Constraints on gauged B-3L, and related theories

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We consider extensions of the standard model with an extra U(1) gauge boson that couples to \( B - (\alpha L_\mu + \beta L_\tau + \gamma L_e) \) with \( \alpha + \beta + \gamma = 3 \). We show that the extra gauge boson necessarily mixes with the Z, leading to potentially significant corrections to the Zff vertex. The constraints on the size of this correction imposed by the Z-pole data from CERN LEP and SLD are derived.

I. INTRODUCTION

A persistent mystery in particle physics today is how nature distinguishes among the three generations of quarks and leptons and provides them with the observed mass hierarchy and mixings. A popular approach to constructing a model that can potentially explain this flavor problem is to extend the gauge symmetry of the standard model (SM) and permit the three generations to transform differently under the new symmetry. This idea is implemented, for instance, in top-color [1] and topcolor-assisted technicolor [2] models in which the third generation transforms differently from the first two.

In extending the SM gauge group and assigning charges to the matter fields, care is needed to ensure anomaly cancellation. However, extra care is necessary to further ensure charge orthogonality when the extended-gauge group contains multiple Abelian factor groups [3]. Without charge orthogonality the Abelian charges will mix kinetically [4] under renormalization group running and the charge assignments lose scale-invariant meaning, rendering the model ill defined.

In this paper, we examine these issues in the context of a series of models introduced in Ref. [5]. In these models, the SM gauge group is extended by an Abelian factor SU(3)_c\times SU(2)_L\times U(1)_Y\times U(1)_X, where the extra U(1)_X gauge boson is coupled to some linear combination of baryon and lepton flavor numbers [5] which is anomaly free: e.g.,

\[ X = B - 3L_e, \quad B - 3L_e, \quad B - \frac{3}{2}(L_\mu + L_\tau), \quad \text{etc.} \]

These models were motivated by the desire to explain the masses and mixing in the neutrino sector. Unfortunately, the particle content of these models does not satisfy the orthogonality condition (COC). Consequently, the X and the Y charges mix under renormalization group running, and the model remains incomplete in the absence of a scale at which the charges are defined. While one can always assume that the charges are those at the scale at which the U(1)_X symmetry breaks, thereby locking in the charges, at higher energy scales the two U(1) gauge bosons will couple to some scale-dependent linear combination of X and Y charges. To avoid this one must go to the physical basis, as discussed in Ref. [3], in which the charges are orthogonal and scale invariant. However, the new scale-invariant charges can no longer be associated with the SM weak hypercharge or any particular lepton flavor, and in general they will not even be rational. It is therefore necessary that the COC be imposed as an additional constraint if the initial charge assignment is to make any sense.

In the following, we show that the COC cannot be satisfied in this class of models for any linear combination of \( B \) and \( L_e,\mu,\tau \), which is anomaly-free without the addition of extra matter fields. Even when the COC is satisfied there can still be significant mixing between the Z and the X, and this can show up in Z-pole observables by breaking lepton universality. We use the CERN e^-e^- collider LEP and SLAC Large Detector (SLD) Z-pole data to place significant constraints on the size of this mixing. We find that, in the absence of additional sources of mixing, the mass of the X-gauge boson is generically required to be at least a few hundred GeV.

II. ANOMALY CANCELLATION AND CHARGE ORTHOGONALITY

Consider a model with the gauge group \( SU(3)_c\times SU(2)_L\times U(1)_Y\times U(1)_X \), where U(1)_Y is the putative weak hypercharge and U(1)_X is an additional Abelian factor group. We choose the charge assignments of the quarks and leptons to be

\[
\begin{align*}
\begin{pmatrix} u_i \\ d_i \end{pmatrix} & \sim (3,2;\frac{1}{6};\frac{1}{2}), \\
\begin{pmatrix} \nu_e \\ e \end{pmatrix} & \sim (1,2;\frac{1}{2};-1), \\
\begin{pmatrix} u_{iR} \\ d_{iR} \end{pmatrix} & \sim (3,1;\frac{1}{2};\frac{1}{2}), \\
\begin{pmatrix} \nu_e \\ e_R \end{pmatrix} & \sim (1,1;0;-\alpha),
\end{align*}
\]

where \( i \) is an index ranging over 1,2,3.
\[
\begin{pmatrix}
\nu^\mu \\
\mu
\end{pmatrix}_L \sim (1.2, -\frac{1}{2}; -\beta), \quad \mu_R \sim (1.1, -1; -\beta), \\
\nu_{\mu R} \sim (1.1, 0; -\beta), \\
\begin{pmatrix}
\nu^\tau \\
\tau
\end{pmatrix}_L \sim (1.2, -\frac{1}{2}; -\gamma), \quad \tau_R \sim (1.1, -1; -\gamma), \\
\nu_{\tau R} \sim (1.1, 0; -\gamma),
\]

where \( i = 1, 2, 3 \) is the generation index, and \( \alpha, \beta, \gamma \) are left arbitrary for the moment. In effect, the \( U(1)_X \) gauge boson is chosen to couple to

\[ X = B - (\alpha L_C + \beta L_\mu + \gamma L_\tau). \]

The addition of the right-handed neutrinos is necessary to make \( U(1)_X \) a vectorial symmetry. Note that even though we list three right-handed neutrinos, any one whose \( U(1)_X \) charge is chosen to be zero will effectively decouple completely from the theory. The minimal scalar sector necessary to break the gauge symmetry into the usual \( SU(3)_C \times U(1)_{em} \) consists of the regular Higgs doublet

\[
\begin{pmatrix}
\phi^+ \\
\phi^0
\end{pmatrix} \sim (1.2, \frac{1}{2}; 0)
\]

and a neutral singlet

\[ \chi^0 \sim (1.1, 0, \delta), \quad \delta \neq 0, \]

to break \( U(1)_X \). It is easy to show that anomaly cancellation leads to the condition

\[ \alpha + \beta + \gamma = 3. \]  \hspace{1cm} (1)

In non-grand-unified-theory models with multiple gauged \( U(1) \)'s an additional constraint is necessary to ensure that these groups do not mix through radiative corrections. As has been discussed in Ref. [3], this requirement (at one loop) amounts to

\[ \text{Tr}[Q_X Q_Y] = 0, \]

the charge orthogonality condition. In the model under consideration, the COC leads to the condition

\[ \alpha + \beta + \gamma = -1. \]

Obviously, this and the anomaly cancellation condition Eq. (1) cannot be satisfied simultaneously. Therefore, the COC cannot be imposed regardless of the choice of \( \alpha, \beta, \) and \( \gamma \), and the model in its present form is ill defined.

One way to rectify this problem is to change the charge assignments in the minimal scalar sector so that the kinetic mixing due to the scalars cancels that due to the fermions. However, this cannot be done so easily since the scalar charges are fixed by the requirement that they lead to the correct pattern of symmetry breaking, and also allow for the necessary Yukawa couplings to give masses to the fermions. Instead one may introduce new matter fields. The set of new matter fields that are necessary to impose the COC is not unique. For instance, the COC can be imposed upon the fermion sector by introduction of a pair of fermions with charge assignments given by

\[ N_L \sim (1, 1, a, b), \]

\[ N_R \sim (1, 1, a, b), \]

with \( ab = -4 \). If the scalar sector is extended so that neutrino mixing can be generated, the COC must be satisfied there also. Therefore, the complete phenomenology of the model cannot be worked out until all the extra fields have been specified. One can nevertheless place a constraint on these models, under a minimal set of assumptions, as we will discuss next.

### III. VERTEX CORRECTIONS

Even with the COC initially imposed at high energies, the \( X \) and the \( Y \) charges will mix once some of the particles decouple from renormalization group running. This mixing can be fairly large at the \( Z \)-mass scale, since it will be proportional to \( \ln(\Lambda/m_Z) \), where \( \Lambda \) is the scale at which decoupling occurs. However, \( X-Y \) mixing will lead to the violation of lepton universality on the \( Z \) pole that is well constrained by LEP and SLD data. In the following, we make the simplifying assumption that all the non-SM particles decouple at \( M_X \gg m_Z \), the scale at which \( U(1)_X \) breaks. Below \( M_X \), only the SM particles survive decoupling, and contribute to \( Z-X \) mixing. Comparison of the size of this mixing with the data will enable us to constrain \( M_X \).

Consider possible corrections to the \( Z \bar{f} f \) vertex at the \( Z \) pole. There are two ways in which the \( X \) boson can correct the vertex. The first is by dressing the vertex as shown in Fig. 1(a). This correction leads to a shift in the \( Z \bar{f} f \) couplings given by

\[
\frac{\delta h_{f_L}}{h_{f_L}} = \frac{\delta h_{f_R}}{h_{f_R}} = \frac{\alpha_X}{6 \pi} \left( X_f^2 \frac{m^2_m}{M^2_X} \ln \frac{M^2_X}{m^2_Z} \right),
\]

where \( X_f \) is the \( X \)-charge of fermion \( f \), and \( \alpha_X = g^2_X/4 \pi \). The second is through \( Z-X \) mixing as shown in Fig. 1(b). Since charge orthogonality is broken below \( M_X \), this correction does not vanish and is given by...
\[ \delta h_{f_L} = \delta h_{f_R} = - \frac{\alpha_X}{6\pi} \left( 8s^2 X_f \right) \left( \frac{m_Z^2}{M_X^2} \ln \frac{m_t^2}{m_Z^2} \right) \]  

where \( s^2 \) is shorthand for \( \sin^2 \theta_W \), and the tree level Zff couplings are normalized as

\[ h_{f_L} = \lambda_{3f} - Q_f s^2, \quad h_{f_R} = -Q_f s^2. \]

The top-mass-dependent term in Eq. (2) is due to the decoupling of the top quark below \( m_t^2 \), but we will neglect it since it is suppressed compared to the other term. Note that this correction is proportional to \( s^2 \) since it is only the U(1)Y part of the Z that mixes with the X.

Let us define

\[ \xi = \frac{\alpha_X}{6\pi} \left( \frac{m_Z^2}{M_X^2} \ln \frac{m_t^2}{m_Z^2} \right). \]

Then the sum of the dressing and mixing corrections can be written as

\[ \delta h_{f_L} = (h_{f_L} X_f^2 - 8s^2 X_f) \xi, \]

\[ \delta h_{f_R} = (h_{f_R} X_f^2 - 8s^2 X_f) \xi. \]

To place a constraint on the size of \( \xi \) using the data from precision electroweak measurements, we follow the general procedure of Ref. [7]. We assume that the only significant non-SM vertex correction comes from \( \xi \). Since we will only use LEP and SLD observables which are ratios of coupling constants in our analysis, oblique corrections will only manifest themselves through a shift in the effective value of \( \sin^2 \theta_W \) [8]. We introduce the parameter \( \delta s^2 \) to account for this deviation:

\[ \sin^2 \theta_W = [\sin^2 \theta_W]_{\text{SM}} + \delta s^2. \]

We use \( \delta s^2 \) only as a fit parameter and extract no information from it so that our results are independent of the Higgs boson mass. The shifts in the left- and right-handed couplings are then

\[ \delta h_{f_L} = -Q_f \delta s^2 + (h_{f_L} X_f^2 - 8s^2 X_f) \xi, \]

\[ \delta h_{f_R} = -Q_f \delta s^2 + (h_{f_R} X_f^2 - 8s^2 X_f) \xi. \]

### IV. Constraints from Precision Electroweak Measurements

The shifts in the LEP-SLD observables due to the shifts in the coupling constants are easily calculable. For instance, the shift in the partial-decay width \( Z \to f \bar{f} \) is given by

\[ \delta \Gamma_f = \frac{2h_{f_L} \delta h_{f_L} + 2h_{f_R} \delta h_{f_R}}{h_{f_L}^2 + h_{f_R}^2}. \]
TABLE II. The correlation of the $Z$ line-shape variables at LEP.

<table>
<thead>
<tr>
<th>$m_Z$</th>
<th>$\Gamma_Z$</th>
<th>$\sigma^0_{\text{had}}$</th>
<th>$R_e$</th>
<th>$R_\mu$</th>
<th>$R_\tau$</th>
<th>$A_{FB}(e)$</th>
<th>$A_{FB}(\mu)$</th>
<th>$A_{FB}(\tau)$</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.000</td>
<td>-0.008</td>
<td>-0.050</td>
<td>0.073</td>
<td>0.001</td>
<td>0.002</td>
<td>-0.015</td>
<td>0.046</td>
<td>0.034</td>
</tr>
<tr>
<td>$\Gamma_Z$</td>
<td>1.000</td>
<td>-0.284</td>
<td>-0.006</td>
<td>0.008</td>
<td>0.000</td>
<td>-0.002</td>
<td>0.002</td>
<td>-0.003</td>
</tr>
<tr>
<td>$\sigma^0_{\text{had}}$</td>
<td>1.000</td>
<td>0.109</td>
<td>0.137</td>
<td>0.100</td>
<td>0.008</td>
<td>0.001</td>
<td>0.007</td>
<td></td>
</tr>
<tr>
<td>$R_e$</td>
<td>1.000</td>
<td>0.070</td>
<td>0.044</td>
<td>-0.356</td>
<td>0.023</td>
<td>0.016</td>
<td></td>
<td></td>
</tr>
<tr>
<td>$R_\mu$</td>
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<td>0.072</td>
<td>0.005</td>
<td>0.006</td>
<td>0.004</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$R_\tau$</td>
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<td>-0.003</td>
<td>0.010</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>$A_{FB}(e)$</td>
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<td>1.000</td>
<td>-0.026</td>
<td>-0.020</td>
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<td></td>
<td></td>
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<tr>
<td>$A_{FB}(\mu)$</td>
<td>1.000</td>
<td></td>
<td>0.045</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$A_{FB}(\tau)$</td>
<td></td>
<td>1.000</td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

$$\frac{\delta A_e}{A_e} = -53.5 \delta s^2 - 99.0 \alpha \xi,$$
$$\frac{\delta A_\mu}{A_\mu} = -53.5 \delta s^2 - 99.0 \beta \xi,$$
$$\frac{\delta A_\tau}{A_\tau} = -53.5 \delta s^2 - 99.0 \gamma \xi,$$
$$\frac{\delta A_{FB}(e)}{A_{FB}(e)} = \frac{2 \delta A_e}{A_e} = -107 \delta s^2 - 198 \alpha \xi,$$
$$\frac{\delta A_{FB}(\mu)}{A_{FB}(\mu)} = \frac{\delta A_e}{A_e} + \frac{\delta A_\mu}{A_\mu} = -107 \delta s^2 - 99.0(\alpha + \beta) \xi,$$
$$\frac{\delta A_{FB}(\tau)}{A_{FB}(\tau)} = \frac{\delta A_e}{A_e} + \frac{\delta A_\tau}{A_\tau} = -107 \delta s^2 - 99.0(\alpha + \gamma) \xi,$$
$$\frac{\delta R_e}{R_e} = -0.840 \delta s^2 + (1.18 + 1.09 \alpha - 2 \alpha^2) \xi + 0.307 \delta \alpha_e,$$
$$\frac{\delta R_\mu}{R_\mu} = -0.840 \delta s^2 + (1.18 + 1.09 \beta - 2 \beta^2) \xi + 0.307 \delta \alpha_\mu,$$
$$\frac{\delta R_\tau}{R_\tau} = -0.840 \delta s^2 + (1.18 + 1.09 \gamma - 2 \gamma^2) \xi + 0.307 \delta \alpha_\tau,$$
$$\frac{\delta \sigma^0_{\text{had}}}{\sigma^0_{\text{had}}} = 0.099 \delta s^2 + (1.599 \alpha^2 - 2.006 \alpha - (0.401 \beta^2 + 0.916 \beta) - (0.401 \gamma^2 + 0.916 \gamma - 0.471) \xi - 0.122 \delta \alpha_\gamma.$$
coefficient in Eq. (3). This means that lepton universality imposes a strong constraint on \( \xi \).

Here, we list the results of fitting the expressions in Eq. (3) to the data listed in Table I [9] for two choices of \( \alpha, \beta, \) and \( \gamma \) that were considered in Ref. [5]. In both cases \( \alpha=0, \) so we do not need to consider interference between direct \( Z \) and \( X \) exchange. The correlations among the data used are shown in Tables II and III.

(i) \( \alpha=\beta=0, \gamma=3 \) case:

\[
\begin{align*}
\delta s^2 &= -0.00067 \pm 0.00019, \\
\xi &= 0.000015 \pm 0.000074, \\
\delta \alpha_s &= -0.0016 \pm 0.0032.
\end{align*}
\]

The correlation among the fit variables is shown in Table IV, while the constraints from various observables in the \( \delta s^2 - \xi \) plane are shown in Fig. 2. The quality of the fit was \( \chi^2 = 25.6/(19-3) \) with the largest contributions coming from \( A_{FB}(b) \) (5.3) and \( \sigma^0_{\text{had}} \) (3.6). With such a large \( \chi^2 \), it is evident that including the \( X \) corrections does not improve the agreement between theory and experiment.

To convert the limit on \( \xi \) into a limit on \( M_X \), we must assume a value for \( g_X \). For \( g_X=g'=0.65 \), the 1\( \sigma \) (2\( \sigma \)) upper bound on \( \xi \) translates into

\[ M_X \gtrsim 860(580) \text{ GeV}. \]

For \( g_X=g'=0.35 \), the 1\( \sigma \) (2\( \sigma \)) bound is

\[ M_X \gtrsim 940 \text{ GeV}. \]

The correlation among the fit variables is shown in Table V, while the constraints from various observables in the \( \delta s^2 - \xi \) plane are shown in Fig. 3. The quality of the fit was \( \chi^2 = 25.3/(19-3) \) with the largest contributions coming from \( A_{FB}(b) \) (4.7) and \( A_{LR} \) (3.3). For \( g_X=g'=0.65 \), the 1\( \sigma \) (2\( \sigma \)) upper bound on \( \xi \) translates into

\[ M_X \gtrsim 1100(500) \text{ GeV}. \]

For \( g_X=g'=0.35 \), the 1\( \sigma \) bound is

\[ M_X \gtrsim 410 \text{ GeV}. \]
The 2σ limit on $\xi$ does not lead to a constraint on $M_X$ in this case since

$$\frac{m_Z^2}{M_X^2} \ln \frac{M_X}{m_Z} \leq \frac{1}{e},$$

with the maximum at

$$\frac{m_Z^2}{M_X^2} = \frac{1}{e}.$$

Since our analysis assumes $m_Z^2 \ll M_X^2$, the approximation breaks down in the environs of this scale anyway, invalidating any limits we may obtain.

The limits for other choices of $\alpha$, $\beta$, and $\gamma$ are similar. In Figs. 4 and 5, we plot the bounds on $\xi$ and $M_X$ for the $\alpha = 0$ models as functions of $\beta = 3 - \gamma$. As we can see, $M_X$ is generally required to be of the order of a few hundred GeV.

V. DISCUSSION AND CONCLUSIONS

In this paper we have considered a class of models with an Abelian factor group of type $B - (\alpha L_\mu + \beta L_\mu + \gamma L_\nu)$ and have explored some of their phenomenological consequences. In all cases considered, the quality of the fit to $Z$-pole electroweak observables is not improved over that of the standard model. The new-physics parameter $\xi$ violates lepton universality and is strongly constrained by the leptonic observables. The introduction of this parameter into the fit does not reconcile the experimental values of $A_{LR}$ and $A_{FB}(b)$. Thus the model does not provide a solution to the $A_{FB}$ anomaly. We find that, under the assumption that the $X$ boson is heavier than the $Z$, the $Z$-pole observables require that the mass of the extra gauge boson be of order a few hundred GeV.

In this analysis we have considered only kinetic mixing of the $X$ and $Z$ bosons due to SM particles between the scales $M_X$ and $m_Z$, but more complicated versions of this model are possible. For variants in which non-SM particles charged under the U(1)'s decouple above $M_X$ and cause the COC to be violated, the mixing will occur over a larger momentum range. If the scalar sector of the model includes fields that transform nontrivially under both U(1)'s, then their acquiring vacuum expectation values can lead to mass mixing between the $X$ and the $Z$ [5]. These additional sources of mixing may either dilute or sharpen the constraints obtained here, but must be considered on a model-by-model basis since they depend critically on the specifics of each model.

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