K-SPACE FUNCTION SPACES

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(Received January 28, 1980)

<u>ABSTRACT</u>. A study is made of the properties on X which characterize when $C_{\pi}(X)$ is a k-space, where $C_{\pi}(X)$ is the space of real-valued continuous functions on X having the topology of pointwise convergence. Other properties related to the k-space property are also considered.

KEY WORDS AND PHRASES. Function spaces, k-spaces, Sequential spaces, Fréchet spaces, Countable tightness, k-countable, T-countable.

1980 MATHEMATICS SUBJECT CLASSIFICATION CODES. Primary, 54C35; secondary, 54D50, 54D55, 54D20.

1. INTRODUCTION.

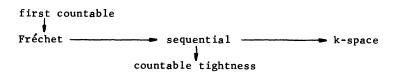
If X is a topological space, the notation C(X) is used for the space of all real-valued continuous functions on X. One of the natural topologies on C(X) is the topology of pointwise convergence, where subbasic open sets are those of the form

$$[[x,V]] \equiv \{f \in C(X) | f(x) \in V\}$$

for $x \in X$ and V open in the space of real numbers, \mathbb{R} , with the usual topology. The space C(X) with the topology of pointwise convergence will be denoted by $C_{\infty}(X)$.

For a completely regular space X, $C_{\pi}(X)$ is first countable, in fact metrizable, if and only if X is countable [2]. The purpose of this paper is to show to what extent this result can be extended to properties more general than first countability, such as that of being a k-space. Throughout this paper all spaces will be assumed to be completely regular T_1 -spaces.

We first recall the definitions of certain generalizations of first countability. The space X is a <u>Fréchet space</u> if whenever $x \in \overline{A} \subseteq X$, there exists a sequence in A which converges to x. The space X is a <u>sequential space</u> if the open subsets of X are precisely those subsets U such that whenever a sequence converges to an element of U, the sequence is eventually in U. Also X is a <u>k-space</u> if the closed subsets of X are precisely those subsets A such that for every compact subspace $K \subseteq X$, $A \cap K$ is closed in K. Finally X has <u>countable tightness</u> if whenever $x \in \overline{A} \subseteq X$, there exists a countable subset $B \subseteq A$ such that $x \in \overline{B}$. The following diagram shows the implications between these properties.



We will show that the Fréchet space, sequential space, and k-space properties are equivalent for $C_{\pi}(X)$. In order to characterize these properties for $C_{\pi}(X)$ in terms of internal properties of X, we will need to make some additional definitions. Let $\pi(X)$ be the set of all nonempty finite subsets of X. A collection U of open subsets of X is an open cover for finite subsets of X if for every $A \in \pi(X)$, there exists a $U \in U$ such that $A \subseteq U$. If $\{u_n\}$ is a sequence of collections of subsets of X, a string from $\{u_n\}$ is a sequence $\{U_n\}$ such that $U_n \in U_n$

for every $n \in \mathbb{N}$ (\mathbb{N} is the set of natural numbers). In addition, we will say that $\{U_n^{-}\}$ is <u>residually covering</u> if for every $x \in X$, there exists an $N \in \mathbb{N}$ such that for all $n \geq N$, $x \in U_n$.

THEOREM 1. The following are equivalent.

- (a) C (X) is a Fréchet space.
- (b) $C_{\mathbf{x}}(X)$ is a sequential space.
- (c) $C_{\pi}(X)$ is a k-space.
- (d) Every sequence of open covers for finite subsets of X has a residually covering string.

PROOF. (d) \Rightarrow (a). Suppose that every sequence of open covers for finite subsets of X has a residually covering string. Let F be a subset of $C_{\pi}(X)$, and let f be an accumulation point of F in $C_{\pi}(X)$. Then for every $n \in \mathbb{N}$ and $A = \{x_1, \dots, x_k\} \in \mathfrak{F}(X)$, we may choose an

 $f_{n,A} \in F \cap [\![x_1, (f(x_1) - \frac{1}{n}, f(x_1) + \frac{1}{n})]\!] \cap \ldots \cap [\![x_k, (f(x_k) - \frac{1}{n}, f(x_k) + \frac{1}{n})]\!] .$ Also define $U(n,A) = \{x \in X \mid |f_{n,A}(x) - f(x)| < \frac{1}{n}\}$, which is an open subset of X. Then for each $n \in I\!N$, define $U_n = \{U(n,A) \mid A \in \mathcal{F}(X)\}$, which is an open cover for finite subsets of X. Now $\{U_n\}$ has a residually covering string $\{U(n,A_n\},$ so that for every $n \in I\!N$, we may define $f_n = f_{n,A}$.

We wish to establish that $\{f_n\}$ converges to f in $C_n(X)$. So let $x \in X$, and let e > 0. There is an $N \in I\!N$ with $N \ge \frac{1}{e}$ such that for every $n \ge N$, $x \in U(n,A_n)$. But then if $n \ge N$,

$$|f_n(x) - f(x)| = |f_{n,A_n}(x) - f(x)| < \frac{1}{n} \le \frac{1}{\mathbb{N}} \le \varepsilon$$
.

Therefore $\{f_n(x)\}$ converges to f(x) for every $x \in X$, so that $\{f_n\}$ converges to f in $C_n(X)$. Hence $C_n(X)$ must be a Fréchet space.

(c) \Rightarrow (d). Suppose X has a sequence $\{u_n\}$ of open covers for finite subsets such that no string from $\{u_n\}$ is residually covering. Let $v_1 = u_1$, and for each n>1, let v_n be an open cover for finite subsets of X which refines both v_{n-1}

and U_n . For every $n \in \mathbb{N}$ and $A \in \mathfrak{F}(X)$, let $U(n,A) \in \mathcal{V}_n$ such that $A \subseteq U(n,A)$, and let $f_{n,A} \in C(X)$ be such that $f_{n,A}(A) = \{\frac{1}{n}\}$, $f_{n,A}(X \setminus U(n,A)) = \{n\}$, and $f_{n,A}(X) \subseteq [\frac{1}{n},n]$. Then define

$$F = \{f_{n,A} | n \in \mathbb{N} \text{ and } A \in \mathcal{F}(X)\},$$

and also define $F^* = \overline{F} \setminus \{c_0\}$ in $C_{\overline{G}}(X)$, where c_0 is the constant zero function.

First we establish that F* is not closed in $C_{\pi}(X)$ by showing that c_o is an accumulation point of F in $C_{\pi}(X)$. To do this, let $W = [\![x_1, v_1]\!] \cap \cdots \cap [\![x_k, v_k]\!]$ be an arbitrary basic neighborhood of c_o in $C_{\pi}(X)$. If $A = \{x_1, \ldots, x_k\}$ and $n \in \mathbb{N}$ such that $\frac{1}{n} \in V_1 \cap \cdots \cap V_k$, then $f_{n,A} \in W \cap F$.

We will then obtain that $C_{\pi}(X)$ is not a k-space, as desired, if we can show that the intersection of F* with each compact subspace of $C_{\pi}(X)$ is closed in that compact subspace. To this end, let K be an arbitrary compact subspace of $C_{\pi}(X)$. Then for every $x \in X$, the orbit $\{f(x) \mid f \in K\}$ is bounded in \mathbb{R} . For every $x \in X$, define $M(x) = \sup \{f(x) \mid f \in K\}$, and also for every $m \in \mathbb{N}$, define $X_m = \{x \in X \mid M(x) \leq m\}$. Note that $X = \bigcup \{X_m \mid m \in \mathbb{N}\}$, and that for every m, $X_m \subseteq X_{m+1}$.

Suppose, by way of contradiction, that for every m, n \in N, there exists a $k \geq n$ and $V \in V_k$ such that $X_m \subseteq V$. We define, by induction, a string $\{U_n\}$ from $\{U_n\}$. First there exists a $k_1 \geq 1$ and $V_1 \in V_{k_1}$ such that $X_1 \subseteq V_1$. For each $i = 1, \ldots, k_1$, choose $U_i \in U_i$ so that $V_1 \subseteq U_i$. Now suppose k_m and U_1, \ldots, U_{k_m} have been defined. Then there exists a $k_{m+1} \geq k_m + 1$ and $V_{m+1} \in V_k$ such that $X_{m+1} \subseteq V_{m+1}$. For each $i = k_m + 1, \ldots, k_{m+1}$, choose $U_i \in U_i$ so that $V_{m+1} \subseteq U_i$. This defines string $\{U_n\}$, which we know to not be residually covering. Let $x \in X$ be arbitrary. There is an $m \in \mathbb{N}$ such that $x \in X_m$. Let $n \geq k_m$. There is a $j \geq m$ such that $k_{j-1} + 1 \leq n \leq k_j$. Then $x \in X_m \subseteq X_j \subseteq V_j \subseteq U_n$. But this says that $\{U_n\}$ is residually covering, which is a contradiction.

We have just established that there exist m, n \in IN such that for every $k \ge n$ and for every $V \in \mathcal{V}_k$, $X_m \not\subseteq V$. Then define $M = \max \{m,n\}$, let $x_o \in X$ be

arbitrary, and define $W = [x_o, (-\frac{1}{M}, \frac{1}{M})]$, which is a neighborhood of c_o in $C_{\pi}(X)$. Suppose $f \in W \cap F$. Then there exists a $k \in \mathbb{N}$ and $A \in \mathfrak{F}(X)$ such that $f = f_{k,A}$. Since $\frac{1}{k} \leq f(x_o) < \frac{1}{M}$, then $k > M \geq n$. Thus $X_m \not\subset U(k,A)$, so that there exists an $x_1 \in X_m \setminus U(k,A)$. But then $f(x_1) = k > M \geq m \geq M(x_1)$, so that $f \not\in K$. Therefore $W \cap F \cap K = \emptyset$, so that c_o is not an accumulation point of $F \not\sim K$ in K. Hence $F \not\sim K$ must be closed in K. Since K was arbitrary, we obtain that $C_{\pi}(X)$ is not a k-space. \square

THEOREM 2. $C_{\pi}(X)$ has countable tightness if and only if every open cover for finite subsets of X has a countable subcover for finite subsets of X.

PROOF. Suppose that every open cover for finite subsets of X has a countable subcover for finite subsets of X. Let F be a subset of $C_{\pi}(X)$, and let f be an accumulation point of F in $C_{\pi}(X)$. Then for each $n \in \mathbb{N}$ and $A = \{x_1, \dots, x_k\}$ $\in \mathcal{F}(X)$, choose

$$\begin{split} &f_{n,A}\in F\cap [\![\ x_1,(f(x_1)-\frac{1}{n},f(x_1)+\frac{1}{n})]\!]\cap \dots \cap [\![\ x_k,(f(x_k)-\frac{1}{n},f(x_k)+\frac{1}{n})]\!] \ . \end{split}$$
 Also let $U(n,A)=\{x\in X \big| \ \big|f_{n,A}(x)-f(x)\big|<\frac{1}{n}\},$ which is an open subset of X. Then for each $n\in \mathbb{N}$, $\{U(n,A)\big|A\in \mathcal{F}(X)\}$ is an open cover for finite subsets of X. So for each $n\in \mathbb{N}$, there exists a sequence $\{A(n,i)\big|i\in \mathbb{N}\}$ from $\mathcal{F}(X)$ such that $\{U(n,A(n,i))\big|i\in \mathbb{N}\}$ is a cover for finite subsets of X. Then define $G=\{f_{n,A}(n,i)\big|n,i\in \mathbb{N}\}. \end{split}$

To see that $f \in \overline{G}$, let $W = [[x_1, V_1]] \cap \ldots \cap [[x_k, V_k]]$ be a neighborhood of f in $C_{\pi}(X)$. Let $A = \{x_1, \ldots, x_k\}$, and choose $n \in \mathbb{N}$ so that $(f(x_j) - \frac{1}{n}, f(x_j) + \frac{1}{n}) \subseteq V_j$ for each $j = 1, \ldots, k$. Then there is an $i \in \mathbb{N}$ such that $A \subseteq U(n, A(n, i))$. So for each $x \in A$, $|f_{n, A(n, i)}(x) - f(x)| < \frac{1}{n}$, and hence $f_{n, A(n, i)} \in W$.

Conversely, suppose that $C_{\pi}(X)$ has countable tightness, and let u be an open cover for finite subsets of X. For each $A \in \mathfrak{F}(X)$, let $U(A) \in u$ be such that $A \subseteq U(A)$. Also for each $n \in \mathbb{N}$ and $A \in \mathfrak{F}(X)$, let $f_{n,A} \in C(X)$ be such that

 $f_{n,A}(A) = \{\frac{1}{n}\}, f_{n,A}(X \setminus U(A)) = \{n\}, \text{ and } f_{n,A}(X) \subseteq [\frac{1}{n},n]. \text{ Then define } F = \{f_{n,A} \mid n \in \mathbb{N} \text{ and } A \in \mathfrak{A}(X)\}.$

Since the constant zero function, c_0 , is an accumulation point of F, then there is a countable subset G of F such that $c_0 \in \overline{G}$. There are sequences $\{n_i\} \subseteq \mathbb{N} \text{ and } \{A_i\} \subseteq \mathcal{F}(X) \text{ so that } G = \{f_{n_i,A_i} \mid i \in \mathbb{N} \}.$

To see that $\{U(A_i) | i \in \mathbb{N}\}$ is a cover for finite subsets of X, let A = $\{x_i, \dots, x_k\} \in \mathfrak{F}(X)$. Then there exists an $i \in \mathbb{N}$ such that $f_{n_i, A_i} \in [x_i, (-1,1)] \cap \dots \cap [x_k, (-1,1)]$. But this means that $A \subseteq U(A_i)$, so that $\{U(A_i) | i \in \mathbb{N}\}$ is indeed a cover for finite subsets of X. \square

Let us now give names to the two properties of X which are expressed in Theorems 1 and 2. We will call X <u>k-countable</u> whenever $C_{\pi}(X)$ is a k-space, and we will call X <u>r-countable</u> whenever $C_{\pi}(X)$ has countable tightness. We state some immediate facts about these properties.

PROPOSITION 3. Every countable space is k-countable.

PROPOSITION 4. Every k-countable space is \u03c4-countable.

PROPOSITION 5. Every 7-countable space is Lindelöf.

PROOF. Let X be τ -countable, and let u be an open cover of X. Let V be the family of all finite unions of members of u. Then V is an open cover for finite subsets of X, so that it has a countable subcover u for finite subsets of X. Each member of u is a finite union of members of u, so that since u covers X, then u has a countable subcover. \square

This means that if $C_{\pi}(X)$ has countable tightness, X must be Lindelöf. In particular, $C_{\pi}(\Omega_0)$ does not have countable tightness, where Ω_0 is the space of countable ordinals with the order topology. This is in contrast to $C_{\pi}(\Omega)$, which we see from the next proposition has countable tightness, where $\Omega = \Omega_0 \cup \{\omega_1\}$.

PROPOSITION 6. If X^n is Lindelöf for every $n \in \mathbb{N}$, then X is τ -countable.

PROOF. Let X^n be Lindelöf for every $n \in \mathbb{N}$, and let u be an open cover for finite subsets of X. For each $n \in \mathbb{N}$, let $u_n = \{u^n \subseteq X^n | u \in u\}$. Since u is an

open cover for finite subsets of X, then each u_n is an open cover of X^n . So for each $n \in \mathbb{N}$, u has a countable subcollection v_n such that $\{u^n | u \in v_n\}$ covers x^n . But then $u \in \{v_n | n \in \mathbb{N}\}$ is a countable subcollection of u which is a cover for finite subsets of x. \square

COROLLARY 7. Every compact space is τ -countable, and every separable metric space is τ -countable.

We now examine some properties of k-countable spaces.

PROPOSITION 8. Every closed subspace of a k-countable space is k-countable.

PROOF. Let X be a k-countable space, and let Y be a closed subspace of X. Let $\{V_n\}$ be a sequence of open covers for finite subsets of Y. For each $n \in \mathbb{N}$, let $u_n = \{V \cup (X \setminus Y) \mid V \in V_n\}$, which is an open cover for finite subsets of X. Now $\{u_n\}$ has a residually covering string $\{V_n \cup (X \setminus Y)\}$, where each $V_n \in V_n$. But then $\{V_n\}$ is a residually covering string from $\{V_n\}$. \square

PROPOSITION 9. Every continuous image of a k-countable space is k-countable.

PROOF. Let X be k-countable, and let $f:X \to Y$ be a continuous surjection. Let $\{\mathcal{V}_n\}$ be a sequence of open covers for finite subsets of Y. For each $n \in \mathbb{N}$, let $\mathcal{U}_n = \{f^{-1}(V) \mid V \in \mathcal{V}_n\}$, which is an open cover for finite subsets of X. Now $\{\mathcal{U}_n\}$ has a residually covering string $\{f^{-1}(V_n)\}$, where each $V_n \in \mathcal{V}_n$. But then $\{V_n\}$ is a residually covering string from $\{V_n\}$. \square

In the next proposition, we use the term covering string, by which we mean a string which is itself a cover of the space.

PROPOSITION 10. If X is k-countable, then every sequence of open covers of X has a covering string.

PROOF. Let $\{u_n\}$ be a sequence of open covers of X. For each $n\in\mathbb{N}$, let $\nu_n=\{u_n\cup\ldots\cup u_{n+k+1}|k\in\mathbb{N} \text{ and each } u_i\in u_i\},$

which is an open cover for finite subsets of X. Thus $\{v_n\}$ has a residually covering string $\{v_n\}$. Now $v_1 = v_1 \cup \ldots \cup v_{k_1}$ for some $v_1 \in \mathbb{N}$. Also $v_{k_1+1} = v_1 \cup \ldots \cup v_{k_1}$

 $\begin{array}{l} \textbf{U}_{k_1+1} \cup \ldots \cup \textbf{U}_{k_2} \quad \text{for some } \textbf{k}_2 \in \mathbb{N} \quad \text{with } \textbf{k}_2 > \textbf{k}_1. \quad \text{Continuing by induction, we can define an increasing sequence } \{\textbf{k}_i\} \quad \text{such that each } \textbf{V}_{k_1+1} = \textbf{U}_{k_1+1} \cup \ldots \cup \textbf{U}_{k_{i+1}}. \\ \text{This defines } \textbf{U}_n \quad \text{for each } n \in \mathbb{N}. \quad \text{To see that } \{\textbf{U}_n\} \quad \text{is a covering string from } \{\textbf{u}_n\} \\ \text{let } \textbf{x} \in \textbf{X}. \quad \text{Then there exists an } \textbf{N} \in \mathbb{N} \quad \text{such that for all } \textbf{n} \geq \textbf{N}, \quad \textbf{x} \in \textbf{V}_n. \quad \text{Since } \{\textbf{k}_i\} \quad \text{is increasing, there is some i such that } \textbf{k}_i \geq \textbf{N}. \quad \text{Then } \textbf{x} \in \textbf{V}_{k_1+1} = \textbf{U}_{k_1+1} \cup \ldots \cup \textbf{U}_{k_{i+1}}, \quad \text{so that } \textbf{x} \quad \text{is indeed in some } \textbf{U}_n. \quad \Box \\ \end{array}$

We next give an important example of a space which is not k-countable.

EXAMPLE 11. The closed unit interval, I, is not k-countable.

PROOF. For each $n \in \mathbb{N}$, let u_n be the set of all open intervals in I having diameter less than $\frac{1}{2^n}$. Suppose $\{u_n\}$ were to have a covering string $\{U_n\}$. Then since I is connected, there would be a simple chain $\{U_{n_1}, \ldots, U_{n_k}\}$ from 0 to 1. That is, $0 \in U_{n_1}$, $1 \in U_{n_k}$, and for each $1 \le i \le k-1$, there is a $t_i \in U_{n_i} \cap U_{n_{i+1}}$. But then

$$\begin{aligned} &1 \leq \left| 1 - \mathbf{t}_{k-1} \right| + \left| \mathbf{t}_{k-1} - \mathbf{t}_{k-2} \right| + \dots + \left| \mathbf{t}_{2} - \mathbf{t}_{1} \right| + \left| \mathbf{t}_{1} \right| \\ &< \frac{1}{\frac{n}{k}} + \frac{1}{\frac{n}{k-1}} + \dots + \frac{1}{\frac{n}{2}} + \frac{1}{\frac{n}{2}} \\ &\leq \frac{1}{2} + \frac{1}{\frac{n}{2}} + \dots + \frac{1}{\frac{n}{2}} < 1. \end{aligned}$$

This is a contradiction, so that $\{u_n\}$ cannot have a covering string. Therefore, by Proposition 10, I is not k-countable. \Box

The next three results are consequences of Example 11.

EXAMPLE 12. The Cantor set, IK, is not k-countable.

PROOF. Since there exists a continuous function from **K** onto I, then **K** cannot be k-countable because of Proposition 9 and Example 11.

Our next proposition then follows from Example 12 and Proposition 8.

PROPOSITION 13. No k-countable space contains a Cantor set.

PROPOSITION 14. Every k-countable space is o-dimensional.

PROOF. Let X be k-countable, let $x \in X$, and let U be an open neighborhood of x in X. Since X is completely regular, there exists an $f \in C(X)$ such that f(x) = 0, $f(X \setminus U) = \{1\}$, and $f(X) \subseteq I$. Since I is not k-countable by Example 11, and since f(X) is k-countable by Proposition 9, then there exists a $t \in I \setminus f(X)$. Thus $[0,t) \cap f(X)$ is both open and closed in f(X), so that $f^{-1}([0,t))$ is an open and closed neighborhood of x contained in U. \square

With all these necessary conditions which k-countable spaces must satisfy, one might wonder whether there exists an uncountable k-countable space. This is answered by the next two examples.

We will call a space X <u>virtually countable</u> if there exists a finite subset F of X such that for every open subset U of X with $F \subseteq U$, it is true that X\U is countable. Notice that a first countable virtually countable space is countable.

PROPOSITION 15. Every virtually countable space is k-countable.

PROOF. Let F be a finite subset of X such that every open U in X with F \subseteq U has countable complement, and let $\{u_n\}$ be a sequence of open covers for finite subsets of X. First let $u_1 \in u_1$ be such that $F \subseteq U_1$. Then $X \setminus U_1$ is countable; say $X \setminus U_1 = \{x_{11}, x_{12}, x_{13}, \dots\}$. Let $U_2 \in u_2$ be such that $F \cup \{x_{11}\} \subseteq U_2$. Now $X \setminus U_2$ is also countable; say $X \setminus U_2 = \{x_{21}, x_{22}, x_{23}, \dots\}$. Let $U_3 \in u_3$ be such that $F \cup \{x_{11}, x_{12}, x_{21}\} \subseteq U_3$. Continuing by induction, we may define string $\{U_n\}$ from $\{u_n\}$ such that for each n, $U_n = X \setminus \{x_{n1}, x_{n2}, x_{n3}, \dots\}$ and

EXAMPLE 16. The space of ordinals, Ω , which are less than or equal to the first uncountable ordinal is k-countable.

PROOF. It is easy to see that Ω is virtually countable. \square

EXAMPLE 17. The Fortissimo space, \mathbb{F} , is k-countable, where \mathbb{F} is \mathbb{R} with the following topology: each $\{t\}$ is open for $t \neq 0$, and the open sets containing 0 are the sets containing 0 which have countable complements. Also \mathbb{F}^2 is not Lindelöf, which shows that the converse of Proposition 6 is not true.

PROOF. Obviously \mathbf{F} is virtually countable. However, an alternate proof can be obtained from known properties of this space. In particular, it follows from [1] that $\mathbf{C}_{\pi}(\mathbf{F})$ is homeomorphic to a Σ -product of copies of \mathbf{R} , and from [3] that a Σ -product of first countable spaces is a Fréchet space. \square

The spaces in the previous two examples are not first countable. This raises the following question.

QUESTION 18. Is every first countable k-countable space countable?

One well studied example of an uncountable first countable space which is also a o-dimensional Lindelöf space and which does not contain a Cantor set is the Sorgenfrey line. However, in our last example we show that this space is not k-countable, and in fact is not even \(\tau\)-countable.

EXAMPLE 19. The Sorgenfrey line, S, is not τ -countable. This shows that the converse of Proposition 5 is not true.

PROOF. For each $A \in \mathcal{F}(S)$, let $\delta(A) = \frac{1}{2} \min \{|a-a'| | a,a' \in A, \text{ with } a \neq a'\}$, and let $U(A) = \bigcup \{[a,a+\delta(A)) | a \in A\}$. Then define $u = \{U(\hat{A}) | A \in \mathcal{F}(S)\}$, where $\hat{A} = A \bigcup \{-a | a \in A\}$. Clearly u is an open cover for finite subsets of S. Then $\{U^2 | U \in u\}$ is an open cover of S^2 . But each U^2 , for $U \in U$, intersects the set $\{(x,y) \in S^2 | x+y=0 \}$ on a finite set, so that $\{U^2 | U \in U\}$ has no countable subcover of S^2 . Therefore no countable subcollection of U can cover all doubleton subsets of S. \square

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