondary windings are on different legs, the coupling is not as good as when they are on same legs, which means larger leakage inductors; the second disadvantage is that the AC components of the magnetic flux in the outer legs are added

in the center leg. Since AC flux is responsible for core loss, this added AC flux is bad in view of core loss reduction.

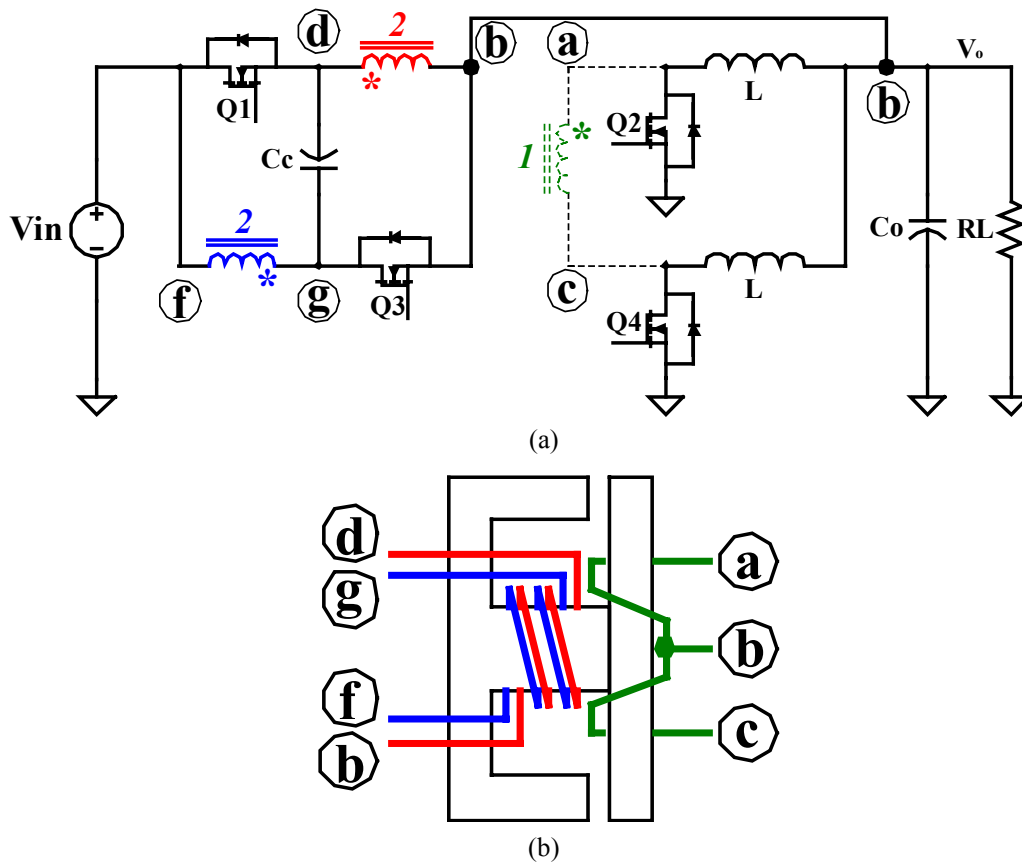


Fig. 3.16. Integrated magnetic structure 1 of the push-pull buck converter: (a) The schematic associated with IM-1 structure, and (b) IM-1 structure.



IM-2 structure, which is discussed in reference [34], shown in Fig. 3.17 (b), tries to overcome the disadvantages of IM-1 structure. Fig. 3.17 (a) shows the schematic associated with IM-2 structure. Compared with IM-1 structure, the primary windings of the transformer are split into two sets and put on the outer legs; therefore better primary-secondary coupling is achieved. Another change is that the winding polarity is arranged in a way such the AC flux to be cancelled in the center leg, which reduces the core loss.

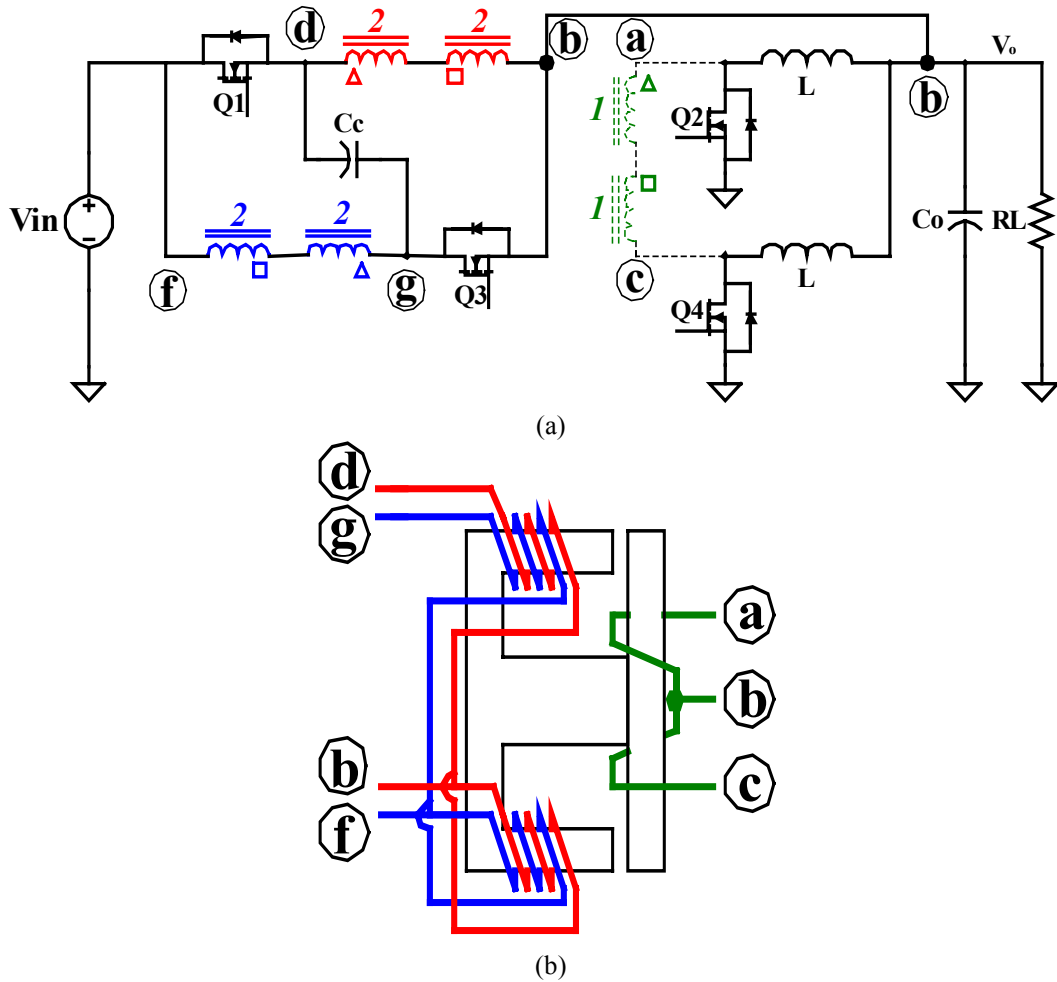


Fig. 3.17. Integrated magnetic structure 2 of the push-pull buck converter: (a) The schematic associated with IM-2 structure; and (b) IM-2 structure.

IM-3 structure makes further improvement over IM-2 structure without additional magnetic complexity. It takes advantage of IM-2 structure by rearranging the positions of the top switches to be in between the split primary windings. Fig. 3.18 (a) shows the equivalent electrical drawing and Fig. 3.18 (b) shows the magnetic structure. There are two clamp capacitors,  $C_{C1}$  and  $C_{C2}$  in this configuration. By using this structure, as discussed in reference [35] ~ [37], a filter function is built into the magnetic structure at minimum cost. The leakage inductors of the transformer and the clamp capacitors form this filter function.

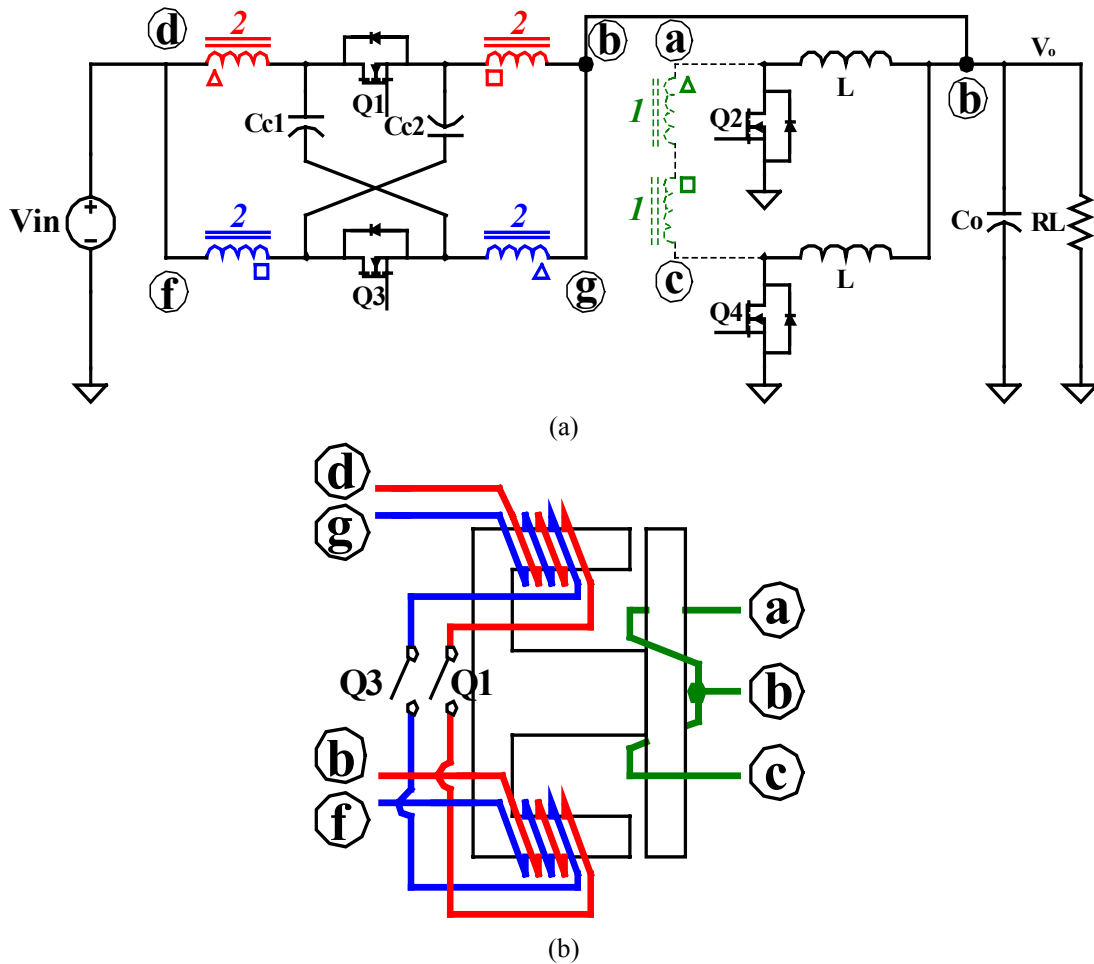
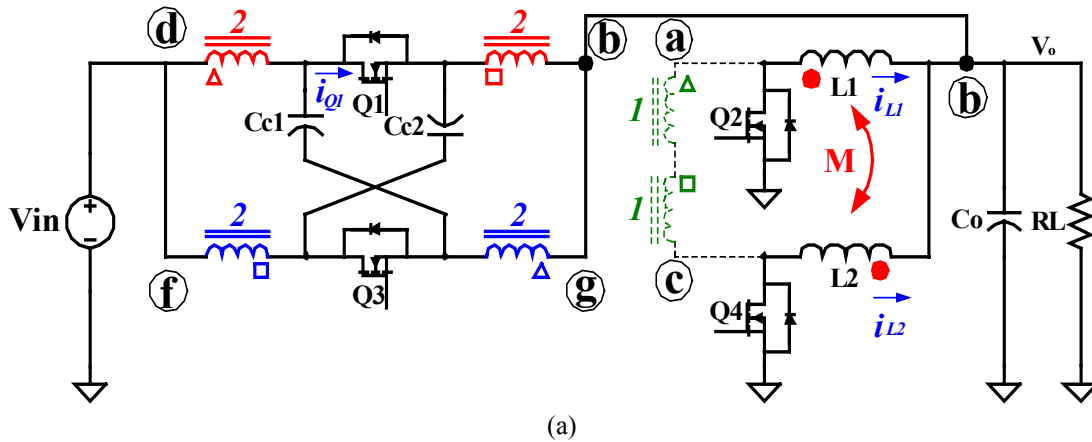


Fig. 3.18. Integrated magnetic structure 3 of the push-pull buck converter: (a) The schematic associated with IM-3 structure, and (b) IM-3 structure.

IM-4 structure, which is discussed in reference [38] ~ [40], introduces some coupling between the two current-doubler inductors in IM-3. Fig. 3.19 (a) shows the schematic. The magnetic structure is shown in Fig. 3.19 (b). An air gap is put on the center leg of the core and the outer leg air gaps are eliminated. Since the magnetic path in the center leg is no longer a zero-reluctance path, the magnetic fluxes in the two outer legs will couple with each other. This electrically introduces some coupling between the two inductors. Fig. 3.19 (c) shows some key waveforms.  $V_a$  and  $v_c$  are the “phase” voltages;  $i_{L1}$  and  $i_{L2}$  are the inductor currents; and  $i_{Q1}$  is the top switch current waveform. The dotted lines are before coupling and the solid lines are after coupling. The switch current waveform is shaped after coupling. For example, when  $V_a$  is high,  $L_1$  is charged up and sees a positive volt-second with respect to the dot. In the meantime,  $L_2$  is discharged and sees a negative volt-second with respect to the dot. If  $L_1$  is coupled with  $L_2$ , the net volt-second applied to  $L_1$  will be smaller than not being coupled. Therefore  $i_{L1}$  ripple is reduced. Similarly,  $i_{L2}$  ripple is reduced. The reduced inductor current ripple reduces the top switch turn-off current and the rms current of both switches; therefore both switching loss and conduction loss can be reduced.



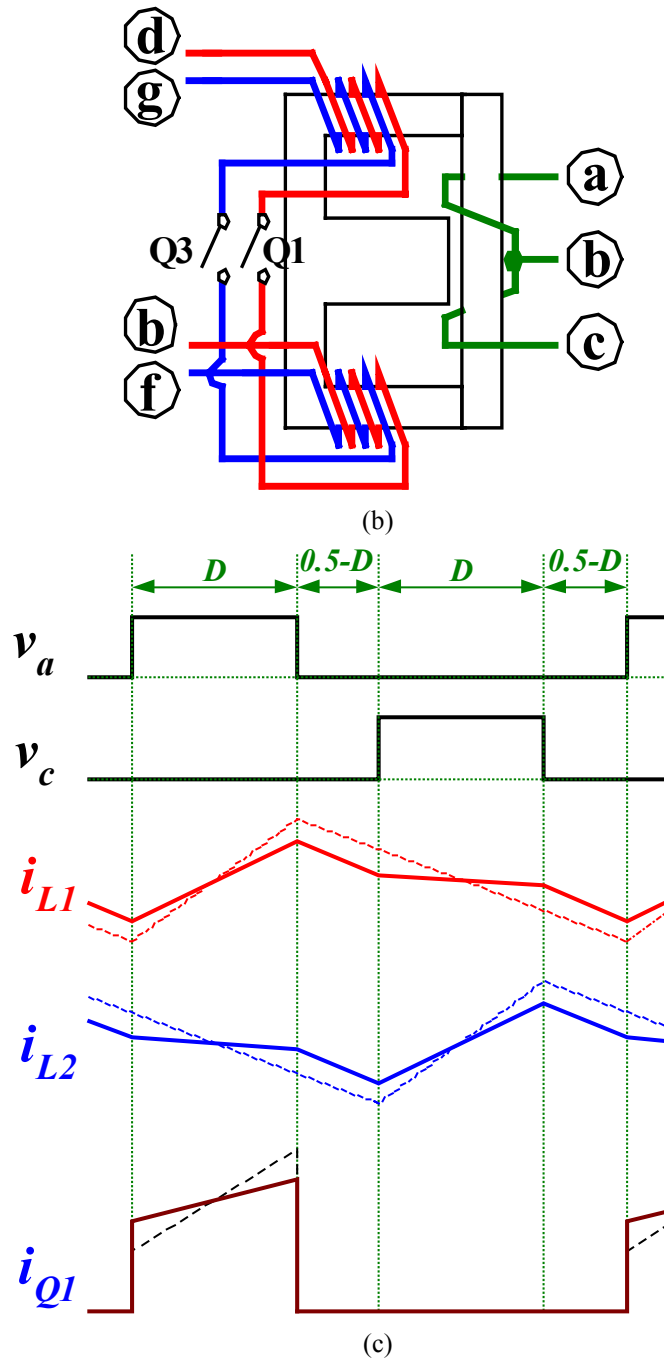


Fig. 3.19. Integrated magnetic structure 4 of the push-pull buck converter: (a) The schematic associated with IM-4 structure; (b) IM-4 structure and (c) the current waveforms.

All four IM structures are implemented for comparison when the PPB converter is prototyped. The four-phase interleaving buck VR prototype built in Chapter 2 is used as a benchmark. The design specifications are: 12V input, 1.5V output, current load from 0~50A, and 300kHz/phase switching frequency. Devices used in the PPB converter are

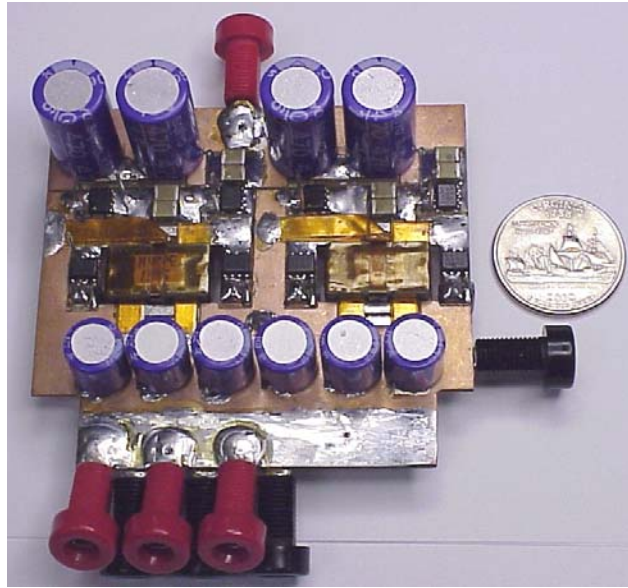
the same as in the buck converter: The top switches are Si4884DY (30V,  $R_{ds}=10.5\text{m}\Omega$ ,  $Q_{gd}=4.8\text{nC}$ ) and the bottom switches are Si4874DY (30V,  $R_{ds}=7.5\text{m}\Omega$ ,  $Q_g=35\text{nC}$ ).

The IM structures 1 ~ 4 are implemented with Philips EI-18 planar cores and PCB windings. The turn's ratio is  $n=2$ . The inductance in the PPB prototypes is the same as that in the benchmark buck converter: 300nH/phase.

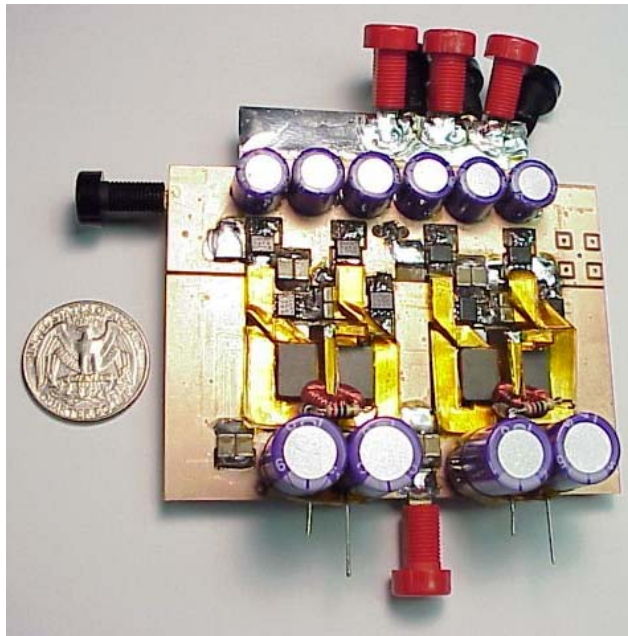
The output capacitor is  $6\times 1200\mu\text{F}$  OSCON capacitors plus  $18\times 22\mu\text{F}$  ceramic capacitors.

Fig. 3.20 (a) shows the IM-1 structure prototype; Fig. 3.20 (b) shows the IM-2 structure prototype; Fig. 3.20 (c) shows the IM-3 structure prototype and Fig. 3.20 (d) shows the IM-4 structure prototype. Fig. 3.21 shows the experimental test waveforms from the IM-4 structure PPB prototype. The top two traces are the top switch gate signal and the bottom switch gate signal. It can be observed that the duty cycle of the PPB converter is extended compared with the duty cycle of the buck converter shown in Fig. 2.32. The third trace is the top switch drain-source voltage. With the clamp circuit, the voltage spike caused by the leakage inductor is clamped. The bottom trace is the input current without an additional input filter. With the integrated filter function in the IM structure, the input current is smooth.

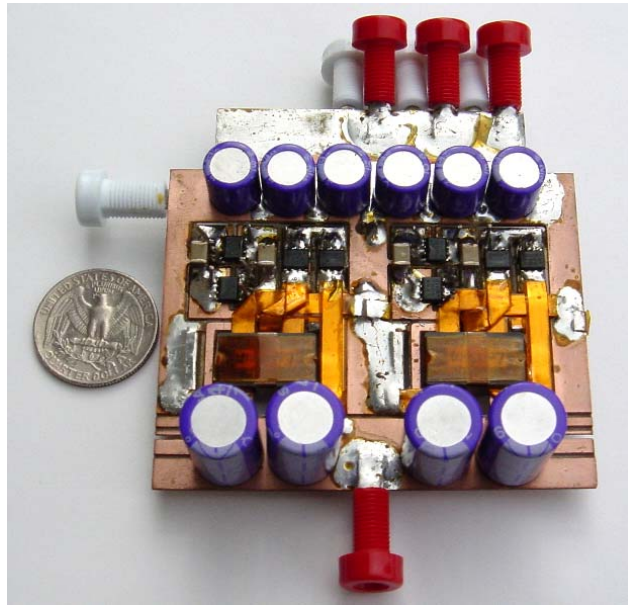
Fig. 3.22 shows the measured efficiencies of the PPB prototypes and the benchmark buck converter. It is shown that the PPB converter has higher efficiency than the buck converter, and that with the progression of the IM structures from 1 to 4, the efficiency of the PPB converter is increased step by step. IM-4 structure achieves the best efficiency of the PPB converter. The full-load efficiency is 87.6% and the peak efficiency is 92.1%. Compared with the buck converter, the efficiency is improved by 6% at full load and the peak efficiency is improved by 7.5%.



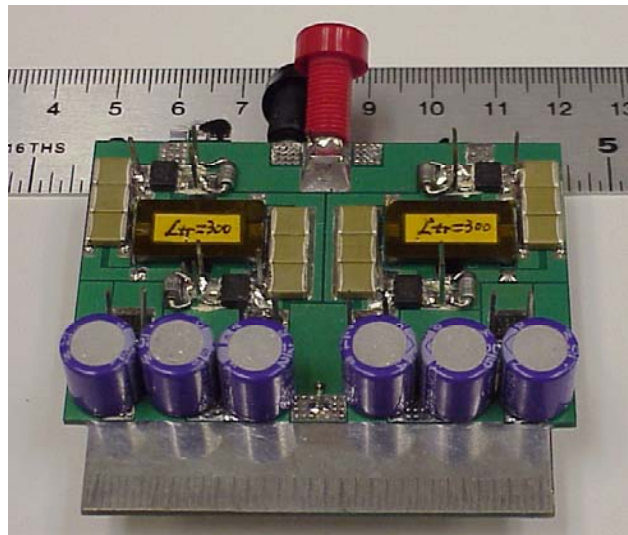
(a)



(b)



(c)



(d)

Fig. 3.20. IM structure prototypes of the PPB converter: (a) IM-1 structure prototype, (b) IM-2 structure prototype, (c) IM-3 structure prototype, and (d) IM-4 structure prototype.

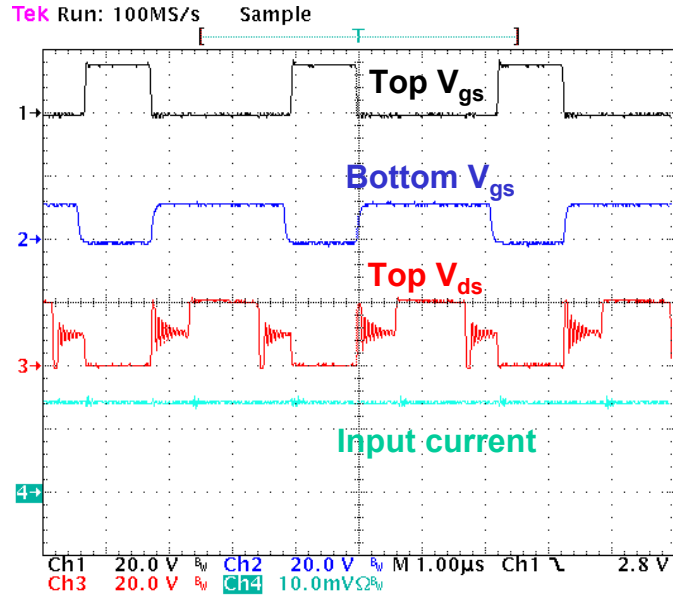


Fig. 3.21. Experimental test waveforms of the PPB converter.

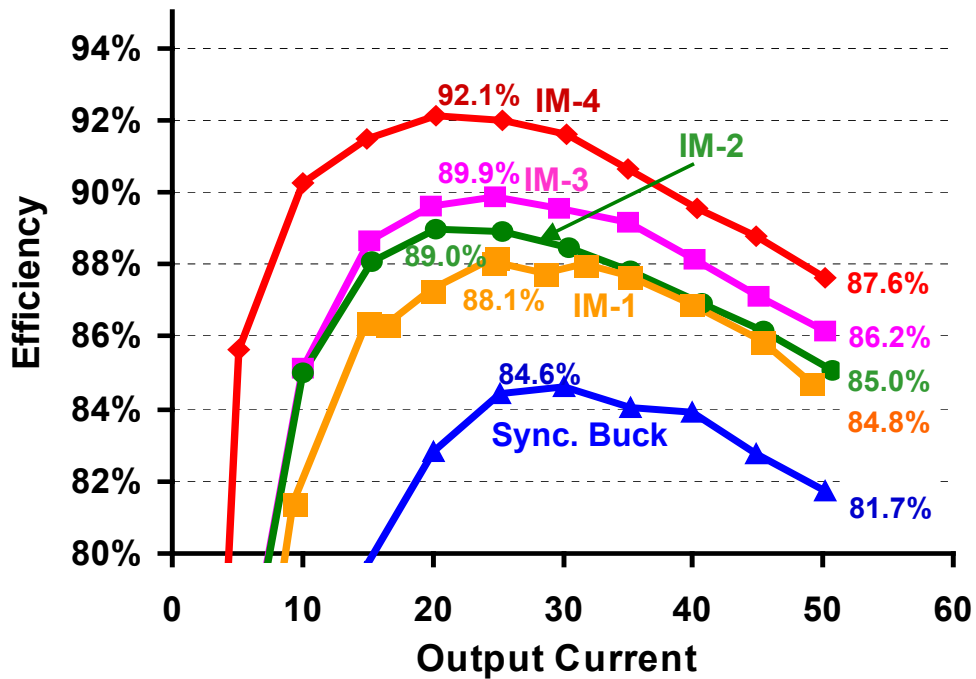


Fig. 3.22. Measured efficiency comparison of the PPB converter and the buck converter.

### 3.4. Improved Transient Response of the PPB Converter.

The previous discussion has shown that employing the transformer concept in the non-isolated VR application can extend the duty cycle and therefore improve efficiency.



It is yet to be discussed that what impact extending the duty cycle has on transient response.

The critical-inductance concept developed in references [13] and [14] is used as a tool to analyze transient response in the previous chapters. However, the original critical-inductance concept is for the traditional buck converter. New additions are needed to address converters with transformers.

Fig. 3.23 (a) shows a schematic drawing. Because the PPB converter is essentially a two-phase configuration, the load current is denoted as  $2I_o$  to make fair comparisons with the buck converter. Due to the direct energy transfer bypass, the real current that is injected to the output  $V_o$  node not only includes the two output choke inductor currents, but also includes the directly transferred current. To facilitate the analysis, the two-phase total output current is denoted as  $2i_{Lo}$ . Fig. 3.23 (b) shows an equivalent conceptual drawing: The PPB converter is considered as a two-phase interleaved structure and each phase carries current  $i_{Lo}$ . The inductance of each phase is the real inductance used in the PPB converter. Therefore  $i_{Lo}$  stands for a conceptual per-phase inductor current that supplies energy to the output. This conceptual current is larger than the real current that flows through the inductor because the directly transferred current is also included in the conceptual current.

During transient responses, the feedback control generates duty cycle change  $\Delta D$  to apply net voltage-second to the inductors, which causes  $i_{Lo}$  to change up. Reference [106] includes the small-signal model of the PPB converter, and the average  $i_{Lo}$  slew rate in response to  $\Delta D$  is derived as follows:

$$\left. \frac{di_{Lo}}{dt} \right|_{avg} = \frac{V_{in} \cdot \Delta D}{nL} \quad (3.8)$$

From control point of view there is [14]

$$\left. \frac{di_{Lo}}{dt} \right|_{avg} = \frac{\Delta I_{Lo}}{t_r} = 4 \cdot \Delta I_{Lo} \cdot f_c \quad (3.9)$$

Because formulas (3.8) and (3.9) describe the same fact from two different angles, as long as the duty cycle is not saturated, these two formulas should be equal. By equalizing formulas (3.8) and (3.9), the transient duty cycle change can be described as follows:

$$\Delta D = \frac{4 \cdot n \cdot \Delta I_o \cdot f_c}{V_{in}} \cdot L \quad (3.10)$$

Since the critical inductance  $L_{ct}$  makes the duty cycle nearly saturated, formula (3.10) can be rewritten as follows:

$$L_{ct} = \frac{V_{in}}{4 \cdot n \cdot \Delta I_o \cdot f_c} \cdot \Delta D_{\max} \quad (3.11)$$

where  $\Delta D_{\max}$  is the maximum duty cycle change during the transient response. Formula (4.11) gives the expression of the critical inductance of the PPB converter.

Like in the buck converter, load step and load step down suggest a candidate for the critical inductance respectively. Following the previous definitions in the buck converter,  $L_{ct1}$  stands for the candidate suggested by load step up and  $L_{ct2}$  stands for the candidate suggested by load step down. During the step-down transient response, the maximum duty cycle change is the steady-state value, which is

$$D_{\max 2} = D = \frac{n \cdot V_o}{V_{in} - V_o} \quad (3.12)$$

Substituting equation (3.12) into equation (3.11), the result is

$$L_{ct2} = \frac{V_o}{4 \cdot \Delta I_o \cdot f_c} \cdot \frac{V_{in}}{V_{in} - V_o} \quad (3.13)$$

When calculating  $L_{ct1}$ , it has to be taken into consideration that the PPB converter does not allow the top switch to work at a duty cycle greater than 0.5. So during the step-up transient response, the maximum duty cycle change is  $0.5-D$ , that is

$$D_{\max 1} = 0.5 - D = 0.5 - \frac{n \cdot V_o}{V_{in} - V_o} = \frac{0.5V_{in} - (n + 0.5)V_o}{V_{in} - V_o} \quad (3.14)$$

Substituting equation (3.14) into equation (3.11), the result is

$$L_{ct1} = \frac{1}{4 \cdot \Delta I_o \cdot f_c} \cdot \frac{V_{in}}{V_{in} - V_o} \cdot \left[ \frac{V_{in} - V_o}{2n} - V_o \right] \quad (3.15)$$

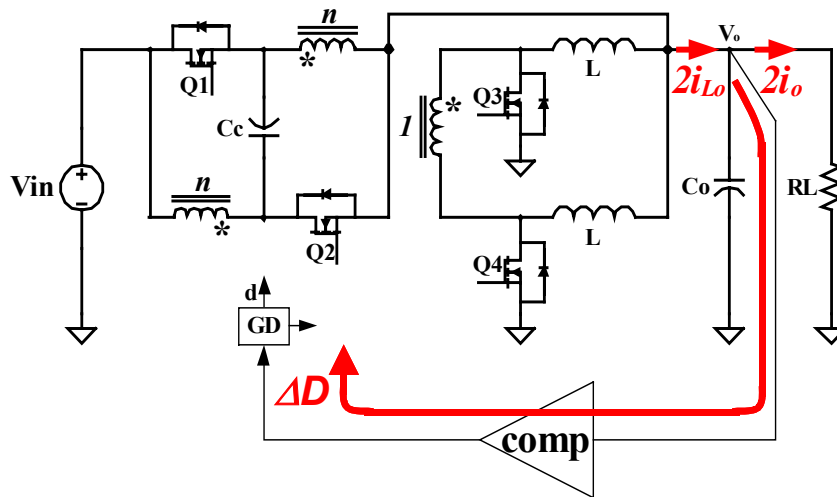
Table 3.1 shows  $L_{ct1}$  and  $L_{ct2}$  of the buck converter and the PPB converter. By comparing the two converters, some interesting conclusions can be drawn. For the step-down transient response,  $L_{ct2}$  is practically unchanged, provided  $V_o \ll V_{in}$ , which is true in the VR application; but for the step-up transient response,  $L_{ct1}$  is modified by the turn's ratio  $n$ . Taking the derivative of formula (3.15) yields

$$\frac{dL_{ct1}}{dn} = -\frac{1}{4 \cdot \Delta I_o \cdot f_c} \cdot \frac{V_{in}}{2n^2} \quad (3.16)$$

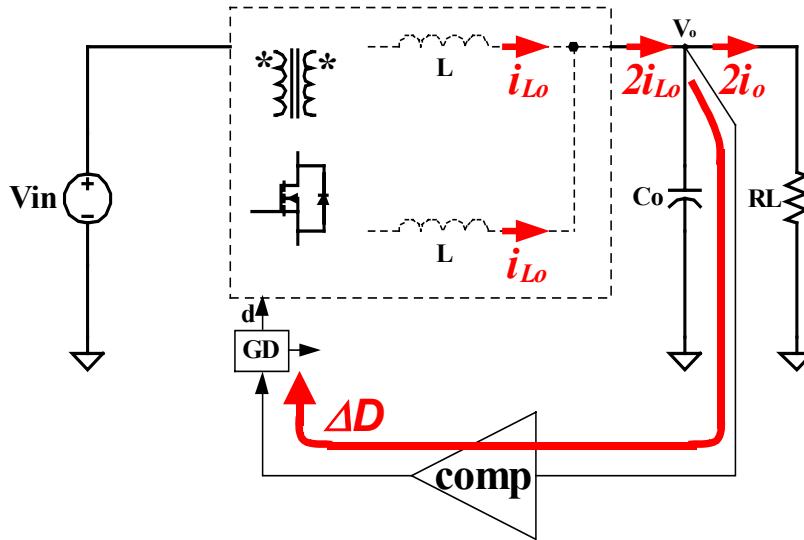
Then it's obvious that there is always

$$\frac{dL_{ct1}}{dn} < 0 \quad (3.17)$$

This means that with the increase of  $n$ ,  $L_{ct1}$  decreases.



(a)



(b)

Fig. 3.23. Deriving the inductor current slew rate of the PPB converter: (a) the original PPB converter, and (b) the equivalent circuit in deriving the critical inductance.

Table 3.1  $L_{ct1}$  and  $L_{ct2}$  of the buck converter and the PPB converter

	Step Down: $L_{ct2}$	Step Up: $L_{ct1}$
Buck	$\frac{V_o}{4 \cdot \Delta I_o \cdot f_c}$	$\frac{1}{4 \cdot \Delta I_o \cdot f_c} \cdot (V_{in} - V_o)$
PPB	$\frac{V_o}{4 \cdot \Delta I_o \cdot f_c} \cdot \frac{V_{in}}{V_{in} - V_o}$	$\frac{1}{4 \cdot \Delta I_o \cdot f_c} \cdot \frac{V_{in}}{V_{in} - V_o} \cdot \left[ \frac{V_{in} - V_o}{2n} - V_o \right]$

Fig. 3.24 illustrates the impact of the turn's ratio  $n$  on transient response. From the buck converter to the PPB converter,  $L_{ct2}$  does not move therefore the step-down curve does not move. However, with the increase of  $n$ ,  $L_{ct1}$  decreases; therefore, the step-up curve of the PPB converter moves toward the left-hand side.

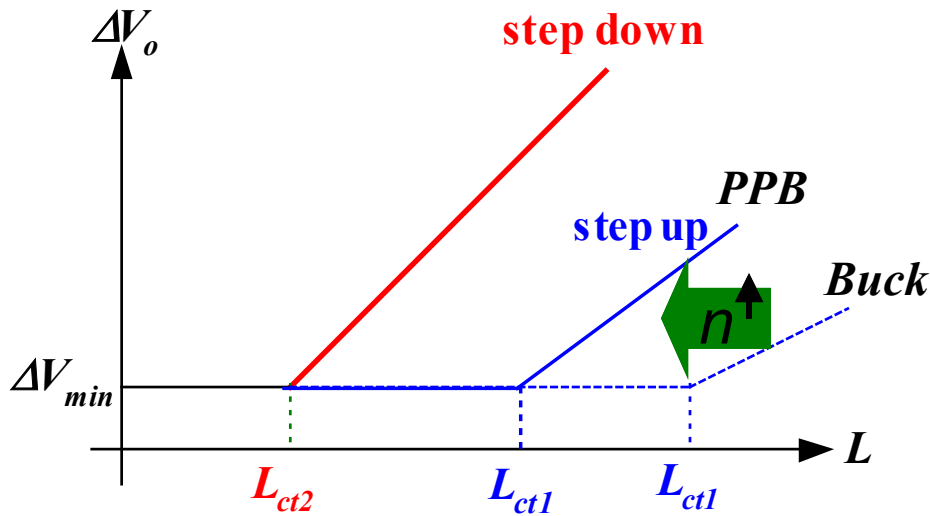


Fig. 3.24.  $L_{ct1}$  and  $L_{ct2}$  of the PPB converter and the buck converter.

With the knowledge of the critical inductances of the PPB converter, the impact of turn's ratio  $n$  on the system performance can be evaluated.

Generally speaking, a large transformer turn's ratio  $n$  tends to reduce the loss in the PPB converter. Fig. 3.25 shows the loss estimation of the buck converter and the PPB converter with different turn's ratio  $n$ . The design spec is the same spec used in the PPB prototyping practice. It is shown that a large  $n$  reduces the loss in the converter. This provides an opportunity for improving the efficiency.

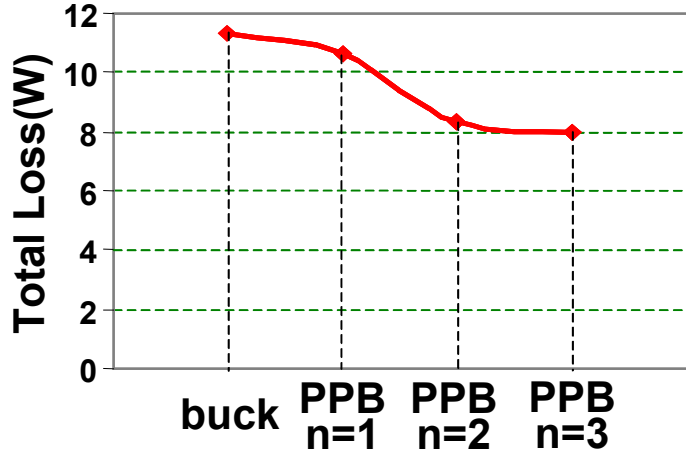


Fig. 3.25. Loss estimation of the buck converter and the PPB converter with different turn's ratio  $n$ .

As discussed in Chapter 2, the critical inductance should be the smaller of  $L_{ct1}$  and  $L_{ct2}$ . In a 12V-input buck VR, the relationship between  $L_{ct1}$  and  $L_{ct2}$  is very clear:  $L_{ct2} \ll L_{ct1}$ , so the critical inductance  $L_{ct}$  is  $L_{ct2}$ . However, in a PPB converter, this relationship is not that certain. Since large  $n$  moves the step-up curve toward the left-hand side while the step-down curve is intact,  $L_{ct2}$  does not change but  $L_{ct1}$  decreases as  $n$  increases. Depending on the relationship of  $L_{ct1}$  and  $L_{ct2}$ , there are three possible scenarios. Fig. 3.26 (a) shows the first scenario:  $L_{ct1} > L_{ct2}$ , when the step-up curve stays at the right-hand side of the step-down curve.  $L_{ct}$  should be  $L_{ct2}$  in this case; Fig. 3.26 (b) shows the second scenario:  $L_{ct1} = L_{ct2}$ , when the step-up curve and the step-down curve overlap.  $L_{ct}$  is either  $L_{ct2}$  or  $L_{ct1}$  in this case; Fig. 3.26 (c) shows the third scenario:  $L_{ct1} < L_{ct2}$ , when the step-up curve moves to the left-hand side of the step-down curve.  $L_{ct}$  should be  $L_{ct1}$  in this case.

The above three scenarios have different transient responses. The dark circle in Fig. 3.26 indicates the real inductance used. It is kept the same in the three cases. In Fig. 3.26 (a) and (b), the real inductance is equal to  $L_{ct}$ ; in Fig. 3.26 (c) the real inductance is larger than  $L_{ct}$ , therefore step up creates a larger voltage spike than step down does. The transient response is worse.

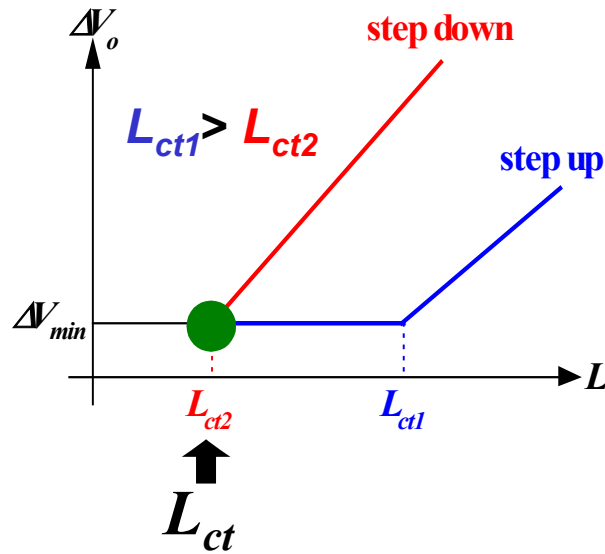
So although a large  $n$  improves efficiency, one should use caution when increasing  $n$ . When  $n$  is increased, as long as  $n$  satisfies  $L_{ct2} \leq L_{ct1}$ , the larger  $n$  the higher the efficiency, and the transient response is the same. If  $n$  is too large such that  $L_{ct2} > L_{ct1}$ , with the increase of  $n$ , efficiency is improved but transient response is worsened. The  $n$  value that

delivers the best transient response is the  $n$  that makes  $L_{ct2} \leq L_{ct1}$ , as shown in Fig. 3.27. With the knowledge of formulas (3.13) and (3.15), it is derived that

$$n \leq \frac{1}{4} \cdot \left( \frac{V_{in}}{V_o} - 1 \right) \quad (3.18)$$

In the PPB prototypes, there are  $V_{in}=12\text{V}$  and  $V_o=1.5\text{V}$ . To get the best transient response, formula (3.18) yields  $n \leq 2$ . So  $n=2$  is the choice to get the best efficiency while having the best transient response.

Transient response tests were done on the buck converter and the  $n=2$  PPB converter. The inductance used in both converters is the critical inductance. Fig. 3.28 (a) shows the measured  $V_o$  waveform of the buck converter, and Fig. 3.28 (b) shows the measured  $V_o$  waveform of the  $n=2$  PPB converter. It is verified that the transient response is the same.



(a)

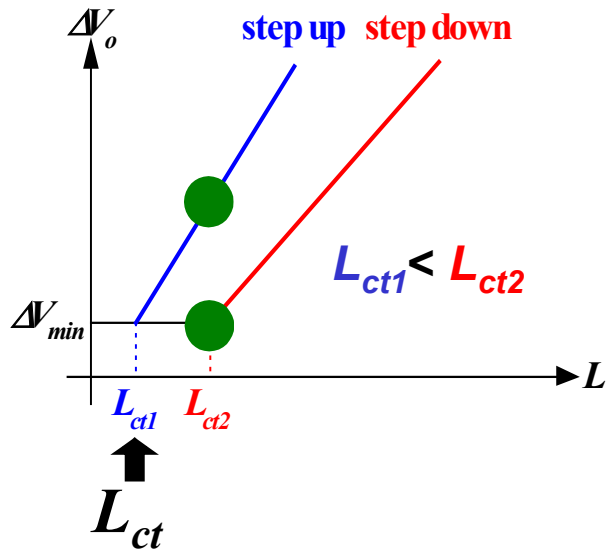
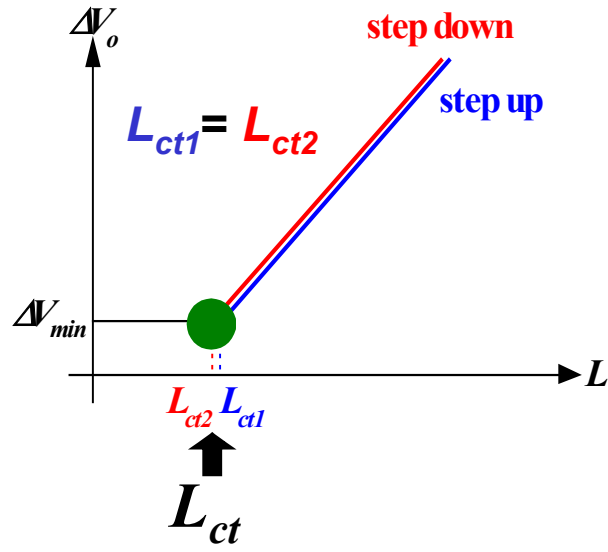


Fig. 3.26. Scenarios created by different turn's ratio  $n$  in the PPB converter: (a)  $L_{ct1} > L_{ct2}$ ; (b)  $L_{ct1} = L_{ct2}$ ; and (c)  $L_{ct1} < L_{ct2}$ .

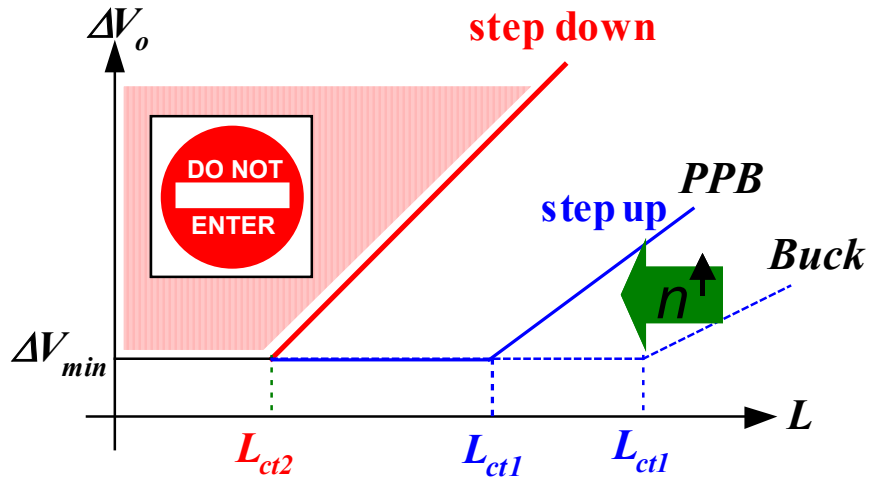


Fig. 3.27. Choose turn's ratio  $n$  to get the best transient response.

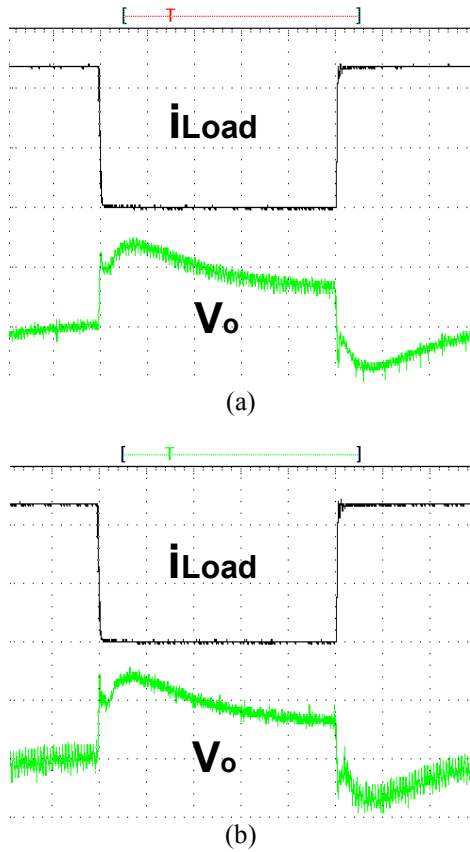


Fig. 3.28. Measured transient response of the buck converter and the PPB converter: (a) The buck converter and (b)  $n=2$  PPB converter.



Since today's 12V-input buck VRs use an inductance larger than the critical inductance, VR transient response is worse than what it otherwise would be if critical inductance were used. Considering the PPB converter uses the critical inductance, the PPB converter has better transient response than today's VR approach.

### 3.5. Summary.

High switching frequency reduces the output capacitors needed, which is beneficial in terms of size and cost. However, the multiphase buck VR suffers low efficiency at high switching frequency. Since the extreme duty cycle is the fundamental limitation, extending the duty cycle is the solution discussed in this chapter.

Employing the transformer concept can extend duty cycle, and therefore offer an opportunity to improve efficiency. The tapped-inductor buck converter extends the duty cycle, thereby improving efficiency. However, the tapped-inductor buck converter suffers a detrimental voltage spike caused by the leakage inductor. Other solutions are needed.

Solutions in isolated converters can be applied to the non-isolated VR application with some modification. The push-pull buck (PPB) converter is proposed as a solution. The voltage spike is clamped and the efficiency is improved compared with the buck converter. Integrated magnetic techniques can be used to further improve the efficiency and simplify the implementation.

The transformer turn's ratio  $n$  plays an important role impacting the transient response.  $L_{ct2}$  is not affected while  $L_{ct1}$  is decreased with the increase of  $n$ . The critical inductance is the smaller one of  $L_{ct1}$  and  $L_{ct2}$ . When  $n$  is increasing, as long as  $n$  satisfies  $L_{ct2} \leq L_{ct1}$ , the larger  $n$  delivers high efficiency and the transient response is the same. If  $n$  is too large, such that  $L_{ct2} > L_{ct1}$ , with the increase of  $n$  efficiency is improved but transient response is worsened.

The experimental test results prove that the PPB converter can improve efficiency while achieving good transient response.

## Chapter 4. Innovative Topological Approach to Eliminate Switching

### Loss — The Phase-Shift Buck (PSB) Converter

#### 4.1. The Limitation of the PPB Converter at High Switching Frequency.

In the previous chapter, the PPB converter proves that extending the duty cycle increases efficiency. The PPB converter achieves higher than 80% efficiency and improves the efficiency by 6~7% over the buck converter at full load. However, 300KHz is not sufficient to benefit from the high switching frequency. The discussion in Chapter 2 indicates that 1 MHz is the switching frequency that offers a smaller-size and lower-cost solution for future VRs. Fig. 4.1 shows a four-phase PPB converter efficiency comparison of 300KHz and 1MHz switching frequencies. The experimental setup is as follows: the top switches are HAT2116 (30V,  $R_{ds}=10.5\text{m}\Omega$ ,  $Q_{gd}=5\text{nC}$ ) and the bottom switches are HAT2099 (30V,  $R_{ds}=5\text{m}\Omega$ ,  $Q_g=75\text{nC}$ ). At 1MHz, the full-load efficiency drops to 75%. This result is still not satisfactory. This is because the heat budget for the CPU power supply, which is enclosed in the chassis, is about 25W. This roughly translates into 80% VR efficiency at full load.

Fig. 4.2 shows the loss estimation of the PPB converter at 300KHz and 1MHz switching frequency. It can be seen that the most significant loss increases are the top switch turn-off loss and the reverse recovery loss. Switching loss is still the tallest column among the four columns in Fig. 4.2. The reason for this is that the PPB converter is still a hard switching converter. Although the extended duty cycle helps to reduce the switching loss, it cannot eliminate it. When the switching frequency moves into MHz range, the switching loss becomes a strong barrier for further improvement of efficiency. So there is the need to further reduce the switching loss so that higher efficiency can be achieved.

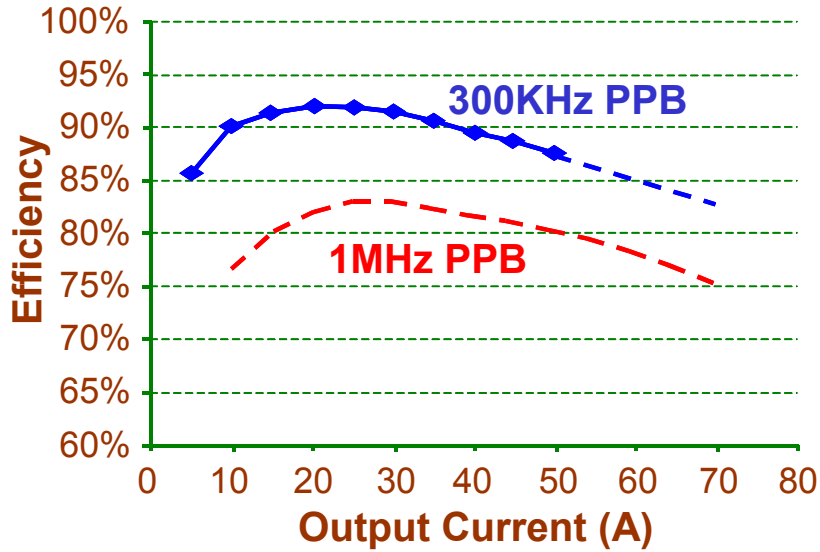


Fig. 4.1. Efficiency comparison of the PPB converter switching at 300 KHz and 1 MHz.

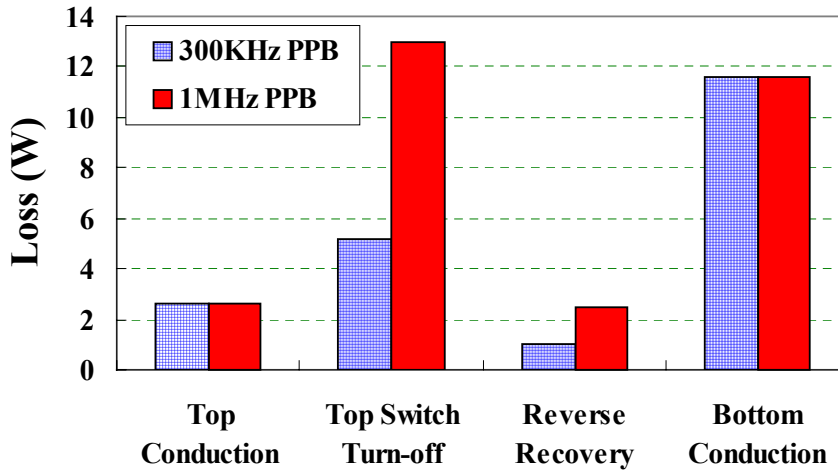


Fig. 4.2. Loss analysis of the PPB converter switching at 300 KHz and 1 MHz.

#### 4.2. The Proposed Soft-Switched Phase-Shift Buck (PSB) Converter.

To further reduce the switching loss, soft switching is needed. There have been tremendous efforts made on soft-switching schemes for topologies with transformer isolation. The phase-shift controlled full-bridge converter is a well-known and widely used one. Fig. 4.3 shows a full-bridge converter with current-doubler output. The primary switches Q1~Q4 are phase-shift controlled, and Q5~Q6 are synchronous FETs. This topology has soft-switching capability, and therefore has great potential for high-frequency applications.

The experience with the PPB converter leads one to believe that the techniques used in isolated converters can also be applied to the non-isolated VRs with minimum modification; therefore providing new solutions.

Fig. 4.4 (a1) shows a power-transfer mode of the phase-shift controlled full-bridge converter when Q4 and Q3 are both on. In this mode Q6 is off and Q5 is on for freewheeling. Since isolation is not needed in the 12V VR application, an equivalent circuit employing an autotransformer is of much interest, as shown in Fig. 4.4 (a2). The autotransformer directly transfers some energy in addition to pure transformer coupling, which is more efficient. This is very similar to the direct-energy-transfer concept in the PPB converter. The one-turn winding in the autotransformer plays two roles: one is a part of the primary winding of the transformer; the other is the secondary winding and also a part of the current doubler. If  $n$  is the turn's ratio of the autotransformer, as shown in Fig. 4.4 (a2), the turn's ratio of the transformer in Fig. 4.4 (a1) is  $n+1$ . In the circuit in Fig. 4.4 (a2),  $V_{in}$  goes through Q4-*b*-a-Q3-*d*-c-Q5-Gnd. In this mode, node *d* sees a voltage:

$$V_d = V_{in} / (n+1) \quad (4.1)$$

A difference in this mode between the transformer version and the autotransformer version is the voltage stress across Q1. In Fig. 4.4 (a1) it is observed that the voltage across Q1 is  $V_{in}$ , however, in Fig. 4.4 (a2) the voltage across Q1 is  $V_{in}-V_d=nV_{in}/(n+1)$ , which is lower than that in Fig. 4.4 (a1).

Fig. 4.4 (b1) shows the other power transfer mode of the phase-shift-controlled full-bridge converter when Q1 and Q2 are both on. This mode is the dual mode of the mode show in Fig. 4.4 (a1). Following the same logic, the non-isolated version is derived in Fig. 4.4 (b2). In the circuit in Fig. 4.4 (b2),  $V_{in}$  goes through Q1-*a*-b-Q2-*c*-d-Q6-Gnd and node *c* sees a voltage:

$$V_c = V_{in} / (n+1) \quad (4.2)$$

And the voltage across Q4 is  $V_{in}-V_c=nV_{in}/(n+1)$  accordingly.

Fig. 4.4 (c1) shows a freewheeling mode of the phase-shift-controlled full-bridge converter when Q1 and Q4 are both on. Q6 and Q5 are also on in this mode so the primary and secondary winding are both shorted for freewheeling. Fig. 4.4 (c2) shows the

corresponding autotransformer version. When Q1, Q4, Q6 and Q5 are on, the autotransformer windings are also shorted for freewheeling.

Fig. 4.4 (d1) shows the other freewheeling mode of the phase-shift-controlled full-bridge converter when Q2, Q3, Q5 and Q6 are all on. Fig. 4.4 (d2) shows the corresponding autotransformer version.

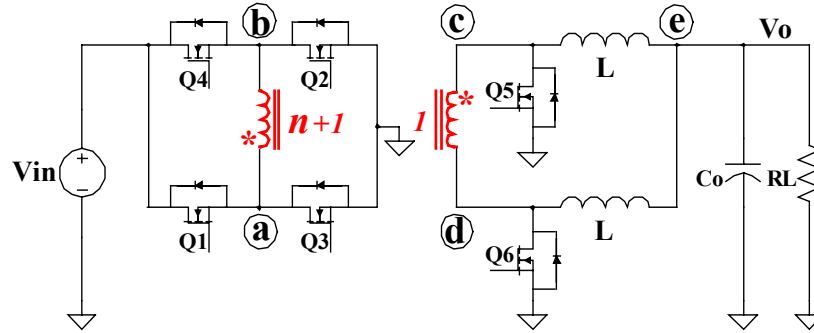
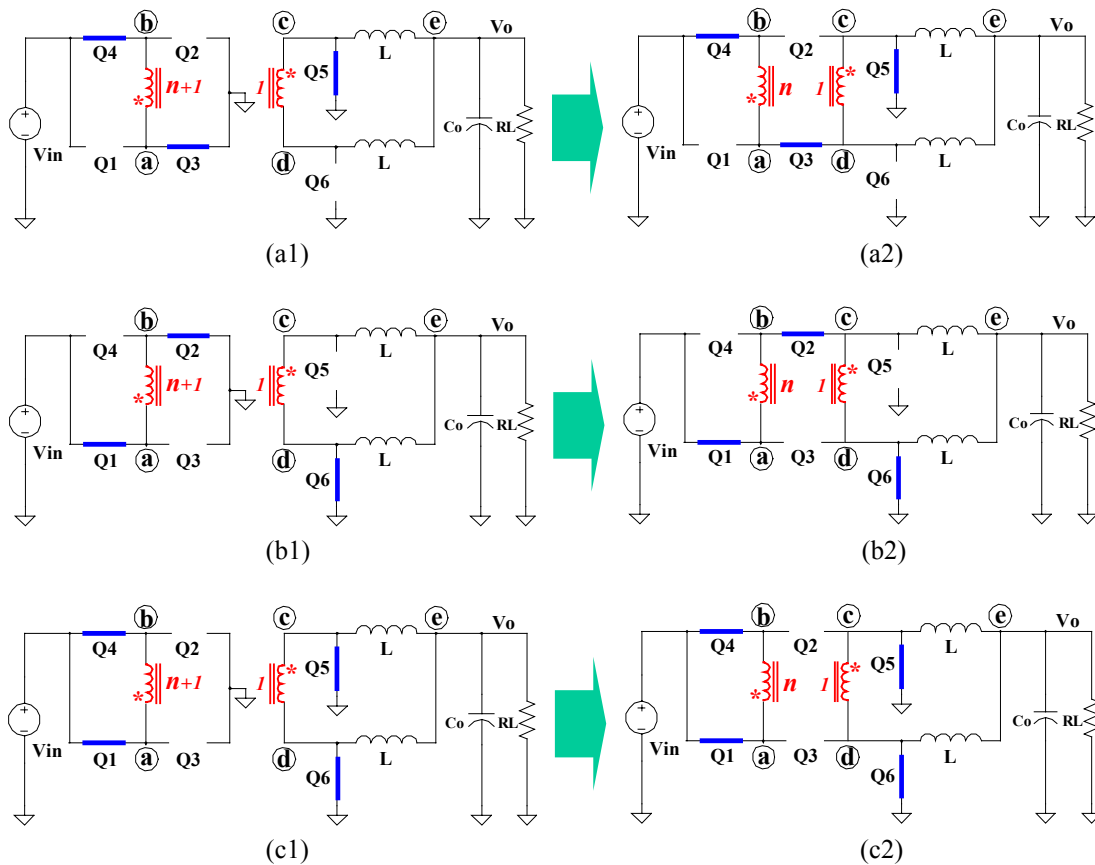


Fig. 4.3. The full-bridge converter with current-doubler output.



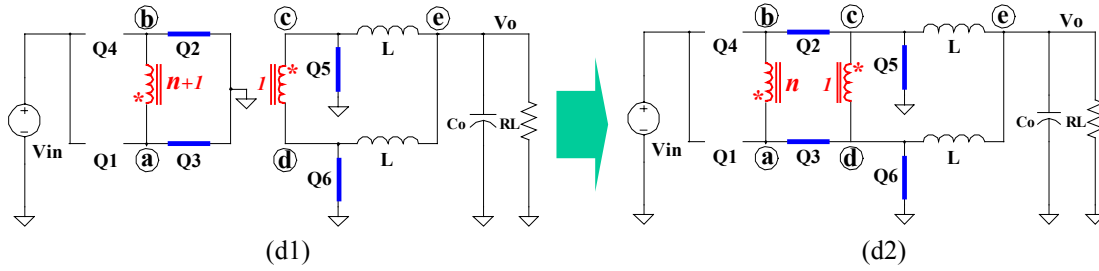


Fig. 4.4. Deriving an autotransformer version from the full-bridge converter: (a1) the transformer version, when Q4 and Q3 are “on”, (a2) the autotransformer version, when Q4 and Q3 are “on”, (b1) the transformer version, when Q1 and Q2 are “on”, (b2) the autotransformer version, when Q1 and Q2 are “on”, (c1) the transformer version, when Q1 and Q4 are “on”, (c2) the autotransformer version, when Q1 and Q4 are “on”, (d1) the transformer version, when Q2 and Q3 are “on”, and (d2) the autotransformer version, when Q2 and Q3 are “on”.

The proposed phase-shift buck (PSB) converter in Fig. 4.5 realizes the conceptual converter featuring the equivalent circuits shown in Fig. 4.4 (a2), (b2), (c2) and (d2). Q1~Q6 are MOSFETs instead of ideal switches.

As in a full-bridge converter, the PSB converter can be controlled in a traditional PWM fashion or a phase-shifted fashion. The traditional PWM control leads to hard switching of the top switches Q1~Q4, while phase-shift control allows soft switching of Q1~Q4, which is desirable at high switching frequency. Fig. 4.6 shows the operation principle of the phase-shift control.  $V_{ab}$  and  $i_p$  are the transformer’s primary voltage and current. They are in exactly the same shapes as those in a phase-shift controlled full bridge converter.  $V_c$  and  $V_d$  are nodes “c” and “d” voltages.  $V_{DS1}$  and  $i_{DS1}$  are Q1 drain-source voltage and current, etc. Duration  $t_7 \sim t_8$  is the power transfer mode in Fig. 4.4 (a2), and duration  $t_2 \sim t_3$  is the power transfer mode in Fig. 4.4 (b2). Duration  $t_9 \sim t_0$  is the freewheeling mode in Fig. 4.4 (c2) and duration  $t_4 \sim t_5$  is the freewheeling mode in Fig. 4.4 (d2).

Shown in Fig. 4.7 are the subintervals of the circuit operation associated with Fig. 4.6. The transformer primary current  $i_p$  is defined as flowing from node “a” to “b”;  $L_k$  is the transformer leakage inductor; and capacitors  $C_1 \sim C_4$  are the drain-source capacitors of switches Q1~Q4.

Fig. 4.7 (a) shows the subinterval  $t_0 \sim t_1$ . Before  $t_0$  the circuit is in the freewheeling mode and the transformer is shorted. The primary current  $i_p$  is flowing from node “b” to

“a”. At  $t_0$ , Q<sub>4</sub> is turned off. However,  $i_p$  continues flowing due to the existence of  $L_k$ , therefore  $C_2$  is discharged and  $C_4$  is charged in a fashion determined by the L-C resonance formed by  $L_k$  and the parallel of  $C_2$  and  $C_4$ . Given sufficient energy stored in  $L_k$ ,  $C_2$  can be fully discharged, after which  $i_p$  flows through the body diode of Q<sub>2</sub>.

Fig. 4.7 (b) shows the subinterval  $t_1 \sim t_2$ . At  $t_1$ , Q<sub>2</sub> is turned on. Because  $i_p$  is flowing through the body diode of Q<sub>2</sub>, Q<sub>2</sub> is turned on at zero-voltage condition, which eliminates the turn-on loss. In the meantime, Q<sub>5</sub> and Q<sub>6</sub> are still carrying current for freewheeling, which means the transformer is still shorted. Thus the voltage across nodes “a” and “b” is applied to  $L_k$  and builds up  $i_p$  in the direction from “a” to “b”. As a result, the current through Q<sub>5</sub> decreases until at  $t_2$  it reaches zero.

Fig. 4.7 (c) shows the subinterval  $t_2 \sim t_3$ . This is a power transfer mode. The transformer acts as an autotransformer and  $L_1$  is being charged while  $L_2$  is being discharged. The transformer primary current  $i_p$  is flowing from node “a” to “b”.

Fig. 4.7 (d) shows the subinterval  $t_3 \sim t_4$ . At  $t_3$ , Q<sub>1</sub> is turned off, but the transformer primary current  $i_p$  continues flowing from node “a” to “b”. Because  $i_p$  is the reflected output inductor current,  $C_3$  is discharged and  $C_1$  is charged linearly until at  $t_4$  when  $C_3$  is fully discharged so  $i_p$  flows through the body diode of Q<sub>3</sub>.

Fig. 4.7 (e) shows the subinterval  $t_4 \sim t_5$ . This is a freewheeling mode. Switches Q<sub>2</sub>, Q<sub>3</sub>, Q<sub>5</sub> and Q<sub>6</sub> are on so the transformer is shorted.

Fig. 4.7 (f) shows the subinterval  $t_5 \sim t_6$ . This is the dual mode of the subinterval  $t_0 \sim t_1$ . At  $t_5$ ,  $i_p$  is flowing from node “a” to “p”. At  $t_5$ , Q<sub>2</sub> is turned off. However,  $i_p$  continues flowing due to the existence of  $L_k$ , therefore  $C_4$  is discharged and  $C_2$  is charged in a fashion determined by the L-C resonance formed by  $L_k$  and the parallel of  $C_2$  and  $C_4$ . Given sufficient energy stored in  $L_k$ ,  $C_4$  can be fully discharged, after which  $i_p$  flows through the body diode of Q<sub>4</sub>.

Fig. 4.7 (g) shows the subinterval  $t_6 \sim t_7$ . This is the dual mode of the subinterval  $t_1 \sim t_2$ . At  $t_6$ , Q<sub>4</sub> is turned on. Because  $i_p$  is flowing through the body diode of Q<sub>4</sub>, Q<sub>4</sub> is turned on at zero-voltage condition, which eliminates the turn-on loss. In the meantime, the transformer is still shorted. The voltage across nodes “b” and “a” builds up  $i_p$  in the direction from “b” to “a”. As a result, the current through Q<sub>6</sub> decreases until at  $t_7$  it reaches zero.

Fig. 4.7 (h) shows the subinterval  $t_7 \sim t_8$ . This is the dual power transfer mode of the subinterval  $t_2 \sim t_3$ . The transformer acts as an autotransformer and  $L_2$  is being charged while  $L_1$  is being discharged. The transformer primary current  $i_p$  is flowing from node “b” to “a”.

Fig. 4.7 (i) shows the subinterval  $t_8 \sim t_9$ . This is the dual mode of the subinterval  $t_3 \sim t_4$ . At  $t_8$ , Q3 is turned off, but the transformer primary current  $i_p$  continues flowing from node “b” to “a”. Because  $i_p$  is the reflected output inductor current,  $C_1$  is discharged and  $C_3$  is charged linearly until at  $t_9$  when  $C_1$  is fully discharged so  $i_p$  flows through the body diode of Q1.

Fig. 4.7 (j) shows the subinterval  $t_9 \sim t_0$ . This is the dual freewheeling mode of the subinterval  $t_4 \sim t_5$ . Switches Q1, Q4, Q5 and Q6 are on so the transformer is shorted.

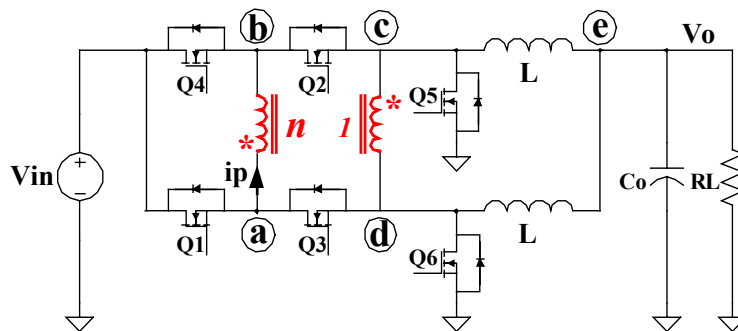


Fig. 4.5. The proposed phase-shift buck converter.



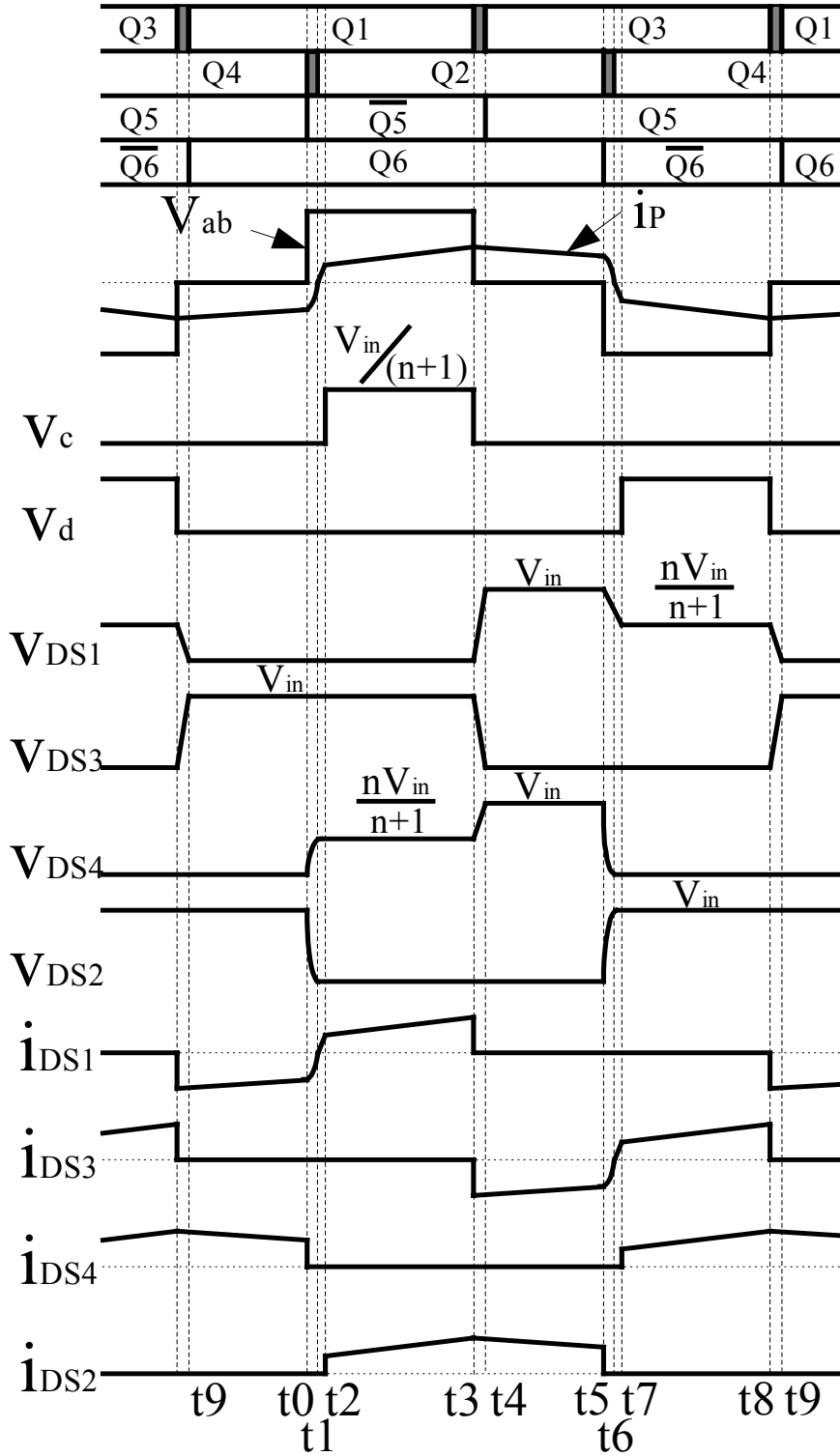
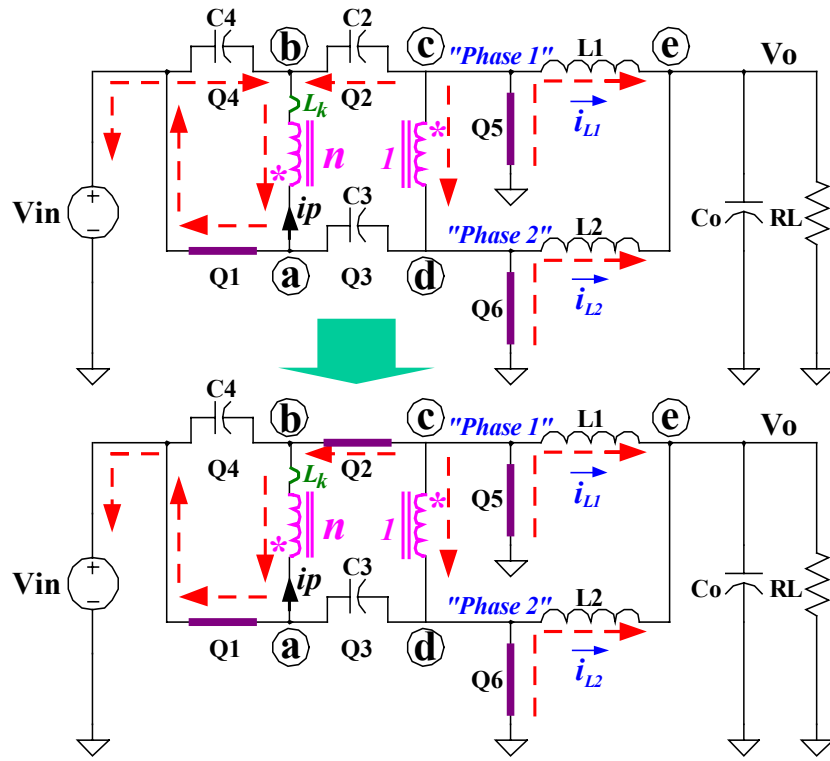
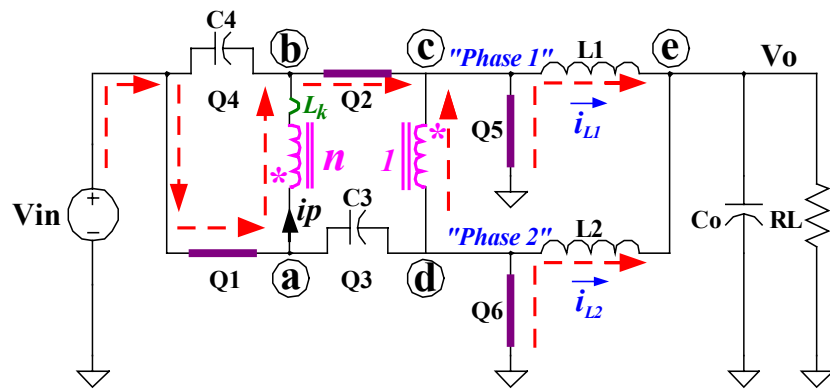


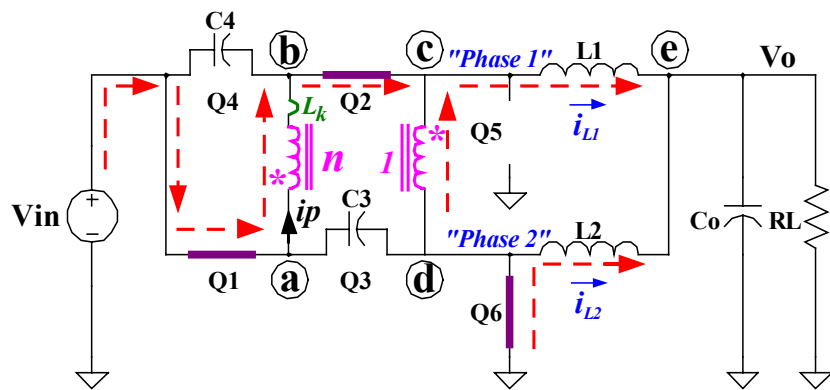
Fig. 4.6. The operation principle of the proposed phase-shift buck converter.



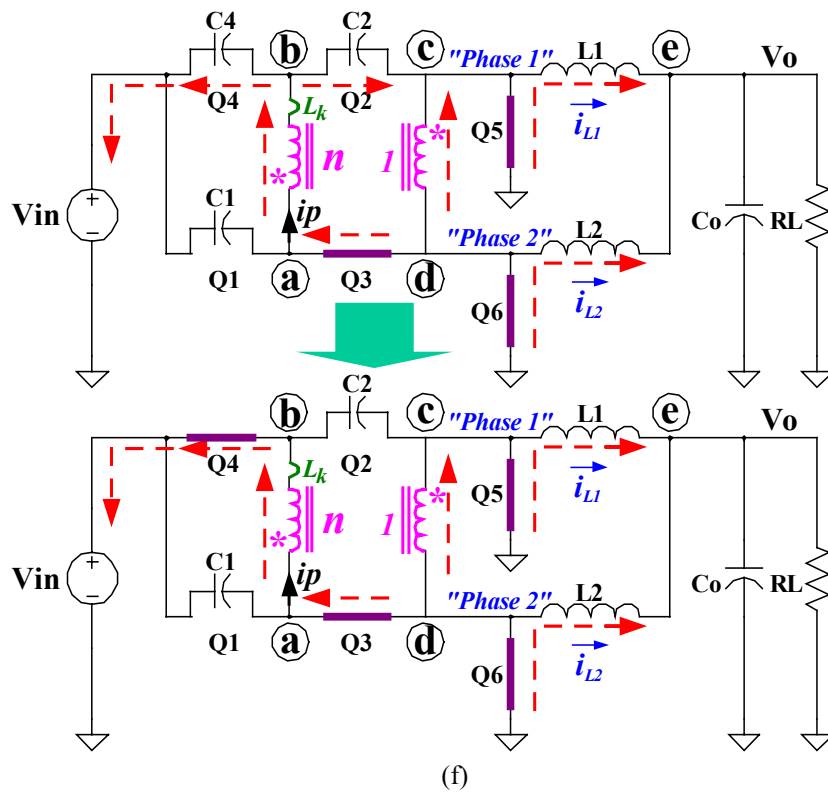
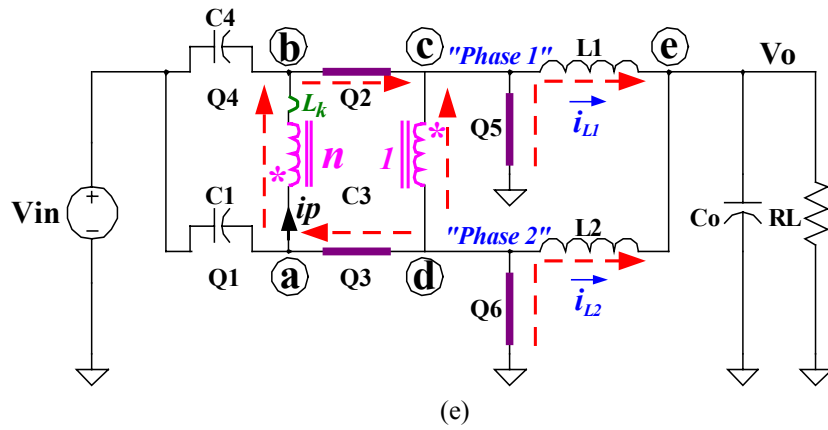
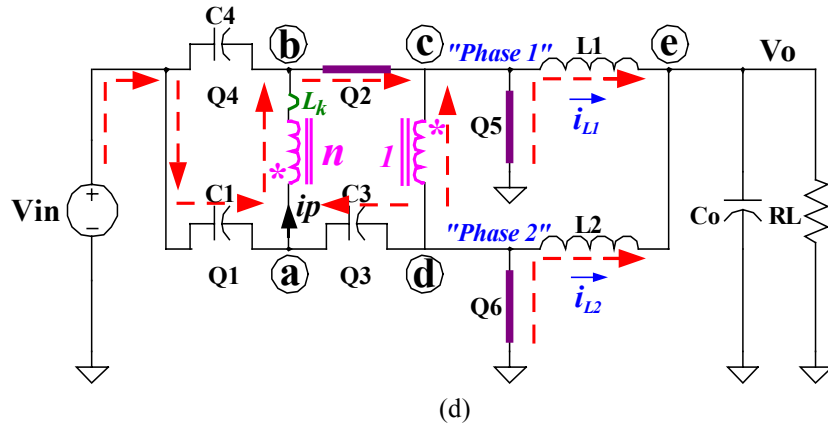
(a)

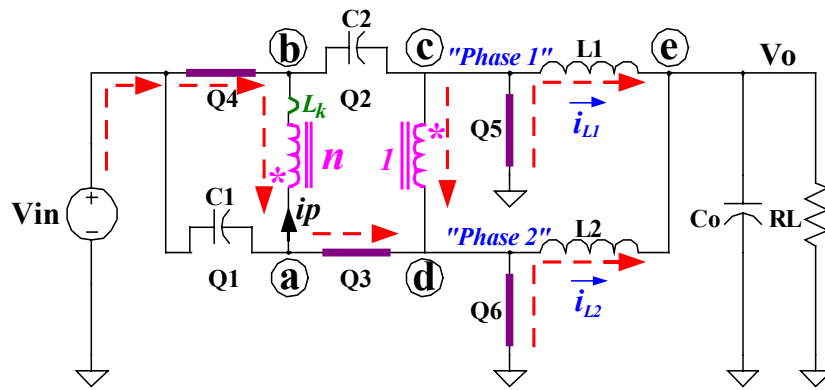


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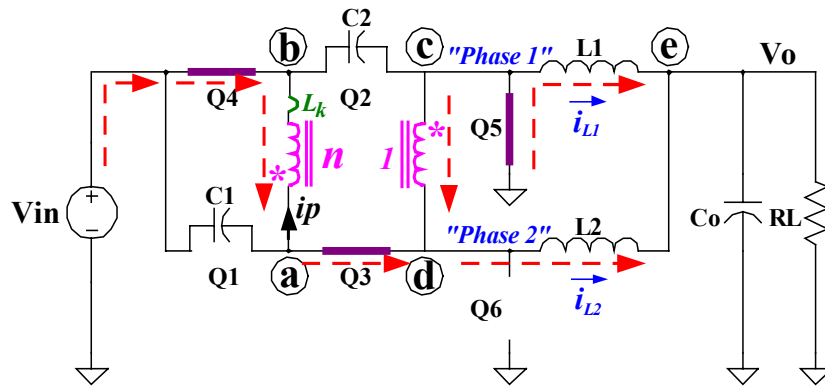


(c)

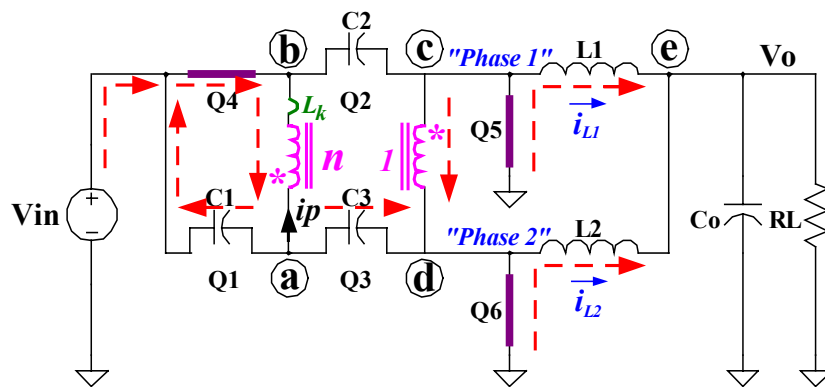




(g)



(h)



(i)

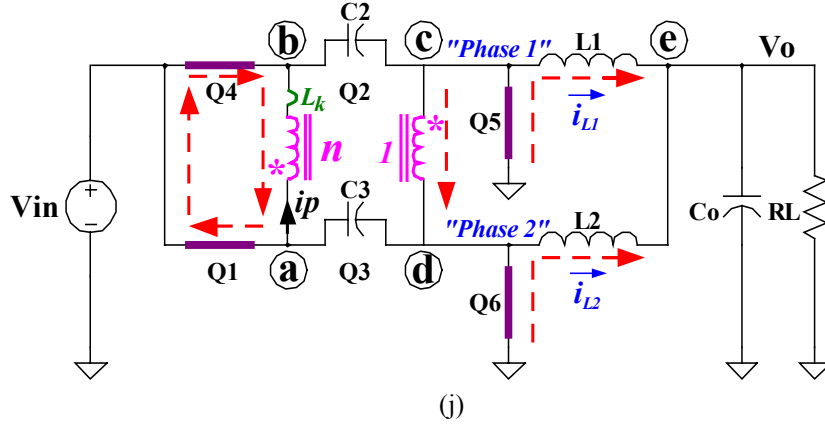


Fig. 4.7. Subintervals of the Circuit Operation: (a)  $t_0 \sim t_1$ , (b)  $t_1 \sim t_2$ , (c)  $t_2 \sim t_3$ , (d)  $t_3 \sim t_4$ , (e)  $t_4 \sim t_5$ , (f)  $t_5 \sim t_6$ , (g)  $t_6 \sim t_7$ , (h)  $t_7 \sim t_8$ , (i)  $t_8 \sim t_9$ , (j)  $t_9 \sim t_0$ .

In Fig. 4.7, the voltage potentials of nodes “c” and “d” during the power transfer modes are already expressed in formulas (4.1) and (4.2); during the freewheeling modes these voltages are zero. These voltages are applied to the output L-C filter. Since the voltage waveforms of nodes “c” and “d” are the same, with only 180-phase shift, the PSB converter behaves just like a two-phase interleaved buck converter. The input voltage of the equivalent buck converter is  $V_{in}/(n+1)$ . Accordingly, nodes “c” and “d” are marked as “Phase 1” and “Phase 2”.

For convenience in comparison with the buck converter, the duty cycle  $D$  is defined as the ratio of the duration of a power transfer mode and a full switching cycle. Then the voltage conversion gain of the PSB converter is

$$V_o = \frac{DV_{in}}{(n+1)} \quad (4.3)$$

A design example:  $V_{in}=12\text{V}$ ,  $V_o=1.5\text{V}$ ,  $n=1$ , will yield  $D=0.25$ .

The above analysis shows that the PSB converter is capable of realizing the ZVS turn on of the top switches Q1~Q4. This fact makes it possible to reduce the switching loss by paralleling snubber capacitors with Q1~Q4. A large drain-source capacitor slows down the drain-source voltage rise during the turn off of the switch, which reduces the turn-off loss. The energy stored in the capacitor is recovered during the ZVS turn on; therefore the switching loss is minimized.

The “phase” voltages are expressed by formulas (4.1) and (4.2). With the transformer turn’s ratio equal to  $n$ , the phase voltages are reduced when compared with that of the

simple-buck solution. This lower phase voltage reduces the body diode reverse recovery loss, which is another good feature at high switching frequency.

Although the PSB converter is derived from a non-isolated full-bridge converter, it has additional advantages.

In the phase-shift full-bridge converter, the transformer secondary-side voltage normally has severe ring. The leakage inductor and the output capacitors of the synchronous FETs cause the ringing. This voltage ringing increases the voltage stress of the synchronous FETs. The solution is either using higher voltage-rating FETs, which increases the conduction loss, or using additional snubber/clamping circuitry, which increases the complexity and cost. In the PSB converter, similar to in the phase-shift full-bridge converter, nodes “c” and “d” voltages have potential ringing for the same reason. However, when the ringing causes the voltage to exceed the input voltage  $V_{in}$ , it is automatically clamped at  $V_{in}$  through the body diodes of the top switches, namely,  $V_c$  is clamped through the body diodes of Q4 and Q2, and  $V_d$  is clamped through the body diodes of Q1 and Q3. Therefore nothing needs to be done to handle the ringing.

In the phase-shift full-bridge converter shown in Fig. 4.3, the drain-source capacitors of the lagging leg (Q4 and Q2) are discharged by the energy stored in the transformer leakage inductor  $L_k$ . Generally speaking,  $L_k$  is not very large, so the energy storage is limited. If the  $L_k$  energy is not large enough,  $C_4$  and  $C_2$  are not fully discharged when Q4 and Q2 are turned on. This will result in some turn-on loss. So the amount of capacitance paralleled with the lagging leg is limited due to the limited ZVS capability. This limits the gain on the reduction of the turn-off loss by paralleling capacitors with Q4 and Q2. In the PSB converter, the turn-off voltage stress of Q4 is  $nV_{in}/(n+1)$ , a smaller value than that in a full-bridge converter —  $V_{in}$ . This reduction in the turn-off voltage stress can help to further reduce the turn-off loss of the lagging-leg switches even with limited ZVS capability.

The selection of device in the PSB converter is different from that for the buck converter. Soft switching makes the drain-source voltage waveforms of the top switches clean; therefore the voltage stress of the top switches is only  $V_{in}$ . In 12V-input VR application, it is 12V. So 20V-rating MOSFETs can be used instead of 30V-rating MOSFETs. On the other hand, the phase voltages are expressed in formulas (4.1) and

(4.2). They are reduced compared with that in the buck converter. In a 12V-input buck VR, the switching node (“phase”) voltage suffers high-voltage ringing. The ringing is so high that 30V-rating MOSFETs are needed. The lower “phase” voltage in the phase-shift buck converter can alleviate the “phase” voltage ringing; therefore 20V MOSFETs can be used. Since 20V MOSFETs have better performance than 30V MOEFETS, the phase-shift buck converter can benefit from the lower voltage rating MOSFETs.

Fig. 4.8 shows the loss estimation of three converters: the buck converter, the PPB converter, and the PSB converter. In the buck converter and the PPB converter, 30V MOSFETs are needed. So the top switches are HAT2116 (30V,  $R_{ds}=10.5\text{m}\Omega$ ,  $Q_{gd}=5\text{nC}$ ), and the bottom switches are HAT2099 (30V,  $R_{ds}=5\text{m}\Omega$ ,  $Q_g=75\text{nC}$ ). In the PSB converter, all switches are Si4864 (20V,  $R_{ds}=3.5\text{m}\Omega$ ,  $Q_g=47\text{nC}$ ). All the three converters use four-phase structure to carry 70A output current. The input voltage is 12V, the output voltage is 1.3V, the inductance is 150nH, and the switching frequency is 1MHz.

From Fig. 4.8, it is seen that the PSB converter greatly reduces the switching loss. Also because of the lower “phase” voltage, the body-diode reverse recovery loss is reduced compared to the buck converter. As far as top-switch conduction losses are concerned, the rms currents of the top switches are the largest for the PSB converters due to the current freewheeling. However, because the PSB converter can use 20V MOSFETs, which have lower  $R_{ds(\text{on})}$ , the final results of the total top-switch conduction loss is even smaller than in the other two converters. The same thing happens to the bottom-switch conduction loss. Although the rms current is the largest, the PSB converter does not suffer much from the bottom-switch conduction loss.

Getting simple drivers for the top switches is important. The most convenient method today is to use a bootstrap driver. Fig. 4.9 (a) shows a conventional bootstrap driver used to drive a top-bottom switch combination. Q1 is the top switch and Q2 is the bottom switch. The switching node “SW” is connected to the bootstrap driver; it is also connected to one terminal of a bootstrap capacitor. When Q2 is on, “SW” is pulled down to ground, thus a bootstrap capacitor is charged by the bias voltage supplier of the driver. Then the capacitor provides energy to charge the gate capacitor of Q1 during the turn on of Q1. The device arrangement in the PSB converter is different from Fig. 4.9 (a). Fig. 4.9 (b) shows one leg of the PSB converter. There are three devices in series; therefore

there are two top switches, namely Q1 and Q3. Also there are two switching nodes, namely  $a$  and  $d$ . In order for a bootstrap driver to work, the voltage potentials of nodes  $a$  and  $d$  have to be pulled down to ground for some time within a switching cycle. Node  $d$  is pulled down to ground when Q6 is on, so Q3 can be easily driven. For node  $a$  to be pulled down to ground, Q3 and Q6 need to both be on. Based on the circuit operation principle shown in Fig. 5.7, Q3 and Q6 are both on during  $t_4 \sim t_5$ . So Q1 can be easily driven as well. The bootstrap driver in Fig. 4.9 (b) is a proposed one to drive the PSB converter devices. The work mechanism is the same as the conventional bootstrap driver.

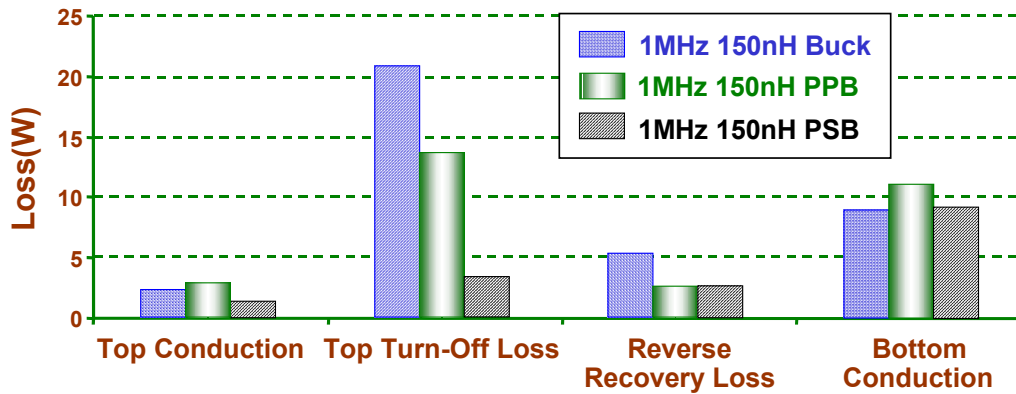
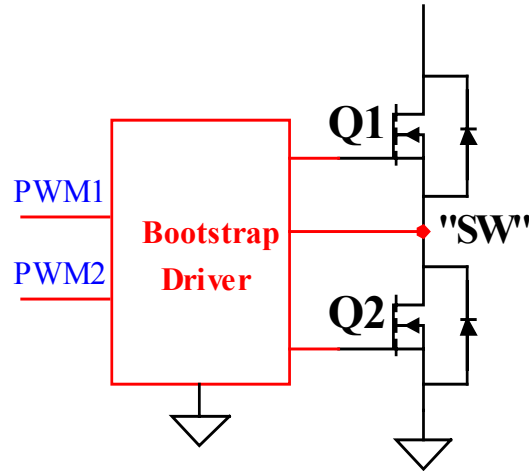
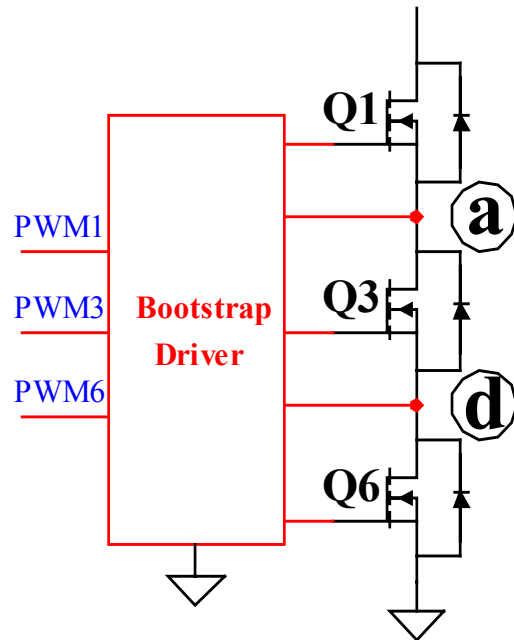


Fig. 4.8. Loss comparison of the buck converter, the push-pull buck converter, and the phase-shift buck converter.





(a)



(b)

Fig. 4.9. Drivers of the phase-shift buck converter: (a) the conventional bootstrap driver, and (b) the proposed bootstrap driver.

#### 4.3. Simple Integrated-Magnetics and High Efficiency of the PSB Converter.

The PSB converter uses a current doubler. There are two ways to implement the magnetic structure of the PSB converter: one is to use a transformer and two inductors; the other way is through the integrated magnetics approach. As for the discrete approach, three magnetic components are needed. In addition to size and cost concerns, the

interconnection loss of these components also negatively impacts the efficiency, especially in high-current applications [110]. Integrated magnetics technique is more promising, especially in this high-current application.

Reference [12] proposed a simple way of integrating the transformer and the two inductors in one core. This structure is shown in Fig. 4.10. The primary winding (between nodes “a” and “b”) of the transformer is wound around the center leg of the core. There is no air gap on the center leg. The inductor windings (the winding between nodes “c” and “e”, and the winding between nodes “d” and “e”) are put on the outer legs of the core. Air gaps are put on both outer legs to store energy, thereby forming the inductors. The fact that the flux going through the center leg also goes through the outer legs makes the secondary winding of the transformer (the winding between nodes “c” and “d”) physically unnecessary. This magnetic structure is very simple. Particularly, when  $n=1$ , a 1:1 configuration includes all the magnetic parts.

Fig. 4.11 (a) shows a way to construct the windings. The magnetic core is embedded in the PC board so the transformer and the inductors can be connected to the rest of the circuit without interconnections. This approach therefore eliminates the conduction loss caused by the interconnections. Fig. 4.11 (b) shows the three-dimensional view of the structure when the turns ratio is  $n=1$ . A double-sided PC board is sufficient to make this 1:1 configuration.

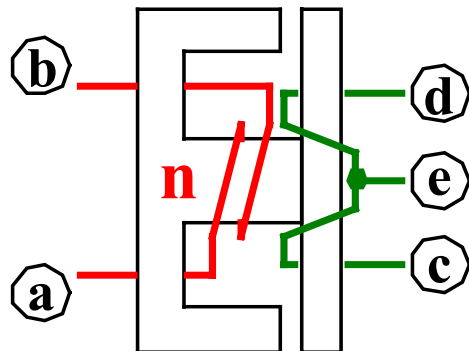


Fig. 4.10. The integrated magnetics structure of the phase-shift buck converter.

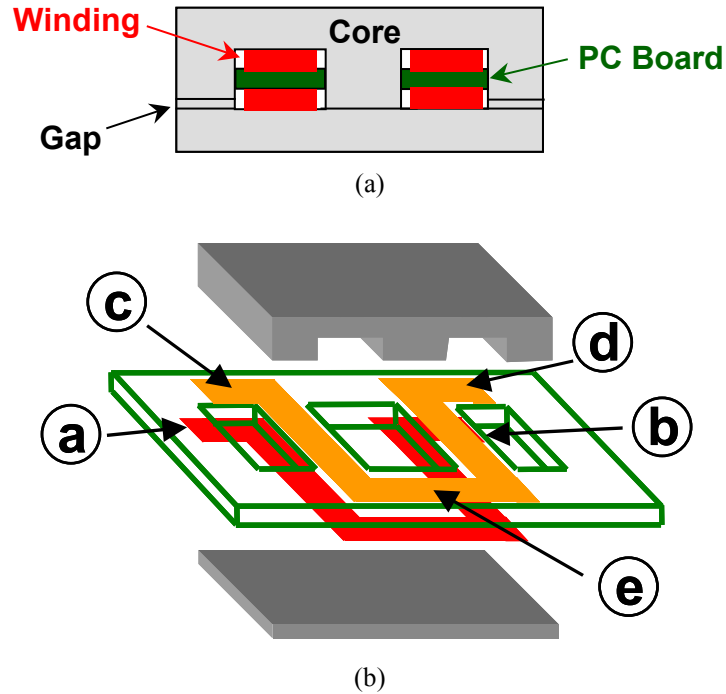


Fig. 4.11. The integrated magnetics structure using PCB windings: (a) the air gaps, and (b) the 3-D view of the structure when  $n=1$ .

A phase-shift buck VR prototype is built. Fig. 4.12 shows the photograph of the prototype. The design specifications are: 12V input, 1.3V output, current load from 0~35A, and 1MHz switching frequency. The devices used are Si4864DY (20V,  $R_{ds}=3.5\text{m}\Omega$ ,  $Q_g=47\text{nC}$ ). The integrated magnetics structure is implemented with the use of a Philips EI-18 planar cores and PCB windings. The turn's ratio is  $n=1$ . The inductance is 150nH/phase. Because the phase-shift buck converter is essentially a two-phase interleaved configuration, the benchmark is a two-phase buck converter. Also the push-pull buck converter design discussed in Chapter 3 is included for comparison. The measured efficiency is shown in Fig. 4.13. The experimental test result shows that the phase-shift buck greatly increases the efficiency over the buck converter and the push-pull buck converter. Fig. 4.14 (a) shows the drain-source voltage ( $V_{ds}$ ) and the gate-source voltage ( $V_{gs}$ ) of Q1 (leading leg switch) and Q4 (lagging leg switch). It is seen that  $V_{gs}$  rises after  $V_{ds}$  drops to zero, which means ZVS turn-on; Fig. 4.14 (b) shows zoomed-out waveforms of all four top switches (Q1~Q4). The waveforms are as illustrated in Fig. 4.6. Fig. 4.14 (c) shows that nodes "c" and "d" see 6V voltages, 180° out of phase.

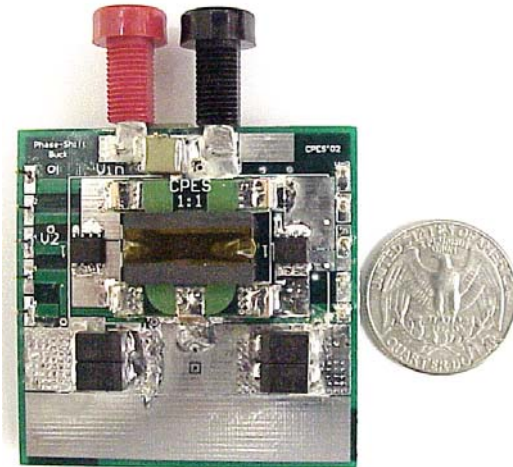


Fig. 4.12. The photograph of the phase-shift buck converter prototype.

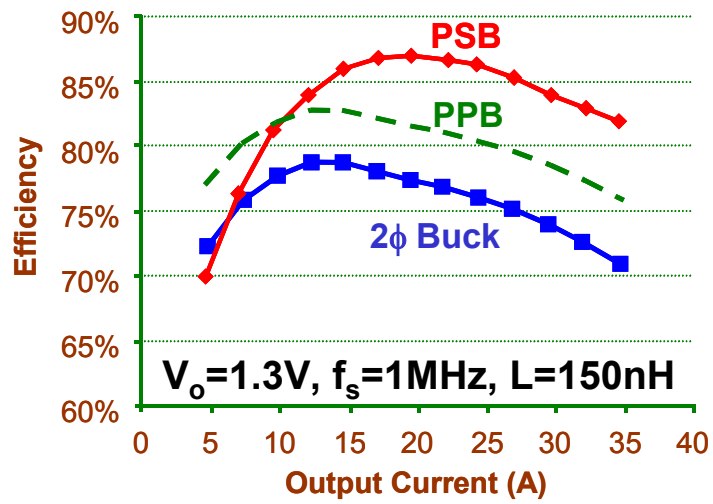


Fig. 4.13. The measured efficiency comparison.

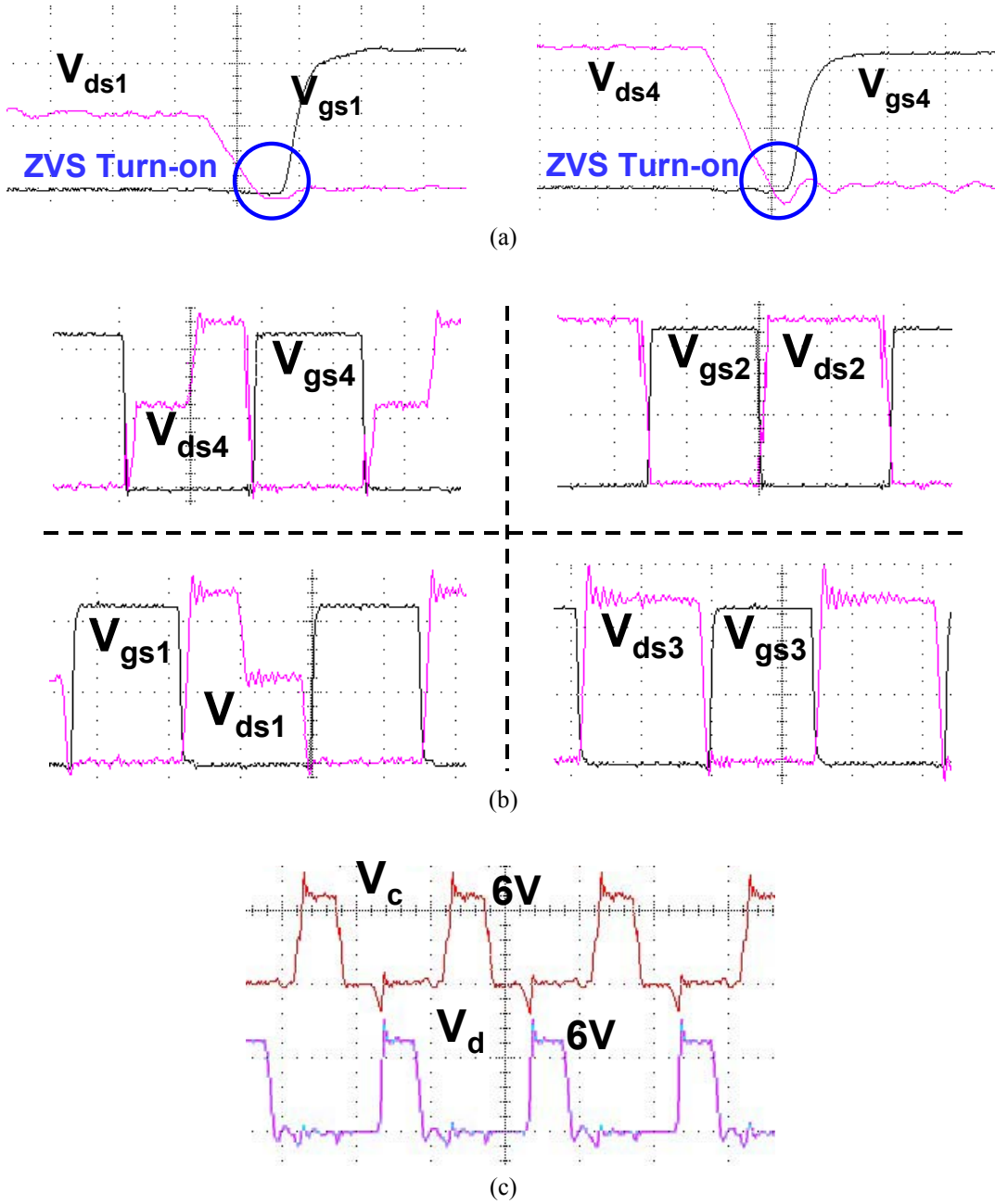


Fig. 4.14. Test waveforms of the PSB prototype, (a) ZVS turn-on, (b) Top switch waveforms and (c) Bottom switch waveforms.

#### 4.4. The Proposed Matrix-Transformer Phase-shift Buck (MTPSB) Converter.

The PSB converter greatly increases efficiency. However the PSB, which is essentially a two-phase configuration, uses more devices than a two-phase buck converter. The large amount of current demanded by the CPU requires VRs to use four-phase configurations. A four-phase buck converter needs eight devices. Fig. 4.15 shows a four-phase PSB converter, using twelve devices, which is 50% more than that of a four-phase buck converter.

With the matrix-transformer concept from [72], the matrix-transformer phase-shift buck (MTPSB) converter is proposed as a simplify substitute of the four-phase PSB. Fig. 4.16 shows the circuit diagram. In a MTPSB, there are only one set of top switches Q1~Q4 and two transformers. The transformer primary windings are put in series between nodes *a* and *b*, and the secondary windings are put in parallel between nodes *c* and *d*. To handle the large amount of current, four synchronous FETs (Q5~Q8) and four output inductors are used. Q7 uses the same gate signal as Q5's and Q8 uses the same gate signal as Q6's. The control strategy of the matrix-transformer phase-shift buck converter is the same as the phase-shift buck converter.

Fig. 4.17 (a) shows the power transfer mode when Q1 and Q2 are both on. In this mode, Q6 and Q8 are both on for current freewheeling while Q5 and Q7 are both off for energy to transfer to the output inductors. The transformers primary windings voltages are added up while the secondary windings see the same voltage. Therefore the voltage potentials of nodes *c1* and *c2* is easily derived as  $V_{in}/(2n+1)$ . Fig. 4.17 (b) shows the other power transformer mode when Q3 and Q4 are both on. In this mode, Q5 and Q7 are both on for current freewheeling while Q6 and Q8 are both off for energy to transfer to the output inductors. Again it can be derived that the voltage potentials of nodes *c1* and *c2* are  $V_{in}/(2n+1)$ . Besides the energy transfer modes, there are two freewheeling modes. The freewheeling modes have all the synchronous FETs on and have the transformer windings shorted.

From the circuit behavior, it can be concluded that the MTPSB is actually equivalent to a  $2n:1$  PSB shown in Fig. 4.18. The difference is that the MTPSB uses two  $n:1$  transformers to implement a  $2n:1$  transformer and uses four inductor to carry the high current. The distributed magnetic structure (two transformers and four inductors) delivers

four-channel current-handling capability and the paralleled bottom devices can carry higher current. The operation principle of the MTPSB can thus refer to Fig. 4.6, just keep in mind the turn's ratio is now  $2n:1$ . ZVS of the MTPSB is therefore straightforward.

From formula (4.3), considering the turn's ratio is now  $2n:1$ , the voltage conversion gain of the MTPSB is

$$\frac{V_o}{V_{in}} = \frac{D}{(2n+1)} \quad (4.4)$$

An example:  $V_{in}=12\text{V}$ ,  $V_o=1\text{V}$  and  $n=1$  yields  $D=0.25$ . The large step-down voltage conversion (from 12V to 1V) is achieved with simple 1:1 transformer structure. This makes the MTPSB especially suitable for the future CPUs sub-1V core voltage application.

There are eight top switches in the four-phase PSB converter, while there are only four top switches in the MTPSB converter. This fact makes the MTPSB simpler than the PSB. However, each top switch in the MTPSB converter needs to handle higher current than that in the PSB converter. Fig. 4.20 shows the loss comparison of the four-phase buck converter, the four-phase PSB converter and the MTPSB converter. For future experimental comparison, the analysis for the buck converter is done at both 500KHz and 1MHz. The PSB converter and the MTPSB converter are analyzed at 1MHz. The analysis shows that the MTPSB converter has higher top-switch conduction loss than the PSB converter. Also the MTPSB converter has higher turn-off loss than the PSB converter. The turn-off loss is on the lagging leg switches (Q4 and Q2), due to the limited ZVS capability. The turn-off currents of Q4 and Q2 in the MTPSB converter are higher than those in the PSB converter; therefore the turn-off loss is higher. The "phase" nodes ("c1", "c2", "d1" and "d2") in the MTPSB converter sees lower voltage than those in the PSB converter. This reduces "phase voltage" and helps to reduce the bottom switch reverse recovery loss. As regards bottom switch conduction loss, there is no much difference between the PSB converter and the MTPSB converter.

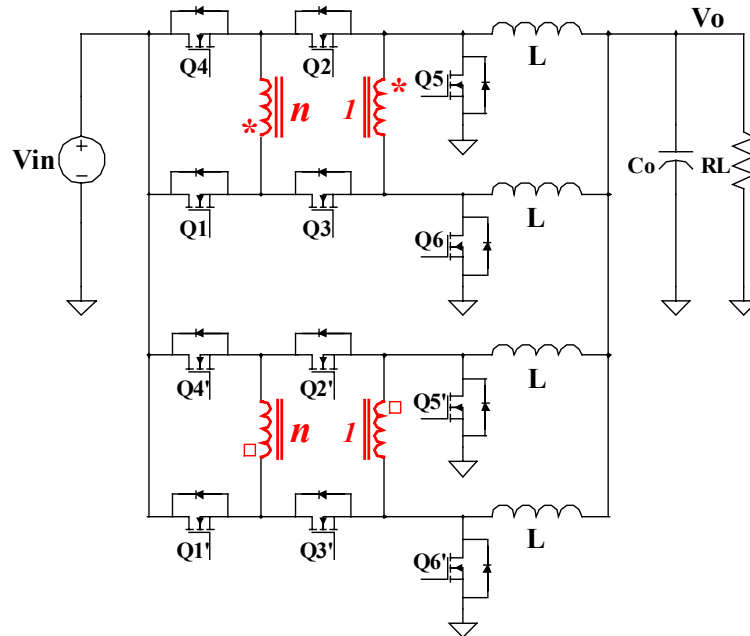


Fig. 4.15. The four-phase phase-shift buck converter.

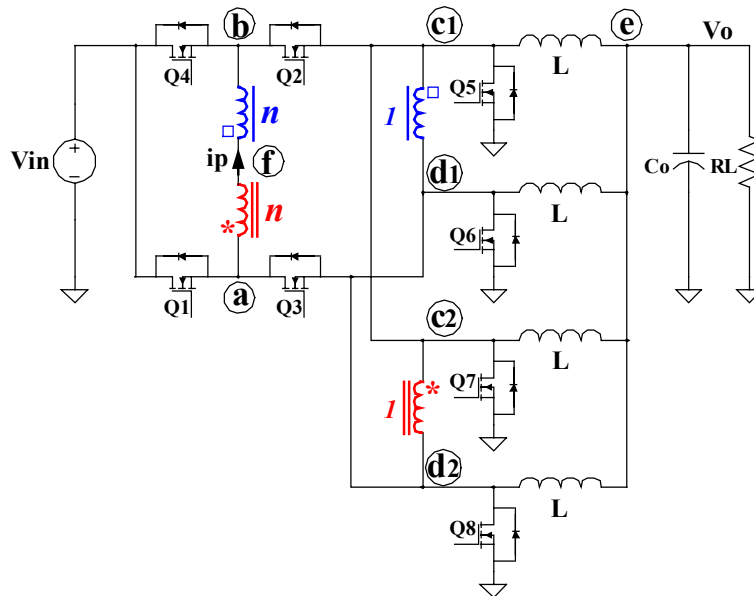
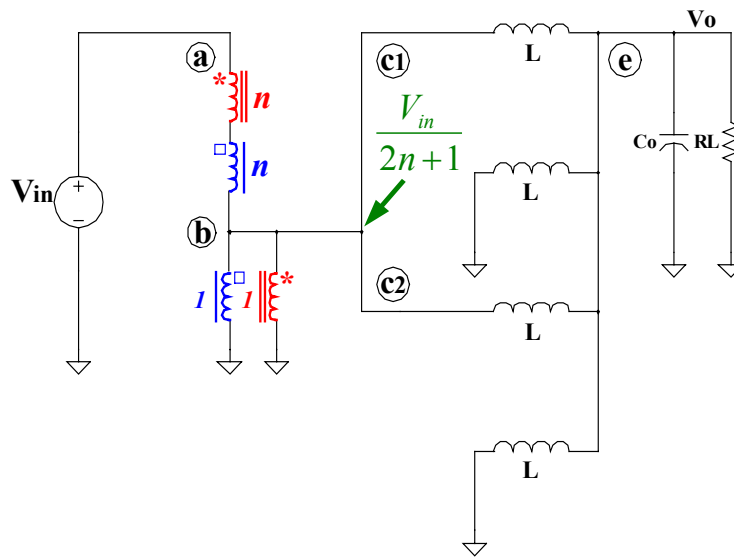
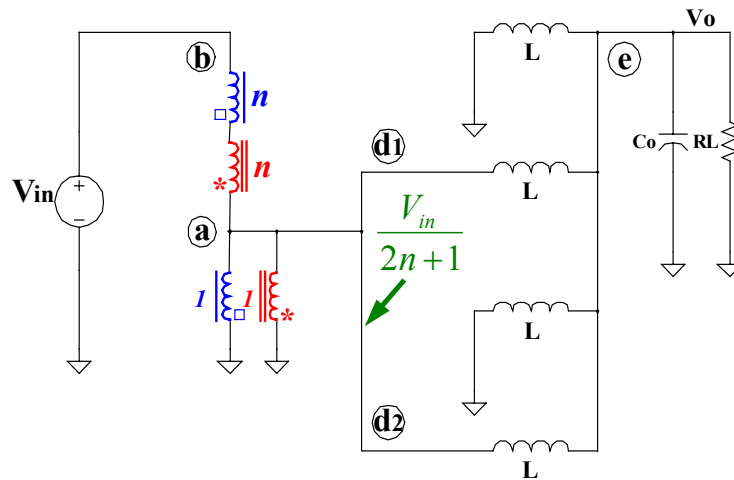


Fig. 4.16. The proposed matrix-transformer phase-shift buck (MTPSB) converter.





(a)



(b)

Fig. 4.17. The equivalent circuits of the matrix-transformer phase-shift buck converter: (a) when Q1 and Q2 are both on, and (b) when Q3 and Q4 are both on.

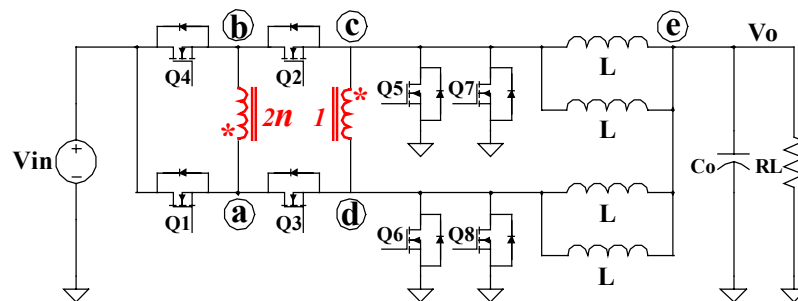


Fig. 4.18. The equivalent PSB of the matrix-transformer phase-shift buck converter.

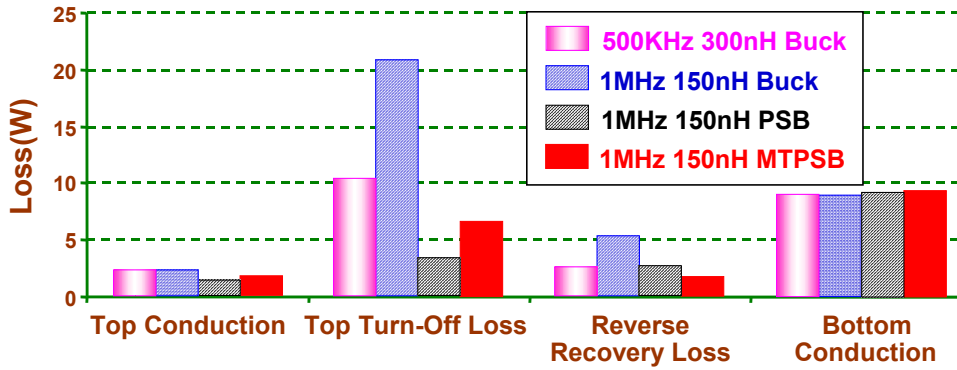


Fig. 4.19. Loss comparison of the buck converter, the PSB converter, and the MTPSB converter.

Although two transformer plus four inductors can make the magnetic part of an MTPSB converter, integrated magnetics can make the implementation more concise. The integrated magnetics starts from the PSB magnetic structure shown in Fig. 4.11. Fig. 4.20 (a) shows a configuration with two transformers following the structure shown in Fig. 4.11. Because the primary windings  $a-f$  and  $f-b$  are in series, Fig. 4.20 (a) can be equivalently reconfigured as Fig. 4.20 (b). The new winding  $a-b$  is the total of  $a-f$  and  $f-b$ , but is simplified into one winding. Fig. 4.20 (b) shows the transformer turns ratio as 1:1. If the turns ratio is  $n:1$  instead of 1:1, the winding  $a-b$  will be an  $n$ -turn structure. Like in the PSB converter implementation, air gaps on the four outer legs of the two EI cores to store energy and form the four inductors, as shown in Fig. 4.20 (c). Fig. 4.20 (d) shows the three-dimensional view of the entire structure when using 1:1 turns ratio. The two transformers and four inductors are integrated into a very compact two-layer three-turn structure.

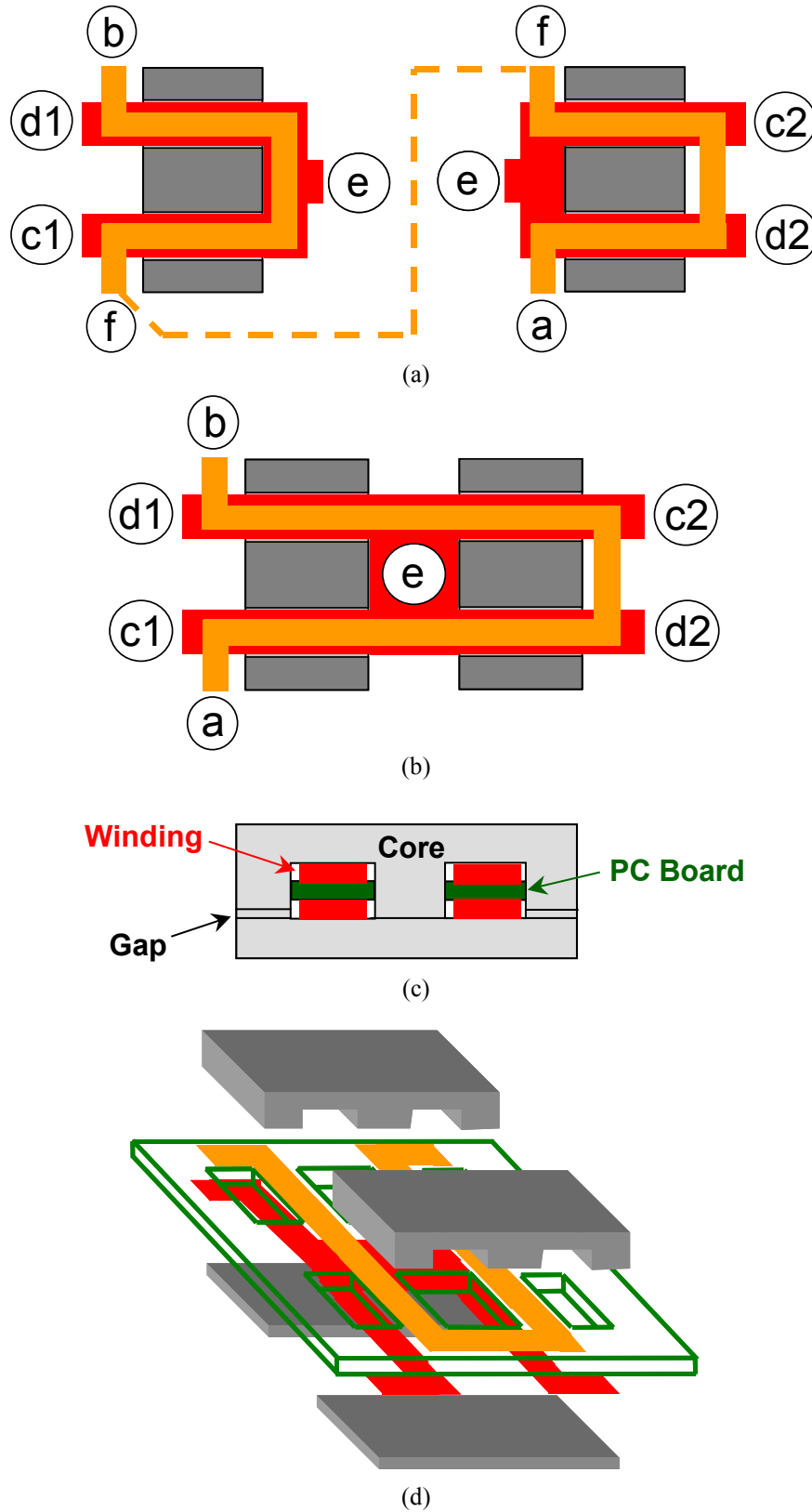


Fig. 4.20. The integrated magnetics structure of the matrix-transformer phase-shift buck converter: (a) Following the PSB implementation, (b) the top view, (c) the air gaps, and (d) the 3-D view.

A matrix-transformer phase-shift buck VR prototype is built. Fig. 4.21 shows a photograph of the prototype. The design specifications are: 12V input, 1.3V output, current load from 0~70A, and 1MHz switching frequency. The devices used are Si4864DY (20V,  $R_{ds}=3.5\text{m}\Omega$ ,  $Q_g=47\text{nC}$ ). The integrated magnetics structure is implemented with the use of two Philips EI-18 planar cores and PCB windings. The turn's ratio is  $n=1$ . The inductance is 150nH/phase.

The measured efficiency is shown in Fig. 4.22. Fig. 4.22 (a) shows the efficiency comparison between the MTPSB converter and the four-phase PSB converter. The switching frequency is 1MHz in both converters. The experimental test result shows that the MTPSB converter has slightly lower efficiency than the four-phase PSB converter. Considering the fact that the MTPSB converter uses four fewer devices than the PSB converter, this efficiency drop is the result of the trade off between efficiency and circuit complexity/cost. Fig. 4.22 (b) shows the efficiency comparison of the 1MHz MTPSB converter, the 1MHz four-phase buck converter and the 500KHz four-phase buck converter. The test result shows that the 1MHz MTPSB converter has considerable higher efficiency than the 1MHz buck converter. Even when compared with the 500KHz buck converter, the 1MHz MTPSB converter has slightly higher efficiency.

Fig. 4.23 (a) shows the drain-source voltage ( $V_{ds}$ ) and the gate-source voltage ( $V_{gs}$ ) of Q1 (leading leg switch). It is seen that  $V_{gs}$  rises after  $V_{ds}$  drops to zero, which means ZVS turn-on; Fig. 4.24 (b) shows zoomed-out waveforms of all four top switches (Q1~Q4). Fig. 4.24 (c) shows that nodes “c” and “d” see 4V voltages, 180° out of phase.

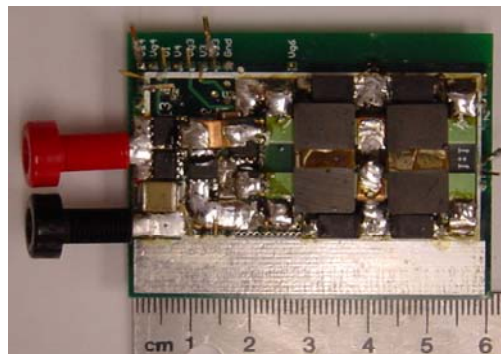
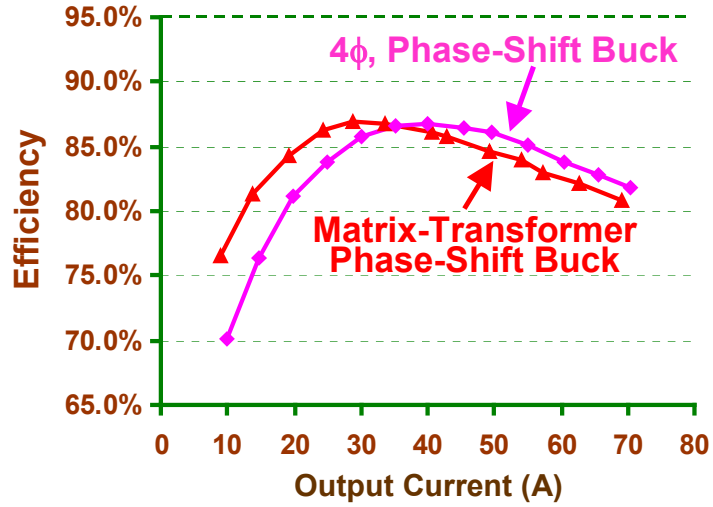
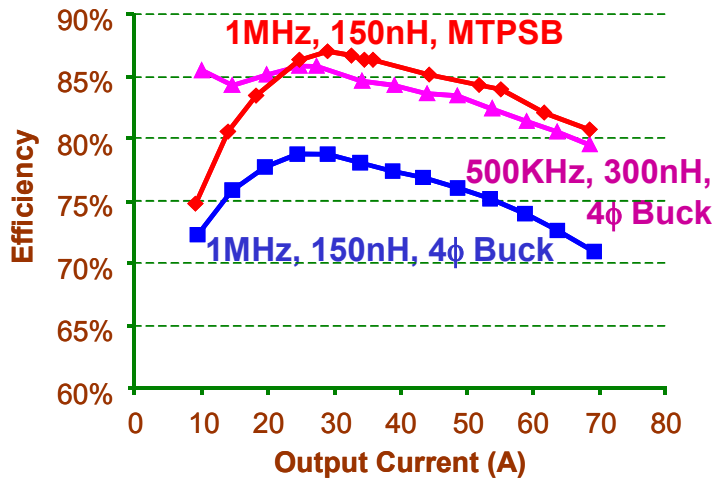


Fig. 4.21. Photograph of the phase-shift buck converter prototype.



(a)



(b)

Fig. 4.22. The measured efficiency: (a) efficiency comparison of the matrix-transformer phase-shift buck converter and the four-phase phase-shift buck converter, and (b) efficiency comparison of the matrix-transformer phase-shift buck converter and the four-phase buck converter.

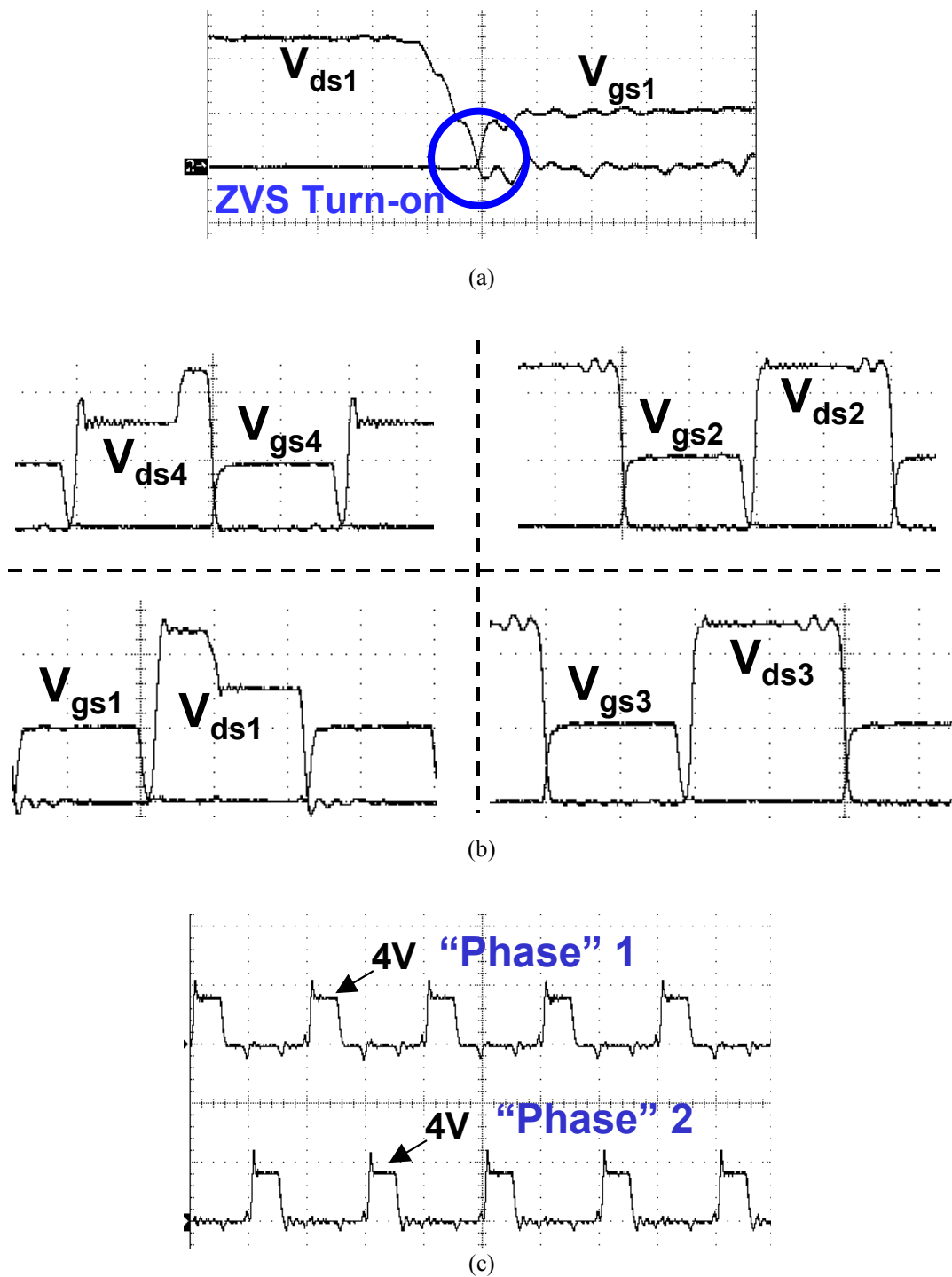


Fig. 4.23. Test waveforms of the MTPSB prototype, (a) ZVS turn-on, (b) Top switch waveforms and (c) Bottom switch waveforms.

#### 4.5. Cost Benefit of the MTPSB VR Solution.

In Chapter 3, it is concluded that when the switching frequency reaches 1MHz the all-ceramic solution is better than the OSCON-ceramic solution in terms of both footprint area and cost, and therefore provides an opportunity to deliver a more cost-effective VR solution. That being said, the buck converter and the push-pull buck converter cannot benefit from this solution due to the poor efficiency at 1MHz. However, the emergence of the MTPSB converter, which is capable of efficient power conversion at 1MHz, makes it possible to benefit from the all-ceramic solution.

Today's 12V-input non-isolated VR solution is the multiphase buck converter. The typical switching frequency is 300~500KHz, and the typical number of phases is four. There are two major applications for 12V-input non-isolated VRs: one is the desktop application; the other is the server application. Fig. 4.24 (a) shows the desktop computer VR solution. In this case, the VR output capacitors are OSCON capacitors plus some ceramic capacitors. The capacitor design has already been discussed in Chapter 4, therefore is not explained here. There are eleven OSCON capacitors and 300 $\mu$ F ceramic capacitor used. Fig. 4.24 (b) shows the server VR solution. In this case, VRs use all ceramic capacitors for better reliability and low profile, and the amount of ceramic capacitance is 1600 $\mu$ F. Normally the bottom devices are two MOSFETs in parallel for efficiency concerns. Fig. 4.25 (a) shows the cost breakdown of the desktop computer VR design in Fig. 4.24 (a), as of 2002. It can be seen that the capacitor cost is the biggest part in the total cost. Fig. 4.25 (b) shows the cost breakdown of the server VR design in Fig. 4.25 (b). The cost is normalized based on the desktop computer VR cost. Due to the low switching frequency (500KHz), the all-ceramic solution is more expensive than the OSCON-ceramic solution. The shown number is 56% higher.

Fig. 4.24 (c) shows the proposed MTPSB solution. The MTPSB converter operates at 1MHz, and therefore uses only 800 $\mu$ F ceramic capacitors as the output capacitor. Fig. 4.25 (c) shows the cost breakdown of the proposed MTPSB solution. It is very evident that the capacitor cost is reduced. The high efficiency makes it unnecessary to parallel devices therefore the device cost is minimal. The additional cost caused by the MTPSB converter is the cost of the transformers, which is marked as "X" in Fig. 4.25 (c). The estimation is that the total cost of the MTPSB solution achieves 5% cost reduction

compared with the desktop VR solution shown in Fig. 4.24 (a), and 40% cost reduction compared with the server VR solution shown in Fig. 4.24 (b).

It has already been concluded in Chapter 4 that at 1MHz the all-ceramic solution uses less footprint area.

Based on the above analysis, it can be concluded that the proposed MTPSB solution is a more cost-effective solution than today's multiphase buck solution.



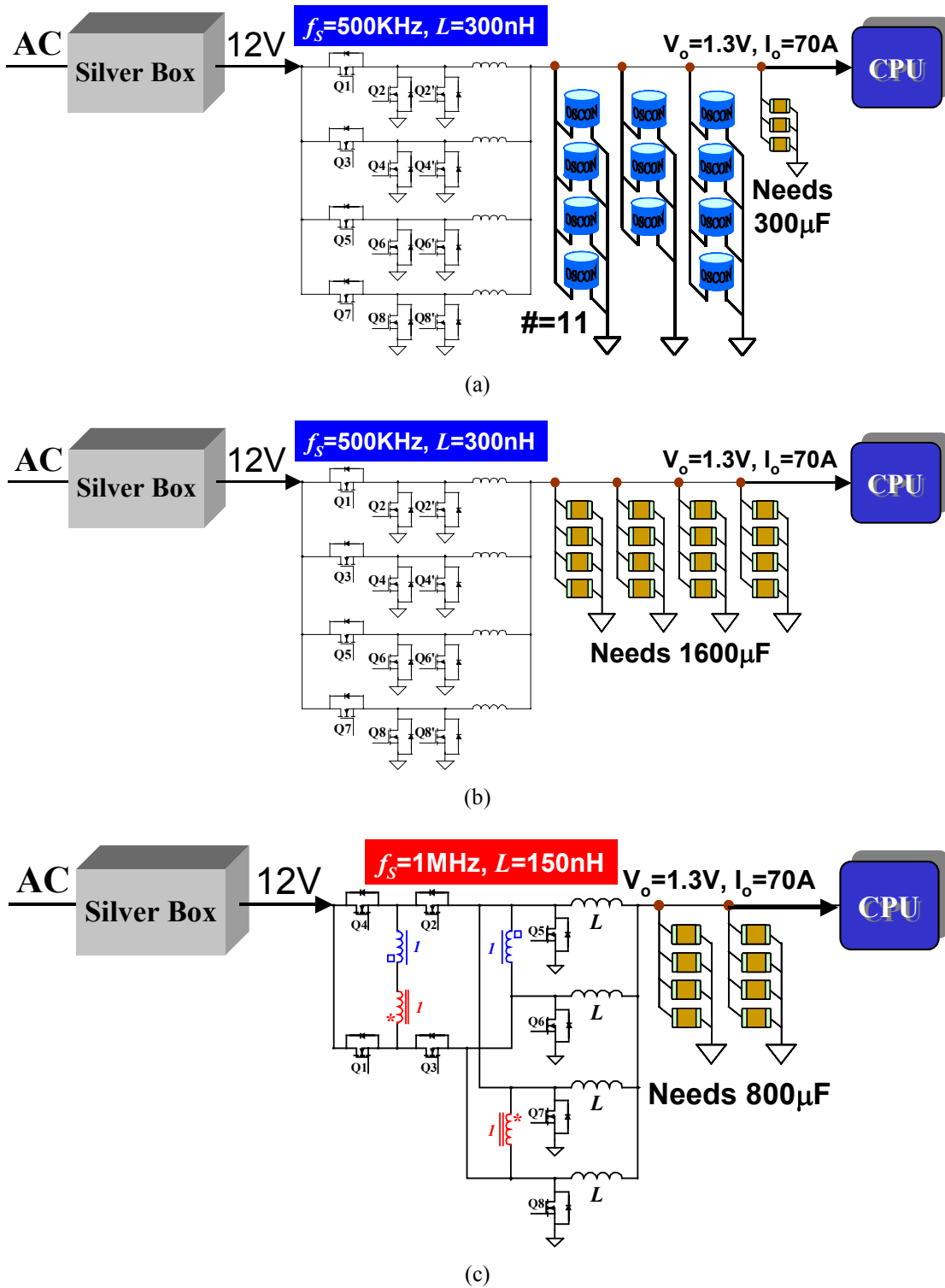
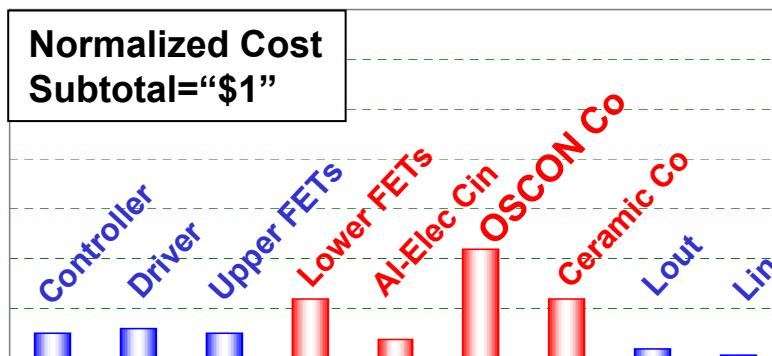
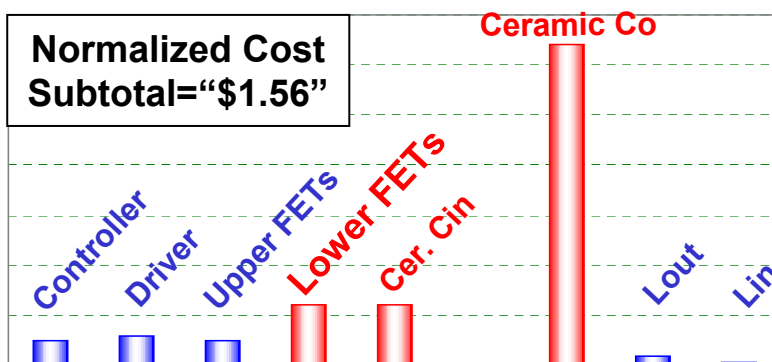


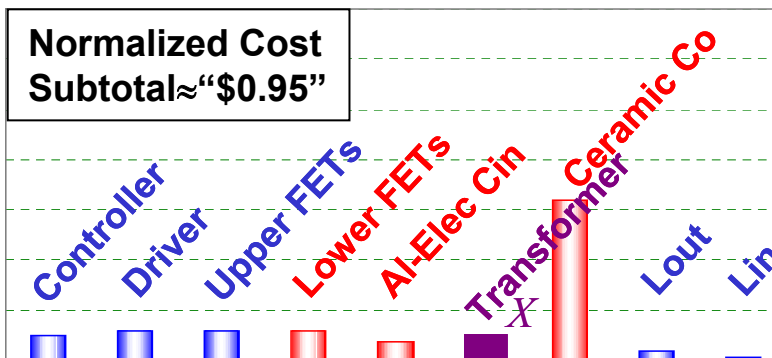
Fig. 4.24. VR solutions: (a) current desktop computer VR solution, (b) current server VR solution, and (c) the proposed MTPSB solution.



(a)



(b)



(c)

Fig. 4.25. Cost breakdown of the VR solutions: (a) current desktop computer VR solution, (b) current server VR solution, and (c) the proposed MTPSB solution.

#### 4.6. Summary.

High switching frequency reduces the VR output capacitors needed. This benefit is achieved only when the VR switching frequency is higher than 1MHz. However, although the PPB converter has good efficiency at 300KHz, its efficiency is still too low at 1MHz due to the switching loss. Switching loss being a barrier, soft switching is needed.

The proposed soft-switched phase-shift buck (PSB) converter evolves from the full bridge converter. With phase-shift control of the top switches, it achieves soft switching for the top switches. Highly efficient power conversion is achieved at high switching frequency. The integrated magnetics makes the implementation concise and delivers good performance.

Given that the PSB converter has good performance, the matrix-transformer phase-shift buck (MTPSB) converter is a simplified version of the four-phase PSB converter. The MTPSB converter trades off some performance with circuit complexity. It reduces the MOSFET count by four compared with the PSB converter while dropping only 1 ~ 2% efficiency. This feature establishes itself as a very cost effective solution for future VRs. The magnetic structure of the MTPSB converter is also very simple with the use of integrated magnetics.

The proposed matrix-transformer phase-shift buck converter is a more cost-effective and smaller-size solution than the multiphase buck solution.

## Chapter 5. The Ultimate Architectural Solution for Future VRs —

### The Two-Stage Approach

#### 5.1. The Unique Challenges for Laptop VRs.

In Chapter 4, the PSB converter is proposed as a better solution than the 12V-input multiphase buck converter for desktop application. It is of great interest whether this new approach can benefit more applications.

Desktop is just one of the two main market segments of personal computers (PCs); the other is the laptop. Figs. 5.1 and 5.2 show the data from Gartner Dataquest (August 2002). Fig. 5.1 shows the worldwide PC market as of 2002. 77% of the PCs sold are desktops and 23% are laptops. Fig. 5.2 (a) shows the shipment growth of desktop PCs. There are 23,575,000 units shipped in the second quarter of 2001, while there are 22,994,000 units shipped in the second quarter of 2002, which is a decline of 2.5%. Despite the desktop PC market being soft, the laptop PC market is gaining strong growth. Fig. 5.2 (b) shows the shipment growth of laptop PCs. There are 6,506,000 units shipped in the second quarter of 2001, while there are 6,901,000 units shipped in the second quarter of 2002, which translates into 6.1% growth. More recent news indicates that laptop PCs are expected to oversell desktop PCs in the near future. With laptops gaining more market share, the research on improving laptop VRs is getting more attention.

Fig. 5.3 shows the power delivery structure of today's laptop computers. The electrical power supplied by typical wall outlets supplies 110V alternating current (AC) voltage to the adapter, which converts the AC voltage into 19V direct current (DC) voltage. A battery charger draws current from the 19V DC voltage to charge the battery packs. When AC power is not available, the power selector runs the laptop power from the batteries.

A laptop CPU VR is used to convert an unregulated high input voltage, either the AC-DC adapter or battery, to a suitable regulated DC voltage rail to power the core of the CPU. The state-of-the-art laptop CPU VR solution also uses the multiphase interleaving synchronous buck topology [1][2][3][4][5][111], as shown in Fig. 5.4, which is the same as the state-of-the-art desktop VR solution.

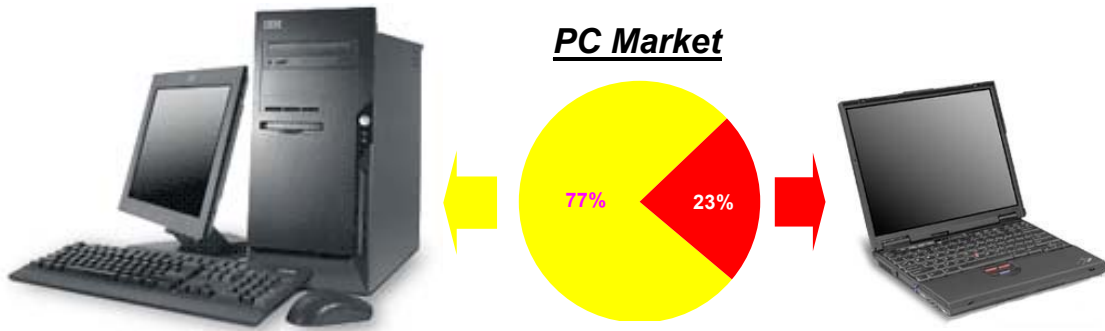


Fig. 5.1. The PC market as of year 2002.

Worldwide Non-Mobile PC Unit Shipment Estimates for 2Q02 (Thousands of Units)			
	2Q01 Shipments	2Q02 Shipments	Growth
<b>Total Market</b>	23,575	22,994	<b>-2.5%</b>

Source: Gartner Dataquest (July 2002)

(a)

Worldwide Mobile PC Unit Shipment Estimates for 2Q02 (Thousands of Units)			
	2Q01 Shipments	2Q02 Shipments	Growth
<b>Total Market</b>	6,506	6,901	<b>6.1%</b>

Source: Gartner Dataquest (August 2002)

(b)

Fig. 5.2. The PC market growth: (a) desktop PCs, and (b) mobile PCs.

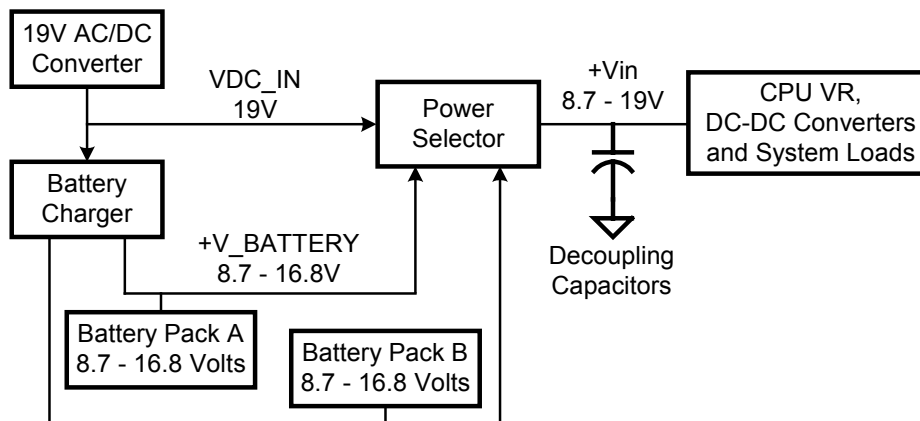


Fig. 5.3. Today's laptop computer power delivery structure.

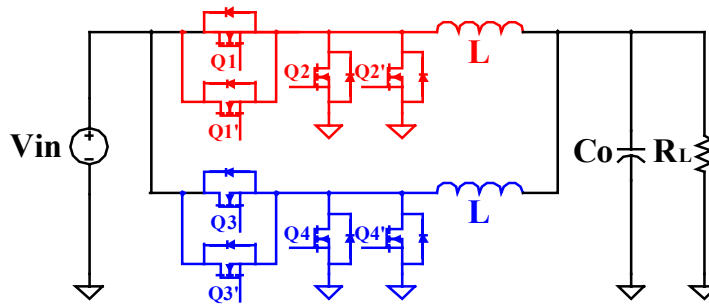


Fig. 5.4. Today's laptop VR solution: multiphase buck converter.

Many of the challenges a laptop VR faces are the same as the challenges faced by a desktop VR. The ever-increasing CPU core current brings the main challenge. Laptops use mobile CPUs, which have greatly improved in performance in the past few years, just like their peers in desktops. As they become more powerful, their power consumption has increased dramatically. Fig. 5.5 shows the current consumption of Intel mobile CPUs. As the clock frequency increases to 3GHz, the current consumption increases to above 50A. Just as a desktop VR, a laptop VR needs high efficiency at heavy load to be thermally alive, and needs to have good transient response. These are the general requirements for a VR.

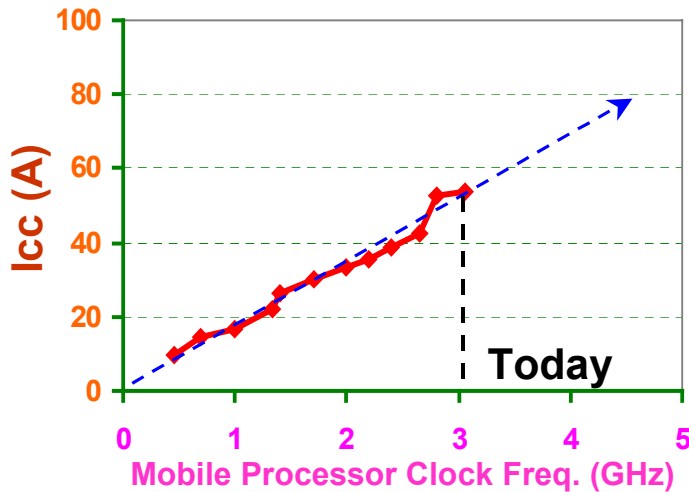


Fig. 5.5. Historical data on current consumption of Intel mobile microprocessors.

Laptop VRs have unique challenges that are not raised in desktop VR application. One of them is the different form-factor. Fig. 5.6 shows a photograph of the CPU VR solution of IBM Thinkpad A32 laptop computer. It can be seen that the form-factor of a

laptop VR is small and light. This is because portability is required. All components are in surface mount packages.

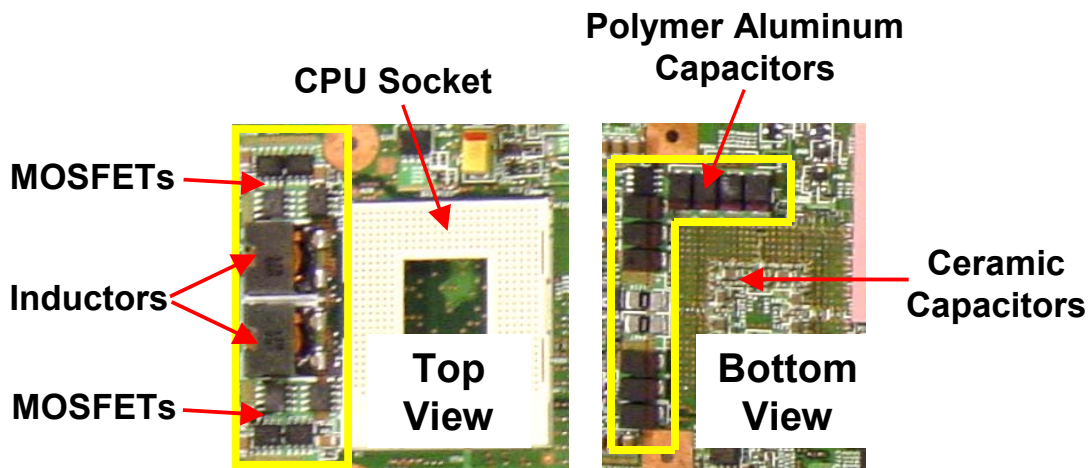


Fig. 5.6. The photograph of the VR solution of IBM Thinkpad A32 laptop computer.

Another unique challenge for a laptop VR is the wide input voltage range. A laptop VR needs to work with battery voltage as input. Today, lithium battery packs are most widely used because lithium is the lightest of all metals, has the greatest electrochemical potential, and provides the largest energy content. Rechargeable batteries using lithium as an electrode are capable of providing both high voltage and excellent capacity, resulting in an extraordinary energy density. Fig. 5.7 shows a typical Li-ion battery pack discharge characteristics. The voltage range of a single lithium battery cell is 4.2~2.9V during the course of discharging. The most widely used battery packs have a 3 series (S)-2 parallel (P) configuration or 3S3P, 4S2P, 4S4P configurations. A 3S2P or a 3S3P battery pack has an 8.7~12.6V voltage range, and a 4S2P or a 4S3P battery pack has an 11.6~16.8V voltage range. A laptop VR needs to work with all the battery packs and the adapter, which results in a voltage range of 8.7~19V. This wide input voltage range is very different from the desktop VR input voltage range of  $12V \pm 10\%$ .

### A Typical Li-ion Battery Discharge Characteristics (per cell)

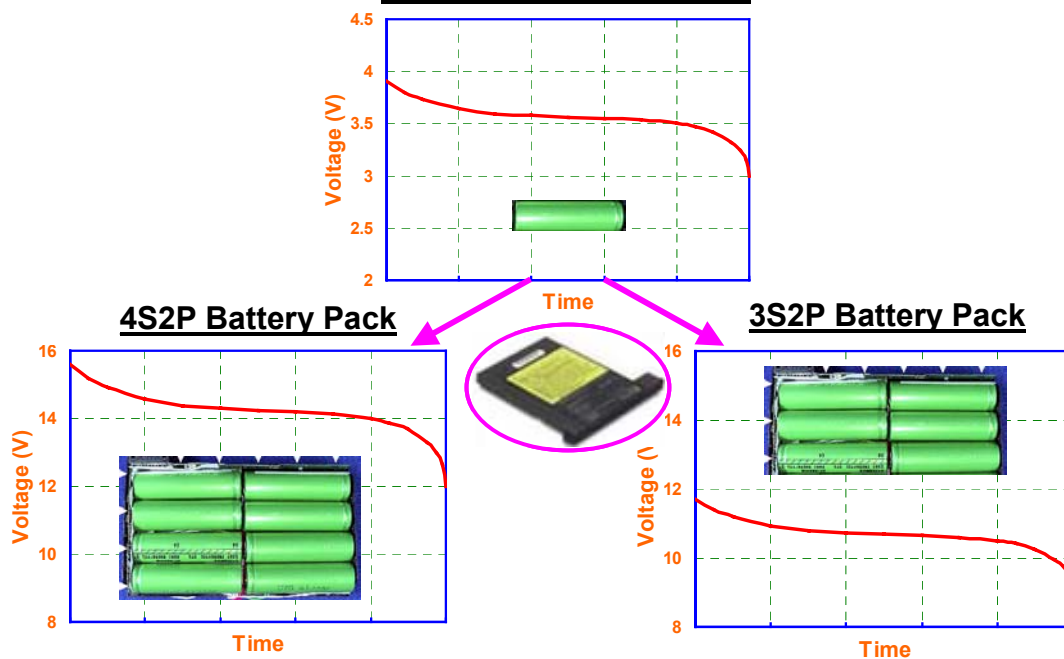


Fig. 5.7. Li-ion battery pack discharge characteristics.

Laptops are constrained by battery life. Laptop users often work on the road, which means the battery is the only energy source available. It is very important to reduce the power consumption of the entire laptop platform to prolong battery life. Fig. 5.8 shows the base power scenario of a laptop platform running a battery life benchmark ZDBL4.01 (Ziff Davis BL4.01) [111]. This is a high power scenario and the LCD display is not included in this pie chart. The biggest power consumer is the CPU. It accounts for 57% of the base power. The second biggest part is the power supply loss, which accounts for 14% of the base power. All the other components account for 29% of the base power.

To reduce the biggest part of the power pie, mobile CPUs use aggressive power management; the CPU frequently goes into sleep states. This can even happen between keystrokes. Fig. 5.9 shows an example of mobile CPU power consumption profile. When the CPU is performing instructions, it is in the high power state (C0) and draws high current from the VR; for the rest of the time, it is in the sleep power states and draws low current. There are multiple sleep power states, sleep state (C1), deep sleep state (C2), etc. By entering the sleep power states, the CPU consumes less average power. How often the CPU is in the peak power state determines what the average CPU power is, and this is



very much software- and user-dependent. In a battery life benchmark, such as the ZDBL4.01, the CPU spends >80% of the time in the low-current states.

Power loss accounts for the second biggest part of the power pie. Reducing it is as important as reducing the CPU average power. The CPU spending >80% of the time in the low current state means the VR operates at light-load for >80% of the time; therefore, it is very important to maximize the power-conversion efficiency of the VR under the light-load condition to extend the battery life of the laptop.

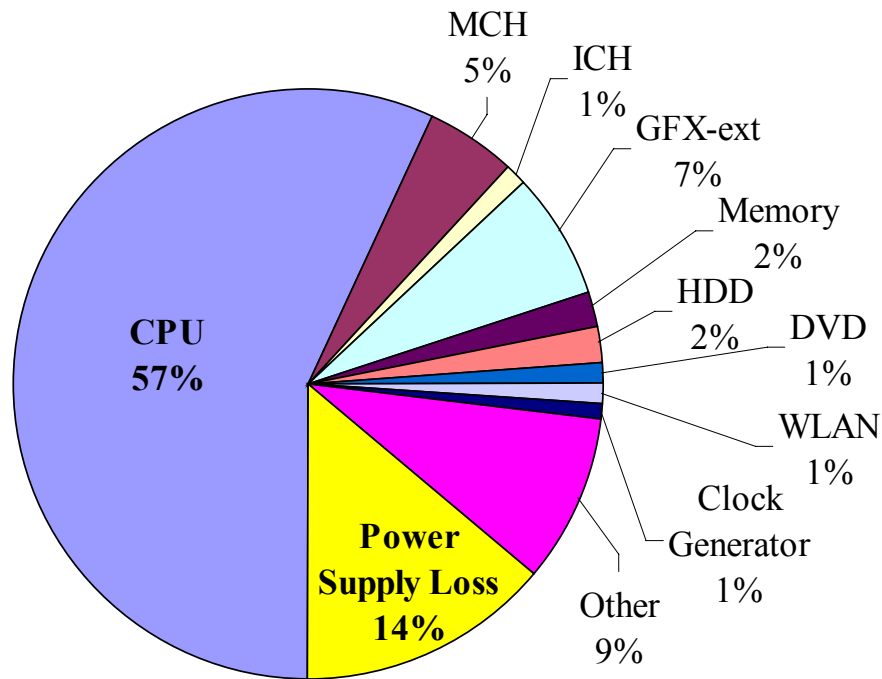


Fig. 5.8. A laptop computer base power consumption analysis.

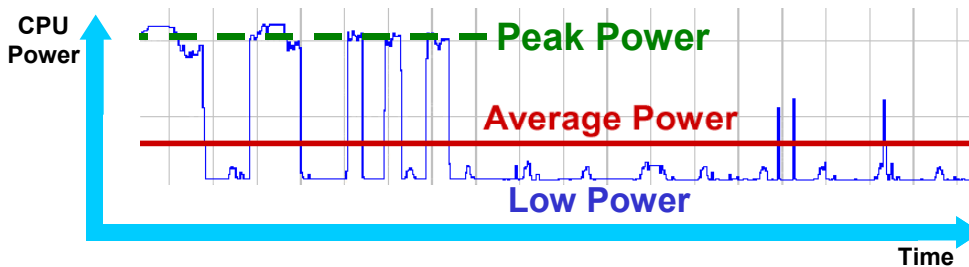


Fig. 5.9. The mobile CPU power consumption.

From the above discussion, it is seen that the requirements for a laptop CPU VR is different from a desktop CPU VR. As a summary, table 5.1 shows a comparison between the desktop VR and the laptop VR:

- (1) The heavy-load efficiency. A laptop has limited space, hence limited airflow, which limits the heat removal capability of the chassis. The VR heavy-load efficiency needs to be maximized so the maximum heat generated by the VR does not exceed the heat budget allocated to it. This heavy-load efficiency requirement is similar to that of desktops.
- (2) The light-load efficiency. The laptop computer is constrained by battery life. To prolong the battery, the VR needs to maintain high power conversion efficiency in the entire load range. This is different from the desktops where light-load efficiency is not so critical.
- (3) Transient response. In both laptop VRs and desktop VRs, the transient response requirement is stringent due to the properties of the processor load.
- (4) Form factor. The form-factor of a laptop VR must be small and light to allow for portability. This is in sharp contrast to the form-factor of a desktop VR, which is not critical at all.
- (5) Input voltage. A laptop VR has an input voltage that is not only high but also of a wide range. It needs to work with 8.7~19V input voltage, as opposed to  $12V \pm 10\%$  for a desktop VR.
- (6) Cost consideration. The cost of a laptop VR is important, but not as important as the previous four requirements. However, cost is a very sensitive factor in a desktop VR.

Table 5.1 Comparisons of Desktop VR and Laptop VR

Requirements	Laptop VRs	Challenges	Desktop VRs
<b>Heavy-Load Efficiency</b>	High	=	High
<b>Light-Load Efficiency</b>	High	≥	Not critical
<b>Transient Response</b>	Stringent	=	Stringent
<b>Form-Factor</b>	Small and light	≥	Not critical
<b>Input Voltage</b>	8.7~19 V	≥	12 V ± 10%
<b>Cost Consideration</b>	Not very sensitive	<	Very Sensitive

From the above comparison, it can be seen that a laptop VR faces greater challenges than a desktop VR. It needs to achieve high efficiency in the entire load range under all input voltages; it needs to have good transient response; and it needs to be thin and light. The power management in a laptop is so aggressive that it demands the very best solution. On the other hand, cost is not that crucial a consideration for a laptop VR, as opposed to the decisive factor for a desktop VR.

Fig. 5.10 (a) shows the PSB solution for desktop VRs, which is proved a superior one; Fig 5.10 (b) shows the PSB solution for laptop VRs. Since a laptop VR faces more challenges, the PSB solution for laptops needs reevaluation with the new challenges taken into consideration.

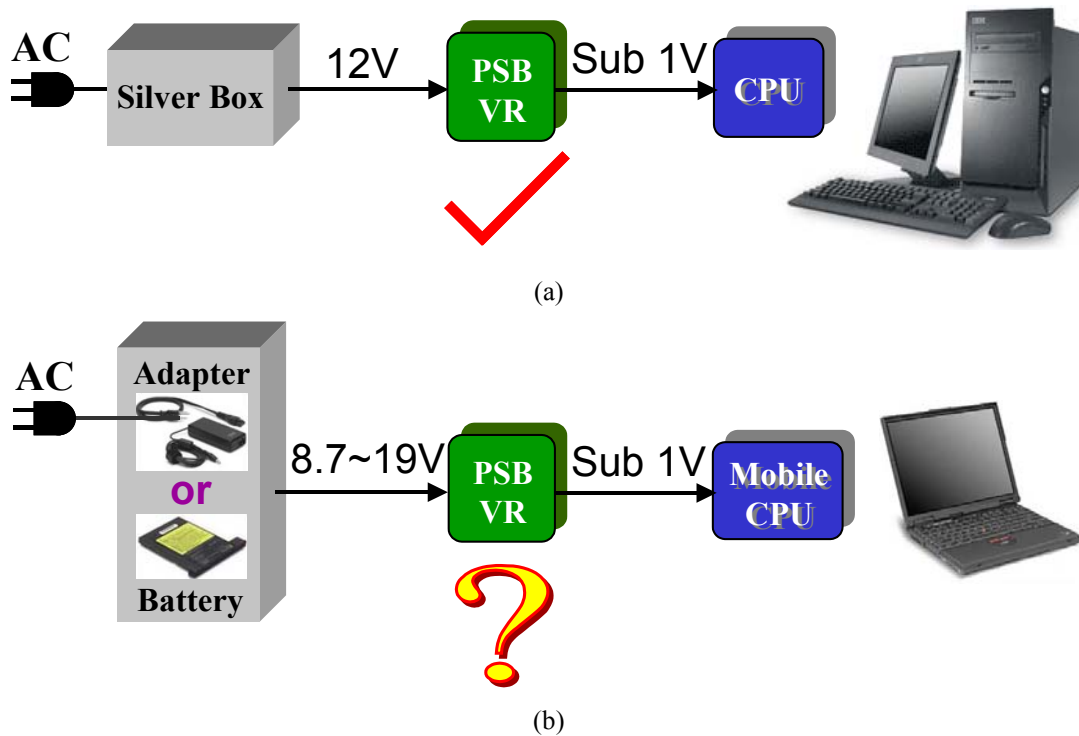


Fig. 5.10. The single-stage VR power architecture: (a) The PSB solution for desktop VRs; and (b) the PSB solution for laptop VRs.

## 5.2. The Limitations of the PSB Solution for Laptop VRs.

The PSB converter discussed in Chapter 5 establishes itself as a superior solution for desktop VRs. Table 6.2 extracts the requirements for a desktop VR from table 6.1 and attaches the evaluation of the PSB converter in this application. A desktop VR needs to have high heavy-load efficiency, good transient response, needs to work with  $12V \pm 10\%$  input voltage, and needs to be cost effective. On the other hand, light load efficiency and form-factor are not so critical. The PSB converter meets all the requirements therefore it is a good solution for desktop VR.

Table 5.2 Evaluation of the PSB Converter as a Desktop VR

	<b>Requirements as a Desktop VR</b>	
<b>Heavy-Load Efficiency</b>	High	<b>Y</b>
<b>Light-Load Efficiency</b>	Not critical	<b>N/A</b>
<b>Transient Response</b>	Stringent	<b>Y</b>
<b>Form-Factor</b>	Not critical	<b>N/A</b>
<b>Input Voltage</b>	12 V $\pm$ 10%	<b>Y</b>
<b>Cost Consideration</b>	Very Sensitive	<b>Y</b>

However, as table 5.1 indicates, a laptop VR has more aggressive requirements than a desktop VR. The aggressiveness is shown through the light-load efficiency requirement, small and light form-factor, and the wide input voltage range. On the other hand, cost is not so crucial a factor for a laptop VR. Fig. 5.11 shows the schematic of the PSB solution for laptop VRs. The following discussion addresses two issues of this approach.

(1). The low light-load efficiency. The laptop VR needs to maintain high efficiency at light load. However, the PSB converter is a soft-switch converter. In general, a soft-switched converter tends to be less efficient at light load. The general reason for this is that a soft-switching converter needs some energy to do the soft switching, which manifests itself as circulating energy. This circulating energy is bad for efficiency. At heavy load, the gain from the switching loss saving is more than the penalty from the circulating energy, so the soft-switched converter is more efficient; however, at light load, the gain from the switching loss saving is little, therefore the penalty from the circulating energy is magnified, dragging down the efficiency. Fig. 5.12 shows the light-load operation principle of the PSB converter. It can be seen that the top switch currents  $i_{DS1} \sim i_{DS4}$  do freewheeling in order to provide energy for the ZVS turn-on of the switches Q1 ~ Q4. These freewheeling currents creates conduction loss on Q1 ~ Q4. At light load, the freewheeling loss becomes more significant while the saving on the switching loss is minimum; therefore the PSB has low efficiency at light load. Fig. 5.13 shows the

measured efficiency of the PSB converter and the buck converter. Both converters operate at 1MHz. The dashed parts are extropolated. It can be seen that the PSB converter efficiency drops very fast at light load. This is unacceptable for the laptop VR application.

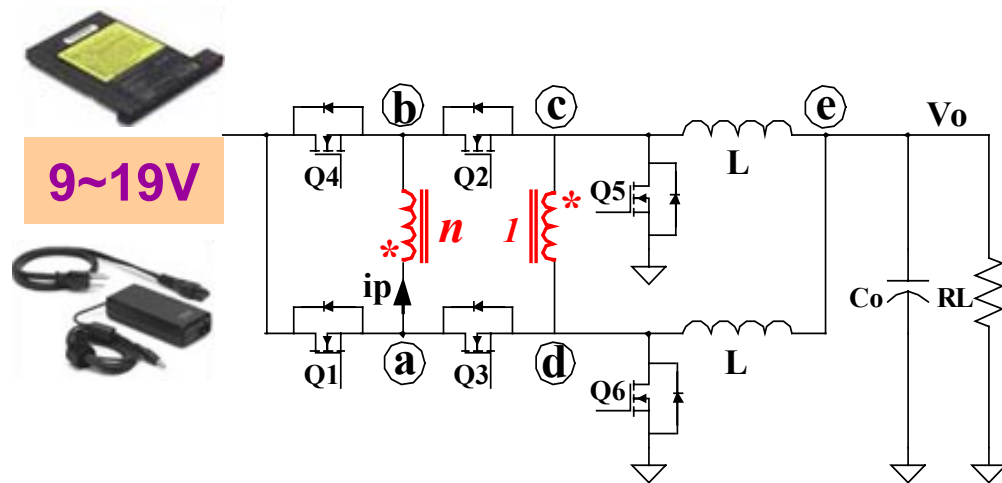


Fig. 5.11. The PSB solution for laptop VRs.

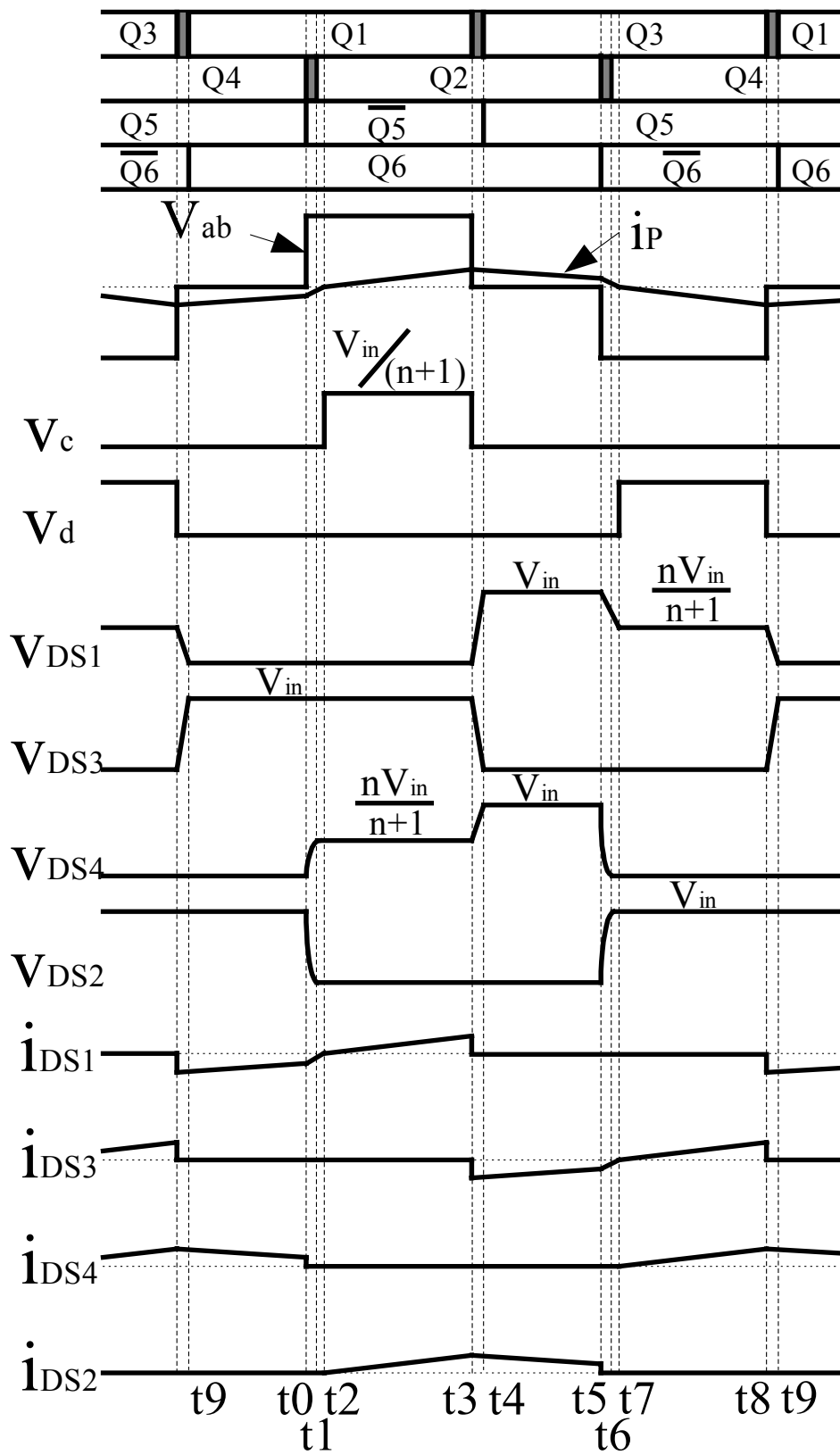


Fig. 5.12. Light-load operation of the PSB converter.

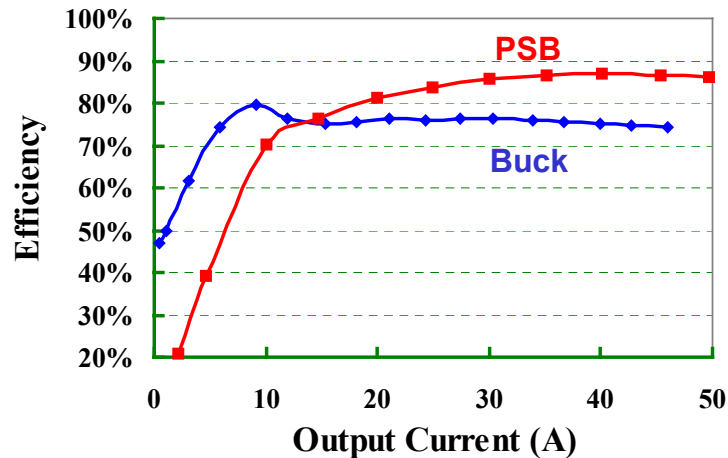


Fig. 5.13. The low efficiency of the PSB converter at light load.

ZDBL4.01 is a typical battery-life benchmark for a laptop platform. In the following estimation, assume that the CPU is in work state (C0) for 20% of the time, in sleep state (C1) for 40% of the time, and in deep sleep state (C2) for 40% of the time. The CPU draws 45A current in C0 state, 5A current in C1 state and 1A current in C2 state. The CPU average power is 14.82W. The VR loss in each CPU power state can be calculated based on the efficiency curves in Fig. 5.13. Fig. 5.14 shows the loss comparison of the PSB solution and the buck solution. It can be seen that the PSB solution has less loss in C0 state but has more loss in C1 and C2 states. After averaging according to the percentages of the duration of the power states, the VR average loss power is 5.75W for the buck solution and is 10.49W for the PSB solution, as indicated by the dotted rectangle. This result indicates that the PSB solution creates more loss due to its low light-load efficiency. Table 5.3 shows the battery run time comparison of the buck solution and the PSB solution. The platform average power consumption is 30.85W for the buck solution and is 35.59W for the PSB solution. Assume the battery pack has a capacity of 3000 Watt×minute, then the battery run time is  $3000/30.85=97.26$  minutes with the buck solution, and is  $3000/35.59=84.31$  minutes with the PSB solution. The battery run time is actually decreased by 12.95 minutes with the PSB solution. This defeats the purpose of using the PSB solution in laptop VR application.



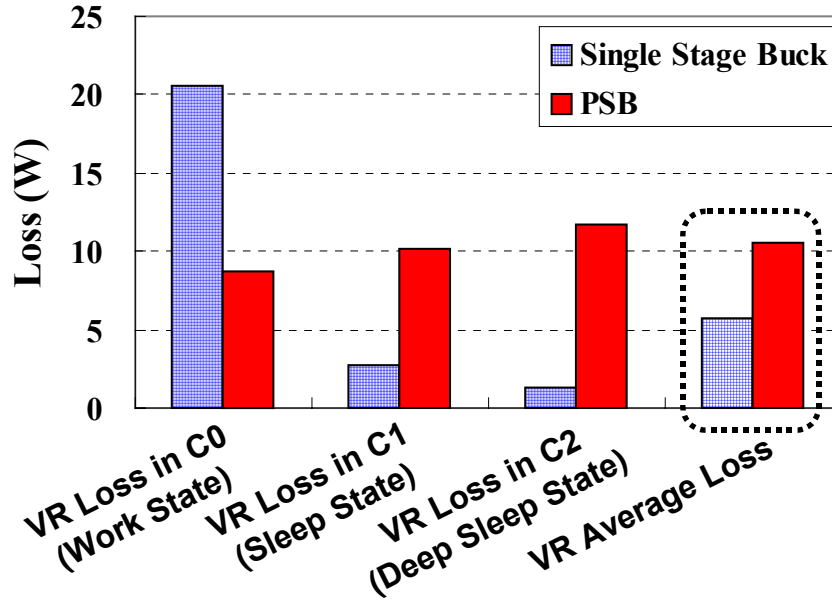


Fig. 5.14. Loss comparison of the buck solution and the PSB solution.

Table 5.3. Battery Run Time Comparison of the Buck Solution and the PSB Solution

	Buck VR Solution	PSB VR Solution
<b>CPU Average Power (W)</b>	14.82	14.82
<b>CPU VR Average Loss (W)</b>	5.75	10.49
<b>Platform Average Power (W)</b>	30.85	35.59
<b>Battery Capacity (W×m)</b>	3000	3000
<b>Battery Run Time (m)</b>	<b>97.26</b>	<b>84.31</b>

(2). The wide input voltage range. The voltage gain of the PSB converter is

$$\frac{V_o}{V_{in}} = \frac{D}{n+1} \quad (5.1)$$

where  $D$  is the duty cycle,  $n$  is the transformer turns ratio,  $V_o$  is 1.3V, and  $V_{in}$  has a range of 8.7~19V. Because of the  $V_{in}$  range, the duty cycle has a range too. Using  $n=2$  as an example, the voltage gain versus duty cycle is plotted in Fig. 5.15. The duty cycle ranges from 0.2 to 0.45. The wide duty cycle range is problematic. To be able to work with the high input voltage, a small  $n$  has to be used. However, it is not desirable to use a small

turns ratio in a circuit involving a transformer. A small  $n$  increases the transformer primary-side current, which therefore increases the switching loss, the conduction loss on the top switches Q1~Q4, and the duty cycle loss during the conversion. Also a small  $n$  increases the drain-source voltage stress of the synchronous FETs Q5 and Q6, which increase the body-diode reverse recovery loss. Moreover, due to the higher voltage rating, Q5 and Q6 have larger  $R_{ds(on)}$  as opposed to low voltage-rating MOSFETs, which increases the bottom switch conduction loss. All these facts make it difficult to optimize the design of the PSB converter as a laptop VR.

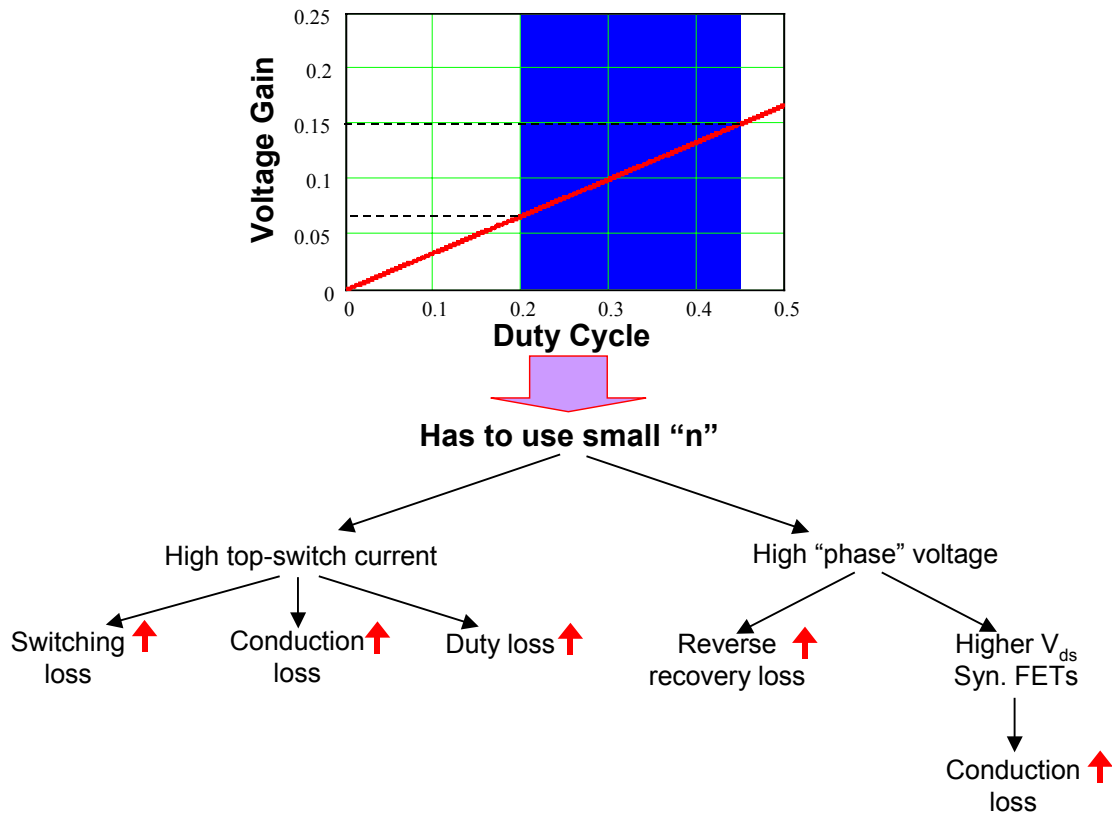


Fig. 5.15. The issues caused by the wide duty cycle range of the PSB solution for laptop VR.

Table 5.4 shows the evaluation of the PSB converter as a desktop VR and a laptop VR. The PSB converter has low light-load efficiency and does not work well with the wide input voltage range. These inherent disadvantages rules out any legitimate chance of the PSB converter being a good laptop-VR solution, although it is a good solution for the desktop application.

Table 5.4 Evaluation of the PSB Converter as a Desktop VR and a Laptop VR

	<b>Requirements as a Desktop VR</b>		<b>Requirements as a Laptop VR</b>	
<b>Heavy-Load Efficiency</b>	High	<b>Y</b>	High	<b>Y</b>
<b>Light-Load Efficiency</b>	Not critical	<b>N/A</b>	High	<b>N</b>
<b>Transient Response</b>	Stringent	<b>Y</b>	Stringent	<b>Y</b>
<b>Form-Factor</b>	Not critical	<b>N/A</b>	Small and light	<b>Y</b>
<b>Input Voltage</b>	12 V $\pm$ 10%	<b>Y</b>	8.7~19 V	<b>N</b>
<b>Cost Consideration</b>	Very Sensitive	<b>Y</b>	Not very sensitive	<b>N/A</b>

Wide input-voltage range is not a stranger to many applications. Other researchers have done much valuable work in dealing with wide input voltage range. There are different ways to do design trade-offs with wide input-voltage range. References [76] ~ [80] present some method used to deal with wide input voltage range in AC-DC applications; references [81] ~ [93] present some method used in DC-DC applications. On the other hand, the two-stage architecture is another attractive solution. References [94] ~ [97] present the two-stage approach to get an efficient system with wide input-voltage range. All of these are valuable tips for finding a better solution for laptop VRs.

The two-stage approach is of further interest because references [99] and [100] show that the two-stage approach is also an attractive solution for desktop VRs. Fig. 5.16 shows the schematic of the two-stage VR. The first stage is a low-frequency two-phase buck converter, converting the input voltage to an intermediate bus voltage  $V_{bus}$ ; the second stage is a high-frequency multiphase buck converter, converting  $V_{bus}$  to the CPU core voltage. Fig. 5.17 shows the efficiency achieved in reference [100]. It is seen that the two-stage solution achieves high efficiency at high switching frequency.

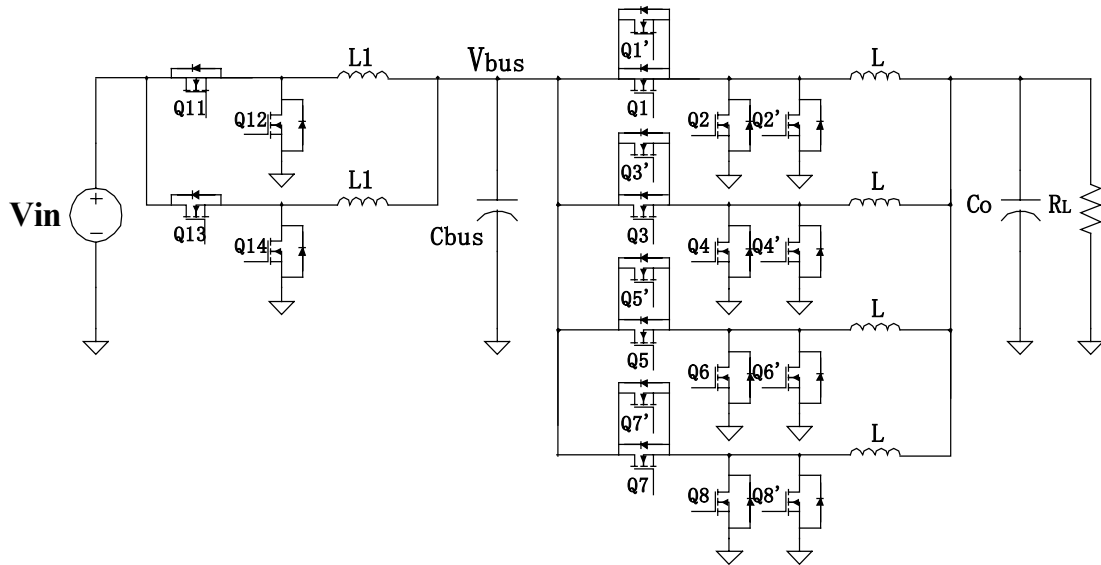


Fig. 5.16. The issues caused by the wide duty cycle range of the PSB solution for laptop VR.

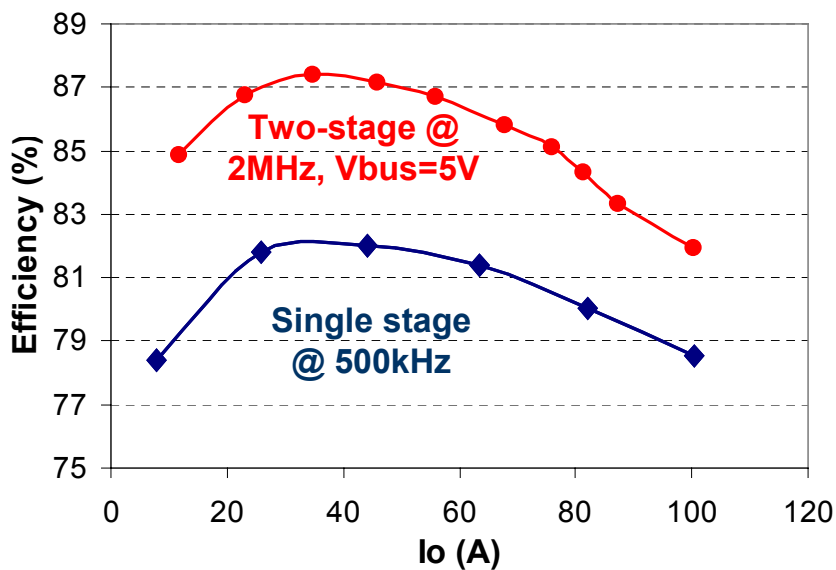


Fig. 5.17. The issues caused by the wide duty cycle range of the PSB solution for laptop VR.

The two-stage VR solution is a different power delivery architecture. This architecture provides an opportunity to solve the issue caused by the wide input voltage range, and it has gained some success in desktop VR application. These facts imply that the laptop VR application may be a better fit for the two-stage approach than the desktop VR application.

### 5.3. Benefits of the the Two-Stage Approach.

Fig. 5.18 shows the measured efficiency of a buck VR operating at 1MHz switching frequency and different input voltages. It can be observed that when the input voltage is low, the buck VR is very efficient. For example, the efficiency is still over 90% when the input voltage is 5V. This fact indicates that a low-input voltage high frequency buck VR can be very efficient, and this is the foundation of the viability of the two-stage approach.

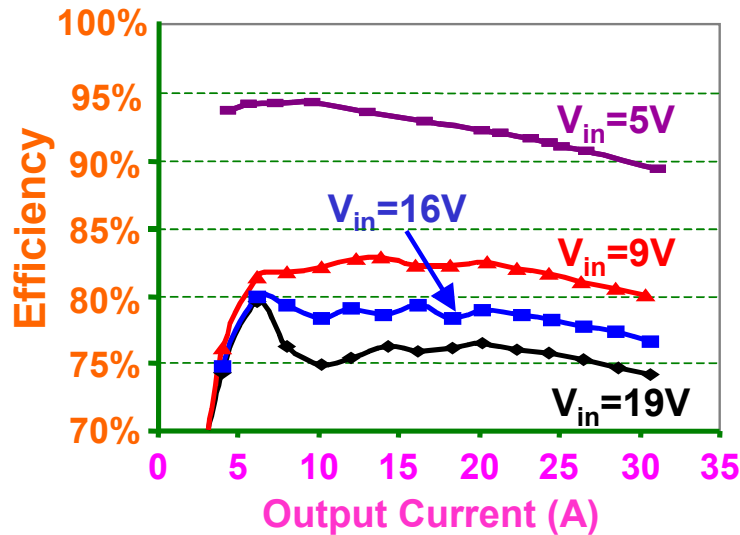


Fig. 5.18. The buck VR has high efficiency at high frequency when the input voltage is low.

Fig. 5.19 shows the proposed two-stage laptop VR. In this structure, the two stages are optimized for different purposes. The second-stage multiphase buck converter focuses on achieving high efficiency at high switching frequency; therefore the output capacitors are greatly reduced. This has been proven possible when a low  $V_{bus}$  is chosen. As to the first stage, the main tasks are to handle the wide input-voltage range and to create the bus voltage  $V_{bus}$  efficiently under all input voltage conditions.

In order to get high efficiency, the first stage operates at a low switching frequency. Also because  $V_{bus}$  is much higher than the CPU core voltage, the first-stage duty cycle is pretty large. Moreover, since  $V_{bus}$  does not have stringent transient response requirement, fairly large inductance can be used in the first-stage buck converter. With low switching frequency, pretty large duty cycle and large inductance, it is very possible for the first stage to get high efficiency under all input voltage conditions. Therefore, it is possible that cascading these two stages provides a more efficient high-frequency solution.

However, the first stage can be too bulky if the switching frequency is too low. So the general design principle is to achieve small size and very high efficiency.

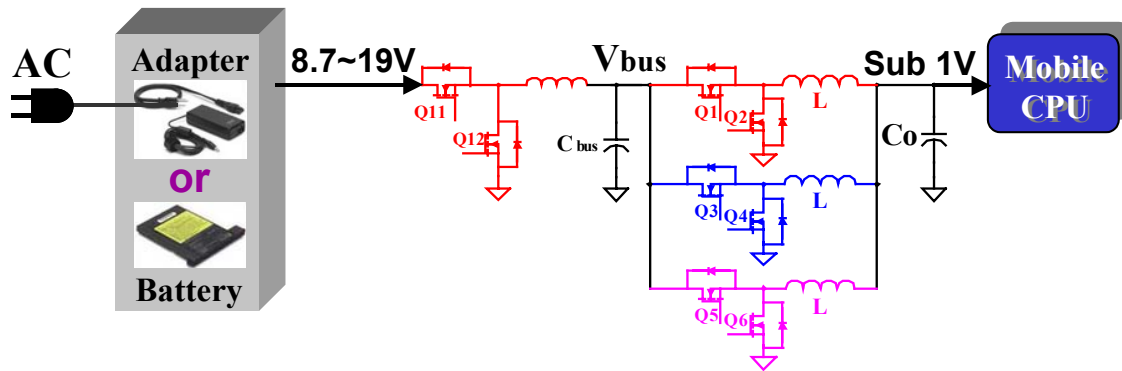


Fig. 5.19. The proposed two-stage laptop VR.

For a two-stage laptop VR, the input and the output voltages are defined by the application, but the bus voltage  $V_{bus}$  is a very flexible design variable. The choice of  $V_{bus}$  significantly impacts the overall efficiency of the VR. Fig. 5.20 shows a design example of a two-stage laptop VR. The first-stage switching frequency is 370KHz, and the inductance is 2.2 $\mu$ H; the second-stage switching frequency is 1MHz, and the inductance is 150nH. In both stages, the top switches are HAT2168 (30V,  $R_{ds(on)}=13.5\text{m}\Omega$ ,  $Q_{gd}=2.4\text{nC}$ ); and the bottom switches are HAT2164 (30V,  $R_{ds(on)}=4.4\text{m}\Omega$ ,  $Q_g=54\text{nC}$ ). The output voltage is  $V_o=1.3\text{V}$  and the following analysis is done at an output current  $I_o=25\text{A}$ .

Fig. 5.20 (a) shows the measured first-stage efficiency as a function of  $V_{bus}$ . The three curves are for three input voltages within the battery voltage range: 9V, 12V and 16V. The case when the laptop works with the adapter ( $V_{in}=19\text{V}$ ) is not shown because efficiency is not so critical in the adapter-powered mode. The data shows that the higher the  $V_{bus}$ , the higher the first-stage efficiency.

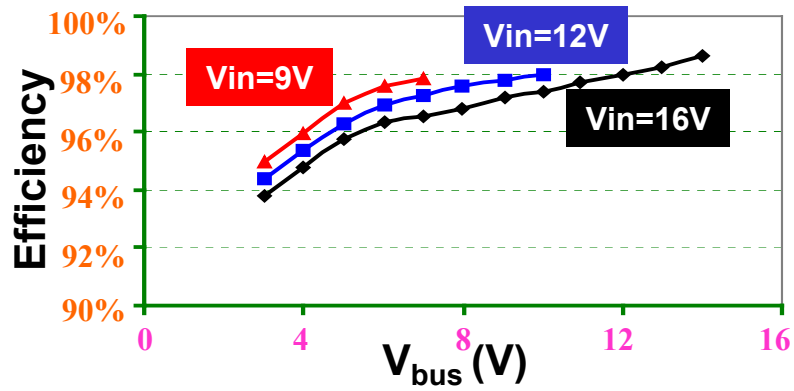
Fig. 5.20 (b) shows the second-stage efficiency as a function of  $V_{bus}$ . The second-stage efficiency versus  $V_{bus}$  is not a monotonic function. The curve has a peak. To find the reason of the peak, one has to look into the losses in the converter. There are two dominant losses — the switching loss and the conduction loss. The switching loss includes the top switch turn-on and turn-off loss, and the body-diode reverse-recovery loss. The conduction loss includes the top switch conduction loss and the bottom switch conduction loss. Starting from 10V, when  $V_{bus}$  is decreasing, the second-stage duty cycle

is extended thus the switching loss is reduced. The conduction loss on the top switch is increased while the conduction loss on the bottom switch is reduced. Because the top switch has larger  $R_{ds(on)}$  than the bottom switch, the net result of the total conduction loss change is an increase. In this example, if  $V_{bus}$  decreases from 10V to 5V, the reduction of the switching loss is more than the increase of the conduction loss, therefore the second-stage efficiency becomes higher; however, when  $V_{bus}$  is lower than 5V, the penalty on the conduction loss becomes so significant that the efficiency drops when  $V_{bus}$  continues decreasing.

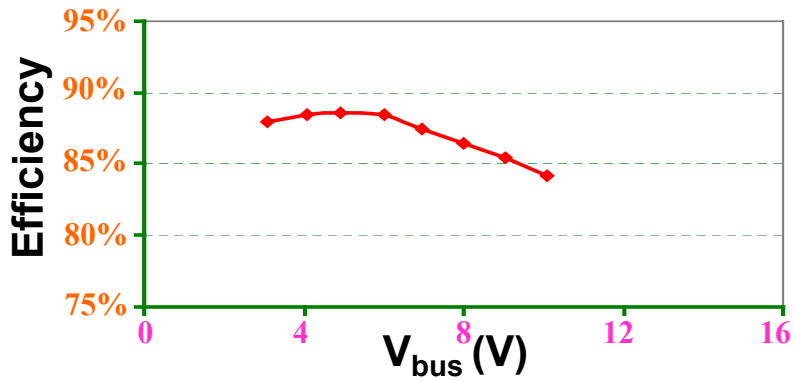
The overall efficiency is the product of the first- and second-stage efficiencies. As shown in Fig. 5.20 (c), the chart of overall efficiency has an “ $\wedge$ ” shape. The optimal  $V_{bus}$  is defined as the bus voltage that delivers the highest efficiency overall efficiency. Given the shapes of the first- and second-stage efficiencies, the optimal  $V_{bus}$  is higher than the  $V_{bus}$  that delivers the highest second-stage efficiency. The optimal  $V_{bus}$  in this design example is 6V.

Fig. 5.20 (c) also shows another interesting phenomenon: the optimal  $V_{bus}$  is almost identical for different input voltages. In other words, the optimal  $V_{bus}$  of the two-stage VR is not sensitive to input-voltage variation. This is because the first stage can always efficiently convert the input voltage to  $V_{bus}$ . Therefore, the input-voltage range no longer has a very significant impact on the optimal  $V_{bus}$ .

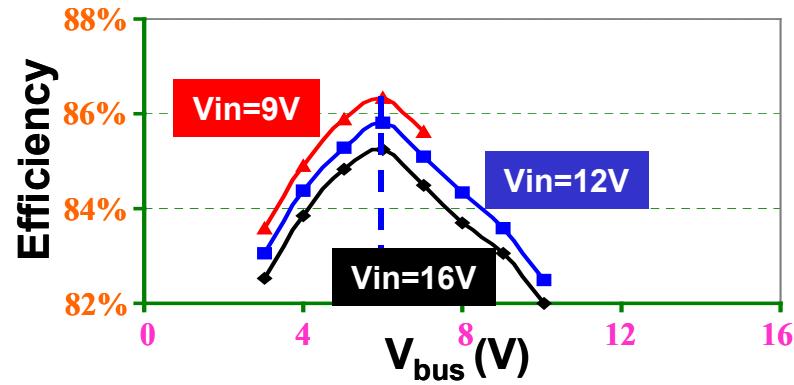
Since the optimal  $V_{bus}$  is not sensitive to input voltage variation, other parameters' impact on the optimal  $V_{bus}$  can be done at a fixed input voltage, namely 12V, which is the desktop VR input voltage. So the conclusion of the optimal  $V_{bus}$  study in two-stage desktop VR case can apply here too. For details, please refer to reference [100]



(a)



(b)



(c)

Fig. 5.20. How the optimal bus voltage concept comes into the picture: (a) First-stage efficiency, (b) second-stage efficiency, and (c) overall efficiency.



Presently single-stage laptop VRs use 30V devices because they have to work with an input voltage up to 19V. Lower voltage-rating devices have smaller FOMs than 30V devices, and smaller FOMs mean better devices and potentially better performance. Unfortunately the single-stage buck VR cannot benefit from this fact. For a two-stage laptop VR, the second-stage buck converter works with  $V_{bus}$  as the input voltage, and since  $V_{bus}$  is lower than  $V_{in}$ , low voltage-rating devices can be used instead of 30V MOSFETs.

Two experimental tests are done at 1.3V/25A output. One uses 30V MOSFETs and the other uses 20V MOSFETs as the second-stage bottom switches. The first stage is the same: The top switch is HAT2168, the bottom switch is HAT2164, the switching frequency is 370KHz, and the inductance is 2.2 $\mu$ H. Fig. 5.21 (a) shows the measured first-stage efficiency as a function of  $V_{bus}$ . Fig. 5.21 (b) shows the measured second-stage efficiency as a function of  $V_{bus}$ . The bottom curve is measured when HAT2164 (30V, FOM=180m $\Omega$ ·nC) is used as the bottom switches. The top curve is measured when Si4864 (20V, FOM=130m $\Omega$ ·nC) is used as the bottom switches. It is clearly shown that the second-stage efficiency benefits from the small-FOM 20V devices. Fig. 5.21 (c) shows the overall efficiency. The optimal  $V_{bus}$  is 6V and the efficiency is 2% higher using 20V MOSFETs than using 30V MOSFETs.

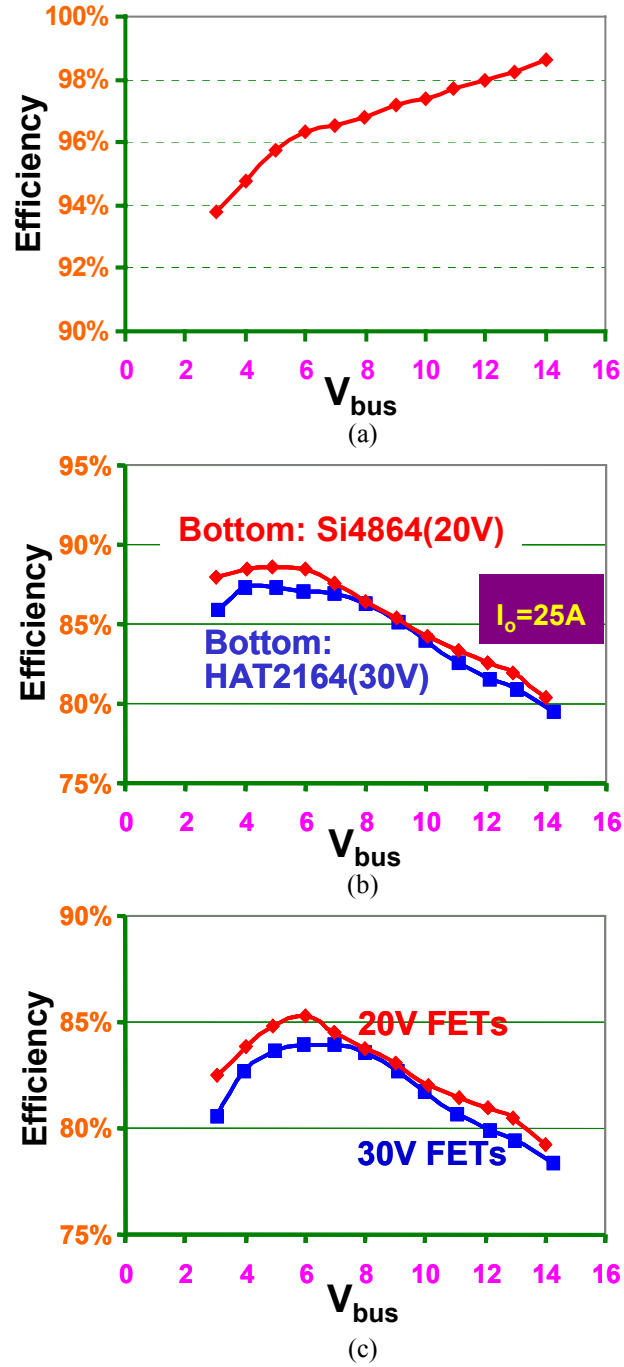
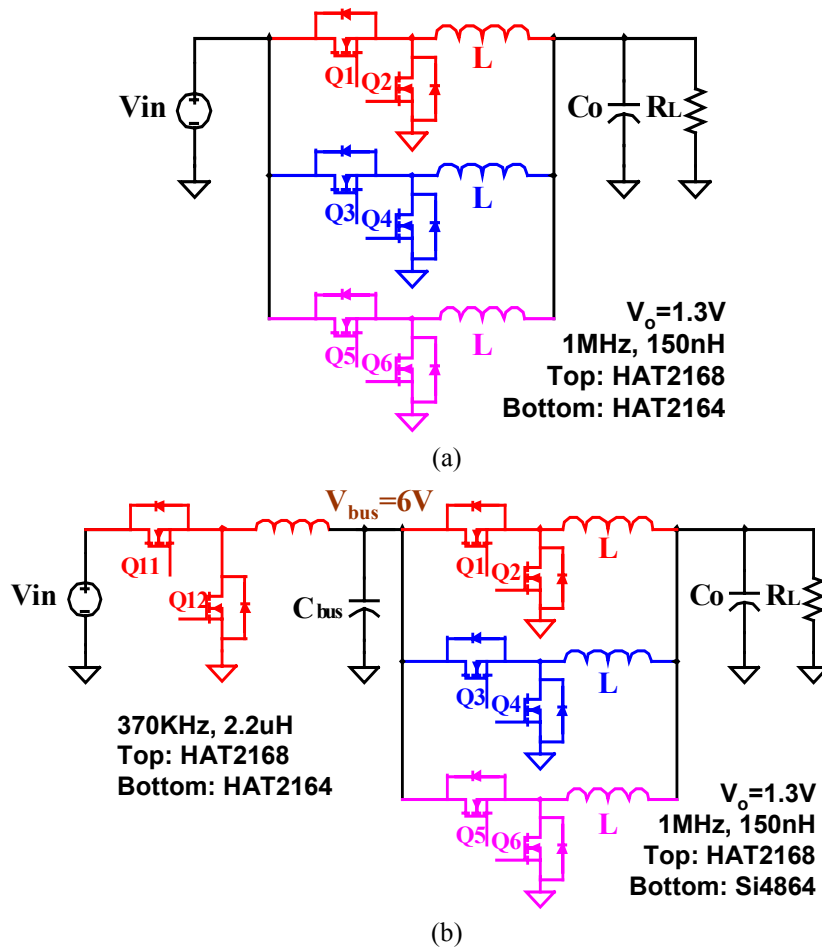
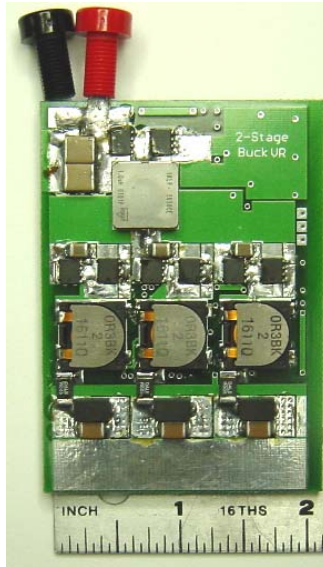


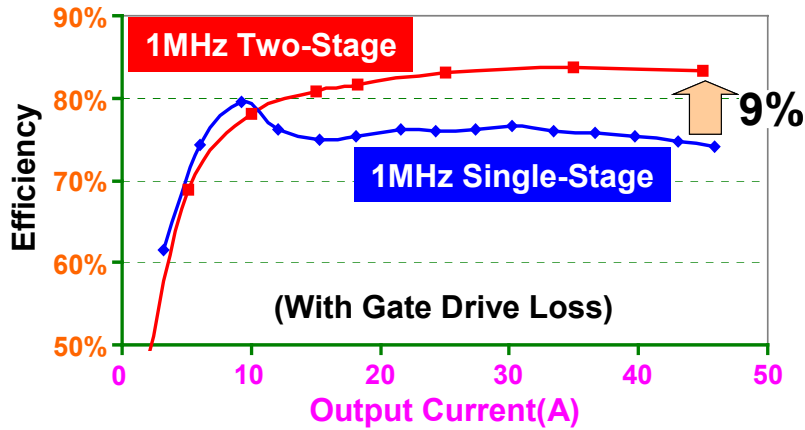
Fig. 5.21. The two-stage VR can benefit from low voltage-rating devices: (a) first-stage efficiency, (b) second-stage efficiency, and (c) overall efficiency.

To compare the single-stage solution and the two-stage solution, two prototypes are built. The output voltage is 1.3V in both prototypes. Fig. 5.22 (a) shows the single-stage buck VR. It is a three-phase buck converter. The top switches are HAT2168, the bottom switches are HAT2164, the switching frequency is 1MHz, and the inductance is 150nH. Fig. 5.22 (b) shows the two-stage VR. For the first stage the top switch is HAT2168, the bottom switch is HAT2164, the switching frequency is 370KHz, and the inductance is 2.2  $\mu$ H. The second stage is a three-phase buck converter. The top switches are HAT2168, the bottom switches are Si4864, the switching frequency is 1MHz, and the inductance is 150nH. The intermediate bus voltage is 6V. Fig. 5.22 (c) shows the photograph of the two-stage prototype. Fig. 5.22 (d) shows the measured efficiency comparison. The heavier the load, the better the two stage solution. At 45A output, the two-stage solution has 9% higher efficiency than the single-stage solution.





(c)



(d)

Fig. 5.22. The efficiency comparison of the two-stage solution and the single-stage solution: (a) the single-stage solution, (b) the two-stage solution, (c) the photograph of the two-stage VR prototype, and (d) the measured efficiency comparison.

Fig. 5.23 shows the loss comparison of the buck solution and the two-stage solution for a laptop platform running the typical battery-life benchmark ZDBL4.01. It can be seen that the two-stage solution has much less loss in C0 state but has a little more loss in C1 and C2 states. After averaging according to the percentages of the duration of the power states, the VR average loss power is 5.75W for the buck solution and is 4.42W for the two-stage solution, as indicated by the dotted rectangle. This result indicates that the two-stage solution creates less loss than the buck solution. Table 5.5 shows the battery

run time comparison of the buck solution and the two-stage solution. The platform average power consumption is 30.85W for the buck solution and is 29.52W for the two-stage solution. The battery run time is 97.26 minutes for the buck solution, and is 101.63 minutes for the two-stage solution. The battery run time is increased by 4.33 minutes with the two-stage solution and the increase is translated into 4.5% in percentage.

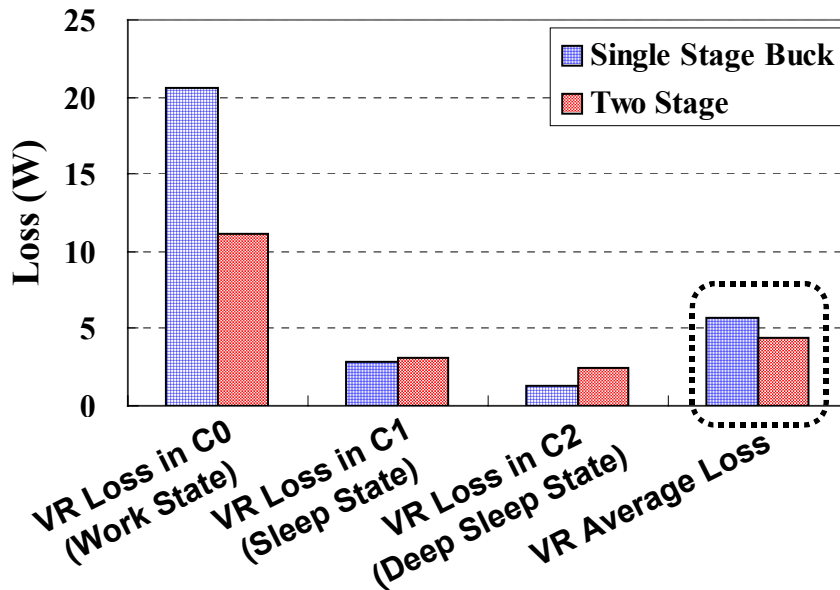


Fig. 5.23. Loss comparison of the buck solution and the two-stage solution.

Table 5.5. Battery Run Time Comparison of the Buck Solution and the Two-Stage Solution

	Buck VR Solution	Two-Stage VR Solution
<b>CPU Average Power (W)</b>	14.82	14.82
<b>CPU VR Average Loss (W)</b>	5.75	4.42
<b>Platform Average Power (W)</b>	30.85	29.52
<b>Battery Capacity (W×m)</b>	3000	3000
<b>Battery Run Time (m)</b>	97.3	101.63
<b>Battery Run Time Increase (m)</b>	N/A	4.37
<b>Battery Run Time Increase Percentage</b>	N/A	<b>4.5%</b>

The two-stage approach solves the wide-input-voltage-range issue. The VR efficiency is not sensitive to the input voltage any more. It achieves high efficiency at heavy load. Because the second stage operates at high switching frequency, the transient response is good and the form-factor is small and light. Table 5.6 shows the evaluation of the two-stage converter as a laptop VR. The two-stage VR solution has high efficiency at heavy load, has good transient response, has small-and-light form-factor, and works well with the wide input voltage range, but it has low light-load efficiency. The efficiency curve in Fig. 5.22 shows that the two-stage VR efficiency drops quickly at light load, which increases the VR average loss and shortens battery life.

Table 5.6 Evaluation of The Two-Stage Approach for Laptop VRs

	<b>Requirements as a Laptop VR</b>	
<b>Heavy-Load Efficiency</b>	High	<b>Y</b>
<b>Light-Load Efficiency</b>	High	<b>N</b>
<b>Transient Response</b>	Stringent	<b>Y</b>
<b>Form-Factor</b>	Small and light	<b>Y</b>
<b>Input Voltage</b>	8.7~19 V	<b>Y</b>

#### 5.4. Improving Sleep-State Efficiency By Adjusting the Intermediate Bus Voltage

The two-stage VR efficiency drops quickly at light load. The loss scenario must be different at light load. The optimal bus voltage analysis in section 5.3 is done at heavy load condition. However, because the average inductor current is very low at light load, the conduction loss is no longer a big contributor in the total loss; the switching loss and the reverse-recovery loss are more dominant at light load. When the switching loss and the reverse-recovery loss are more dominant, the lower bus voltage should be more helpful in reducing them.

Fig. 5.24 (a1) shows the calculated second-stage efficiency versus  $V_{bus}$  when the output is 5A. The  $V_{bus}$  that delivers the highest efficiency is a little less than 2V. Fig. 5.24

(a2) shows the calculated second-stage efficiency versus  $V_{bus}$  when the output is 15A. The  $V_{bus}$  that delivers the highest efficiency is a little less than 3.5V. Fig. 5.24 (a3) shows the calculated second-stage efficiency versus  $V_{bus}$  when the output is 25A. The  $V_{bus}$  that delivers the highest efficiency is a little less than 5V. Fig. 5.24 (b1) ~ (b3) show the experimental result associated with the calculation of Fig. 5.24 (a1) ~ (a3). The solid curves are measurement data; the dotted part is information not available in the test set up. Fig 5.24 (b1) ~ (b3) confirms the prediction made by Fig. 5.24 (a1) ~ (a3). It becomes clear that the bus voltage that delivers the highest second-stage efficiency is not a value that is universally true for all load conditions. It is load-dependent. Therefore, the optimal bus voltage that delivers the highest overall efficiency should also be load-dependent.

Fig. 5.25 shows how the optimal  $V_{bus}$  forms. The load is 25A in this example, and all data are measured data. The first-stage efficiency has a trend that the higher the  $V_{bus}$ , the higher efficiency. The curve goes up from left-hand side to right-hand side. The second-stage efficiency as has been discussed, has an “^” shape with the peak located at 5V. The overall efficiency is the product of the first-stage efficiency and the second-stage efficiency. Therefore compared with the second-stage efficiency, the overall efficiency has a steeper ridge in the region where  $V_{bus} < 5V$ , and has a flatter ridge in the region where  $V_{bus} > 6V$ . Also the peak overall efficiency occurs when  $V_{bus}$  is 6V. In other words, under the impact of the first-stage efficiency, the optimal  $V_{bus}$  that delivers the highest overall efficiency moves to a value that is higher than the one that delivers the highest second-stage efficiency. Based on this observation, the optimal  $V_{bus}$  should also be load dependent, just as the  $V_{bus}$  that delivers the highest second-stage efficiency is.

Fig. 5.26 shows the experimental test result of the optimal  $V_{bus}$  when the load is 5A, 15A, 18A and 25A. It can be seen that when the load increases, the optimal  $V_{bus}$  increases. For each load, the efficiency curves with or without drive loss are both shown. The trend is the same.

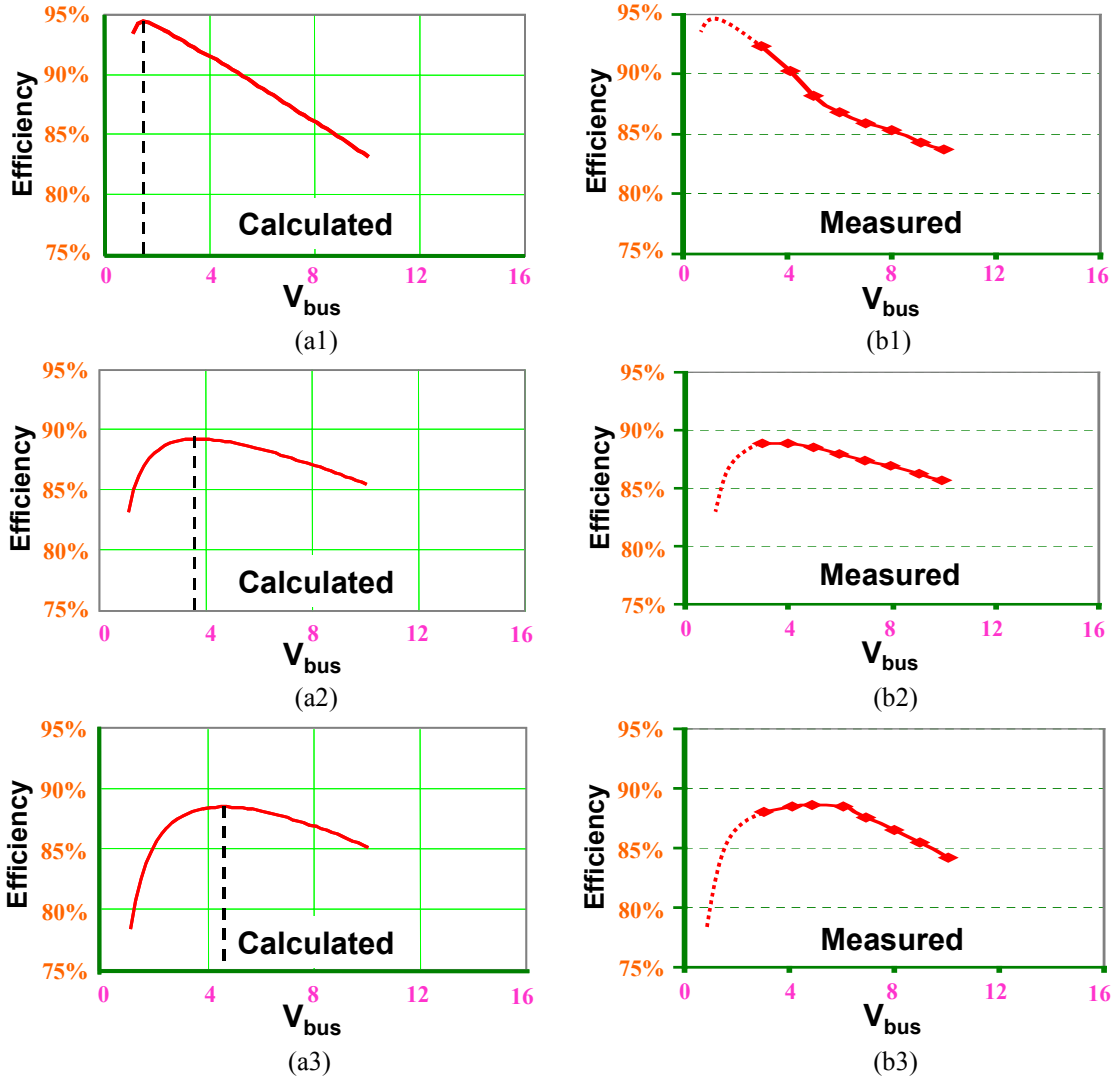


Fig. 5.24. The load impact on the  $V_{bus}$  that delivers the highest second-stage efficiency: (a1) calculated efficiency vs.  $V_{bus}$  at 5A output, (b1) measured efficiency vs.  $V_{bus}$  at 5A output, (a2) calculated efficiency vs.  $V_{bus}$  at 15A output, (b2) measured efficiency vs.  $V_{bus}$  at 15A output, (a3) calculated efficiency vs.  $V_{bus}$  at 25A output, (b3) measured efficiency vs.  $V_{bus}$  at 25A output.



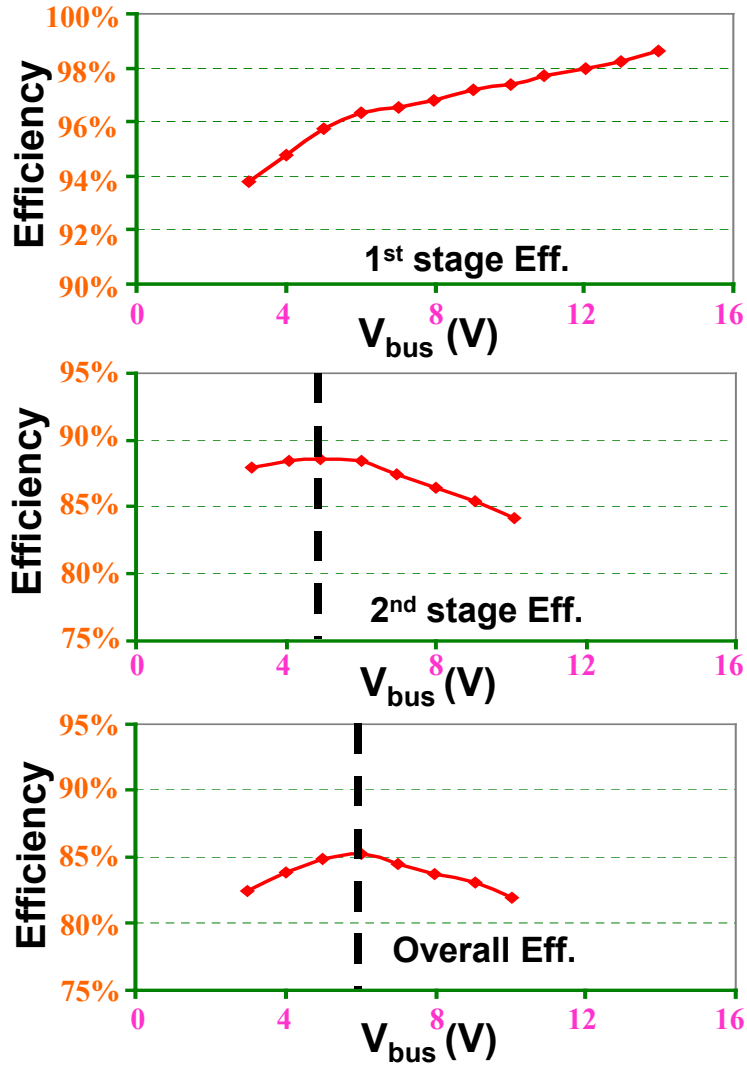


Fig. 5.25. How the optimal  $V_{bus}$  forms.

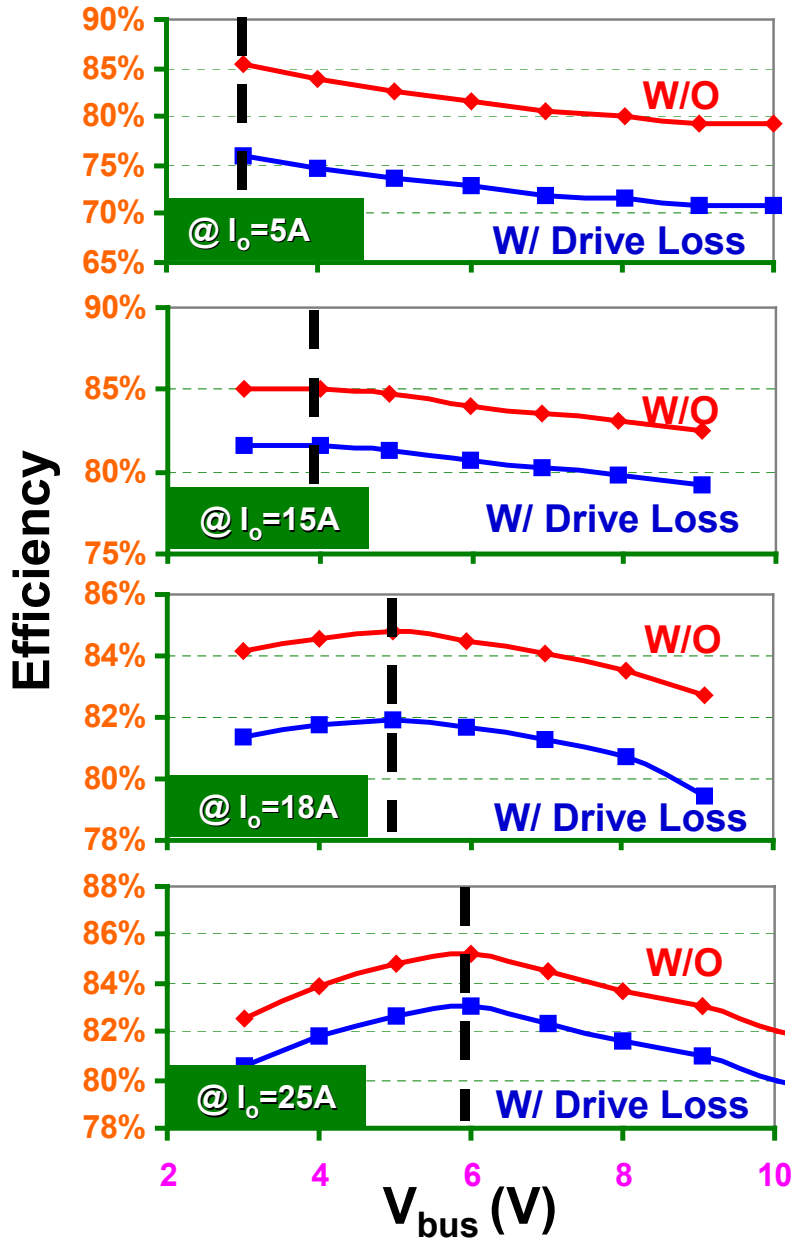


Fig. 5.26. Experimental data showing that the optimal  $V_{bus}$  changes as the load changes.

To implement the variable  $V_{bus}$  concept, a deeper look into the power states of the mobile CPU is needed. The Advanced Configuration and Power Interface (ACPI) is a spec initially developed by Compaq, Intel, Microsoft, Phoenix and Toshiba. It is widely used in today's laptop systems. Fig. 5.27 shows the global system power states and transitions defined in ACPI. G0 ~ G4 are global power states of the laptop platform. G0 means the platform is working; G1 means sleep states; G2 means soft off; and G4 means the platform is mechanically shut down (the "power off" button is pressed). As regards a

specific device in the laptop platform, for instance the modem, the hard disk drive (HDD), or the CDROM, corresponding power states (D0 ~ D3) are defined. As for the CPU, it has a work state C0 and several sleep states C1, C2, C3, etc. The number of sleep states defined varies from one platform to another. If there are three sleep states, C3 is the deepest sleep state, and C2 is a deeper sleep state than C1. While in the C0 state, the CPU does not always run at maximum performance. The clock throttling mechanism provides the Operating System-directed Power Management (OSPM) with the functionality to perform the task in addition to thermal control. The mechanism allows the OSPM to program a value into a register that reduces the CPU's performance into a percentage of maximum performance, which reduces the heat generated by the CPU.

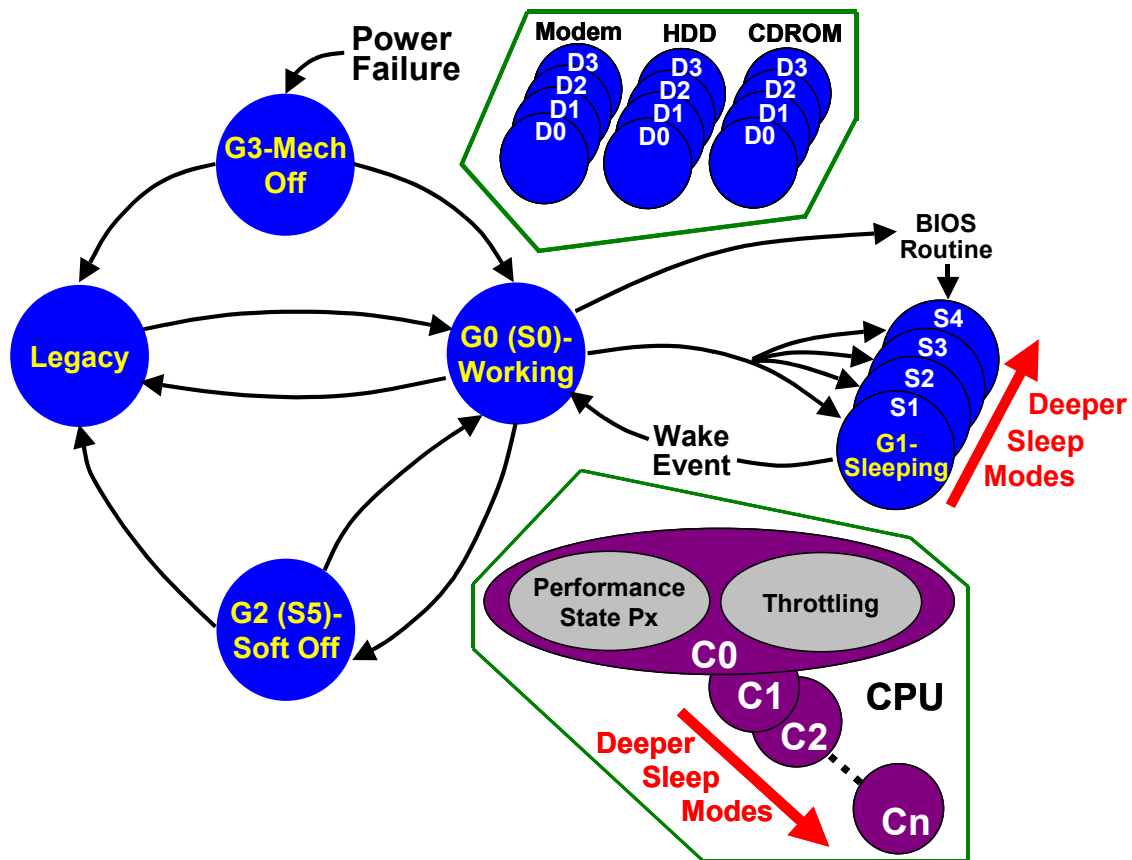


Fig. 5.27. The global system power states and transitions.

Fig. 5.28 (a) shows the conceptual profile of the power consumption and the power states of a mobile CPU. The CPU consumes low current while in sleep states C1 ~ C3,

and performs instructions while in work state C0. During C0, the software dictates the CPU current; therefore the CPU current is very random in terms of magnitude and reoccurrence frequency. In the average sense, C0 duration is less than 10~20% of the total time.

Although the CPU behavior is random during C0, the transition of power states is a clearly defined event and controlled by the OSPM. For example: C1 is indicated through the “HLT” pin for IA 32-bit CPUs; C2 is entered by using the “P\_LVL2” command register; and C3 is entered by using the “P\_LVL3” command register. The entry/exit of C1/C2/C3 takes some hardware latency, and the maximum latency is declared in the Fixed ACPI Description Table (FADT). Upon receiving an interruption, the CPU reads the required latency value from the FADT and delays for that length before changing its power state. By doing so the system can make sure that the rest of the platform has been ready for the new power state when the CPU enters that power state. The latency is in the 100 $\mu$ s plus range.

The CPU is the load for the VR. When the CPU is in C1/C2/C3 states, the current consumption is a certain value determined by the associated sleep state. When the CPU is in the C0 state, the current consumption is random from the VR point of view. Based on this observation, Fig. 5.28 (b) shows the proposed variable  $V_{bus}$  control scheme. When the CPU is in C1/C2/C3 sleep state,  $V_{bus}$  is positioned at the optimal value associated with the sleep state; when the CPU is in C0 state,  $V_{bus}$  is positioned at the optimal value associated with the heavy load, eg: 6V in this case. When there is the CPU power-state transition, ACPI informs the VR of it and the VR responds by adjusting  $V_{bus}$ . As long as the  $V_{bus}$  response is quicker than the hardware latency of the state transition, the variable  $V_{bus}$  is effective in improving the VR efficiency at light load.

Fig. 5.29 shows one implementation of the proposed control scheme. The CPU power-state information is provided by the ACPI to adjust the reference voltage of the first-stage buck converter. During the C0 state,  $V_{bus}$  remains constant despite of the load transitions. For the first-stage converter: the switching frequency is 370KHz, and the inductance is 2.2 $\mu$ H. The second stage is a three-phase buck converter. The switching frequency is 1MHz, and the inductance is 150nH. Fig. 5.30 (a) shows the simulation result of the  $V_{bus}$  transient response. Fig. 5.30 (b) shows the experimental test result. Both

tests prove that  $V_{bus}$  response is within  $20\mu s$ , which is much faster than the hardware latency for CPU power-state transition. The first-stage switching at  $370KHz$  is the main reason why  $V_{bus}$  response is so quick.

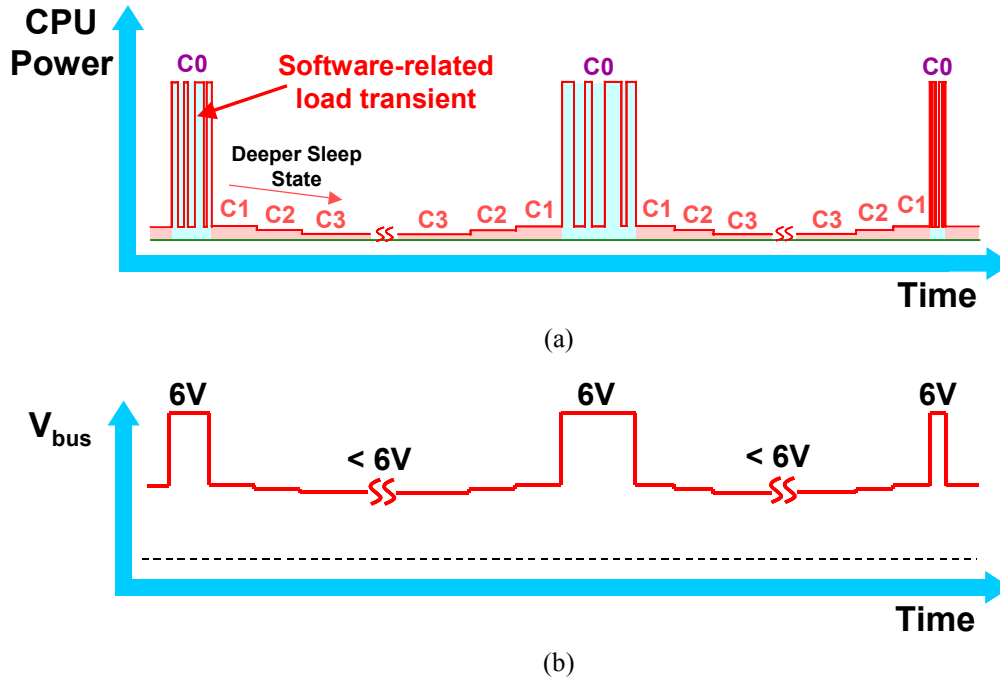


Fig. 5.28. The proposed adjustable  $V_{bus}$ : (a) The Mobile CPU Power States and the Power Consumption, and (b) the proposed variable  $V_{bus}$  control scheme based on the ACPI information.

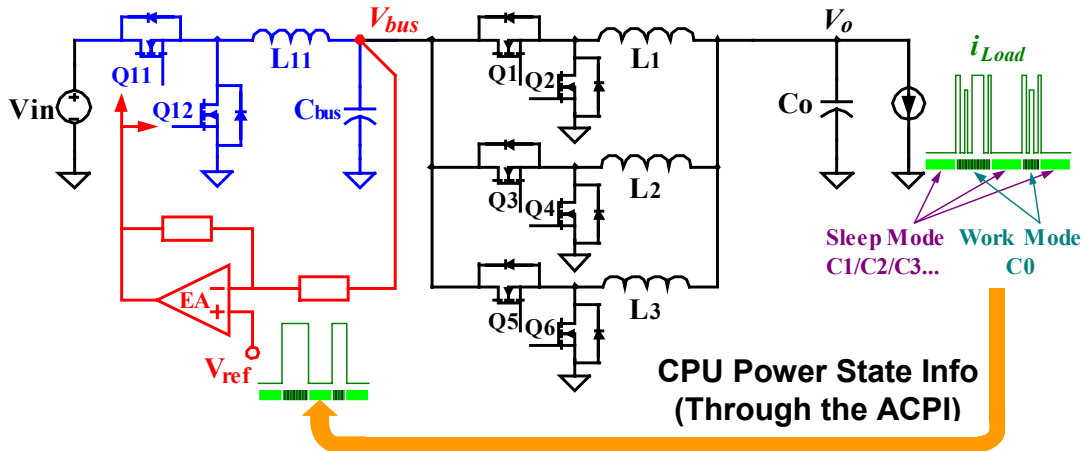
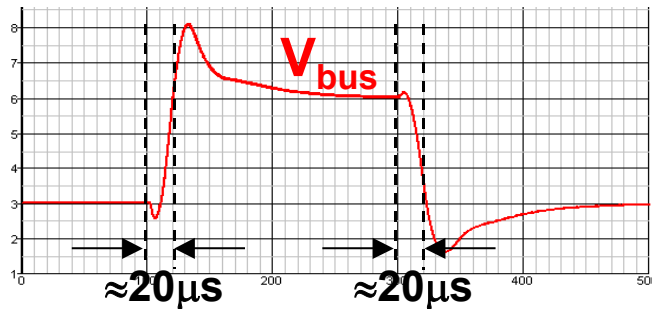
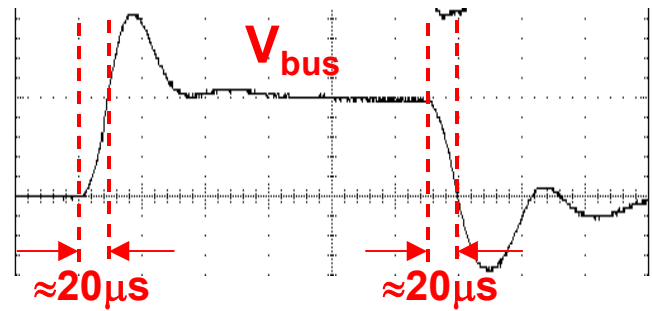


Fig. 5.29. The implementation of the variable  $V_{bus}$  control scheme based on the ACPI information.



(a)



(b)

Fig. 5.30. Transient response of the variable  $V_{bus}$  control scheme based on the ACPI information: (a) the simulation result, and (b) the experimental test result.

Fig. 5.31 shows the simulated  $V_{bus}$  response and  $V_o$  response.  $V_{bus}$  moves between 6V and 3V, with some over shoot during transient.  $V_o$  response has a very obvious glitch as circled. Fig. 5.32 shows the zoomed waveforms of  $I_o$ ,  $V_{bus}$  and  $V_o$  during  $I_o$  stepping down from 50A to 5A. From the zoomed waveform, it is shown that  $V_o$  has two transient responses: the load current transient induces the first one;  $V_{bus}$  change induces the second one. The load current-induced transient response is handled by the second stage. Because the second stage is a high frequency buck converter, it responds to the load change very quickly. However, the first stage is slower than the second stage in terms of control bandwidth due to the lower switching frequency; therefore  $V_{bus}$  is pretty constant during the load current-induced transient response. After the load current-induced transient response ends,  $V_{bus}$  is adjusted by the first-stage control, moving from 6V to 3V. This bus voltage variation induces the output voltage glitch, and this phenomenon is described by the second-stage audio susceptibility. Fig. 5.33 shows the simulated second-stage audio susceptibility. The peak value on the gain curve is  $-43.75\text{dB}$ . The  $V_{bus}$  change is 4.5V,

considering the overshoot.  $(4.5 \text{ V}) \times (-43.75 \text{ dB}) = 30 \text{ mV}$ , and  $30 \text{ mV}$  is just the magnitude of the glitch on  $V_o$  waveform.

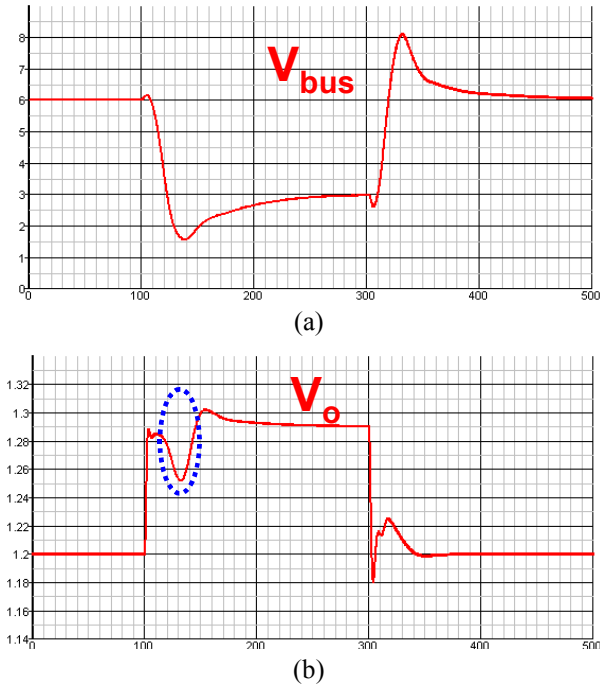


Fig. 5.31. Simulated  $V_{bus}$  response and  $V_o$  response: (a)  $V_{bus}$  response with 3V change in magnitude, and (b)  $V_o$  response showing an obvious glitch.

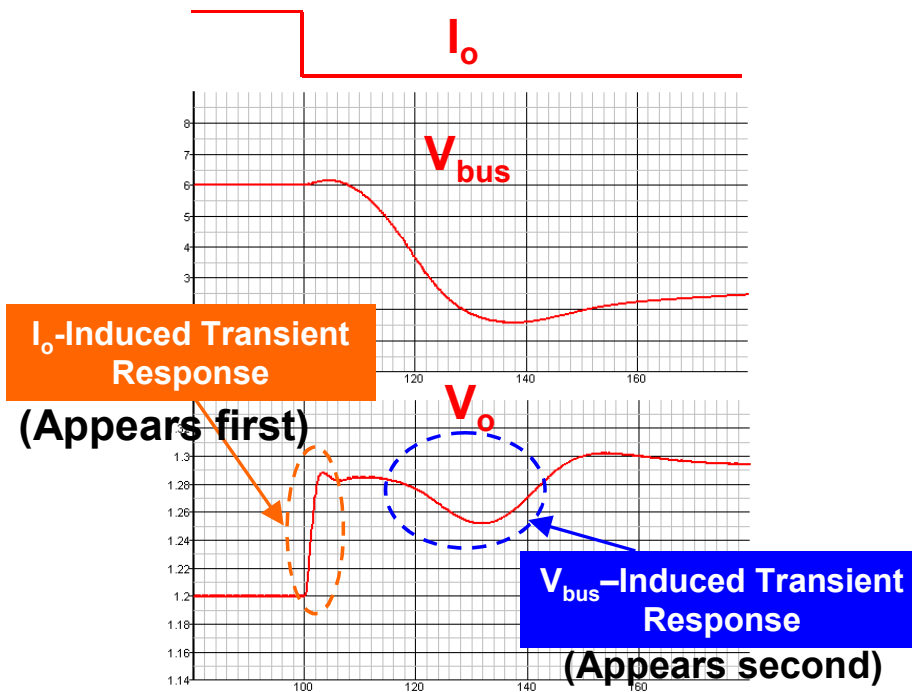


Fig. 5.32. Zoomed-in waveforms of  $I_o$  step change,  $V_{bus}$  response and  $V_o$  response.

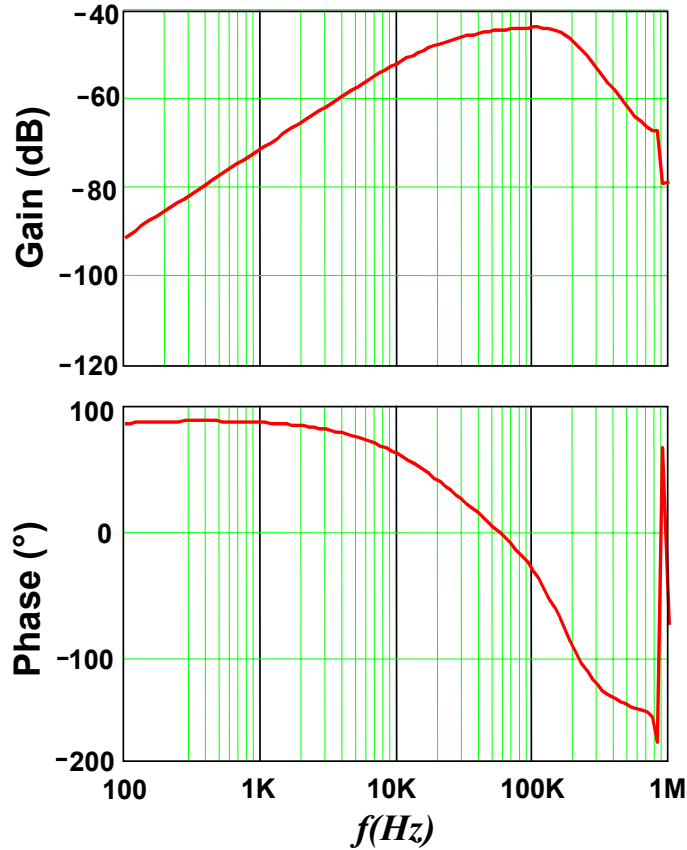


Fig. 5.33. The simulated audio susceptibility of the second stage.

One way to reduce the  $V_o$  glitch is to further attenuate the audio susceptibility. The method for accomplishing this is to further increase the second-stage bandwidth. However, this requires higher switching frequency of the second stage, which decreases the efficiency.

Another way is to add a feed forward function into the second-stage converter, as shown in Fig. 5.34.  $V_{pp}$  is the ramp amplitude of the second-stage buck converter. The feed forward function controls  $V_{bus}$  such that it is proportional to  $V_{bus}$ , that is

$$V_{bus} = k \times V_{pp} \quad (5.2)$$

where  $k$  is a constant. The duty cycle is

$$D = \frac{V_c}{V_{pp}} \quad (5.3)$$

Therefore



$$D \cdot V_{bus} = \frac{V_c}{V_{pp}} \cdot k \cdot V_{pp} = V_c \cdot k \quad (5.4)$$

Formula (5.4) means that by adding the feed forward function,  $D \cdot V_{bus}$  is not affected by  $V_{bus}$ . Therefore  $V_{bus}$  variation should not impact  $V_o$ .

The dashed curve in Fig. 5.35 is the simulated audio susceptibility of the second-stage without the feed-forward function; the solid curve in Fig. 5.35 is the simulated audio susceptibility of the second-stage with the feed-forward function. It can be seen that the feed-forward function successfully attenuates the audio susceptibility. Fig. 5.36 (a) shows the simulated ramp waveform during the load step down. As  $V_{bus}$  decreases, the ramp amplitude decreases. Fig. 5.36 (b) shows  $V_o$  response with the addition of the feed forward function. Compared with the  $V_o$  response shown in Fig. 5.31 (b), the glitch in the circled area does not exist any more.

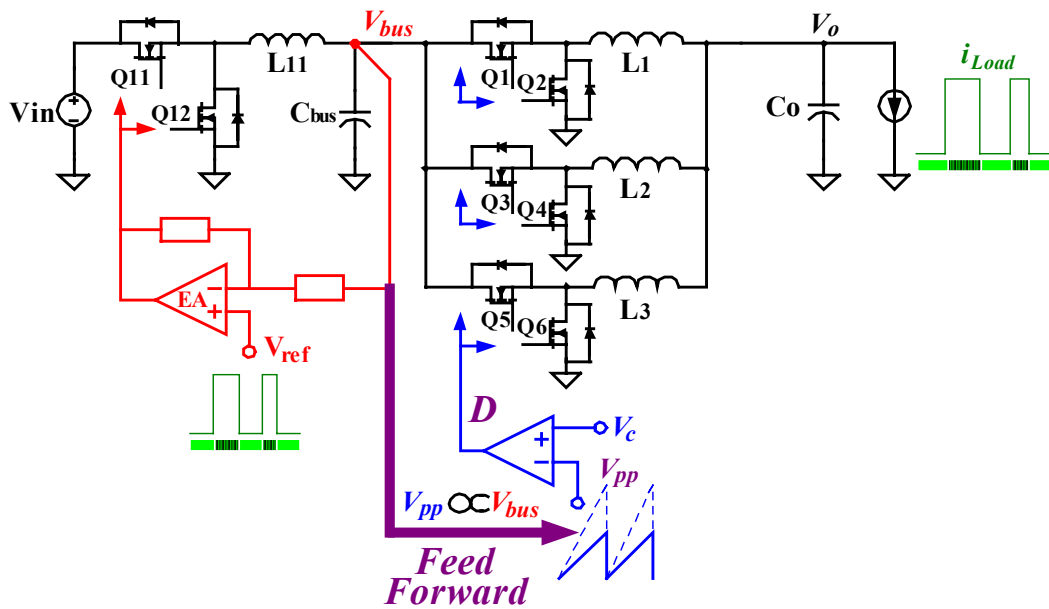


Fig. 5.34. Adding the feed forward function into the second stage.

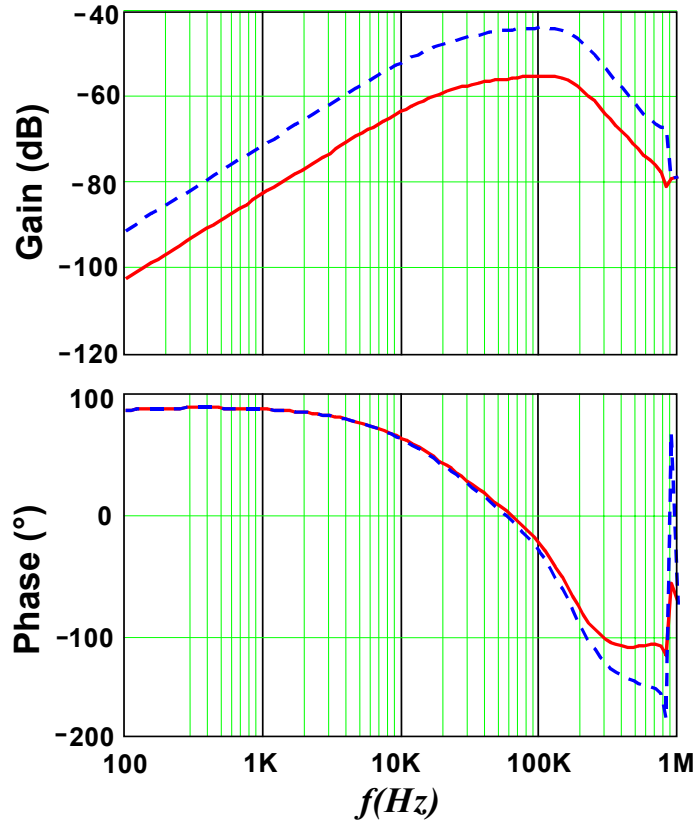


Fig. 5.35. The simulated audio susceptibility of the second stage with the feed-forward function.

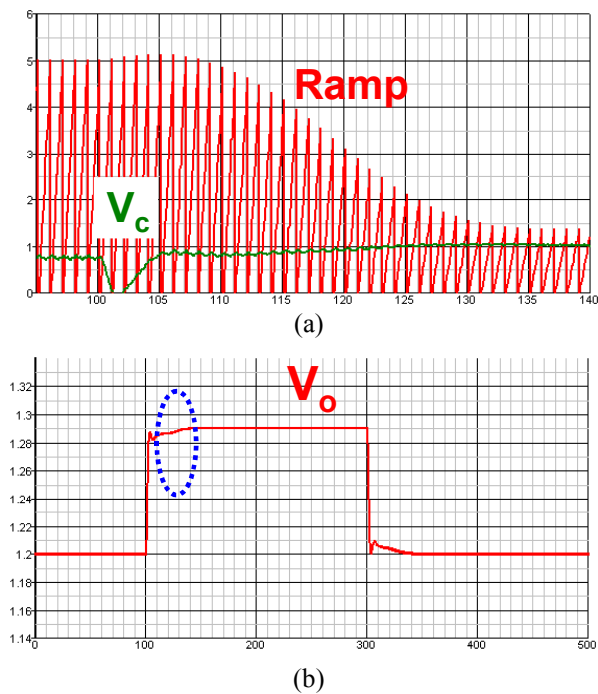


Fig. 5.36. The simulated waveforms when the feed forward function is added: (a) the ramp waveform, and (b)  $V_o$  response showing that the glitch is eliminated.

## 5.5. Improving Light-Load Efficiency Through the Proposed Adaptive Bus-Voltage Positioning (ABVP).

The ACPI-based variable  $V_{bus}$  control scheme can guarantee that  $V_{bus}$  is optimal during the sleep states. However, during the C0 state,  $V_{bus}$  is not always optimal. Fig. 5.37 shows that when the CPU is in C0 state, the CPU could be drawing maximum current, but also could draw lower current. Since the ACPI-based  $V_{bus}$  control scheme sets  $V_{bus}$  at 6V during the entire C0 state,  $V_{bus}$  is not optimal when the CPU is not drawing maximum current, marked as the shaded area in Fig. 5.37. Depending on users and software, the CPU could be in C0 state for most of the time. So there is a pretty good chance that the shaded areas become dominant. In this case, the bus voltage not being optimal is significantly bad for efficiency.

Fig. 5.38 shows the ideal positioning of  $V_{bus}$ . The ideal  $V_{bus}$  should be positioned according to the load current at any time, therefore optimal efficiency can be achieved at any load. The optimal  $V_{bus}$  trend shown in Fig. 5.26 can be drawn in Fig. 5.39 (a) as the solid line. It is not a direct line. When the load decreases from full load, the optimal  $V_{bus}$  decreases. In 45~25A range, the slope of the optimal  $V_{bus}$ - $I_o$  line is not very steep; in the 25~3A range, the slope of the optimal  $V_{bus}$ - $I_o$  line is steeper. When  $V_{bus}$  reaches 3V at 3A output, because less than 3V is too low to be practical, it is not further decreased when the load is less than 3A. The dashed line in Fig. 5.39 (a) is one method to approximate the solid line.  $V_{bus}$  is kept at 6 V in 45~25A range, decreases linearly to 3V in 25~3A range, and maintains 3V in 3~0A range. Fig. 5.39 (b) shows another method to approximate the solid line.  $V_{bus}$  decreases linearly from 6 V to 3 V in the 45A ~ 0A range. The method is shown in Fig. 5.39 (b) is less accurate, but simpler. Both methods incorporate the element of  $V_{bus}$  decreasing linearly according to  $I_o$ . This becomes the key in the implementation.

The adaptive voltage positioning (AVP) concept is already widely used today at the VR output  $V_o$ . AVP positions  $V_{bus}$  according to the load current, described as the load line. The slope of the load line is defined as  $R_{droop}$ . Fig. 5.40 shows the proposed adaptive bus-voltage positioning (ABVP) concept. The ABVP concept also positions  $V_{bus}$  according to the load current. Different from AVP is that ABVP increases  $V_{bus}$  when  $I_o$  increases, as opposed to AVP decreasing  $V_o$  when  $I_o$  increases. The slope of the  $V_{bus}$ - $I_o$

line is defined as  $R_{iilt}$ . It should be understood that the proposed ABVP stands for both methods in Fig. 5.39 (a) and (b). While the ABVP is the same as Fig. 5.39 (b), it can be the tilted part of Fig. 5.39 (a).

For a given two-stage converter, to determine the ABVP line requires some theoretical analysis or experimental tests. The theoretical or experimental exercise generates the  $V_{bus}$  vs.  $I_o$  curves, as shown in Fig. 5.26. After collecting the data of optimal  $V_{bus}$ , plot the  $V_{bus}$  vs.  $I_o$  curves as shown in Fig. 5.39 as the solid lines. The next step is to use the dashed lines to approximate the solid lines. By doing so, the ABVP line is determined.

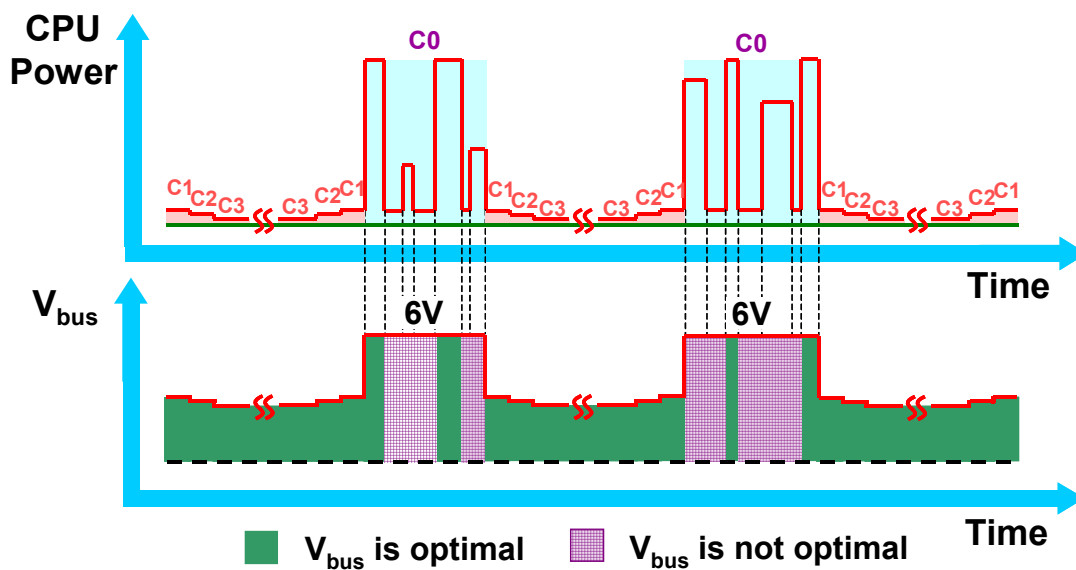


Fig. 5.37. The limitations of the ACPI-based variable  $V_{bus}$  control scheme.

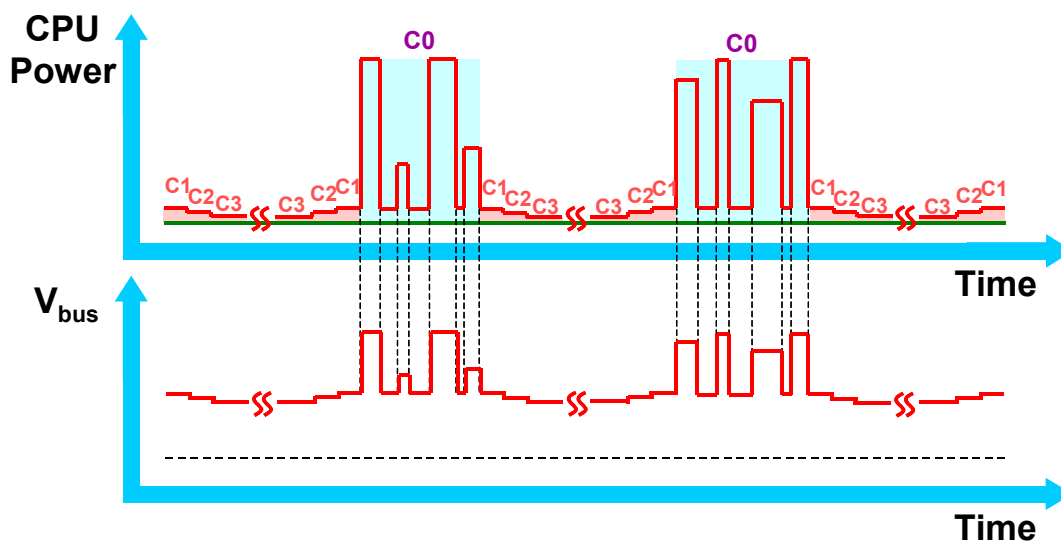
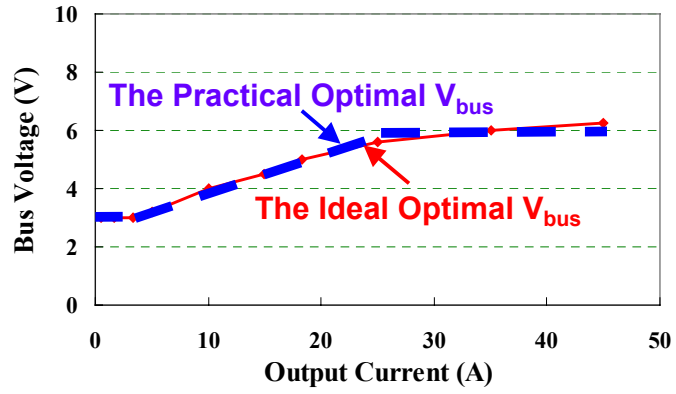
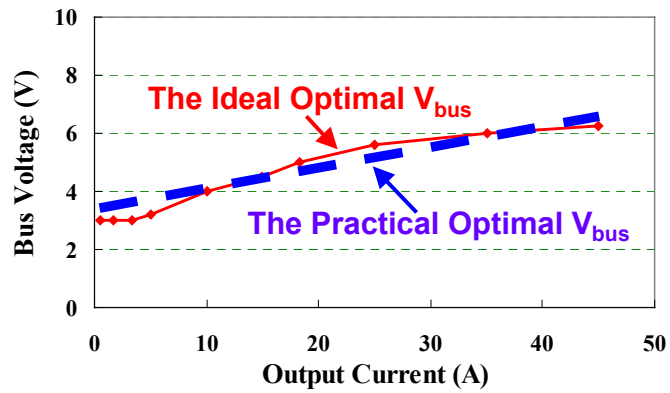


Fig. 5.38. The ideal positioning of  $V_{bus}$ .



(a)



(b)

Fig. 5.39.  $V_{bus}$ - $I_o$  relationship: (a) A more accurate implementation, and (b) a simpler implementation.

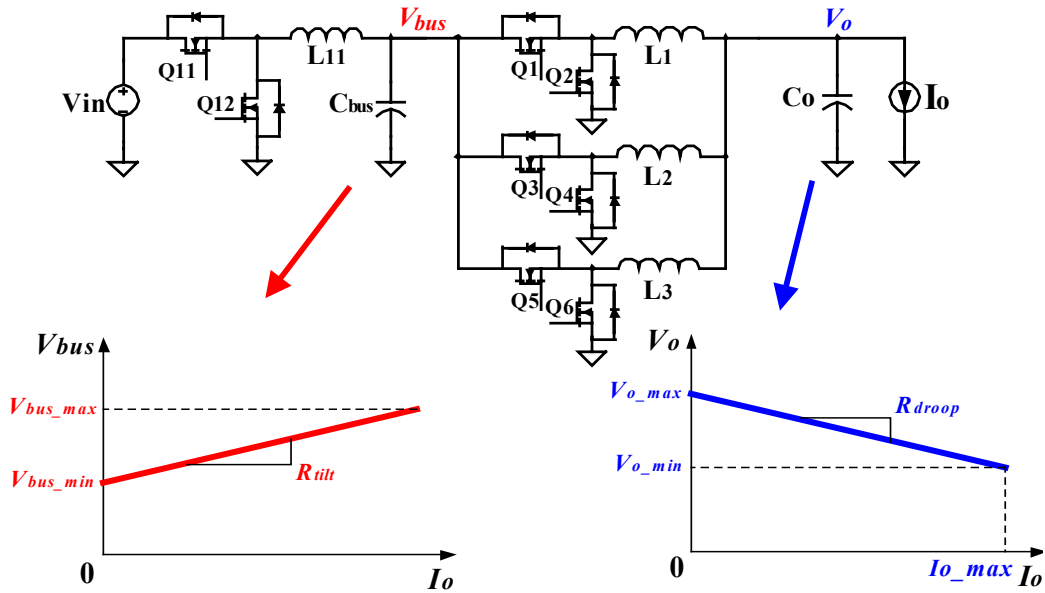


Fig. 5.40. The proposed adaptive bus-voltage positioning.

Fig. 5.41 shows the proposed current-injection implementation of an ABVP-AVP two-stage VR. The current-injection implementation of AVP is an existing method. The principle is to inject the information of the total inductor current  $i_L$  to the inverted input of the second-stage voltage-loop compensator opamp, where  $V_o$  feedback is connected to through a resistor equal to  $R_{droop}$ . Since  $i_L$  information is already available, the ABVP loop just injects it to the inverted input of the first-stage voltage-loop compensator opamp, where  $V_{bus}$  feedback is connected to through a resistor equal to  $R_{tilt}$ . The difference from the AVP loop is the direction of the injected current. In the ABVP loop the injected current is actually flowing out of the inverted input of the first-stage voltage-loop compensator opamp. One advantage of this implementation is that no additional current sensing is required; another advantage is that this implementation works without the need of the CPU power state information provided by the ACPI.

The feed forward function is also needed in the second stage. Fig. 5.42 (a) shows the simulated result of the  $V_{bus}$  response when the load current swings between 50A and 5A.  $V_{bus}$  is changed according to the load current and settles at the new value within 20 $\mu$ s. Also compared with Fig. 5.30,  $V_{bus}$  response does not have significant overshoot any more. Fig. 5.42 (b) shows the simulated result of the  $V_o$  response. With the feed forward function,  $V_o$  has a good waveform. Fig. 5.43 shows the experimental test waveforms. The top trace is the load current waveform. In this test, the current step change magnitude is 20A. The second trace is the output voltage waveform. AVP function is implemented. The output voltage droop is 20mV when the load current is 20A. The bottom trace is the bus voltage waveform. As the load current increases, the bus voltage decreases. In this test, when the load current decreases 20A, the bus voltage decreases 1V. It can be seen that the bus voltage waveform is just as it had been predicted.

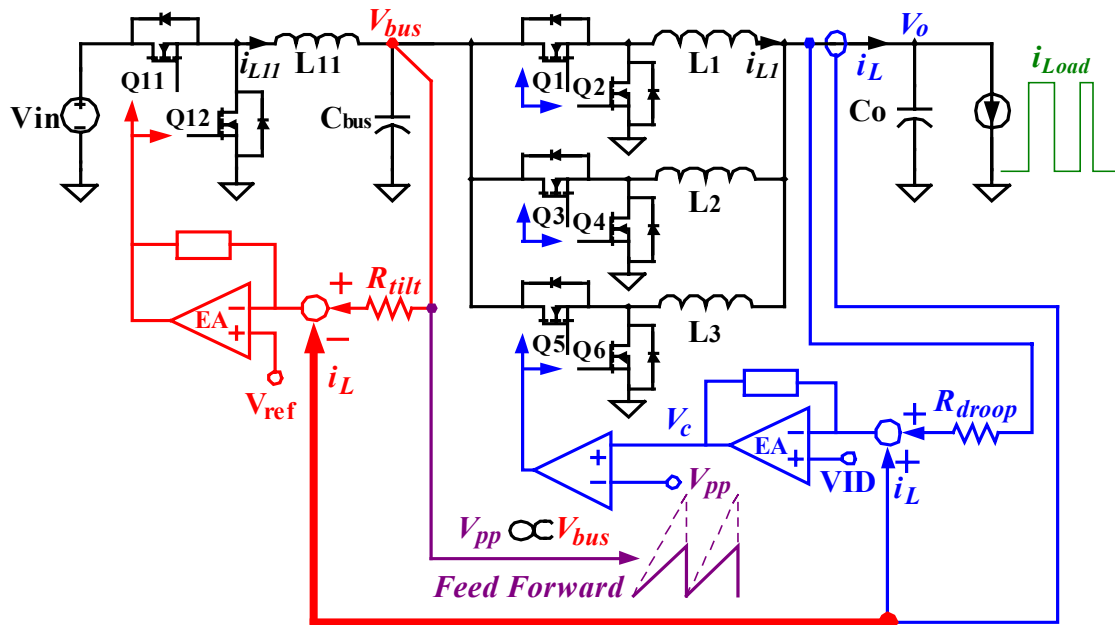


Fig. 5.41. The proposed current-injection implementation of ABVP.

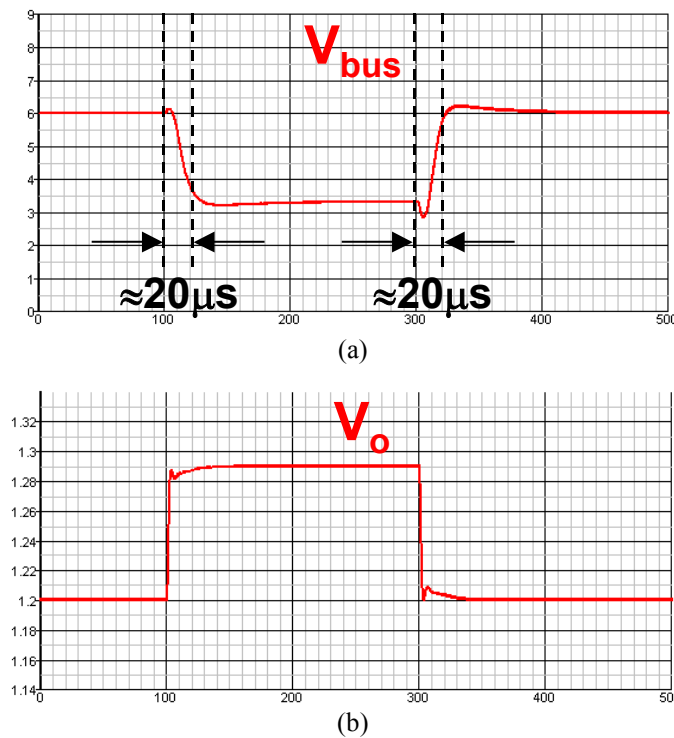


Fig. 5.42. Simulation result of the proposed current-injection implementation of ABVP: (a)  $V_{bus}$  response and (b)  $V_o$  response.

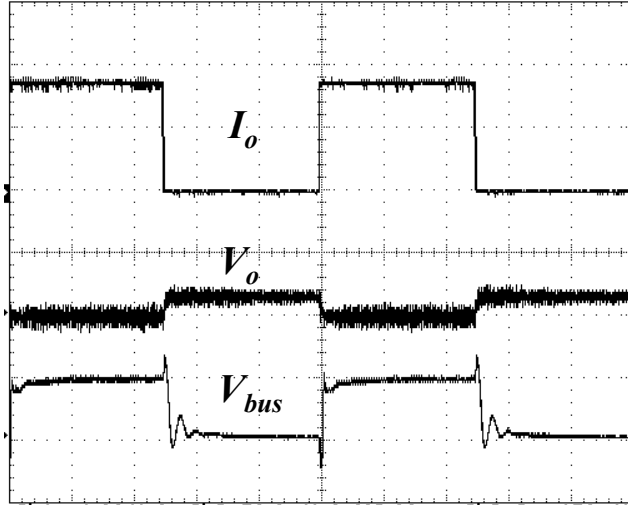
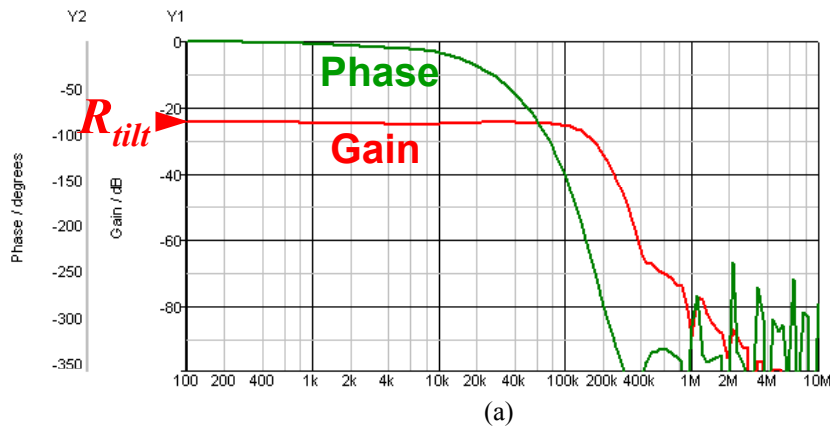


Fig. 5.43. Experimental test waveforms of ABVP.

The AVP load line describes the relationship between  $V_o$  and  $I_o$ . In frequency domain, to achieve the load line is to design the transfer function  $Z_o(s)=V_o(s)/I_o(s)$  to be  $R_{droop}$ . Similarly, the ABVP describes the relationship between  $V_{bus}$  and  $I_o$ . A good way to achieve ABVP is to design the transfer function  $Z_{bus}(s)=V_{bus}(s)/I_o(s)$  to be  $R_{tilt}$ . Fig. 5.44 (a) shows the simulated transfer function  $Z_{bus}(s)$ ; Fig. 5.44 (b) shows the simulated transfer function  $Z_o(s)$ . In this design,  $Z_{bus}(s)$  is designed to be  $R_{tilt}$  and  $Z_o(s)$  is designed to be  $R_{droop}$ .





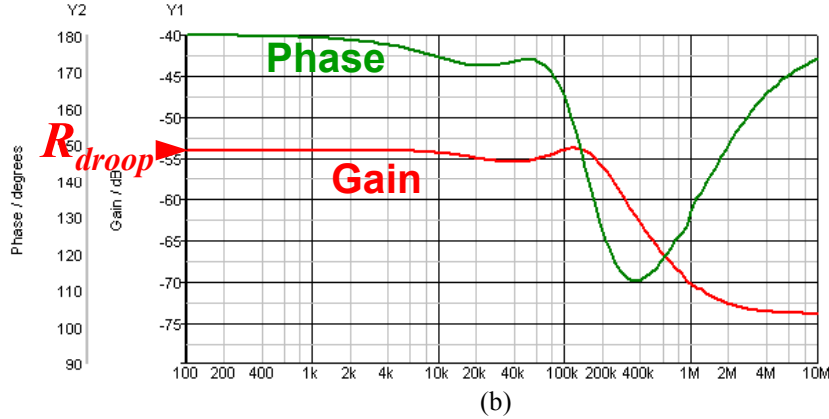


Fig. 5.44. Frequency-domain representations of the ABVP-AVP system: (a) ABVP, and (b) AVP.

Because the first stage and the second stage both have their control loops, and the second-stage output inductor current  $i_L$  is injected to the first-stage control loop, the control loops seem to couple together and the design seems complicated.

To study the design of the two control loops, zoomed waveforms are shown in Fig. 5.45. The load step down is used as an example. The load current  $I_o$  steps down at  $t_0$ ; the second stage responds to the  $I_o$  change by increasing  $V_o$  according to the load line. By  $t_1$ , the output inductor  $i_L$  and the output voltage  $V_o$  have settled at the new values. Because the high frequency second stage is very fast in terms of transient response,  $t_0 \sim t_1$  is a pretty short period of time during which  $V_{bus}$  literally has no change. Therefore, the second-stage can be designed independent from the first stage. The method to design the second-stage output impedance equal to  $R_{droop}$  is just the same as that of a single-stage VR. Due to the lower switching frequency, the first stage is slower than the second stage in terms of transient response. So  $V_{bus}$  change literally begins sometime after  $t_1$ , responding to the injected  $i_L$  information. During the course of  $V_{bus}$  response,  $i_L$  and  $V_o$  are not affected, thanks to the feed forward function. Therefore the first stage can be considered decoupled from the second stage. For a decoupled first stage, the design is very simple. For the schematic shown in Fig. 5.41, simple voltage mode control is good enough.

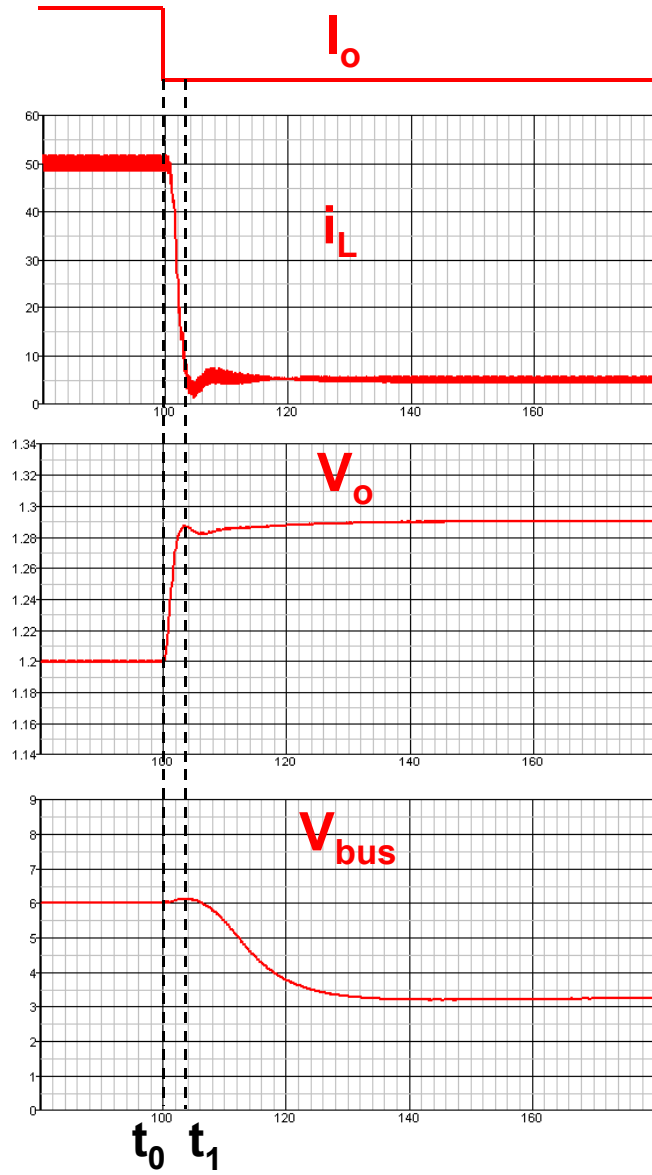
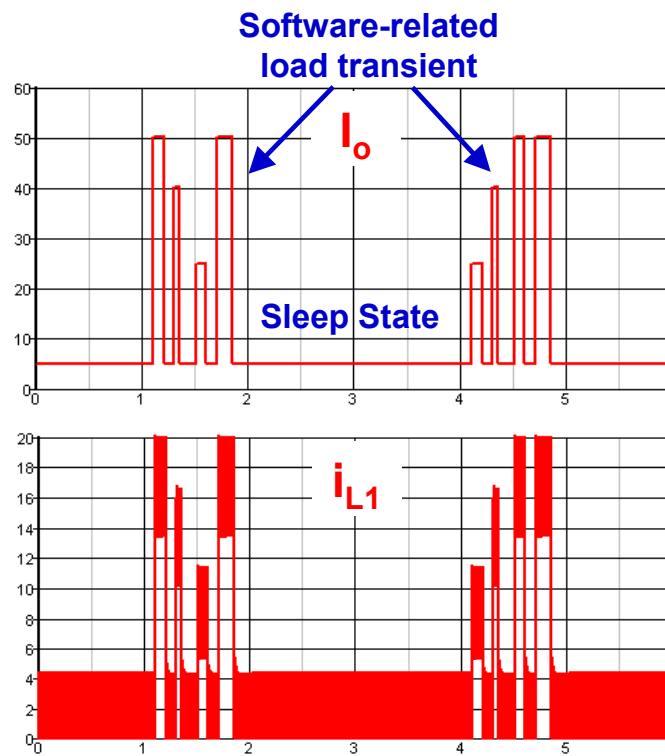


Fig. 5.45. The zoomed waveforms of the current-injection ABVP-AVP two-stage VR.

The ABVP control intends to position  $V_{bus}$  according to  $I_o$  at any time. However, when the CPU is in C0 state, software can create  $I_o$  transients with all possible amplitudes and recurrence frequencies up to several MHz. How the ABVP-AVP VR responds to various  $I_o$  transients is an interesting aspect to examine. For further study, two parameters are defined:  $f_{c1}$  is the control bandwidth of the first stage;  $f_{c2}$  is the control bandwidth of the second stage. For a meaningful two-stage VR, the second stage switches at a higher frequency than the first stage; therefore there is  $f_{c1} < f_{c2}$ .

When the  $I_o$  transient recurrence frequency  $f_{I_o}$  is lower than  $f_{c1}$ , there is  $f_{I_o} < f_{c1} < f_{c2}$ . Then the first and the second stages are both fast enough to catch up with the  $I_o$  transient. Fig. 5.46 shows the simulation waveforms.  $I_o$  transients have random amplitudes, but the recurrence frequencies are low.  $I_{L1}$  is one phase inductor current of the second stage.  $i_{L1}$  is 1/3 of the instantaneous  $I_o$  with the addition of some switching ripple. At any  $I_o$ ,  $V_{bus}$  is set at the value determined by the  $V_{bus}$ - $I_o$  line shown in Fig. 5.40.  $V_{bus}$  is always optimal in this scenario.  $V_o$  is set at the value determined by the load line shown in Fig. 5.40.



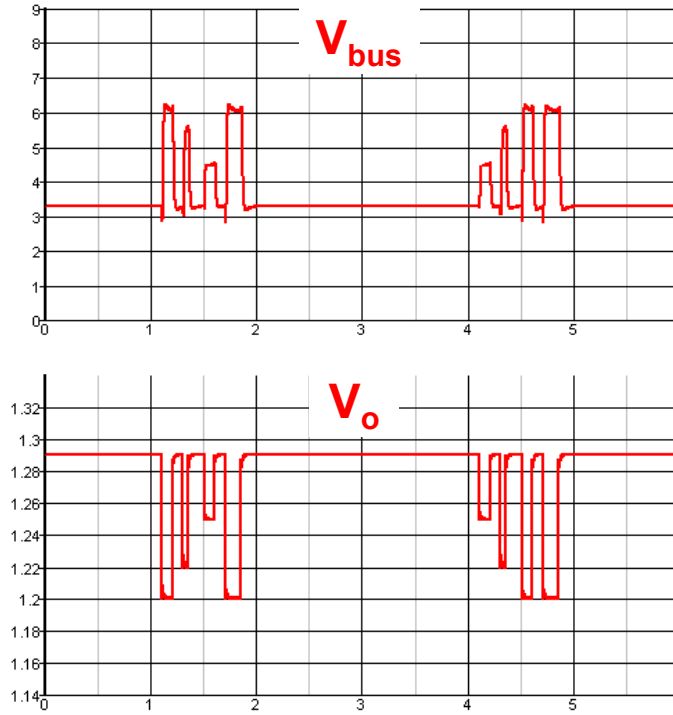


Fig. 5.46. The ABVP-AVP response when there is  $f_{io} < f_{c1} < f_{c2}$ .

When the  $I_o$  transient reoccurrence frequency  $f_{io}$  is higher than  $f_{c2}$ , there is  $f_{c1} < f_{c2} < f_{io}$ . Then the  $I_o$  transient is too fast to respond to for both the first and the second stages. Fig. 5.47 (a) shows the simulation waveforms.  $I_o$  swings between 50A and 5A at 5MHz reoccurrence frequency. Fig. 5.47 (b) shows  $i_{L1}$  waveform, which is one phase inductor current of the second stage. Due to the limited second-stage control bandwidth  $f_{c2}$  ( $< 5$  MHz),  $i_{L1}$  does not respond to the 5MHz  $I_o$  transient. As the result,  $i_{L1}$  is 1/3 of the average value of  $I_o$  with the addition of some switching ripple. Fig. 5.47 (c) shows  $i_{L11}$  waveform, which is the inductor current of the first stage. Because the second stage only sees a load of average  $I_o$ , and the second stage is the load for the first stage,  $i_{L11}$  is also the result of the load of average  $I_o$ . Therefore the optimal  $V_{bus}$  is determined by the average value of  $I_o$  in this scenario, and that's exactly what the ABVP control does. Fig. 5.48 shows the simulation transient response of the ABVP-AVP system. Compared with Fig. 5.46,  $I_o$  has an additional 5 MHz transient during  $t_a \sim t_b$ . Therefore  $V_{bus}$  is set at the value determined by the  $V_{bus}-I_o$  line shown in Fig. 5.40, according to the average value of  $I_o$ .  $V_{bus}$  is always optimal in this scenario.  $V_o$  is set at the value determined by the load line shown in Fig. 5.40, according to the average value of  $I_o$ .

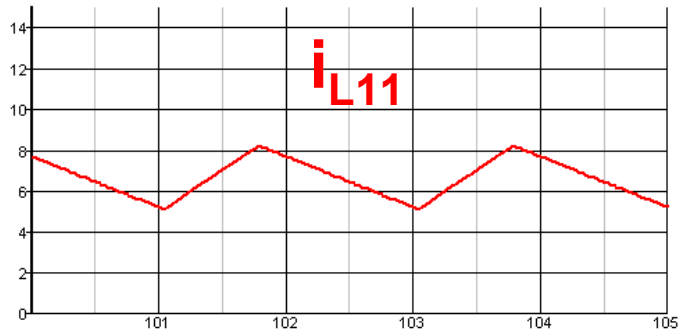
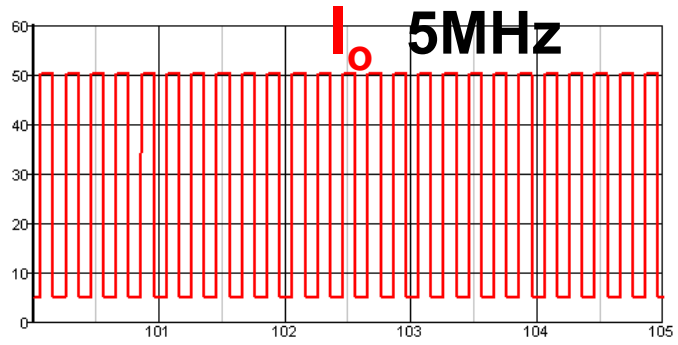


Fig. 5.47. The inductor current waveforms when there is  $f_{c1} < f_{c2} < f_{lo}$ : (a)  $I_o$ , (b)  $i_{L1}$ , and (c)  $i_{L11}$ .

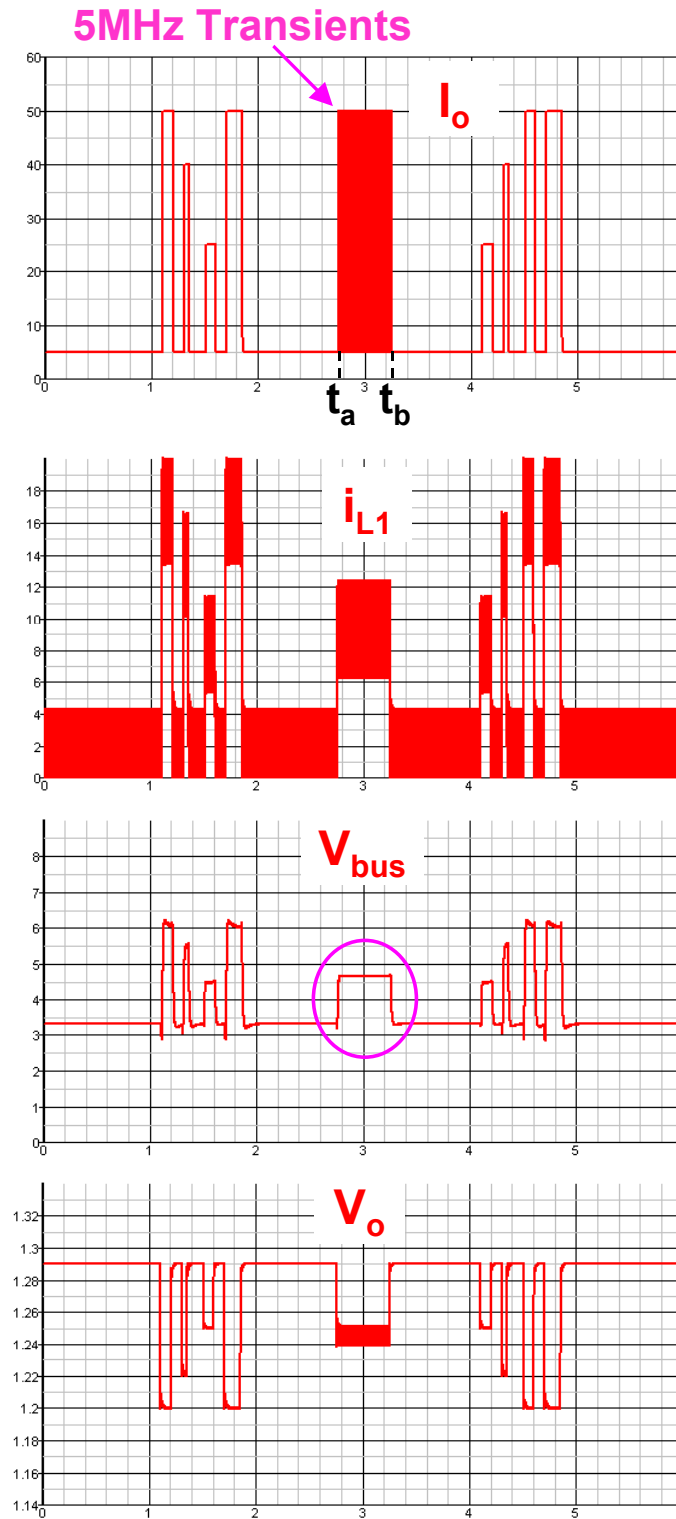
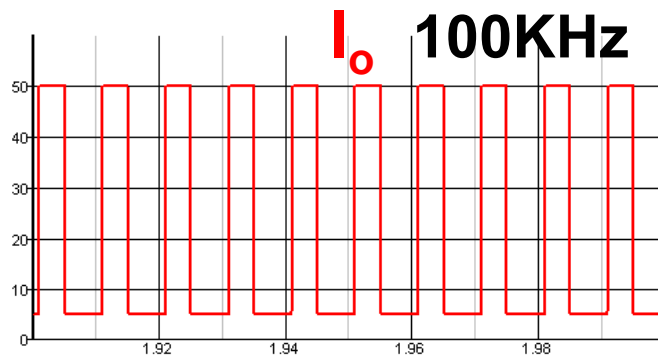


Fig. 5.48. The ABVP-AVP response when there is  $f_{c1} < f_{c2} < f_{lo}$ .

When the  $I_o$  transient reoccurrence frequency  $f_{io}$  is between  $f_{c1}$  and  $f_{c2}$ , there is  $f_{c1} < f_{io} < f_{c2}$ . Then the second stage is able to respond to  $I_o$  transient, while the first stage cannot. In the two-stage design that been used in this chapter, the first-stage switching frequency is 370KHz and the control bandwidth is  $f_{c1}=60$ KHz; second-stage switching frequency is 1MHz and the control bandwidth is  $f_{c2}=160$ KHz. As shown in Fig. 5.49 (a),  $I_o$  swings between 50A and 5A at 100KHz reoccurrence frequency. Fig. 5.49 (b) shows  $i_{L1}$  waveform.  $i_{L1}$  is able to respond to the 100KHz  $I_o$  transient. As a result,  $i_{L1}$  is 1/3 of the instantaneous value of  $I_o$  with the addition of some switching ripple. Fig. 5.49 (c) shows  $i_{L11}$  waveform. Although the second stage sees the instantaneous  $I_o$ , the first stage is not fast enough to respond to the 100KHz transient power drawn by the second stage. So  $i_{L11}$  is still the result of the load of average  $I_o$ . Because the first and the second stages see  $I_o$  in two different ways, there is not an optimal  $V_{bus}$  defined for this scenario. Fig. 5.49 (d) shows the  $V_{bus}$  waveform. It can be seen that the first stage tries to adjust  $V_{bus}$  according to the instantaneous value of  $I_o$ , but cannot quite do it due to the limited  $f_{c1}$ .  $V_{bus}$  then has a 100KHz ripple on top of the DC value determined by the average  $I_o$ . Fig. 5.49 (e) shows the  $V_o$  waveform.  $V_o$  is set at the value determined by the load line shown in Fig. 5.40, according to the instantaneous value of  $I_o$ .

As a summary, the ABVP control can guarantee the optimal  $V_{bus}$  when the CPU is in sleep states. When the CPU is in work state, the ABVP control guarantees optimal  $V_{bus}$  if the load transient reoccurrence frequency is lower than  $f_{c1}$  or higher than  $f_{c2}$ ; optimal  $V_{bus}$  cannot be guaranteed when the load transient reoccurrence frequency is between  $f_{c1}$  and  $f_{c2}$ . The difference between  $f_{c1}$  and  $f_{c2}$  is due to the different switching frequencies of the two stages.



(a)

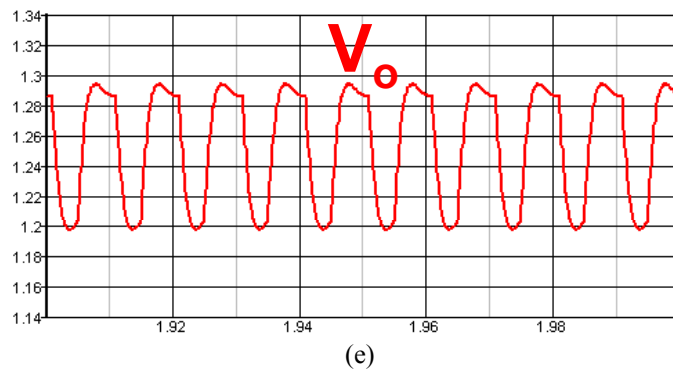
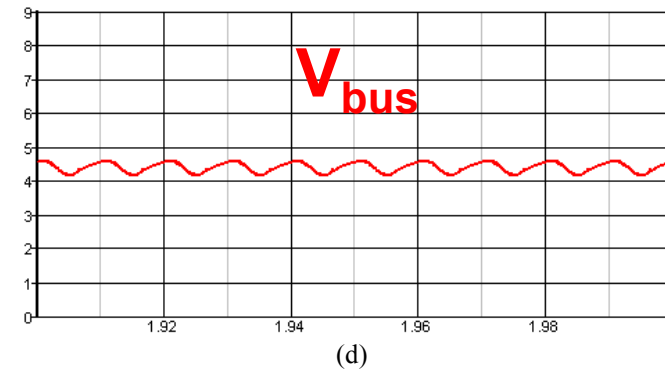
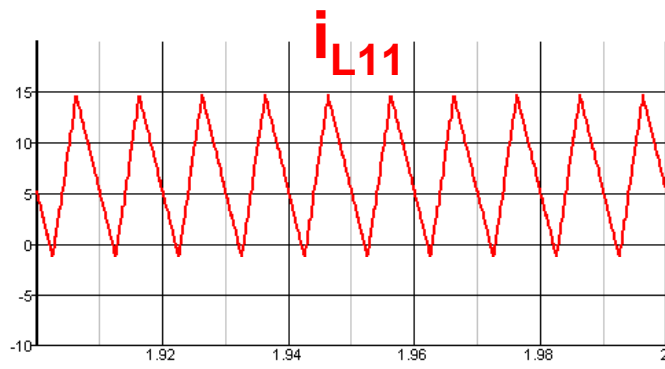
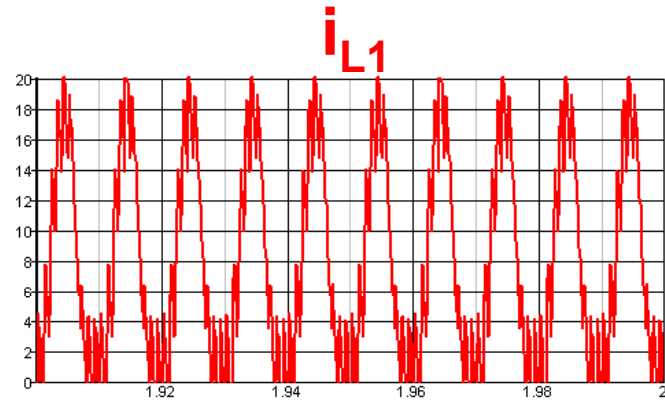
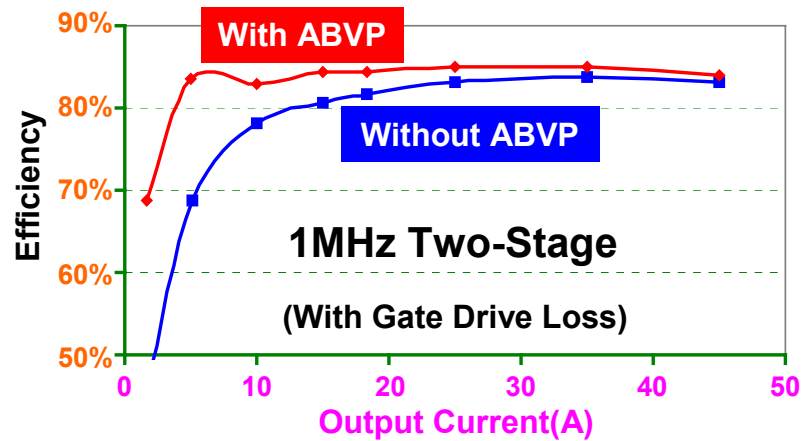


Fig. 5.49. The inductor current waveforms when  $f_{c1} < f_{lo} < f_{c2}$ : (a)  $I_o$ , (b)  $i_{L1}$ , (c)  $i_{L11}$ , (d)  $V_{bus}$ , and (e)  $V_o$ .



Fig. 5.50 (a) shows the experimental test efficiency comparison of the two-stage VR with and without the ABVP control. The input voltage is 16V, and the output voltage is 1.3V. For the first stage the top switch is HAT2168, the bottom switch is HAT2164, the switching frequency is 370KHz, and the inductance is 2.2 $\mu$ H. The second stage is a three-phase buck converter. The top switches are HAT2168, the bottom switches are Si4864, the switching frequency is 1MHz, and the inductance is 150nH. When ABVP does not apply,  $V_{bus}$  is fixed at 6V. When ABVP applies,  $V_{bus}$  is positioned at the optimal value associated with the load: at heavy load,  $V_{bus}$  is 6V; when the load becomes lighter,  $V_{bus}$  is decreased, until at very light load  $V_{bus}$  is 3V. The measured data verifies that lowering  $V_{bus}$  according to the load condition improves light load efficiency. At very light load, the efficiency improvement is as much as 20%. Fig. 5.50 (b) shows the efficiency comparison of the two-stage VR with ABVP and the single-stage VR. The single-stage VR is a three-phase buck converter. The top switches are HAT2168, the bottom switches are HAT2164, the switching frequency is 1MHz, and the inductance is 150nH. Fig. 5.50 (b) shows that the two-stage VR with the ABVP control achieves higher efficiency than the single-stage VR over a very wide load range. At heavy load, the improvement is 9%; at light load the improvement can be as much as 20%.



(a)

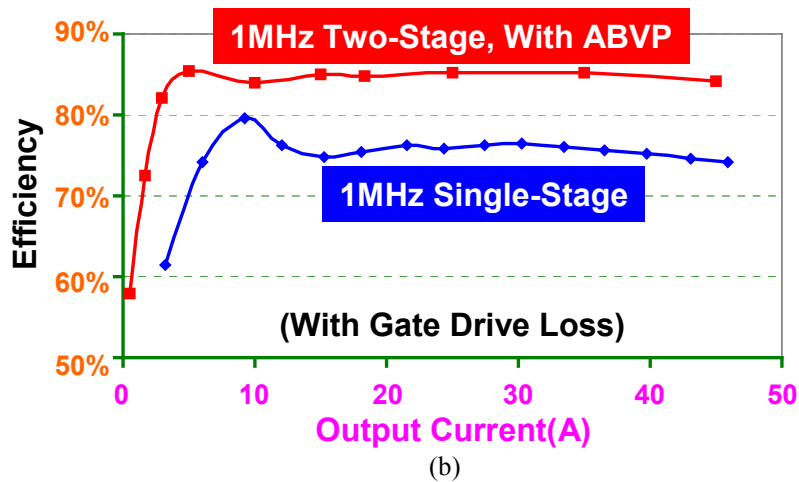


Fig. 5.50. Experimental test efficiency: (a) The efficiency of the two-stage VR with and without the ABVP control, and (b) the efficiency of the two-stage VR with ABVP and the single-stage VR.

Fig. 5.51 shows the loss comparison for a laptop platform running the typical battery-life benchmark ZDBL4.01. It can be seen that ABVP decreases the two-stage VR loss in C1 and C2 states. After averaging according to the percentages of the duration of the power states, the VR average loss power is 5.75W for the buck solution, is 4.42W for the two-stage solution, and is 3.15 after applying ABVP, as indicated by the dotted rectangle. Table 5.7 shows the battery run time comparison. The platform average power consumption is reduced to 28.25W for two-stage solution with ABVP. The battery run time is increased to 106.2 minutes, which is 8.94 minutes longer than for the single-stage buck solution and is translated into 9.2% increase.

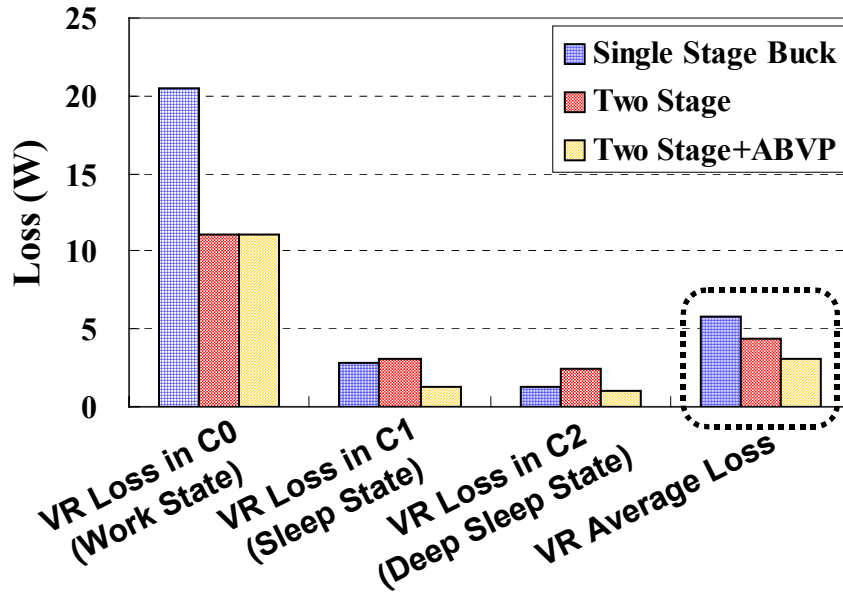


Fig. 5.51. Loss comparison of the buck solution, the two-stage solution, and adding ABVP.

Table 5.7. Battery Run Time Comparison of the Buck Solution, the Two-Stage Solution, and adding ABVP

	Single-Stage Buck VR	Two-Stage VR	Two-Stage VR +ABVP
<b>CPU Average Power (W)</b>	14.82	14.82	14.82
<b>CPU VR Average Loss (W)</b>	5.75	4.42	3.15
<b>Platform Average Power (W)</b>	30.85	29.52	28.25
<b>Battery Capacity (W×m)</b>	3000	3000	3000
<b>Battery Run Time (m)</b>	97.3	101.63	106.2
<b>Battery Run Time Increase (m)</b>	N/A	4.37	8.94
<b>Battery Run Time Increase Percentage</b>	N/A	4.5%	9.2%

## 5.6. Improving Light-Load Efficiency Through the Proposed Optimal Number-of-Phases (ONP) Control.

ABVP control improves light load efficiency. But there is always desire to further improve the light load efficiency because of its importance.

Fig. 5.52 (a) shows one phase of the second-stage multiphase buck converter. The devices have parasitic drain-source capacitors  $C_{oss1}$  and  $C_{oss2}$ . At light load, because the average output current  $i_{L1}$  is very low, the conduction loss is no longer a big contributor in the total loss, while several losses become more significant.

Fig. 5.52 (b) shows the gate-source voltages of the switches. The gate drive loss is

$$P_{gate} = V_{gate} \cdot (Q_{g1} + Q_{g2}) \cdot f_s \quad (5.5)$$

where  $V_{gate}$  is the gate drive voltage;  $Q_{g1}$  and  $Q_{g2}$  are the gate charges of Q1 and Q2; and  $f_s$  is the switching frequency.

Fig. 5.52 (c) shows the inductor current  $i_{L1}$  waveform. Although the average of  $i_{L1}$  is very low, the current ripple still exists and Q1 is turned off at a higher current. The turn-off loss of Q1 is

$$P_{turn-off} = i_{L1\_pk} \cdot \frac{Q_{gd} + Q_{gs2}}{i_{g1}} \cdot v_{off\_stress} \cdot f_s \quad (5.6)$$

where  $i_{L1\_pk}$  is the peak value of  $i_{L1}$ ;  $Q_{gd}$  and  $Q_{gs2}$  are two gate charge parameters of Q1;  $v_{off\_stress}$  is the turn-off voltage stress of Q1; and  $f_s$  is the switching frequency.

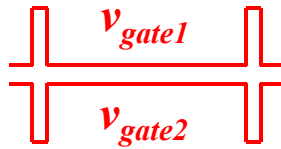
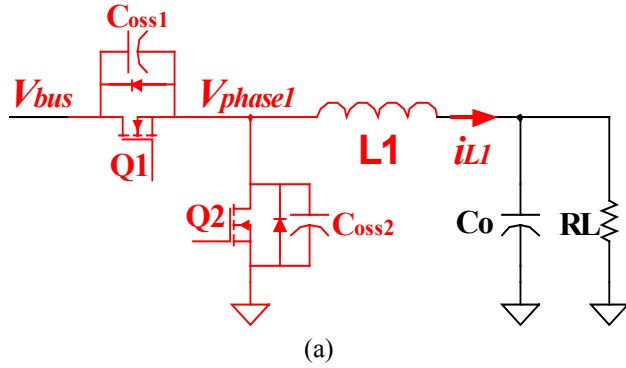
Fig. 5.52 (d) shows the phase voltage  $v_{phase1}$  waveform. When Q1 is on,  $C_{oss2}$  is charged to  $V_{bus}$ . This charge process is lossy. When Q1 is off, the inductor current  $i_{L1}$  discharges  $C_{oss2}$  and make the body-diode of Q2 conduct. This discharge process is lossless. Therefore the loss caused by the charging-discharging of  $C_{oss2}$  is

$$P_{cos2} = \frac{2}{3} \cdot C_{oss2} \cdot V_{bus}^2 \cdot f_s \quad (5.7)$$

Also there is the body-diode reverse recovery loss, given by formula (5.8)

$$P_{rr} = Q_{rr} \cdot V_{bus} \cdot f_s \quad (5.8)$$

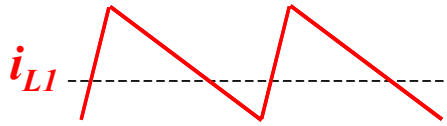
The losses given by formulas (5.5) ~ (5.8) are all present even when the load current is very low. They are the main reasons why the buck converter suffers low efficiency at light load. Moreover, since  $P_{gate}$ ,  $P_{turn-off}$ ,  $P_{cos2}$  and  $P_{rr}$  are all proportional to the switching frequency  $f_s$ , they become more significant when the VR is switching at MHz.



### Gate Drive Loss

$$\approx Q_g \cdot V_{gate} \cdot f_s$$

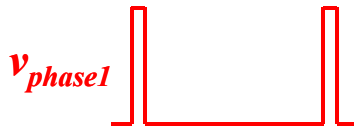
(b)



### Q1 Turn-off Loss

$$\approx i_{Top\_pk} \cdot \frac{Q_{gd} + Q_{gs2}}{i_g} \cdot V_{off\_stress} \cdot f_s$$

(c)



### Q2 C<sub>oss2</sub> Loss

$$\approx \frac{2}{3} C_{oss2} \cdot V_{bus}^2 \cdot f_s$$

### Reverse Recovery Loss

$$\approx V_{bus} \cdot Q_{rr} \cdot f_s$$

(d)

Fig. 5.52. Light-load inefficiency: (a) one phase of the second-stage multiphase buck converter, (b) the gate drive loss, (c) the top switch loss and (d) the bottom switch loss.

The multiphase configuration makes the situation even worse. For the three-phase second-stage buck VR, all the losses given by formulas (5.5) ~ (5.8) are multiplied by three. The total light-load loss is

$$P_{ll-loss} = 3 \times (P_{gate} + P_{turn-off} + P_{cos\phi} + P_{rr}) \quad (5.9)$$

This significantly drags down the light-load efficiency of a multiphase buck converter.

From the above analysis, it is seen that the multiphase configuration is a double-edged sword. At heavy load, the more phases, the higher the efficiency; however, at light load, the more phases, the lower the efficiency.

Fig. 5.53 shows a calculated efficiency of the two-stage VR with a different number of second-stage phase numbers. The bus voltage is 6V in this calculation. When the load current is greater than 15A, the efficiency is the highest when all three phases are working; when the load is lower than 7.5A, the efficiency is the highest when only one phase is working; when the load current is between 7.5A and 15A, a two-phase working offers the highest efficiency.

Based on the information delivered in Fig. 5.53, the Optimal-Number-of-Phases (ONP) control scheme is proposed. The ONP control selects a different number of second-stage phases according to the load current, such that the efficiency is the highest.

Fig. 5.54 shows the experimental efficiency curve obtained from a two-stage prototype with the ONP control scheme applied to the second stage. The input voltage is 16V, the output voltage is 1.3V, and the intermediate bus voltage is 6V. For the first stage the top switch is HAT2168, the bottom switch is HAT2164, the switching frequency is 370KHz, and the inductance is 2.2μH. The second stage is a three-phase buck converter. The top switches are HAT2168, the bottom switches are Si4864, the switching frequency is 1MHz, and the inductance is 150nH. The intermediate bus voltage is 6V. The ONP control shuts down some phases at light load; therefore the light-load efficiency is improved. The solid line is the optimal efficiency achieved by the ONP control. The dashed lines on the curves are the would-be efficiency if no change of phase numbers is made. The difference of light-load efficiency with or without the ONP control can be as much as 15%.

The ONP control can be added on top of the ABVP control to maximize the benefit. Fig. 5.55 (a) shows the measured light-load efficiency of the two-stage VR with ABVP.

For the upper curve, the ONP control kicks in when the load is less than 5A; for the lower curve, all the phases are switching even at light load. It is seen that the efficiency is increased by 10% after the ONP control is applied.

Fig. 5.55 (b) shows the efficiency comparison of the two-stage VR and the single-stage VR. The two-stage VR features ABVP and ONP controls. It is shown that the two-stage VR has much higher efficiency than the single-stage VR over a wide load range (100:1 in this test).

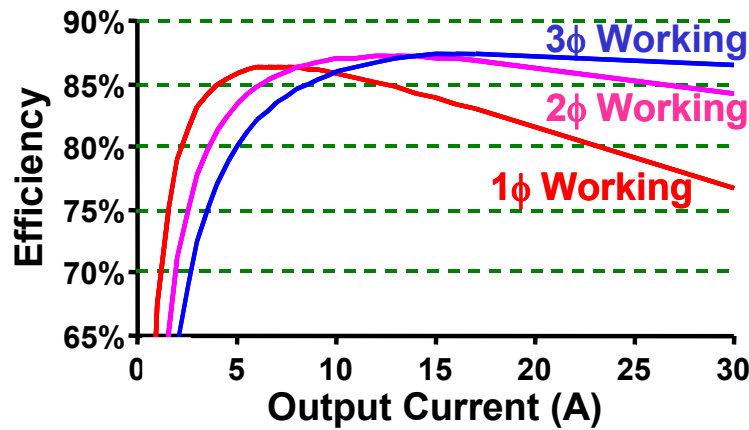


Fig. 5.53. Calculated efficiency with different numbers of 2nd-stage phases.

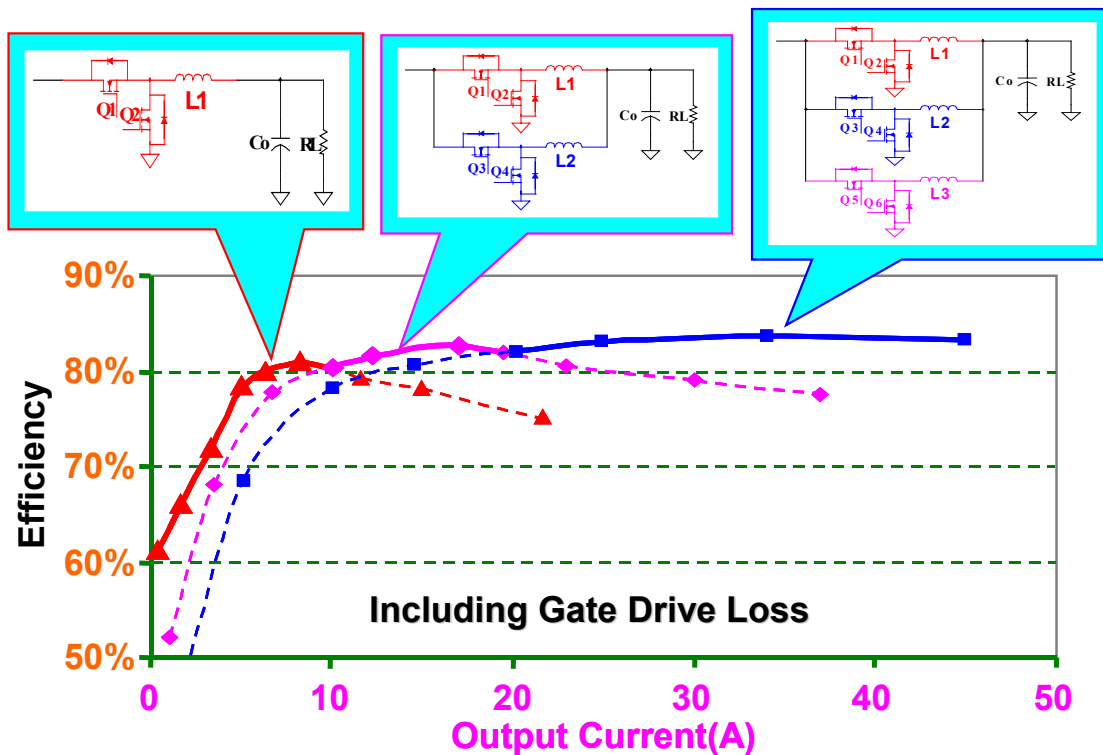


Fig. 5.54. Experimental verification of efficiency improvement of the ONP control.

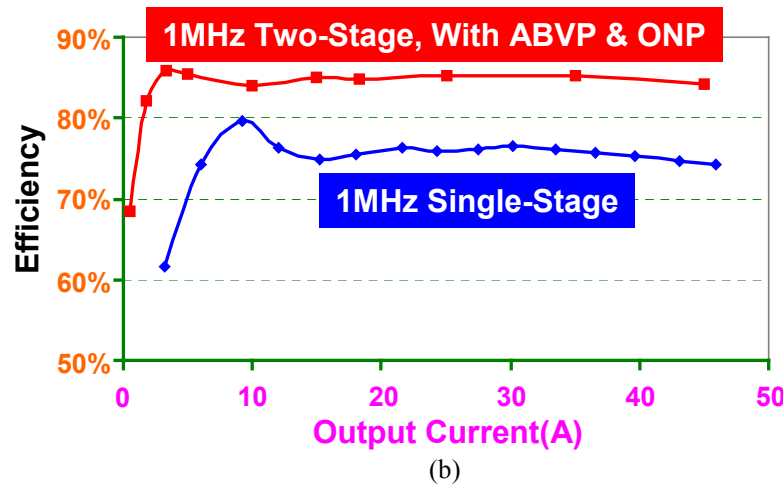
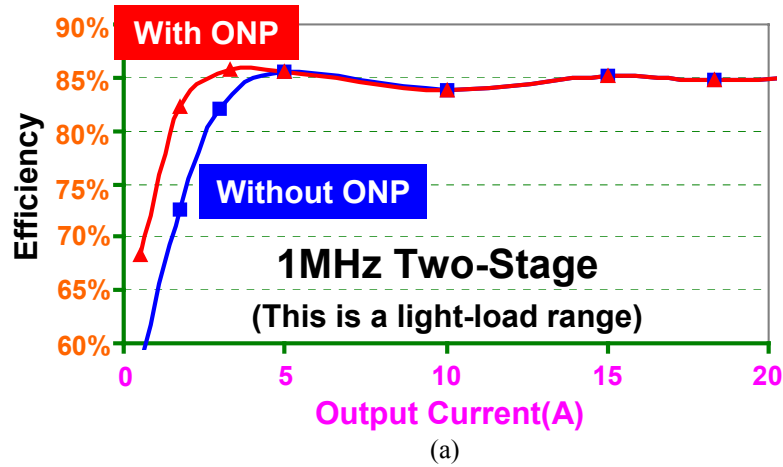


Fig. 5.55. Efficiency improvement from the ONP control: (a) Efficiency of the two-stage VR with ABVP & ONP control, and (b) efficiency comparison of the two-stage VR and the single-stage VR.

Fig. 5.56 shows the loss comparison for a laptop platform running the typical battery-life benchmark ZDBL4.01. It can be seen that ONP control further decreases the two-stage VR loss in C1 and C2 states. After averaging according to the percentages of the duration of the power states, the VR average loss power is reduced to 2.86W, as indicated by the dotted rectangle. Table 5.8 shows the battery run time comparison. The platform average power consumption is reduced to 27.96W. The battery run time is increased to 107.29 minutes, which is 10.03 minutes longer than for the single-stage buck solution and is translated into 10.3% increase.



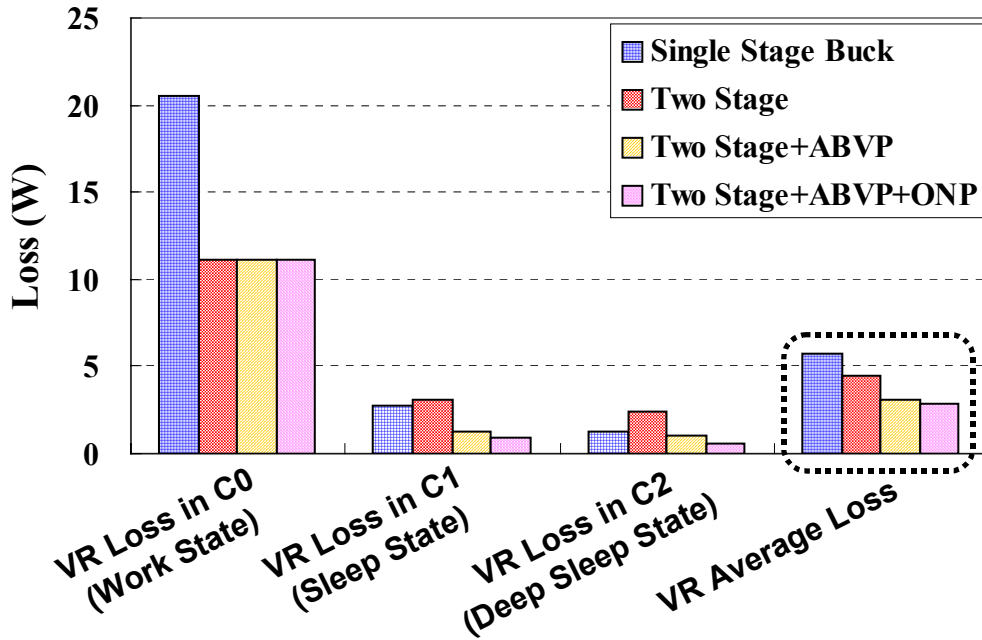


Fig. 5.56. Loss comparison of the buck solution, the two-stage solution, adding ABVP, and adding ONP.

Table 5.8. Battery Run Time Comparison of the Buck Solution, the Two-Stage Solution, adding ABVP and adding ONP

	Single-Stage Buck VR	Two-Stage VR	Two-Stage VR +ABVP	Two-Stage VR +ABVP+ONP
<b>CPU Average Power (W)</b>	14.82	14.82	14.82	14.82
<b>CPU VR Average Loss (W)</b>	5.75	4.42	3.15	2.86
<b>Platform Average Power (W)</b>	30.85	29.52	28.25	27.96
<b>Battery Capacity (W×m)</b>	3000	3000	3000	3000
<b>Battery Run Time (m)</b>	97.3	101.63	106.2	107.29
<b>Battery Run Time Increase (m)</b>	N/A	4.37	8.94	10.03
<b>Battery Run Time Increase Percentage</b>	N/A	<b>4.5%</b>	<b>9.2%</b>	<b>10.3%</b>

It should be brought to attention that turning on or turning off a phase should be an ACPI event, not a response to the software-related light load. The VR controller receives

the ACPI signal and therefore knows what power state the processor is in and will be in. Then the VR selects the optimum number of phases associated with the power state. For example: Use three phases at C0 state, use two phases at C1 state, and use one phase at C2 state. By doing so, the VR has high efficiency when the processor is in sleep states.

### **5.7. Improving Very-Light-Load Efficiency Through the Proposed Baby-Buck (BB) Concept.**

With ABVP and ONP controls, the two-stage VR has greatly improved efficiency compared with the single-stage VR, as is shown in Fig. 5.55 (b). However, the very light-load efficiency still leaves room for further improvement.

At very light load, the second-stage is already a single-phase buck converter working with low  $V_{bus}$ . However, this single-phase buck converter is optimized for heavy load operation, namely 15~20A per phase. Therefore the devices have fairly large current-handling capability, hence fairly large gate charge, drain-source capacitance and reverse-recovery charge. As discussed in section 6.6, these large parameters tend to drag down the efficiency at light load.

If a buck converter is optimized for light load, it can be very efficient. Fig. 5.57 shows the efficiency curve from TPS54612 monolithic buck converter. The switching frequency is 350KHz, and the efficiency reaches 95% when the load current is less than 3A.

Fig. 5.58 shows the proposed baby-buck concept. The second stage has an additional buck channel called baby buck. The baby-buck channel is a tiny buck converter optimized at a very low current level, e.g.: less than 3A. It can even be a monolithically integrated power IC. The control scheme of the baby buck can be combined with the ONP control. When the load decreases, the main phases are shut down one by one, until when  $I_o$  is less than 3A, all the main phases are down, leaving only the baby buck channel working. By doing so, the very light load efficiency can be high. However, since the baby buck is not designed to carry high current, it must have current-limiting function. When the load is heavy, the baby buck is still handling 3A, and the rest of the load current is handled and shared by the main phases.

Fig. 5.59 (a) shows the efficiency comparison of the two-stage VR featuring ABVP and ONP controls. For the upper curve at very-light-load range, only the baby buck channel is working; for the lower curve, there is no baby-buck channel, so a “strong” phase is working. It can be seen that the baby buck concept can greatly improve the very-light-load efficiency. Fig. 5.59 (b) shows the efficiency comparison between the single-stage VR and the two-stage VR featuring ABVP, ONP and baby buck concepts. The input voltage is 16V, and the output voltage is 1.3V. The single-stage VR is a three-phase buck converter. The top switches are HAT2168, the bottom switches are HAT2164, the switching frequency is 1MHz, and the inductance is 150nH. In the two-stage VR, for the first stage the top switch is HAT2168, the bottom switch is HAT2164, the switching frequency is 370KHz, and the inductance is 2.2 $\mu$ H; the second stage is a three-phase buck converter. The top switches are HAT2168, the bottom switches are Si4864, the switching frequency is 1MHz, and the inductance is 150nH. The lower curve is the single-stage VR efficiency. The upper curve is the efficiency of the two-stage VR featuring ABVP, ONP and baby buck. This comparison shows that the two-stage architecture with the advanced control concepts is much more efficient than the single-stage architecture within a wide load range (100:1 in this test).

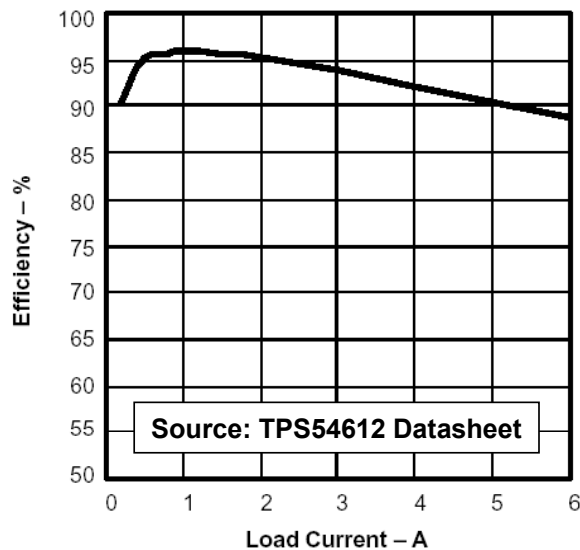


Fig. 5.57. Efficiency of TPS54612 monolithic buck converter.

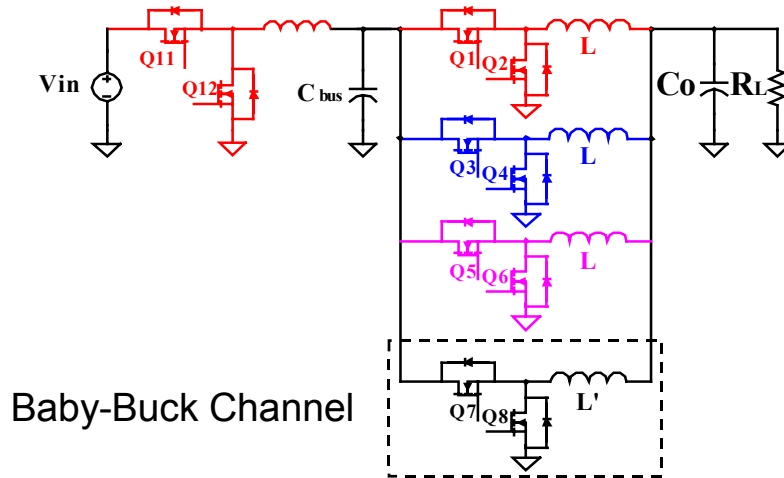


Fig. 5.58. The proposed baby-buck concept.

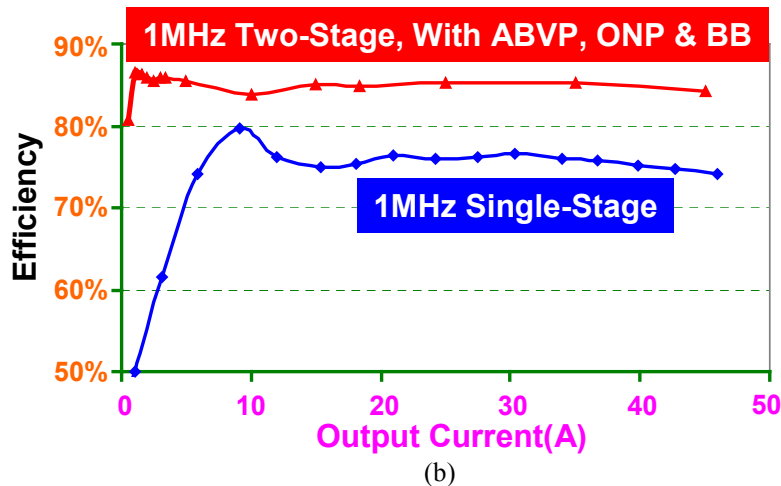
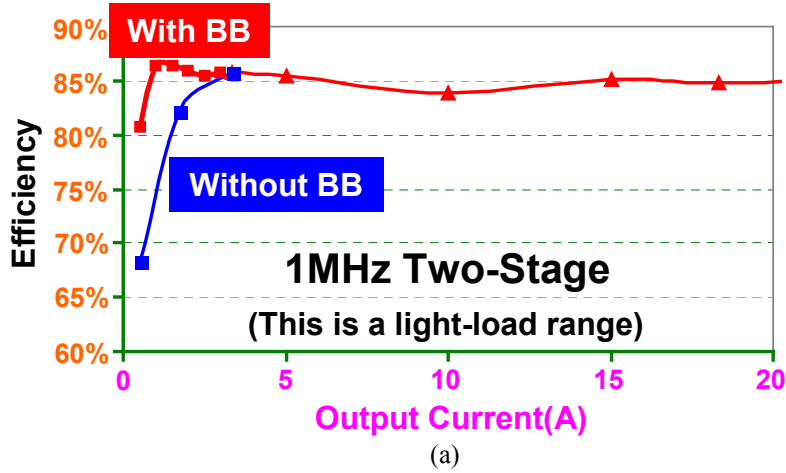


Fig. 5.59. Efficiency of the two-stage VR: (a) The two-stage VR efficiency with and without the baby-buck concept; and (b) the efficiency comparison between the single stage VR and the two-stage VR featuring ABVP, ONP and BB concepts.

Fig. 5.60 shows the loss comparison for a laptop platform running the typical battery-life benchmark ZDBL4.01, in which the CPU is in C0 state (45A) for 20% of the time, in C1 state (5A) for 40% of the time, and in C2 state (0.5A) for 40% of the time. It can be seen that BB concept further decreases the two-stage VR loss in C2 states. After averaging according to the percentages of the duration of the power states, the VR average loss power is reduced to 2.71W, as indicated by the dotted rectangle. Table 5.9 shows the battery run time comparison. The platform average power consumption is reduced to 27.81W. The battery run time is increased to 107.88 minutes, which is 10.62 minutes longer than for the single-stage buck solution and is translated into 10.9% increase.

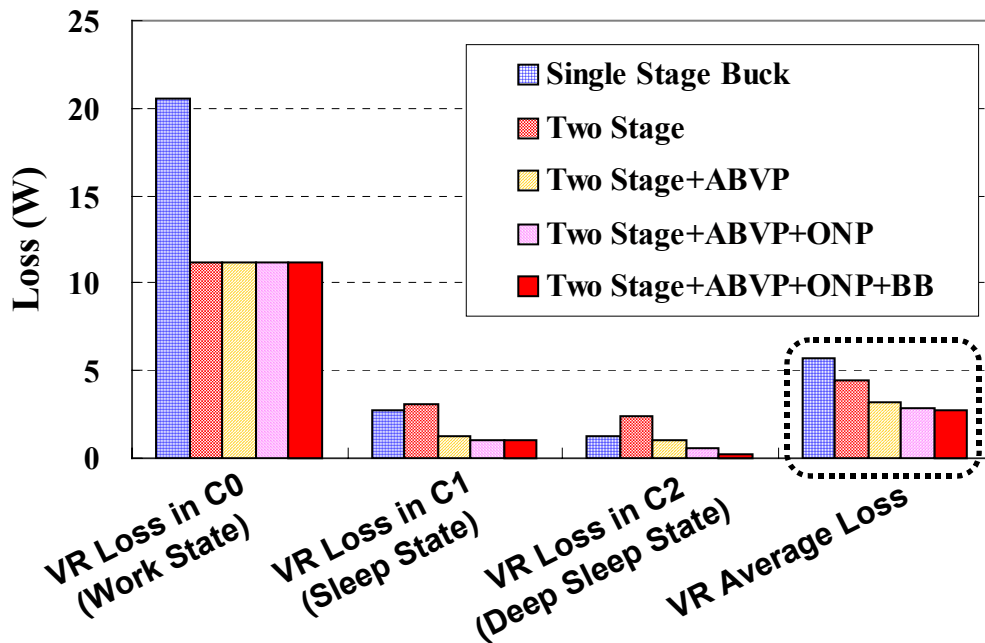


Fig. 5.60. Loss comparison of the buck solution, the two-stage solution, adding ABVP, adding ONP, and adding BB.

Table 5.9. Battery Run Time Comparison of the Buck Solution, the Two-Stage Solution, adding ABVP, adding ONP, and adding BB

	<b>Single-Stage Buck VR</b>	<b>2-Stage VR</b>	<b>2-Stage VR +ABVP</b>	<b>2-Stage VR +ABVP +ONP</b>	<b>2-Stage VR +ABVP +ONP +BB</b>
<b>CPU Average Power (W)</b>	14.82	14.82	14.82	14.82	14.82
<b>CPU VR Average Loss (W)</b>	5.75	4.42	3.15	2.86	2.71
<b>Platform Average Power (W)</b>	30.85	29.52	28.25	27.96	27.81
<b>Battery Capacity (W×m)</b>	3000	3000	3000	3000	3000
<b>Battery Run Time (m)</b>	97.3	101.63	106.2	107.29	107.88
<b>Battery Run Time Increase (m)</b>	N/A	4.37	8.94	10.03	10.62
<b>Battery Run Time Increase Percentage</b>	N/A	<b>4.5%</b>	<b>9.2%</b>	<b>10.3%</b>	<b>10.9%</b>

The comparison shown in Table 5.9 is based on a typical benchmark. On some occasions the CPU may be in a very light-load scenario. Table 5.10 shows the battery run time comparison when the CPU is in C0 state (45A) for 5% of the time, in C1 state (5A) for 5% of the time, and in C2 state (0.5A) for 90% of the time. Because the light load condition is for most of the time and the two-stage approach has low efficiency at light load, the battery run time decreases. However, the light load efficiency is improved with the advanced control schemes; therefore the battery run time is still improved by 9.8%.

On some occasions the CPU may be in a very heavy-load scenario. Table 5.11 shows the battery run time comparison when the CPU is in C0 state (45A) for 80% of the time, in C1 state (5A) for 10% of the time, and in C2 state (0.5A) for 10% of the time. Because the heavy load condition is present for most of the time and the two-stage approach has higher efficiency at light load, the battery run time increase is mainly due to the two-stage architecture. With the advanced control schemes also employed, the battery run time is increased by 11.7%.

Table 5.10. Battery Run Time Comparison at Extremely Light Load

	<b>Single-Stage Buck VR</b>	<b>2-Stage VR</b>	<b>2-Stage VR +ABVP</b>	<b>2-Stage VR +ABVP +ONP</b>	<b>2-Stage VR +ABVP +ONP +BB</b>
<b>CPU Average Power (W)</b>	4.42	4.42	14.82	14.82	14.82
<b>CPU VR Average Loss (W)</b>	2.34	2.88	1.58	1.16	0.81
<b>Platform Average Power (W)</b>	17.04	17.58	16.28	15.86	15.51
<b>Battery Capacity (W×m)</b>	3000	3000	3000	3000	3000
<b>Battery Run Time (m)</b>	176.09	170.62	184.32	189.20	193.40
<b>Battery Run Time Increase (m)</b>	N/A	-5.47	8.23	13.11	17.31
<b>Battery Run Time Increase Percentage</b>	N/A	<b>-3.1%</b>	<b>4.7%</b>	<b>7.4%</b>	<b>9.8%</b>

Table 5.11. Battery Run Time Comparison at Extremely Heavy Load

	<b>Single-Stage Buck VR</b>	<b>2-Stage VR</b>	<b>2-Stage VR +ABVP</b>	<b>2-Stage VR +ABVP +ONP</b>	<b>2-Stage VR +ABVP +ONP +BB</b>
<b>CPU Average Power (W)</b>	47.58	47.58	47.58	47.58	47.58
<b>CPU VR Average Loss (W)</b>	16.85	9.46	9.14	9.07	9.03
<b>Platform Average Power (W)</b>	74.71	67.32	67.00	66.93	66.89
<b>Battery Capacity (W×m)</b>	3000	3000	3000	3000	3000
<b>Battery Run Time (m)</b>	40.15	44.56	44.77	44.82	44.85
<b>Battery Run Time Increase (m)</b>	N/A	4.41	4.62	4.67	4.69
<b>Battery Run Time Increase Percentage</b>	N/A	<b>11.0%</b>	<b>11.5%</b>	<b>11.6%</b>	<b>11.7%</b>

The above discussion shows that the battery life is extended with all possible profiles of CPU current consumption. The reason is that the VR efficiency is improved in the entire load range.

Table 5.12 shows the evaluation of the two-stage approach for laptop VRs after implementing ABVP, ONP and BB controls. Compared with table 5.4, the added advanced control schemes improve light-load efficiency significantly. This laptop VR approach has high efficiency at both heavy load and light load, good transient response and small and light form-factor due to the high switching frequency, and works well with the wide input voltage range. It meets all the challenges for a laptop VR.

Table 5.12. Evaluation of the Two-Stage Approach for Laptop VRs After Implementing ABVP, ONP and BB Controls

	<b>Requirements as a Laptop VR</b>	
<b>Heavy-Load Efficiency</b>	High	<b>Y</b>
<b>Light-Load Efficiency</b>	High	<b>Y</b>
<b>Transient Response</b>	Stringent	<b>Y</b>
<b>Form-Factor</b>	Small and light	<b>Y</b>
<b>Input Voltage</b>	8.7~19 V	<b>Y</b>

### 5.8. Cost Benefit of the Two-Stage Approach Featuring ABVP, ONP and BB.

The two-stage VR solution featuring ABVP, ONP and BB controls has can operate efficiently at high switching frequency, so it can greatly reduce the output capacitors. The capacitor cost is always a big part of the total, so it provides an opportunity to reduce the total cost as well.

Fig. 5.61 shows the circuit schematics of the benchmark solution: the single-stage three-phase buck converter. It operates at 250KHz switching frequency. Also devices are paralleled to gain high efficiency. The two-stage converter schematic is shows in Fig. 5.58. The second stage operates at 1MHz. Fig. 5.62 shows the efficiency comparison of the two solutions. It can be seen that the 1MHz two-stage solution has similar efficiency



as the 250KHz single-stage solution at heavy load and has even more efficiency improvement at light load. Fig. 5.63 shows the cost comparison of the two solutions. The two-stage solution adds the cost on the first stage, but greatly reduces the output ceramic capacitor cost. As the end result, the two-stage solution reduces the total cost by approximately 50%.

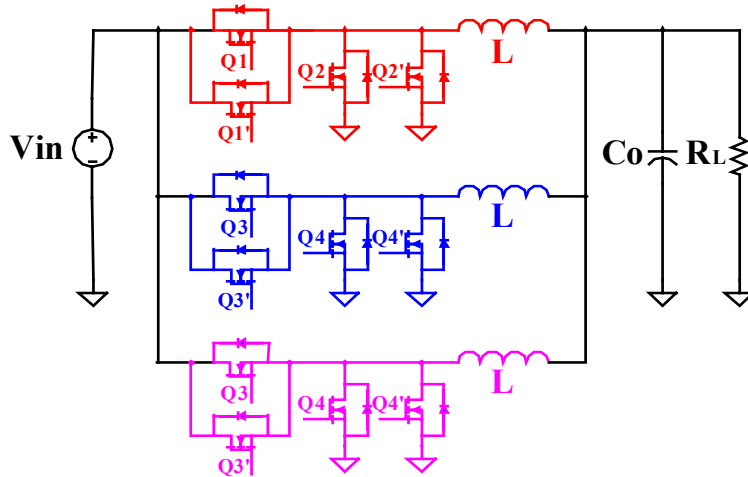


Fig. 5.61. The single-stage buck solution for laptop VRs.

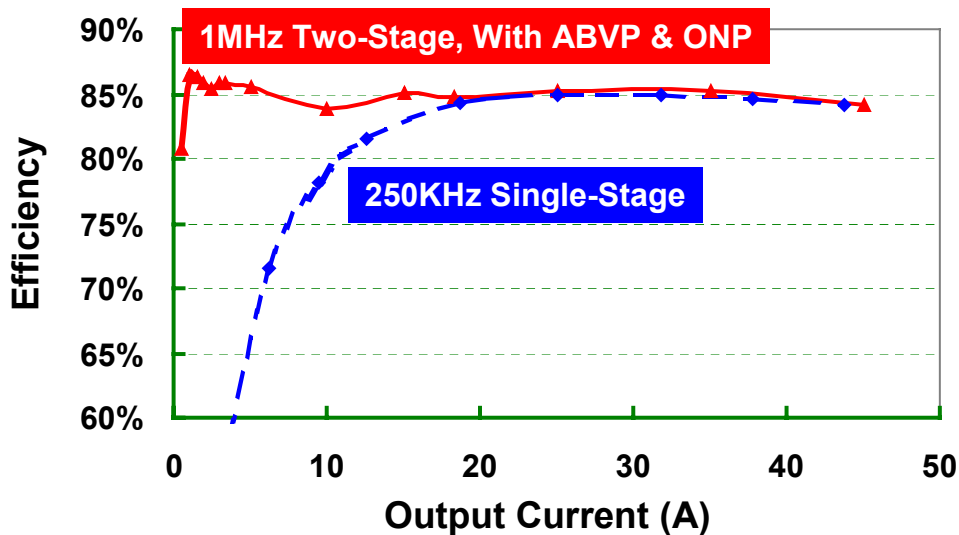


Fig. 5.62. Efficiency comparison of 250KHz single-stage buck solution and 1MHz two-stage VR solution featuring ABVP, ONP and BB.

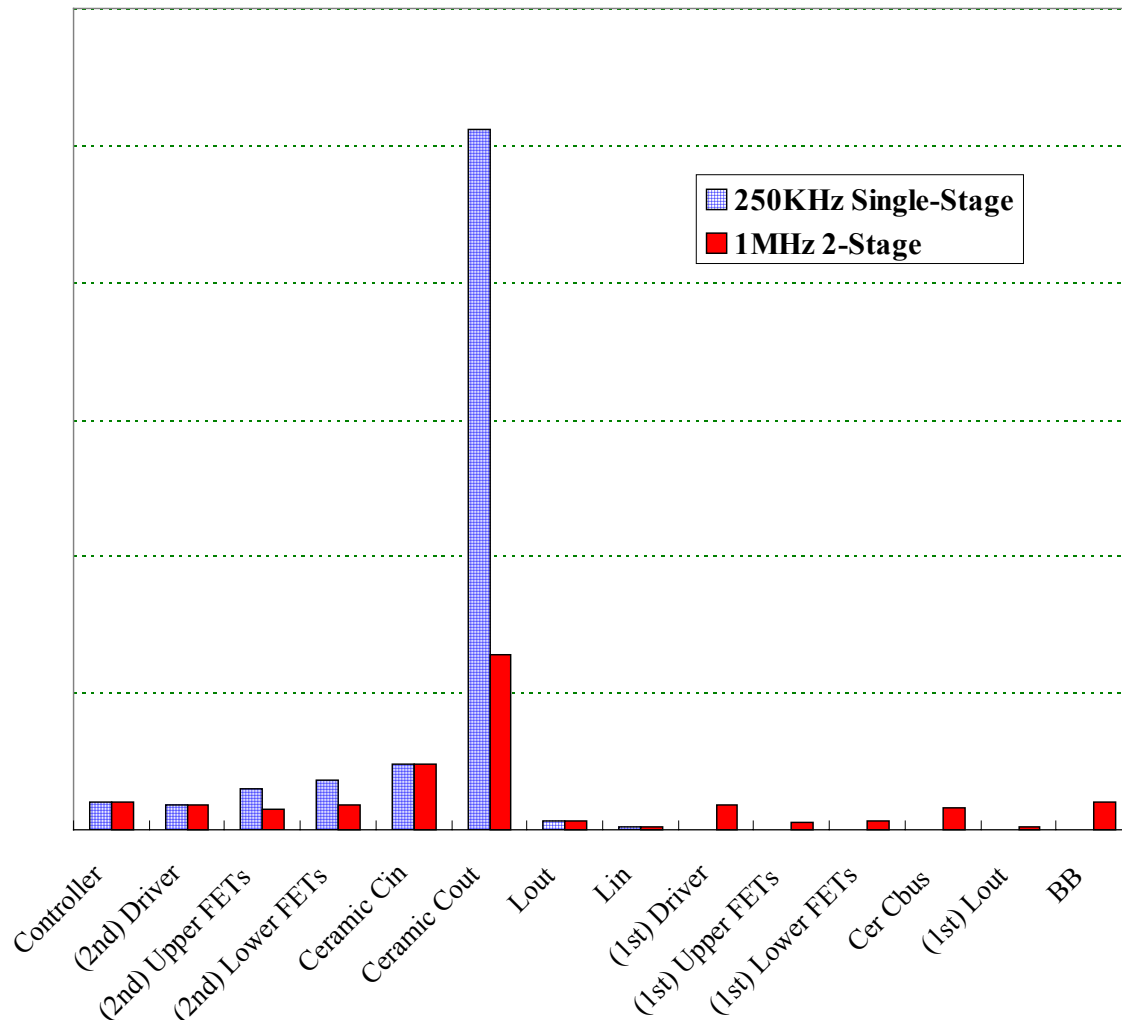


Fig. 5.63. Cost comparison of 250KHz single-stage buck solution and 1MHz two-stage VR solution featuring ABVP, ONP and BB.

## 5.9. Summary.

Mobile CPUs are used in laptop computers, and require very challenging power management. The challenges for a laptop VR are different from and greater than those for a desktop VR. A laptop VR needs to have high efficiency at both heavy load and light load, good transient response and small and light form-factor, and works well with the wide input voltage range.

Future mobile CPUs demand very aggressive power. The current single-stage VR approach cannot provide a suitable solution for the future. The PSB converter has

disadvantages in light-load efficiency and does not work well with wide input voltage range; therefore it is not a suitable solution for laptop VRs even although it is still a suitable solution for desktop VRs. The two-stage approach has been explored in desktop VR application and is proven to be a good solution. The laptop VR is a better fit for the two-stage approach. It solves the wide-input-voltage-range issue and significantly improves efficiency at heavy load.

The intermediate bus voltage  $V_{bus}$  is a very important parameter impacting overall efficiency. There is not one optimal  $V_{bus}$  value for all load conditions. The heavier the load, the higher the optimal  $V_{bus}$  is. Based on this fact, the ABVP control is proposed.  $V_{bus}$  is adaptively positioned according to the load current; therefore optimal  $V_{bus}$  is achieved under most conditions. Experimental results verify this theoretical prediction.

The ONP control is another control scheme proposed to improve light-load efficiency. By selecting optimal number of phases based on mobile processor power states, the VR light-load efficiency is improved. Experimental results verify this.

The baby-buck concept is the third concept proposed to improve the very-light-load efficiency. By operating the baby-buck channel, the two-stage VR improves efficiency at very light load.

The two-stage VR featuring the three proposed control schemes has much higher efficiency than the single-stage VR over a very wide load range; therefore the battery life is extended.

## Chapter 6. Conclusion

VR is a dedicated DC-DC converter to power the microprocessor. It faces great challenges in terms of keeping pace with the fast development of microprocessors. The state-of-the-art VR solution is the multiphase buck converter. Historically the VR works with 5V input and delivers 2V or so output voltage at 10, 20A output current. However, the new generations of microprocessors demand even lower core voltage with tighter regulation, while drawing substantially higher current. To reduce the distribution loss, the VR input voltage increases to 12V. After the VR input voltage changes from 5V to 12V, coupled with the fact that the output voltage goes down to less than 1V with high current, the VR suffers both low efficiency and bad transient response. When the buck VR works with 12V input and sub 1V output, the duty cycle is very small. The extreme duty cycle increases VR switching loss, reverse recovery loss, and conduction loss; therefore makes the 12V-input VR efficiency drop a good deal when compared with 5V-input VR efficiency. It has an emergent need to improve VR efficiency. When the VR works with 5V input voltage, it always has symmetrical transient response. When the input voltage increases to 12V, because of the extreme duty cycle, load step up and load step down suggest a candidate for the critical inductance respectively. The smaller one should be the critical inductance. If the real inductance is smaller than the critical inductance, the transient response is symmetrical; if the real inductance is larger than the critical inductance, the transient response is asymmetric and has larger voltage spike. The current VR practice is a trade-off between efficiency and transient response. The choice of the inductance is larger than the critical inductance in order to achieve high efficiency. The result is bad transient response.

The bad transient response of current VR solution is handled by using many capacitors. Future microprocessors will demand higher current with lower voltage and tighter regulation. If VR design follows the current approach, the future VR solution will be very bulky and costly. Adaptive voltage positioning (AVP) is a requirement for VR. The essence is to try to make the VR output impedance equal to the load line slope  $R_{droop}$ . Close loop control of the VR is needed to achieve constant output impedance. In achieving the VR output impedance equal to  $R_{droop}$ , OSCON capacitors are used together with ceramic capacitors. The number of OSCON capacitors is to make to the equivalent

ESR equal to  $R_{droop}$  and the ceramic capacitors are used to attenuate the ESL effect of the OSCON capacitors. In this solution, there is little gain by pushing the control bandwidth of the VR. Another solution is to use all-ceramic capacitors. The number of the ceramic capacitors needed is calculated. It is inversely proportional to the control bandwidth. In this case, pushing the control bandwidth can reduce the amount of ceramic capacitors needed. The two options of VR output capacitors are compared. The all-ceramic solution is a better solution in terms of footprint area and cost when the control bandwidth is beyond a certain value, therefore it provides an opportunity to deliver a more cost-effective VR solution to future processors. As of 2002, the all-ceramic solution is better when the VR control bandwidth is higher than 167KHz, which requires 1MHz switching frequency.

High switching frequency reduces capacitor size and cost, but the multiphase buck converter cannot benefit from it due to the low efficiency at high switching frequency. The extreme duty is the bottleneck. The proposed push-pull buck (PPB) converter extends the duty cycle by employing the transformer concept. Efficiency of the PPB converter is therefore improved compared with the buck converter. Integrated magnetic techniques can be used to further improve the efficiency and simplify the implementation. The transformer turn's ratio  $n$  plays an important role impacting the transient response.  $L_{ct2}$  is not affected while  $L_{ct1}$  is decreased with the increase of  $n$ . The critical inductance is the smaller one of  $L_{ct1}$  and  $L_{ct2}$ . When  $n$  is increasing, as long as  $n$  satisfies  $L_{ct2} \leq L_{ct1}$ , the larger  $n$  delivers high efficiency and the transient response is the same. If  $n$  is so large such that  $L_{ct2} > L_{ct1}$ , with the increase of  $n$ , efficiency is improved but transient response is worsened. The experimental test results prove that the PPB converter can improve efficiency while achieving good transient response.

High switching frequency reduces the VR output capacitors needed. This benefit is achieved only when the VR switching frequency is higher than 1MHz. However, although the PPB converter has good efficiency at 300KHz, its efficiency is still too low at 1MHz due to the switching loss. Switching loss being a barrier, soft switching is needed. The proposed soft-switched phase-shift buck (PSB) converter evolves from the full bridge converter. With phase-shift control of the top switches, it achieves soft switching for the top switches. Highly efficient power conversion is achieved at high

switching frequency. The integrated magnetics makes the implementation concise and delivers good performance. Given that the PSB converter has good performance, the matrix-transformer phase-shift buck (MTPSB) converter is a simplified version of the four-phase PSB converter. The MTPSB converter trades off some performance with circuit complexity. It reduces the MOSFET count by four compared with the PSB converter while dropping only 1 ~ 2% efficiency. This feature establishes itself as a very cost-effective solution for future VRs. The magnetic structure of the MTPSB converter is also very simple with the use of integrated magnetics. The proposed matrix-transformer phase-shift buck converter is a more cost-effective and smaller-size solution than the multiphase buck solution.

Mobile CPUs are used in laptop computers. They require very challenging power management. The challenges for a laptop VR are different from and greater than those for a desktop VR. A laptop VR needs to have high efficiency at both heavy load and light load, good transient response and small and light form-factor, and work well with the wide input voltage range. Future mobile CPUs demand very aggressive power. The current single-stage VR approach cannot provide a suitable solution for the future. The PSB converter has disadvantages in light-load efficiency and does not work well with wide input voltage range; therefore it is not a suitable solution for laptop VRs although it is still a suitable solution for desktop VRs. The two-stage approach solves the wide-input-voltage-range issue and improves efficiency at heavy load significantly. The intermediate bus voltage  $V_{bus}$  is a very important parameter impacting overall efficiency. There is not one optimal  $V_{bus}$  value for all load conditions. The heavier the load, the higher the optimal  $V_{bus}$ . Based on this fact, the ABVP control is proposed.  $V_{bus}$  is adaptively positioned according to the load current therefore optimal  $V_{bus}$  is achieved under most conditions. Experimental results verify the theoretical prediction. The ONP control is another control scheme proposed to improve the light-load efficiency. By selecting optimal number of phases based on mobile processor power states, the VR light-load efficiency is improved. Experimental results show the proof. The baby-buck concept is the third concept proposed to improve the very-light-load efficiency. By operating the baby-buck channel, the two-stage VR improves efficiency at very light load. The two-stage VR featuring the three proposed control schemes has much higher efficiency than

the single-stage VR over a very wide load range; therefore the battery life is extended. The two-stage VR with the proposed control schemes is a good solution for future laptop VRs.

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