

Chapter 4. Analysis and Measurement of Star Spiral Antenna

The star spiral is a type of slow-wave spiral developed to bridge the performance gap in the linear WAVES array detailed in the previous chapter. The linear WAVES array has a gap in coverage between the appearance of grating lobes at the end of the first octave and satisfactory VSWR performance of the second octave antenna element. The benefit of the star spiral is twofold. The slow-wave nature of the star spiral reduces the low frequency cutoff for the spiral and the unique shape of the star spiral allows for tighter array packing. Variations of the star spiral and a genetic algorithm optimization of the star spiral will be presented. Furthermore, measurements and simulations will show a favorable comparison between the star spiral and a circular Archimedean spiral.

4.1 Evolution of Star Spiral

A standard complementary Archimedean spiral is shown in Fig. 4.1. The spiral parameters are as follows: $r_2 = 0.05m$, $N = 16$ turns, and $segments = 40/turn$ for simulation. The results for a circular Archimedean spiral were presented in Chapter 2 and they are shown again here for ease of comparison to the various slow-wave spirals to be studied in this section. Once again, NEC4 is used to simulate all of the spiral antenna elements.

Initial attempts at producing a slow-wave spiral used the standard zigzag profile as shown in Fig. 4.2. The specifications for the zigzag spiral are $r_2 = 0.05m$, $N = 16$ turns, $segments = 40/turn$, and $dr = 7 \times 10^{-6} m$. The parameter dr represents the change in radius of the spiral from circular. The zigzag spiral was created by alternately adding and subtracting from the circular profile of Fig. 4.1 as follows:

$$\rho = \begin{cases} \rho + dr \times segment\#, & segment\# \rightarrow even \\ \rho - dr \times segment\#, & segment\# \rightarrow odd \end{cases} \quad (4.1)$$

The path for each arm of the spiral in polar coordinates is described by ρ and the total number of segments is given by $N \times seg / turn = 16 \times 40 = 640 segments$. So, $dr \times segment\#$ increases as the distance from the center of the spiral increases, creating

an ever-increasing zigzag profile. Many other zigzag profiles were also simulated with similar results to those of the zigzag spiral in Fig. 4.2. A constant zigzag profile, only modifying the even numbered segments, and starting the zigzag profile at some distance from the center have all been examined.

Other, lower frequency zigzag or star shaped, profiles as in Fig. 4.3 were also simulated. To create the spiral of Fig. 4.3, the number of segments was reduced to 16/turn and the star shape was determined by adding to the circular profile at even number segments,

$$\rho = \begin{cases} \rho + dr \times \text{segment\#}, & \text{segment\#} \rightarrow \text{even} \\ \rho, & \text{segment\#} \rightarrow \text{odd} \end{cases} \quad (4.2)$$

The pointed star spiral parameters are $r_2 = 0.05m$, $N = 16$ turns, $\text{segments} = 16/\text{turn}$, and $dr = 1.35 \times 10^{-4}m$. A potential advantage of the pointed star spiral is in array packing where the tip of one spiral can be interleaved with a valley of an adjacent spiral. The spirals of Fig. 4.2 and Fig. 4.3 are representative of the two main types of slow-wave spirals. The zigzag spiral of Fig. 4.2 is still essentially circular with the profile added to increase the outer circumference of the spiral. The star shaped spiral of Fig. 4.3 also has an increased circumference, but the spiral is really no longer circular in nature.

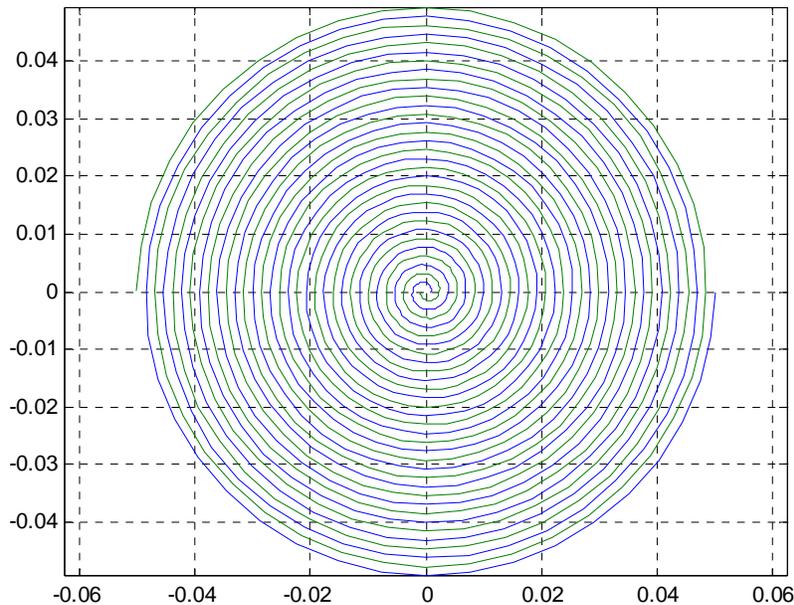


Figure 4.1 Complementary circular Archimedean spiral.

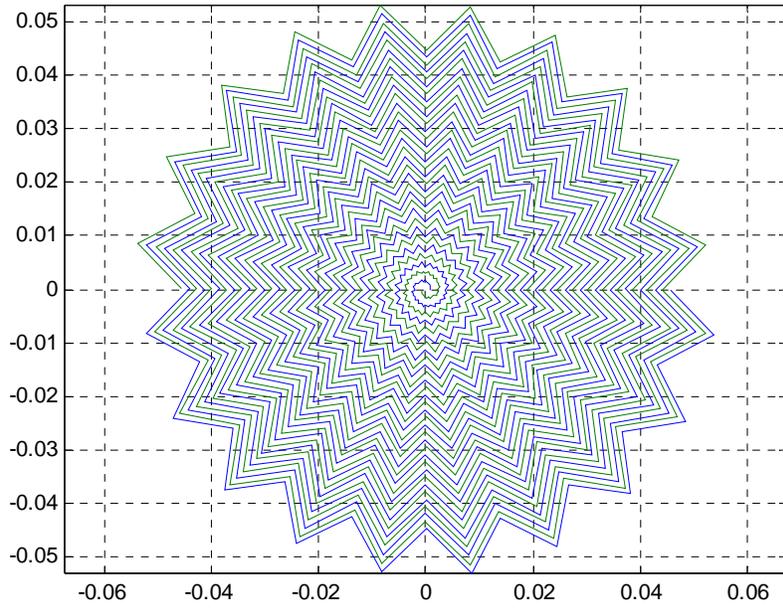


Figure 4.2 Slow-wave spiral with zigzag profile.

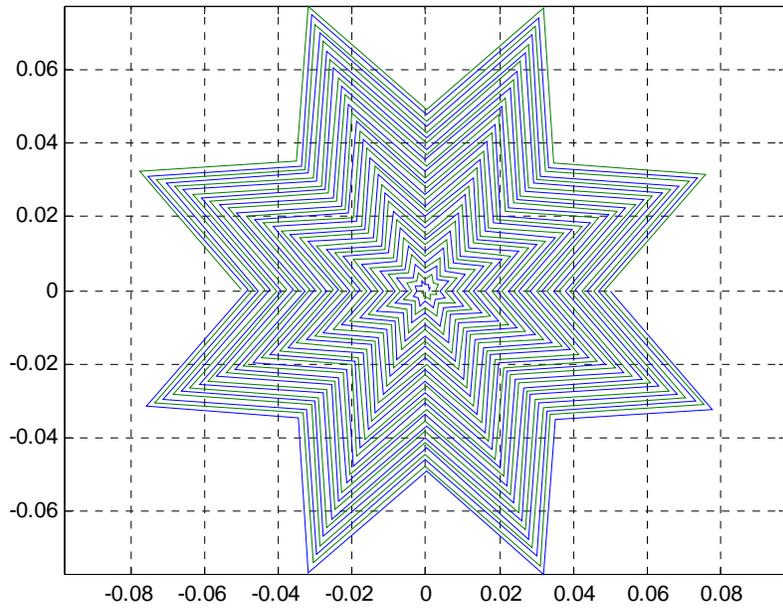


Figure 4.3 Slow-wave spiral with star shaped profile.

The VSWR, gain, and axial ratio results for the two slow-wave spirals are compared with the circular spiral in Fig. 4.4, Fig. 4.5, and Fig. 4.6, respectively. The low frequency cutoff and the length of the last turn of each spiral are summarized in Table 4.1. Note that the percent improvements are compared to the circular spiral standard. Theoretically, the low frequency cutoff of a spiral antenna is found when the length of the last turn of the spiral equals one wavelength, as in (2.9). So, the improvement in low frequency cutoff should correlate to the increase in the length of the last turn of the spiral. Table 4.1 shows that for the zigzag spiral a much larger reduction in the low frequency cutoff is expected based on the increase in length of the last turn. For the pointed star spiral, the low frequency cutoff actually increases, but its last turn length is more than double the last turn length of the circular spiral. Also, from Fig. 4.4 it's apparent that the VSWR for the pointed star spiral is not very stable and it gets worse as dr is increased. Furthermore, the gain for both the zigzag spiral and the pointed star spiral, as seen in Fig. 4.5, is significantly less than the gain of the circular spiral. This is due to the opposing currents created by both the zigzag and pointed star slow-wave profiles. The axial ratio, shown in Fig. 4.6, for the zigzag spiral is slightly worse than that of the circular spiral, particularly between 1000 MHz and 1500 MHz. The axial ratio of the pointed star spiral is acceptable below 2500 MHz, but then begins to break down with increasing frequency.

Table 4.1 Comparison of circular and slow-wave spiral performance.

Spiral Antenna Element	Low frequency cutoff, [MHz]	% Improvement in low frequency cutoff	Length of last turn, [m]	% Increase in length of last turn
Circular	1010	—	0.3042	—
Zigzag	895	11.4%	0.4612	51.6%
Pointed Star	1385	-37.1%	0.6666	119.1%

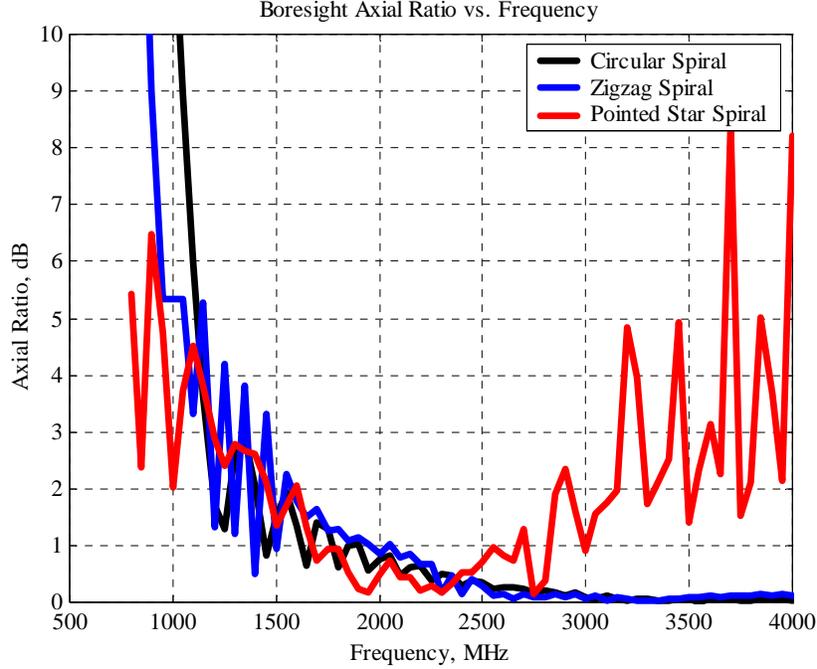


Figure 4.6 Comparison of axial ratio for circular, zigzag, and pointed star spirals.

The reduction in element gain seen in Fig. 4.5 for the slow-wave spirals necessitated further modifications to the slow-wave spiral before it may be used in a WAVES array. A variation of the pointed star spiral was developed with the points flattened out. The goal was to reduce the gain loss by minimizing the opposing currents seen in the pointed star spiral. The result of the first iteration of the star spiral antenna element is shown in Fig. 4.7 with parameters $r_2 = 0.038m$, $N = 16$ turns, $dr = 8.9 \times 10^{-5}$, $\nu = 0$, and $segments = 16/turn$. The parameter ν determines where the star spiral starts or how much of the center of the spiral is purely circular. The star spiral was further improved by using a circular center in the star spiral as in Fig. 4.8. The specs for the spiral of Fig. 4.8 are $r_2 = 0.038m$, $N = 16$ turns, $dr = 1.43 \times 10^{-4}$, $\nu = 24$, and $segments = 16/turn$. The star spiral is based on the 4 segment repeating pattern described below,

$$\rho = \begin{cases} \rho + dr \times segment\# & segments \rightarrow 2 \& 3 \\ \rho & segments \rightarrow 1 \& 4 \end{cases} \quad (4.3)$$

Also, in (4.3) the segment number is bounded by $4\nu \leq \text{segment\#} \leq \text{total segments}$ providing for the circular center when $\nu > 0$.

The VSWR, gain, and axial ratio for both star spirals and, for comparison, the circular spiral is shown in Fig. 4.9, Fig. 4.10, and Fig. 4.11, respectively. Furthermore, the low frequency cutoffs and last turn lengths are summarized in Table 4.2. Both star spiral iterations exhibit approximately an 11% reduction in low frequency cutoff compared to a 24% increase in last turn length. There is still a smaller size reduction than expected but the performance is greatly improved over the zigzag spiral and pointed star spiral. The VSWR for the first star spiral is below 2:1 but the fluctuations with frequency are a concern. This problem has been addressed by using a circular center, which smoothes out the VSWR performance, particularly at higher frequencies, and also reduces the variation in the gain curves.

The gain loss observed for the zigzag spiral and pointed star spiral has also been improved with the star spiral. The gain of the star spiral is on average within 1dB of the gain predicted for the circular Archimedean spiral.

The axial ratio of the star spiral with the circular center is approximately 3dB on average, but exceeds 3dB at many points in the frequency band. An axial ratio of less than 3dB is required for acceptable circular polarization, so the star spiral with the circular center is borderline at best in terms of polarization performance. The axial ratio of the star spiral is unacceptable over most of the frequency range, but it can be improved by using a 4-arm star spiral antenna. Also, the frequency range has been extended up to 8GHz to accommodate the design of three-octave WAVES arrays in Chapter 6.

Table 4.2 Comparison of star spiral and circular spiral performance.

Spiral Antenna Element	Low frequency cutoff, [MHz]	% Improvement in low frequency cutoff	Length of last turn, [m]	% Increase in length of last turn
Circular	1010	—	0.3042	—
Star Spiral	903	10.6%	0.3786	24.5%
Star with circular center	892	11.7%	0.3760	23.6%

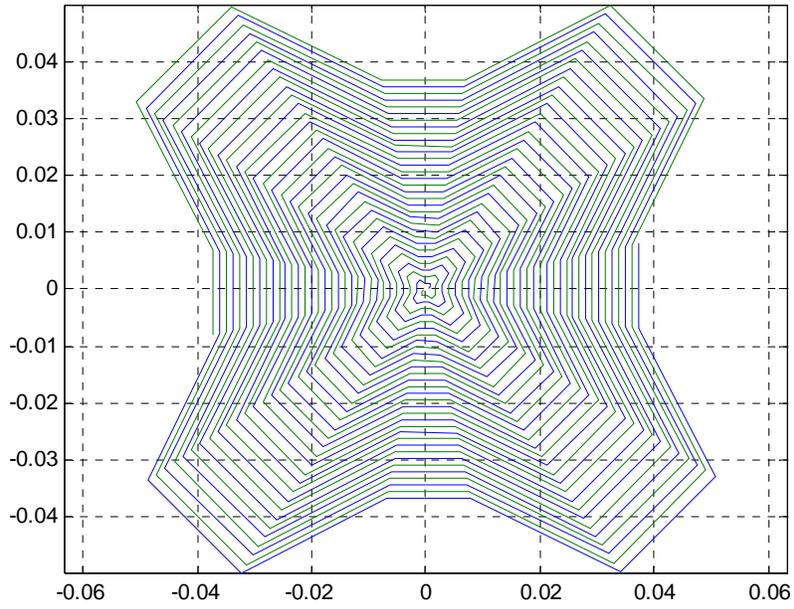


Figure 4.7 First iteration star spiral antenna element.

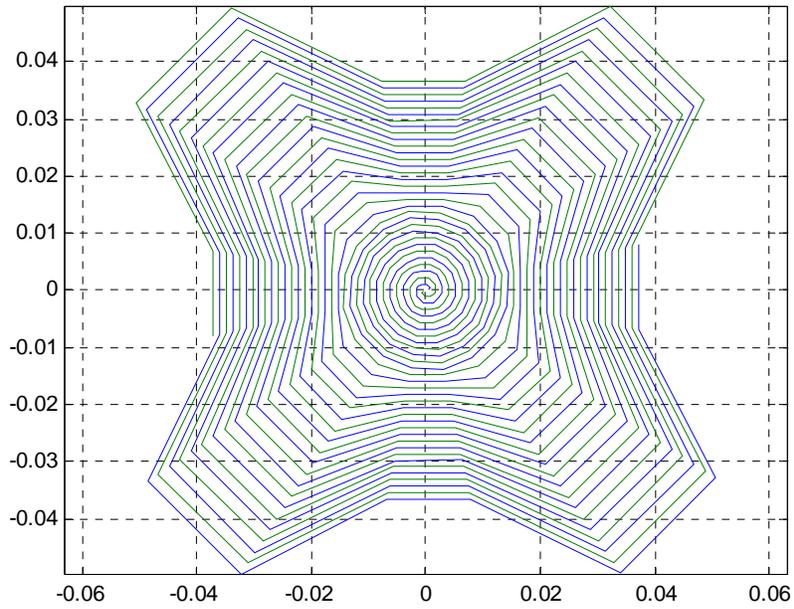


Figure 4.8 Star spiral with circular center.

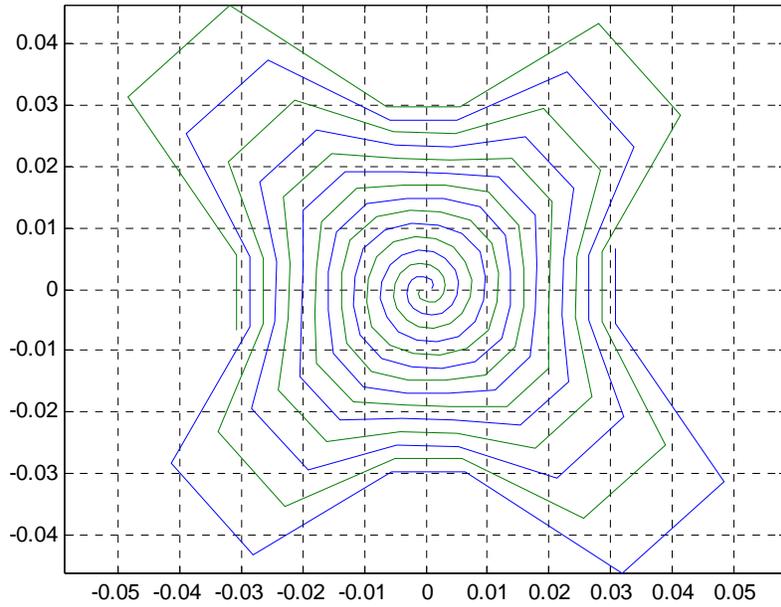


Figure 4.12 Result of first genetic algorithm optimization.

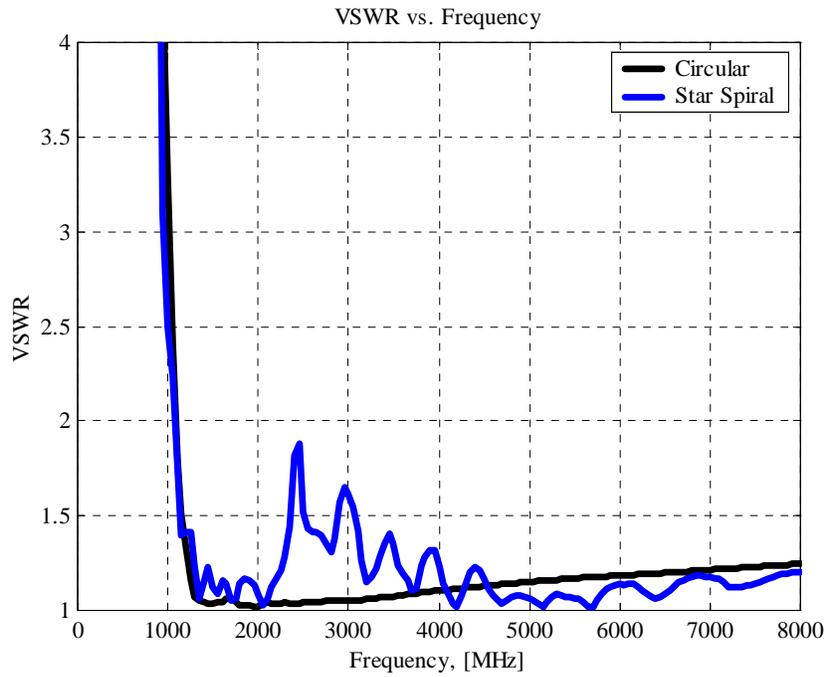


Figure 4.13 VSWR comparison for circular spiral and result of first genetic algorithm optimization (Fig. 4.12).

the star spiral of Fig. 4.12 is plotted in Fig. 4.13 along with the VSWR for an equivalent circular spiral. The equivalent circular spiral has the same linear extent and number of turns as the star spiral so both spirals would occupy the same space in a linear array. This method of determining the equivalent circular spiral will be used throughout the remainder of this dissertation. So, the circular spiral as an outer radius of $r_2 = 0.0484m$ and $N = 7$ turns. The star spiral of Fig. 4.12 has a last turn circumference of 0.3195m and a low frequency cutoff 1074MHz, and the equivalent circular spiral has a last turn circumference of 0.2814m with a low frequency cutoff of 1083MHz. The star spiral provides a 13.5% increase in circumference but only a 0.8% improvement in the low frequency cutoff. The lack of size reduction found in the star spiral of Fig. 4.12 can be attributed to a smaller increase in last turn circumference compared to the star spirals listed in Table 4.2, which have about a 24% improvement in circumference compared to their equivalent circular spiral. Redefining the problem statement and refining the cost function should improve the results of the genetic algorithm.

The second iteration of the genetic algorithm was the same as the previous example with one new parameter. The *trans* parameter, defines a transition region between the circular center of the spiral and the purely star shaped outer turn of the star spiral. The *taper* parameter now is only used in the transition region. This new formulation should allow for the improved circumference seen in Table 4.2 and a more stable VSWR across the band. The outer radius, $r_2 = 0.03149m$, the number of turns, $N = 7$, and the cost function of minimum VSWR at 1100MHz are all the same as the first GA run. Also, a circular offset of $dr = 0.0003$ and a circular center of $v = 8$ was used in this example. Since the outer shape of the spiral is fixed for this example, the goal was to find the spiral with best size reduction for a given last turn circumference and also to minimize fluctuations in the VSWR.

The spiral is formed using the following equation

$$\rho = \begin{cases} \rho + (dr \times segment\#)^{segment\# / taper} & 4v \leq segment\# \leq trans \\ \rho + dr \times segment\# & segment\# > trans \end{cases} \quad (4.5)$$

for segments 2 and 3 and ρ is unchanged for segments 1 and 4 as usual. The results of the second GA run are *taper* = 113 and *trans* = 5, and the spiral is shown in Fig. 4.14.

The star spiral of Fig. 4.14 has a last turn circumference of 0.3375m, an effective radius of 0.0458m, and a low frequency cutoff of 992MHz. The effective radius of the star spiral is the radius of a circular spiral that has the same linear extent as the star spiral. The VSWR for the star spiral and its equivalent circular spiral is shown in Fig. 4.15. The star spiral of Fig. 4.14 shows a 26.7% improvement in last turn circumference and a 12.6% reduction in low frequency cutoff compared to the equivalent circular spiral. The results of the second GA run show a significant improvement in size reduction compared to the first run but the oscillations in the VSWR plot is still a concern.

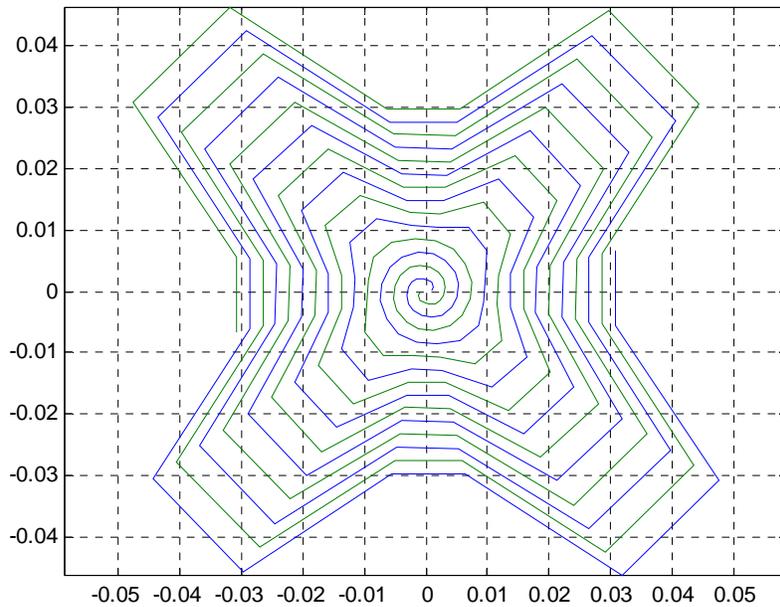


Figure 4.14 Result of second genetic algorithm optimization.

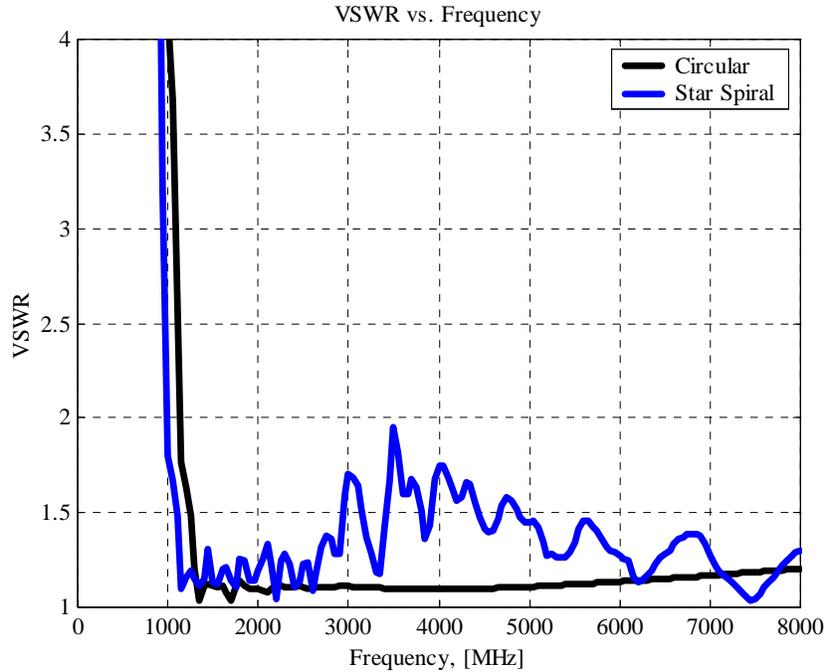
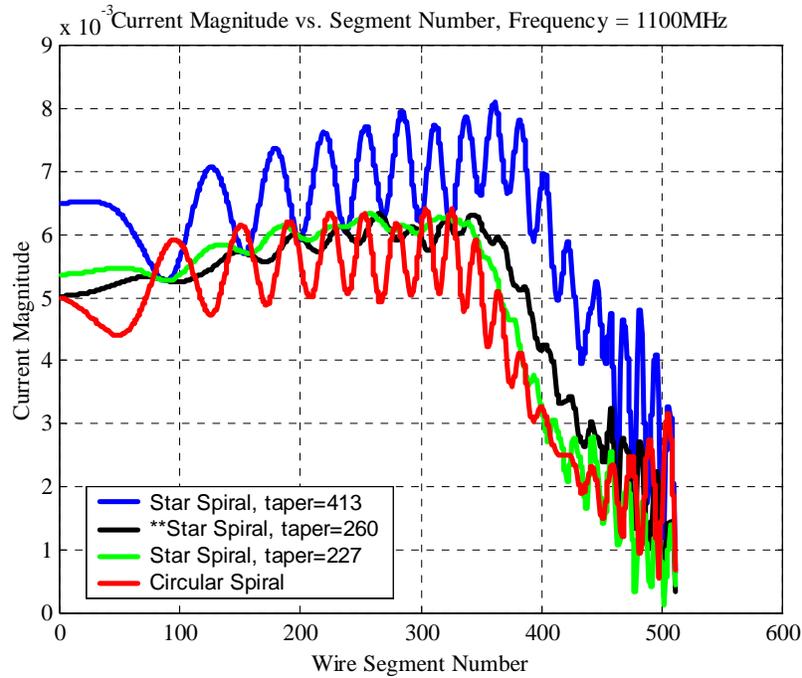


Figure 4.15 VSWR comparison for circular spiral and result of second genetic algorithm optimization (Fig. 4.14).

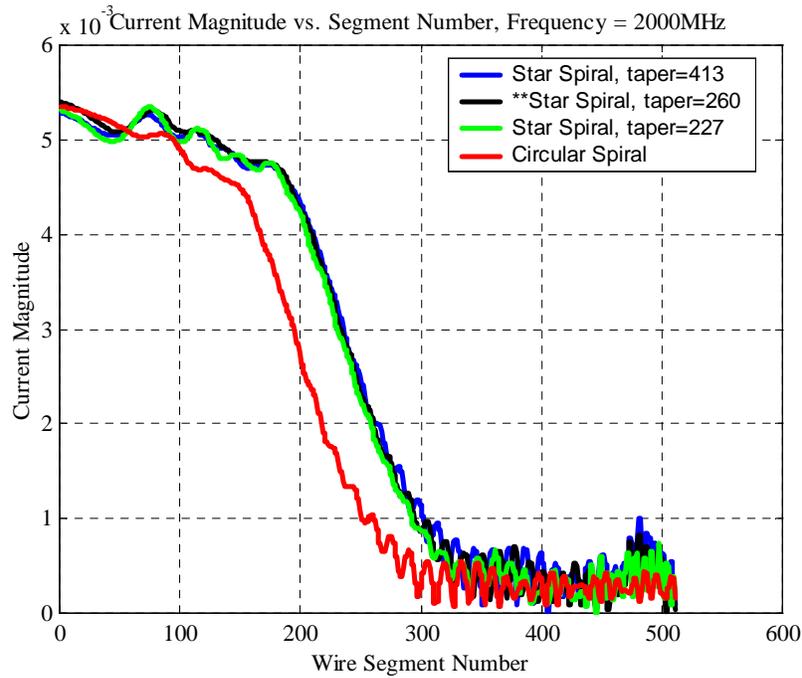
It is apparent from the previous GA runs that a comprehensive optimization is needed to achieve the desired outcome of increased size reduction and a smooth VSWR curve. The final GA run was setup as follows to optimize all six of the star spiral parameters:

$$\begin{aligned}
 r_2 &\Rightarrow r_2 \leq 0.0511 \rightarrow 9 \text{ bits} / 10^4 \\
 N &\Rightarrow N \leq 16 \rightarrow 4 \text{ bits} + 1 \\
 dr &\Rightarrow 7 \text{ bits} / 10^5 \\
 v &\Rightarrow 6 \text{ bits} \\
 taper &\Rightarrow 9 \text{ bits} \\
 trans &\Rightarrow trans \leq total \text{ segments} \rightarrow 8 \text{ bits}
 \end{aligned}
 \tag{4.6}$$

The total linear extent of each star spiral in the optimization was restricted to a maximum of 0.1022m so that each chromosome could be compared to an equivalent circular spiral of radius 0.0511m. This restriction made it much easier to evaluate the performance of each chromosome. Also, from experience gained through trial and error of many simulations it is known that certain geometries do not yield effective antennas, and these types of antennas have been monitored and assigned high cost values without using CPU



(c) Frequency = 1100MHz



(d) Frequency = 2000MHz

Figure 4.22 Current magnitude comparison of three different star spirals with the circular spiral at various frequencies.

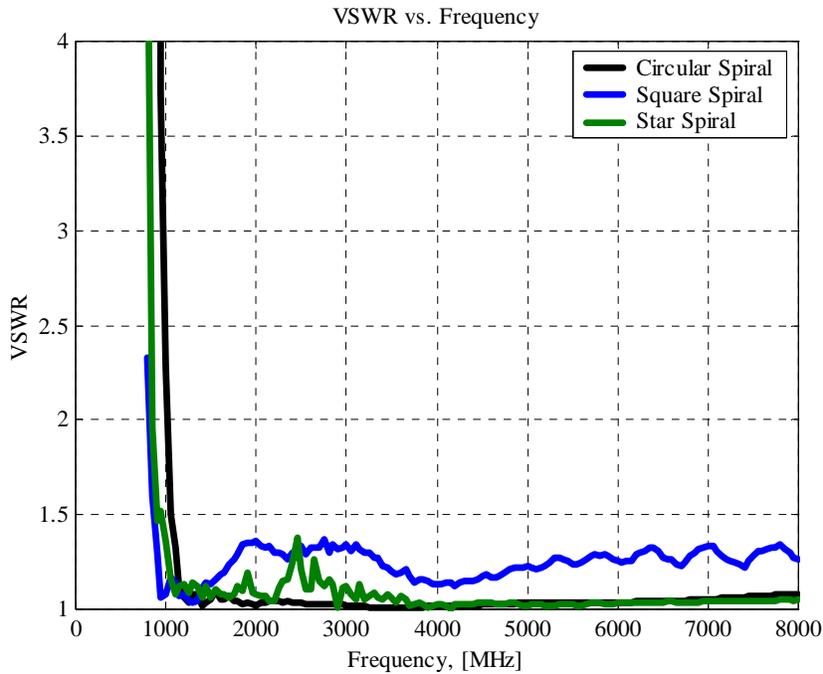


Figure 4.24 VSWR comparison of star spiral with a square and circular spiral.

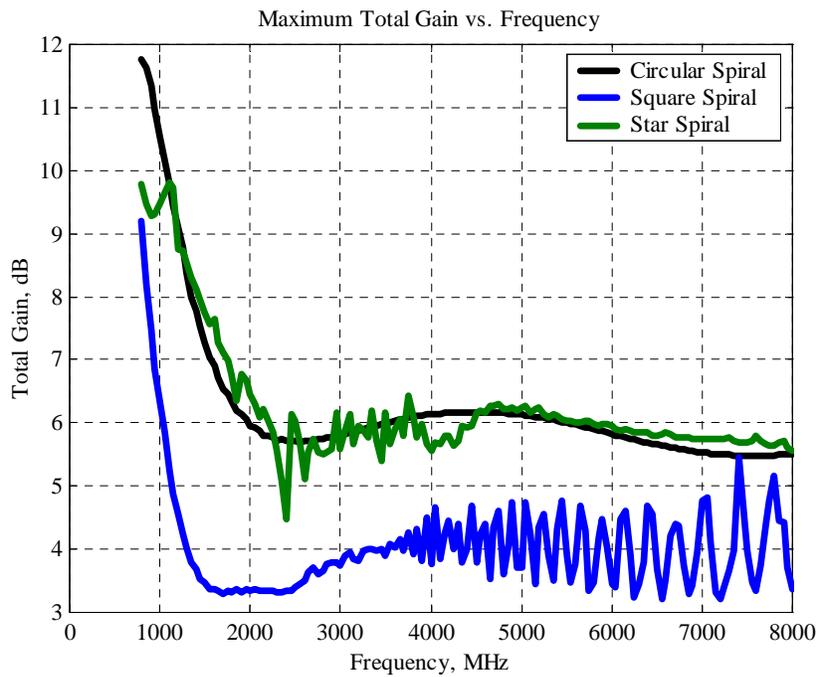


Figure 4.25 Boresight gain comparison of star spiral with a square and circular spiral.

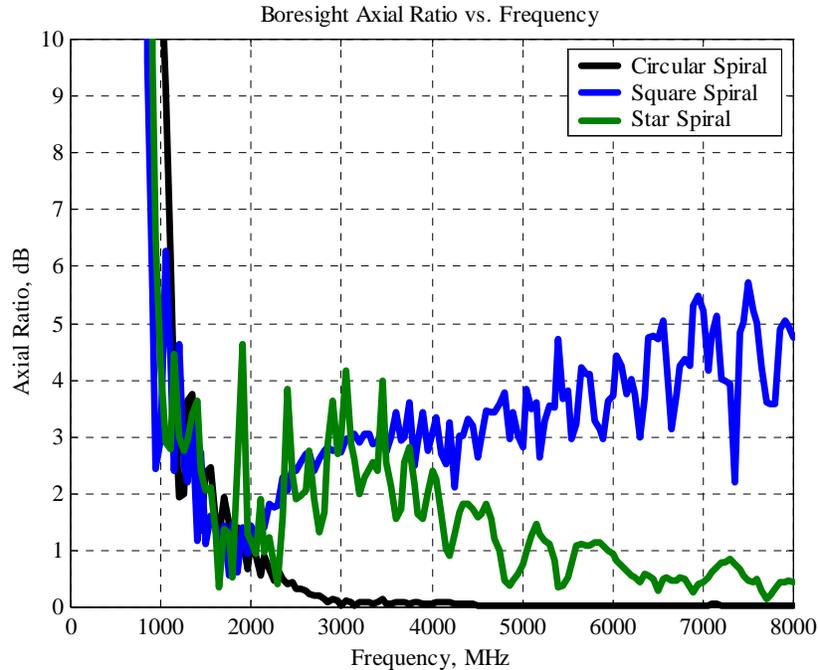


Figure 4.26 Axial ratio comparison of star spiral with a square and circular spiral.

4.4 Measurements of Star Spiral

Impedance, gain, pattern, and axial ratio measurements were performed on the star spiral. The measurements are needed to verify the operation of the star spiral and for validation of the NEC4 simulations. The measurements will be compared to the measurements of the circular Archimedean spiral presented in Chapter 2. A picture of the measured star spiral with parameters $r_2 = 0.0429m$, $N = 16$ turns, $dr = 0.00009$, $\nu = 14$, $taper = 260$, and $trans = 174$ is shown in Fig. 4.27. This star spiral is equivalent in linear extent to the circular spiral measured in Chapter 2. Refer to Table 4.4 for comparison to other spiral geometries.

The measured input impedance of the star spiral is plotted in Fig. 4.28. The star spiral was fed using a coaxial “Y-shaped” feed as seen in Fig. 5.14(b). The measured star spiral compares favorably with the measured circular spiral and it also matches the trends seen in the simulated data. Both the measured star and circular spiral input impedances decrease with increasing frequency instead of remaining constant as expected. This may

be due to the losses in the structure as frequency increases. Fig. 4.29 shows the VSWR for the star spiral and the circular spiral. Both spirals are referenced to 150Ω and the simulated data is matched to 188Ω as always. The measured low frequency cutoff (VSWR < 2:1) of the circular spiral is 946 MHz and 774 MHz for the star spiral to give an 18.2% size reduction, which is slightly more than the 16.6% predicted in simulations. Also, the effect of the small loss in the spiral is apparent from the reduction in low frequency cutoff from 849 MHz to 774 MHz for the measured star spiral compared to the simulated value. An equivalent square spiral was also measured to have a low frequency cutoff of 781 MHz for a size reduction of 17.5%, which is less than the star spiral's 18.2%. The square spiral results are also shown in Fig. 4.29.

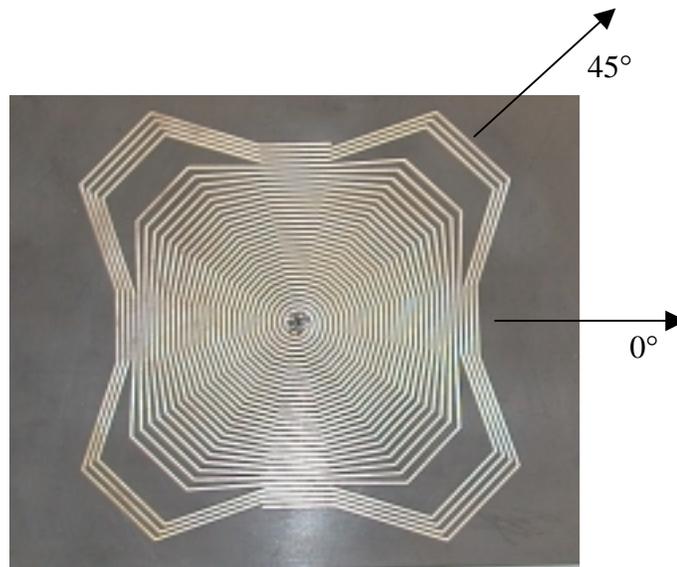


Figure 4.27 Measured star spiral antenna.

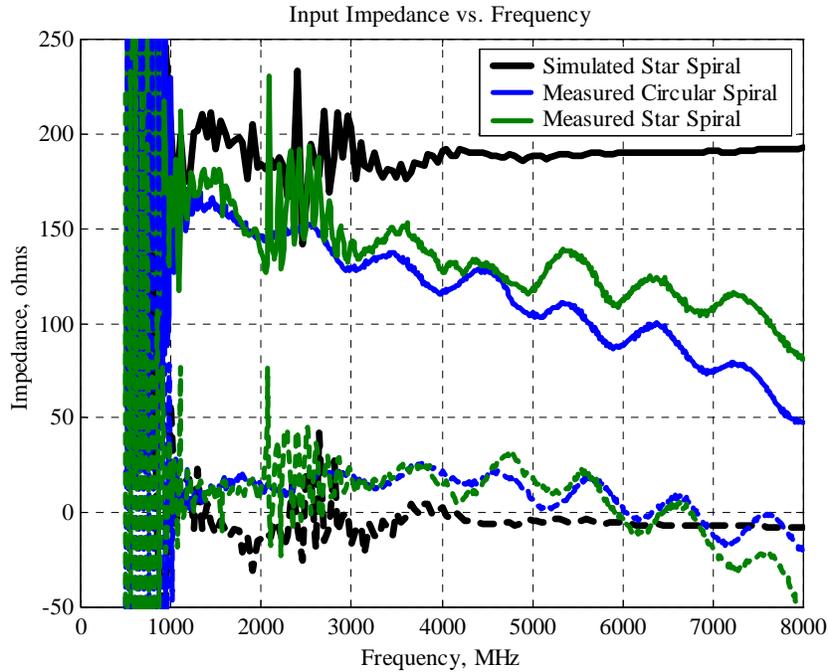


Figure 4.28 Comparison of the measured input impedance of the star spiral of Fig. 4.27 with the circular spiral of Fig. 2.30 and simulated data.

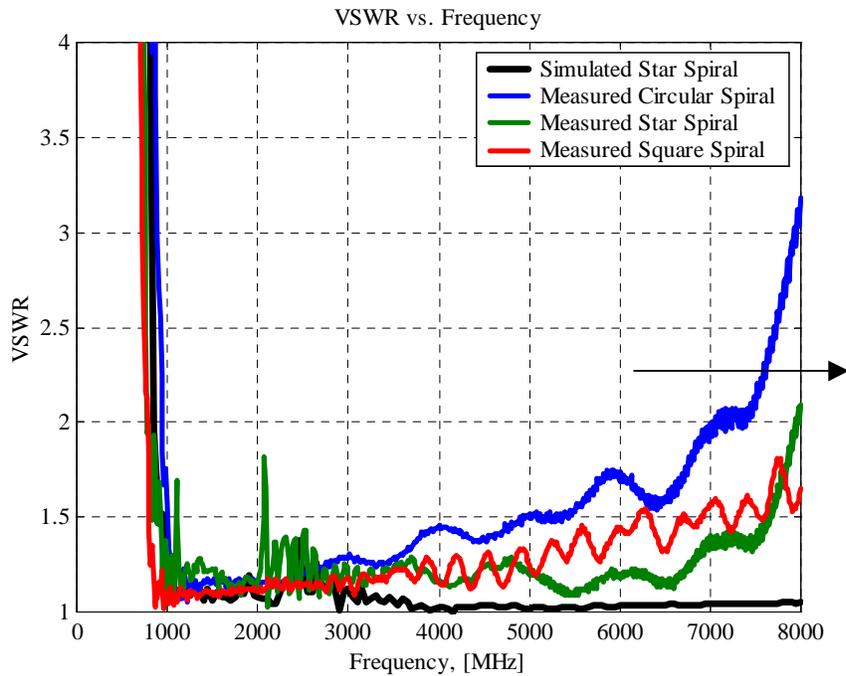


Figure 4.29 Comparison of the measured VSWR of star spiral of Fig. 4.27 with circular spiral of Fig. 2.30, square spiral of Fig. 4.23, and simulated data. The measured data is referenced to 150Ω .

The measured gain of the star spiral is presented in Fig. 4.30. The star spiral gain is shown for both 0° and 45° as defined in Fig. 4.27. In theory the boresight gain should be the same in both planes but the measurements show some variation. As in Chapter 2 for the circular spiral, the gain is also plotted with the impedance mismatch, $1 - |\Gamma|^2$, and hybrid loss removed, which is shown in Fig. 4.31. The measured gain of the star spiral matches very well with that of the circular spiral. It also matches well with the simulated gain at higher frequencies. Both the circular and star spirals showed a higher simulated gain at lower frequencies than was measured.

Fig. 4.32 shows the measured axial ratio of the star spiral. The simulated and measured axial ratio of the star spiral match pretty well over the frequency band. As expected, the axial ratio of the circular spiral is a little better than that of the star spiral, but the axial ratio of the star spiral is better than 3 dB for most frequencies.

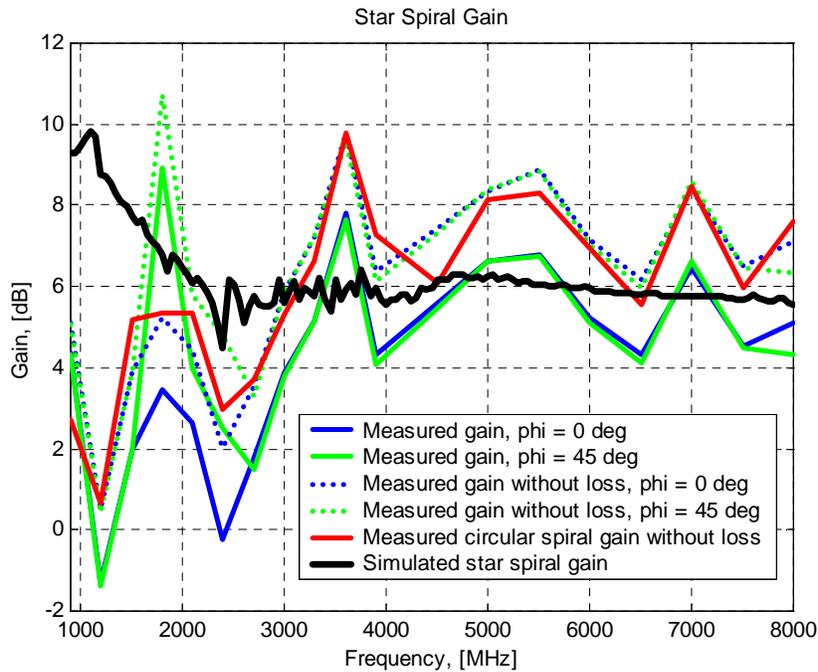


Figure 4.30 Comparison of the measured gain of the star spiral antenna with the circular spiral and simulated data.

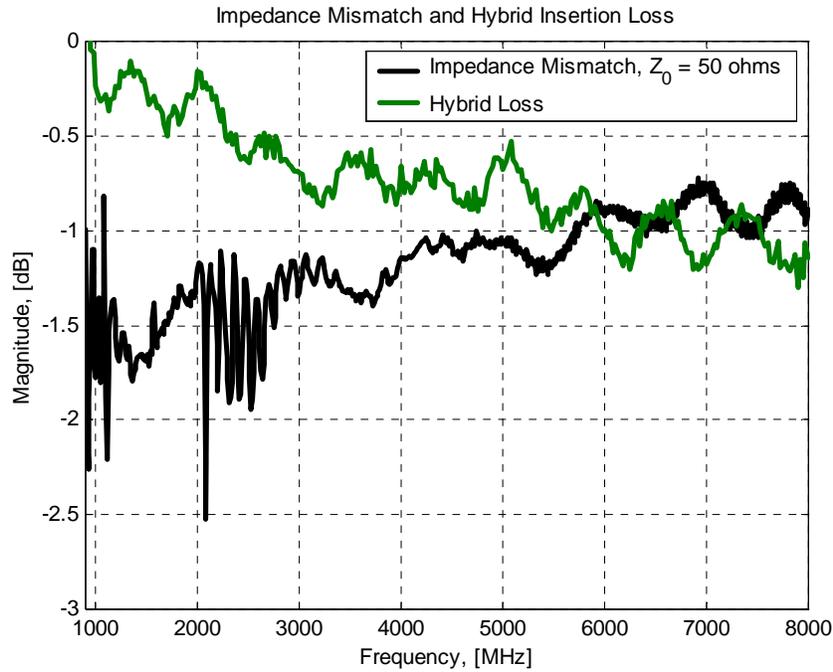


Figure 4.31 Measured impedance mismatch and hybrid loss.

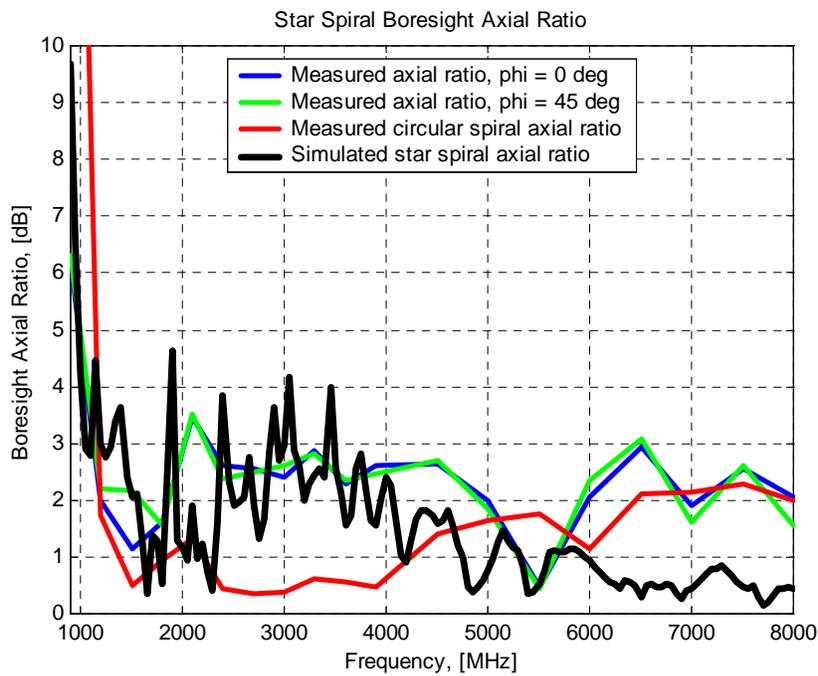


Figure 4.32 Comparison of the measured axial ratio of the star spiral antenna with the circular spiral and simulated data.

The radiation patterns and axial ratio patterns for the star spiral are plotted in Fig. 4.33 and Fig. 4.34, respectively. In general, both patterns match well with simulated results and they are also very similar to the patterns of the circular Archimedean spiral presented in Chapter 2. The radiation patterns and the axial ratio patterns are quite similar in both the $\phi = 0^\circ$ and the $\phi = 45^\circ$ planes as desired, but there is a bit more variation in both patterns for frequencies below 4 GHz. The co-polarized patterns are left circularly polarized and the cross-polarized patterns have right hand circular polarization.

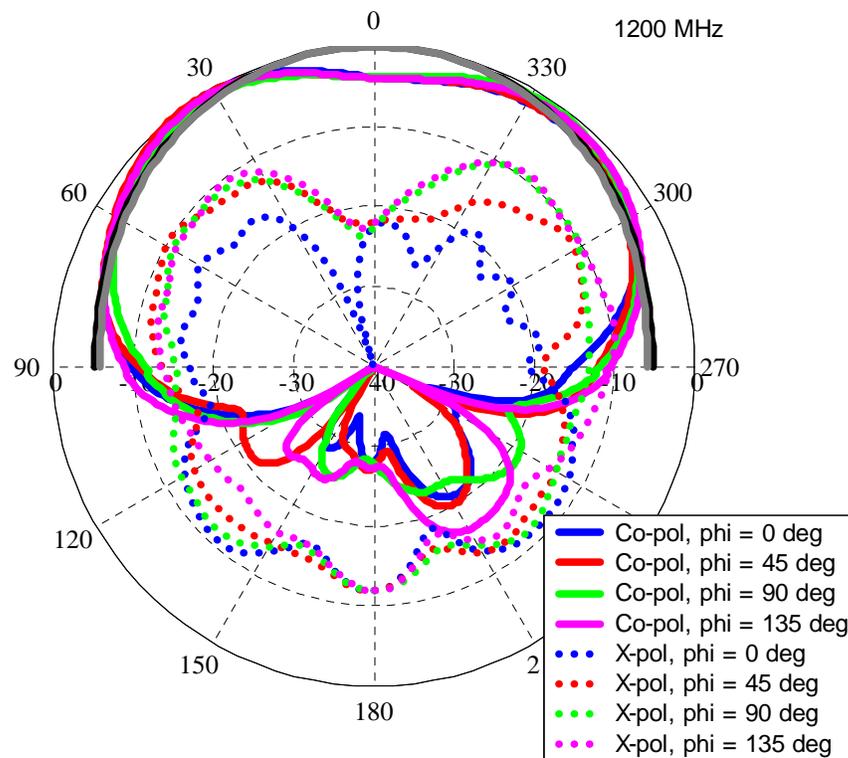
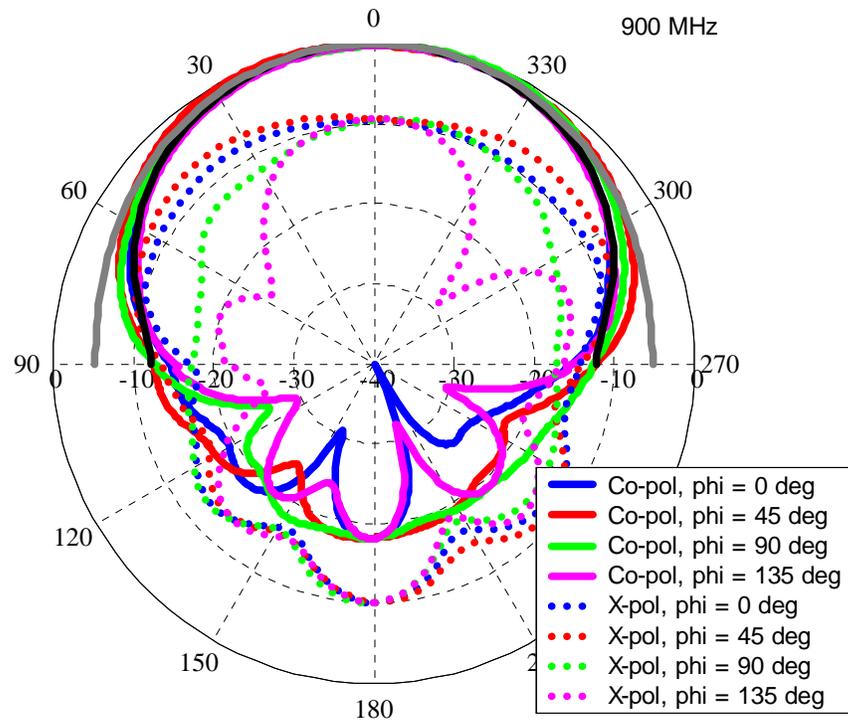


Figure 4.33 Measured star spiral radiation patterns of Fig. 4.27. Theta cuts. Black line is the simulated result for and the gray line is the simulated result for $\phi = 45^\circ$.

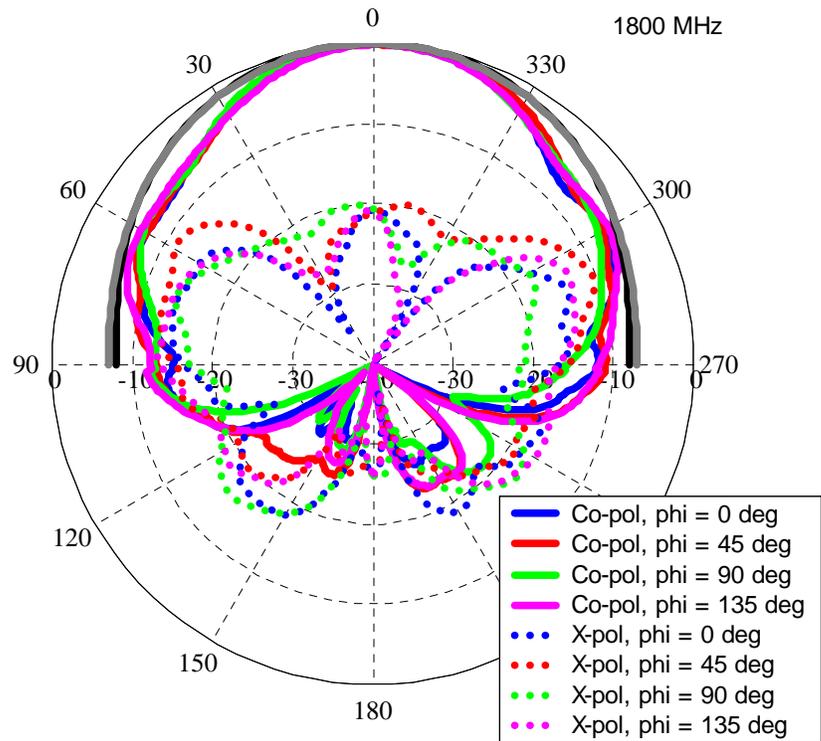
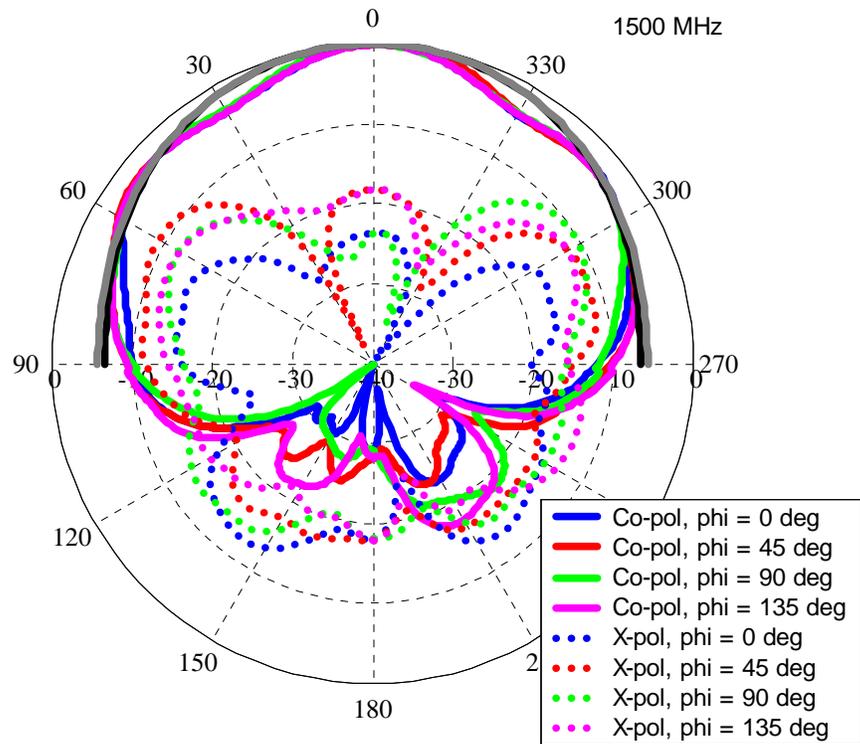


Figure 4.33 (cont) Measured star spiral radiation patterns of Fig. 4.27. Theta cuts. Black line is the simulated result for $\phi = 0^\circ$ and the gray line is the simulated result for $\phi = 45^\circ$.

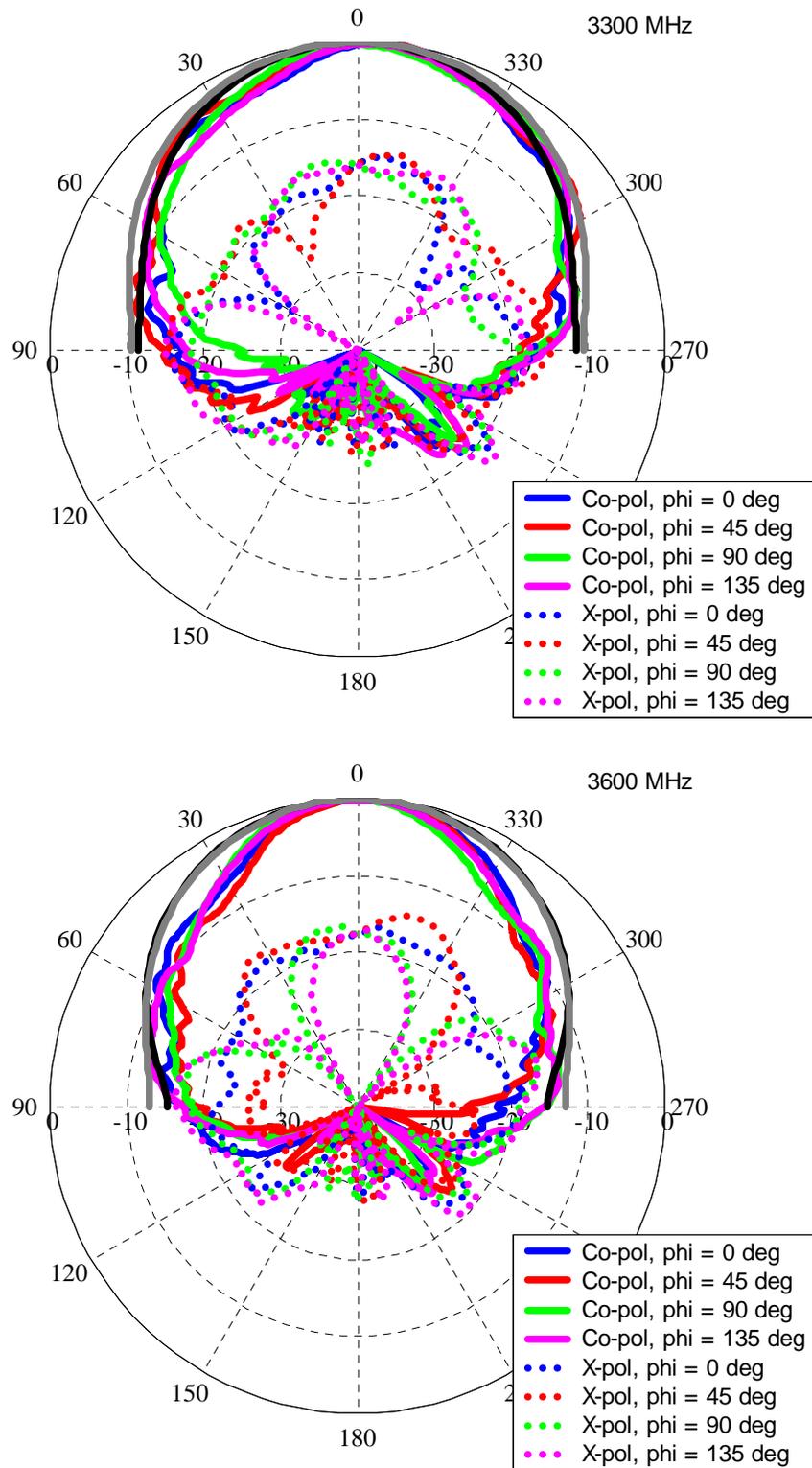


Figure 4.33 (cont) Measured star spiral radiation patterns of Fig. 4.27. Theta cuts. Black line is the simulated result for $\phi = 0^\circ$ and the gray line is the simulated result for $\phi = 45^\circ$.

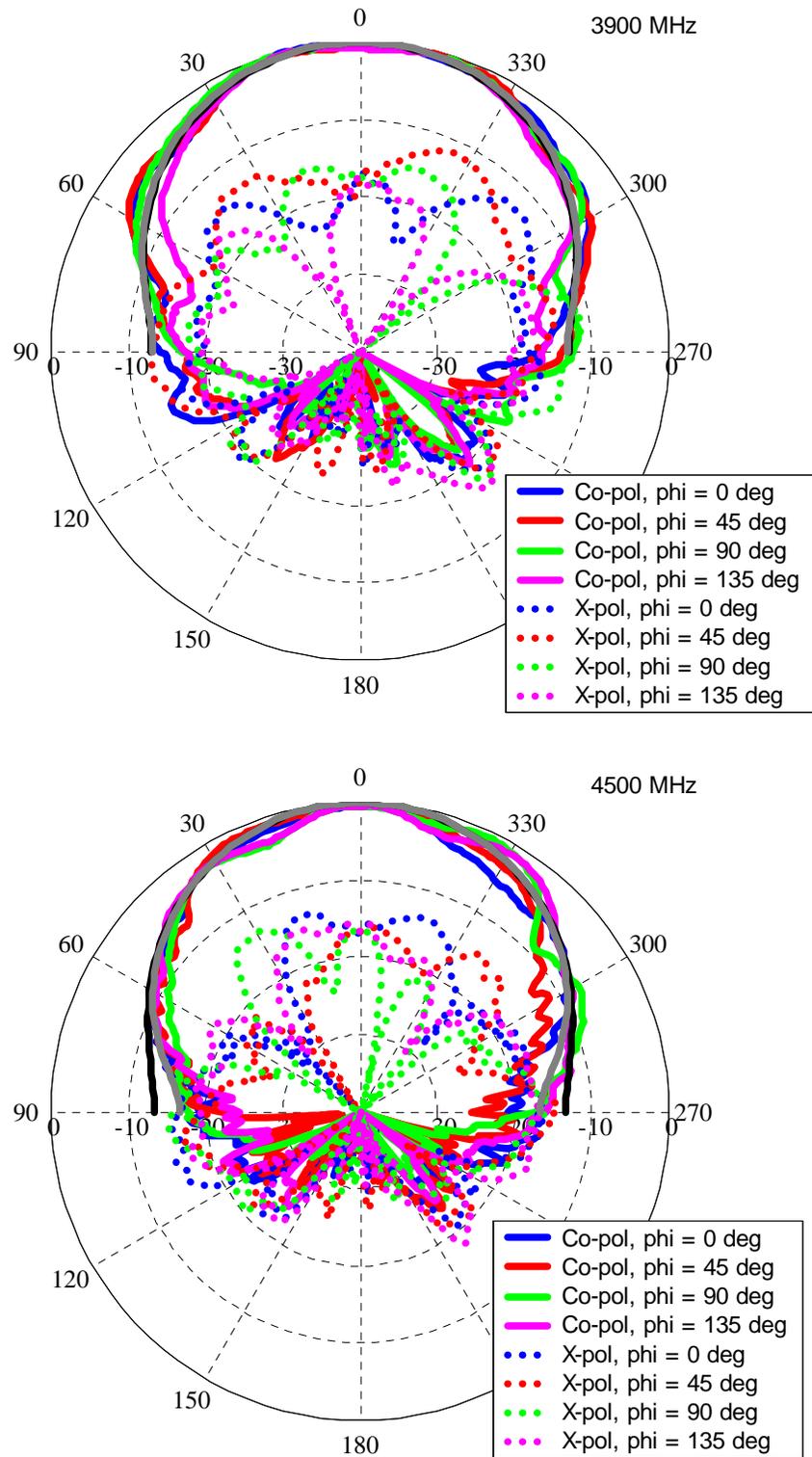


Figure 4.33 (cont) Measured star spiral radiation patterns of Fig. 4.27. Theta cuts. Black line is the simulated result for $\phi = 0^\circ$ and the gray line is the simulated result for $\phi = 45^\circ$.

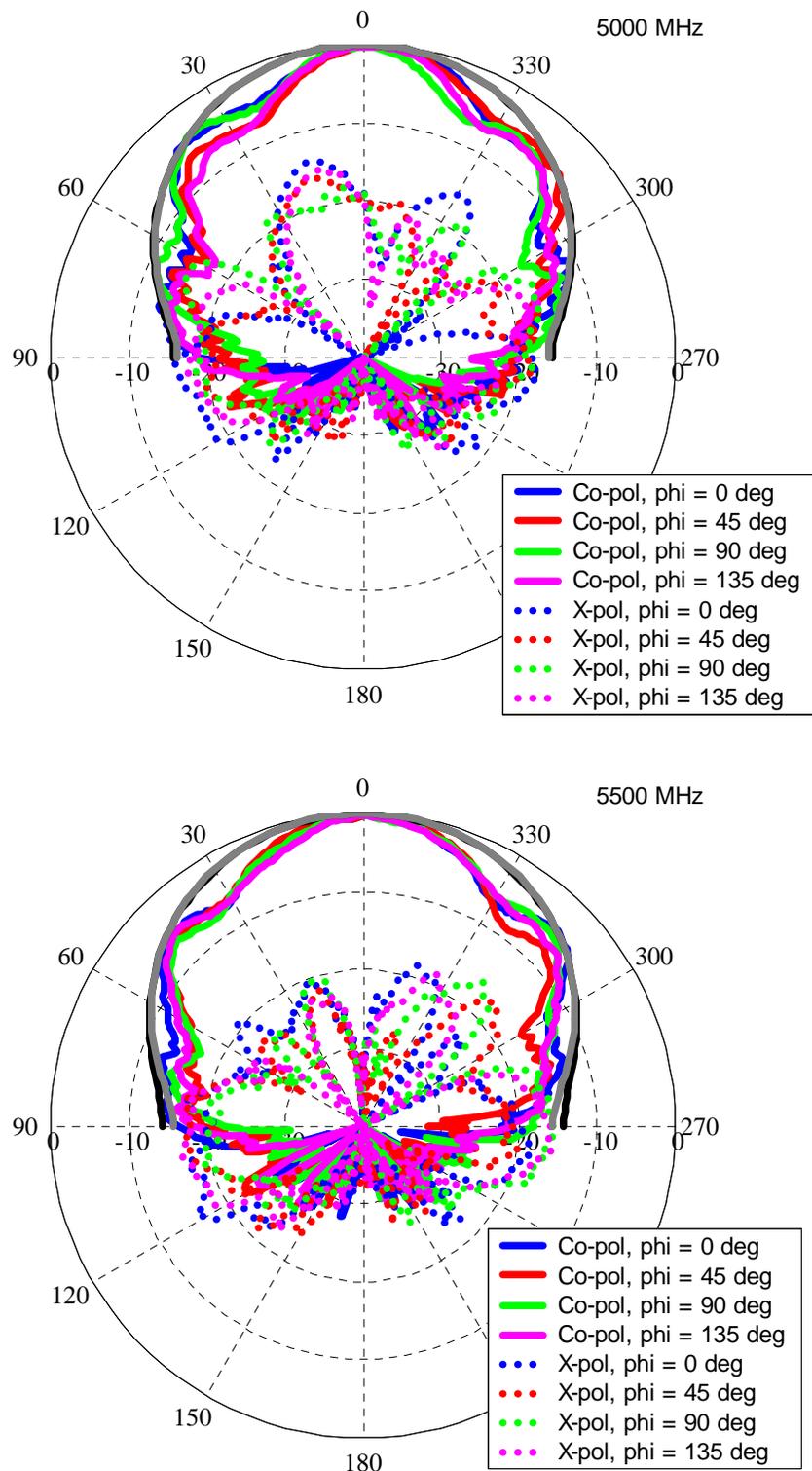


Figure 4.33 (cont) Measured star spiral radiation patterns of Fig. 4.27. Theta cuts. Black line is the simulated result for $\phi = 0^\circ$ and the gray line is the simulated result for $\phi = 45^\circ$.

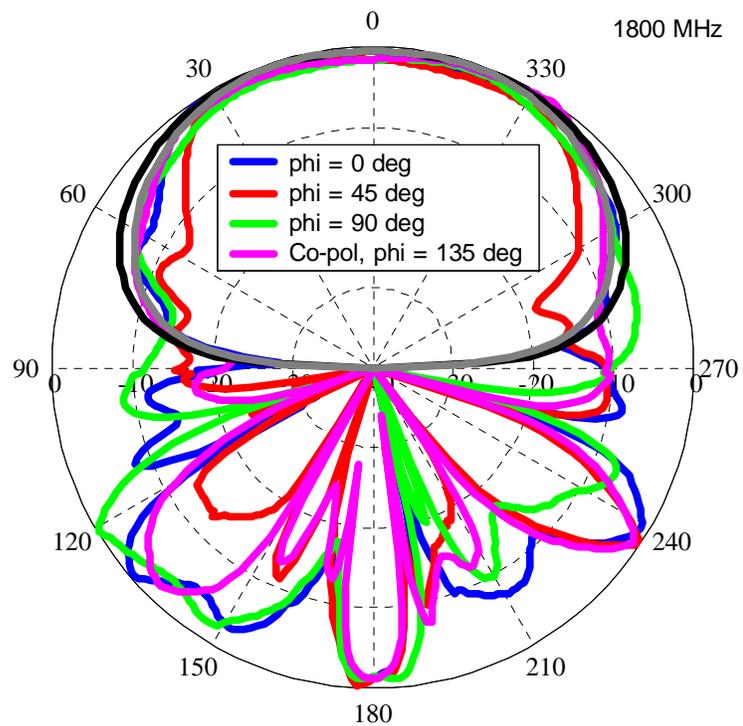
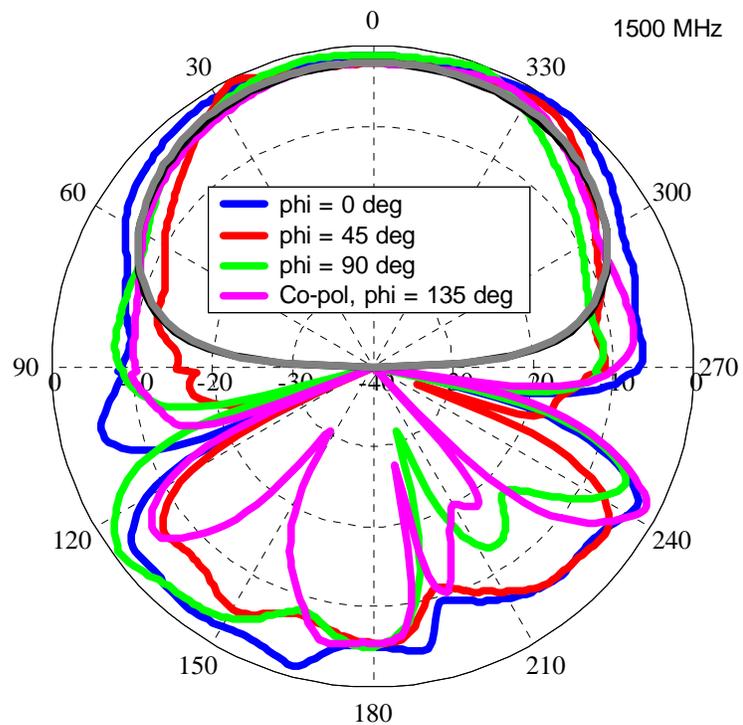


Figure 4.34 (cont) Measured star spiral axial ratio patterns of Fig. 4.27. Theta cuts. Black line is the simulated result for $\phi = 0^\circ$ and the gray line is the simulated result for $\phi = 45^\circ$.

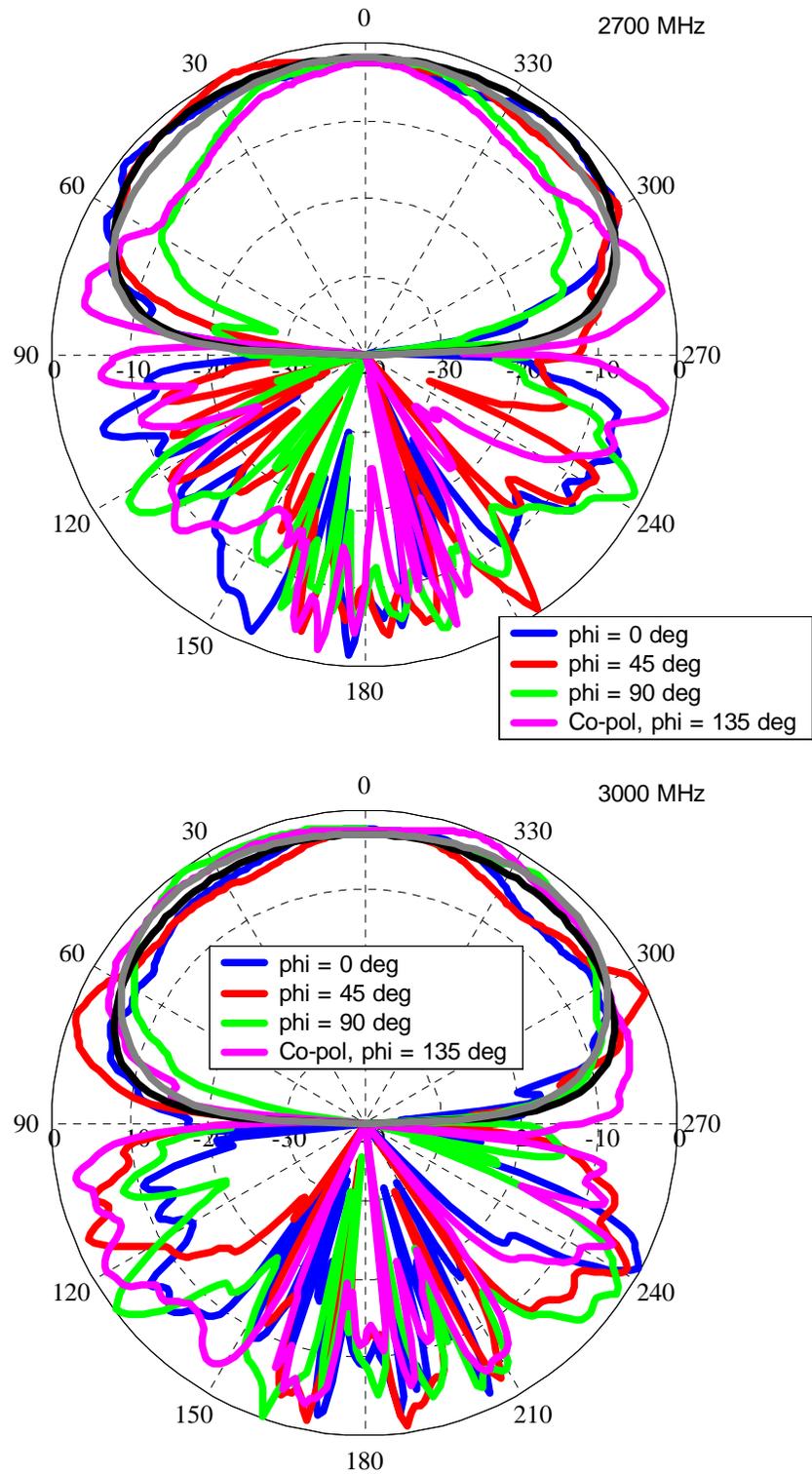


Figure 4.34 (cont) Measured star spiral axial ratio patterns of Fig. 4.27. Theta cuts. Black line is the simulated result for $\phi = 0^\circ$ and the gray line is the simulated result for $\phi = 45^\circ$.

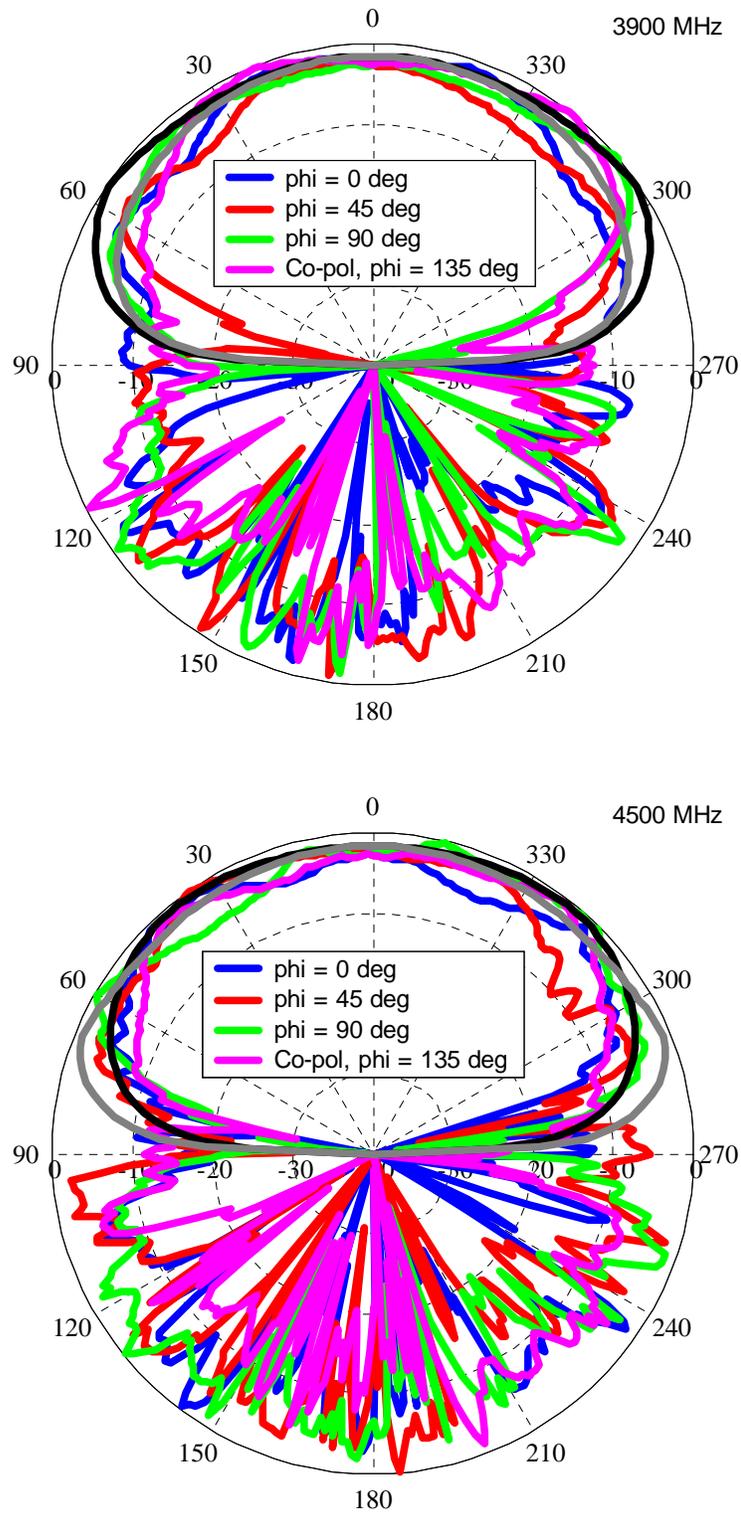


Figure 4.34 (cont) Measured star spiral axial ratio patterns of Fig. 4.27. Theta cuts. Black line is the simulated result for $\phi = 0^\circ$ and the gray line is the simulated result for $\phi = 45^\circ$.

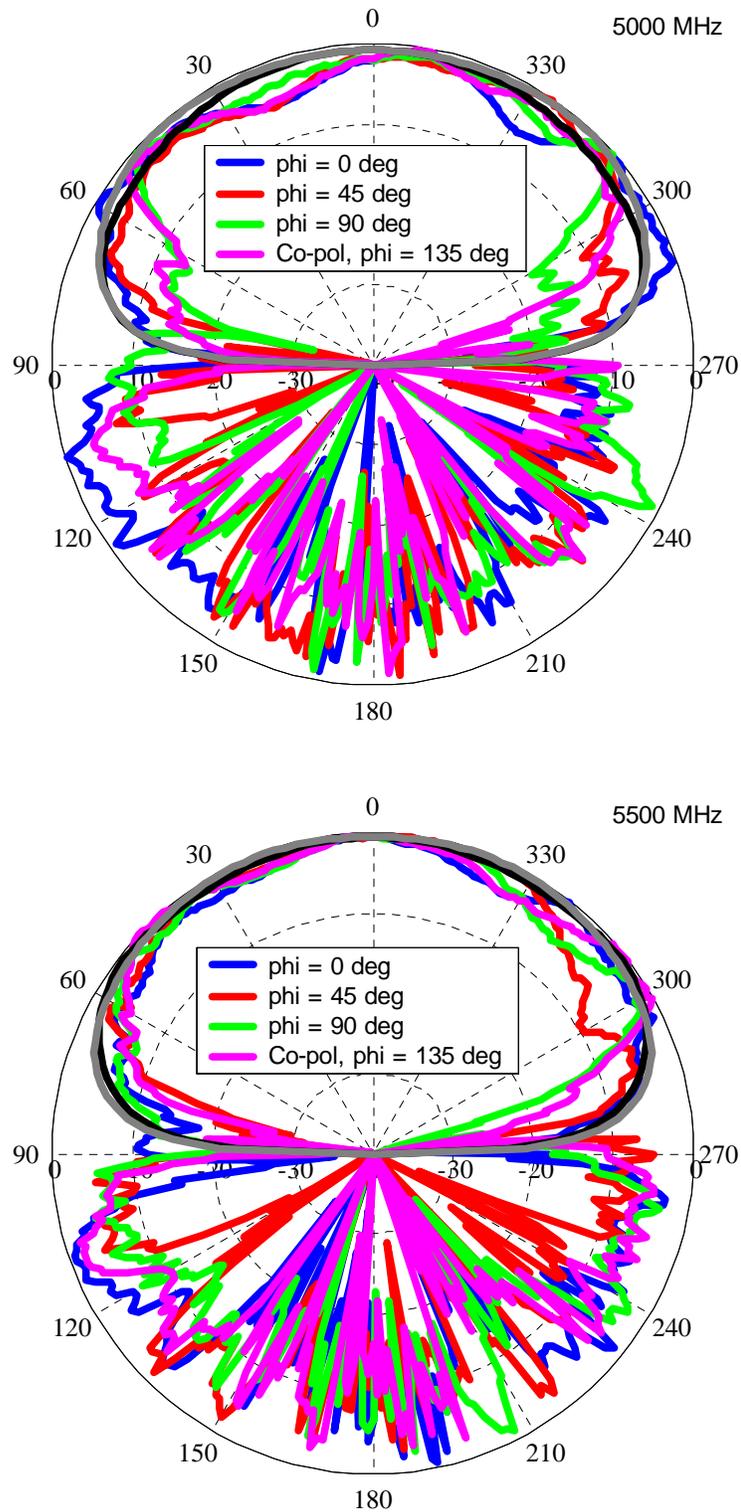


Figure 4.34 (cont) Measured star spiral axial ratio patterns of Fig. 4.27. Theta cuts. Black line is the simulated result for $\phi = 0^\circ$ and the gray line is the simulated result for $\phi = 45^\circ$.

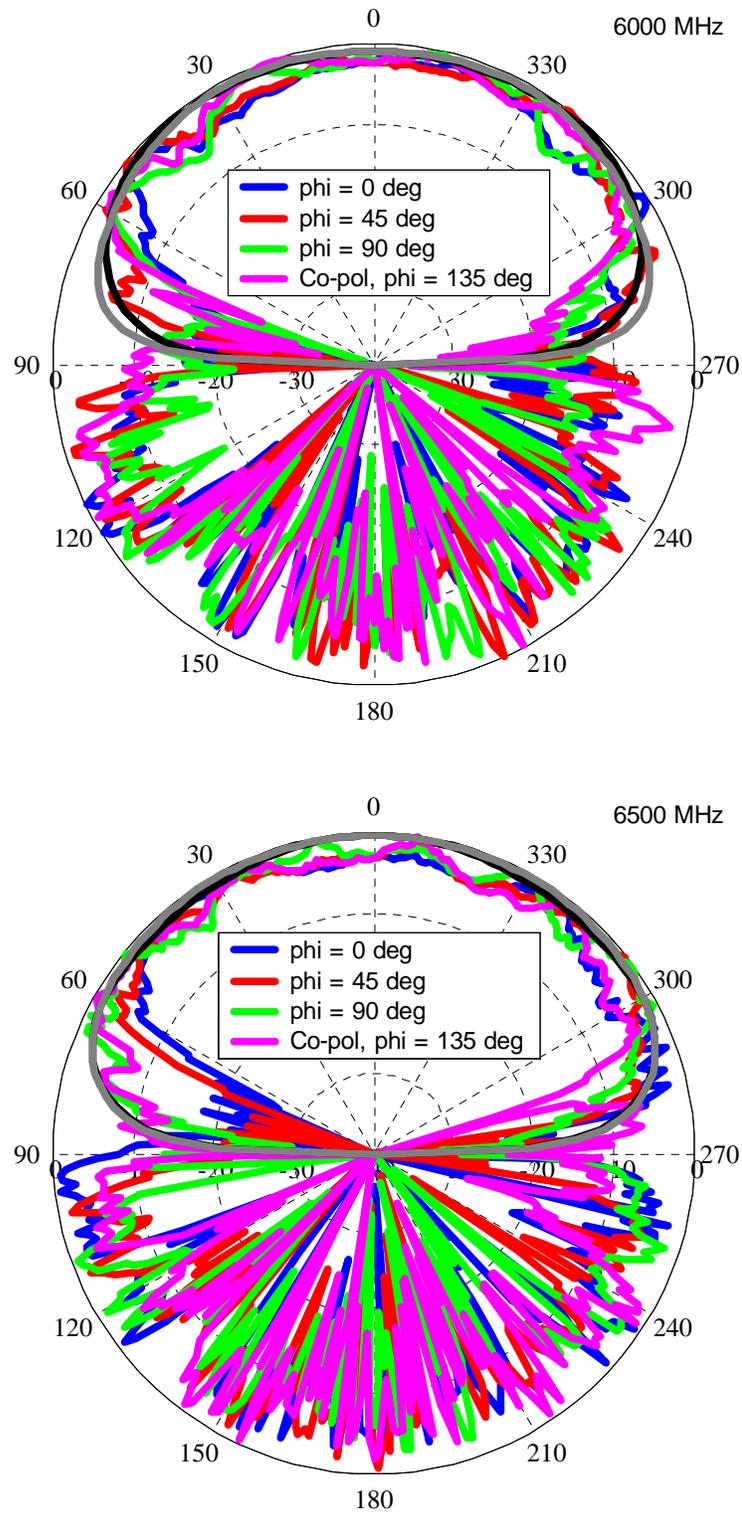


Figure 4.34 (cont) Measured star spiral axial ratio patterns of Fig. 4.27. Theta cuts. Black line is the simulated result for $\phi = 0^\circ$ and the gray line is the simulated result for $\phi = 45^\circ$.

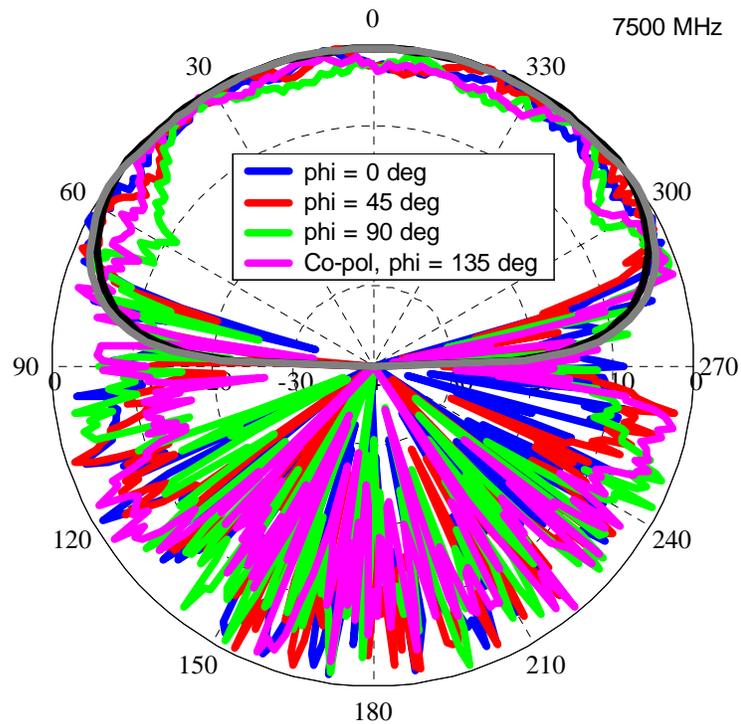
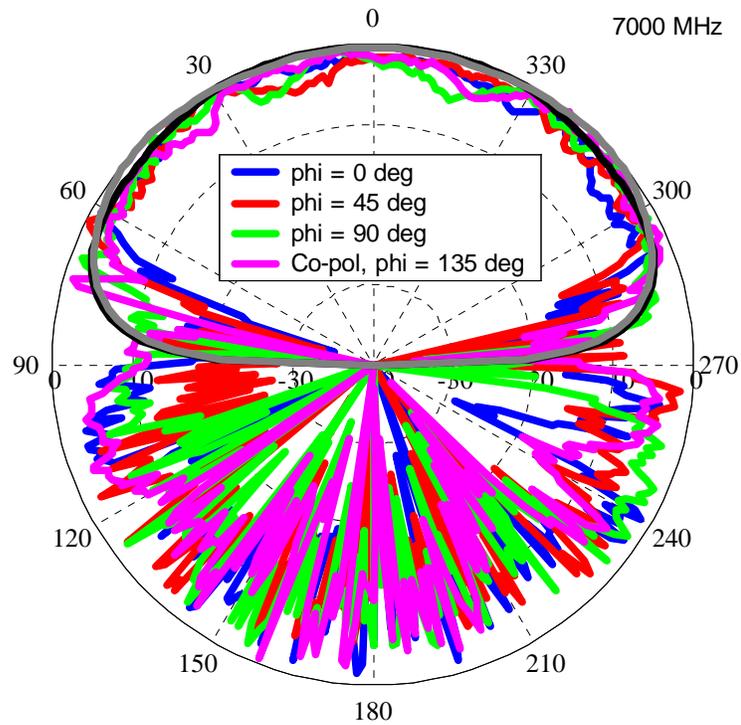


Figure 4.34 (cont) Measured star spiral axial ratio patterns of Fig. 4.27. Theta cuts. Black line is the simulated result for $\phi = 0^\circ$ and the gray line is the simulated result for $\phi = 45^\circ$.

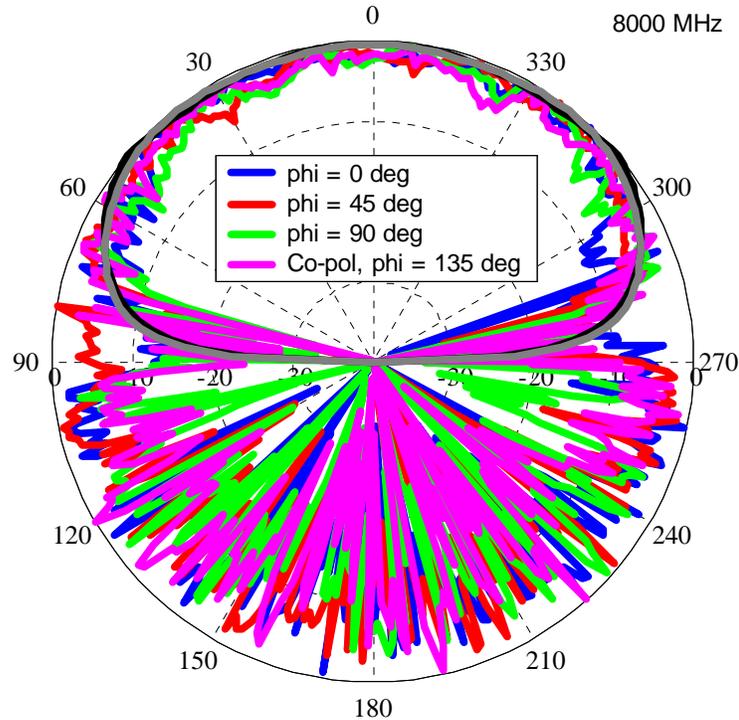


Figure 4.34 (cont) Measured star spiral axial ratio patterns of Fig. 4.27. Theta cuts. Black line is the simulated result for $\phi = 0^\circ$ and the gray line is the simulated result for $\phi = 45^\circ$.

4.5 Summary

A new type of slow-wave spiral antenna, the star spiral, has been introduced. The star spiral was optimized using the genetic algorithm to give a size reduction of 16.6% in simulations when compared to a circular Archimedean spiral. In simulation, the star spiral does not have quite as much size reduction as the square spiral but it offers other advantages. The star spiral is a more efficient antenna than the square spiral in terms of its low frequency cutoff when compared to its circumference. The ratio of size reduction to increased circumference, γ , for the star spiral is 0.88 compared to 0.69 for the square spiral. The star spiral also provides for unique array packing geometries that will be explored in the following chapters. The measurements and simulations of the star spiral show that the performance of the star spiral is very similar to the circular Archimedean spiral. The only significant drawback of the star spiral is its axial ratio performance at low frequencies, but this limitation can be overcome by using a 4-arm star spiral antenna when circular polarization is necessary.