

1 Purpose, Objectives and Research Questions

Crises and tragedies are, regrettably, part of life; a recent sample, showing the small number of collections preserved at the Internet Archive, is shown in Table 1. While always difficult, recovery from tragic events may be increasingly facilitated and supported by information and communication technology (ICT). Individuals, groups, and communities are using ICT in innovative ways to learn from these events and recover more quickly and more effectively. During and after a crisis, individuals and communities face a confusing plethora of data and information, and strive to make sense by way of that data [114]. They seek to carry out their usual activities, but want to be informed by new insights. They work to help others, or to receive help, but the context and technologies involved in communication today (e.g., Internet, WWW, online communities, mobile devices) make it exceedingly difficult to integrate content, community, and services. Accordingly, individuals and communities respond by attempting to meet their needs with the tools they have, e.g., creating a Facebook group to quickly inform members who is OK, and other groups to share pictures, comments, and additional contributions.

Table 1. Internet Archive Collections on World Wide Crises and Tragedies			
Year	Event	IA Collection	Wikipedia suffix, other URLs ¹
2004	Asian Tsunami	2004	Indian Ocean earthquake
2007	Burmese Uprising	937	2007_Burmese_anti-government_protests
2007	California Wildfires	877	California_wildfires_of_October_2007
2008	Georgia and Russia Conflict	1120	2008_Georgia-Russia_crisis
2005	Hurricane Katrina	174	Hurricane_katrina
2008	Iowa Flood	1092	Iowa_flood_of_2008
1998	Matthew Shepard murder	1075	Matthew_Shepard
2008	N. Illinois U. Shooting	970	Northern_Illinois_University_shooting
2008	Tibet protests	1044	Tibet_protests
2007	VT April 16 Shooting	694	Virginia_Tech_massacre
2008	Zimbabwean crisis	1048	Zimbabwe

We will research the urgent problem of integrating content, community, and services related to crisis, tragedy, and recovery (CTR). Digital libraries can manage data heterogeneity and a large volume of information. That information is critical to many users; Table 2 demonstrates the strong public interest in, and need for, such information. An integrated network of digital libraries, drawing content from direct submissions, special collections, and both Web and Web 2.0 sources, coupled with a CTR specific ontology, providing a rich suite of advanced services, can help people to acquire tailored domain specific information in crisis situations. This integrated network of resources also can support social science research and broader utilization by scholars, policy makers, and the general public. For example, the tragic shooting at Virginia Tech on April 16, 2007 motivated our university community in Blacksburg, Virginia to build such a digital library [30, 32], as we grappled with recovery. It brought researchers together – from the computing and information sciences, and the social and behavioral sciences – to seek effective approaches and solutions that also have wider applicability.

This research will build a key portion of our nation's cyberinfrastructure, which we call CTRnet. We move beyond conventional database and information systems into a comprehensive integration of content, community, and services – building upon and extending our ongoing research with digital libraries. We will use, adapt, and develop content, services, and applications that best connect people, specialized communities, and the world-wide supportive public. Those involved in crisis and tragedy situations

¹ Prefix for Wikipedia articles is <http://en.wikipedia.org/wiki/>. See there suffixes: [List_of_hostage_crises](#), [List_of_terrorist_incidents](#), [Disaster](#), [Natural_disaster](#), [Man-made_hazards](#), etc. Regarding 9/11, see, e.g., <http://911digitalarchive.org> and http://www.poetry.com/us_tragedy/searchgroup.asp.

include emergency response workers, law enforcement agencies, government officials, and community activists who help serve the public during and after catastrophic incidents. An even broader range of people are involved in the years that follow, especially since we will archive a key part of the Web [4].

Table 2. Popular CTR-related queries (from Google Zeitgeist)	
Month	Keywords
April 2007	virginia tech; cho seung-hui; columbine; darfur
October 2007	ohio shooting; pensacola weather; california fires; ocean isle fire; earthquake
February 2008	Northern Illinois University Shooting; Cole Hall shooting; Kazmierczak
March 2008	Georgia-Russia Crisis; Kosovo independence; Georgian UAV shot down
July 2008	California Earthquake; Los Angeles 5.4; World's most seismically active region
November 2008	Mumbai shooting; India shooting; Deccan Mujahideen; Mumbai shootout photo

We seek to help people who have been affected by tragedies, and/or are interested in CTR related information. Our service aim is to demonstrate how next-generation digital libraries can support such needs. Our technical aim is to achieve the broader intelligent information integration “holy grail” of shifting to a new paradigm of digital library research where all sources (from uploading, collecting, Web, and Web 2.0 sources) are brought together with a rich suite of services to support broad domain specific individual and community needs. Our goals include:

- Integrating heterogeneous information in a specific domain, making it accessible, and preserving it for long-term reuse;
- Extending the scope of digital libraries so they are closely but flexibly coupled with a wide variety of services to support diverse emerging communities; and
- Supporting information exploration with advanced methods (SSP [13-15, 28, 139], PathRank [140], and Storytelling [71]) that facilitate searching, browsing, and discovery.

We will build a domain specific distributed digital library, integrating information from many sources. Two new access points, a digital library website and a social network application, will be developed as part of our test bed for CTRnet. Our social networking application will use the APIs of Facebook and other social networks, e.g., MySpace, to make CTR information accessible from within these systems in an integrated manner to a wider audience. We will begin with our April 16 system and extend it to serve more global CTR situations. Thus, in order to assist the CTR community in preserving and analyzing information, we will implement a CTR toolkit. Its software will provide services such as searching, browsing, recommending, personalization, multilingual access, statistical analysis, data set management, literature and linguistics analysis, and information visualization. Our advanced software for exploration will assist with finding relevant content: individual items, sequences of items, and explanatory stories.

The questions we address include:

- How can parts of CTRnet be built semi-automatically, drawing upon related digital libraries, web pages, query logs, Web 2.0 applications, and other Internet resources?
- How can this CTRnet be utilized, efficiently and effectively, for a wide variety of tasks?
- What kind of user interfaces can facilitate building and utilizing the CTR network?
- How can we integrate information from other digital libraries, and from the Internet Archive and the Library of Congress, along with the current Web, to make it more accessible?
- How can our solution be evaluated and validated, leading to a widely used methodology?

The combination of CTRnet and the CTR toolkit could be a powerful aid in the CTR domain. With major tragedies seemingly occurring daily, our system will aid recovery in our nation and around the world.

2 Related and Prior Work

2.1 Crisis Informatics

Recently, a new area called “Crisis Informatics” has emerged, where researchers are studying connections between socially and behaviorally conscious ICT, and results from empirical research in crisis situations [81]. Being a union of information, social, and computer science, Crisis Informatics aims to bring

together the changing role of technology in social areas during times of emergency, disaster, and other forms of crisis scenarios [80]. The goal is to be aware of the information and technology needs of all members, including responders and the public, in disaster situations.

Many studies investigating emergency preparedness and crisis situations have been conducted, including Turroff and Hiltz's work with NLM [128], Schneider and Foot's investigation of information-seeking behavior after the September 11, 2001 attacks [108], and Kim, Jung, et al.'s study on the relationship between "internet connectedness" and communicative actions after September 11 [67]. The University of Colorado at Boulder hosts a Natural Hazards Center (<http://www.colorado.edu/hazards/>) with mission overlapping that of our initiative. Although many studies focus on analyzing crisis-related data and use of applications in response to crisis situations, there is limited research on using this data to build a network to dramatically enhance and integrate the currently highly varied user services. Traditional services such as browsing, searching, and recommending clearly do help, serving not just the general public but also specialized communities. But those services will be improved further through CTRnet, and other specialized services can be introduced to better support government officials, policy makers, learners, and researchers.

2.2 Building Ontologies, Semantic Search

Conventional ontology building approaches involve knowledge acquisition, followed by manual topic and relationship identification. This results in high quality ontologies, but the process is time consuming. On the other hand, fully automatic approaches like Text2Onto [11], MoK [2], and OntoLearn [130] may yield low quality results. Semi-automatic approaches, as by Wang et al. [134] and Fortuna et al. [22], seem effective, but may be hard to scale. Folksonomies are flexible and scalable, but may have variable quality. Yet, a CTR ontology would be valuable for integrating information across tragic events.

Ontology driven navigation appears promising [54, 92]. The ontology structure is navigated (from general to more specific) to generate results matching the users' query. During browsing, the user could be guided by an ontology, and asked to select a more meaningful query [54]. We will employ ontologies for browsing and semantic query expansion (of continuing interest [6, 8, 23, 74, 133, 138]), in CTRnet.

2.4 Social Networks and Web 2.0

"Web 2.0" refers to collaborative and interactive value-added services, extending the WWW's content hosting services [79]. The collaborative aspect of Web 2.0 refers to the social interaction among users and their collective creation of content – which, during crises, is amplified as social networks activate to understand, and mobilize to cope. In response to needs for information, sites are created, messages are sent, and information is contributed in a rapid fashion, as ad hoc social networks form that merge existing network segments, e.g., students and local community members. Before the Internet, community members largely contributed through participation in events or impromptu memorials, in timeframes of days for widespread knowledge of an event, and longer for informing all involved. Today ICT brings breaking news live to the world. Each crisis impacts more people more quickly than ever before. More importantly, people have many more options for communicating more quickly than ever before. The wide distribution of cell phones that provide services of messaging, email, and instant-messaging – coupled with the extension of broadband always-on networks – have enabled the emergence of a culture of connectedness among segments of society. Sites such as Facebook, Flickr, and MySpace provide a platform for users not only to browse content contributed by their peers but also to participate in discussions, form focus groups, and upload and share their perspectives through messages, photos, and videos. These responses to tragedy occur through these platforms because they are the contexts of modern life, i.e., people respond by joining groups in Facebook, because they are already there and have the social desire to share their ideas and feelings. New advances in ICT have now enabled emergent phenomenon of dynamically self-organizing groups that build parallel information grids to facilitate community understanding and healing. Accordingly, in our study of the tragic shootings at VT on April 16th, we asked people why they used a social networking site in relation to the tragedy. The vast majority of respondents (students) identified Facebook as the site they chose, with only 10 references to other sites across 426 responses. A content

analysis approach was applied to derive keywords that capture intended meanings of the ideas, beliefs, and concepts contained in the responses. Three trained coders independently assigned keywords to each response. Fig. 1 shows the keyword definition and the percentage of responses to which it was assigned.

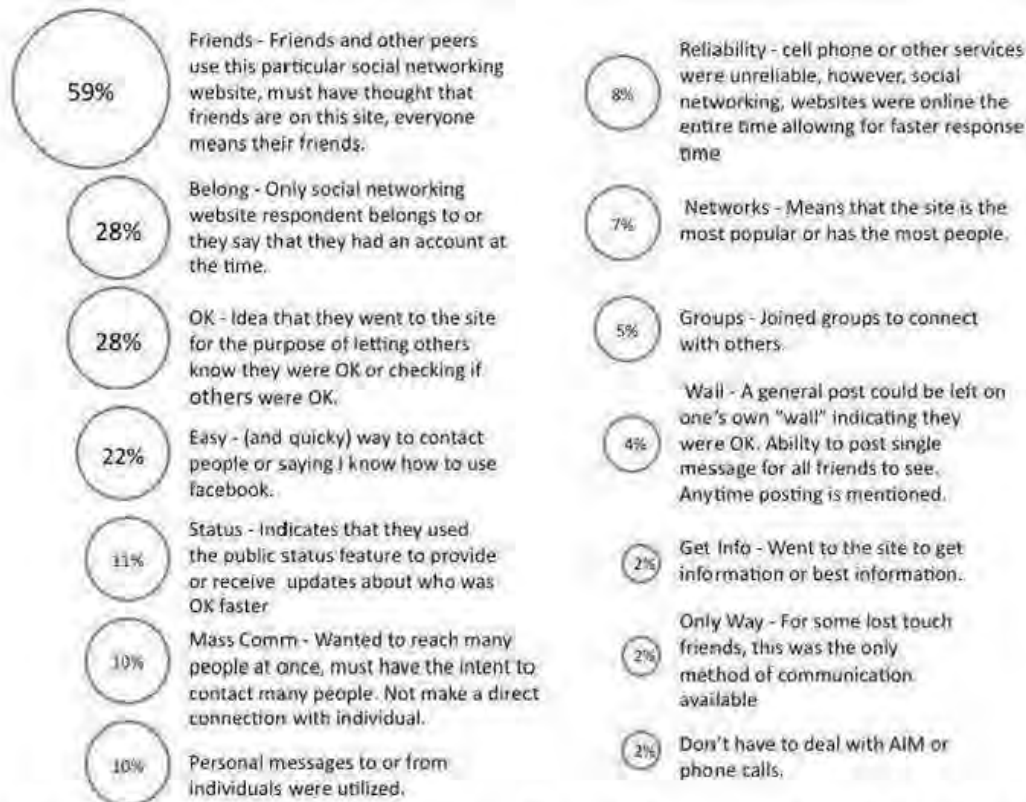


Figure 1. Why Social Networking during Tragedy Content Analysis Coding Results

Respondents went to Facebook because they see the site as easy to use, their friends are there, and they had a page on the site prior to the tragedy; they wanted to let their friends know they were OK, and find out that others were OK. They used the site for both personal communications with individuals and to send a mass communication to everyone that looked at their page or the membership of a group they had joined. Another interesting aspect that emerged is that Facebook allowed people to maintain contact with multiple, mostly separate, social networks, e.g., friends from high school and friends from VT. In some cases this was the only way to make contact with some networks of friends for which the respondent no longer had correct contact information. It seems likely that similar uses of social networking sites will occur in future tragedies as they become more embedded in the lives of more members of society.

This project will provide tools customized to the needs of communities enduring a crisis through a determination of the range of information needed in crisis and recovery situations. It also will define a digital library and system architecture with the necessary services. One aspect of the architecture is a package of services related to interactions with social networking websites. This is important, since approaches for capturing information from the Web 1.0 world, e.g., crawling, may not be allowed in the social networking etiquette. Web 2.0 is an expression of the voice of the people that must be recorded and preserved. Yet, such information is not systemically captured, and often disappears. Only through new embedded applications can data be captured, broader access be provided, and preservation ensured. Thus we will help with building applications and services within Web 2.0 sites. In so doing, we will leverage the tools provided within these sites, e.g., Flickr released a formatting convention – machine tags – that offers a way to assign semantics to Flickr images using common terms. Similarly, Google Maps [41]

provides application programming interfaces (APIs) to build services that integrate mapping functions. Further, OpenSocial is a software product by Google that provides developers with the ability to develop applications that work in multiple social networking sites, e.g., MySpace and Friendster, designed specifically to enable the development of applications such as that envisioned for this project, albeit focused on shopping or other more common activity. Services developed with these tools have the potential to provide great value in crisis situations by bringing information to people where they are.

A new paradigm involving a service oriented architecture has been applied [88] wherein different digital libraries (that are inherently heterogeneous, distributed, and geographically separated) can present a single uniform interface to users through Web services hosted at various sites. This project will build such a network for the CTR domain. The resources (or surrogates thereof), as well as the metadata associated with them, will be stored in the digital library and be made available for analysis and access.

3 System Stakeholders

When a tragedy occurs, an information exchange network tends to form. We propose that these users communicate using our CTR digital library as in Fig. 2.

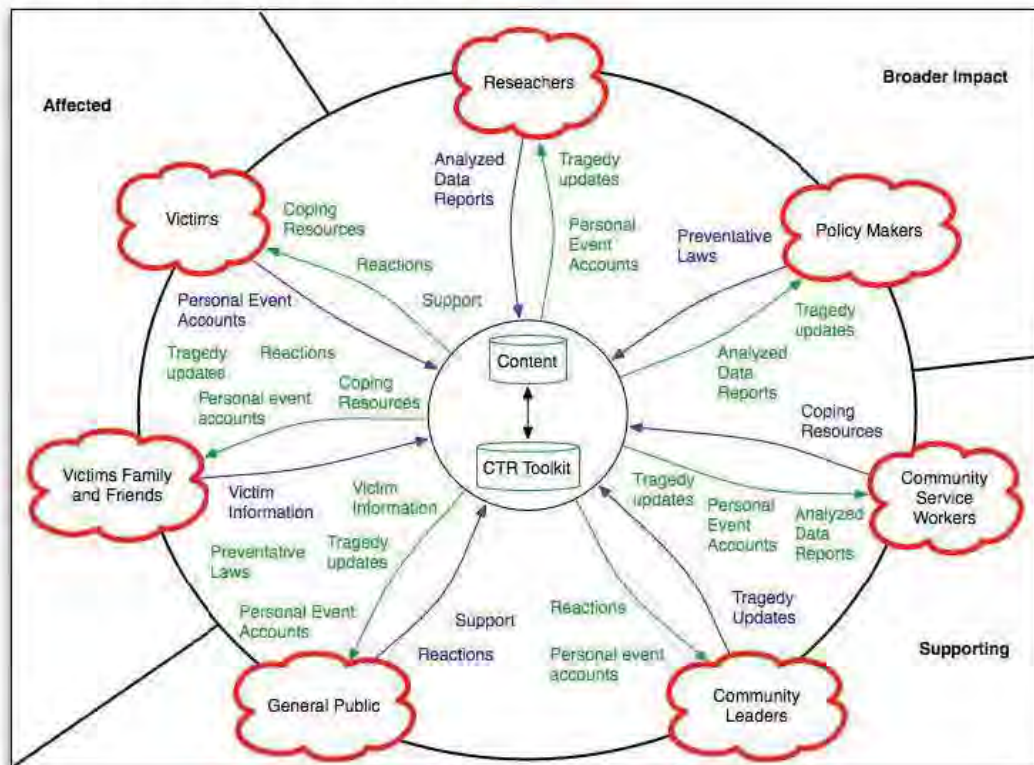


Figure 2. Local CTR Digital Library

The involved parties can communicate with one another using resources such as blogs, wikis, forums, Facebook, MySpace, Google or Yahoo groups, Flickr, etc. However, large amounts of information from these sources are unstructured or semi-structured. They often have issues with data heterogeneity, a large volume, and a lack of structure. There is need to filter, to obtain relevant and up-to-date information. Thus, Fig. 2 describes the system stakeholders plus the information exchange that occurs between them, during and after tragic events. Our CTR digital library includes content and software services packaged in our CTR toolkit, which will provide a rich suite of services. CTRnet will build cyberinfrastructure whereby these stakeholders can contribute as well as retrieve CTR-related information.

4 Approach

Our approach will be to build a distributed digital library network, CTRnet (Sec. 4.2), founded on our 5S theoretical framework (Sec. 4.1), where nodes can run our software toolkit (Sec. 4.3) affording a rich suite of services (Sec. 4.5), which also includes a full portal from each crisis Facebook site (Sec. 4.4). Since information integration is so important, it will be built into the CTRnet architecture (Fig. 3), and supported for end-users by our special software for exploration (finding pathways and stories, Sec. 4.5.1).

4.1 5S Framework as Theoretical Foundation for System Design, Implementation, and Evaluation

Recognizing the difficulties in understanding, defining, describing, and modeling digital libraries (DLs), Gonçalves et al. [24] have proposed and formalized the 5S (Streams, Structures, Spaces, Scenarios, and Societies) framework of digital libraries (DLs) [36, 37, 40]. 5S provides a formal framework to capture the complexities of DLs. The definitions in [37] unambiguously specify many key characteristics and behaviors of DLs. This also enables automatic mapping from 5S constructs to actual implementations as well as the study of qualitative properties of these constructs (e.g., completeness, consistency) [3].

We have used 5S as a guiding framework to successfully design and deploy a very large integrated DL for Archaeology – ETANA-DL [89, 94, 110, 111]. It has been used to guide efforts for the VT416DL project [31] as well as various educational initiatives [26, 27]. 5S will guide our Ensemble [29] NSDL computing pathway work, and work on CTRnet. Table 3 (discussed later) shows a modified version of our planned services taxonomy, based on the 5S framework [42]. We also will use 5S-based software for logging, evaluation, and reporting, so all parts of CTRnet can be continuously improved [37, 39, 75, 76].

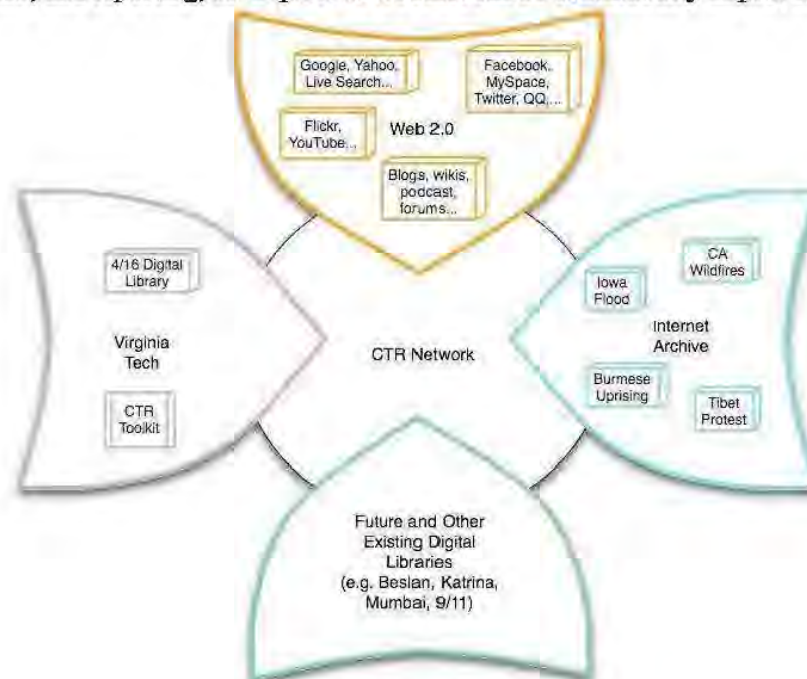


Figure 3. CTR Network

4.2 CTR Network

Fig. 3 depicts the design of the CTRnet architecture, illustrating four components, reflecting the broad scope of content and the variety of partners and services. The CTR Network is a distributed digital library, accessible through the Web, bringing together communities in and across crises and tragedies. CTRnet allows users to perform a search and return results from multiple digital libraries. It aims to inform and help individuals and communities heal.

The node on the left side of Figure 3 is the Virginia Tech component. There are two initial nodes within this component, 4/16 Digital Library and CTR Toolkit. The 4/16 Digital Library is related to the

April 16, 2007 shooting on the Virginia Tech campus [31]. The CTR Toolkit node provides a broad suite of services, and access to the 4/16 shooting digital library from CTRnet. Virginia Tech will offer to crisis sites temporary or long-term aid by way of additional digital library nodes and toolkit instances.

The Future and Other Existing Digital Libraries component supports expansion of CTRnet. An example partner could be from Mumbai, India. The recent attack there led to many dead or wounded, and caused pain throughout India. New CTRnet nodes are intended to serve communities like Mumbai. A tailored social networking application within Facebook could contain the latest news on the tragedy; plus information on local assistance, healing groups, and centers for people to go and talk about what happened; and could lead to a permanent digital memorial for all who suffered.

The Internet Archive (recall Table 1) component provides capabilities of Web crawling, acquiring information, and preservation. Local communities, likely without adequate technical or financial resources, do not need to host their own collections or crawl for content to include; we would coordinate work with the Internet Archive (see letter of support).

The final component is integrating Web 2.0, top center of Figure 3, into a seamless user interface that provides results from the CTR-net within social networking sites, and provides site users the ability to preserve their information by contributing it to the digital library on the CTR-net.

4.3 CTR Toolkit

We propose the use of a CTR Toolkit to help people affected by a crisis. During and after a tragic event, there are a series of needs that have to be addressed. The timeline in Fig. 4 highlights some of the data/information requirements related to longitudinal recovery from, and study of, such events.

Between the day of, and week 1 after, the tragedy, immediate response data are needed. Virginia Tech and others in CTRnet could assist. Or, when local archivists are activated and realize they want to capture digital information, Virginia Tech would send the CTR toolkit, allowing entry and preservation of information about the event into a digital library. People in the community would immediately be able to browse information about the tragedy. Between week 1 and month 1, the post-event initial recovery process begins. The community will be able to browse information about the tragedy using CTR-net, as community leaders and others share information.

Between month 1 and month 6, the community may launch long-term recovery planning. Data collected and analyzed by researchers will clarify how (if not why) the tragedy occurred and how the community has been dealing with it. Also, they will be able to compare it with prior similar events.

Between month 6 and month 12, anniversary events will occur. During this time, the public will reflect on the event that occurred within the last year. Lawmakers may consider how to prevent a similar tragedy from occurring. With major tragedies occurring worldwide, a CTRnet system can influence how people respond to and recover from these events.

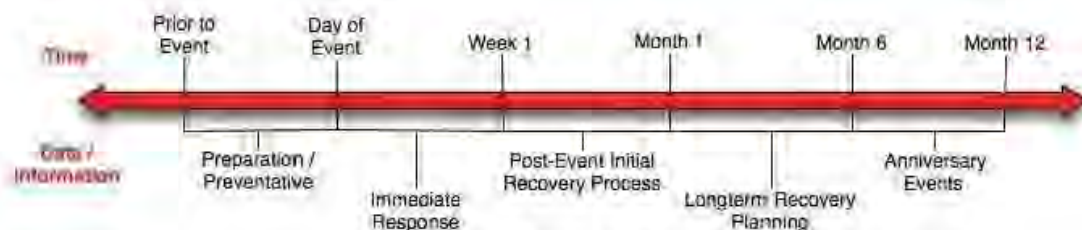


Figure 4. CTR Timeline

We can build upon lessons learned over the last years at Virginia Tech to provide immediate and ongoing support for related needs at other sites. The toolkit will provide a rich suite of services to help preserve, exchange, visualize, and analyze information; see Fig. 5.

To help avoid tragic events, preventative information should be widely disseminated. Thus, after

April 16, 2007, many universities investigated how to give emergency instructions to their communities. In case of looming natural disasters, evacuation plans can reduce damage, injury, and loss of life.

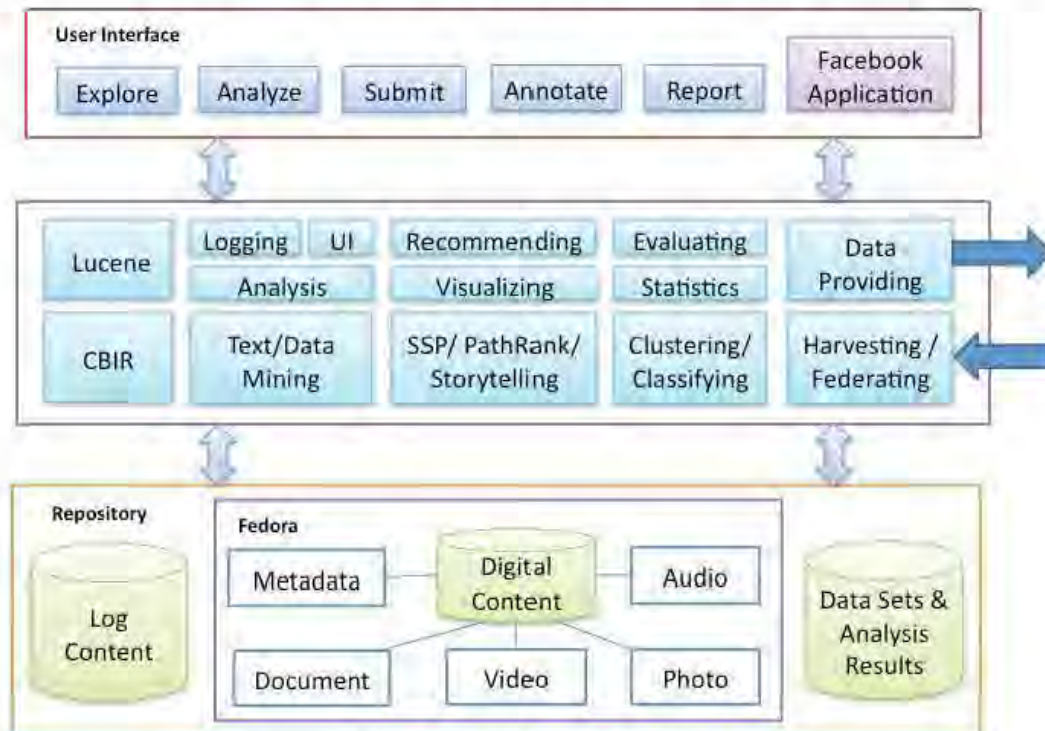


Figure 5: CTR Toolkit Services

The CTR Toolkit could be packaged to run on a modest computer, with necessary software components installed and configured. The server component will allow users to add photos, stories, and links to news coverage. There will be help files, pointing to local and global crisis and tragedy recovery groups (such as counseling or shelter providers), explaining for community leaders how to create healing groups for those going through the tragedy, and integrate crisis information sources.

The CTR Toolkit will include a repository storage system. Fedora offers many different services that accompany digital libraries. Besides repository services, Fedora [82, 116, 117] offers preservation, enterprise, and semantic services. The Fedora framework is highly scalable and configurable. Fedora offers an integrated search engine to help search the repository. It supports replication, format conversion, federation, and harvesting through the OAI-PMH protocol [118, 129]. It also will allow integration of a wide variety of tools developed in related projects at Virginia Tech [7, 18, 24, 31, 34, 35, 39, 40, 42, 43, 65, 66, 75-78, 86, 87, 90, 91, 95-97, 100, 102-105, 110, 112, 113, 124-127, 131, 136, 137, 139, 141-147].

The Toolkit will include data and text mining tools, as well as sample surveys for collecting data consistent with the CTR-net nodes. Social science experts will study the data, try to anticipate change, assess benefits, and answer questions such as: Why did this happen? How did this affect the community mentally? How can we prevent such in the future? Is prevention possible? If not, how can we prepare? What actions will derive the optimal outcome?

4.4 Social Networking Application

Facebook is a popular social network used in schools, colleges, and even professional settings today. We will create an application that runs within Facebook to provide any community concerned about a crisis or tragedy with an instantly available resource that is under their control. They will be able to create a group, for the crisis or tragedy just experienced. We will provide a manual, and a set of guidelines, that will

jumpstart the use of social networking to begin the recovery process. We will provide all the information necessary to set up their own page with a tested look and feel that includes enough information to attract users to the group. For example, providing a zip code in the “Location field” will link to a Google Map, local weather, lists of the food and housing shelters, aid groups, local counselors, government contact information, etc. We will anticipate these needs based on our experiences with digital library at VT.

Our social networking application will include many services for CTR events. The application will provide a range of information and specifics of the event informing the community what has happened, such as time of day, history of event, maps, descriptions, demographics, and community responses, as reported in the news and updated by community members. A timeline of the event will provide one method of navigating the information. The application will work within the context of the site to integrate various content areas common to social networking sites, e.g., Photo section, Wall, Video section, Posted Items, and Members View section. A list to allow people to post notes saying they are OK from the event will be available. Multiple news feeds, which can be dynamically modified by the community, will be available in a categorized table showing items such as: community announcements, Government announcements, police announcements, victims, etc. The panel will allow filtering based on the CTR ontology to provide information that users need. There will be a panel for artifacts from the event: poems, stories, event history, interviews, and so forth. Social network site members will be able to post, tag, and search videos, photos, posted items, comments, etc. Tagging of items using the CTR ontology will be emphasized to leverage the involvement of the community, and to attach shared meanings to the elements of the digital library.

The CTR digital library will be able to access the elements of the social networking site based on the permission given by individual users. When users post items, they will have the option to share the information with the digital library so it will not violate any user identification/legality issues, and to tag it using the CTR ontology. Once a social networking site user has shared photos, videos, comments and approved their distribution, they will be preserved in the digital library and by the Internet Archive.

4.5 Services

Table 3 defines the key CTR toolkit services. Columns 1 and 2 list infrastructure services. Column 2 identifies services that aggregate information from their inputs or connect objects together [34]. The output of the infrastructure services is the input for the information satisfaction services listed in column 3. As appropriate, other services can be incorporated into the CTR toolkit and CTRnet, building on a services oriented architecture [12, 88].

Table 3. Key services involved in CTR construction		
Infrastructure Services		Information Satisfaction Services
Repository-Building	Add Value	
Acquiring [131]	Classifying [144, 146, 147]	Browsing (<i>Website, Facebook</i>)
Cataloging and Annotating [77, 78]	Clustering [9, 25]	Collaborating
Crawling [10]	Entity Extraction and Integration	Customizing (<i>Website, Application, using CTRnet</i>) [84, 93, 96, 126, 127]
Digitizing	Evaluating [75, 76]	Filtering
Federating (<i>Integrator</i>) [111, 112, 131]	Auto Tagging [141-143]	Providing access (<i>Website, and Facebook application</i>)
Harvesting (<i>Wrappers, Integrator, Extraction</i>) [111, 113, 131]	Publicizing (<i>Sharing information on Facebook application, Website</i>)	Recommending [85]
Submitting (<i>Done by user on Website and application</i>)	Rating (<i>Social tagging, and inputs from Facebook application, Website, and common algorithms</i>)	Searching (<i>Semantic Query Expansion on Website and Facebook application</i>)
Indexing (<i>Ontologies, RDF Graphs</i>)	Ontology building [40]	Visualizing (<i>through website and Facebook application</i>) [66]

4.5.1 Using SSP, PathRank, and Storytelling to find connections among concepts and events in a CTR Digital Library

Currently there are already a multitude of articles, pictures, and other information organized in our CTR digital library [32]. Sometimes, when learning, researching, or exploring in general, a user may want to gain insight into the connections between two events, two people, two documents, two topics, etc. Alternatively, query splitting can yield two queries from a single long one [139]. Due to the amount of material, we need an automated approach to find the best connections among all the possible paths. We have developed several approaches, including SSP (Stepping Stones and Pathways) [13, 15, 28, 139], which is used to retrieve chains of relationships between articles. Xiaoyan Yu's doctoral research is further extending that work with the PathRank (PR) approach [140]. Separately, Ramakrishnan, Gresock, and Kumar [44, 45, 71] proposed the Storytelling approach; it yields interesting stories on a biomedical dataset, refined through a series of filtering and compression operations over the mined stories. The common characteristics of SSP, PathRank, and Storytelling are that they all can be treated as an automatic link finder, and hence can be used to connect the topics or events people are interested in.

So, given two endpoints, such as two events/topics/articles, SSP, PathRank, and Storytelling can identify the chain of intermediate information carriers from one to other, ensuring that any neighboring intermediate points have a relatively high similarity value. SSP uses interactive real-time information retrieval technology. Whenever two endpoints are identified, SSP enriches them separately, by adding keywords from the top relevant results to the initial endpoints. After that, the enriched endpoints are queried again, and the common sets of the results will be identified as the intermediate pathways and also be organized nicely by the clustering of these results. Storytelling works in a somewhat different way, employing redescription data mining technology. At the beginning, all the endpoints can be recorded, and the intermediate paths or stories can be mined by using a searching approach. So usually the stories are the shortest path from one endpoint to another. Fig 6 is an overview of how queries can lead to stories.

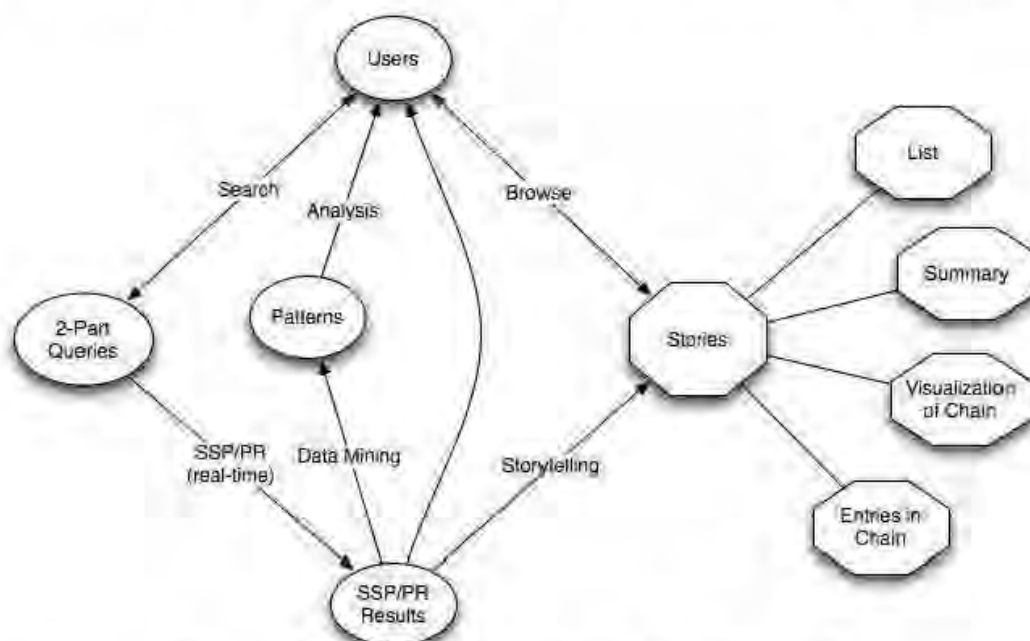


Figure 6. Exploring with SSP, PathRank, and Storytelling

Our proposed research will integrate these methods using the process for submitting queries, then applying SSP, PR, and Storytelling to connect concepts or events. The user may submit two endpoint queries, such as two tragic events, or two names of individuals, to the system directly. SSP/PR will

process these queries online, and return the pathways to connect these two queries. These pathways will be returned to the users immediately, and at the same time, the system will record the users' queries, and use the Storytelling algorithm later to search for the best stories connecting those user queries. The stories can be displayed in a webpage as the mining result, or some other visualization technologies can be used. By using summarization techniques developed in the information extraction area, the stories also can be filtered and pruned further.

Fig. 7 shows results we produced by hand that summarize what would happen when a user seeks to see how the Virginia Tech shooting is related to a number of events. Thus, the storytelling capabilities of the CTR-toolkit will allow users to explore the ever-expanding CTRnet collection.

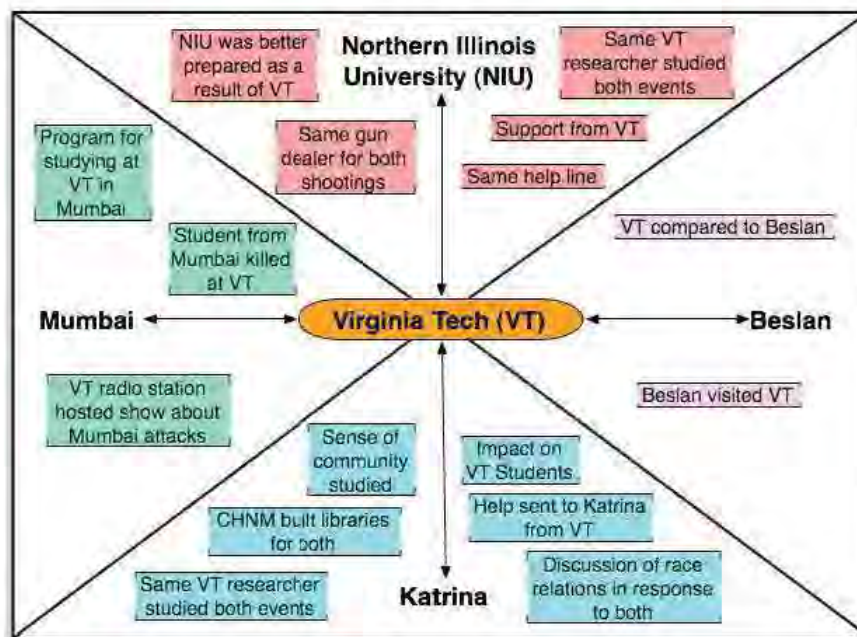


Figure 7: Event connection to VT ([5, 16, 19, 21, 46, 52, 72, 73, 107, 115, 119, 121, 123, 132, 135])

4.5.2 Ontology Building for CTR Domain

We will seed the ontology building process with data gathered from our initial focus group analyses, as well as knowledge gained from literature in this domain, such as, proceedings of the International Conference on Information Systems for Crisis Response and Management or ISCRAM [17, 70] and work on Crisis Informatics [69, 80, 81]. Table 4 shows part of a pruned list based on results from the ISCRAM proceedings (where there is limited coverage of long term community recovery issues). Thus, in a brief pilot exploration related to this proposal, we explored a simple technique for finding CTR related terms

Table 4. Selected distinctive word pairs identified from ISCRAM proceedings			
emergency response	decision support	information systems	teams participants
decision making	data models	disaster monitoring	teams maps
command teams	disaster plan	crisis management	sms text-message
flood alerts	information seeking	situational awareness	disaster registry
physical communication	human disaster	teams access	decision preference

and phrases, rather than use the more sophisticated methods we have deployed for summarizing electronic theses and dissertations [104]. We began by taking the abstracts and keywords from papers in the 2005 and 2007 ISCRAM proceedings. After removing stop words, we used the N-Gram Statistics Package [1, 83] with the t-score measure, to get the top keywords. The result was a list with approximately 51%

accuracy.

We conducted focus group interviews following the April 16 tragedy at Virginia Tech with researchers from Psychology, Sociology, and Computer Science. Each focus group employed the Group Cognitive Mapping System (developed at Virginia Tech) in the Pamplin College of Business computing lab to complete two activities [122]. In the first activity participants used electronic brainstorming to generate ideas, issues, and concepts in response to the following framing statement:

Thinking of your research interests and of the interests of other researchers in your discipline:

- 1) *What are the most important research questions, issues, and/or concepts that are relevant to the events of April 16th?*
- 2) *What data are needed to address these research questions and issues?*
- 3) *What are ideal visualizations that would be most useful for understanding the key issues in your discipline?*

Each group generated a substantive number of concepts. The next activity was for participants to review the concepts generated and suggest names of categories that would contain a set of similar concepts. Participants were asked to suggest category names using a round-robin approach. When the group was satisfied that all the relevant categories were identified, participants were asked to provide a definition or description of the concepts that fit into that category. The resulting categories appear in Figure 8.



Figure 8: CTR Categories

The categories identified form the basis for defining an ontology of issues and concepts that the participants believe could be investigated in the context of this and other tragedies. A comprehensive ontology would be of great value, especially after being connected into a knowledge base that relates to events, studies, findings, and results / lessons learned / best practices. This would include: variables to measure, activities and behaviors to monitor, effects to test, and connections with the theories and knowledge of a variety of social and behavioral science disciplines. It could help with framing research for the future and our interest in engaging experts in developing a research agenda for such tragedies.

The ontology will be further refined and expanded based on user interactions and log data gathered from the CTR website and Facebook application. We will make use of social and automatic tagging to refine and expand it. The CTR domain-specific ontology will be used to enhance various user services such as searching, browsing, recommending, summarization [104, 105], and visualization.

5 Assessment, Evaluation and Testbeds

CTRnet consists of a variety of components and interfaces. Each type of component will have a different validation and evaluation plan. However, no single evaluation design can address all the evaluation questions. We will use a mix of qualitative and quantitative methods to address the evaluation questions. We list the main evaluation questions and their corresponding primary evaluation data collection methods in Table 5. For example, the services will have two-way validation and evaluation. We will evaluate the interfaces through online user questionnaires. The other evaluation will be through standard information retrieval evaluation techniques such as using TREC datasets (see, for example [47-51, 53]). We will

design and develop online surveys linked to the digital library collections we develop in order to ask users about their perceptions and experience in using information technology and the CTR toolkit. As such, our respondent population will be opportunity samples based on usage of the CTR toolkit and digital library related to a given event (e.g., community leaders, general public, interested outsiders). We will analyze the completed questionnaires using SPSS software. We will analyze the content of the Web 2.0 sources (e.g., Facebook, Twitter, blogs, Flickr) to identify major themes and patterns of crisis information fathering and sharing and the recovery process. We will follow Krippendorff's content analysis methodology [68] and use NVIVO software to analyze the social software entries (e.g., discussions, photos, blogs, Twitter posts).

Table 5. Evaluation Methods					
Questions	Heuristic Evaluation	Usability Testing	Expert Reviews	Log Analysis	Online Surveys
DL Expert Perspectives			X		
User Perspectives		X		X	X
Services & Enhancements	X	X	X	X	X
GUI Design	X		X		

Most of the evaluation questions and methods of the CTR toolkit and digital library will follow those of standard digital libraries [38, 62, 106]. That is, the data collection and coverage rate will be evaluated using standard information retrieval methods, such as precision and recall. The service and enhancement assessments will focus analysis on the use and usability of functions and services in the CTR digital library system. Further, we will address overarching questions such as: How effectively does the CTR project carry out its mission and serve the CTR community? How do the different parts of CTR function during different stages of CTR events? We will conduct these assessments by popular digital library evaluation methods, like usability testing and online user questionnaires. We will collect data for the evaluation from the typical users of our CTR system, such as the community leaders, victims, families and friends, and the general public. We will collect data for the evaluation from the perspective of the DL experts and researchers using primarily expert reviews. Researchers in psychology will provide specific research questions, such as how information technology and the CTR toolkit and digital library collection affected crisis management and recovery. Researchers in computer science will evaluate the use and impact of these technologies in the CTR community. Sociologists will analyze the social impact of the CTR resources on community wide information sharing and the crisis recovery process.

6 Prior NSF Work

This project builds on a current SGER grant related to April 16: NSF IIS-0736055: SGER: DL-VT416: A Digital Library Testbed for Research Related to 4/16/2007 at Virginia Tech, \$199,993 + \$12,000 REU supplement (with 3 students), PI Edward A. Fox, Co-PIs: Christopher L. North, Donald J. Shoemaker, Naren Ramakrishnan, Weiguo Fan, August 15, 2007 - January 31, 2009. Our main publication was a journal paper in Traumatology [30]. Our web site has been popular; see www.dl-vt-416.org. Another grant related to the tragedy is: NSF Small Grant for Exploratory Research (NSF-0738390) Capturing Ephemeral Data on the Virginia Tech Tragedy, A. Kavanaugh (PI), F. Quek, S. Sheetz, 7/1/07-8/31/09. We are investigating social network use of cell phones on the day of the shootings at Virginia Tech campus, using survey questionnaires and structured interviews with students, faculty and staff. Support has been provided for two PhD students and one undergraduate. Papers under review or in process include [60, 109].

Fox served as PI at Virginia Tech on a subcontract from NSF (ITR) funding, through grant IIS-0325579, entitled Information Technology Research: Managing complex information applications: An archaeology digital library. This was launched by an archaeologist, Project PI James Flanagan (CWRU), with the IT aspects led by Fox and co-PI Fan. VT's subcontract was for \$189,500, covering 9/1/03-

12/31/05, but a no-cost extension allowed continuation of research into the summer of 2007. The ETANA-DL (digital library – see <http://www.etana.org> for a link to the system) provides an integration framework and broad set of services operating on data from 15 sites in Jordan and Israel. Two dissertations, three theses, and a number of publications [20, 42, 90, 91, 95, 98, 99, 101, 110-113, 131] have demonstrated how information technology can be used effectively for the timely digitization and dissemination of archaeological [101] findings for the benefit of society.

Kavanaugh served as PI on NSF Digital Government Award NSF-0429274, Modeling Online Participation in Local Governance, with co-PIs M.A. Pérez-Quirónes, P. Isenhour, and D. Dunlap, 9/1/2004-8/31/2007. The project supported one post-doc, five graduate students and six undergraduate students on design and modification of innovative tools to support and foster online citizen discussion ‘in the wild.’ Published papers include [33, 55-59, 61, 63, 64, 120].

7 Project Personnel

This project will be guided by an advisory board, chaired by Professor Jerzy Nowak, whose wife was killed on April 16, 2007 (see letter). He is director of Virginia Tech’s Center for Peace Studies and Violence Prevention. External members of the Advisory Board include Kristine Hanna (Internet Archive – see letter), Starr Roxanne Hiltz and Murray Turroff (NJIT), Susan Metros (USC – see letter), Ben Shneiderman (U. Md. – see www.cs.umd.edu/hcil/911gov/), Padmini Srinivasan (U. Iowa – see letter), and Eric Van de Velde (Caltech – see letter). Other local members of the board include Erv Blythe (VP for Information Technology), James Hawdon (Sociology – see letter), Russell Jones (Psychology), Timothy Luke (Political Science; Director, Center for Digital Discourse and Culture), Gail McMillan (Director, Digital Library and Archives, University Libraries), Christopher North (Computer Science), and John Ryan (Chair, Sociology – see letter). The external board will meet once yearly in person, perhaps at ISCRAM, as well as twice electronically. The local board will meet at least twice per year, in person. We will seek guidance and support more broadly too, and engagement of many locations in CTRnet.

Prof. Edward A. Fox has been at Virginia Polytechnic Institute and State University (VPI&SU or Virginia Tech) since 1983, where he serves as Professor of Computer Science and directs the Digital Library Research Laboratory. He has been PI and co-PI on over 100 research and development projects, leading to hundreds of publications and of presentations. He served from 1987-95 as vice chair and then chair of the Special Interest Group on Information Retrieval. He was Chairman of the IEEE-CS Technical Committee on Digital Libraries. Dr. Fox will serve as PI, providing managerial and technical leadership. He will liaise with the Internet Archive, and serve as point of contact with new CTRnet sites.

Donald J. Shoemaker, Professor of Sociology, has been at Virginia Tech since 1974. He has been involved in 37 funded research projects. In addition Professor Shoemaker has published extensively in the field of crime and delinquency, including a textbook on juvenile delinquency, published in September, 2008. Professor Shoemaker served on the Virginia Attorney General’s Anti-Gang Task Force from 2003-2006 and is a member of the Oak Ridge Associated Universities National Security Expert Team, an advisory and consultant group which works with the Department of Homeland Security and other government agencies. He will coordinate liaison activities with other social and behavioral scientists.

Dr. Andrea Kavanaugh is a Senior Research Scientist in the Computer Science Department at Virginia Tech (VT). She is the former Director of Research for the community computer network known as the Blacksburg Electronic Village (BEV) under the direction of VT Information Systems. She also serves as the Associate Director of the Center for Human Computer Interaction. A social scientist, Dr. Kavanaugh has been employing quantitative and qualitative research methods and leading sponsored research projects since 1980 to evaluate the diffusion, adoption, use, and impact of information and communication technology. She leads a SGER grant on the social network use of cell phone technology during the tragic events of April 16 at VT. She will coordinate project evaluation activities.

Naren Ramakrishnan is a Professor and Associate head for graduate studies in the Department of Computer Science at Virginia Tech. He also serves as an adjunct professor at the Institute of Bioinformatics and Applied Biotechnology (IBAB), Bangalore, India. His research interests include

problem solving environments, mining scientific data, and information personalization. He is an area editor for IEEE Computer, an editorial board member of Knowledge and Information Systems and the Journal of Intelligent Information Systems. He is a general chair for the Eighth IEEE International Conference on Data Mining (ICDM'08), Pisa, Italy, Dec. 2008. In 2007, Computerworld named him to their list of '40 under 40' innovative IT people to watch. He will coordinate data mining and activities related to Storytelling.

Steven Sheetz is an Associate Professor in Accounting and Information Systems and Director of the Center for Global E-Commerce in the Pamplin College of Business. His research focuses on object-oriented software engineering, software measurement, and the adoption of information systems standards, e.g., XBRL (Extensible Business Rule Language). He has extensive industry experience with database management systems and software development. In response to the events of April 16th he led a study of the impact of technology on stress, collaborated closely with DL416 team, and was part of the SGER grant on cell phone use. He will design and direct the development of the software in the CTR-toolkit.

8 Summary

We will develop CTRnet, to serve those involved in or interested in crisis, tragedy, and recovery. This will be enabled by research in many aspects of intelligent information integration. Our approach will be to build upon our work on distributed digital libraries, information retrieval, HCI, data and text mining, database management, sociology, and other skills brought to bear by the co-PIs and our partners.

During the three years of the project, CTRnet will be gradually built and extended and evaluated, as new events occur and new partners are found. In the first year, the focus will be on constructing a first version of the CTR toolkit, including the Facebook application, and on testing it whenever an opportunity arises. Since VT already has received some data from the Texas A&M bonfire disaster, and the NIU shooting, preliminary testing will occur, with that data being integrated with VT information. When available, data recently promised from Beslan, and information from Dr. Srinivasan (see letter) related to the flood at Iowa, will be integrated. These efforts will proceed into year 2, when a second GRA is hired, who will concentrate on connecting SSP, PR, and Storytelling. Year 3 will focus on elaboration and broad dissemination of the CTR toolkit, expansion of CTRnet, and engaging a wide set of stakeholders in using the emerging and expanding cyberinfrastructure for CTR.

The **intellectual merit** of our research includes advancing digital library methods through intelligent information integration, building upon the 5S framework, leveraging Web 2.0 applications, providing powerful aids for exploration (for the first time integrating Stepping Stones and Pathways, PathRank, and Storytelling), and developing a CTR domain to benefit and facilitate healing for individuals and communities involved in tragic events. The use of ontologies, social networks, and advanced retrieval techniques will allow users to identify relationships among information items, and will enable communities to obtain necessary information for research and knowledge building.

The **broader impact** of our research is aimed towards aiding individuals and communities involved in crises and tragedies, especially with regard to long-term recovery. We do that with a new approach, which should work in many other domains as well: building (atop solid theoretical foundations) a domain specific digital library toolkit, so a distributed network can support a growing worldwide community, along with social and behavioral science researchers, learners, decision makers, law enforcement agencies, emergency personnel, and the public.

PS On 12/15/08, Fox was interviewed by a reporter of The Chronicle of Higher Education about the recent panel at the CNI Fall Meeting, on "Capturing Crisis"; Fox, along with two members of the Advisory Board (Hanna, Srinivasan), met with strong interest as they outlined key ideas of this proposal. On 12/16/08, an announcement appeared of Lucinda Roy's book "No Right to Remain Silent: The Tragedy at Virginia Tech"; she taught Seung-Hui Cho and alerted university officials about his troubled actions and writings, and addresses the violence affecting schools and lives.

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