Measurement by antilocalization of interactions between InAs surface electrons and magnetic surface species

Yao Zhang, R. L. Kallaher, V. Soghomonian, and J. J. Heremans

Department of Physics, Virginia Tech, Blacksburg, Virginia 24061, USA

(Received 9 July 2011; revised manuscript received 22 December 2012; published 25 February 2013)

We show that antilocalization measurements can be used to experimentally study the interactions between InAs surface electrons and local moments of the rare earth ions Sm\(^{3+}\), Gd\(^{3+}\), and Ho\(^{3+}\) on the surface. Magnetic spin-flip scattering and spin-orbit scattering of the accumulation layer electrons are affected by the proximity of the rare earth ions. The spin-flip rate carries information about magnetic interactions. Within the temperature range studied, Sm\(^{3+}\) and Gd\(^{3+}\) yield temperature-independent electron spin-flip rates in proportion to their magnetic moments. In proximity to Ho\(^{3+}\) the InAs electrons however show a spin-flip rate increasing with temperature. We interpret the spin-flip rate due to Ho\(^{3+}\) as resulting from transitions between closely spaced energy levels of the ion on the surface. The experiments also show that the strength of spin-orbit interaction can be modified by the surface species.

DOI: 10.1103/PhysRevB.87.054430 PACS number(s): 72.15.Rn, 73.25.+i, 73.61.Ey, 75.30.Hx

The spin-exchange interactions between carriers and local moments lead to modified magnetic and transport properties of fundamental and applied interest, and as such form one of the key parameters in spin physics and spin-based technologies. In particular, controllable surface magnetism and its interaction with itinerant electrons in a nonmagnetic host has formed the subject of continuous investigations.\(^1\)\(^-\)\(^4\) In a series of comparative experiments we study the interactions which electrons in the accumulation layer formed on the InAs surface experience from local spin moments due to rare earth (RE) ions located on the surface, upon deposition of an aqueous RE nitrate solution. We find that the presence of the RE ions modifies the spin-orbit interaction (SOI) properties and the spin-flip scattering rate of the InAs surface electrons, thus creating a tunable artificial structure where interactions between electrons and local moments, and surface magnetism, determine spin properties and can be studied. The quantum phase coherence properties of the surface electrons form a very sensitive probe both of their quantum states and of the presence of magnetic impurities, exceeding in the latter the sensitivity obtained by direct magnetic measurement.\(^5\)\(^,\)\(^6\) To study the interactions we hence use the weak-localization quantum coherence corrections to the conductivity, caused by interference between backscattered time-reversed electron trajectories. The interference leads to a resistance \(R\) with a specific dependence on the magnetic field \(B\) applied normally to the surface, under strong SOI known as antilocalization (AL).\(^7\)\(^-\)\(^9\)

Four characteristic scattering times\(^10\)\(^,\)\(^11\) determine the quantum corrections to the conductivity arising from AL: the elastic scattering time \(\tau_0\) as deduced from carrier density and mobility; the SOI scattering time \(\tau_{SO}\); the inelastic scattering time \(\tau_i\); and the magnetic spin-flip scattering time \(\tau_{flip}\), which in combination with \(\tau_i\) determines the electron dephasing time \(\tau_d\). Of particular interest in the present work are the spin-flip time \(\tau_s\), carrying the information about the interactions between surface local moments and electrons, and the SOI scattering time \(\tau_{SO}\), carrying information about the strength of the SOI. To extract values for \(\tau_s\) it is advantageous to use a system with preexisting prominent SOI because the characteristic magnetoresistance of AL shows a turnaround from positive to negative magnetoresistance under increasing \(B\), facilitating unique numerical fits of the AL model to the data. It is well established that at the surfaces of InAs the Fermi level \(E_F\) is pinned above the conduction band, forming a surface electron accumulation layer and hence a two-dimensional electron system (2DES) at the surface.\(^12\)\(^-\)\(^15\) Our experiments require electrons in close proximity to the local moments, satisfied by the InAs surface 2DES. The InAs surface 2DES also has substantial Rashba SOI.\(^16\)

As shown in Fig. 1, each sample consists of two immediately neighboring twin serpentine mesas. The serpentine increase the observed signal by increasing the channel length to width ratio, and were transferred from 3.75 \(\mu\)m thick \(n\)-InAs films, grown on GaAs (001) substrates through metal organic chemical vapor deposition. For each sample, onto only one of the twin mesas \(0.01\ \mu\)L of \(6 \times 10^{-4}\) M aqueous solution of a RE nitrate solution is deposited, and then air dried (circled in Fig. 1). Prior to a detailed discussion of the method, its capabilities and its assumptions, in Fig. 2 we compare low-\(B\) AL data obtained at a temperature \(T = 0.4\) K for twin mesa samples, each with one mesa respectively bare of ions [Fig. 2(a)] and the other covered with an aqueous nitrate solution of Sm\(^{3+}\), Gd\(^{3+}\), or Ho\(^{3+}\) and dried [Fig. 2(b)]. The magnetoresistance (MR) is presented as \(\Delta R(B)/R(0)\) normalized to \(R_0 = R(B = 0)\), where \(R\) stands for the longitudinal resistance (transport coefficient \(R_{xx}\)). Measurements occur by standard four-contact low-frequency lock-in techniques. Clear AL phenomena occur as evidenced by a sharp positive MR for \(B \sim 0\), crossing over to negative MR at higher \(B\). Clearly also, the AL data in Figs. 2(a) and 2(b) differ, demonstrating the sensitivity of AL to surface species. The twin mesas are part of the same sample, experience the same processing apart from RE solution coverage, and experience the same cooldown to the experiment \(T\) (0.4 \(\leq T \leq 5\) K). The AL signals are comparatively measured on the bare and covered twin mesas, and it is from the comparative (rather than absolute) data that conclusions are drawn. The paper discusses the interpretation of the comparative AL data.
The air-dried RE nitrate solutions leave a residual film with defined edges. The film forms a visual indication of the RE species concentration on the InAs surface, and reveals a higher concentration (and thus RE species effective areal density) at the edge of the deposited area. Atomic force microscopy shows no cluster formation, however. Since the signal \( \Delta R(B) \) results from an average over the serpentine mesas, we infer that the difference in \( \Delta R(B) \) between bare and covered mesas reflects a RE areal density averaged over the covered mesa as well. While the averaging limits a detailed study of the dependence on RE density, the method does allow a comparative understanding of the interaction between surface electrons and the different RE species, as presented below. The average surface RE ion density is estimated at \( n_{\text{RE}} \approx 10^8/\mu\text{m}^2 \). The solutions are prepared by dissolving \( \text{RE(NO}_3)_3 \cdot n\text{H}_2\text{O} \) (where \( n = 5 \) for Gd and Ho, and 6 for Sm) in deionized water, and the starting nitrate solutions have the RE ions in their +3 oxidation states, a relatively stable state.\(^{17}\) Given the low concentration of the solutions (\( 6 \times 10^{-4} \) M) and complete miscibility of the nitrate salts, a RE nitrate is appreciably hydrated, reducing the probability that RE ions will approach each other, interact, and bond or hybridize with the substrate. Contributions to the AL signal from the fabrication process, exposure to deionized water, and subsequently air dried, was characterized, and no difference in the AL signal was observed. To assess the contributions of the nitrate ions, a Bi\(^{3+}\) nitrate solution of the same concentration as the RE solutions was used. Bi\(^{3+}\) ions have a spin angular momentum quantum number \( S = 0 \), and thus any comparative changes in the AL data measured between the Bi ion covered versus bare mesa may be attributed to the presence of nitrate ions (data not shown). We do not observe any significant differences in the AL data in these controls, and hence our measurements probe the interactions between the RE ions and InAs surface electrons.

The transverse \( (R_{xy}, \text{Hall}) \) and longitudinal \( (R_{xx} = R) \) transport coefficients in Figs. 3(a) and 3(b) indicate the presence of two carrier types with different carrier density \( n \) and mobility \( \mu \). By applying a two-carrier fit, we assign one type to the surface accumulation layer electrons with (e.g., in Fig. 3) surface density \( n_s = 0.59 \times 10^{12} \text{ cm}^{-2} \) and surface mobility \( \mu_s = 22,000 \text{ cm}^2/\text{Vs} \), and the other to the bulk electrons with bulk density \( n_b = 2.3 \times 10^{16} \text{ cm}^{-3} \) and bulk mobility \( \mu_b = 4100 \text{ cm}^2/\text{Vs} \). Table I shows the transport properties for the samples discussed here, including the diffusion coefficients \( D \) for the surface electrons. For a given sample, mobilities and densities do not vary in the range of the experimental temperatures. Mobilities and densities vary per sample, yet no significantly systematic variation caused by solution coverage is observed. The values of \( n_s \) are consistent with previous studies.\(^{14,15}\) The assignation is confirmed by a self-consistent calculation, using nonparabolicity in the InAs dispersion, with a \( \Gamma \)-point effective mass of 0.024 and low \( T \) band gap of 418 meV. Figure 4 depicts a schematic band structure and the probability density \( |\Psi|^2 \) associated with the surface electron wave function. From \( n_s \), the Fermi level \( E_F \) is calculated at 12 meV above \( E_C^b \), the location of the bulk conduction band. We calculate a downward band bending of 130 meV toward the surface.\(^{15}\) Pinning the conduction band at \( E_C^b \) at the surface. The trace \( E_C^b \) to \( E_C^s \) in Fig. 4 forms a triangular quantum well close to the surface. Within the triangular quantum well approximation, use of \( n_s \) locates the first subband energy \( E_1 \) at 83 meV below \( E_F \). The second subband energy would then fall above \( E_F \), indicating a single subband system, consistent with the two-carrier fit. The approximate width of the triangular well is calculated at 25 nm.
and for all samples the Fermi wavelength is about 30 nm. The two-carrier fit is performed for each individual mesa and \( n_x \) and \( \mu_x \) determined, as summarized in Table I.

Figures 2 and 5 show \( \Delta R(B)/R_0 \), where \( R(B) \) was symmetrized to remove components resulting from residual \( R_{xy} \) or other slight electronic shifts, and where the parabolic background due to the classical two-carrier MR was subtracted.

Table I. Surface accumulation layer electron transport properties: \( n_x, \mu_x, \) and \( D, \) at \( T = 0.4 \) K.

<table>
<thead>
<tr>
<th></th>
<th>Covered mesa (Ho(^{3+}))</th>
<th>Bare mesa (Ho(^{3+}))</th>
<th>Covered mesa (Sm(^{3+}))</th>
<th>Bare mesa (Sm(^{3+}))</th>
<th>Covered mesa (Gd(^{3+}))</th>
<th>Bare mesa (Gd(^{3+}))</th>
</tr>
</thead>
<tbody>
<tr>
<td>( n_x (10^{12} \text{ cm}^{-2}) )</td>
<td>0.61</td>
<td>0.55</td>
<td>0.63</td>
<td>0.59</td>
<td>0.61</td>
<td>0.69</td>
</tr>
<tr>
<td>( \mu_x (10^5 \text{ cm}^2/\text{V s}) )</td>
<td>2.4</td>
<td>2.1</td>
<td>2.5</td>
<td>2.2</td>
<td>3.0</td>
<td>2.1</td>
</tr>
<tr>
<td>( D (10^9 \text{ cm}^2/\text{s}) )</td>
<td>1.2</td>
<td>0.9</td>
<td>1.2</td>
<td>1.0</td>
<td>1.5</td>
<td>1.1</td>
</tr>
</tbody>
</table>

\[
\Delta \sigma_2(B) = \frac{e^2}{2\pi^2\hbar} \left\{ -\left[ \psi \left( \frac{1}{2} + \frac{B_0}{B} \right) - \psi \left( \frac{1}{2} + \frac{B_i + B_{SO} + B_s}{B} \right) + \frac{1}{2} \psi \left( \frac{1}{2} + \frac{B_i + 2B_s}{B} \right) - \frac{1}{2} \psi \left( \frac{1}{2} + \frac{B_i + 2B_{SO}}{B} \right) \right] \right.
\]

\[
+ \left. \left[ \ln \left( \frac{B_0}{B} \right) - \ln \left( \frac{B_i + B_{SO} + B_s}{B} \right) + \frac{1}{2} \ln \left( \frac{B_i + 2B_s}{B} \right) - \frac{1}{2} \ln \left( \frac{B_i + 2B_{SO}}{B} \right) \right] \right\},
\]

\[ B_0 = \hbar/(4eD\tau_{SO}), \] and \( B_{SO} - B_i \). As a result, only two of three unknown parameters can be independently determined from one data set. Two data sets, on twin bare and solution-covered mesas, are necessary to determine \( B_i, B_{SO}, \) and \( B_s \). On the bare mesas, no intentional magnetic impurities are introduced, and we assume \( B_i \to 0 \), resulting in \( B_{SO} \to B_i \) and \( B_{SO} - B_i \to B_{SO} \). For the bare mesas \( B_i \) and \( B_{SO} \) can then be determined from the data. From experiments on metal systems\(^1\) it is known that magnetic impurities introduce spin-flip scattering and additional spin-orbit scattering, but do not affect inelastic scattering. Thus \( \tau_i \) can be assumed the same for bare and covered twin mesas fabricated on the same sample. Then this \( \tau_i \) yields a value for \( B_i \) for the corresponding covered mesa by using \( B_i = \hbar/(4eD\tau_{SO}) \) with \( D \) as measured.

![FIG. 4. (Color online) Schematic band structure at the surface of InAs, where the 2DES has a single occupied subband (the energy levels are explained in the text, and \(|\Psi|^2\) represents the probability density) in arbitrary units, with \(|\Psi|^2 = 0\) taken at the \( E_1 \) line.](image)

![FIG. 5. (Color online) (a) Magnetoresistance due to AL on bare mesa and (b) on covered mesa, both of the Ho\(^{3+}\) sample at (from top to bottom) \( T = 0.4, 0.7, 1.3, 3.0, \) and 5.0 K (1 out of 6 experimental points are plotted, curves offset by \( 2.5 \times 10^{-4} \)). Solid lines are theoretical fits.](image)
in the covered mesa (from Table I). Next \( B_{SO} \) and \( B_i \) are determined for the covered mesa by fitting Eq. (1).

By this method \( \tau_s \), \( \tau_{SO} \), and \( \tau_i \) are obtained for the covered mesas and \( \tau_s \) and \( \tau_{SO} \) (\( \tau_i \rightarrow \infty \)) for the bare mesas.

Figure 5 uses the Ho\(^{3+}\) sample as an example to show the correspondence between data and theoretical fit for both bare and covered mesas at different \( T \). A 5% variation in \( \tau_s \), \( \tau_{SO} \), and \( \tau_i \) can be applied to the values obtained from the fits and still obtain a good correspondence with the data, and 5% error bars are thus applied to the remainder of the work. Different fitting models apart from Eq. (1) also lead to different values, varying by up to 30%. However, it is important to note that the relative values of \( \tau_i \), \( \tau_{SO} \), and \( \tau_s \), between bare and solution-covered twin mesas, and between different \( T \) values, do not vary significantly if the same model is applied consistently. Likewise, the uncertainty in transport parameters (such as \( D \)) will not affect the relative values of \( \tau_i \), \( \tau_{SO} \), and \( \tau_s \) either. Hence reliable information can be drawn from the comparative trends related to the RE ion and under varying \( T \), although absolute values for the scattering times cannot be quoted to better than 30%.

Figures 6 and 7 contain the experimental results, presented as scattering rates as function of \( T \) (with associated error bars). The modification of the scattering rates due to the presence of the RE ions is assigned predominantly to the single-subband surface electrons and not to the bulk electrons since the proximity of the surface electrons to the surface local moments enhances the interactions. The AL analysis also proceeds under the approximation that we may neglect the AL contribution from the bulk layer without introducing substantial distortion of the results. This approximation rests on the fact that SOI in the bulk is small compared to the strong Rashba SOI at the surface, and hence the bulk contribution to the AL signal is expected to be smaller than the surface contribution. Moreover, the twin-mesa comparative approach (bare vs covered mesas) emphasizes the difference in AL signal due to RE ion coverage, a difference likely to be dominated by the surface contribution. In the AL analysis we have also neglected the electron-electron scattering between surface and bulk electrons, because an upper bound for the electron-electron scattering rate \( \tau_{ee}^{-1} \) can be estimated\(^{11}\) to lie in the range \( 0.3 \times 10^{10} < \tau_{ee}^{-1} < 5 \times 10^{10} \text{s}^{-1} \) for \( 0.4 \leq T \leq 5 \text{ K} \). The rate \( \tau_{ee}^{-1} \) is thus expected to be about an order of magnitude slower than the other scattering rates, \( 10^{11} < \tau_{so}^{-1} < 10^{12} \text{s}^{-1} (\alpha = i, \text{SO}, s) \), and neglect of electron-electron scattering, especially between spatially separated surface and bulk electrons, is justified.

Figure 6 shows the \( T \) dependence of \( \tau_i^{-1} \), adopted as common for both bare and RE ion-covered mesas. The linear dependence \( \tau_i^{-1} \sim T \) is consistent with dominant Nyquist decoherence\(^{21}\) arising from fluctuations in the electromagnetic background. The spin-orbit scattering rate \( \tau_{SO}^{-1} \) increases in the presence of RE ions, as comparison in Fig. 6 demonstrates. SOI is influenced by atomic weight, and Fig. 6 demonstrates that heavy elements such as the REs can increase the average SOI experienced by carriers in their proximity, leading to the observed experimental result. The increase by adatom coverage has been observed in metals systems\(^{7}\) and has been proposed as a means of increasing the average SOI in low-SOI systems like graphene.\(^{22}\) Figure 6 indicates that for both bare and covered mesas \( \tau_{SO}^{-1} \) is not strongly \( T \) dependent within the experiment range of \( T \), consistent with previous discussions.\(^{23}\)

The residual \( T \) dependence, and the small differences in relative magnitudes of the increase in \( \tau_{SO}^{-1} \) dependent on RE

FIG. 6. (Color online) Different scattering rates \( \tau_i^{-1} (\alpha = \text{SO or i}) \) vs \( T \) for (a) Sm\(^{3+}\)(triangles) sample; (b) Gd\(^{3+}\)(stars) sample; and (c) Ho\(^{3+}\)(circles) sample. In all the graphs solid symbols stand for \( \tau_{SO}^{-1} \) of the ion-covered mesa; open symbols stand for \( \tau_{SO}^{-1} \) of the bare mesa; and half-solid symbols stand for \( \tau_{SO}^{-1} \) of both mesas, sharing the same value. Solid lines form guides to the eye. Error bars are indicated.

FIG. 7. (Color online) The spin-flip rate \( \tau_{so}^{-1} \) of ion-covered mesas vs \( T^{1/2} \). Solid lines form guides to the eye. Error bars are indicated.
effective magnetic moments due to partially filled 4f shells of the RE ions are characterized by paramagnetic behavior with properties which will be discussed below. Free from a substrate the RE ions are characterized by paramagnetic behavior with effective magnetic moments due to partially filled 4f shells. The effective moments  \( \mu_{\text{eff}} \) are expressed in Bohr magnetics (μB), with Sm\(^{3+} \) having \( \mu_{\text{eff}} = 1.5 \mu_B \), Gd\(^{3+} \) having \( \mu_{\text{eff}} = 8.0 \mu_B \), and Ho\(^{3+} \) having \( \mu_{\text{eff}} = 10.4 \mu_B \). The spin \( S \), orbital \( L \), and total angular momentum quantum numbers \( J \) of the RE ions in their ground state are as follows, following Hund’s rules: Sm\(^{3+} \) has \( S = 5/2 \), \( L = 5 \), and \( J = 5 \); Gd\(^{3+} \) has \( S = 7/2 \), \( L = 0 \), and \( J = 7 \); Ho\(^{3+} \) has \( S = 2 \), \( L = 6 \), and \( J = 8 \). One may expect paramagnetic behavior to be maintained on a substrate since the 4f shells are partially shielded by the outermost s and p shells and crystal field effects will hence be small. However, the magnetic moments of the RE ions may still be locked to specific orientations by interaction with the substrate atoms, or by Rudermann-Kittel-Kasuya-Yosida (RKKY) interactions,\(^{3,24,25} \) leading to spin-glass behavior. Both a paramagnetic system or a spin-glass lead to spin-flip scattering. To identify which of the two is occurring on the InAs surface, we have to ascertain how respectively paramagnetic behavior and \( T \)-dependent spin-glass formation influence \( \tau^{-1}_S \). Spin glasses can occur with the axes of the moment distributed isotropically (Heisenberg spin glass), or aligned parallel or antiparallel (Ising spin glass). The present case of strong spin-orbit scattering (\(\tau^{-1}_S \gtrsim\tau^{-1}\)) however, both spin glasses are predicted to lead to the same reduction in \(\tau^{-1}_S \) by \(S/(S+1)\) compared to the free-spin case.\(^{24,26} \)

For Sm\(^{3+} \) and Gd\(^{3+} \) Fig. 7 shows a \( \tau^{-1}_S \) independent of \( T \). The higher spin-flip rate observed for Gd\(^{3+} \) correlates with its higher moment (8.0 \( \mu_B \), compared to 1.5 \( \mu_B \) for Sm\(^{3+} \)). For Sm\(^{3+} \) and Gd\(^{3+} \) we observe no \( T \) dependence in the data, and hence no conclusion can be drawn about spin-glass behavior. For Ho\(^{3+} \) Fig. 7 shows a dependence \( \tau^{-1}_S \sim T^n \) with \( n \approx \frac{1}{2} \). We note that paramagnetic alignment due to the B applied normal to the sample is expected to be negligible. The fraction of the RE ions aligned along the applied B can be calculated as \( f = g J \mu_B B(x)/\mu_{\text{eff}}, \) with \( x = g J \mu_B B/(k_B T) \), and with \( g \) the Landé factor, \( B(x) \) the Brillouin function, and \( k_B \) the Boltzmann constant. In the experimental range analyzed for AL, \( B/T \) reaches 300 G/K, at \( T = 0.4 \) K and \( B = 120 \) G. A maximal paramagnetic alignment \( f \) of 0.32% is then expected for Sm\(^{3+} \), 5.2% for Gd\(^{3+} \), and 7.2% for Ho\(^{3+} \). The effect of the alignment on the average spin-flip rate can be calculated by considering the fraction \( f \) of aligned spins and multiplying the rate by \( S/(S+1) \) for this fraction. From this calculation, when lowering \( T \) from 5 to 0.4 K paramagnetic alignment is expected to reduce \( \tau^{-1}_S \) by a factor of 0.999 for Sm\(^{3+} \), of 0.988 for Gd\(^{3+} \), and of 0.976 for Ho\(^{3+} \). The relative reduction in \( \tau^{-1}_S \) due to any paramagnetic alignment during the experiment is thus small, and below the measurement threshold for all RE ions. Moreover, for Ho\(^{3+} \), a complete alignment due to spin-glass formation at \( T = 0.4 \) K starting from a free-spin case at \( T = 5 \) K would lead to reduction of \( \tau^{-1}_S \) by a factor \( S/(S+1) = \frac{1}{2} \) on cooling from 5 to 0.4 K. Yet, the experimentally determined ratio of the spin-flip rates at 0.4 K (\( \tau^{-1}_S = 0.067 \) ps\(^{-1} \)) and at \( T = 5 \) K (\( \tau^{-1}_S = 0.16 \) ps\(^{-1} \)) is 0.42, a stronger reduction than the maximal spin-glass case can account for. Hence, whereas no conclusion can be drawn about spin-glass formation for Sm\(^{3+} \) and Gd\(^{3+} \), for Ho\(^{3+} \) spin-glass formation is unlikely to lie at the origin of our observations.

The dependence \(\tau^{-1}_S \sim T \) observed for InAs electrons interacting with Ho\(^{3+} \) was also observed in Kondo systems below their Kondo temperature (\( T_K \)), formed between itinerant electrons and local impurity moments.\(^{5,26} \) At low \( T \) a single Kondo impurity forms a spin-singlet state with surrounding electrons within a Kondo cloud. Below \( T_K \) the moment of the magnetic Kondo impurity is screened by the electrons, and spin-flip scattering is then increasingly suppressed as \( T \) is lowered below \( T_K \), while reaching a maximum at \( T_K \). The present experiments are limited to \( T < 5 \) K due to the requirement of quantum coherence for the AL signal. However, from the monotonous decrease in \( \tau^{-1}_S \) with decreasing \( T \) we deduce that if Kondo physics is indeed active, then the data indicate \( T_K > 5 \) K. However, in our n-InAs system the low electron density and comparatively dense ion coverage, the high spin from the underscreened RE ions, and the strong SOI exclude such a high \( T_K > 5 \) K. The observed \( T \) dependence of \(\tau^{-1}_S \) in the Ho\(^{3+} \) case can thus not be explained by the formation of a Kondo system.

An origin for the \(\tau^{-1}_S \sim T \) observed for InAs electrons interacting with Ho\(^{3+} \) may lie in the large number of low-lying energy levels generated by the incompletely filled 4f levels of RE ions, leading to characteristically dense energy spectra. For triply ionized RE ions, crystal field effects typically do not lead to a major rearrangement of energy levels,\(^{29} \) and relevant energy levels can thus approximately be identified from Ho\(^{3+} \) spectra in various solid-state environments. The existence of spin flips in the electron system implies a change in \( S \) for the RE ion. We thus want to identify transitions from the \( I_B \) ground state of Ho\(^{3+} \) to states of different \( S \), within \( k_B T \approx 0.5 \) meV from the ground state at our measurement temperatures. The 4f electrons in RE ions form multiplets arising from SOI following the Russell-Saunders approximation, with splitting between multiplet levels of \( \lambda L - S \), where \( \lambda \) is the SOI constant for particular \( L \) and \( S \) in the RE ion. The multiplet levels are characterized by a \( J \) value with \( 2S + 1 \) possible values. The energy difference between the ground state \( I_B \) and the next multiplet member \( I_J \) is however 626 \( > 0.5 \) meV,\(^{30} \) and \( I_B \) and \( I_J \) share the same \( S = 2 \) value. The excited multiplet \( 3K_B \) state of Ho\(^{3+} \) however, situated 5.1 meV above \( I_B \), can answer the criteria for thermally excited spin-flip transitions, especially if the levels are further split or broadened due to proximity to the InAs surface. In previous work,\(^{31,32} \) it has been noted that the ground state \( I_B \) has substantial admixture of \( 3K_B \) states, reinforcing the possibility of transitions mediated by InAs surface electrons, with accompanying spin flip. We note that, compared to Ho\(^{3+} \), Sm\(^{3+} \) has a low \( \mu_{\text{eff}} = 1.5 \mu_B \),
which will reduce the spin-flip rate, while Gd$^{3+}$ has $L = 0$, suppressing the splitting due to $\mathbf{J} \cdot \mathbf{L} \cdot \mathbf{S}$, and hence has a spectrum characterized by a large ($\approx 4$ eV) gap between its $^5\!S_2$ ground state and the next excited state. Apart from multiplet level splittings, Ho$^{3+}$ is susceptible to other mechanisms leading to finer level structure. From the lifetime of resonance levels, it is known that coupling between crystal electric fields and the hydrated salts of the outlying lanthanide ions such as Ho$^{3+}$ is higher than for midseries lanthanide ions (here particularly Gd$^{3+}$ but also Sm$^{3+}$). The higher coupling to the environment for Ho$^{3+}$ may lead to an electric Stark splitting of levels into $2J + 1$ closely spaced levels, with a spacing sensitive dependent on the magnitude and symmetry of the environmental electric field. Hyperfine splitting should also be considered particularly again for Ho$^{3+}$ due to the large value for its nuclear magnetic moment (in nuclear magnetons: 4.01 for Ho, compared to ~0.21 for Sm and ~0.11 for Gd averaged over their isotopes). A splitting of ~1.5 meV has been quoted in range for thermal excitations in the present experiments although the precise value will depend on environmental factors. An exact quantitative assessment of the transitions responsible for our experimental observation that the spin-orbit interaction can be modified by the proximity of rare earth ions. We also demonstrate an accordance between the modified surface electron spin-flip rates and the rare earth ion energy level structures and magnetic moments.

In conclusion, using antilocalization measurements we observe spin interactions between two-dimensional electrons at the surface of InAs and local moments from rare earth ions Sm$^{3+}$, Gd$^{3+}$, and Ho$^{3+}$ deposited on the surface. The measurements provide the magnitude and temperature dependence of the spin-flip rate, the spin-orbit scattering rate, and the inelastic scattering rate of the InAs surface electrons as modified by the rare earth ions. The experiments show that the strength of the spin-orbit interaction can be modified by the proximity of rare earth ions. We also demonstrate an accordance between the modified surface electron spin-flip rates and the rare earth ion energy level structures and magnetic moments.

The authors thank K. Park and T. Dietl for useful discussions. This work was supported by the DOE through Grant No. DOE DE-FG02-08ER46532.

---

1B. G. Wybourne, Spectroscopic Properties of Rare Earths (Interscience, New York, 1965).