

AN ALGORITHM AND SYSTEM FOR MEASURING IMPEDANCE IN D-Q COORDINATES

Gerald Francis

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Dushan Boroyevich, Chairperson
William T. Baumann
Rolando Burgos
Jack J. Lesko
William H. Tranter

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ABSTRACT

This dissertation presents work conducted at the Center for Power Electronics Systems (CPES) at Virginia Polytechnic Institute and State University.

Chapter 1 introduces the concept of impedance measurement, and discusses previous work on this topic. This chapter also addresses issues associated with impedance measurement.

Chapter 2 introduces the analyzer architecture and the proposed algorithm. The algorithm involves locking on to the voltage vector at the point of common coupling between the analyzer and the system via a PLL to establish a D-Q frame. A series of sweeps are performed, injecting at least two independent angles in the D-Q plane, acquiring D- and Q-axis voltages and currents for each axis of injection at the point of interest.

Chapter 3 discusses the analyzer hardware and the criteria for selection. The hardware built ranges from large-scale power level hardware to communication hardware implementing a universal serial bus. An eight-layer PCB was constructed implementing analog signal conditioning and conversion to and from digital signals with high resolution. The PCB interfaces with the existing Universal Controller hardware.

Chapter 4 discusses the analyzer software. Software was written in C++, VHDL, and Matlab to implement the measurement process. This chapter also provides a description of the software architecture and individual components.

Chapter 5 discusses the application of the analyzer to various examples. A dynamic model of the analyzer is constructed, considering all components of the measurement system. Congruence with predicted results is demonstrated for three-phase balanced linear impedance networks, which can be directly derived based on stationary impedance measurements. Other impedances measured include a voltage source inverter, Vienna rectifier, six-pulse rectifier and an autotransformer-rectifier unit.

*To my Mom, my Sister and my
Grandparents for enabling me to
get where I am today.*

I love you all!

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All photographs by Gerald Francis.

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Chapter 1. Introduction

Three-phase AC systems are often used at the kilowatt power level and above, providing three electrical conductors delivering power. Most often, the three voltage waveforms form sinusoidal patterns, phase-shifted by 120 degrees, as depicted below.



Fig. 1-1: Three Phase AC Balanced Waveform

Three-phase power electronics-based converter interface systems are used to provide power to loads, to provide filtering functions, and to convert between forms of electrical energy. Examples are three-phase inverters, three-phase rectifiers, three-phase motor drives, three-phase AC-AC converters, and three-phase active filters.

I. Dynamics of Three Phase Systems under Active Loads

As power electronics technology infiltrates the power processing and generation field, it introduces the opportunity, requirement, and consequences of applying closed-loop control. There exists now the opportunity to control power flow and improve the quality of the delivered power. The converters are nonlinear, and their dynamics are coupled with those of the load and source systems they provide power to and take power from. As a consequence, many power electronics systems require control to provide a regulated output. With this regulation, however, comes new phenomena that were not previously seen in the power system, and as such it introduces new perils, including, but not limited to, threatening the stability of the power system [1].

References [1-5] show that power electronics converters with regulated output control provide negative incremental impedance characteristics at their input. Such systems regulate the output voltage, and at a fixed current they consume constant power. If the power electronics

converter is perfect and lossless, the input will also have a constant power characteristic, upon which linearizing provides a negative slope at its operating point, as shown in Fig. 1-2.

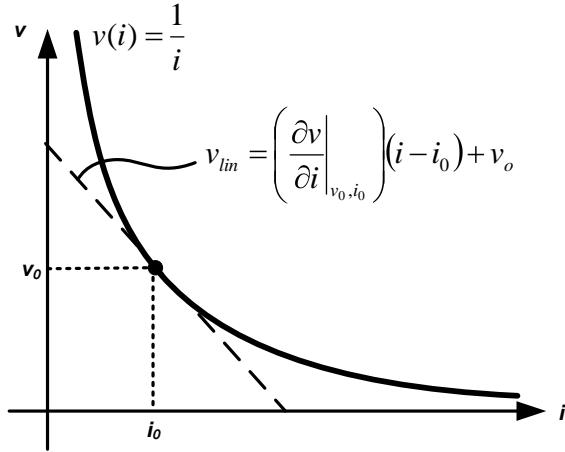


Fig. 1-2: Constant Power Load Characteristic and Linear Approximation Showing Negative Slope

While our larger national power grid can tolerate many of these behaviors, as their effect is small compared to the size of the system, many smaller systems cannot. Some small systems contain their own power source, and are not directly connected to the near-infinite busses presented by the national power grid. Examples of such systems are aircraft in the air [6-8], ships at sea [9-13], hybrid-electric vehicles [14-16], and small islands with small power processing plants. Sometimes systems are connected to equipment such as frequency changers, AC/DC converters and other hardware. Examples of these connected systems are aircraft on the ground or on an aircraft carrier connected to the airport or ship's grid [17]. The aircraft examples also have another phenomenon – both have local systems operating at higher line frequencies ranging from more than 300 Hz to 800 Hz instead of the traditional 50 and 60 Hz typically encountered. These higher line frequencies provide additional challenges relating to control.

These systems are becoming more and more prevalent in our society, changing functions that were previously implemented with hydraulic and mechanical systems to implementation with electrical systems, and as a consequence it is imperative that we are able to predict and test their

safe operation. Furthermore, they are being integrated into vehicular platforms under which stability concerns are greater due to the small power sources. This is not the first time power electronics have introduced this challenge of interconnected system stability, however. The use of DC/DC converters in distributed power systems other than DC distribution systems received a lot of attention in the past. Solutions are available for DC systems [18], but for the systems discussed in this paper, there are issues not seen in DC systems that prevent direct application of these methods.

II. Stability Analysis in the DQ Frame

The power system interfaces with devices used to control power flow in order to provide power to the load, which consists of three-phase power converters. Analysis of these devices and systems can be performed at multiple levels, ranging from power flow and power quality all the way to models of the solid-state semiconductor devices in the converters themselves. Appropriate models are chosen based on the level of analysis to be performed [19]. As this report focuses on measuring impedance as a function of frequency, the models will be small-signal models representing the converter and subsystem behavior at a given operating point.

The loads of these power electronic systems, being mechanical or electrical, tend to have dynamic properties (states), and the power conditioning equipment itself contains elements with dynamic properties, such as inductors and capacitors, in addition to controllers which introduce additional states and delays. The converters themselves (and thus the aggregate systems) generally comprise a set of nonlinear dynamics, and in the case of power electronics, these are also non-smooth, right-hand-side discontinuous nonlinear differential equations. Power converter modeling approaches commonly used today have methods to smooth out these right-hand-side discontinuities [20, 21] and provide Lipschitz systems to the user for analysis, simplifying the model of the converter to one with continuous, but usually still nonlinear system dynamics. Although these systems have been simplified by such models, they remain challenging to analyze, often providing multiple stability points (and therefore basins of attraction), and a series of other nonlinear phenomena, ranging from bifurcation to limit cycles and chaos [22, 23]. Furthermore, such nonlinear systems operate on a nominal trajectory in steady-state, nominally given by (1)

$$v_a(t) = V_m \cos(\omega t), v_b(t) = V_m \cos(\omega t - 2\pi/3), v_c(t) = V_m \cos(\omega t + 2\pi/3) \quad (1)$$

making them non-stationary systems with periodic tendencies.

To simplify analysis, attempts have been made, to map this non-stationary system and its components to one which is stationary [24], mitigating (but unfortunately not completely eliminating in practice) the non-autonomous nature of such systems. To transform the system, the three voltages can be represented as a voltage vector which rotates in three-dimensional space. If the voltage vector follows the trajectory given in (1), it will trace a circle at a constant frequency of ω radians per second. A rotating coordinate system can be defined that matches the frequency of rotation of the voltage vector, making the voltage appear stationary in that frame. This transformation is shown in (2).

$$\begin{bmatrix} v_d(t) \\ v_q(t) \\ v_0(t) \end{bmatrix} = \sqrt{\frac{2}{3}} \begin{bmatrix} \cos(\omega t) & \cos(\omega t - 2\pi/3) & \cos(\omega t + 2\pi/3) \\ -\sin(\omega t) & -\sin(\omega t - 2\pi/3) & -\sin(\omega t + 2\pi/3) \\ 1/\sqrt{2} & 1/\sqrt{2} & 1/\sqrt{2} \end{bmatrix} \begin{bmatrix} v_a(t) \\ v_b(t) \\ v_c(t) \end{bmatrix} \quad (2)$$

For the systems in this dissertation, the third component, the 0-axis, can be ignored. If the system is always balanced, this axis is identically zero for all time.

For linear analysis of nonlinear systems, it is necessary to have an operating point upon which to perform linearization. When the system is unbalanced, this operating point disappears when using the map described above, and the system cannot be linearized, and thus classical stability analysis becomes difficult without further tools. If the three voltages follow the trajectory specified in (1) then the resulting vector in the D-Q frame, calculated by applying (2) will be

$$\begin{bmatrix} v_d(t) \\ v_q(t) \\ v_0(t) \end{bmatrix} = \sqrt{\frac{2}{3}} \begin{bmatrix} 3/2 \\ 0 \\ 0 \end{bmatrix} V_m \quad (3)$$

Systems of loads and sources, although stable individually, may become unstable when they are interconnected. Stability in the D-Q frame has been explored previously in [4, 25, 26] for systems whose impedance is known. Based on the analysis methods provided in these papers, one may predict whether the interconnection of two power electronics subsystems at an operating point will produce a stable system. In order to apply these methods in practice, it

becomes necessary to be able to measure the impedance to which to apply the criteria discussed in these works.

This is not an easy task. Nearly all systems are nonlinear, and as such, require an operating point in order to take a measurement. This requires the system to be operating during the measurement. The fact that most of these converters are designed for a high power level precludes the use of most commercially available equipment used to measure impedance via traditional means, such as the Agilent 4394. This equipment can take highly accurate measurements, but at very low power levels. For linear time-invariant, balanced networks, this is not a requirement, but for nonlinear systems, unless the impedance can be transformed *a posteriori*, it is necessary to be at the system operating point. For nearly all systems that involve power electronics equipment, this is a requirement.

This restriction gives rise to several challenges in implementing a measurement system for this kind of subsystem. The first challenge is the ability to induce a perturbation into a system. Such an injection must be supplied at a reasonable magnitude in order to perturb the system, and the injection equipment must be able to operate with other large power sources active in the system.

Furthermore, unlike traditional analyzers, this analyzer must measure the impedance in an artificial frame of reference that does not physically exist. There are no D- and Q- axis terminals to which one may connect a sensor, and the reference frame must be derived via real-time processing.

A. Objective Statement

The objective of this work is to produce a set of requirements for an instrument that can be used to measure the frequency-dependent AC impedance of a subsystem (on either load side or source side) in the D-Q synchronous rotating reference frame during its operation. This report addresses the components required to measure these impedances and the algorithm of the measurement unit. These findings are this work's primary contribution to the field.

III. Existing Attempts at Defining and Measuring Stability and Impedances

Despite the pronounced need for a method to measure impedances, the problem has gone unsolved. Several works have approached components of this problem, but no one study has completely addressed all the critical issues presented, and the actual works presenting experimental data are limited to a few that provide incremental contributions to the understanding of these challenges. Nearly all of the studies published on this topic, however, present simulated results.

An example system can be represented as Fig. 1-3. A source system supplies a converter, which supplies a load. The input impedance and output impedance of the converter can be measured. In the case of a DC-DC converter, many methods have been made available to do so[27].

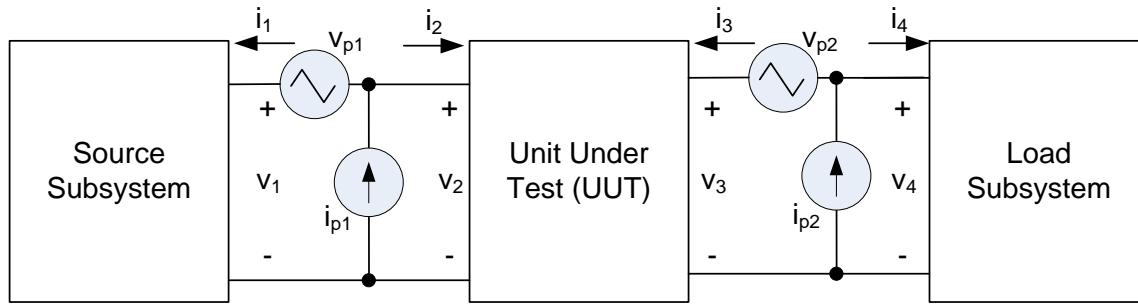


Fig. 1-3: Example System with Unit Under Test / Measurement

The nonlinear nature of these systems require them to be running when measured, and therefore most attempts have provided methods for doing so. Without the challenge of a rotating coordinate system, analyzers can be directly connected to amplifiers or coupling networks which couple the perturbation via one of the sources shown in Fig. 1-3.

To create a perturbation in an interface, a series voltage source, current source, or a series- or shunt-configured impedance may be used. These devices modify the system currents and voltages in order to create a perturbation. It should be noted that the location at which the system is perturbed is not necessarily the same as where the perturbation is measured. That is, i_{p2} may be used to create a perturbation while i_1 and v_1 are being measured. The sources in Fig. 1-3 are not in the system, but are placed within the model for the purpose of measuring

impedances within the system via injection hardware. One may wish to reference [21] for the details.

A. Non-D-Q frame impedance measurements

Several works have measured the impedance of the power system as seen from the wall. In this measurement, a perturbation is injected (without reference frame translation), and several data points are taken [28-30]. In these measurements, the line voltage acts more as a disturbance, and is not used as part of the measurement. This method is then repeated to get the three-phase impedances.

Some works measure the frequency response only at the harmonics of the line, and then reference this measurement as harmonic impedance (not to be confused with harmonic transfer functions like those found in [31] that cause responses to be shifted in frequency). Most of this work has not been driven by stability, but by transient performance and harmonics.

Approaches to measuring the impedances range from the use of a network analyzer [29, 32-34] to the use of power spectral density techniques via Matlab implementation of Welch's averaged periodogram [35, 36], to transient-fitting techniques via the extended Prony Method [35, 37-39].

B. Injection Signals

When measuring impedance or admittance, which is a small-signal phenomenon, one must measure an input (current or voltage) and an output (voltage or current). For a linear system (or a nonlinear system that is linearized about an operating point), the input and output signal components at the same frequency are related by a transfer function which defines the gain and phase shift at that frequency. In order to measure this kind of transfer function, there must be measurable quantities at that frequency in the input and output signal. In the case of very large systems, where it may be difficult to explicitly create such a sinusoidal perturbation, the frequency content may be generated by events such as transients caused by load connections and disconnections, or other activity in the system not created for the purpose of measurement [33]. It is, however, possible in smaller systems, such as those of interest in this report, to be able to cause a perturbation into the system.

While possible, this is not a small task within itself. There are many challenges with such an injection. Irrespective of what it should look like, the first challenge is how to safely cause a perturbation. This problem can be solved with methods including injection via power converters, machines, and modulated impedances.

In [40], a power converter was used to generate a perturbation into the system on all three phases. A voltage source inverter was attached to the system, and was provided power on the DC side from an external source. The converter was shunt connected to the power system.

Activating the converter switches allowed the converter to inject current into the system. The paper also mentioned that the technique may be performed using an active filter, but did not demonstrate this. The results in this paper were simulated. It should be noted that while some of the papers I've studied refer to the injection topology as a measurement technique, this has nothing to do with the actual measurement itself, but is instead related to creating a perturbation, not processing the results or determining the injection signal used to acquire the impedance. Reference [40] claims that the switching frequency of the voltage source converter has to be an unspecified number of times higher than the highest frequency component of the injected signal. The claim is, however, substantiated by neither analysis nor reference.

Reference [40] also describes a case where a wound-rotor induction machine was used to inject a perturbation into the system. In this dissertation, DC current is injected into the machine and the machine is allowed to spin up to speed and synchronize with the system, after which the perturbation can be injected on top of the DC signal. A disadvantage this paper discusses below is that the induction machine must be sized for each application and power level. The machine injects onto all three phases as it rotates.

A third technique modulates a three-phase shunt-connected resistive impedance using a three-phase chopper circuit [41]. This injection is made smoother with the addition of a series inductor. A power semiconductor switch shorts one resistor to create the modulation.

A similar method to inject modulates an impedance only between two of the three phases [42]. Unlike the previous injection methods, this last injection does not make use of a three-phase symmetrical injection. It should be noted that here also the results were demonstrated via simulation. This technique has been patented by Belkhayat [43].

Another injection method mentioned by [41] not implemented is a series voltage injection. It is acknowledged, however, that this is less practical due to the large currents present in the system.

The converter-based perturbation methods above were directly connected to the system. If isolation is desired, or if the electronics used are insufficient to inject a signal of proper magnitude, the use of a transformer may be warranted. Using a transformer does impose additional restrictions on the injected frequency content, as we discuss below.

C. Exogenous Frequency Content and Harmonics

While injection itself is a challenge, a second challenge involves the presence of exogenous signals in the network during measurement. Since the network is nonlinear, it is measured during operation. As such, there are other exogenous signals present due to the system's operation. These include, but are not limited to line frequency harmonics [44], switching ripples, low-frequency modulation effects [45, 46], zero-crossing distortions due to the non-ideal behavior of diodes and diode rectifier bridges [47, 48], and load-source interactions [49]. These low-frequency effects make measurement more difficult, as they are not caused by the injected perturbation. While attempts have been made to mitigate these effects [50], they still prevail in many systems.

D. D-Q Frame Alignment

In the D-Q frame, an alignment is chosen which defines the frame. Most work aligns either the D-Axis or the Q-Axis to the rotating voltage vector. If the D-Q frame is aligned to a different angle, the impedance may also change [4]. This property that shows no change when changing the alignment angle is referred to as isotropism or rotational invariance. If a system's impedance is dependent on the alignment of the D-Q frame, the impedance is considered to be anisotropic. Therefore, for anisotropic systems, it is necessary that the D-Q coordinate system is aligned properly with the variable of interest. In this report, the D-Q frame will be aligned with the D-axis such that the Q-axis voltage component is centered on zero, and unless specified otherwise, all impedances will be defined using this convention.

This alignment is usually achieved via a phase-locked loop (PLL) that controls the reference frame angular velocity until it aligns with the rotating voltage vector. However, if the voltage vector has harmonics or noise, or if there are unbalances in the system created by the system itself or by the injected perturbation, the PLL will have a reaction to it, and the frame will no longer rotate at a constant frequency. Some approaches did not use a PLL, but instead used a low-pass filter on the line voltage, which will be even more significantly affected by these harmonics and other exogenous signals, as the basis voltage will now contain low-frequency perturbation signals. So far, no discussion of the PLL has been presented in the literature for the purpose of impedance measurement. However, several PLL designs have been found to be robust to the presence of unbalance [51]. In the case of [40], there is no PLL, and the induction machine used aligns itself using a DC injected signal, and is therefore subject to the same frame variation by the system during the AC injection [3].

E. Measurement System Error Analysis

So far no paper has been published with regards to error analysis for these measurements, although in [28] the repeatability of the measurements was briefly discussed, providing error bands based on statistical variation of 30 repeated measurements. Each technique has several computations, and each sensor has errors. It is possible to analyze the errors and how they propagate through the system. It is important to discuss error when designing a measurement system, and it remains an open question as to how much error is in the computed impedance based on what is measured in the actual system. The only way to improve such systems and to analyze their correctness is to systematically identify the sources of error and their effect on the reported measurement results.

Typical errors in measurement can come from quantization, sensor nonlinearities, noise, offsets, and frequency drift of the system, as well as components of the analog-to-digital conversion such as power supplies. A model of the system must be constructed including an analyzer that shows how the errors contribute to the output. These errors may not be obvious, as the transformation to rotating coordinates will provide a frequency shift, and the multi-input, multi-output nature of the system allows opportunities for these errors to cross-couple between channels, further complicating the analysis.

The valid ranges of the measurement systems presented are also never validated. This is due to the fact that most are simulated and most simulations use ideal sources and sensors without quantization or sampling, whereas one would find practical issues in implementing a system for measurement.

F. Balancedness

While ideal conditions specify that a system in stationary coordinates maps to a point in the D-Q domain at which linearization can occur, no analysis has been performed based on how much variation is tolerable, or to what extent the system remains linear despite this time-variation. Many works have been done presenting phasor and impedance measurement for the purpose of protective relaying in power systems, to which the issue of balancedness has been thoroughly addressed; but this measurement is mostly at the synchronous frequency of the system, and the impedances are measured via phasors. Alternatively, harmonic impedances have been identified using a Hilbert transform [52].

G. Multiple Signal Paths

As there are two channels, d and q, the impedances are matrices, and there is coupling between the load and source subsystems via these. A perturbation on the D-channel can cross-couple to the Q-channel output, which can then interact with the load, and again cross-couple to produce a voltage response back on the D-channel. This interaction makes the impedance appear to include the load, and is a result of having a multivariable system. The solution must decouple this interaction.

H. Other Related Work in Impedance and Identification

Many authors also have algorithms to extract parameters from the system to fit a predetermined system model. While this is not black-box impedance measurement, it is noteworthy in this report, as it is another technique used to acquire actual data to fit a model of the system. It is, however, not as accurate as individual point measurements. Such work can be found in [53].

Additional work has been done on the capture of dynamics via artificial recurrent neural networks in the D-Q coordinate system [54-56]. These works inject noise into the system and learn and record the response of the noise to the dynamics. The system input-output relationship can then be extrapolated from the response. This approach has the advantage in that if the network is trained properly, it can filter out exogenous noise from the measurements. Although this approach does capture the dynamics, it does not discuss how to extract the impedance from the dynamic results, and focuses mostly on the network itself. It should be noted that all three works cited are only simulated. There is no discussion on the error of the approximation.

I. Challenge of Verification

An additional challenge that arises when building a measurement system is the ability to verify the measurements are correct. As presented in this work, in the case of linear networks it is possible to derive the expected D-Q impedances given symmetric, linear, time-invariant impedances of each phase in stationary coordinates. It should be noted that no published result that conducted experimental work verified the full impedance they were measuring against known impedances by measuring them using dedicated equipment. The closest to this was a low-order parametric model constructed using nominal parameters of a load inductance and resistance.

For other systems, such as voltage source inverters, creating an approximate model is a well-known way to represent the system dynamics under ideal conditions [24]. However, this approximate model derived from ideal switching behavior, and is not without assumptions. It is important to know for verification purposes that the model is accurate and represents the true converter's behavior despite the presence of other time-varying and nonlinear behavior, such as converter dead-time and the potential discontinuous conduction of each phase around the zero crossing.

IV. Summary

The need to know the system impedance has been made apparent based on system stability requirements, which have become important recently based on the ever-increasing demand of equipment with destabilizing effects on their host systems. These motivations are amplified by

the increasing number of small systems with limited power generation capability and the increased transition of former hydraulic and mechanical systems to electrical power.

Attempts to measure three-phase impedances have been incomplete. The results that have been presented thus far do not provide confidence that the measurements are being performed in an approach acceptable for all load types, especially loads containing power converters. Reasons for this include a series of issues related to D-Q frame alignment, nonlinear load behavior, multi-channel power flow, and a range of exogenous signals preventing successful and complete measurement.

Furthermore, no published work has attempted to characterize their measurement system for accuracy. As the objective is to formulate a measurement instrument, it is essential to know its boundaries and capabilities to avoid trusting incorrect measurements. Additional work is required in order to understand these characteristics and capabilities.

V. Dissertation Contents

Chapter 1 has provided a summary of the literature on predicting perturbations in three-phase AC systems. Chapter 2 addresses the proposed algorithmic solution and verifies it in simulation. In this chapter it will be seen that the algorithm can be validated by constructing an analyzer in simulation which builds a frequency response table. The frequency response is then post-processed in Matlab to calculate impedances. The system as a whole is discussed here, defining interfaces in the D-Q domain and discussing the architecture of a solution.

Chapter 3 addresses the hardware implemented as part of the solution to implement the impedance tester outlined in Chapter 2. It discusses the hardware hierarchical architecture, and reviews all of the hardware used, from the power hardware used in the injection, to signal-processing elements implemented on custom-printed circuit boards, to the sensors used. Critical characteristics of each element are discussed here, including THD, harmonic content and nonlinearities.

Chapter 4 addresses the software implemented as part of the solution. The software is hierarchical just like the hardware, with supervisory software running on a PC that communicates to a DSP, which runs real-time transformations and signal conditioning. Software

elements are written in VHDL to implement communication drivers for exchanging data with serial peripheral interface equipment. Additional software is written describing the presentation of results to the user of the instrument and the management of stored results for future use.

Chapter 5 validates the tester with known impedances and explores additional impedances, such as that of a voltage source inverter, a six-pulse rectifier, an 18-pulse auto-transformer rectifier unit, a Vienna-type boost power factor correcting rectifier, and an unbalanced linear load. Additional error analysis and validation is shown in this chapter, along with the characterization of the measurement equipment.

Chapter 6 concludes and summarizes the work. Propositions for future architectural and functional improvements are made here.

Chapter 2. Algorithmic Solution and System Architecture

Given the challenges shown described in Chapter 1, a solution is proposed which finds the equivalent impedances of the D-Q system. As will be shown in this chapter, the system will be perturbed in the D-Q domain, and all voltages and currents at the interface perturbed will be measured. From a set of responses based on these perturbations, the matrix impedance in the D-Q frame will be calculated. During this, the reader will be exposed to issues such as the limitations of measurement equipment and synchronization of the measurement system with the D-Q frame.

I. Proposed Algorithm

A. *System Description and Nomenclature*

A load and a source interconnected in a three-phase system may be represented by the following model shows in Fig. 2-1 in ABC coordinates. Adding a perturbation to every phase at the point of common coupling between the load and the source can be represented using the Thévenin-equivalent model in Fig. 2-1.

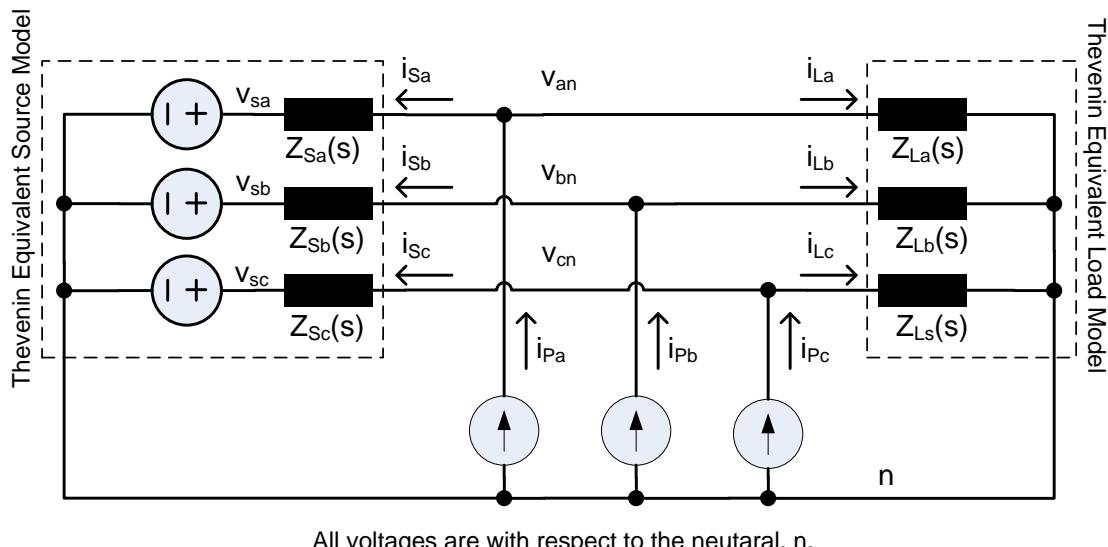


Fig. 2-1: System in ABC Coordinates

Assuming v_{sa} , v_{sb} , and v_{sc} are symmetric and the source and load are balanced, the equivalent system in the D-Q frame will appear as follows, where v_{sd} and v_{sq} are constant, as shown in Fig. 2-2.

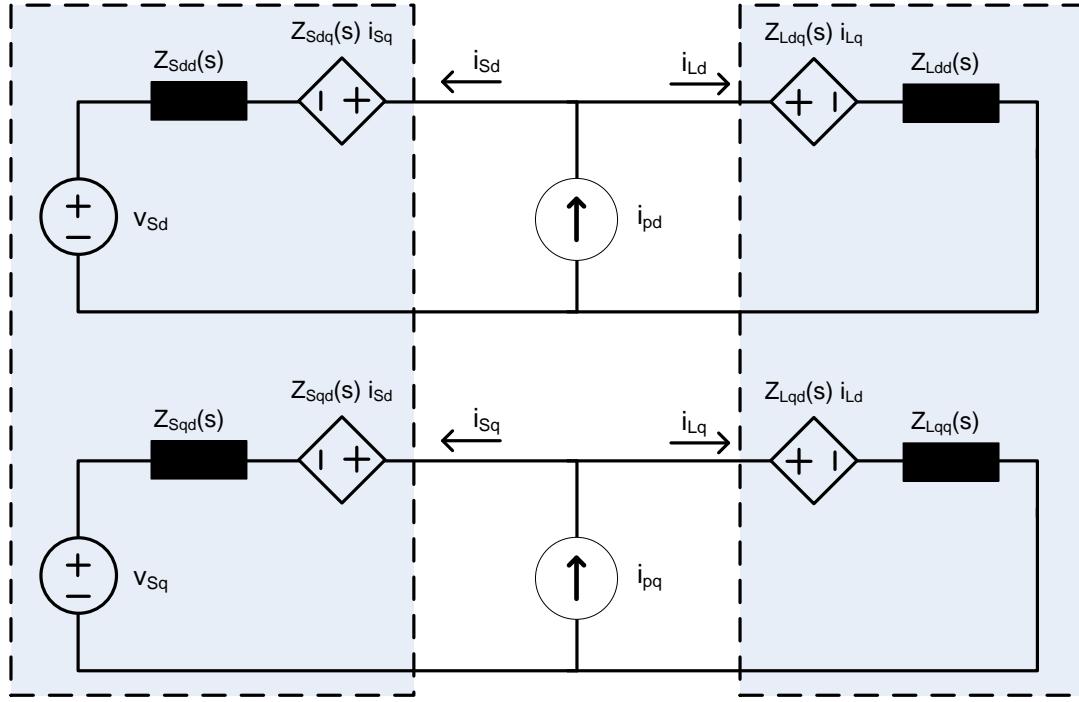


Fig. 2-2: System In D-Q Coordinates

Here, the three-phase source and load have been transferred to dual channels (D- and Q-axes) with matrix impedances to allow both the primary axis and cross-coupling impedances, which are seen even for simple non-resistive linear circuits.

Injection on one axis, either D, or Q, will lead to currents and voltages on both axes. One may record these responses. From the cross-coupling terms, there will be interactions from one channel to the other, which couples to the opposite subsystem. This is shown below, where the mechanism of coupling the load to the source via the opposite channel is shown via the curved arrows in Fig. 2-3.

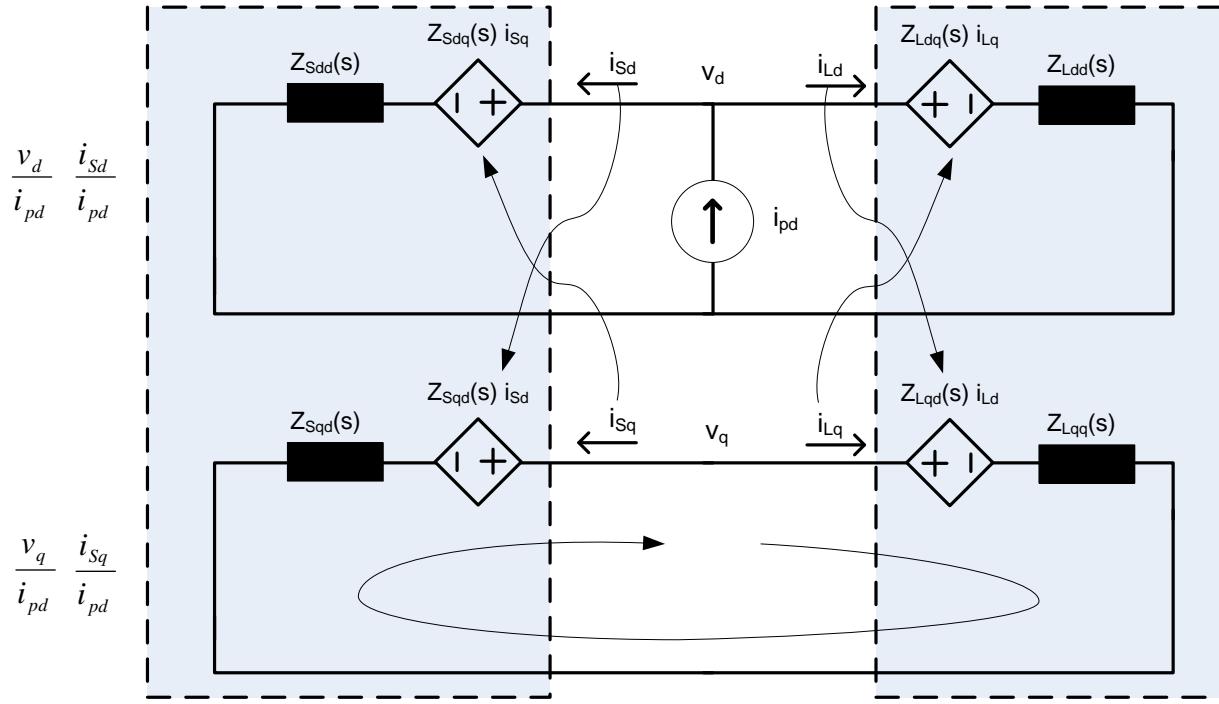


Fig. 2-3: Injecting Current On the D-axis

Correspondingly, one may repeat the procedure on the Q-axis and obtain current and voltage responses on the Q-axis, as shown in Fig. 2-4.

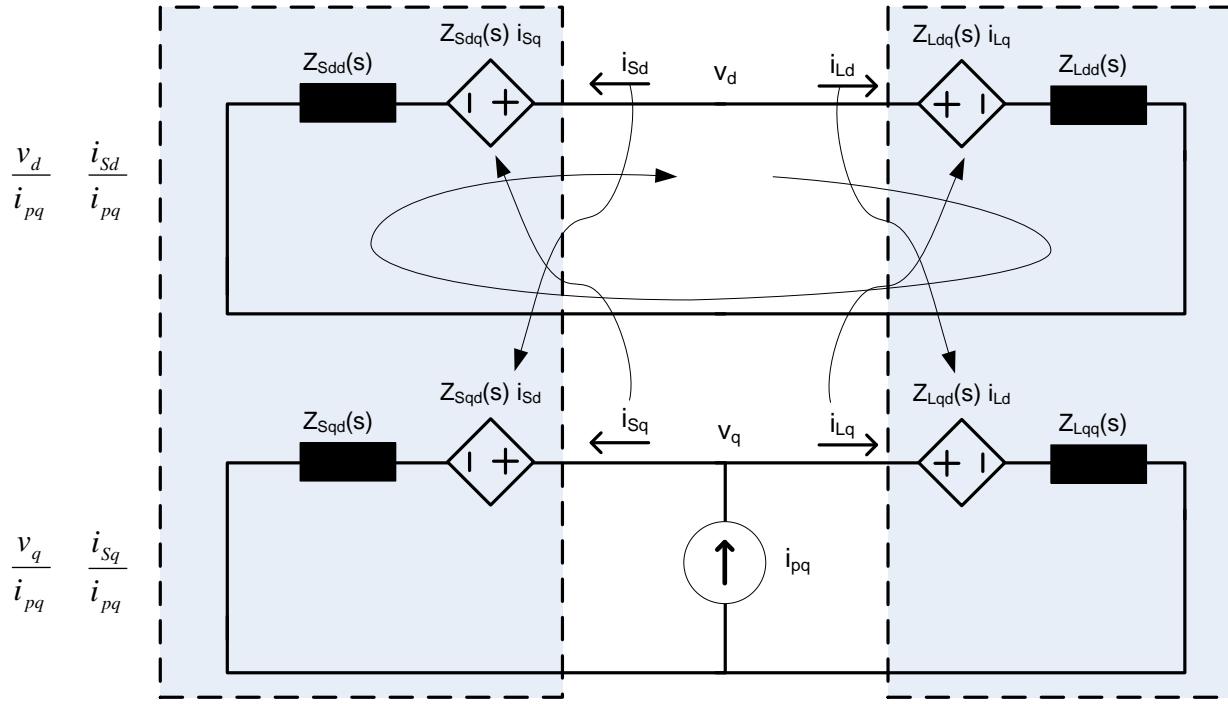


Fig. 2-4: Injecting Current On the Q-axis

A critical fact to note here is that since all the impedances are small-signal measurements and the transfer functions obtained are small-signal phenomena, we can use the principle of superposition. For a successful measurement, our system must remain the same across multiple injections if we are to excite them several times, and thus we require the D-Q system to be time-invariant during this injection, implying that without perturbation, the trajectory of the current and voltage vectors on the D-Q plane can be mapped to a point. As such, we can measure these transfer functions either simultaneously or sequentially, and by repeating an injection while measuring the same inputs and outputs, we will get the same transfer function.

B. Calculating Impedance – System of Equations

If all transfer functions in the system can be directly measured, one can calculate the impedance by solving two sets of linearly independent equations. The first set of equations is given as follows:

$$\begin{bmatrix} v_{d1}(s) \\ v_{q1}(s) \end{bmatrix} = \begin{bmatrix} Z_{Ldd}(s) & Z_{Ldq}(s) \\ Z_{Lqd}(s) & Z_{Lqq}(s) \end{bmatrix} \begin{bmatrix} i_{Ld1}(s) \\ i_{Lq1}(s) \end{bmatrix} \quad (4)$$

This equation shows the transfer function relationship between the voltages and the currents, and as such does not consider exogenous signal content such as noise or external sources.

$$\begin{bmatrix} v_{d2}(s) \\ v_{q2}(s) \end{bmatrix} = \begin{bmatrix} Z_{Ldd}(s) & Z_{Ldq}(s) \\ Z_{Lqd}(s) & Z_{Lqq}(s) \end{bmatrix} \begin{bmatrix} i_{Ld2}(s) \\ i_{Lq2}(s) \end{bmatrix} \quad (5)$$

As we can see in (5), a critical assumption is that the impedance does not change, which is the same as in the first equation. Recombining these equations gives:

$$\begin{bmatrix} v_{d1}(s) \\ v_{d2}(s) \end{bmatrix} = \begin{bmatrix} i_{Ld1}(s) & i_{Lq1}(s) \\ i_{Ld2}(s) & i_{Lq2}(s) \end{bmatrix} \begin{bmatrix} Z_{Ldd}(s) \\ Z_{Ldq}(s) \end{bmatrix} \quad (6)$$

Similarly,

$$\begin{bmatrix} v_{q1}(s) \\ v_{q2}(s) \end{bmatrix} = \begin{bmatrix} i_{Ld1}(s) & i_{Lq1}(s) \\ i_{Ld2}(s) & i_{Lq2}(s) \end{bmatrix} \begin{bmatrix} Z_{Lqd}(s) \\ Z_{Lqq}(s) \end{bmatrix} \quad (7)$$

Taking the transpose (denoted with operator \top) and stacking these gives:

$$\begin{bmatrix} v_{Ld1}(s) & v_{Lq1}(s) \\ v_{Ld2}(s) & v_{Lq2}(s) \end{bmatrix} = \begin{bmatrix} Z_{Ldd}(s) & Z_{Ldq}(s) \\ Z_{Lqd}(s) & Z_{Lqq}(s) \end{bmatrix} \begin{bmatrix} i_{Ld1}(s) & i_{Lq1}(s) \\ i_{Ld2}(s) & i_{Lq2}(s) \end{bmatrix}^\top \quad (8)$$

Multiplying from the right by the inverse of the current matrix gives:

$$\begin{bmatrix} v_{Ld1}(s) & v_{Lq1}(s) \\ v_{Ld2}(s) & v_{Lq2}(s) \end{bmatrix} \begin{bmatrix} i_{Ld1}(s) & i_{Lq1}(s) \\ i_{Ld2}(s) & i_{Lq2}(s) \end{bmatrix}^{-\top} = \begin{bmatrix} Z_{Ldd}(s) & Z_{Ldq}(s) \\ Z_{Lqd}(s) & Z_{Lqq}(s) \end{bmatrix} \quad (9)$$

Where $-\top$ denotes the inverse of the transpose (or the transpose of the inverse). It is to be noted here that the current vectors must be linearly independent.

C. Calculating Impedance – Linear regression

The second approach to calculating the impedance used is linear regression. If a set of current vectors are applied to the system, an aggregate matrix of these vectors can be written as:

$$I_{LAgg}(s) \triangleq \begin{bmatrix} i_{Ld1}(s) & i_{Lq1}(s) \\ i_{Ld2}(s) & i_{Lq2}(s) \\ \vdots & \vdots \\ i_{Ldn}(s) & i_{Lqn}(s) \end{bmatrix} \in \mathbb{C}^{n \times 2} \quad (10)$$

and a corresponding set of voltage vectors can be represented as:

$$V_{Agg}(s) \triangleq \begin{bmatrix} v_{d1}(s) & v_{q1}(s) \\ v_{d2}(s) & v_{q2}(s) \\ \vdots & \vdots \\ v_{dn}(s) & v_{qn}(s) \end{bmatrix} \in \mathbb{C}^{n \times 2} \quad (11)$$

Then an estimate of the impedance can be found via linear regression:

$$\begin{bmatrix} Z_{Ldd}(s) & Z_{Ldq}(s) \\ Z_{Lqd}(s) & Z_{Lqq}(s) \end{bmatrix} = \left(I_{LAgg}(s)^\top I_{LAgg}(s) \right)^{-1} I_{LAgg}(s)^\top V_{Agg}(s) \quad (12)$$

Similarly, one may repeat this for the source side impedance:

$$\begin{bmatrix} Z_{Sdd}(s) & Z_{Sdq}(s) \\ Z_{Sqd}(s) & Z_{Sqq}(s) \end{bmatrix} = \left(I_{SAgg}(s)^\top I_{SAgg}(s) \right)^{-1} I_{SAgg}(s)^\top V_{Agg}(s) \quad (13)$$

where

$$I_{SAgg}(s) \triangleq \begin{bmatrix} i_{Sd1}(s) & i_{Sq1}(s) \\ i_{Sd2}(s) & i_{Sq2}(s) \\ \vdots & \vdots \\ i_{Sdn}(s) & i_{Sqn}(s) \end{bmatrix} \in \mathbb{C}^{n \times 2} \quad (14)$$

D. Voltage Perturbation Magnitude Consideration

Since the injection is in shunt configuration, the current will split according to the relative values of the load and source, forming a current divider between the load and source subsystems. The perturbation can be determined by comparing the two impedance equations at the point of common coupling:

$$\begin{bmatrix} v_d(s) \\ v_q(s) \end{bmatrix} = \begin{bmatrix} Z_{Ldd}(s) & Z_{Ldq}(s) \\ Z_{Lqd}(s) & Z_{Lqq}(s) \end{bmatrix} \begin{bmatrix} i_{Ld}(s) \\ i_{Lq}(s) \end{bmatrix} \quad (15)$$

The current flows into the source:

$$\begin{bmatrix} v_d(s) \\ v_q(s) \end{bmatrix} = \begin{bmatrix} Z_{Sdd}(s) & Z_{Sdq}(s) \\ Z_{Sqd}(s) & Z_{Sqq}(s) \end{bmatrix} \begin{bmatrix} i_{Sd}(s) \\ i_{Sq}(s) \end{bmatrix} \quad (16)$$

The following two equations are also equal to each other:

$$\begin{bmatrix} Z_{Ldd}(s) & Z_{Ldq}(s) \\ Z_{Lqd}(s) & Z_{Lqq}(s) \end{bmatrix} \begin{bmatrix} i_{Ld}(s) \\ i_{Lq}(s) \end{bmatrix} = \begin{bmatrix} Z_{Sdd}(s) & Z_{Sdq}(s) \\ Z_{Sqd}(s) & Z_{Sqq}(s) \end{bmatrix} \begin{bmatrix} i_{Sd}(s) \\ i_{Sq}(s) \end{bmatrix} \quad (17)$$

Taking note of the source-side current definition, the nodal equation at the point of common coupling becomes the following.

$$\begin{bmatrix} i_{Pd}(s) \\ i_{Pq}(s) \end{bmatrix} = \begin{bmatrix} i_{Ld}(s) \\ i_{Lq}(s) \end{bmatrix} + \begin{bmatrix} i_{Sd}(s) \\ i_{Sq}(s) \end{bmatrix} \quad (18)$$

Substituting the two impedance equations gives:

$$\begin{bmatrix} i_{Pd}(s) \\ i_{Pq}(s) \end{bmatrix} = \begin{bmatrix} Z_{Ldd}(s) & Z_{Ldq}(s) \\ Z_{Lqd}(s) & Z_{Lqq}(s) \end{bmatrix}^{-1} \begin{bmatrix} v_d(s) \\ v_q(s) \end{bmatrix} + \begin{bmatrix} Z_{Sdd}(s) & Z_{Sdq}(s) \\ Z_{Sqd}(s) & Z_{Sqq}(s) \end{bmatrix}^{-1} \begin{bmatrix} v_d(s) \\ v_q(s) \end{bmatrix} \quad (19)$$

Factoring the voltages out and moving the impedances to the left of (17) gives:

$$\begin{bmatrix} v_d(s) \\ v_q(s) \end{bmatrix} = \left[\begin{bmatrix} Z_{Ldd}(s) & Z_{Ldq}(s) \\ Z_{Lqd}(s) & Z_{Lqq}(s) \end{bmatrix}^{-1} + \begin{bmatrix} Z_{Sdd}(s) & Z_{Sdq}(s) \\ Z_{Sqd}(s) & Z_{Sqq}(s) \end{bmatrix}^{-1} \right]^{-1} \begin{bmatrix} i_{Pd}(s) \\ i_{Pq}(s) \end{bmatrix} \quad (20)$$

It can be seen that if either impedance is significantly small, it is hard to make a voltage perturbation from the perturbation currents. This restricts the impedances with which the analyzer can accurately work.

E. Current Perturbation Magnitude

Taking (18) and substituting in the load impedance gives:

$$\begin{aligned} & \begin{bmatrix} Z_{Ldd}(s) & Z_{Ldq}(s) \\ Z_{Lqd}(s) & Z_{Lqq}(s) \end{bmatrix} \begin{bmatrix} i_{Ld}(s) \\ i_{Lq}(s) \end{bmatrix} \\ &= \left[\begin{bmatrix} Z_{Ldd}(s) & Z_{Ldq}(s) \\ Z_{Lqd}(s) & Z_{Lqq}(s) \end{bmatrix}^{-1} + \begin{bmatrix} Z_{Sdd}(s) & Z_{Sdq}(s) \\ Z_{Sqd}(s) & Z_{Sqq}(s) \end{bmatrix}^{-1} \right]^{-1} \begin{bmatrix} i_{Pd}(s) \\ i_{Pq}(s) \end{bmatrix} \end{aligned} \quad (21)$$

Multiplying from the left by the inverse of the load impedances gives:

$$\begin{bmatrix} i_{Ld}(s) \\ i_{Lq}(s) \end{bmatrix} = \begin{bmatrix} Z_{Ldd}(s) & Z_{Ldq}(s) \\ Z_{Lqd}(s) & Z_{Lqq}(s) \end{bmatrix}^{-1} \left[\begin{bmatrix} Z_{Ldd}(s) & Z_{Ldq}(s) \\ Z_{Lqd}(s) & Z_{Lqq}(s) \end{bmatrix}^{-1} + \begin{bmatrix} Z_{Sdd}(s) & Z_{Sdq}(s) \\ Z_{Sqd}(s) & Z_{Sqq}(s) \end{bmatrix}^{-1} \right]^{-1} \begin{bmatrix} i_{Pd}(s) \\ i_{Pq}(s) \end{bmatrix} \quad (22)$$

F. Frequency Content in ABC Domain

The signal $X_{dq}(s)$ has corresponding time domain values $x_{dq}(t)$. One may apply the D-Q to ABC transformation (the inverse of (2) without the 0-axis):

$$\begin{bmatrix} x_a(t) \\ x_b(t) \\ x_c(t) \end{bmatrix} = \sqrt{\frac{2}{3}} \begin{bmatrix} \cos(\omega t) & \sin(\omega t) \\ \cos(\omega t - \frac{2\pi}{3}) & \sin(\omega t - \frac{2\pi}{3}) \\ \cos(\omega t + \frac{2\pi}{3}) & \sin(\omega t + \frac{2\pi}{3}) \end{bmatrix} \begin{bmatrix} x_d(t) \\ x_q(t) \end{bmatrix} \quad (23)$$

It can be seen that if a sinusoidal perturbation is present on $x_d(t)$ at a frequency of ω_p , then the resulting transform signals in the ABC domain will have components at frequencies which are $\omega \pm \omega_p$. If the perturbation frequency equals the line frequency, the two components become perturbations at frequencies of 0 (DC) and 2ω . This will be problematic for systems containing transformers, as they will saturate.

II. Model of System

While the above approach models the system in D-Q, several components are required when implementing the actual system. Many components in the above system were considered ideal, such as sensors, signal processing and sampling, and knowledge of the D-Q frame alignment. In practice many of these issues will add complexity to the system.

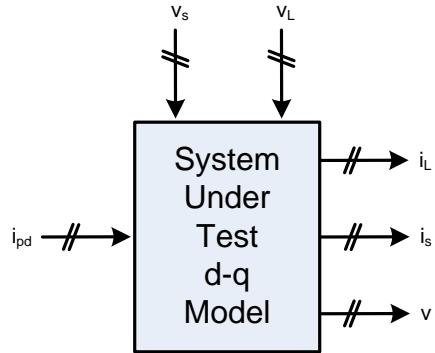


Fig. 2-5: System Inputs and Outputs

A. Network Analyzer

Many vendors produce specialized equipment to measure transfer functions directly. This equipment is generally considered reliable and commercially available, and it would therefore be beneficial to take advantage of this equipment in the system design. Such equipment generally has three ports. The first port is the location at which to inject a perturbation. The second and third ports, referred to as the reference (R) and the input (A), are for measurements. The equipment measures the transfer function from R to A by injecting on a terminal (here, referred to as RFOUT). A diagram of the tester is shown in Fig. 2-6.

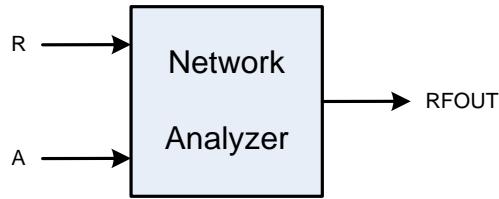


Fig. 2-6: Network Analyzer Block Diagram

B. Impedance Tester Model

The tester must measure the system under test, transform the variables to D-Q and present them to a three-port analyzer. We can now construct an interface between the system under test and the analyzer.

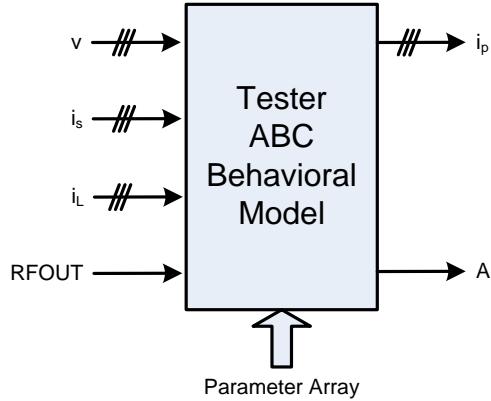


Fig. 2-7: Tester Injection and Measurement Unit Interface

C. System Model and Construction

When all three components are assembled, the tester is able to measure impedances one channel at a time. The perturbation enters the system as it's created by the impedance tester, and the system will, as a result of this perturbation, produce responses on the voltage at the point of injection, the source, and the load impedances. These impedances will be transformed via signal processing and presented as an output in the D-Q frame.

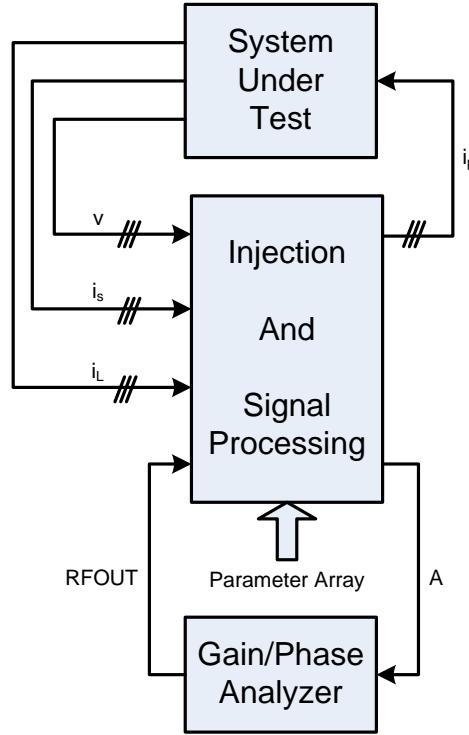


Fig. 2-8: System Diagram of Tester with Analyzer

III. Representing System Dynamics

In order to understand the effect of each component in series, it is important to construct a model of the system using control systems theory. As such, it is important to first understand how a network of electrical impedances can be represented in block diagram format.

A. Single Phase Example

As an example, a single L-R network can be used with dual sources and an injection reference, which is similar to the architecture described above. All quantities are scalar.

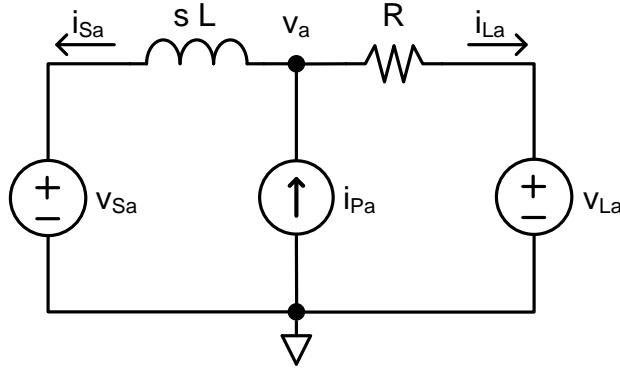


Fig. 2-9: Sample System

The system can be represented by using either the admittance or impedance to formulate feedback systems which mimic the loading effects of each block on the other. The above system can be represented as shown in Fig. 2-10.

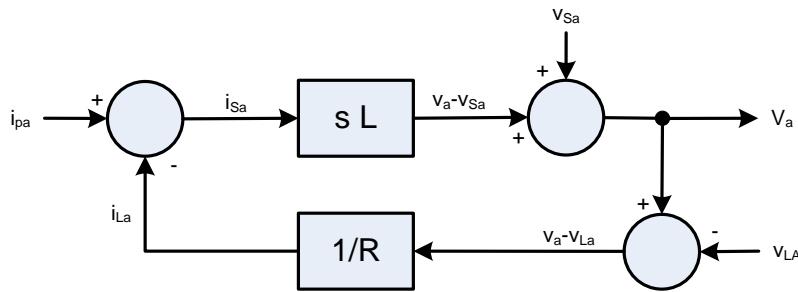


Fig. 2-10: Block Diagram Representation of System

It can be seen in this system that the current passing through the inductor causes a voltage across the inductor, which is the difference between the source voltage and the midpoint voltage. Adding the source voltage will give the midpoint voltage. This voltage is the same voltage that is applied to the load and its corresponding supply. Subtracting the voltage of the supply leaves the voltage across the load itself. Multiplying by the load admittance will produce the load current. This current, along with the shunt current shown in the center leg (keeping in mind the direction of the current), form the difference that is equal the source current, thus closing the loop. The system has three inputs – the shunt current, the load-side supply and the source-side supply.

It can be demonstrated that this is valid, and the block diagram may be manipulated by removing some of the sources to reconstruct familiar situations. Removing the source and load gives the diagram shown in Fig. 2-11.

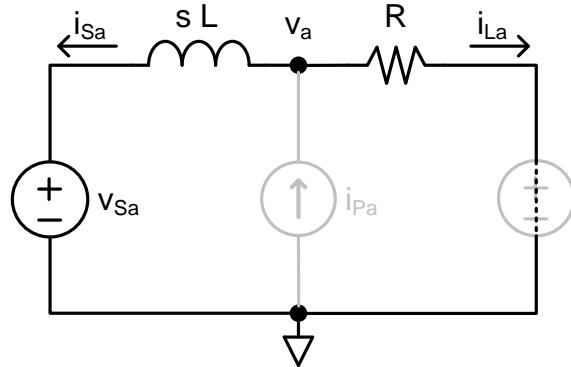


Fig. 2-11: Sample impedance with shunt current and load side supply removed

To calculate V_a , one may simply use a voltage divider, immediately providing $R/(R+s L)$ V_{sa} as the voltage at V . Using feedback diagrams and removing unnecessary elements, one may use the block diagram to get the same result shown in Fig. 2-12. The diagram on the left is produced by removing the unused inputs and replacing the summing junction with an inverting gain, as the second input of the junction was not used. The diagram can be simplified to that shown on the right-hand side of the diagram.

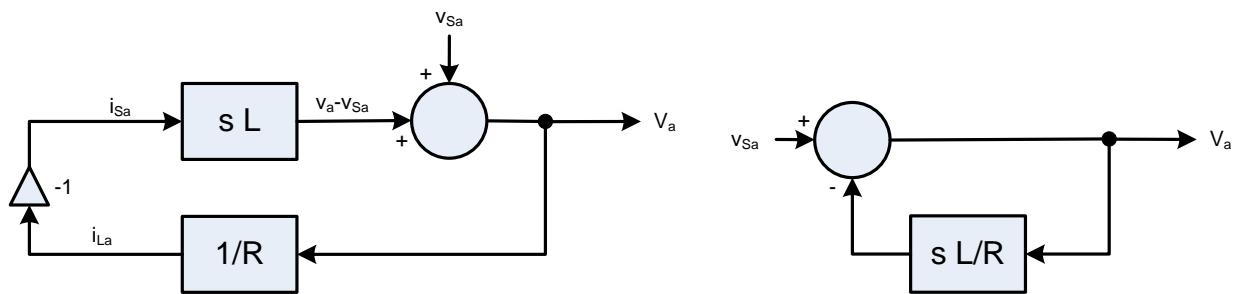


Fig. 2-12: Block diagram of example with perturbation and load side supply removed.

Applying the feedback formula will produce the correct output:

$$v_a = \frac{1}{1 + sL/R} v_{sa} = \frac{R}{R + sL} v_{sa} \quad (24)$$

Any variable may be selected as the output of interest. A similar manipulation will provide the current as:

$$i_{La} = \frac{1/R}{1 + sL/R} v_{sa} = \frac{1}{R + sL} v_{sa} \quad (25)$$

which one may immediately recognize as the conductance of the circuit. One may repeat this for the other two sources. A particular example of interest, as will be seen later, is the shunt current, shown in Fig. 2-13.

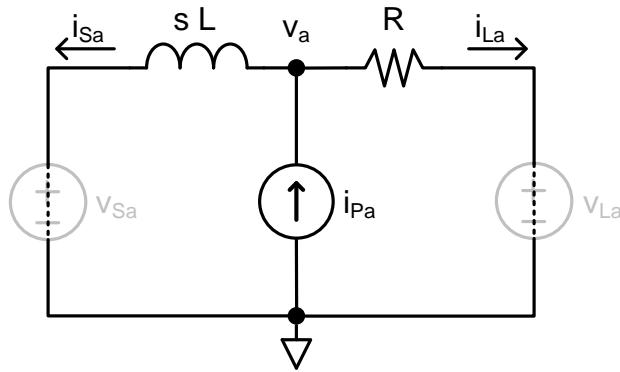


Fig. 2-13: Injecting Shunt Current Into Example

The block diagram can be simplified to the following by removing the unused inputs:

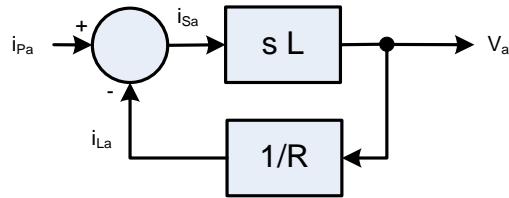


Fig. 2-14: Simplified Block Diagram Representing Shunt Injection into Example

The voltage may be found via the feedback formula:

$$v_a = \frac{sL}{1 + sL/R} i_{Pa} = \frac{RsL}{R + sL} i_{Pa} \quad (26)$$

which can be seen as the parallel impedance of the source and load.

If one were to use the response of v_a and also repeat for i_{La} , one could divide these two responses to get impedance R . However, if either R or L were set very small, the corresponding response on v_a would be very small, which may introduce practical challenges associated with measuring such a small response to perform this calculation.

B. Application to Three-Phase D-Q Systems

Applying this to a three-phase D-Q system, one may represent the systems described by Fig. 2-2 with the following block diagram.

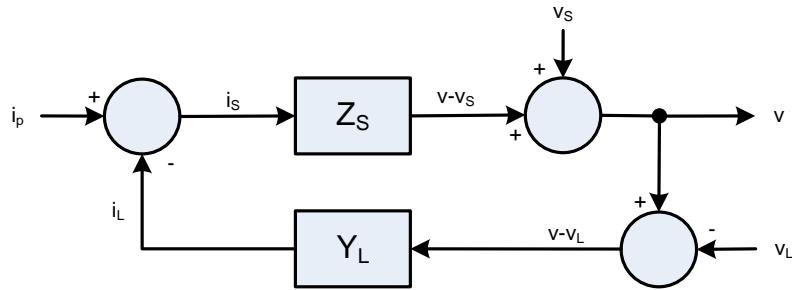


Fig. 2-15: Block Diagram Representation of System Under Test

In this diagram all lines have been vectorized, and all variables are a vector of size 2. Z_s and Y_L are both 2×2 matrices of transfer functions. While this is not the finalized block diagram of our system, it provides a basis on which one can develop a model of the measurement and injection system.

Injecting current into the system via i_p , one can get the transfer function to the corresponding change at the point of common coupling between the two systems and the injection:

$$v = [\mathbb{I} + Y_L Z_S]^{-1} Z_S i_p \quad (27)$$

One may similarly obtain the load current:

$$i_L = [\mathbb{I} + Y_L Z_S]^{-1} Y_L Z_S i_p \quad (28)$$

One may use this information as described earlier to solve for $Y_L = Z_L^{-1}$ or the source impedance Z_s . This diagram will be used in the future to build sensors and other elements into the model.

IV. Phase-Locked Loop

For a given D-Q frame alignment, the input impedance in general has the form

$$Z = \begin{bmatrix} Z_{dd} & Z_{dq} \\ Z_{qd} & Z_{qq} \end{bmatrix} \quad (29)$$

It can be seen that if the impedance is not rotationally invariant, then different D-Q frame alignments will produce different impedances. Therefore, it is by convention in this dissertation that all D-Q frame alignments done with the D-axis are aligned with the voltage vector, so the nominal Q-axis voltage is zero.

To accomplish this, a phase-locked loop can be used that makes use of the D-Q transformation [57]. The block diagram of such a PLL is shown in Fig. 2-16. Here, the ABC voltage vector is transformed to the D-Q space using reference angle θ . This reference angle is derived from the line frequency ω as its unwrapped integral. If the PLL frequency is close to the line frequency, and if v_q is positive, then the reference frame is behind the actual voltage vector. Then by increasing the reference frame speed, v_q will be driven to zero. A controller is placed in the loop to control this, and is referred to as G_{PLL} . This controller is typically a proportional-integral (PI) type controller.

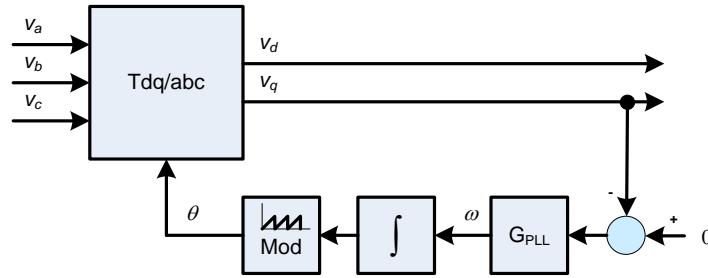


Fig. 2-16: Typical D-Q Phase Locked Loop

A. Effect of the Phase-Locked Loop on Impedance Measurement

Adding the PLL is necessary, but unfortunately doing so will cause the D-Q frame to rock as perturbations are injected. This can be seen as the injected perturbation current will cause sinusoidal fluctuations in the line voltage. The perturbation will pass into the PLL, which in turn will cause sinusoidal fluctuations in the line frequency.

B. Locking the PLL

Signal content that is not at the fundamental frequency will have effects on the angular frequency of the PLL. When measuring the impedance, it is assumed the D-Q frame is at constant frequency. If the PLL were allowed to keep changing the line frequency, this assumption would not be valid.

Alternatively, locking the PLL during a sweep may work in theory if the estimated line frequency were exactly the same as the actual line frequency. Since there will always be some error in the estimated and actual frequencies, locking the PLL would allow the D-Q frame to escape at a relative speed equal to the estimation error. Thus the measurement would not be synchronized with the voltage vector once the PLL is locked. For example, if the actual line frequency is 400 Hz, and the PLL is completely disabled when the frequency is 399 Hz, the voltage vector will rotate on the D-Q plane at 1 Hz, removing the steady-state operating point.

As a resolution, the PLL bandwidth can be reduced to be significantly below the lowest perturbation frequency, ensuring that there will be no noticeable effect on the PLL by the perturbation. As the PLL is still running, small errors between the PLL estimated frequency and the actual frequency can be compensated without rocking the frame. Using PI control, one may write the equations as follows:

$$\omega = k_p v_q + k_i \int v_q dt \quad (30)$$

In this form, one cannot change the gains instantaneously. Simply moving the gain through the integral term, however, allows for sudden changes in the value of k_i :

$$\omega = k_p v_q + \int k_i v_q dt \quad (31)$$

Upon achieving a lock on the voltage, v_q will be very small. It is therefore possible to make step changes in k_p and k_i without making a significant step change in ω . Thus the gain of the PLL can be changed on the fly by implementing (31) instead of (30), although for constants k_p and k_i , these two equations are equivalent.

C. Phase Locked Loop Accuracy and Imbalances

While the ideal voltage vector is sinusoidal with all three voltage vectors equal in magnitude, as described in (1); in practice, the three voltage vectors are not going to be equal. The resulting imbalances will make the steady-state voltage vector oscillate at a frequency of 2ω [57]. We can see that this is possible by considering the fact that an imbalance in voltage produces a positive and a negative sequence component. The zero sequence component does not transfer to the D-Q frame, and is normal to it. The D-Q frame rotates with the voltage vector. The negative sequence rotates in the opposite direction of the D-Q frame at the same line frequency. Thus, from the D-Q frame, it would appear that the negative sequence is rotating at twice the line frequency in the opposite direction.

Depending on the amount of imbalance in the line voltages, the oscillations may be significant enough to affect the phase-locked loop. To mitigate this, a phase-locked loop may be used that isolates the positive sequence of the voltage, such as the one used in [58].

Such a PLL makes use of two rotating reference frames. The dynamics between the two reference frames are decoupled via a state-space system, providing as its output four variables: v_d^+ , v_q^+ , v_d^- , and v_q^- . Although the reference frame used in [58] is more general and can eliminate any harmonic, it can be simplified to operate only with the positive and negative sequences, simplifying its implementation. The only variable under control is the positive sequence Q-axis voltage, v_q^+ as shown in Fig. 2-17.

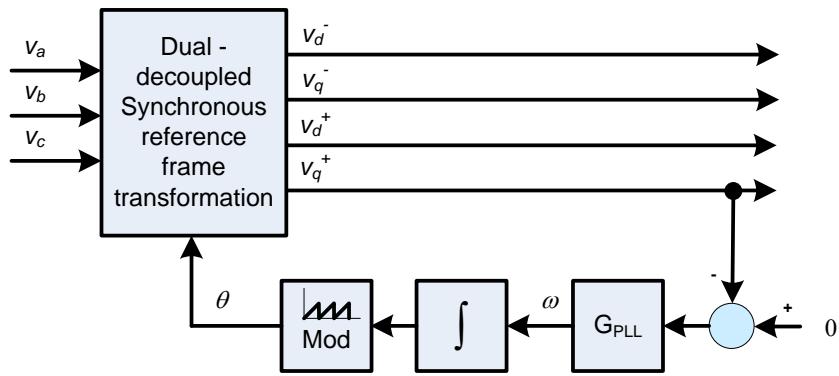


Fig. 2-17: Dual Decoupled Synchronous Reference Frame based PLL

V. Summary

We have constructed a block diagram with unidirectional signal flow which represents the system under test. It was shown from this block diagram how one may calculate the current entering the load, as well as the voltage response from a current injection, which will be useful for determining the magnitude of the responses obtained from injection.

An algorithm has been developed that allows for multiple shunt current injections between the load and source subsystems to identify the input impedance of the load or the output impedance of the source of a three-phase AC network in the D-Q domain.

We've discussed the use of a phase-locked loop to synchronize the reference frame of the instrument with the rotating voltage vector that is measured. The PLL chosen mitigates the effect of unbalance in the system. It was shown that the PLL has to be present and operational during the sweep, but should be reduced to a low bandwidth prior to measurement to ensure the PLL frequency is not disturbed by the injected perturbation during the measurement process.

Chapter 3. Hardware Implementation Architecture

This chapter discusses the hardware architecture and factors to consider when constructing the actual measurement system. Several aspects of the design—ranging from the power stage, to the analog processing, to the digital processing—will be considered. The solution will be derived from a set of requirements specified *a priori*. Factors found during implementation will be discussed, identifying both the recommended and required features of the specified architecture and its components.

I. System Architecture

The design of the system architecture can be posed as a recursive problem, where the problem can be broken up into several subsystems which implement key functions. These subsystems are partitioned to isolate functionality, improve debugging and reduce development cycle time, and improve component re-use. As defined in Chapter 2, the key hardware requirements can be summarized as follows:

1. The system must be able to inject a specified waveform used as a perturbation into the system during its operation.
2. The system must be able to measure the resulting currents and voltages produced by the system under test.
3. The system must be able to apply the required transformations.
4. The system must be able to output a second set of signals in the rotating domain.
5. The system must interact with the user, who configures the system parameters and coordinates the measurement.
6. The system must be able to present the results back to the user.
7. The system must be able to coordinate the measurement process.

The system should do these with the following desired characteristics:

1. Minimal noise

2. Maximal bandwidth
3. Minimal error
4. Maximum repeatability (accuracy)

The non-deal characteristics of the components used to implement the solution will influence the measured results. These non-idealities are some of the key elements which differentiate an actual implementation from a simple simulation, and thus ultimately limit the measurement instrument's capabilities.

The choice of the subsystem boundaries are also strongly influenced by the available technologies used to implement the system. The following are chosen as the subsystems. The subsystem boundaries are chosen also as a way to abstract the power level from the other modules:

- Power Processing Subsystem
- Signal Conditioning Subsystem (analog + digital)
- (Supervisory) Control and HMI

II. Overview of System

The hardware for the impedance tester consists of a PC controlling a network analyzer and a universal controller (DSP-based digital controller). The controller in turn was connected to a specialized analog interface specifically designed for this application. The analog interface provided three analog references to three current amplifiers, which were transformer-coupled to the power system. Sensors were placed to measure the current and voltage responses as well as the fundamental, and the sensor responses were sent back to the specialized analog processing board. The entire system uses isolated power supplies on each sensor and interface to prevent ground loops and minimize the measurement noise which can be created from such loops [59].

A conceptual block diagram showing the system is provided for reference in Fig. 3-1.

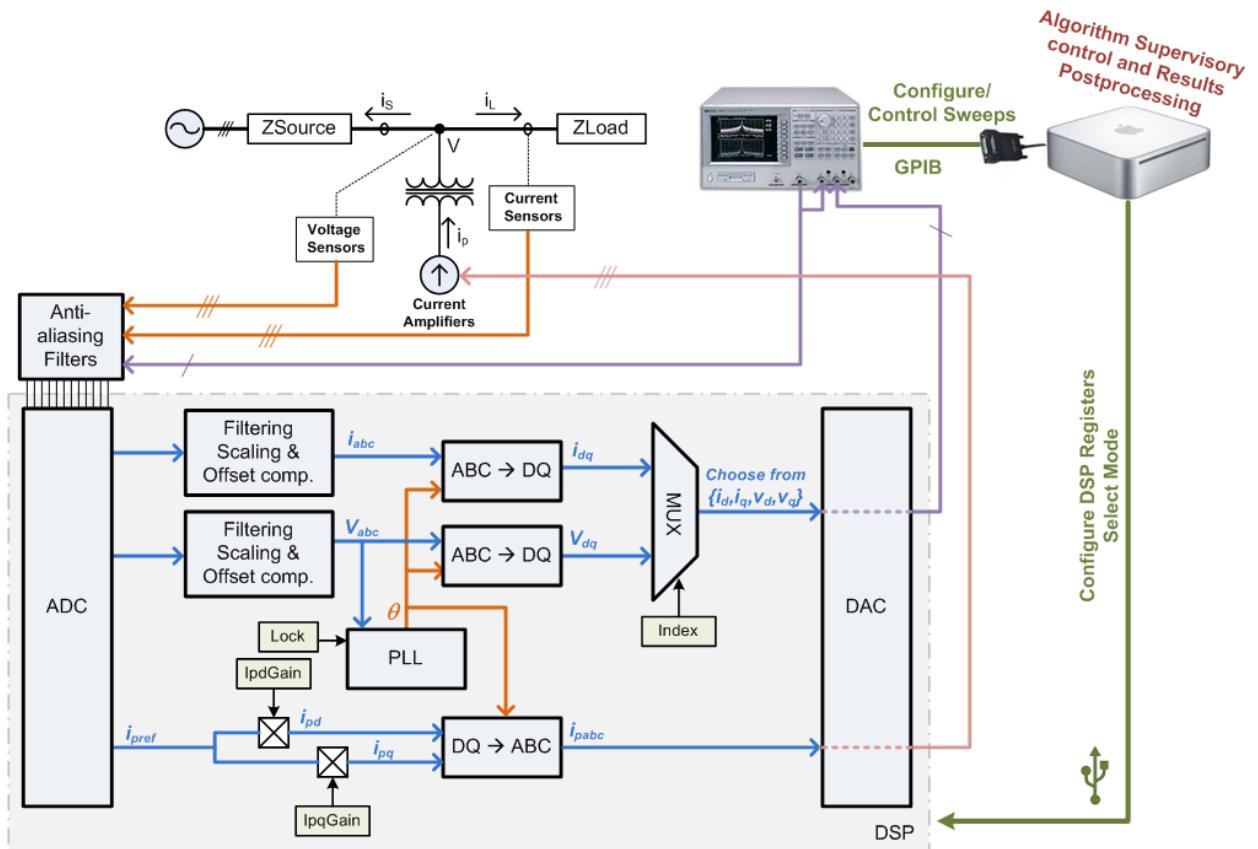


Fig. 3-1: System Block Diagram

III. Subsystem Key Functions and Interfaces Overview

The subsystems are chosen to meet the functional requirements of the application. The three subsystems are configured as shown in Fig. 3-2.

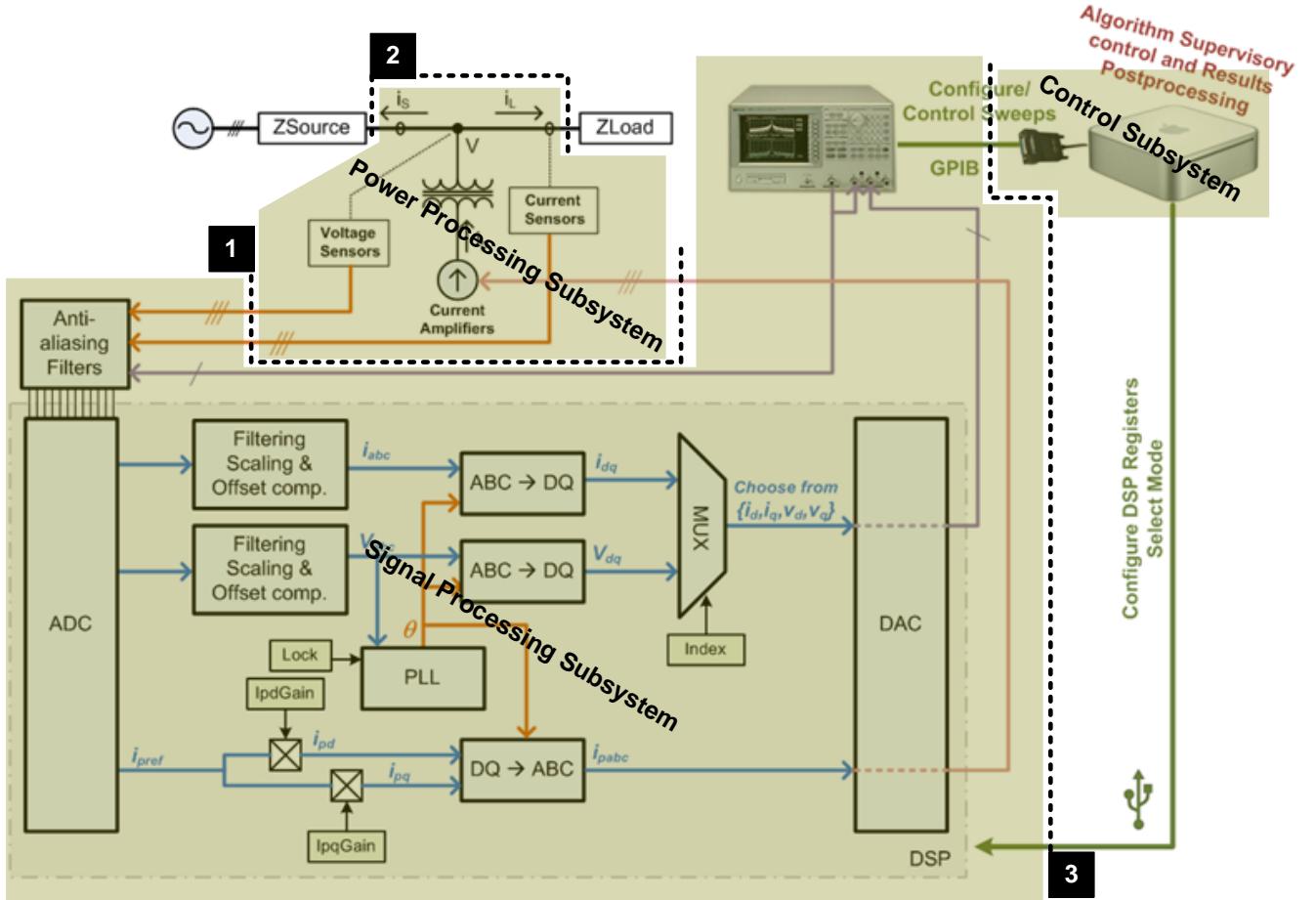


Fig. 3-2: Subsystem Definitions

A. Power Processing Subsystem

The power processing subsystem is responsible for managing the power as related to the application. Injection equipment and sensors appear at the boundary between this subsystem and the signal-conditioning subsystem. Existing equipment may be used, swapping out the power processing subsystem for a different one to adapt the measurement unit to a different subsystem, leading to a scalable (power level can be adjusted), modular and flexible (can be developed in parallel) architecture.

The power processing subsystem has two interfaces. The first is the power ports used to connect the test unit to the system under test (Interface 2 in Fig. 3-2). The second is the

measured currents and voltages from the transducers, and the reference current outputs (Interface 1 in Fig. 3-2).

B. Signal-Conditioning Subsystem

The signal-conditioning subsystem attempts to provide ideal analog inputs and outputs, eliminating noise and preparing the signals for digital sampling. Furthermore, it is responsible for synchronizing the transformation with the voltage, performing the transformation, providing the perturbation references and identifying the actual transfer functions. Included in this subsystem is the gain / phase analyzer used to identify the transfer function via a frequency sweep. This subsystem consists of both analog and digital processing hardware.

This subsystem has two major interfaces. The first is the interface to the power processing subsystem, which provides the reference perturbation currents and reads the measured currents and voltages to the power stage. This interface is an analog interface. The second major interface is that which communicates with the control component. This component can be considered to be composed of two pieces. One is the DQ transformation control, and the other is the control that acquires the transfer function. Both are digital.

C. Control and HMI subsystem

The control and HMI subsystem is responsible for coordinating the major actions needed to acquire the impedance, which consists of instructing sweeps to be performed, and configuring the signal-processing subsystem. The user controls the parameters of the sweep via this module, which is also used to present the results to the user. For the purposes of this implementation, this module is implemented as a PC.

This module has two major interfaces, one being the results interface which is presented via a text and graphical front end to the user, and the second is the interface which controls the signal processing unit.

IV. Power Processing Subsystem – Injection

The power processing subsystem has two major functions. Firstly, it is responsible for injecting the perturbation into the system. Secondly, it is responsible for measuring the resulting changes in the currents and voltages at the interface where the impedance is to be acquired. The subsystem is shown in Fig. 3-3.

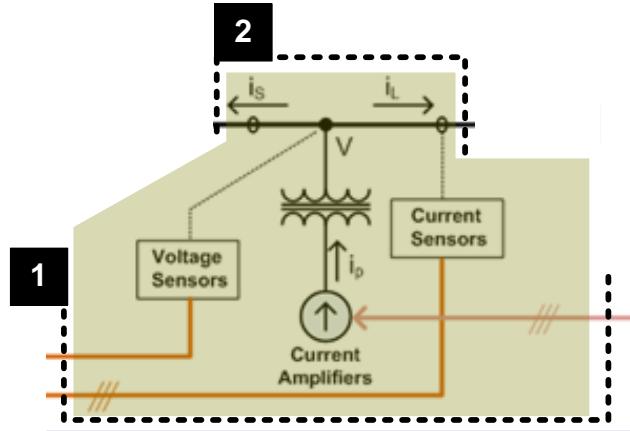


Fig. 3-3: Power Processing Subsystem Showing Interfaces 1 and 2

The system under test shown in Fig. 2-8 has as its input three current sources. It is necessary to construct a mechanism to inject these three currents in order to cause a perturbation in the system. It is also necessary to find a way to bring back the voltages and currents to a processing mechanism so that the resultant ABC domain data can be transformed to the D-Q domain.

As outlined in Chapter 1, several attempts have been made to create this perturbation source, although in those implementations, they were modulating impedances and using voltages which in turn caused currents, and did not actively control the current. The approach taken here uses three linear amplifiers operating in current mode. These amplifiers are isolated from the main power system via transformers. The input to the amplifiers is a signal i_{pxref} where x is a, b, or c. The output of the amplifiers is the injected current into the system. Each phase has a configuration as shown in Fig. 3-4.

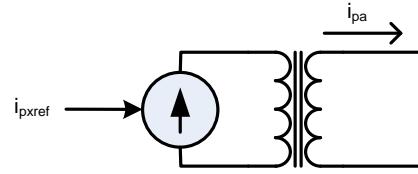


Fig. 3-4: Injection Reference to Injection Current

V. Power Processing Subsystem – Measurement

The same system has as outputs three sets of measurements. These measurements are implemented via sensors. The sensors measure the line-to-neutral voltage, and the line current in the ABC domain. Sensors need to measure the full line current and voltage and translate the levels down to that which can be converted to a signal for use in digital processing. Additional requirements regarding isolation and high bandwidth, while having a guaranteed maximum and minimum signal level, make hall-effect current and voltage sensors a desirable solution.

A challenge in sensor design involves the requirement of the sensor to measure the full stationary frame measurement variables, even though all we are interested in is the small perturbation on top of it. Thus the sensors must have a large range, most of which will not be used for small-signal processing. Thus it is important to use as much of the dynamic range as possible for each sensor. Furthermore, based on the impedance ratio between the source and the load, the majority of the perturbation may flow in the opposite direction to the current which is being measured, producing an even smaller response. It is therefore important to choose good sensors.

A. Current Sensors

i) Sensor Selection

Many kinds of current sensors are available and in development for use in power applications, such as those mentioned in the overview provided in [60]. Examples of current sensors that may be used are:

- Resistive current shunts
- Hall effect current sensors

- Current transformers
- Rogowski Coils
- Giant Magnetoresistive effect sensors (GMR)
- Giant Magnetoimpedance effect sensors (GMI)

The selection of a current sensor for use in three phase impedance measurement systems should be done according to a set of performance requirements related to the application and the required bandwidth. In determining a sensor's bandwidth it is important to know how much phase shift the sensor will provide at high frequencies. This phase shift will distort the measurements at higher frequencies if it is not compensated. Added phase delay from the current sensors will make the impedance increase as the algorithms divide by the current response matrix, turning phase delay into phase gain.

While some current sensors have high bandwidth, they may not be able to reliably measure low-bandwidth signals. Impedance measurement requires accurate measurement of high and low bandwidth signals. Sensor technologies such as Rogowski sensors and hall-effect transformers may not have low enough bandwidth to reliably get measurements at very low frequencies. New hybrid technologies are emerging that may eliminate this restriction.

Furthermore, there is a challenge associated with integration of the current sensor for measurement applications into the actual system. There is a fundamental limitation related to accuracy and resolution. The current sensor must be able to measure the full line current of the application. However, only the current related to the perturbation is of interest, which is very small compared to the fundamental current. Therefore, only a small part of the total dynamic range is of use for impedance measurement.

ii) Chosen Sensors

The current sensors chosen are LEM sensors with part number HTA-300. The sensors have a 150 kHz bandwidth, as indicated by the data sheet. The sensors connect to an interface PCB that provides each sensor its own isolated power source to prevent ground loops in the measurement circuit. The sensors and the associated interface PCB are shown below.

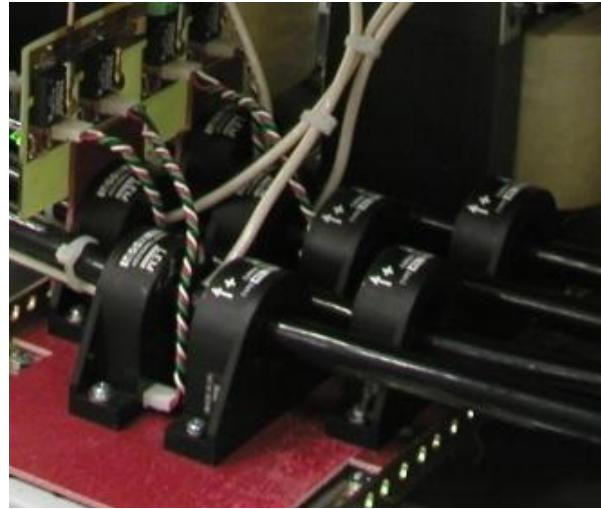


Fig. 3-5: Current Sensors

The sensor response can be modeled by a first-order response, and the corresponding frequency response is shown in Fig. 3-6.

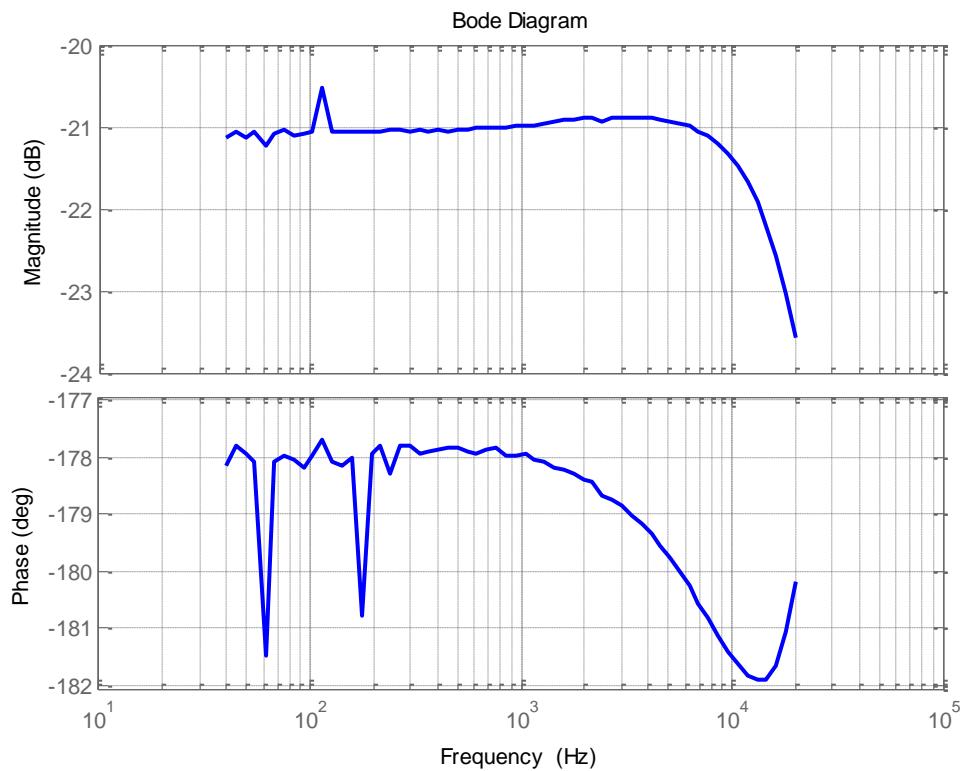


Fig. 3-6: Current Sensor Frequency Response (Negative reference)

B. Voltage Sensors

i) Sensor Selection

Almost every voltage sensor available from LEM has a very low bandwidth, and thus was unsuitable to be used for measuring impedance characteristics at high frequencies. Furthermore, when the line frequency is increased, it becomes more restricted, as the DQ transform will take components from higher frequencies. The original attempts to measure impedance were performed with available equipment, using a LV-1200-SP1 sensor. The bandwidth of this sensor was 8 kHz, and thus it limited the frequency range of the measurements. Subsequent evaluation of available LEM sensors indicated that the phase delay at the bandwidth was significant, and may be approximated by a first-order model. Phase delay in the voltage sensors will affect the phase delay of the computed impedance. Phase delay in the voltage sensors will cause the phase of the measured impedance to decrease. The first sensor chosen is shown below.



Fig. 3-7: Original Voltage Sensor

After measuring its transfer function, the sensor is found to be insufficient, as the bandwidth is too low. The measured bandwidth is shown in Fig. 3-8.

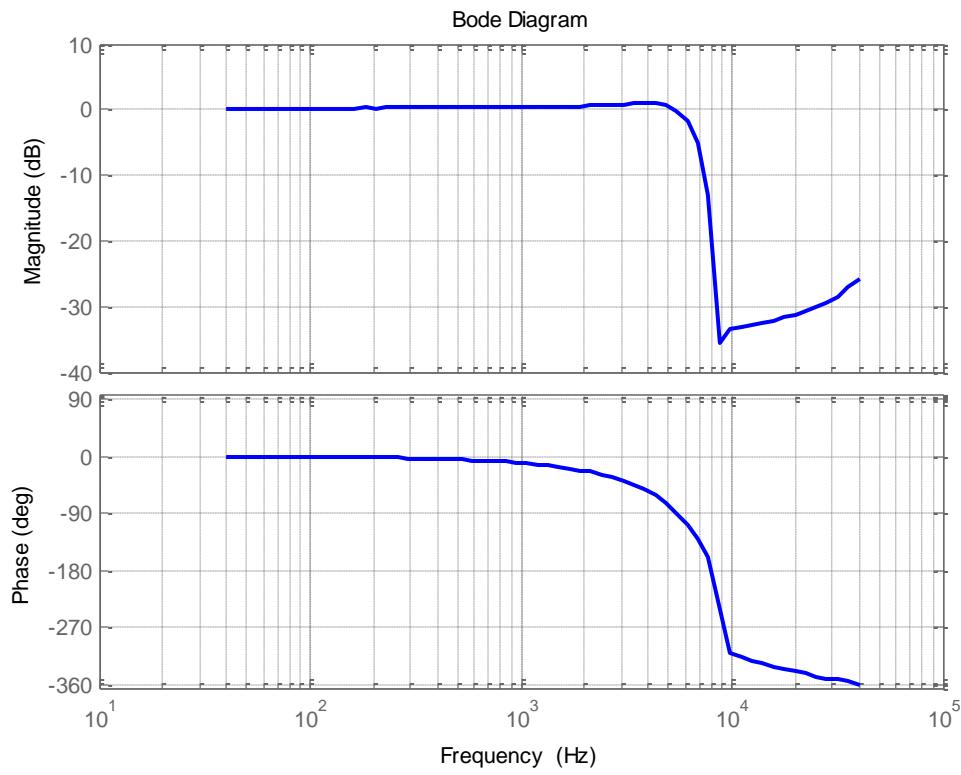


Fig. 3-8: Original Voltage Sensor Response (Gain of sensor normalized)

ii) Designed Sensor

Subsequently, a second voltage sensor was created, which is suitable for use. This sensor is much higher in frequency, and uses an isolation amplifier to measure the voltage. This voltage sensor is shown below.

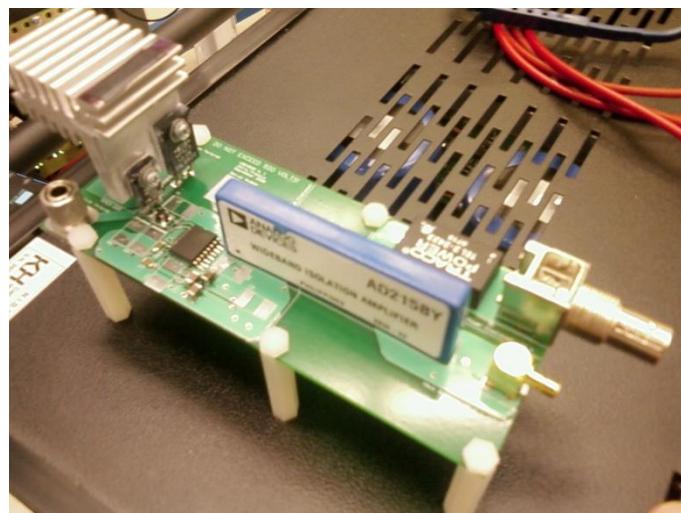


Fig. 3-9: New Custom Voltage Sensors

The sensor consists of a voltage divider with a 1:51 ratio. The maximum input voltage of the sensor is 500 volts. To increase performance, the resistive divider uses low inductance resistors with values of 10 kilo-Ohms and 200 Ohms, reducing the effect of noise at the sensors output. The resistive divider feeds an instrumentation amplifier with input protection, which accurately measures the small voltage from the sensors. The amplifier then feeds an analog device AD215 isolation amplifier, which isolates the measurement signal from the power line. It is recommended that when connecting this sensor, you connect the negative input of the sensor to the neutral conductor. The AD215 provides a separate fly-back power supply that is capable of driving the instrumentation amplifier. As the output signal from the AD215 is going to the measurement system, an isolating DC/DC converter is used that isolates the input power from the measurement system, similar to that of the current sensor. The sensor produces an output voltage ranging from +10 volts to -10 volts.

The sensors developed have the following measured transfer function from input to output, as measured with an amplifier and two differential probes:

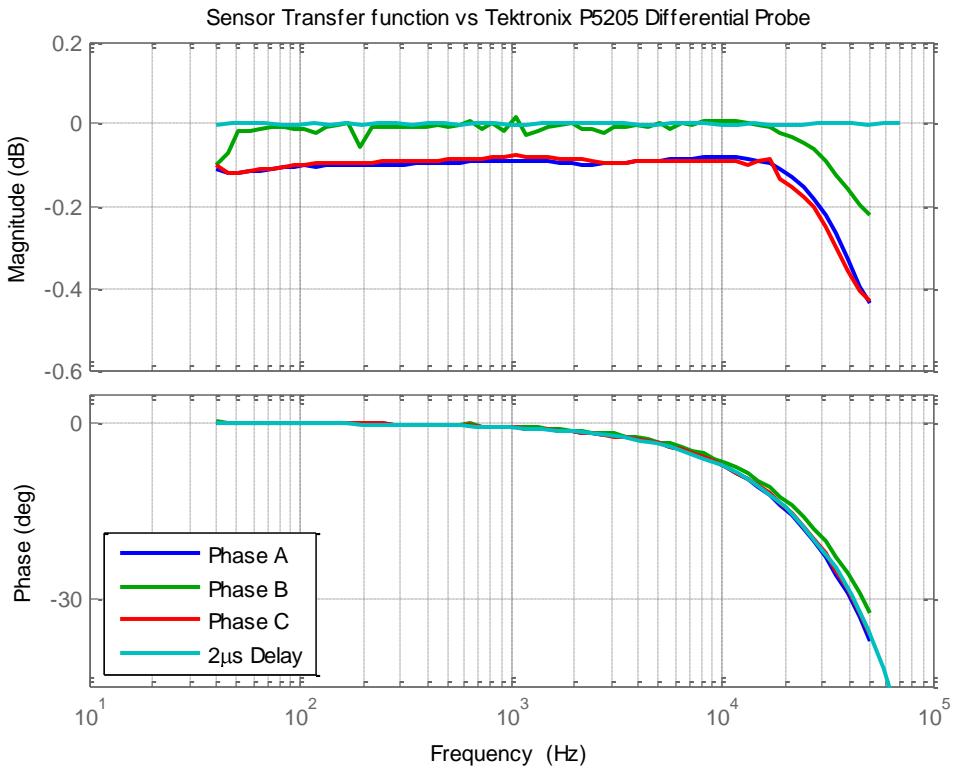


Fig. 3-10: Voltage Sensor Transfer Function vs Tektronix Probe

The isolation amplifier has a 2 microsecond transport delay. It is seen here that the delay matches closely the phase characteristic of the sensor. The resultant transfer function is shown in (32):

$$H_{av}(s) = \frac{1}{\frac{s}{\omega_v} + 1} e^{sT_{vsens}} \quad (32)$$

This will be the model of the voltage sensor that will be used to determine the sensor characteristics in the DQ domain.

VI. Cabinet

The cabinet containing the impedance measurement equipment is shown in Fig. 3-11. This cabinet contains all of the power-processing equipment and the digital controller used to coordinate the injection. Care must be taken to ensure that there is sufficient air flow across the three gaps in the cabinet. Lack of airflow will cause the amplifier to shut down due to thermal overload, and thus may require an external fan. For the purposes of this implementation, a box fan sufficed, and was placed in front of the cabinet at the height of the middle amplifier to substitute for commercial rack-mounted fans, which could have been used instead.

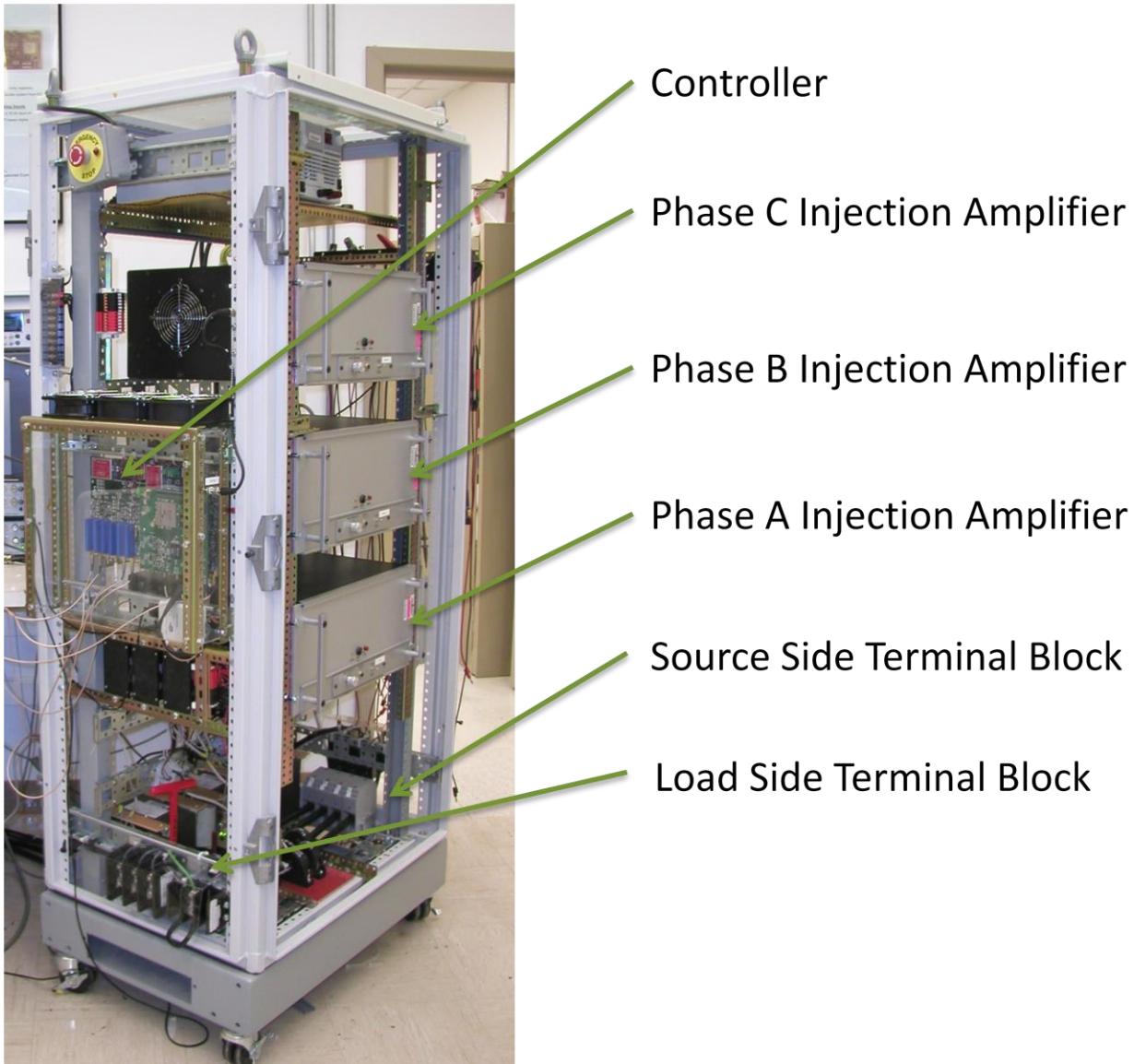


Fig. 3-11: Injection Hardware Cabinet

The lower workings of the cabinet contain the transformers, contactors, and sensors used during measurement. It should be noted that the injection lines enter the system between the two sets of current sensors and couple at the load side terminal block. The main conductors can be seen traversing the bottom of the cabinet from the load side terminal block to the source side terminal block. The conductors are 150 square millimeters (250 kcmil) and are made of copper conductor.

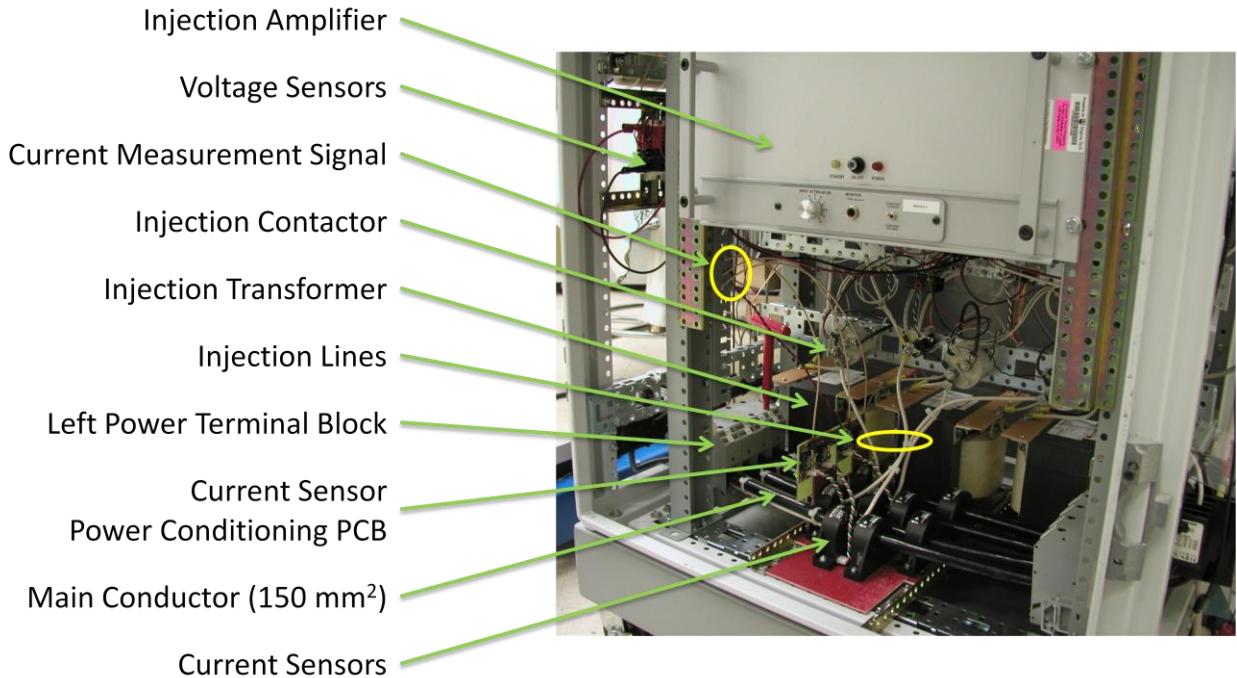


Fig. 3-12: Injection Hardware Cabinet Lower Stage

VII. Signal-Processing Subsystem

The signal-processing subsystem consists of an analog section that conditions the signals for analog sampling, and a digital signal section that processes the signals and coordinates timing and sampling. Within this subsystem there is also an analog-digital interface which is of particular interest. This interface will determine which sampling and resolution issues will add non-ideal behavior to the system in the form of noise, and which may thus be a bottleneck for bandwidth.

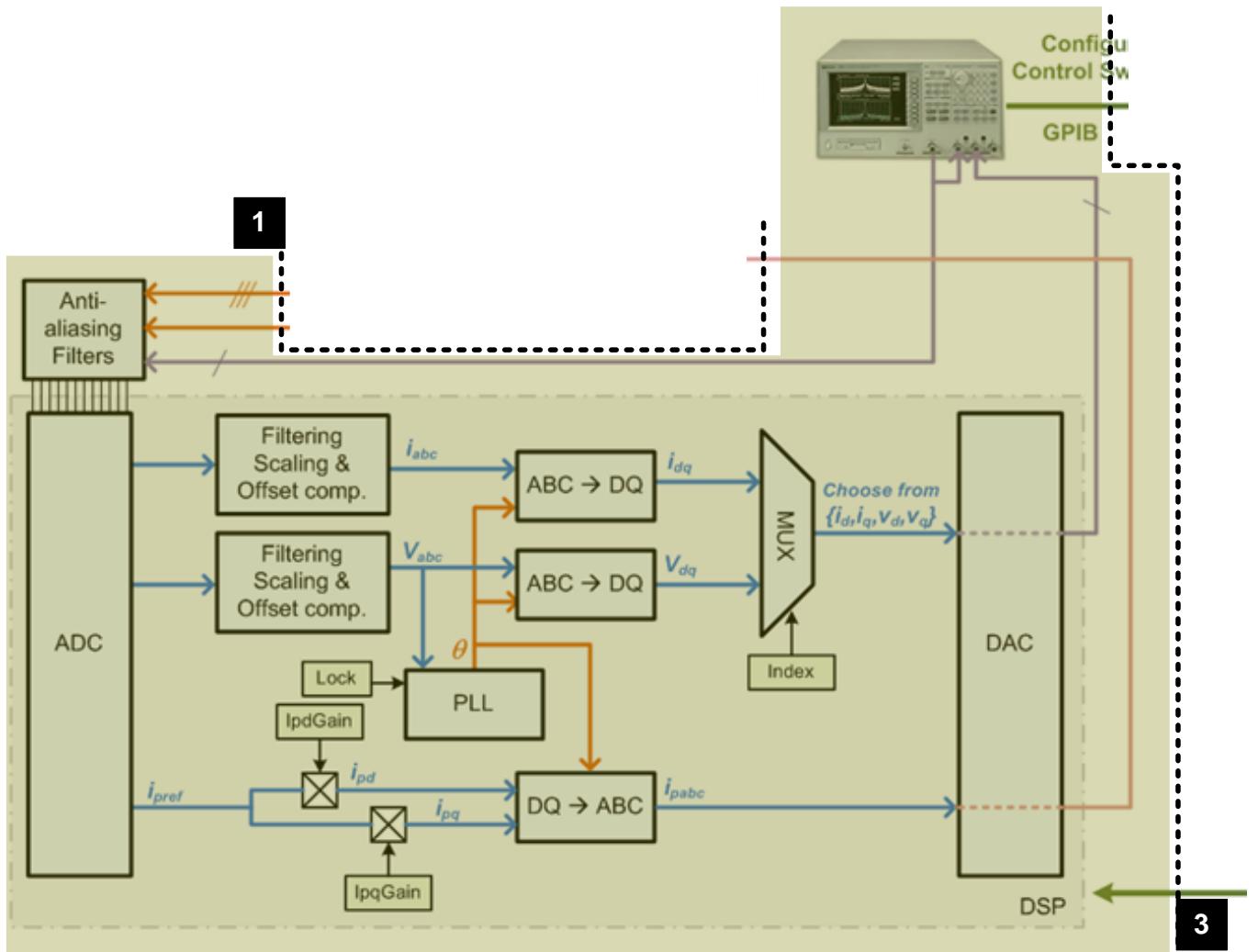


Fig. 3-13: Signal Processing Subsystem

A. – Digital Subsystem - Universal Controller

In order to choose a digital control system, an analysis of IO is required. Many possibilities can be chosen to implement the digital control system, and while this is not the focus of this dissertation, the digital control system choices significantly affect the capabilities that can be provided by the instrument, most significantly the processing bandwidth. Here, many signals must be simultaneously sampled, including all currents and all voltages.

When considering the digital controller, one must consider the interfaces required to interact with the system. The digital interfaces which are required in this and all measurement systems

following the recommended architecture can be seen as the boundaries of the colored box in Fig. 3-1. The selection of conversion devices have a notable tradeoff between bandwidth and IO when using a serial bus compared to a parallel bus; however, the number of required IO channels prevents us from using a purely parallel implementation. Furthermore, the effect can be reduced if the serial data can be read in parallel to a new conversion.

The universal controller is the current digital control platform implemented at CPES. The controller is an field-programmable gate array (FPGA)-centric design with a DSP. Many available references discuss the controller [61-65], and its schematics are available at [61]. The controller was developed at CPES, and since its inception more than 28 controllers have been manufactured with applications ranging from embedded motor controllers [66] to distributed converter control systems [67], and from communication protocol research to hardware-in-the-loop test setups [62, 65] which integrate the controller with a simulation engine. The block diagram of the controller is shown in Fig. 3-14.

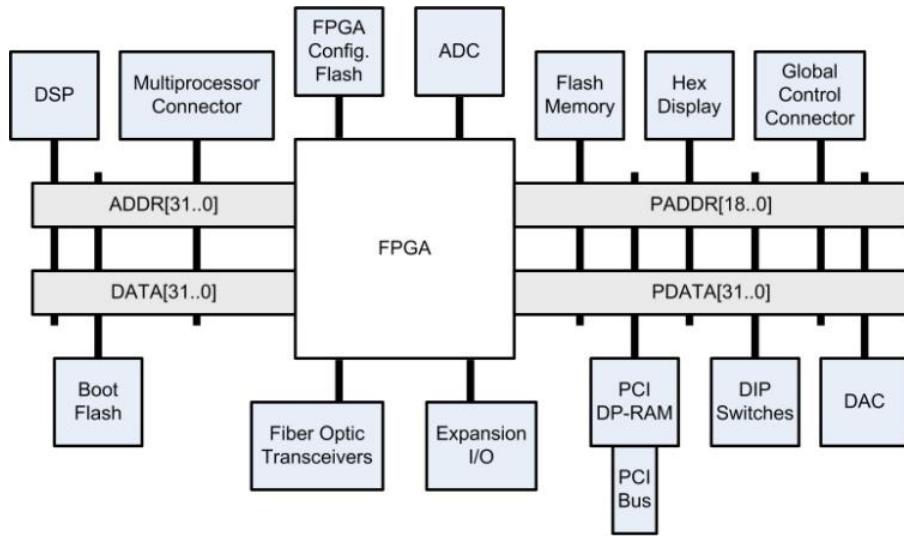


Fig. 3-14: Universal Controller Functional Block Diagram

In this controller, the FPGA organizes the functions on the board, but the DSP creates the requests, as the user's application control code usually resides within the DSP. The controller itself is shown in Fig. 3-15.

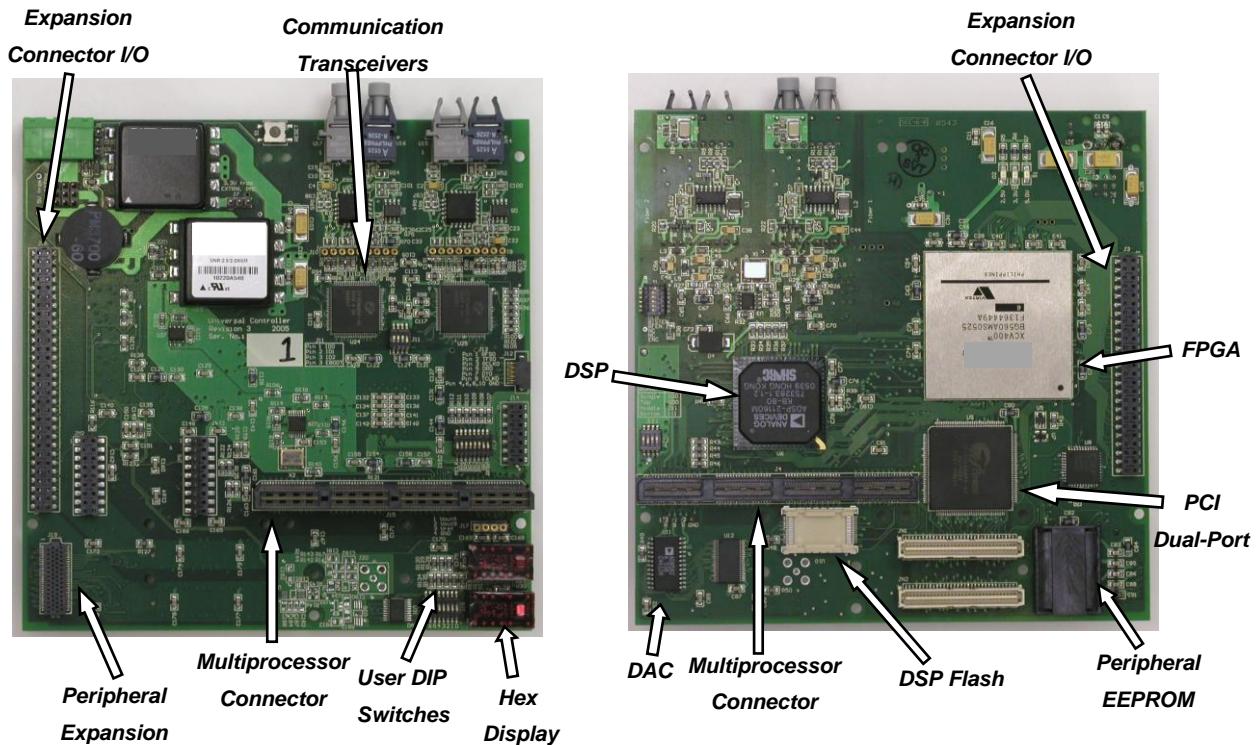


Fig. 3-15: Universal Controller

B. Digital Subsystem – Control System Communication – Hardware and Physical Interface

The interface between the control subsystem and the signal processing subsystem does not have to be fast and has no hard real-time requirements. Most communication solutions are therefore applicable. Here, potential solutions include, but are not limited to:

- Ethernet
- Serial Bus
- GPIB
- USB
- Shared Memory

The primary requirement of this interface is the ability to read and write memory to set states. Based on this architecture, no interrupt capability is necessary. This is because the variables being controlled are part of the digital subsystem and are used to route signals; and because there are set parameters for the PI PLL, and thus there is no need for synchronism based on the parameters being modified. For convenience this system may be chosen to be a prepackaged

solution that is either a built-in feature of the digital signal processor selected or a function provided by an auxiliary chip. It is critical, however, that the requirements of the solution chosen do not interfere with the ability of the real time tasks required to perform the transformation.

i) Implementation

The analog measurement subsystem is sensitive to noise. It is important to ensure that there are no large ground loops, including loops involving the PC through communication cables. Techniques used to mitigate noise coupling are strongly recommended and may be found in resources such as [59]. The voltage transients on the universal serial bus are fast and add noise to the system. The universal serial bus section of the PCB should therefore be isolated from the main PCB.

In the hardware built for this study, this isolation was accomplished via digital isolating chips (Analog Devices ADUM1100). These chips allow the USB interface to operate on a separate ground plane. Separate power is provided to this isolated circuit via an isolated 3.3 volt output DC/DC converter. The ground of the USB connector is shared with this plane, and this isolation separates any grounding issue that connecting the USB cable to the PC may provide.

The universal serial bus interface chip chosen is the MAX3420E. This chip directly connects to the USB data lines via a series 33 Ohm resistor. The chip also accepts a 3.3 volt signal that connects to the USB power pin on the connector. This pin is used to determine if the USB cable is plugged into the port. As this device is used as a slave, a type B connector is present on the PCB for connecting the USB cable. The USB chip also requires either a silicon oscillator or a crystal. A crystal was chosen, and this also connects to the chip to provide a clock to the USB onboard circuitry.

The schematic of the USB interface is shown in Fig. 3-16. The green area of the schematic is galvanically isolated from the main ground, and is also the ground shared with the USB cable. The grey area is the input power ground. Two linear regulators can be seen in the upper right hand corner. These power the digital isolating buffers (shown crossing the ground planes between green and white) with 3.3 volts.

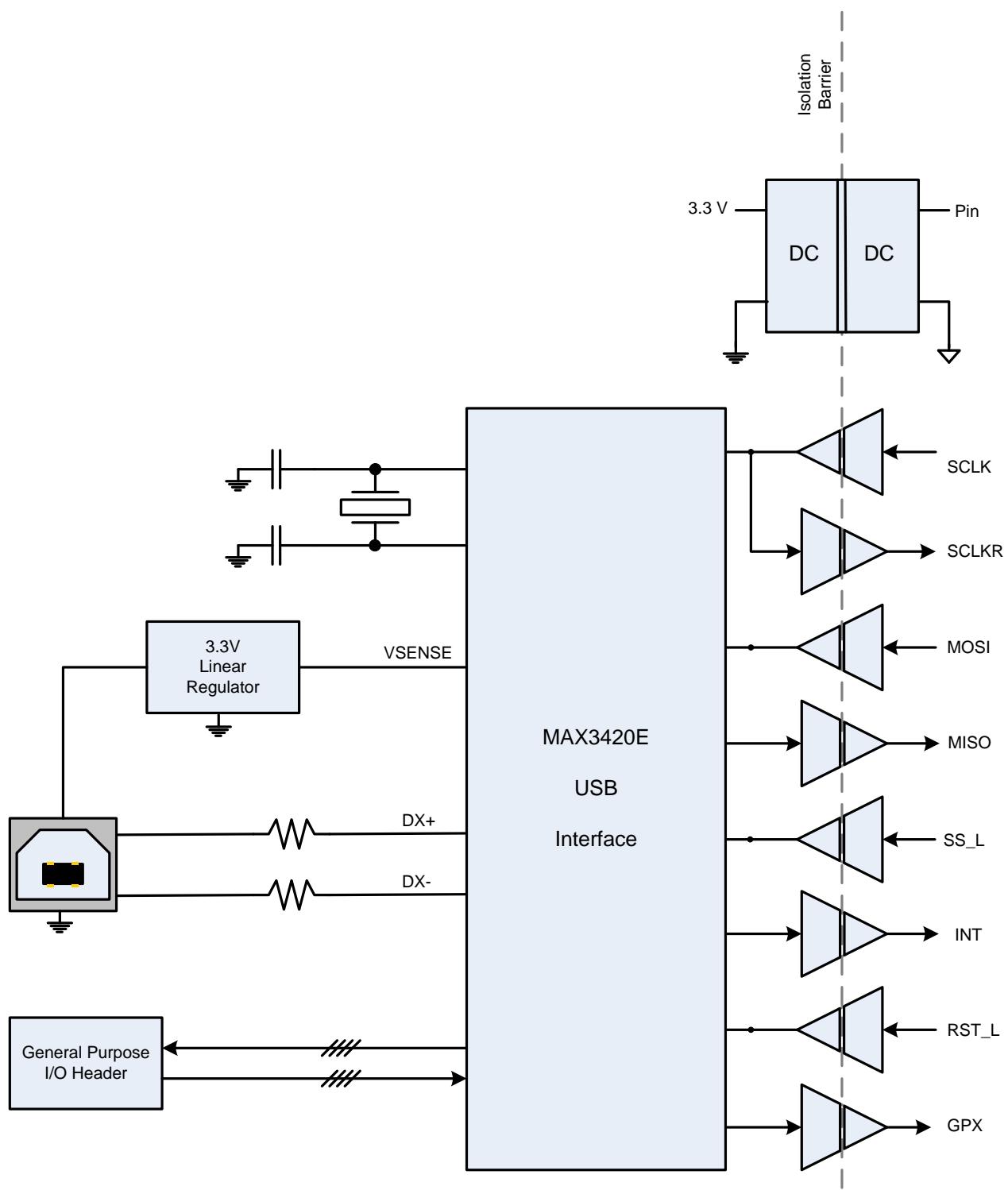


Fig. 3-16: USB Interface Schematic

C. Analog Subsystem Overview

The analog interface will ideally take an input signal and provide an ideal electrical signal which can be sampled by the analog-to-digital converter without ill effects. Similarly, it will provide an ideal isolated output signal which can be provided as a reference to an injection source. The parameters considered here in making this decision are:

- Signal bandwidth
- Signal dynamic range
- Signal isolation requirements
- Signal EMI

D. Analog Subsystem Architecture

With the exception of two onboard DA converters, the universal controller is a completely digital platform. To interface with the rest of the system, we created an analog interface. The interface contains:

- 18 Analog input channels (via SMB coaxial connectors)
- 6 Analog output channels (via SMB coaxial connectors)
- 1 USB interface (via a USB type B connector)
- 1 Power input (via screw terminals)
- 1 Controller interface (via a 60 pin 2x30 header)

The PCB is shown in Fig. 3-17 below, with the universal controller mounted on top of it.

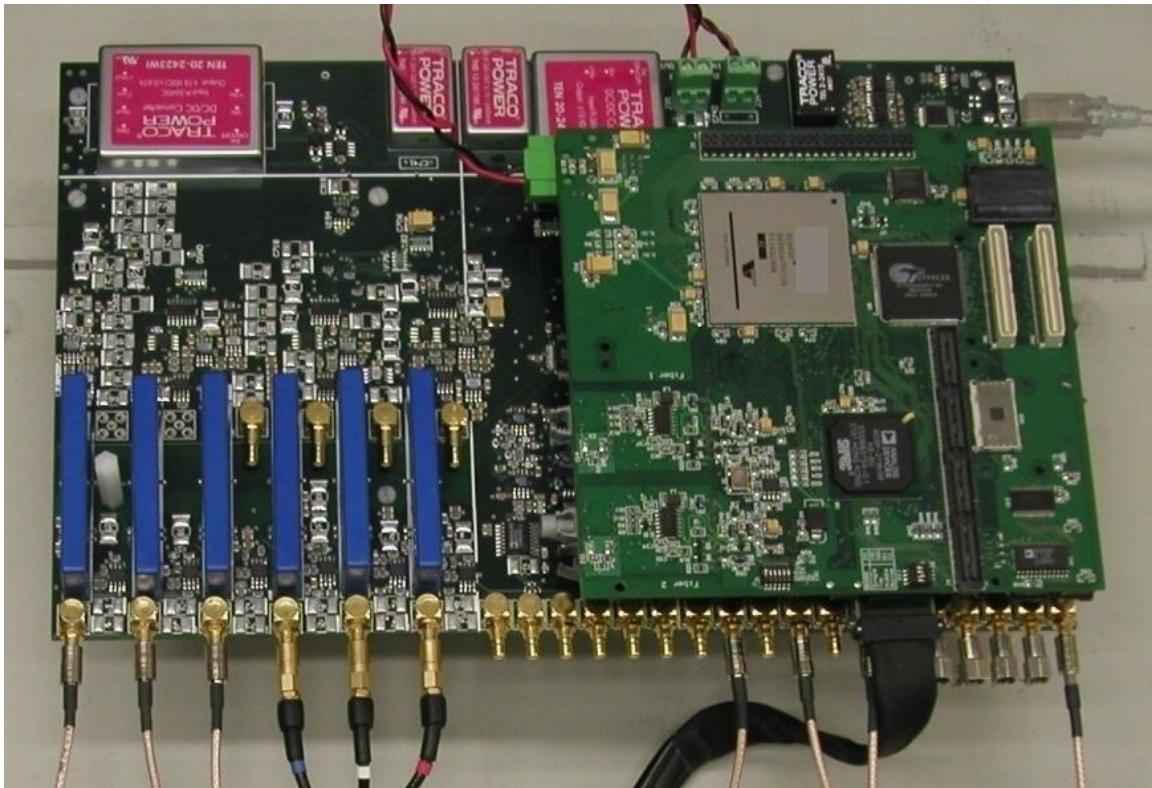


Fig. 3-17: Analog and USB Interface with Universal Controller Mounted On Top

The PCB is an 8-layer PCB with more than 5000 pads, and is 0.110 inches thick. To minimize cross-talk, a ground trace separates any two conductors, including digital conductors, as their frequency is very high. A block diagram of the PCB is shown below.

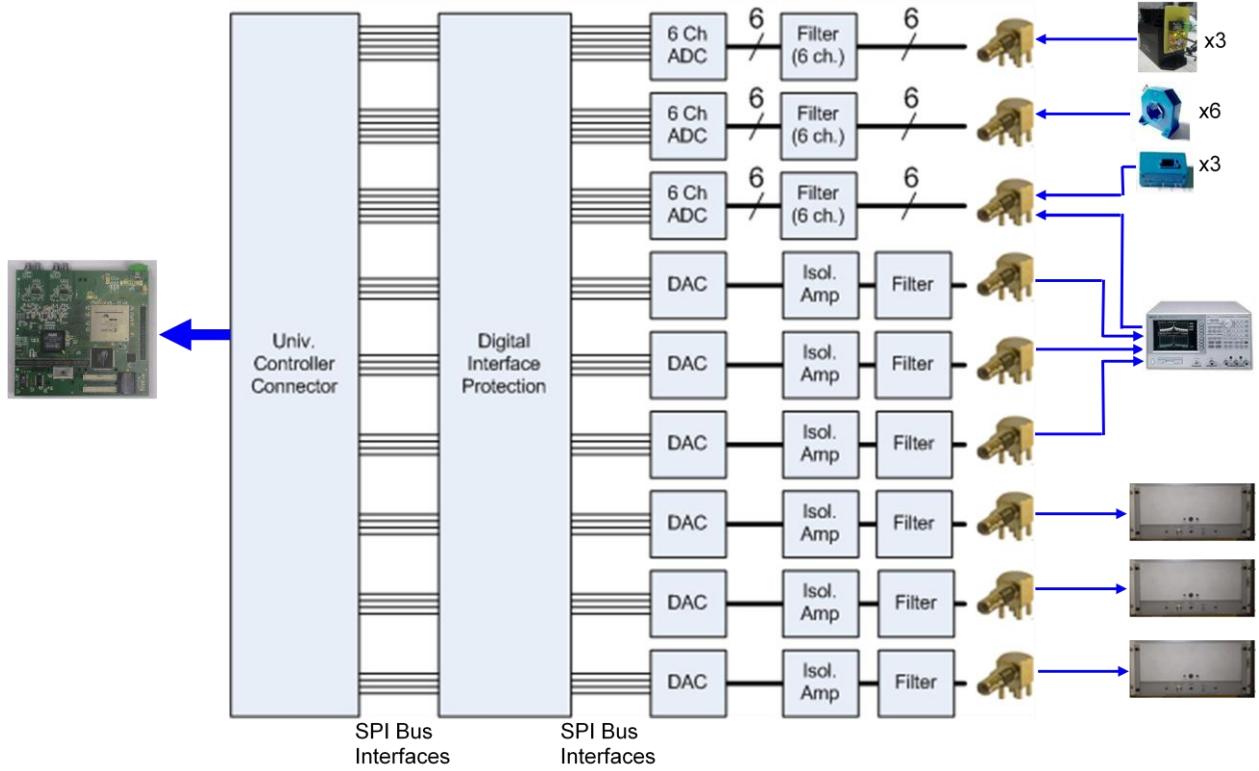


Fig. 3-18: Analog Measurement PCB Architecture (Excluding USB Interface)

The PCB consists of three sets of six-channel AD converters, and six individual digital-to-analog converters. All converters communicate with the controller via a serial peripheral bus. As the connections directly interface the FPGA on the digital controller, there is digital interface protection consisting of a transient voltage suppressor and a current limiting resistor on all lines going to the universal controller connector. In the event of a failure on this PCB, high voltage will be prevented from traveling to the universal controller FPGA, protecting it in such an event.

E. Analog Subsystem Inputs

i) Implementation

The analog inputs are converted to a digital signal by an Analog Devices AD7656 analog-to-digital converter [68]. This converter has a maximum sample rate of 256 kilosamples per second. Each channel samples simultaneously, and each channel is converted individually with its own dedicated successive approximation register-based state machine.

The input stage of all analog channels is driven by a high-impedance instrumentation amplifier with a high common-mode rejection ratio. The AD7656 is driven by a dedicated line driver preceded by a sixth-order Chebyschev filter, which is in turn preceded by a common mode choke.

The corner of the Chebyschev filter is at 20 kHz, and the converter attempts to eliminate all signal content with a very sharp roll-off to prevent anti-aliasing. As such, each channel will produce a large phase delay. However, since all channels will produce a nearly identical phase delay, the effect of the delay is mitigated.

It should be noted that the op-amp chosen has a quiescent current of 10 milliamps, which, although seemingly small at first, will provide significant power consumption when supplied from +/- 15 volts. There are 18 input channels, with each channel containing 4 op-amps. Consequently, the PCB will require significant cooling to keep the PCB from overheating, as there are a total of 72 op-amps in the signal conditioning path, each consuming 300 milliwatts of power, providing a total of 21 watts of power dissipation.

F. Analog Subsystem Outputs

i) Function

The analog output subsystem accepts digital results from the algorithm implemented in the FPGA, and makes the results available to analog inputs on the instrumentation. There are two sets of analog outputs which one may consider groups. The first group is the outputs that go to the gain and phase analyzer. The second group is the outputs that go to the amplifiers. The output stage consists of the digital-to-analog (DA) converter followed by analog filtering. Additional requirements demand the outputs be isolated. Finally the analog output subsystem must have the ability to drive the signal. At the output of the filter is a line driver, which is used to connect to the cable that is used to pass the analog signal from the analog interface PCB to its recipient; either the analyzer or an amplifier.

ii) Signal Conditioning and Isolation

As the network analyzer is sensitive to noise, it is important to ensure that the outputs are clean. Isolation amplifiers can be used to eliminate ground loops. A second option is to use isolation on the digital side, before the DA converter. If this is done, there must be very stable

supplies available for the DA on the isolated plane, as well as separate digital and analog grounding planes present to prevent digital noise from corrupting the analog signal [59]. Different kinds of isolation amplifiers can be implemented, ranging from optical isolation amplifiers to capacitive isolation amplifiers to inductive isolation amplifiers. Of the amplifiers commercially available, the inductive ones seem to have the highest bandwidth. Additional issues with isolation amplifiers are their common-mode rejection ratio (CMRR). If the CMRR is not high, then the effect of the isolation is mitigated. Generally the CMRR decreases with the frequency, as capacitive effects grow between the input and output.

Each analog output is isolated via the use of an Analog Devices AD215 isolation amplifier. This isolation amplifier has a bandwidth of 250 kHz, and can be conceptualized as a chopper and a reconstruction circuit. As this amplifier is typically used on the input side of a system, the amplifier takes its power from the output side, and thus an isolated DC/DC converter is required for each amplifier providing +/- 15 volts to allow us to use this chip for output isolation.

iii) Digital-to-Analog Conversion

The digital-to-analog converter (DAC) chosen is a Texas Instruments DAC8831. The DAC works with an external amplifier. The Texas Instruments OPA602 was chosen for this. The DAC connects to both the positive and negative input terminals of the op-amp as well as its input, and uses these to produce the desired output voltage at the output of the op-amp. The structure of the DAC is a resistive ladder divider. The DAC and high-performance amplifier drive the isolation amplifier, which in turn drives a line driver on the isolated side.

iv) Implemented Solution

The structure of the analog output channel is shown in Fig. 3-19 below. Not shown are the high-impedance pull-up and pull-down resistors to keep the converter in a stable state if powered without the presence of the universal controller.

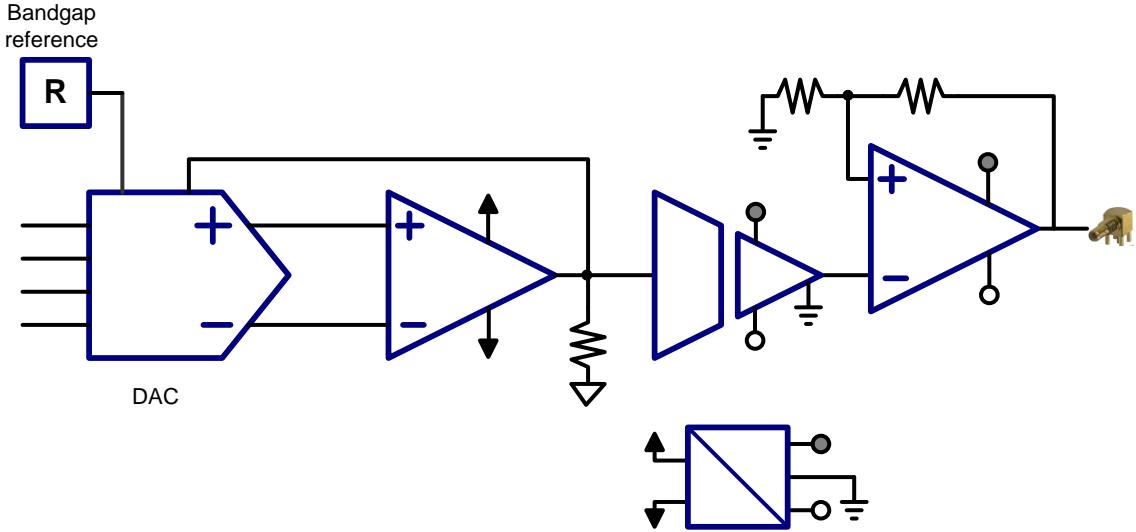


Fig. 3-19: Analog Output Stage Schematic

It should be noted that noise on this circuit will couple to the output, and as such, sufficient filtering using multi-layer ceramic chip capacitors is specified at several capacitance ranges to mitigate the effect of such noise. The DAC is a 16-bit device, and with an output range of +/- 10 volts, the least significant bit (LSB) represents a voltage magnitude of 305 microvolts.

VIII. Control Subsystem

The control subsystem is implemented primarily in a PC. However, due to the interface restrictions of the selected instrument in the DQ domain, a GPIB converter must be added to this subsystem. This is the most abstract and flexible subsystem of three subsystems. The implementation is in Matlab. This subsystem has no hard real-time requirements, and thus any number of platforms can be chosen to implement it.

IX. Summary and Conclusions

The hardware for our system was constructed to implement the impedance tester. The hardware consisted of linear power amplifiers to inject the three perturbation currents into the

system. The injection amplifiers were coupled to the system via a transformer due to limitations on its isolation and voltage range.

We developed sensors to measure the voltages at the point of common coupling between the two subsystems and the injection unit. Existing voltage sensors were insufficient as they provided significant phase delay at high frequencies and had low bandwidth; therefore, we created our own voltage sensors. The new sensors provided a much lower phase shift.

The current sensors chosen are for the full line current. When measuring smaller systems, we found that increasing the current sensor gain will improve measurement results, giving rise to a new set of current sensors with higher gain; although at the same time, a higher impedance added into the circuit.

A signal-processing and mixed signal technology PCB was implemented to acquire all sensor signals and provide them to the standard control processing platform developed at CPES prior to this project. This PCB also included an isolated section providing USB communication capability to the control platform. The PCB is an 8-layer PCB containing more than 5000 pads.

A PC was used to run Matlab and coordinate the activities of the hardware via software-based supervisory control and data acquisition, and made use of both USB and GPIB to communicate with the instrumentation.

A gain/phase analyzer from Agilent Technologies was integrated into the system providing the frequency response measurement function to the system architecture. Input and output signals from this analyzer were passed directly to the developed signal-processing PCB, which in turn was processed by the DSP.

Chapter 4. Software Implementation Architecture

I. Overview

Like the software, the hardware can be designed in a modular fashion. The hardware presented in the previous chapter is controlled via a set of software programs running on multiple platforms, from a graphical user interface presenting results to the user via scripting language in a host program, which makes calls to Windows running on a PC; down to a low-level language describing the interconnection of registers and combinational logic implemented in an FPGA found in the signal-processing subsystem.

The software used to control the system is written in a hierarchical fashion. Higher-level software is used to implement the measurement algorithm and acquire individual sets of frequency response data from the instrumentation. Matlab is chosen as the language to implement this software due to its computational proficiency and capability to display graphical results. After such data is acquired, Matlab is also used to acquire the impedance. Lower levels of software assist in accomplishing this task.

The lower-level software was written so it could be incrementally tested and verified. Modularity and flexible software design practices were used in designing this software. The design approach taken was to specify the interfaces and functions of each component and how each component interacted with the other components. Individual components can then be aggregated to form the final software solution.

The modules were assigned based on functional partitioning of the application. Interfaces result from drawing boundaries across the system to isolate and contain functionality into modules. These boundaries are chosen based on several criteria:

- If the function must run in real-time or can be post-processed
- The ease of changing and debugging the function
- The application-independent nature of the function

Matlab must communicate with the instrumentation, the DSP, and the user. The functionality associated with communication can be encapsulated in to modules which are application-independent. Since there is no available existing mechanism in place to do this directly in an efficient manner, Active-X controls are developed that encapsulate the overhead of interfacing with the instrumentation and the DSP. As communication with the DSP occurs over a completely custom interface defined by this application, the Active-X control that interfaces with the UC encapsulates the overhead associated with forming packets and acquiring the USB device from the system, leaving only functional objective-based actions to Matlab, while hiding the details of the communication implementation.

Secondly, the DSP executes code to control the injection, as well as to communicate with the Active-X component just described. Matlab provides the control of the DSP states and signal outputs to the analog subsystem, and the injection is calculated in an interrupt-based subroutine executing at a fixed frequency. The parameters sent from the Active-X over USB are managed in an interruptible message loop that runs whenever the interrupt is not running. This architecture is depicted in Fig. 4-1.

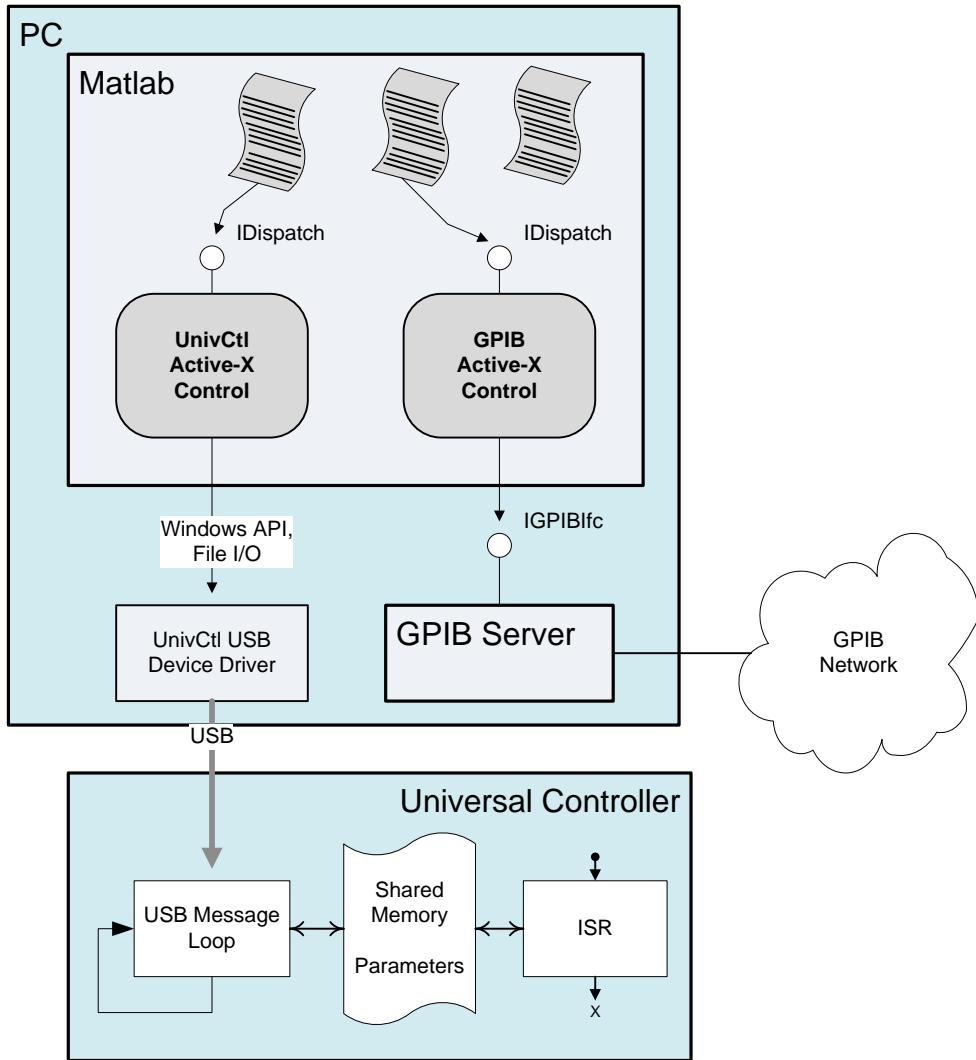


Fig. 4-1: Software Architecture

II. DSP Software

A. Overview

The DSP is responsible for controlling the injection and all real-time transformations and alignment of the DQ frame. It exists as part of the digital processing subsystem defined in Chapter 3.

i) Threading and synchronization

There are two threads of execution that operate within the DSP. The first is a message loop with which the PC communicates to the controller via USB. The second is a fixed-frequency interrupt that is used to process the data made available from the FPGA.

The FPGA in the architecture of the digital platform chosen provides atomic access operations. Since the components accessed by the message loop are mutually exclusive of the components accessed by the interrupt, there is no risk that interrupting an operation in process in the message loop will corrupt its state. If a different platform is chosen to implement the software, this will be an issue and has to be managed.

B. Message Loop (Communication)

There are two components to the message loop, both relating to USB. As USB is plug-and-play compliant, the slave device (in this case the controller) is responsible for communicating with the Windows operating system to identify itself and its communication capabilities, as specified in Chapter 9 of [69].

The plug-and-play requirements include descriptors used to describe the USB device itself (including its Class, Subclass, Vendor ID, and device ID, among others), its endpoints (logical channels for communication), its interfaces, and strings used to identify the device to the user in Windows. These descriptors are coded in a header file, and are sent back to the controller upon request.

The second major function of the message loop is to manage communication with the client application on the PC. In this case, Matlab uses an Active-X control written as part of this solution that communicates with the controller via packets.

To simplify communication, data transmitted to and from the controller is encoded in integers, although no change in the structure would be necessary to communicate 32-bit floating point numbers. The controller makes available an indexed array of integer parameters that the controller may read or write. In this application, the parameters control several components of the injection. Among these are numerical data used to control operation of the hardware, such as injection magnitudes, while others are themselves indices which determine which variables to transmit on the analog outputs of the controller. A third type of parameter is a bitmap that

controls the state of the phase-locked loop which aligns the system. A list of parameters is shown in Table 4-1.

Table 4-1: DSP Shared Parameters

Index	Data Source	DSP Variable	Description
1	Windows	fOmegaSet	Setpoint for frequency when PLL is disabled
2	Windows	pfOut1	Value to send out DAC2 (see)
3	Windows	IpD offset	Value of offset in injection reference D-axis
4	Windows	IpQ Offset	Value of offset in injection reference Q-axis
5	Windows	IpD gain	Value of gain in injection reference D-axis
6	Windows	IpQ gain	Value of offset in injection reference Q-axis
7	Windows	pfOut2	Value to send out DAC6
8	DSP	fOmega	Frequency as determined by PLL
9	Windows	PLL Mode	1 = Line-Neutral, Fast, 3 = Line-Neutral, Slow, 9 = Line-Line, Fast, 11 = Line-Line, Slow
10	Windows	fIp0	Zero sequence injection (for diagnostics)

The functions used to manage the message loop can be split into three categories. The first is low-level functions used to write and read the registers in the USB application-specific integrated circuit (ASIC). These functions have no interpretation of the data being sent and are simply used to transport it to and from the chip.

The second set of functions includes those used to manage operating system-level requests and to support plug-and-play. As plug-and-play devices must be able to identify themselves and details about themselves when asked by the operating system, a set of mandatory functions is implemented to manage packets sent from Windows to the device regarding such inquiries. Proper response data is then transmitted back to Windows. This data is referred to as setup data, and requests for this data are transmitted to the ASIC over a logical pipe referred to as the SETUP DATA pipe. These requests are eight bytes long. When Windows sends this data to the device, it is placed in the Setup data FIFO (first-in, first-out data structure, ending in a register readable by the DSP). The ASIC will assert a status bit in the status word, indicating that a

request has been sent to the device. The DSP will read and interpret this request packet and respond with proper data over logical endpoint 0, which in this application is a pipe used to transmit data back to Windows. Endpoint 0 is only used in this application as a response to data sent on the setup data pipe. Descriptions of setup data and the structures associated with it can be found in the USB specification [69].

The third set of functions include those used to manage application-specific communication, and are customized for communication with a host application running in Windows – in this report, namely Matlab. Data is received over endpoint 1 and transmitted back over endpoint 3. The format of this data is determined by the Active-X control that interacts with the UC, and is completely decided by the application using the USB interface. The format of the data packet chosen is a 12-byte fixed-length packet. The packet is shown in Fig. 4-2.



Fig. 4-2: USB Custom Command Packet for Controller Application Communication

The CMD field is coded as either an 0x02 or a 0x04, depending on if the request is a read or a write. All other command values are ignored.

The Key is a field which is returned with the result. The Key is similar to a serial number in the packet, and ensures that the response read matches the request sent. Certain USB devices buffer data, and this is an extra step to ensure that the data received is related to the data sent.

The Index is the index of the parameter being read. This is encoded as a four-byte packet for generality and compatibility with Windows, where integers are 32-bit. During a write, this is the address of the parameter to write to.

The Data field is the data received during a read. During a read request, this field is not used, and is expected to be zero.

A response packet is always transmitted back in return, regardless of whether the command is a read or a write. This is because a request sent to the device may be processed without regard to its data type, and always sending a response packet ensures consistent operation of the system,

removing the type of command from the behavior. If the command sent is a command to write data, the response packet is the same as the incoming packet. Otherwise, if the response packet is a request to read data, then the response packet will contain the result of the inquiry. Either way, the response packet contains the current value of the register.

It is important to note that a protocol has been put in place that runs concurrently with the actual operation of the system, and it is important to remember that data dependent on other data (which must change in a single atomic operation) must be enforced by the application. Such is the case when changing the gain of a controller, as one generally cannot change the proportional gain first, and then the integral gain at a later time. Both must be changed simultaneously. This does affect the operation of the system when using the PLL, but the order of changes can be done such that the dependent data is not changed while it is active.

After the parameter array has been updated, a function is called to update the application with the new parameters. The parameters are always sent as 32-bit integers, but the data in the application is usually in floating point format. The scaling factors are one function of this update function, `UpdateParameters()`. A second purpose of this function is to move pointers. In this application, different data are sent out via the analog interface, depending on which stage of the measurement the application is in. There are several ways to handle this. The most intuitive, but least efficient way of doing this is to use a very large case statement inside the interrupt service routine, where a parameter indicates which index to use, and thus which case to execute.

A more efficient way is to dereference a pointer which points to the output variable of interest. This is the approach taken here, and the pointer is set by the USB function. It is acceptable that this pointer is moved asynchronously to the application, as the application does not measure when the pointer is moved, making the output value unobserved during this transition. The pointer is still assigned by looking up the value of the parameter of interest in a case statement – the difference being that this case statement does not have to execute within the time constraints of the interrupt service routine. The list of parameters that can be observed is shown in Table 4-2.

Table 4-2: Index Parameters

Index	Variable	Description
1-18	fInputV[n-1] (n is index)	Data from ADC
20	fVd	d-,q-,or 0- channel voltage (scaled by sensor)
21	fVq	
22	fV0	
23	fVa	Va, Vb, or Vc voltages as read by sensor (line-to-line or line-to- neutral, depending on parameter 9 setting)
24	fVb	
25	fVc	
30	fILd	d-, Q-, or 0- channel currents (scaled by sensor)
31	fILq	
32	fIL0	
33	fILa	ILa, ILb, or ILc currents as read by sensor (line-to-line or line-to- neutral, depending on parameter 9 setting)
34	fILb	
35	fILc	
40	fPert	Perturbation reference from analyzer RF OUT terminal
42	fVqInt	PLL Vq Integral Component
45	fVtest0	Square wave that toggles each time the interrupt is called
56	VsdnBar	PLL states for positive and negative sequence D- and Q- channel components
57	VsqnBar	
58	VsdmBar	
59	VsqmBar	
63	fIPaRef	Reference outputs that are to be sent to controller
64	fIPbRef	
65	fIPcRef	
99	cfCosWt	Cosine component of transform

C. Interrupt Handler (Algorithm)

The interrupt handler executes the main loop, and implements the signal flow diagram shown in Fig. 4-3.

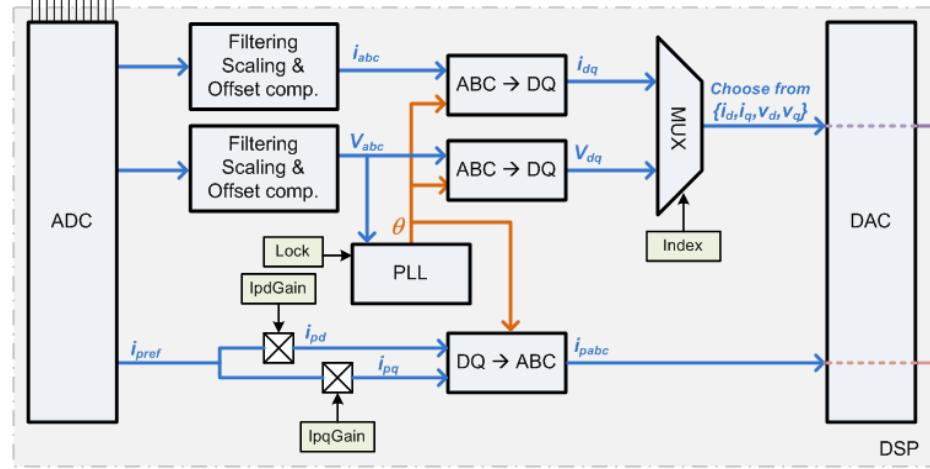


Fig. 4-3: Signal flow diagram for interrupt handler

The parameters shown that do not flow from the analog-to-digital converter (ADC) are set via communication with the PC. Injection is controlled via the IpdGain and IpqGain. Setting both of these to zero disables injection. The Lock variable determines whether the PLL acquires the voltage vector or maintains a lock. This is set via the graphical user interface.

The interrupt handler monitors its own execution period in CPU clock cycles. This is important when coding to ensure that adding code does not cause an overflow, and that the interrupt occurs at a fixed sampling frequency. Variation in the sampling frequency will lead to improper operation of the digital filters, and will produce transfer functions which are not intended in the design. Such a problem may lead to harmonic injection and stability issues, most specifically with the phase-locked loop.

III. FPGA Firmware

A. Architecture

The FPGA provided on the universal controller is its core. All peripherals are connected via this FPGA, including the analog interface PCB described earlier. For detailed information on the architecture of the FPGA code and its core components, one may read [61]. Described here is the digital interface and communication processing used to interact with the measurement PCB. As mentioned above, all peripherals are connected via a serial peripheral interface bus (SPI). From this bus, the controller has a module that processes the data and control signals specific to each device in a VHDL-based device driver [70] which makes the data available to the DSP so that it may be read and processed by the interrupt-based DSP code. The architecture of the VHDL code relevant to this piece is shown in Fig. 4-4.

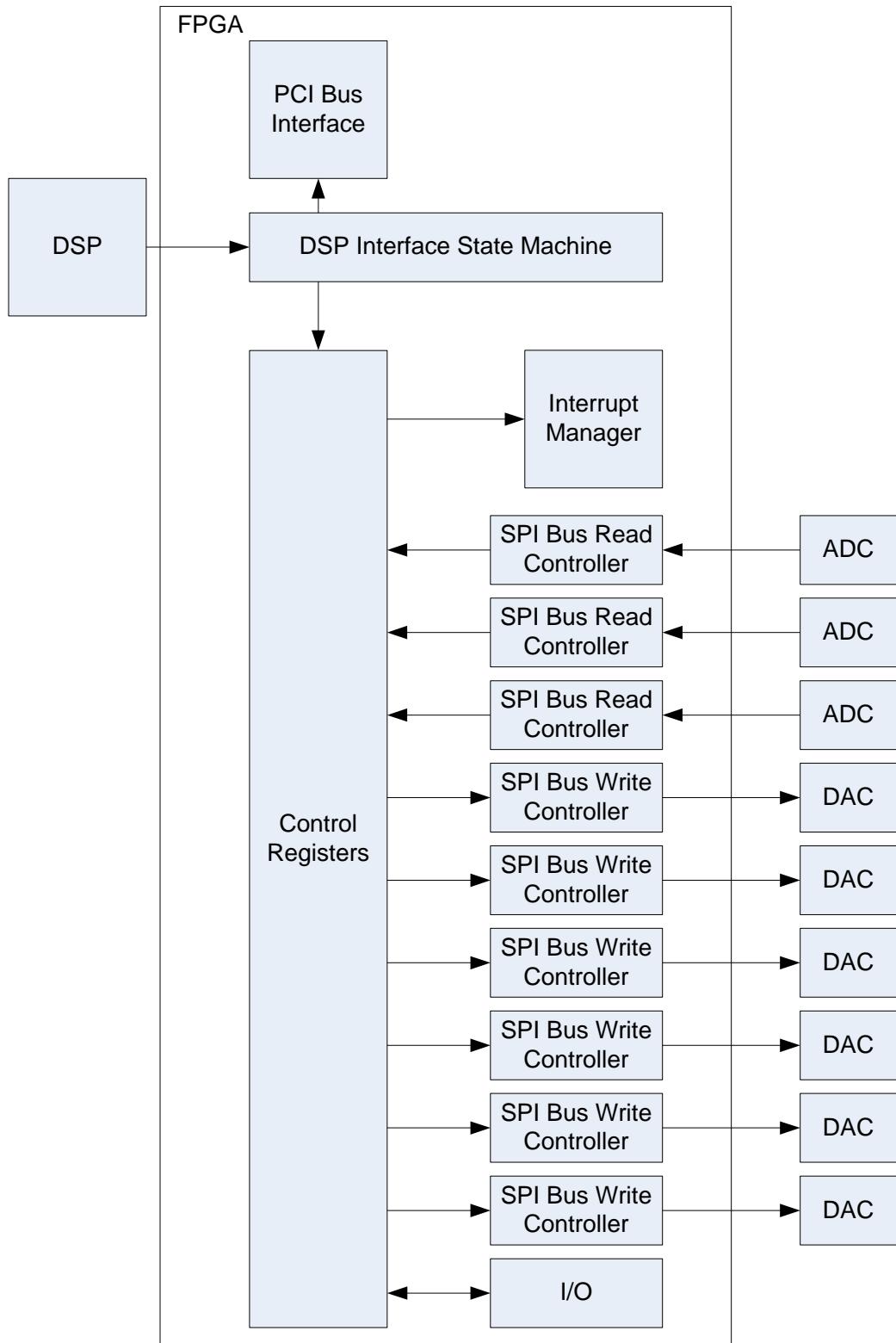


Fig. 4-4: SPI Bus Interface VHDL Block Diagram

IV. Matlab Software – Algorithm and Instrumentation Interface

A. Architecture

Matlab code is written based on scripts and functions. The code is separated into functions related to the algorithm execution, functions related to instrument control and configuration, functions related to data storage and retrieval, functions related to the graphical user interface and results presentation.

B. Algorithm

The algorithm is controlled via the ZDQ_MEAS_MAIN script. This script calls all measurement acquisition functions and computes the impedance based on these measurements. The scripts related to algorithm are responsible for determining the configuration state of the gain/phase analyzer as well as the output being measured. After scripts related to the algorithm have executed, the impedance is available as an frequency response data (FRD) object in Matlab.

Using the messaging scheme discussed above, messages are sent to the GPIB instrument and to the digital signal processing subsystem to control the sweep and coordinate inputs and outputs. Fig. 4-5 is shows the messaging between the controller subsystem and the digital signal processing subsystem, as well as the controller subsystem and the gain / phase analyzer used to identify the impedance. Each message is described below:

- **Reset.** This message resets the gain / phase analyzer to the default state.
- **Configure I/O.** This sets the input and output paths of the digital processing subsystem to inject the perturbation at the correct angle in the DQ space and to transmit the correct DQ variable to the gain/phase analyzer for transfer function identification.
- **Configure Sweep.** This message is sent to the gain/phase analyzer and is used to set the sweep parameters, such as the start and stop frequencies, the IF bandwidth, the number of points, and, if used, the averaging.
- **Enable Injection.** This writes the gain values that enable the instrument to inject a perturbation.
- **Sweep.** This command starts the process which identifies the transfer function.

- **Done?.** This is a request for the state of the sweep. It is polled until the instrument has finished sweeping, indicating that the instrument now contains data which can be read.
- **Done Status.** This is the state of the instrument as requested by the Done? message.
- **Frequency Response Data? .** This is a request for the data which the instrument collected containing the gain and phase at each of the specified frequency points during the sweep.
- **Frequency Response Data.** This is the resulting data from the sweep.

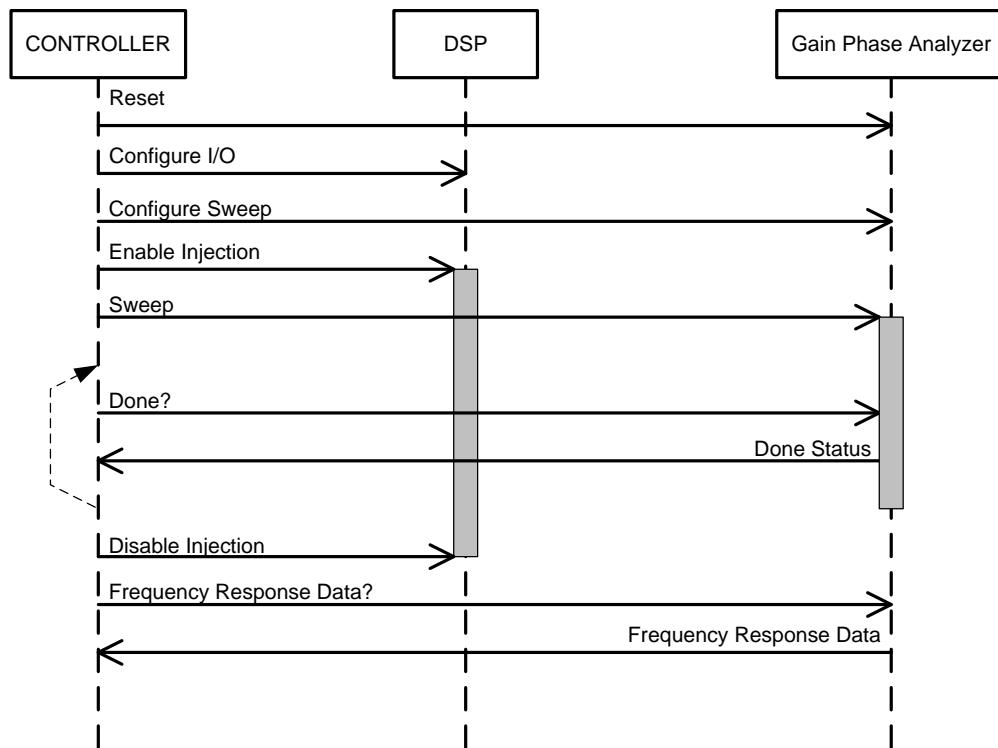


Fig. 4-5: Sweep Process and Messaging

C. Instrumentation Interface

The instrumentation interface is used to control the gain / phase analyzer and universal controller via Active-X controls. The Active-x controls appear in Matlab in their own window. The instrumentation interface provides services such as initializing the instrument, performing

and monitoring sweep status, and importing data from the analyzer and interpreting it into frequency response data objects.

D. Data Management Scripts

Data management scripts are responsible for the storage and recall of data from the results directories in which each sweep is stored. The stored data makes use of universally unique identifiers (UUIDs), which are used to recall the data. The scripts used for generating UUIDs are also included here. Data can be recalled from the results directory via scripts that search the results for the matching UUID.

V. Matlab Software – GUI and Data Storage

A. Graphical User Interface

The graphical user interface is a tabbed dialog with a menu. Most of the functionality of the graphical user interface is designed to view the results of sweeps, recalling descriptions, dates, globally unique identifiers (GUIDs), and plots of the sweeps. The first tab allows the user to select the impedance based on the date and time it was taken via a list box. Upon selecting the impedance, the channels are shown in small bode plots on the same tab, and information about the impedance is loaded into an edit box adjacent to the list box. When this selection changes, other tabs in the dialog change as a result. The second tab shows the currents measured during the sweep. The third tab shows the voltages measured during the sweep. The fourth tab shows the individual impedances. The first four tabs display results as governed by the first tab, where the user selects the results to be displayed. The fifth tab allows the user to measure individual SISO transfer functions and view their results, and can be considered a separate function. The results of the SISO transfer functions are stored and accessible in a similar fashion to the D-Q impedances.

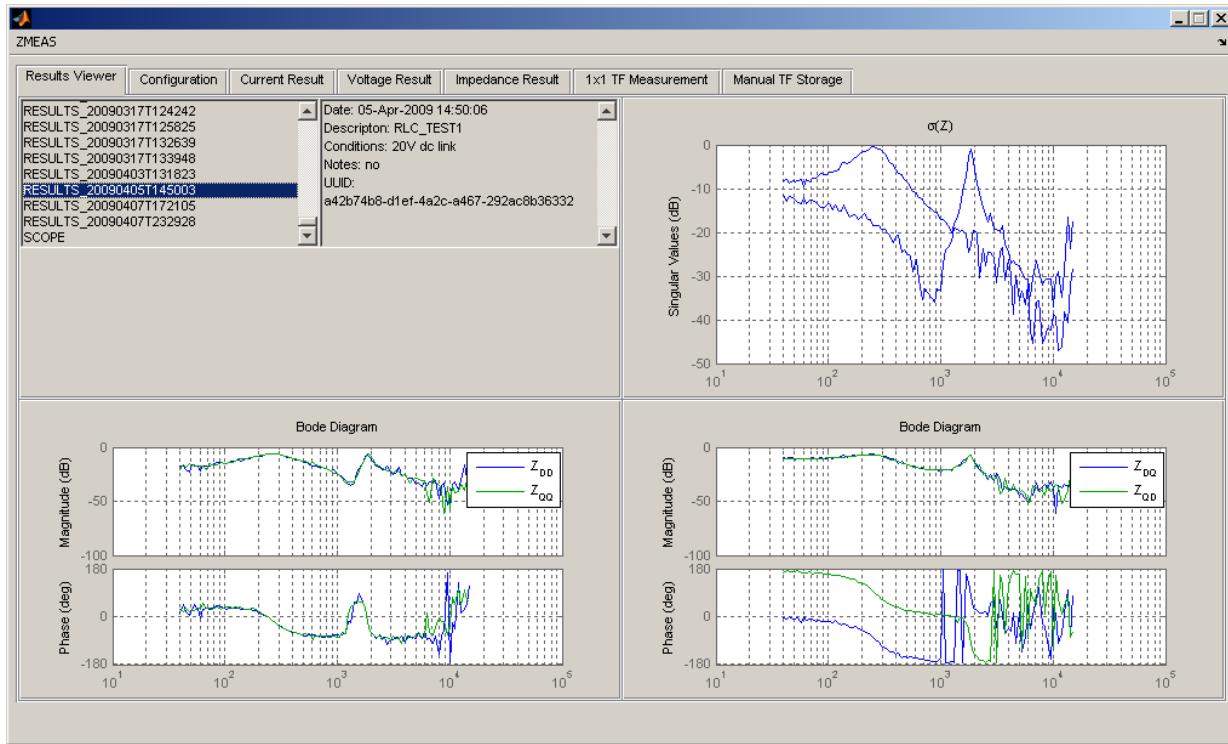


Fig. 4-6: Graphical User Interface

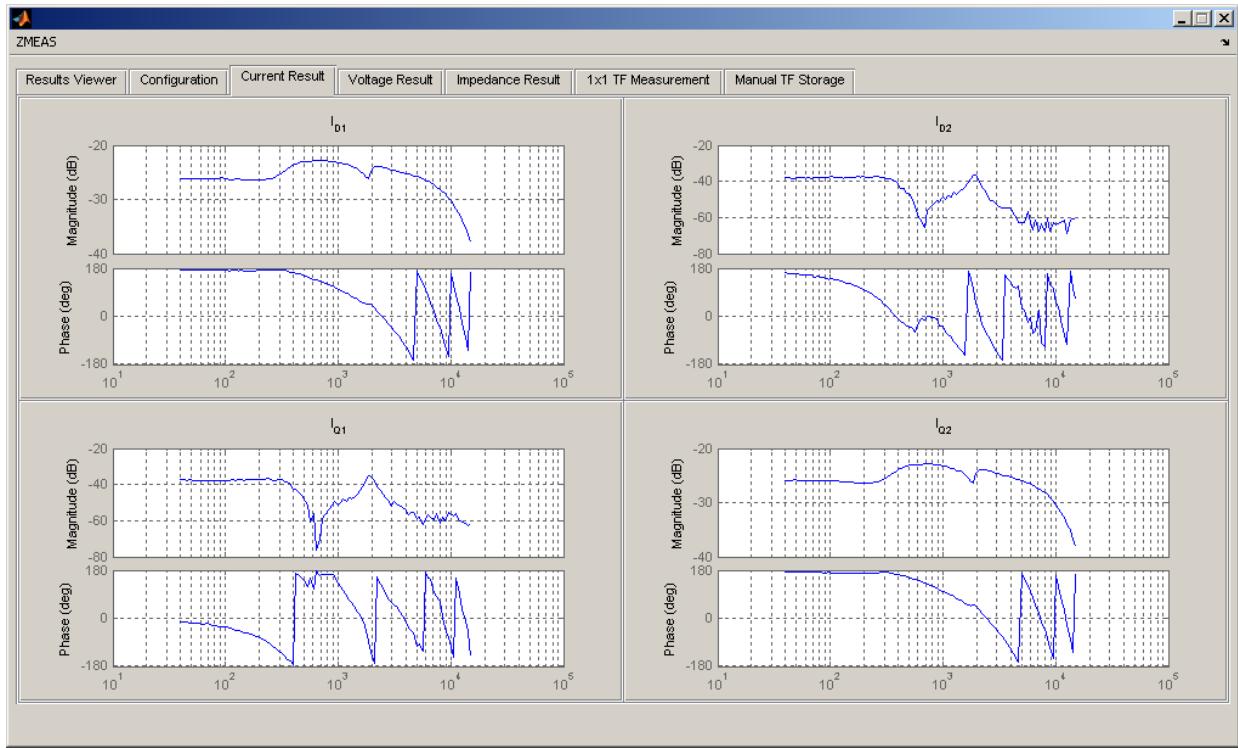


Fig. 4-7: Currents as displayed on current tab. The currents are those used to measure the impedance which is selected in the first tab, labeled Results Viewer.

B. Data Storage

The structure of the measurement file system is shown in Fig. 4-8.

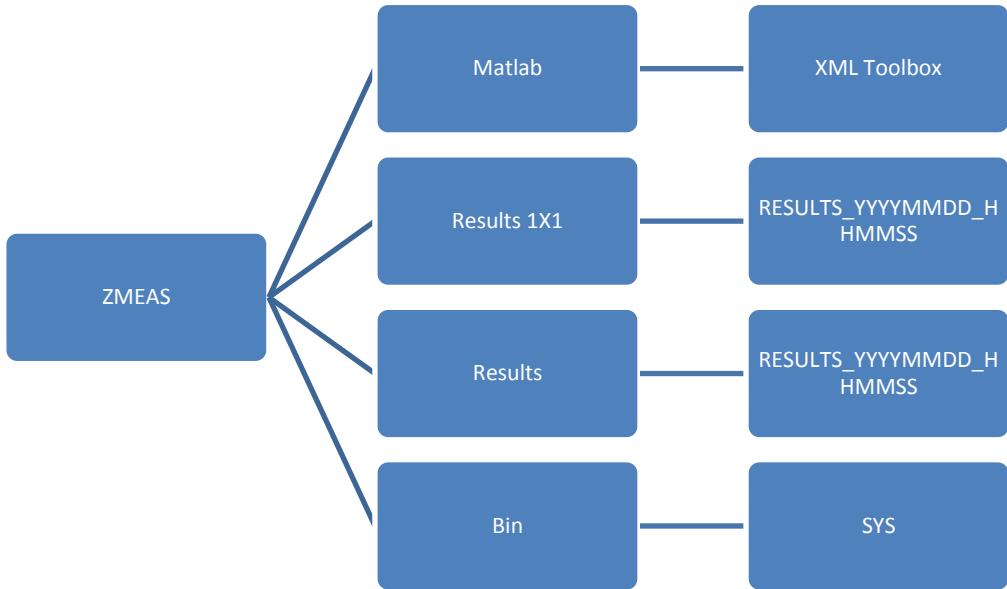


Fig. 4-8: Directory Structure of Impedance Measurement Software

The measurement results are stored in Results 1X1 for the SISO transfer function measurements and Results for D-Q impedances. Upon measuring the impedances, the Matlab script PERSIST_DATA will store the results into a .mat file located in a subfolder in either RESULTS1X1 or RESULTS. The script will also store a text version of the same data, and optionally plots of the impedances and measured voltages and currents. As this takes a significant amount of space, it is disabled, but can be easily added via a data maintenance script.

Binary executables are stored in the Bin subdirectory, and its subdirectory sys stores the device driver used with Windows, although Windows will copy this driver upon installation.

Matlab contains the scripts used, and is the directory from which the system operates.

VI. USB Interface

A. Short Review of Active-X Controls and COM

As Active-x controls are used in the architecture for simplicity, it is instrumental to briefly discuss them, how to create them, and how to use them. It is assumed the reader has familiarity

with object-oriented programming and understands concepts such as pointers, object construction and destruction, and is somewhat familiar with Windows and the registry.

COM [71] is a subsystem in windows that allows pre-existing objects to be directly loaded into memory and interfaced without having to compile them at runtime for each application. An object may exist in one of three places:

- In the calling process (called a container/the containing process), known as an in-proc server
- On the same machine as the calling process, called a local server
- On a remote machine (giving rise to Distributed COM, or DCOM), called a remote server

Prior to use, an object must be registered with Windows. This is done at the command line by calling RegSvr32 followed by the process name if the object is stored in a dll, or by calling the executable with the switch /RegServer if the object is an executable. Performing this function makes windows aware of the object, and places information about it in the windows registry (in the hive HKEY_CLASSES_ROOT). Along with this information is a string known as a globally unique identifier (GUID) or a UUID, hereafter simply referred to as GUID.

When a client process wants to use the object, it makes a call to Windows and “asks” windows for this object by providing the object’s GUID as the only identifying parameter. Windows then looks up in the registry the location of the object and creates the object as specified in the function call (whether it be in process, local or remote). Along with the object, the calling process specifies an interface to be requested; this is discussed later. The code is then loaded by Windows in the appropriate location, and a pointer is given to the calling process representing that object.

An object may have several interfaces. Each interface is functionally distinct in that it is designed to assist the calling process in performing tasks. For example, an object that processes data may provide two interfaces. One interface to add and remove data and to perform computations, and a second interface to store and load that data to or from a disk. The object is the same, and the data is the same, but the objectives of the two interfaces are different; one deals with the values of the data itself, and the other deals with the objects’ persistence. These two interfaces have two different interface IDs (referred to as IIDs). Some interfaces are accessed

mostly by the user while others are directly managed by Windows or the container (as in the cases we will describe). Thankfully, the infrastructure provided by Microsoft provides the basic functionality and implements most of the functions necessary to make the object work in simple containers, alleviating the user from having to perform this task.

To program such an object, one has many choices, but usually makes use of one of two ways to do it, mostly either the Microsoft Foundation Classes (MFC) or the Active-X Template Library (ATL). ATL was chosen for this application for several reasons, including its light weight and my familiarity with its use. The ATL wizards provided by Microsoft in Visual Studio 2005 make it very simple to program such an object, allowing the programmer to focus mostly on the functional code, and not on the mechanics of the implementation.

To further simplify this, the objects created in the application we are using contain a dispinterface [71]. This interface implements an interface called IDispatch, allowing programs such as Matlab to access functions in the object simply using user-friendly names, and allows their use in Matlab to be as simple as that of a class in C++ or visual basic. To display the object and manage its instantiation, Matlab calls other interfaces, but these are beyond the scope of this report, and are not necessary to describe the concept of the object's operation. Active-X controls as considered in this report are to be thought of as in-proc server COM objects with a dispinterface.

B. COM as Used in This Architecture

Two Active-X objects are created in this architecture. One is used to manage the USB interface via Matlab, and the other is used to manage the GPIB interface via Matlab. The Active-X object in Matlab for GPIB does access a local (or remote) COM server which implements the NI-488 API.

Matlab has intrinsic commands to create Active-x objects, specifically actxcontrol and actxcontrollist. Once the object is created in Matlab, it appears and is accessed just like one would access a class in C++. Matlab hides some of the details of the data type transmission, such as moving arrays and strings between the object and the Matlab script, represented by SAFEARRAYS and BSTRs.

C. USB Active-X Dispinterface

There are three primary functions provided via the dispinterface of the USB Active-X control:

- One function to write data to the DSP
- One function to read data from the DSP
- One function to open a connection

The connection is closed upon destroying the object in Matlab. This is performed by clearing the associated variable representing that object and closing its associated host window, as Matlab places Active-x controls in a host window. Due to delays, one should call these functions twice in a row. Upon calling the read function, the parameter being read is returned to the user as the return value.

No error checking is implemented programmatically and made available to the user from these functions, but errors will interrupt the program and return failure codes. Additionally, errors will be written to the debugging window and to a log with a description of the error. If a read or write function is called prior to opening a connection, the Active-X control will attempt to open the connection when the read or write function is called, and the connection will remain open throughout the lifetime of the object.

D. USB Interface Software

Certain operations involve human interaction, such as setting the PLL frequency and the analyzer modes of operation. These modes can be set via a small modal dialog application developed using Microsoft Foundation Classes (MFC). The dialog also demonstrates the use of the USB Active-X control, which can be seen in the lower right hand corner of Fig. 4-9.

A second Active-X control, MS FlexGrid (a Microsoft Active-X, msflxgrd.ocx, which must be registered on the system) is used to produce the list of parameters seen on the left-hand side of the dialog box in Fig. 4-9. This Active-X is located in the system32 folder in the system directory. The parameters are the same set of parameters used by Matlab to control the injection. They may be monitored from this window, and changed if necessary.

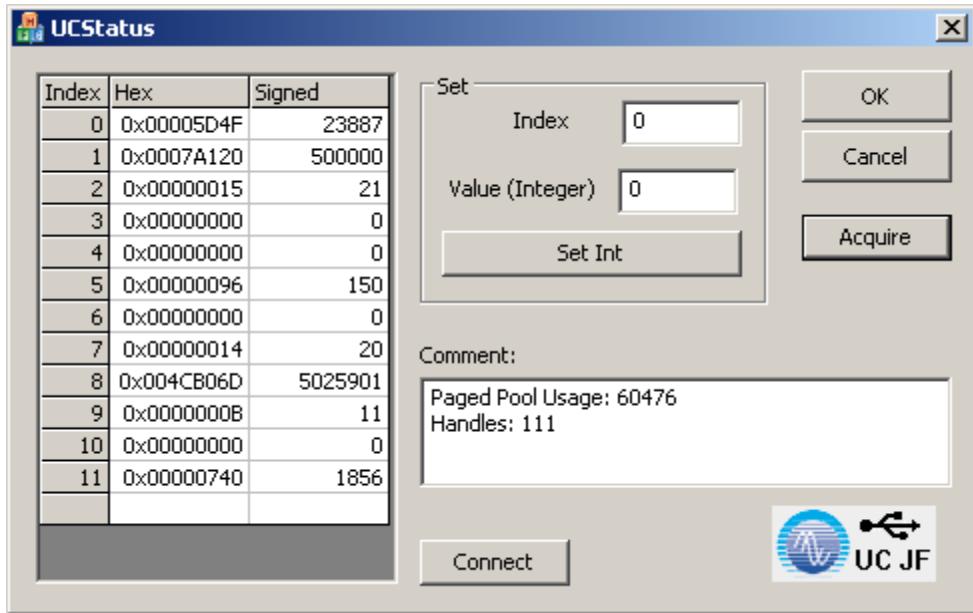


Fig. 4-9: Software used to set UC parameters directly via USB. The parameters sent to the controller can be seen in the grid on the left hand side. The parameters may be changed using the controls in the group box labeled Set, and read by pressing Acquire. The USB Active-X control can be seen in the lower right hand corner.

This application demonstrates the need for a mutex [72].. If the mutex is not present, one may request a read from this application while Matlab is simultaneously requesting one. The command packet sent to the controller requesting the read from this application may be accidentally sent to Matlab if there is no synchronization that controls access to the application. As this synchronization is implemented in the Active-X, it is transparent to both applications, which are unaware of each other.

VII. GPIB Interface

The GPIB interface makes use of the NI-488 API to communicate with instrumentation. Matlab is used to coordinate this communication via Active-X objects written for this purpose in C++ using the Microsoft Active-X template library (ATL). The GPIB interface was implemented as an ATL-based COM (Component Object Model) server, and made use of DCOM in order to avoid driver conflicts on the original PCs used to do the tests. The end

solution involved a single PC, and due to the nature of the implementation of COM, the changing from a local process to a remote one is done by simply calling a different function.

VIII. Summary and Conclusions

We have written software to implement the control and communication functions required by a three-phase impedance analyzer. The architecture is a hybrid architecture consisting of elements written in C++, VHDL, and Matlab, and is implemented on a DSP, FPGA, and a PC running Windows XP.

Data storage functions have been implemented to allow the persistence of the acquired impedances to disk. Via the use of GUIDs, these results may be later recalled in to Matlab programmatically or via a graphical user interface developed for this purpose.

The impedance calculations are performed in Matlab. Communication with other instrumentation, including the customized hardware developed during this project, is performed using Matlab's ability to instantiate Active-X controls. There are two controls to facilitate this -- one control for GPIB and another for USB. The GPIB control communicates with an out-of-process COM server written for this project, which in turn communicates with the GPIB instrument. The USB control communicates with a customized USB device driver designed for the universal controller and developed during this project. The device driver is based off the Bulk USB driver developed by Microsoft. This driver communicates with the IC on the large PCB developed for this project, which in turn communicates with the DSP, ultimately adding plug and play capability to the universal controller as well as a communication interface used to modify parameters in the DSP directly. We developed a simple protocol to facilitate this.

We've written FPGA code to support the serial peripheral interface of all peripherals, transferring data to and from the devices to memory-mapped control registers readable by the DSP for use in the control algorithm and communication. The FPGA code was written in VHDL.

We wrote additional supporting software to facilitate debugging and logging via a dedicated output window. We also wrote additional Matlab software to analyze specific results acquired during the verification procedure.

Chapter 5. Verification and Test

I. Introduction

After constructing the instrument it is necessary to produce a model of it based on measurements, and verify the model's operation. There is, however, an obstacle which prevents one from directly measuring the results and immediately knowing whether the model is accurate. This obstacle is the fact that the impedances being measured are unknown, so the reference as to what is the correct impedance (measured by other means) starts out undefined. DQ impedance, as is well-known and mentioned in Chapter 1, is not physically measurable, and no instrument previously constructed can measure it with confidence enough to establish a standard. Therefore, the first challenge in verification involves identifying the correct impedance with which to compare the results of the measurement instrument.

There is, however, a solution for linear, time-invariant impedances. If one acquires the impedance in the stationary (ABC) frame and assumes symmetry across all phases, the correct impedance DQ impedance can be determined. This relationship is derived in Section II of this chapter.

Section III defines a model of the tester derived from piecewise component analysis, relating the transfer functions measured in Chapter 3 back to the actual instrument, and indicating their contribution to the effect on the measured impedance.

Section IV takes the results from Section II and uses them to first deduce the correct DQ impedance from the measured impedance of linear networks in the ABC domain, and then uses this measurement to validate the instrument's measurement results.

As the previous technique is only applicable to networks which start out measurable in stationary coordinates, it is the only criteria used to validate the instrument's operation. In the case of nonlinear networks, it is not as easy to use these as examples to validate the instrument, as the results are unknown. Many papers propose ideas on what the impedance of these networks should be, and in some cases these are used in this section to validate what is known

about the impedance as suggested in these papers. Section V presents the results of measuring these nonlinear networks.

Section VI presents the conclusions of this chapter.

II. Model of an ABC Domain Transfer Function as Seen in the DQ Space

In order to compare results of known impedance, a mechanism must be in place which can take results measured for linear impedances in the stationary frame and compute their equivalent DQ impedance. This section develops the basis for this transformation, focusing on systems which are balanced, three-phase systems. Furthermore, this paper only focuses on three-phase systems without the presence of zero-sequence dynamics (where the voltages and currents of all three phases sum to zero).

Traditionally, this is approached by analyzing the state-space model of a the system in stationary coordinates in the time domain, and then finding the equivalent state-space model in D-Q in the time domain, and then transforming that model to the frequency domain. This analysis must be performed on a case-by-case basis, requiring re-derivation whenever the model changes.

It is, however, possible to perform this time-varying transformation without ever utilizing the time domain, and using strictly frequency domain models, as is shown and demonstrated in this section.

A. Notation and D-Q representation of three-phase variables

The three-phase line currents and voltages are represented as a vector in the ABC domain and the D-Q domain as follows:

$$x_{abc}(t) = \begin{bmatrix} x_a(t) \\ x_b(t) \\ x_c(t) \end{bmatrix}, \quad x_{dq}(t) = \begin{bmatrix} x_d(t) \\ x_q(t) \end{bmatrix} \quad (33)$$

The D-Q transformation used in this work is repeated here for the reader's convenience:

$$x_{dq}(t) = \sqrt{\frac{2}{3}} \begin{bmatrix} \cos(\omega t) & \cos\left(\omega t - \frac{2\pi}{3}\right) & \cos\left(\omega t + \frac{2\pi}{3}\right) \\ -\sin(\omega t) & -\sin\left(\omega t - \frac{2\pi}{3}\right) & -\sin\left(\omega t + \frac{2\pi}{3}\right) \end{bmatrix} x_{abc}(t) \quad (34)$$

where ω is the frequency of rotation.

Since we are not considering the zero sequence, $x_a(t) + x_b(t) + x_c(t) = 0 \forall t$, and thus the inverse transformation can be defined as its transpose:

$$x_{abc}(t) = \sqrt{\frac{2}{3}} \begin{bmatrix} \cos(\omega t) & -\sin(\omega t) \\ \cos\left(\omega t - \frac{2\pi}{3}\right) & -\sin\left(\omega t - \frac{2\pi}{3}\right) \\ \cos\left(\omega t + \frac{2\pi}{3}\right) & -\sin\left(\omega t + \frac{2\pi}{3}\right) \end{bmatrix} x_{dq}(t) \quad (35)$$

In a three-phase system, dynamical subsystems often exist in a three-phase system on a per-phase but symmetric basis. These subsystems can be represented by a diagonal matrix of transfer functions. We will define the frequency domain 3x3 matrix operator $H_{abc}(s)$ as

$$H_{abc}(s) = \begin{bmatrix} H(s) & 0 & 0 \\ 0 & H(s) & 0 \\ 0 & 0 & H(s) \end{bmatrix} \quad (36)$$

If we were to view these dynamics from the D-Q frame, we would see the dynamics as described in Fig. 5-1.

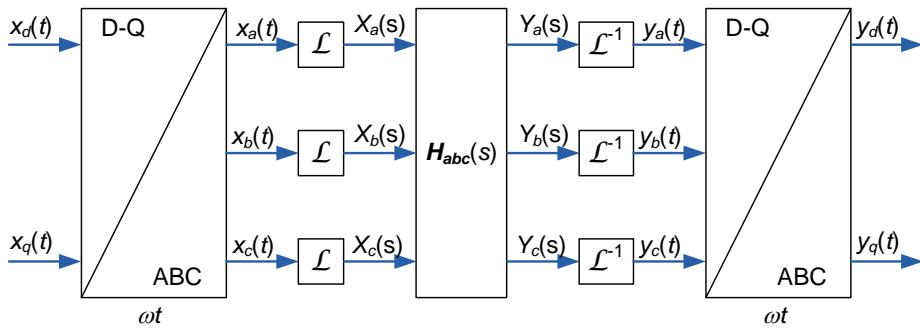


Fig. 5-1: DQ Representation of ABC domain Transfer Function

For dynamics such as those described in (36), one can envision an equivalent small-signal, time-invariant model in the D-Q domain, described by these dynamics as:

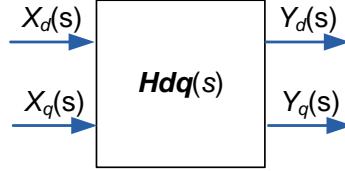


Fig. 5-2: System Represented in DQ Coordinates

where $H_{dq}(s)$ is a 2×2 matrix operator:

$$\begin{bmatrix} Y_d(s) \\ Y_q(s) \end{bmatrix} = \begin{bmatrix} h_{dd}(s) & h_{dq}(s) \\ h_{qd}(s) & h_{qq}(s) \end{bmatrix} \begin{bmatrix} X_d(s) \\ X_q(s) \end{bmatrix} \quad (37)$$

There is a relationship between the elements in the two system descriptions shown in (36) and (37), which is the topic of this section.

B. Example Systems

The following examples will be used as a reference throughout this chapter to provide an intuitive example.

i) Inductive Load

A three-phase wye-connected resistor inductor represented in the ABC domain has a per-phase impedance $Z_{RL}(s) = sL + R$. The three-phase impedance of the system in ABC coordinates can be represented as

$$Z_{RLABC}(s) = \begin{bmatrix} sL + R & 0 & 0 \\ 0 & sL + R & 0 \\ 0 & 0 & sL + R \end{bmatrix} = Z_{RL}(s)\mathbb{I}_3 \quad (38)$$

where \mathbb{I}_3 is the 3×3 identity matrix. Note that this system directly matches the form of (36).

ii) State Space System

A three-phase wye-connected impedance matrix can be represented as a state-space system of the form

$$sx_{abc}(s) = \begin{bmatrix} A & 0 & 0 \\ 0 & A & 0 \\ 0 & 0 & A \end{bmatrix} x_{abc}(s) + \begin{bmatrix} B & 0 & 0 \\ 0 & B & 0 \\ 0 & 0 & B \end{bmatrix} u_{abc}(s) \quad (39)$$

$$y_{abc}(s) = \begin{bmatrix} C & 0 & 0 \\ 0 & C & 0 \\ 0 & 0 & C \end{bmatrix} x_{abc}(s) + \begin{bmatrix} D & 0 & 0 \\ 0 & D & 0 \\ 0 & 0 & D \end{bmatrix} u_{abc}(s) \quad (40)$$

This system is an aggregated form of (3) where each element in matrix 3 is represented by the state-space system

$$\begin{bmatrix} sx(s) \\ y(s) \end{bmatrix} = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \begin{bmatrix} x(s) \\ u(s) \end{bmatrix} \quad (41)$$

for some order of system n , making

$$A \in \mathbb{R}^{n \times n}, B \in \mathbb{R}^{n \times m}, C \in \mathbb{R}^{p \times n}, D \in \mathbb{R}^{p \times m} \quad (42)$$

$$x(s) \in \mathbb{C}^n, u(s) \in \mathbb{C}^m, y(s) \in \mathbb{C}^p \quad (43)$$

C. Symmetrical Transfer functions in the ABC Domain as seen in the D-Q Domain

Converting to and from the ABC domain transfer functions to the D-Q domain involves a time-domain multiplication of the D-Q matrix, which is a convolution in the frequency domain between the variable and the transformation.

This can be shown graphically in the following two diagrams:

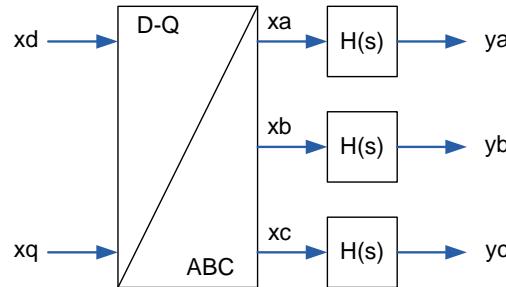


Fig. 5-3: Transforming to ABC Coordinates and Applying H

It is important to note here that $H(s)$ is the same transfer function applied to each phase. To allow the transfer function to pass to the D-Q domain, we would like to “pull” $H(s)$ through the transformation, so while keeping the same inputs and outputs, we would instead produce:

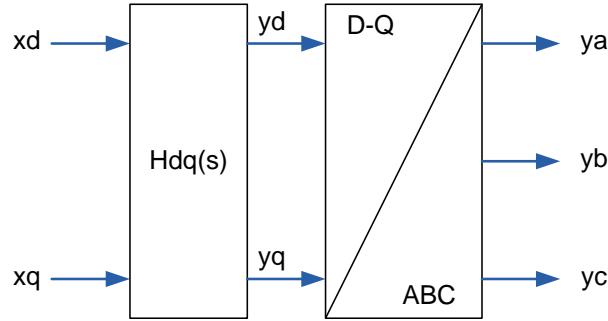


Fig. 5-4: Applying $H_{dq}(s)$ and Transforming to ABC Coordinates

where $H_{dq}(s)$ is the 2×2 matrix of transfer functions described in (37).

If the transformation was were a time-varying one, and were, instead, a time-invariant transformation, one can immediately get the model via a similarity transformation. As an example, consider the invertible time-invariant transformation matrix T .

Given

$$sx_{abc}(s) = \begin{bmatrix} A & 0 & 0 \\ 0 & A & 0 \\ 0 & 0 & A \end{bmatrix} x_{abc}(s) + \begin{bmatrix} B & 0 & 0 \\ 0 & B & 0 \\ 0 & 0 & B \end{bmatrix} u_{abc}(s) \quad (44)$$

$$y_{abc}(s) = \begin{bmatrix} C & 0 & 0 \\ 0 & C & 0 \\ 0 & 0 & C \end{bmatrix} x_{abc}(s) + \begin{bmatrix} D & 0 & 0 \\ 0 & D & 0 \\ 0 & 0 & D \end{bmatrix} u_{abc}(s) \quad (45)$$

one can construct a new basis function $\alpha\beta\gamma$ such that:

$$x_{\alpha\beta\gamma}(t) = T_{\alpha\beta\gamma} x_{abc}(t) \quad (46)$$

$$u_{\alpha\beta\gamma}(t) = T_{\alpha\beta\gamma} u_{abc}(t) \quad (47)$$

As $T_{\alpha\beta\gamma}$ is not time-variant, it follows that:

$$\mathcal{L}\{x_{\alpha\beta\gamma}(t)\} = \mathcal{L}\{T_{\alpha\beta\gamma} x_{abc}(t)\} = T_{\alpha\beta\gamma} \mathcal{L}\{x_{abc}(t)\} \quad (48)$$

$$x_{\alpha\beta\gamma}(s) = T_{\alpha\beta\gamma} x_{abc}(s) \quad (49)$$

Similarly,

$$u_{\alpha\beta\gamma}(s) = T_{\alpha\beta\gamma} u_{abc}(s) \quad (50)$$

Simple substitution shows that the equivalent system dynamics in the new domain yields:

$$sx_{\alpha\beta\gamma}(s) = T_{\alpha\beta\gamma} \begin{bmatrix} A & 0 & 0 \\ 0 & A & 0 \\ 0 & 0 & A \end{bmatrix} T_{\alpha\beta\gamma}^{-1} x_{\alpha\beta\gamma}(s) + T_{\alpha\beta\gamma} \begin{bmatrix} B & 0 & 0 \\ 0 & B & 0 \\ 0 & 0 & B \end{bmatrix} T_{\alpha\beta\gamma}^{-1} u_{\alpha\beta\gamma}(s) \quad (51)$$

$$y_{\alpha\beta\gamma}(s) = \begin{bmatrix} C & 0 & 0 \\ 0 & C & 0 \\ 0 & 0 & C \end{bmatrix} T_{\alpha\beta\gamma}^{-1} x_{\alpha\beta\gamma}(s) + \begin{bmatrix} D & 0 & 0 \\ 0 & D & 0 \\ 0 & 0 & D \end{bmatrix} T_{\alpha\beta\gamma}^{-1} u_{\alpha\beta\gamma}(s) \quad (52)$$

However, as the matrix T_{dq} described in (34) is also an explicit function of time, we have less freedom with respect to how we can transform our system:

$$\mathcal{L}\{x_{dq}(t)\} = \mathcal{L}\{T_{dq}x_{abc}(t)\} \neq \mathcal{L}\{T_{dq}\}\mathcal{L}\{x_{abc}(t)\} \quad (53)$$

$$x_{dq}(s) \neq T_{dq}(s)x_{dq}(s) \quad (54)$$

but instead

$$x_{dq}(s) = T_{dq}(s) \oplus x_{dq}(s) \quad (55)$$

where \oplus denotes convolution.

It is therefore not as easy to separate $T_{dq}(s)$ from $x_{dq}(s)$, as we did in the previous solution. One potential solution to the problem takes advantage of the fact that the form of the elements of $T_{dq}(t)$ are sinusoidal, and thus one can apply the modulation property of the Laplace transform to simplify the results.

D. Sinusoidal Modulation in the Frequency Domain

Given a three-phase variable in the DQ domain, the equivalent representation of the matrix in the ABC domain can be described by applying the modulation property of the Laplace transformation of the DQ variable to the ABC domain.

Let us consider the vector $x_{dq}(t)$ to be the original variable transformed to the ABC domain by (35). In the time domain, the component $x_a(t)$ can be expressed as $x_a(t) = \sqrt{\frac{2}{3}}(x_d(t)\cos(\omega t) - x_q(t)\sin(\omega t))$, which is $x_d(t)$ and $x_q(t)$ undergoing cosine and sine modulation, respectively.

In constructing the complete operator that will transform our system, it is useful to construct smaller operators for compactness. If we define a cosine modulation operator such that $\mathcal{L}\{h(t)\cos(\omega t)\} = CMOD(H(s), \omega)$ where $H(s) = \mathcal{L}\{h(t)\}$:

$$CMOD(H(s), \omega) \triangleq \frac{1}{2}(H(s + j\omega) + H(s - j\omega)) \quad (56)$$

and a sine modulation operator such that $\mathcal{L}\{h(t)\sin(\omega t)\} = SMOD(H(s), \omega)$ where $H(s) = \mathcal{L}\{h(t)\}$:

$$SMOD(H(s), \omega) \triangleq \frac{1}{2j}(-H(s + j\omega) + H(s - j\omega)) \quad (57)$$

then $X_a(s) = \mathcal{L}\{x_a(t)\}$ can be represented as

$$X_a(s) = \sqrt{\frac{2}{3}}(CMOD(X_d(s), \omega) - SMOD(X_q(s), \omega)) \quad (58)$$

To simplify the conversion of other phases, it is also useful to define equivalent operators for the phase-shifted cosine and sine modulation (for example, $\cos(\omega t - \frac{2\pi}{3})$ and similar terms) in terms of their trigonometric representations, $k_1\cos(\omega t) + k_2\sin(\omega t)$, and re-apply the above-mentioned operators directly with the coefficients, instead of needing to define new operators for the phase-shifted modulations:

$$CMODB(H(s), \omega) \triangleq k_1 CMOD(H(s), \omega) + k_2 SMOD(H(s), \omega) \quad (59)$$

$$CMODC(H(s), \omega) \triangleq k_3 CMOD(H(s), \omega) + k_4 SMOD(H(s), \omega) \quad (60)$$

$$SMODB(H(s), \omega) \triangleq m_1 CMOD(H(s), \omega) + m_2 SMOD(H(s), \omega) \quad (61)$$

$$SMODC(H(s), \omega) \triangleq m_3 CMOD(H(s), \omega) + m_4 SMOD(H(s), \omega) \quad (62)$$

which correspond to multiplication of $H(s)$ by $\cos(\omega t - \frac{2\pi}{3})$, $\cos(\omega t + \frac{2\pi}{3})$, $\sin(\omega t - \frac{2\pi}{3})$, and $\sin(\omega t + \frac{2\pi}{3})$, respectively. The Laplace transform of the components of the x_{abc} vector can then be expressed as:

$$x_a(s) = \sqrt{\frac{2}{3}}(CMOD(x_d(s), \omega) - SMOD(x_q(s), \omega)) \quad (63)$$

$$x_b(s) = \sqrt{\frac{2}{3}}(CMODB(x_d(s), \omega) - SMODB(x_q(s), \omega)) \quad (64)$$

$$x_c(s) = \sqrt{\frac{2}{3}}(CMODC(x_d(s), \omega) - SMODC(x_q(s), \omega)) \quad (65)$$

Next, applying $H(s)$ to each ABC frequency domain variable $x(s)$ yields the ABC frequency domain outputs, $y(s)$.

The result obtained after applying $H(s)$ can now be transformed back to the D-Q domain by the inverse transform, applying the same modulation properties just described to the ABC domain output y . The expressions become rather complex, but the results can be simplified. Following this simplification, we can derive based on these modulation properties the simplified equivalent system in the D-Q domain directly to be:

$$H_{dq}(s) = \begin{bmatrix} CMOD(H(s), \omega) & SMOD(H(s), \omega) \\ -SMOD(H(s), \omega) & CMOD(H(s), \omega) \end{bmatrix} \quad (66)$$

which is the key contribution of this section.

E. Application to example

Returning to the example of the inductor, we can calculate the D-Q impedance, $Z_{dqL}(s)$ using this method. By substitution,

$$CMOD(Z_{RLPH}(s), \omega) = \frac{1}{2}((s + j\omega)L + R + (s - j\omega)L + R) = sL + R \quad (67)$$

$$SMOD(Z_{RLPH}(s), \omega) = \frac{1}{2j}(-(s + j\omega)L - R + (s - j\omega)L + R) = -\omega L \quad (68)$$

Thus we can verify the D-Q impedance of an inductor to be

$$Z_{dqL}(s) = \begin{bmatrix} sL + R & -\omega L \\ \omega L & sL + R \end{bmatrix} \quad (69)$$

F. Properties of Transformed Matrices

The matrices resulting from transformation (33) have a notable form, with equal diagonals and equal anti-diagonals with opposite signs. Matrices of this form comprise a field referred to in this paper as χ :

$$\chi: Q(s) = \begin{bmatrix} u(s) & v(s) \\ -v(s) & u(s) \end{bmatrix}, \quad \{u(s), v(s)\} \in \mathbb{C} \quad (70)$$

Matrices of this structure form field. Some notable properties of these matrices are:

i) Closure under addition

Two matrices A and B belonging to χ are added to create a third matrix, $C=A+B$, also in this group.

ii) Closure under multiplication

Two matrices A and B belonging to χ create a third matrix $C=AB$, also in χ .

iii) Commutativity

Two matrices A and B belonging to χ have a product which is invariant to the order of the matrices. That is, $C = AB = BA$.

The first three properties can be proven by algebraically expanding the 2×2 matrices and showing that the form of the results matches the form required for membership in χ .

iv) Closure under multiplicative inversion

A matrix A belonging to χ will yield an inverse which is also a member of χ . This can be shown by taking any matrix in χ , such as

$$Q = \begin{bmatrix} u & v \\ -v & u \end{bmatrix}, \quad \{u, v\} \in \mathbb{C} \quad (71)$$

where $\det(Q) \neq 0$, and computing its inverse:

$$Q^{-1} = \frac{1}{u^2+v^2} \begin{bmatrix} u & -v \\ v & u \end{bmatrix} \in \chi \quad (72)$$

The resultant matrix also follows the form of elements of χ , indicating closure under inversion.

v) Closure of feedback-interconnected systems

It follows from the above properties that if there is a closed-loop system consisting of G and H, then the resulting feedback interconnected system F, will also be a member of χ .

$$F = [\mathbb{I}_n + G H]^{-1} G \quad (73)$$

where \mathbb{I}_n is the $n \times n$ identity matrix, also a member of χ , serving as the multiplicative identity element.

G. Application of Field Properties

Application of these properties allows us to explore more parallels between the D-Q domain and the ABC domain.

It is intuitive that in the ABC domain, the per-phase single input, single output transfer functions are commutative, and different elements of each phase may be re-ordered without changing the system response. Following this, the same elements now represented in the D-Q domain may be re-ordered without changing the D-Q domain frequency response due to the fact that members of χ commute.

Since most of the power electronics components prior to and after the switching converter but before the D-Q transform in the control loop are of this form, it is possible to simplify system representations using these properties.

H. Symmetrical State Space Models in the ABC Domain as seen In the DQ Domain

Fitting frequency-domain data to known forms of transfer functions, as is often done in component approximations, is both tedious and error-prone. Alternatively, direct frequency domain measurements of a transfer function can be taken in the ABC domain using equipment such as a gain/phase analyzer or a network analyzer, and the resulting data may then be fit to a generic state-space model of sufficient order using one of the many available tools designed for this purpose. From the generic state-space model shown in this section, we show that there is an equivalent state-space model in the D-Q domain.

I. Phase State Space Model Transformation to D-Q

Given a state-space model of a phase of the balanced system, with each phase having a transfer function described by the following equations.

$$\begin{bmatrix} sX \\ Y \end{bmatrix} = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \begin{bmatrix} X \\ U \end{bmatrix} \quad (74)$$

the model can be transformed to the D-Q domain by applying (66) and reducing:

$$\begin{bmatrix} sx_d(s) \\ sx_q(s) \\ y_d(s) \\ y_q(s) \end{bmatrix} = \begin{bmatrix} A & \Omega & B & 0 \\ -\Omega & A & 0 & B \\ C & 0 & D & 0 \\ 0 & C & 0 & D \end{bmatrix} \begin{bmatrix} x_d(s) \\ x_q(s) \\ u_d(s) \\ u_q(s) \end{bmatrix} \quad (75)$$

where Ω is $\omega \mathbb{I}_n$, and $x_d(t)$ and $x_q(t)$ are the new states of the system.

i) Preservation of System States

The original system in the ABC domain had transfer functions, each with n states. It is seen above, however, that the number of states has been reduced from $3n$ to $2n$. The reader is reminded that these methods hold for balanced, symmetrical systems with balanced, three-phase symmetrical perturbations where the zero sequence is never excited. The system models are therefore on the planar subspace $x_a(t) + x_b(t) + x_c(t) = 0 \forall t$. Therefore, one of the states of the ABC system is completely specified by the other two at any instant in time. Thus the number of states of the system is preserved from the ABC domain when transforming to the D-Q domain, and is equal to two times the total number of states representing any single phase of the three-phase system. From the linearity property of the Laplace transform, we see that $X_a(s) + X_b(s) + X_c(s) = 0 \forall s$.

ii) Application to Example

The example with inductors may be transformed into a state-space system and used to demonstrate this mode of transformation:

$$sX_{abc}(s) = \begin{bmatrix} -\frac{R}{L} & 0 & 0 \\ 0 & -\frac{R}{L} & 0 \\ 0 & 0 & -\frac{R}{L} \end{bmatrix} X_{abc}(s) + \begin{bmatrix} \frac{1}{L} & 0 & 0 \\ 0 & \frac{1}{L} & 0 \\ 0 & 0 & \frac{1}{L} \end{bmatrix} v_{abc}(s) \quad (76)$$

$$I_{abc}(s) = X_{abc}(s) \quad (77)$$

where R is the equivalent series resistance of the inductor, L is its inductance, $v_{abc}(s)$ is the Laplace transform of the three-phase voltage vector across the inductors, and $I_{abc}(s)$ is the corresponding three phase current vector. The output equation is trivial.

Conversion to the D-Q domain via the procedure described above yields the following:

$$\begin{bmatrix} sx_d(s) \\ sx_q(s) \\ i_d(s) \\ i_q(s) \end{bmatrix} = \begin{bmatrix} -\frac{R}{L} & \omega & \frac{1}{L} & 0 \\ -\omega & -\frac{R}{L} & 0 & \frac{1}{L} \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} x_d(s) \\ x_q(s) \\ v_d(s) \\ v_q(s) \end{bmatrix} \quad (78)$$

which can be recognized as the impedance of an inductor in the D-Q frame available in many texts.

J. Extension to Non-Diagonal ABC Domain Transfer Functions

The constraint on the system that the transfer functions must be in a wye configuration, while common, can limit the application of these techniques. There is a second form of ABC domain transfer function that may be also directly transformed to the D-Q domain. Given a transfer function matrix of the form:

$$y_{abc}(s) = \begin{bmatrix} A(s) & B(s) & B(s) \\ B(s) & A(s) & B(s) \\ B(s) & B(s) & A(s) \end{bmatrix} x_{abc}(s) \quad (79)$$

when transformed to the alpha-beta domain (in this paper defined as the DQ domain where $\omega = 0$) yields

$$y_{\alpha\beta}(s) = \begin{bmatrix} A(s) - B(s) & 0 \\ 0 & A(s) - B(s) \end{bmatrix} x_{\alpha\beta}(s) \quad (80)$$

This is equivalent to the system,

$$y_{abc}(s) = \begin{bmatrix} A(s) - B(s) & 0 & 0 \\ 0 & A(s) - B(s) & 0 \\ 0 & 0 & A(s) - B(s) \end{bmatrix} x_{abc}(s) \quad (81)$$

which yields an identical result.

K. Application to Power Electronics-based Three-Phase Converters and their Elements

Three-phase power converters have subsystems and effects which are applied to each phase independently. Two of these, the anti-aliasing filters and the digital delay, have transfer

functions that can be derived in the D-Q domain from the given ABC domain transfer functions, and are demonstrated here.

i) Anti-aliasing Filter

An anti-aliasing filter is typically used in such a converter to remove components of the signal that are of a higher frequency than the sampling frequency. Such a filter is often implemented as a simple second order Butterworth filter. This model can be expressed as:

$$HAAfilt_{abc}(s) = \left(\frac{1}{s/\omega_b + 1} \right)^2 \quad (82)$$

The corresponding representation in the D-Q frame rotating at frequency ω may be found by modeling this filter in state space as follows:

$$\begin{bmatrix} sx_1(s) \\ sx_2(s) \\ y(s) \end{bmatrix} = \begin{bmatrix} -\omega_b & 0 & \omega_b \\ \omega_b & -\omega_b & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} x_1(s) \\ x_2(s) \\ u(s) \end{bmatrix} \quad (83)$$

Upon transforming this filter using the method described in Section IIIA., the resulting filter in the DQ domain becomes:

$$\begin{bmatrix} sx_{1d}(s) \\ sx_{2d}(s) \\ sx_{1q}(s) \\ sx_{2q}(s) \\ y_d \\ y_q \end{bmatrix} = \begin{bmatrix} -\omega_b & 0 & \omega & 0 & \omega_b & 0 \\ \omega_b & -\omega_b & 0 & \omega & 0 & 0 \\ \omega & 0 & -\omega_b & 0 & 0 & \omega_b \\ 0 & \omega & \omega_b & -\omega_b & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} x_{1d}(s) \\ x_{2d}(s) \\ x_{1q}(s) \\ x_{2q}(s) \\ x_{1q}(s) \\ u(s) \end{bmatrix} \quad (84)$$

ii) Digital Delay

The digital delay can be modeled in stationary coordinates as:

$$HDel_{abc}(s) = e^{-sT} \quad (85)$$

Upon applying the modulation operators (56) and (57), one can find via Euler identities that:

$$\begin{aligned} CMOD(HDel_{abc}(s), \omega) &= \frac{1}{2}(e^{-(s+j\omega)T} + e^{-(s-j\omega)T}) \\ &= \frac{1}{2}(e^{-j\omega T} + e^{j\omega T})e^{-sT} = \cos(\omega T) e^{-sT} \end{aligned} \quad (86)$$

$$\begin{aligned}
S MOD(HDel_{abc}(s), \omega) &= \frac{1}{2j} (e^{-(s-j\omega)T} - e^{-(s+j\omega)T}) \\
&= \frac{1}{2j} (e^{-j\omega T} - e^{j\omega T}) e^{-sT} = \sin(\omega T) e^{-sT}
\end{aligned} \tag{87}$$

Applying these results in (33) yields:

$$HDel_{dq}(s) = \begin{bmatrix} \cos(\omega T) & \sin(\omega T) \\ -\sin(\omega T) & \cos(\omega T) \end{bmatrix} e^{-sT} \tag{88}$$

One may recognize the coefficient matrix as a rotation operator, rotating the input vector by an angle of ωT radians. T is typically one or two sample periods for a digitally controlled converter.

III. Characteristics of System under Test

Using the system descriptions above, one may construct the following block diagram of the system used during a single sweep in the D-Q domain. Radial symmetry is assumed among the components comprising one block. Transfer functions starting with ‘H’ are continuous-time systems. Transfer functions starting with ‘G’ represent discrete time systems, and are implemented either in the DSP or FPGA.

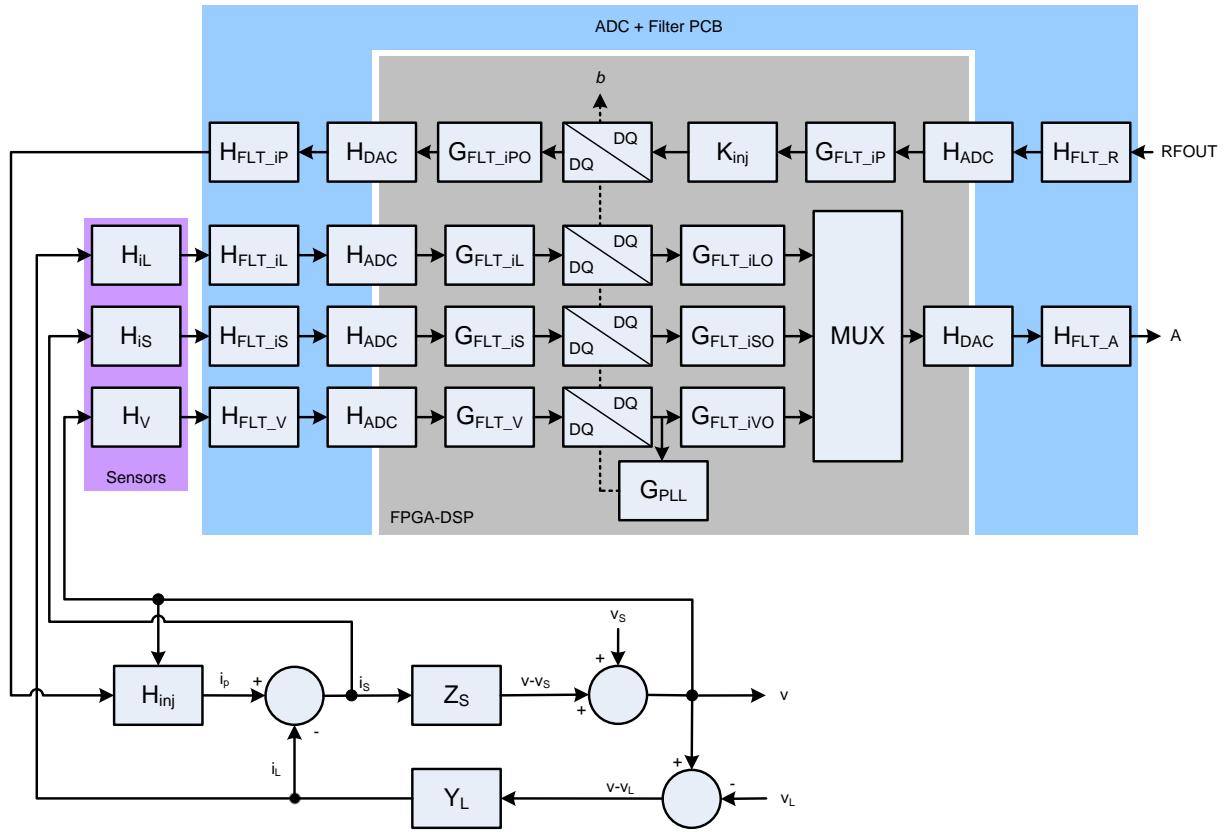


Fig. 5-5: System Block Diagram

In the above diagram, the components of the system as it exists in the DQ domain under measurement are shown. The individual transfer functions are described below:

Table 5-1: Transfer Function Description

Name	Description
H_{FLT_R}	The model of the filter for the perturbation reference prior to transformation. It is 1x1.
H_{ADCA}	The model of the ADC converter.
H_{DACA}	The model of the DAC converter.

Name	Description
H_{FLT_A}	The model of the output filter to the gain/phase analyzer.
H_{FLT_iP}	The model of the output filter to each amplifier from the DAC reference.
H_{FLT_iL}	The DQ model of the model of the filtered load currents prior to DQ transformation.
H_{FLT_iS}	The DQ model of the model of the filtered source currents prior to DQ transformation.
H_{FLT_V}	The DQ model of the model of the filtered voltages prior to DQ transformation.
H_{ADCD}	The DQ model of the ADC converter.
H_{DACD}	The DQ model of the DAC converter.
H_{iL}	The transfer function of the load current sensor.
H_{iS}	The transfer function of the source current sensor.
H_V	The transfer function of the voltage sensor.
G_{PLL}	The model of the PLL dynamics on the DQ frame during injection.
G_{FLT_iLO}	A filter in the DQ domain after transformation. It is optional and was represented as a scalar in the implementation, but may be a transfer function in other designs.
G_{FLT_iSO}	A filter in the DQ domain after transformation. It is optional and was represented as a scalar in the implementation, but may be a transfer function in other designs.
G_{FLT_iVO}	A filter in the DQ domain after transformation. It is optional and was represented as a scalar in the implementation, but may be a transfer function in other designs.
G_{FLT_iL}	The input filter for the measured load currents. It is 2x2.
G_{FLT_iS}	The input filter for the measured source currents. It is 2x2.
G_{FLT_v}	The input filter for the measured voltages. It is 2x2.

Name	Description
G_{FLT_iP}	The input filter prior to the DQ transformation. It is 1x1.
G_{FLT_iPO}	The output filter of the perturbation reference. It is diagonal 2x2.
K_{inj}	A 2x1 vector which determines the angle at which to inject.
H_{inj}	The transfer function from the injection reference to the current injected into the system.
Z_S	The source impedance.
Y_L	The load admittance.

The model can be simplified by eliminating the elements that switch each time the transfer function is measured. This can be done with the understanding that the multiplexer just selects an input to present on the output. Since the system is time-invariant, the multiplexer can be eliminated by creating three outputs, one for each of the corresponding multiplexers. In this new representation, A becomes the three outputs, namely A_{iLO} , A_{iSO} , and A_{VO} , representing the load current, source current and voltage, respectively, as presented to the gain/phase analyzer.

Secondly, K_{inj} can be eliminated by creating a second input, which represents perturbations on the other channel. K_{inj} was a 2x1 matrix that projected the perturbation onto a vector in the DQ domain. As described in Chapter 4, for each perturbation, it was changed to project the disturbance at another angle in the D-Q space. It can simply be replaced here by two inputs – one on the D-axis and one on the Q-axis, referred to as $RFOUT_d$ and $RFOUT_q$, respectively. Combined together as a vector, these will be referred to as R_{dq} . It is still shown in Fig. 5-6 as $K_{inj}2x2$, but is equal to the 2x2 identity matrix with both input channels explicitly shown.

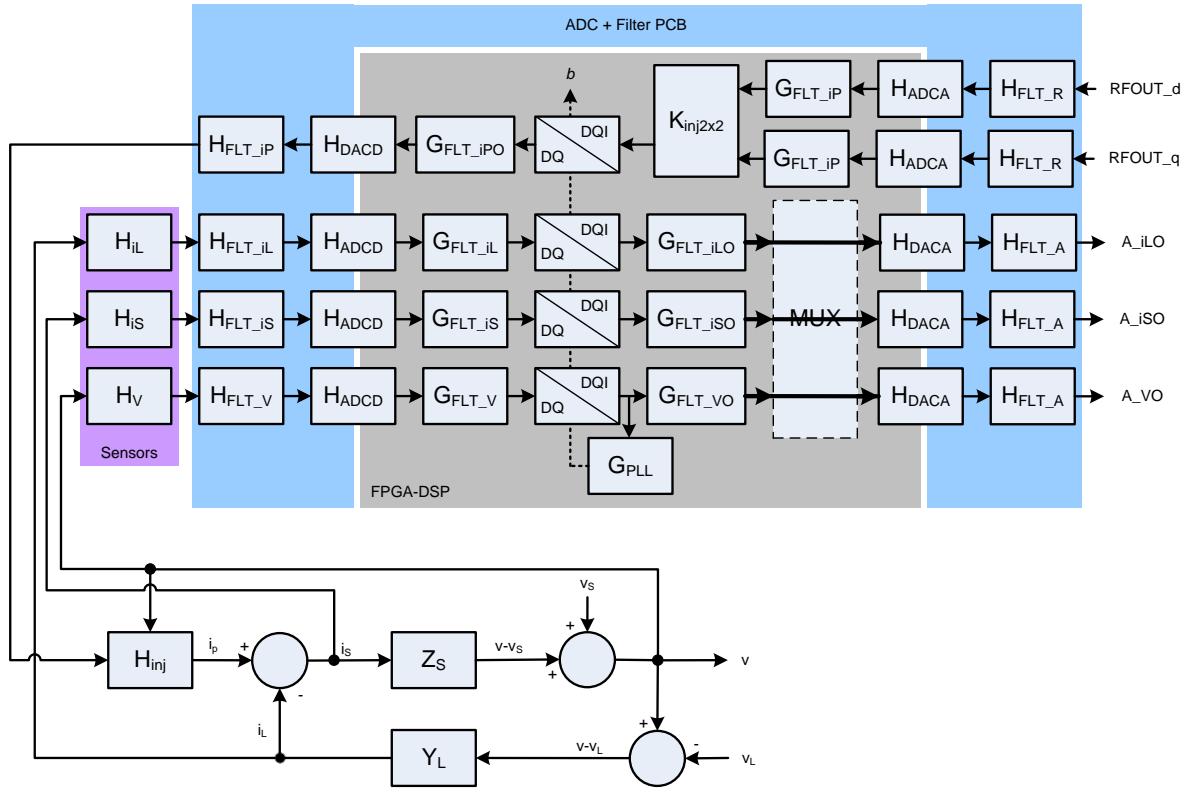


Fig. 5-6: Modified Block Diagram with All Inputs and Outputs

The model can be further simplified. If the injection system is good, and follows the reference, then the loop containing H_{inj} (the effect of the voltage on the injection system) can be eliminated. Also, if the PLL is extremely slow, then the loop containing G_{PLL} can be eliminated:

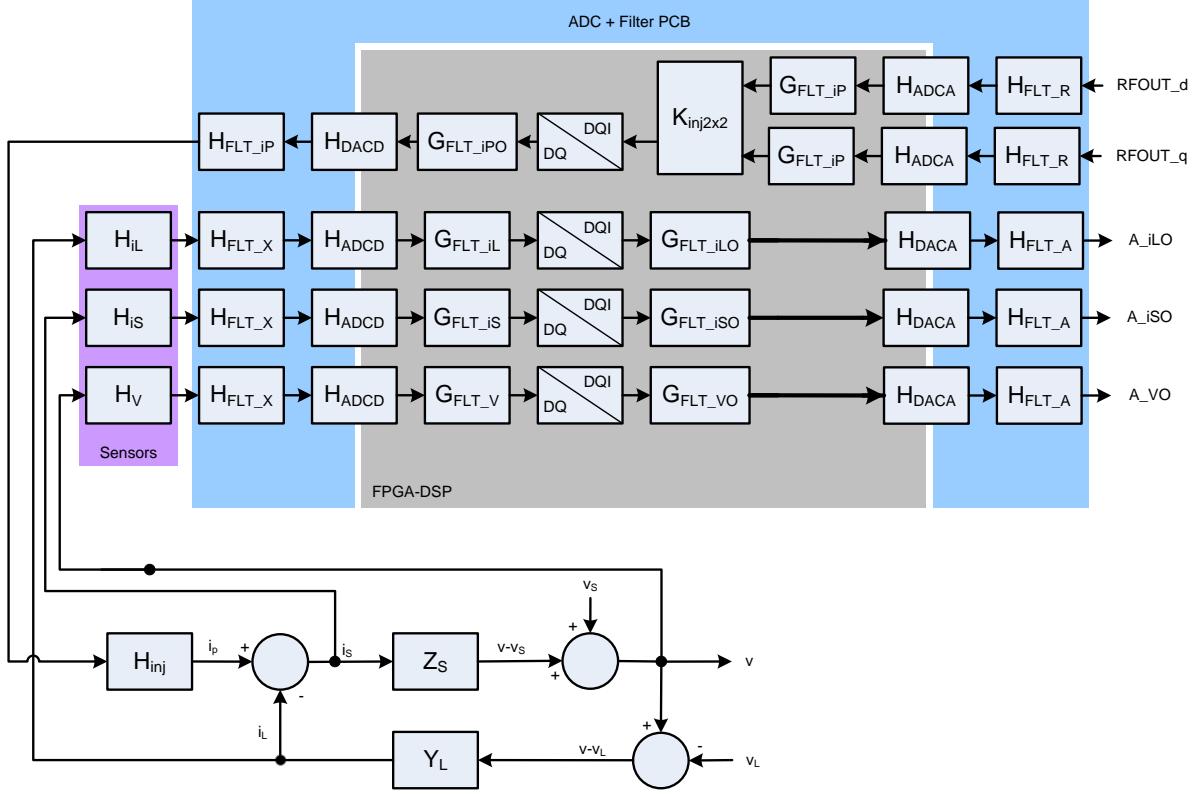


Fig. 5-7: Simplified System

After simplifying the model, the following equations can be written as shown in Chapter 2. For compactness they have each been given a transfer function definition:

$$v = [I + Z_s Y_L]^{-1} Z_s i_p \triangleq Q_v i_p \quad (89)$$

$$i_s = [I + Z_s Y_L]^{-1} i_p \triangleq Q_{is} i_p \quad (90)$$

$$i_L = [I + Z_s Y_L]^{-1} Z_s Y_L i_p \triangleq Q_{iL} i_p \quad (91)$$

Using the above model, the following equations can be written:

$$A_I_L = (H_{FLT_A} H_{DAC} G_{FLT_IL0} G_{DQI} G_{FLT_IL} H_{ADCD} H_{FLT_IL} H_{iL}) i_L \quad (92)$$

$$A_I_S = (H_{FLT_A} H_{DAC} G_{FLT_ISO} G_{DQI} G_{FLT_IS} H_{ADCD} H_{FLT_IS} H_{iS}) i_S \quad (93)$$

$$A_V = (H_{FLT_A} H_{DAC} G_{FLT_VO} G_{DQI} G_{FLT_V} H_{ADCD} H_{FLT_V}) H_V v \quad (94)$$

$$i_P = (H_{inj} H_{FLT_IP} H_{DACD} G_{FLT_IPO} G_{DQ} G_{FLT_IP} H_{ADCH} H_{FLT_Rdq}) R_{dq} \quad (95)$$

For compactness of notation, we define the following:

$$Q_1 = H_{inj} H_{FLT_iP} H_{DACD} G_{FLT_iPO} G_{DQ} G_{FLT_iP} H_{ADC} H_{FLT_Rdq} \quad (96)$$

Another assumption that shall be made based on the design of the hardware is that all the anti-aliasing filters are identical. That is,

$$H_{FLT_X} = H_{FLT_iL} = H_{FLT_iS} = H_{FLT_V} = H_{FLT_R} \quad (97)$$

So that:

$$i_P = Q_1 R_{dq} \quad (98)$$

(Remembering that R_{dq} is $[RFOUT_D \quad RFOUT_Q]^\top$).

Combining (98) with (89) gives:

$$v = Q_v Q_1 R_{dq} \quad (99)$$

Repeating for the currents:

$$i_S = Q_{iS} Q_1 R_{dq} \quad (100)$$

$$i_L = Q_{iL} Q_1 R_{dq} \quad (101)$$

Simplifying G_{FLT_VO} , G_{FLT_ILO} , G_{FLT_ISO} , G_{FLT_V} , G_{FLT_iL} , and G_{FLT_iS} to be their respective constants as used in this application: k_{FLT_VO} , k_{FLT_ILO} , k_{FLT_ISO} , k_{FLT_V} , k_{FLT_iL} , and k_{FLT_iS} , and combining with (97):

$$A_iL = k_{FLT_ILO} k_{FLT_iL} (H_{FLT_A} H_{DAC} G_{DQI} H_{ADCD} H_{FLT_X}) H_{iL} Q_{iL} Q_1 R_{dq} \quad (102)$$

Again combining terms for compactness one can define:

$$Q_2 \triangleq (H_{FLT_A} H_{DAC} G_{DQI} H_{ADCD} H_{FLT_X}) \quad (103)$$

And constants $k_{iL} \triangleq k_{FLT_ILO} k_{FLT_iL}$, $k_{iS} \triangleq k_{FLT_ISO} k_{FLT_iS}$, $k_V \triangleq k_{FLT_VO} k_{FLT_V}$

This gives rise to the following:

$$A_V = k_V Q_2 H_V Q_V Q_1 R_{dq} \quad (104)$$

$$A_iS = k_{iS} Q_2 H_{iS} Q_{iS} Q_1 R_{dq} \quad (105)$$

$$A_{-iL} = k_{iL} Q_2 H_{iL} Q_{iL} Q_1 R_{dq} \quad (106)$$

from which if one computes the impedance (estimated, so denoted \hat{Z}_s) using the results obtained from the analyzer, one gets:

$$\hat{Z}_s = (A_{-V})(A_{-iS})^{-1} = k_v Q_2 H_V Q_V Q_1 (k_{iS} Q_2 H_{iS} Q_{iS} Q_1)^{-1} \quad (107)$$

$$\begin{aligned} \hat{Z}_s &= k_v Q_2 H_V Q_V Q_1 Q_1^{-1} Q_{iS}^{-1} H_{iS}^{-1} Q_2^{-1} k_{iS}^{-1} \\ \hat{Z}_s &= k_v k_{iS}^{-1} Q_2 H_V Q_V Q_{iS}^{-1} H_{iS}^{-1} Q_2^{-1} \end{aligned} \quad (108)$$

IV. Tests used to Validate Operation

Linear impedances are favorable to use as a standard which can be used to verify the instrument is measuring the impedances correctly, as they can be transferred to the D-Q domain directly using techniques described earlier in this chapter. However, they do not validate the system against several requirements necessary for operation in a power electronics system, such as PLL alignment, harmonic rejection, and nonlinear properties.

A. Passive Stationary Coordinate Measurements

In order to determine whether the measurement taken in D-Q coordinates by the impedance tester is correct, one may assume values of the parameters of a standard linear circuit (such as the values of capacitance, inductance, and resistance), and from that circuit derive the equivalent D-Q circuit, and then compare the results obtained from the impedance tester measurements to the nominal ones. Alternatively, a more accurate model can be constructed by measuring the impedance of the network in the ABC domain and deriving the small-signal model directly from the impedance. In order to do this, one can follow the following procedure:

1. Measure the impedance of all three phases, line to neutral.
2. Short the cable connecting to the load on the far end and measure its impedance.
3. Take the average of all three phase line-to-neutral measurements obtained in step 1.
4. Subtract the neutral impedance from this impedance, giving the impedance from the line to the neutral point of the load.
5. Use a state-space model approximation algorithm, such as fitfrd in Matlab, to approximate the averaged impedance with a state-space model object.
6. Apply a transformation on the state-space model object to obtain the equivalent nominal D-Q impedance.
7. Compare this impedance with the impedance in D-Q as directly measured by the analyzer.

To follow this procedure, we first calibrate an Agilent 4394A impedance analyzer with an impedance probe on the front. After that, without power, we measure the impedance of the circuit as described in steps 1 and 3. This data can then be exported to Matlab via the GPIB interface of the analyzer, where it can be manipulated to create the D-Q impedance. The most complex example measured gives the following impedance when measured in stationary coordinates.

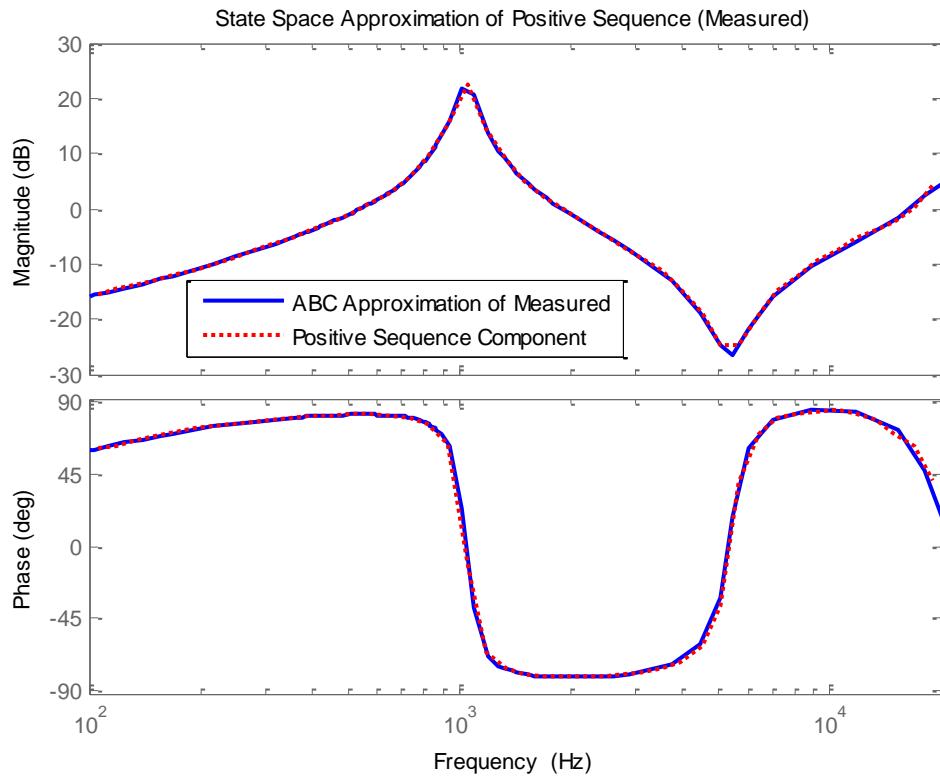


Fig. 5-8: Measured line-to-neutral impedance and 10th-order state-space approximation

We can see from Fig. 5-8 that there is a resonance about 1 kHz and an anti-resonance about 5 kHz. A 10th-order state-space model was used to approximate this system.

B. Calculated Impedances

An impedance of a single line-to-neutral phase can be represented in state-space form as:

$$\begin{bmatrix} sX \\ V \end{bmatrix} = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \begin{bmatrix} X \\ I \end{bmatrix} \quad (109)$$

In the above example, where a 10th-order approximation was made of the data as measured by the precision impedance analyzer (Agilent 4394A), we would expect the following dimensions on the variables when placed into this form:

$$A \in \mathbb{R}^{10 \times 10}, B \in \mathbb{R}^{10 \times 1}, C \in \mathbb{R}^{1 \times 10}, D \in \mathbb{R}^{1 \times 1}$$

The D-Q impedance can be derived to be:

$$Z_{DQ}(s) : \left[\begin{array}{c} sX_d \\ sX_q \\ \hline V_d \\ V_q \end{array} \right] \left[\begin{array}{cc|cc} A & \Omega & B & 0 \\ -\Omega & A & 0 & B \\ \hline C & 0 & D & 0 \\ 0 & C & 0 & D \end{array} \right] \left[\begin{array}{c} X_d \\ X_q \\ \hline I_d \\ I_q \end{array} \right] \quad (110)$$

The order of the model in the D-Q domain is a 20th-order model. The matrix Ω is an anti-diagonal matrix with all nonzero elements equal to the line frequency.

C. Test Cases – Balanced Linear Combinations

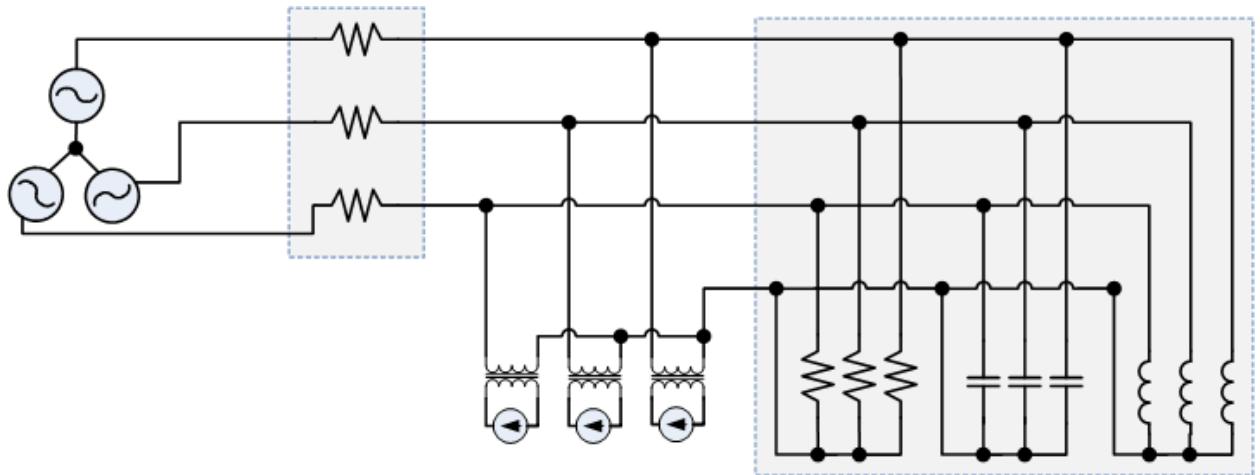


Fig. 5-9: Passive Impedance Test Setup

We performed tests using the full-scale voltage and current sensors. It should be noted that the impedances are equal in magnitude, and that for these linear loads, they are rotationally invariant [4]. Thus the Z_{dd} and Z_{qq} impedances are identical, with Z_{qd} equal to $-Z_{dq}$. Fig. 5-10 shows the Z_{dd} and Z_{qq} impedances as well as the nominal impedance computed from the measurements. The actual impedances (calculated from the stationary frame impedances) are coincident in the plot, and thus only one line may be visible. The measurements are mostly

coincident as well, and start to differ only at high frequencies. Data is not available for lower frequencies, as the computed impedance in the D-Q domain requires data in the ABC-domain frequency response at frequencies one line-frequency lower than the lowest D-Q measurement.

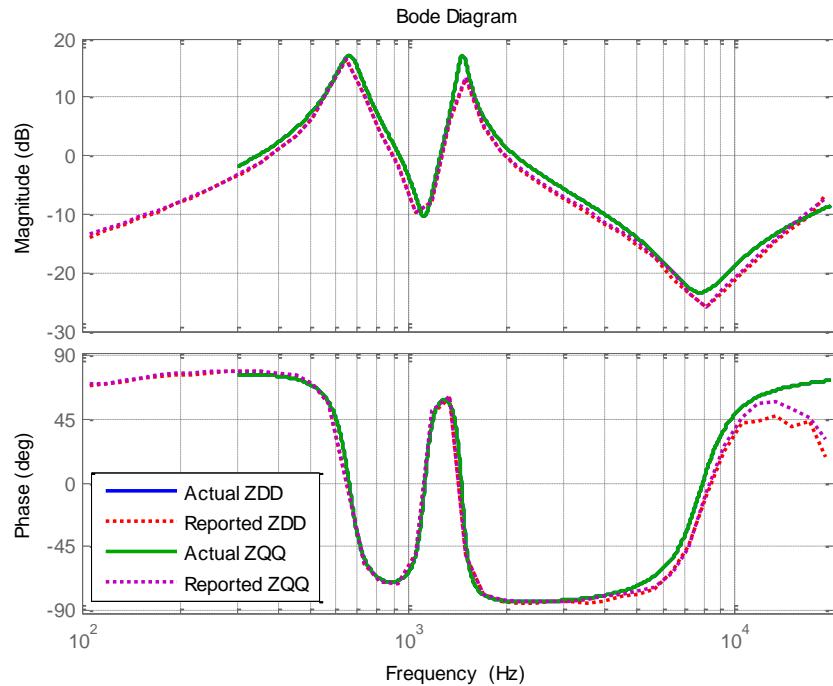


Fig. 5-10: Passive Impedance Test Results - RLC Test, Zdd and Zqq

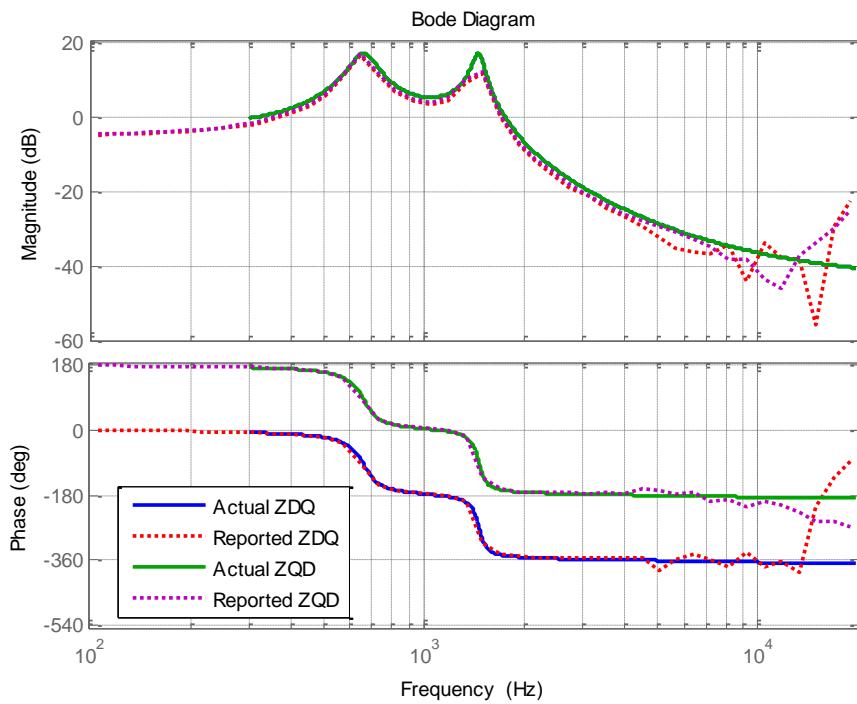


Fig. 5-11: Passive Impedance Test Results - RLC Test, Zdq and Zqd

V. Measurements which Observe Impedance of More Complex Modules

Several impedances were created to validate the operation of the converter. These impedances were applied, and are shown in the previous section. This section demonstrates the use of the impedance measurement unit to acquire the impedance of more complex systems, some of which are DSP-controlled, and others of which are nonlinear. The impedance of these systems, while it has been theorized, have not been measured in the works we've found.

The following is the list of systems measured:

- Unbalanced linear system
- Voltage source inverter
- Vienna rectifier

- Six-pulse rectifier
- ATRU

It should be noted that while the impedance of the linear case is well-known and can be derived, the impedances of the subsequent cases are not well-known, and can only be compared to simulation models.

A. Unbalanced Conditions

We created and measured a second RLC network. The network was modified to remove the phase C inductor, leaving all other elements present. It should be noted that this measurement made use of the higher gain current sensors.

B. Balanced Impedance Condition

Prior to analyzing the unbalanced condition, the balanced condition for this network will be investigated. The first step is to identify the DQ nominal impedance. This can be done from the stationary domain using the Agilent 4394A precision impedance analyzer with the 42941A precision impedance probe.

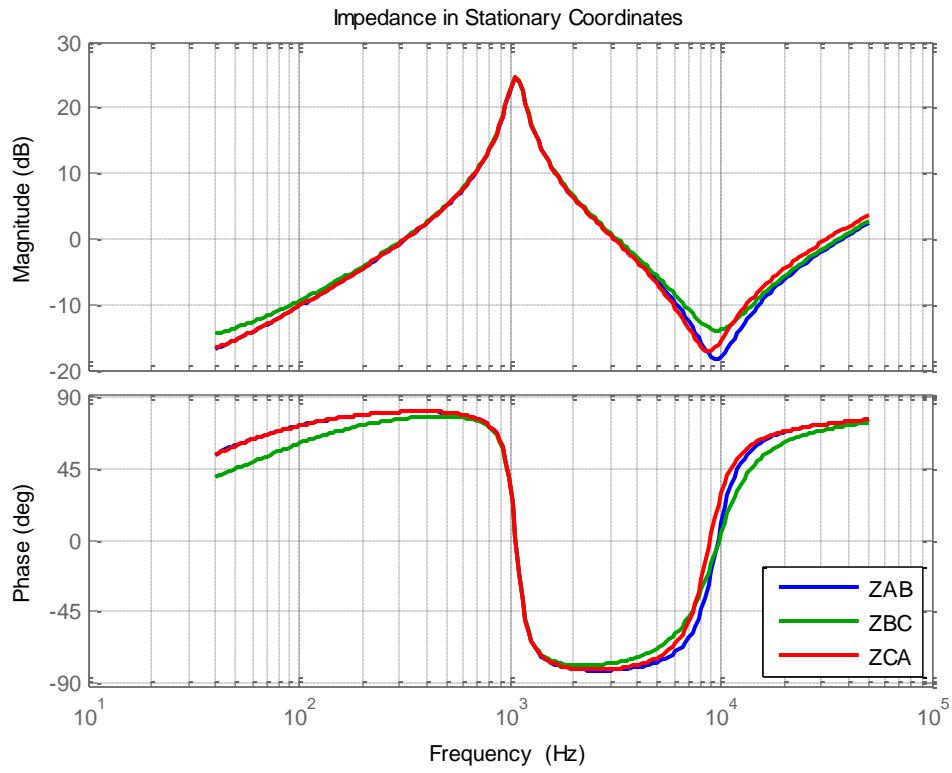


Fig. 5-12: Stationary Frame Measurements of RLC Impedance

From here, the impedance can be converted to DQ by first approximating the impedances with a continuous state-space model, averaging the three approximations, and then performing the stationary frame-to-D-Q transformation on the average. These derived D-Q impedances can be seen along with the measured impedances in Fig. 5-15 and Fig. 5-16.

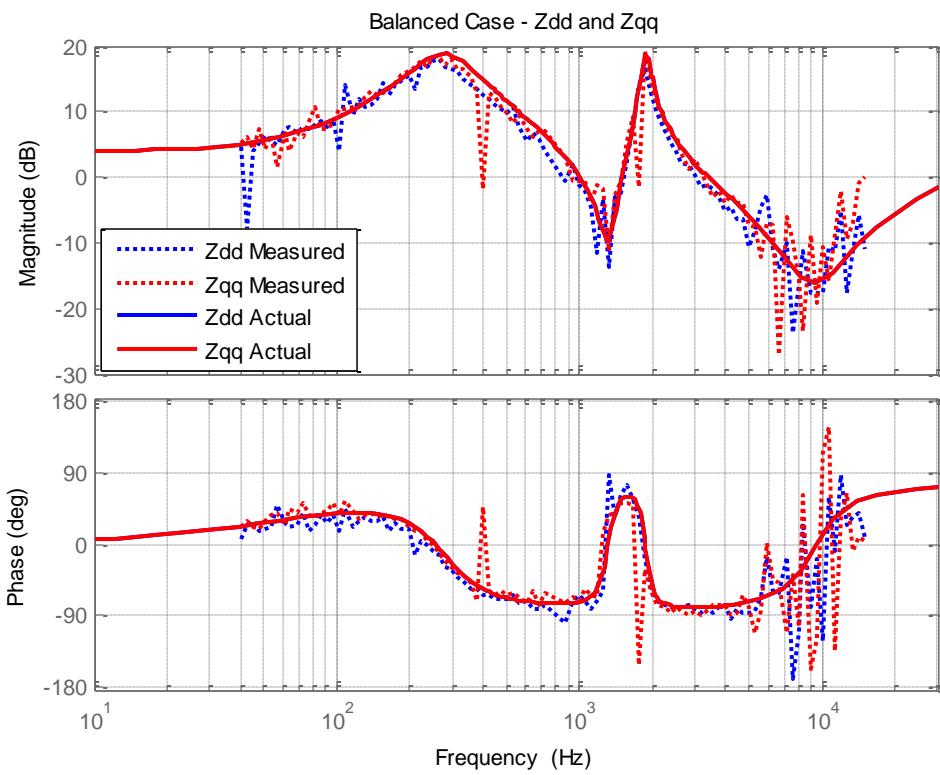


Fig. 5-13: Actual Zdd and Zqq Impedances Compared to Measured Impedances

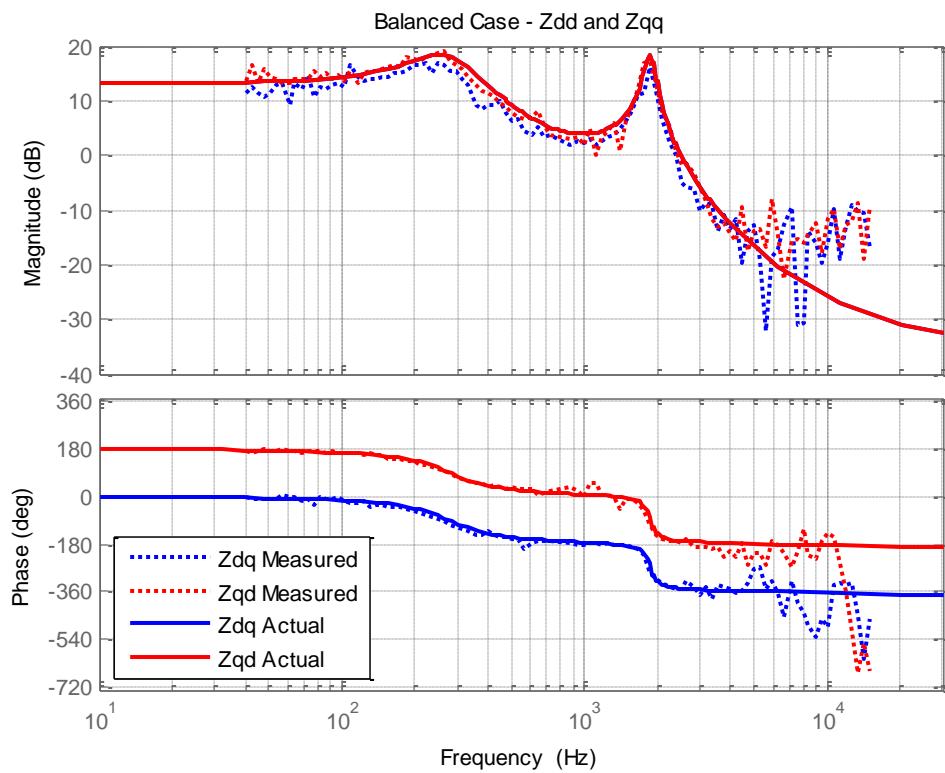


Fig. 5-14: Actual and Measured Zdq and Zqd Impedances

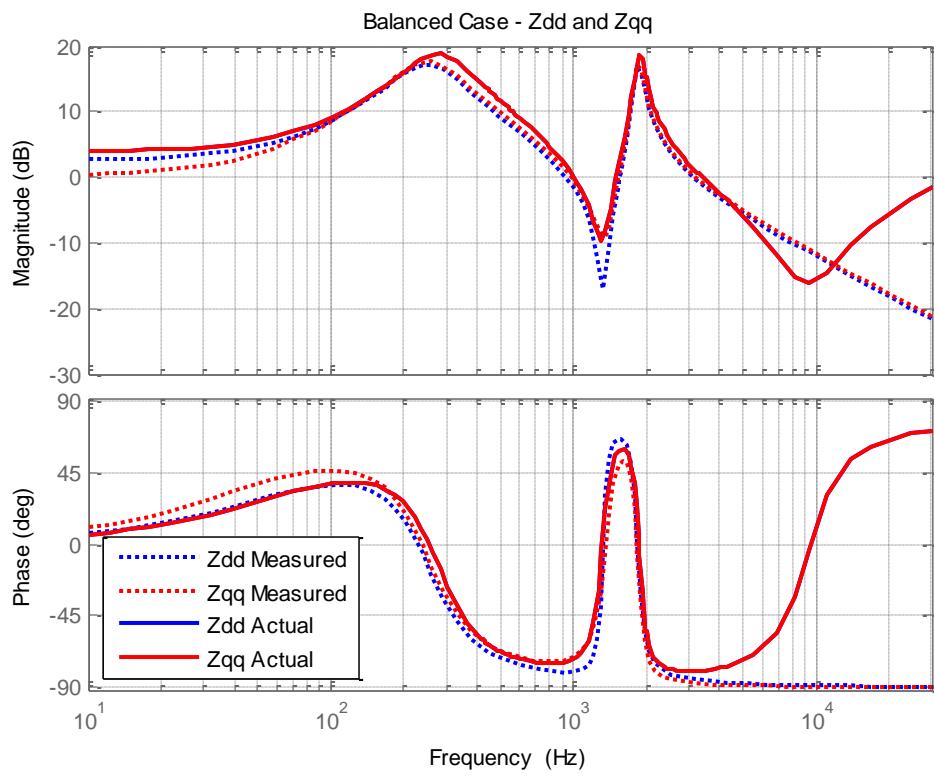


Fig. 5-15: Actual and Filtered Zdd and Zqq Impedances

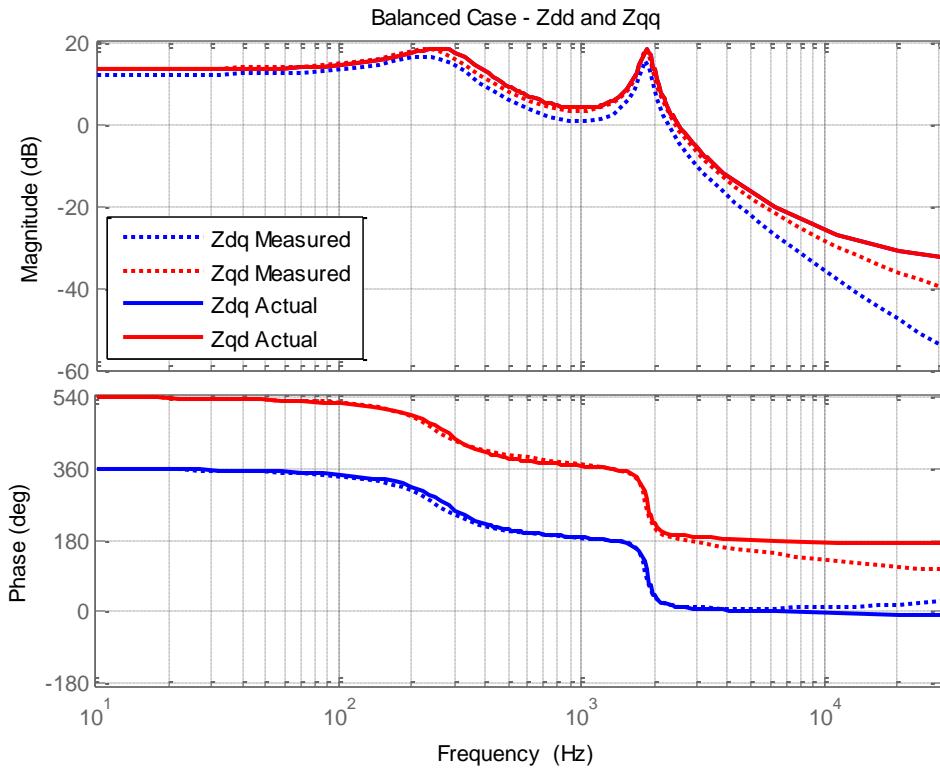


Fig. 5-16: Actual and Filtered Zdq and Zqd Impedances

The deviation may be due to the small magnitude, which is based on the injection used. The sensor outputs that are transformed and returned to the DSP are shown in Fig. 5-17 (current) and Fig. 5-18 (voltage). We can see from these figures that the magnitude of the voltage responses at frequencies greater than 5 kHz are significantly below the quantization level of the measurement system's analog processing interface, leading to invalid impedance calculations in that frequency range, as seen in Fig. 5-13 and Fig. 5-14.

For both graphs, the response indices (1, 2, and 3) are injected on the three injection vectors. The first vector is aligned with the D-axis, the second with the Q-axis, and the third is aligned at 45 degrees between both.

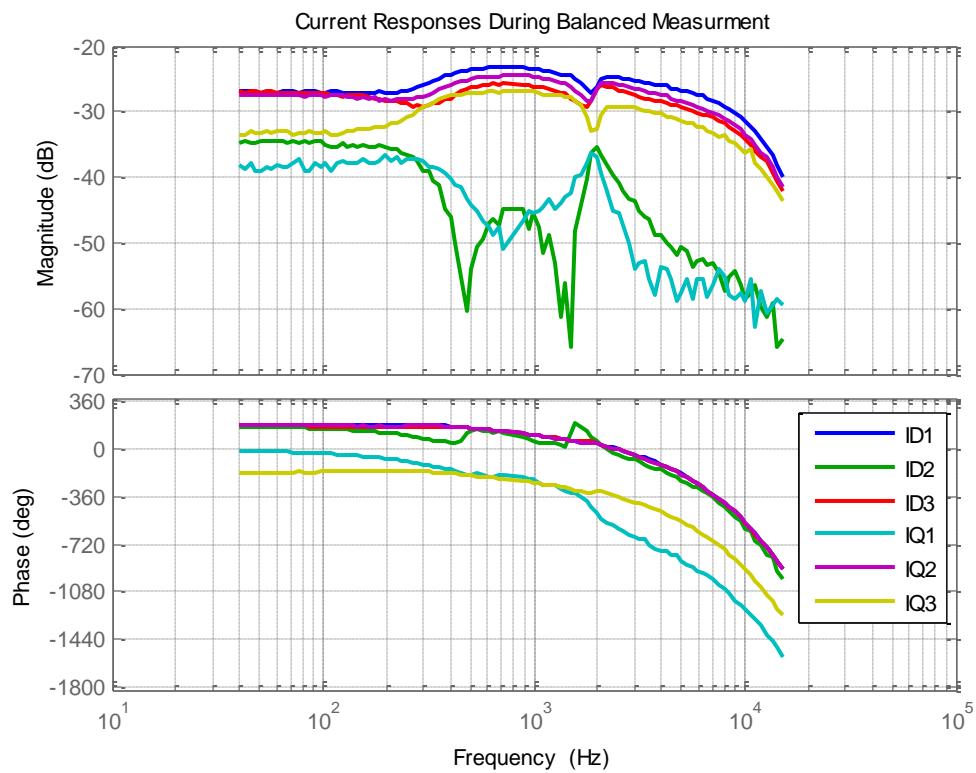


Fig. 5-17: Current Sensor Response Voltages Transformed to the D-Q frame. The actual currents are 15 times larger than the sensor's output.

It should be noted that the phase delay seen in the graph above is due to the high-order Chebyshev filter used. Due to the symmetrical nature of the filters and the close tolerance of the components, these filters cancel each other out during post-processing.

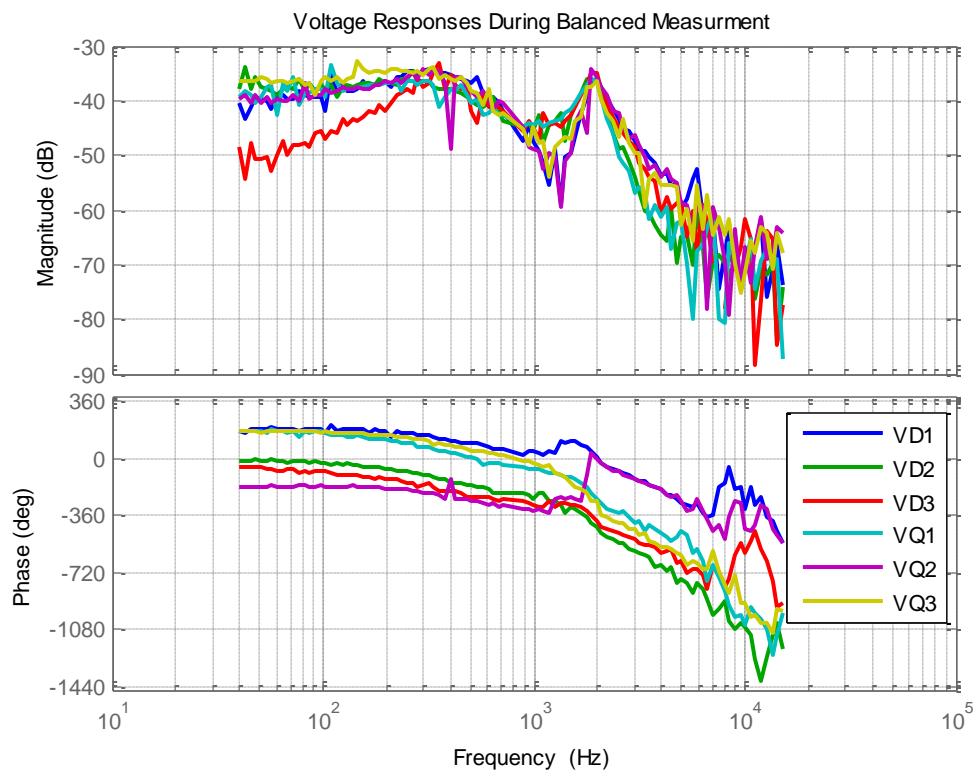


Fig. 5-18: Load Voltage Response In To DSP Showing Significant Reduction At High Frequencies. The actual voltages are 51 times larger than the sensor's output.

C. Unbalanced Impedance Condition

To make the impedance significantly unbalanced, the inductor of phase C was removed from the circuit. The new impedances can be seen in Fig. 5-19 and Fig. 5-20.

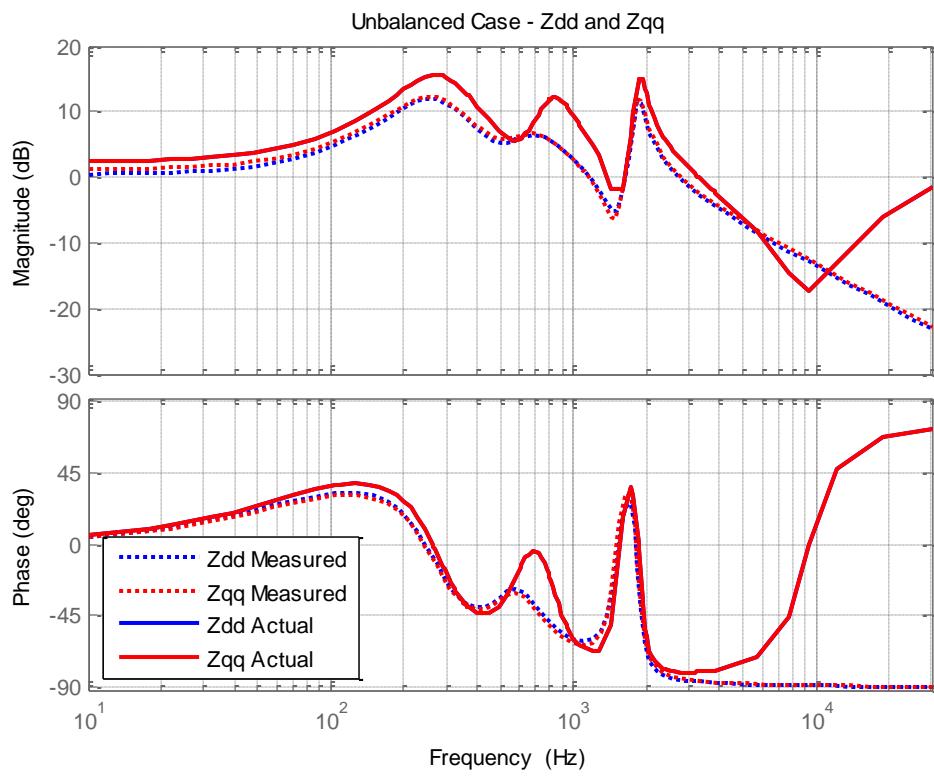


Fig. 5-19: Zdd and Zqq for Unbalanced Impedances

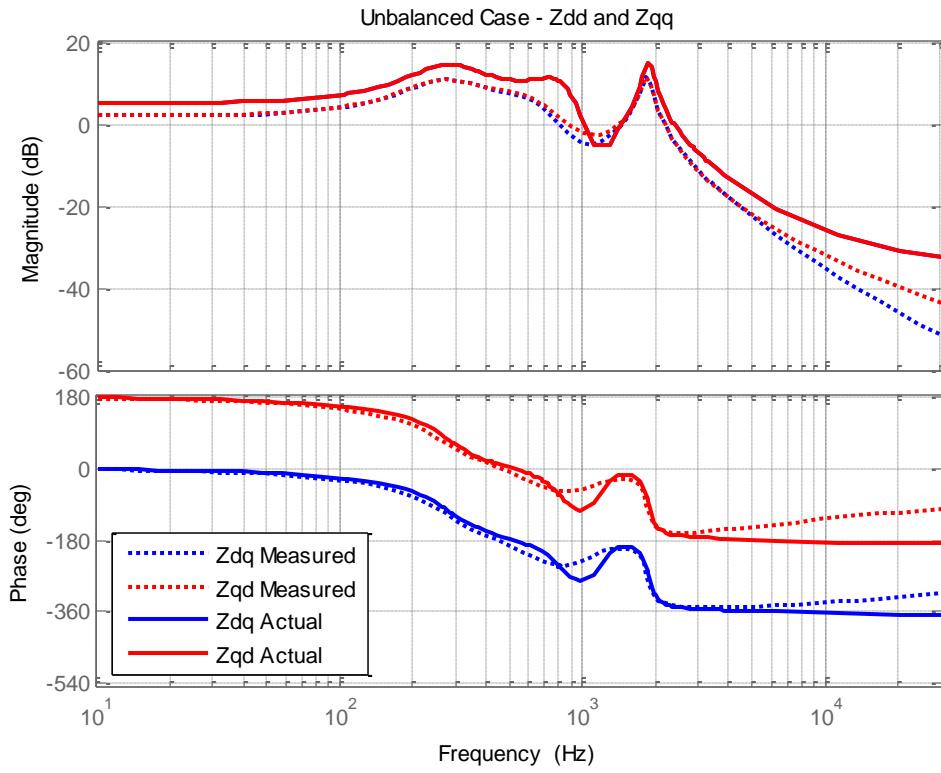


Fig. 5-20: Zqd and Zdq for Unbalanced Case

VI. Switching Converters – VSI

A voltage source inverter was used as another element to test. The inverter was run from a DC supply rated at 600 volts and 6 amps, and was run at 300 volts. The impedances were measured in line-to-line mode, and the required neutral connection was made to the load neutral point, although nearly no current flowed through the neutral conductor.

A. Architecture

The voltage source inverter is under current control via PI regulators with decoupling. Additional digital filters are present in the D-Q domain. As this is a voltage-source inverter driving a passive load, there is no need for a PLL, and the D-Q frequency of the rotation is

determined internally. The inverter was run at 3 amps RMS. The load voltage varied, depending on the test case.

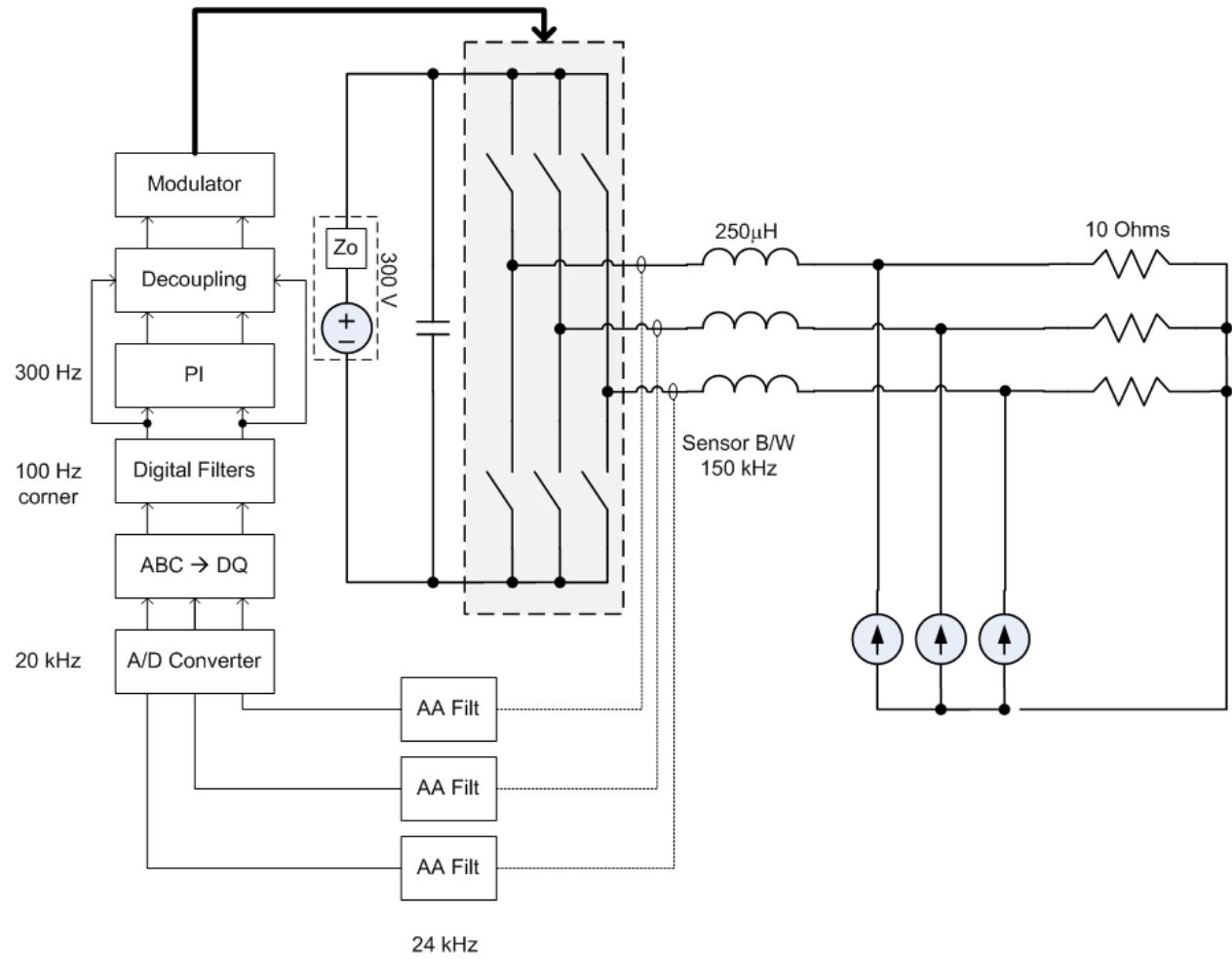


Fig. 5-21: Voltage Source Inverter Block Diagram With Control Components

B. Properties of the Nominal Impedance of the VSI

It can be shown that a VSI under current control has a rotationally invariant impedance. If the PLL in the measurement system were misaligned in this case, the results would be the same according to this model. The following example illustrates this:

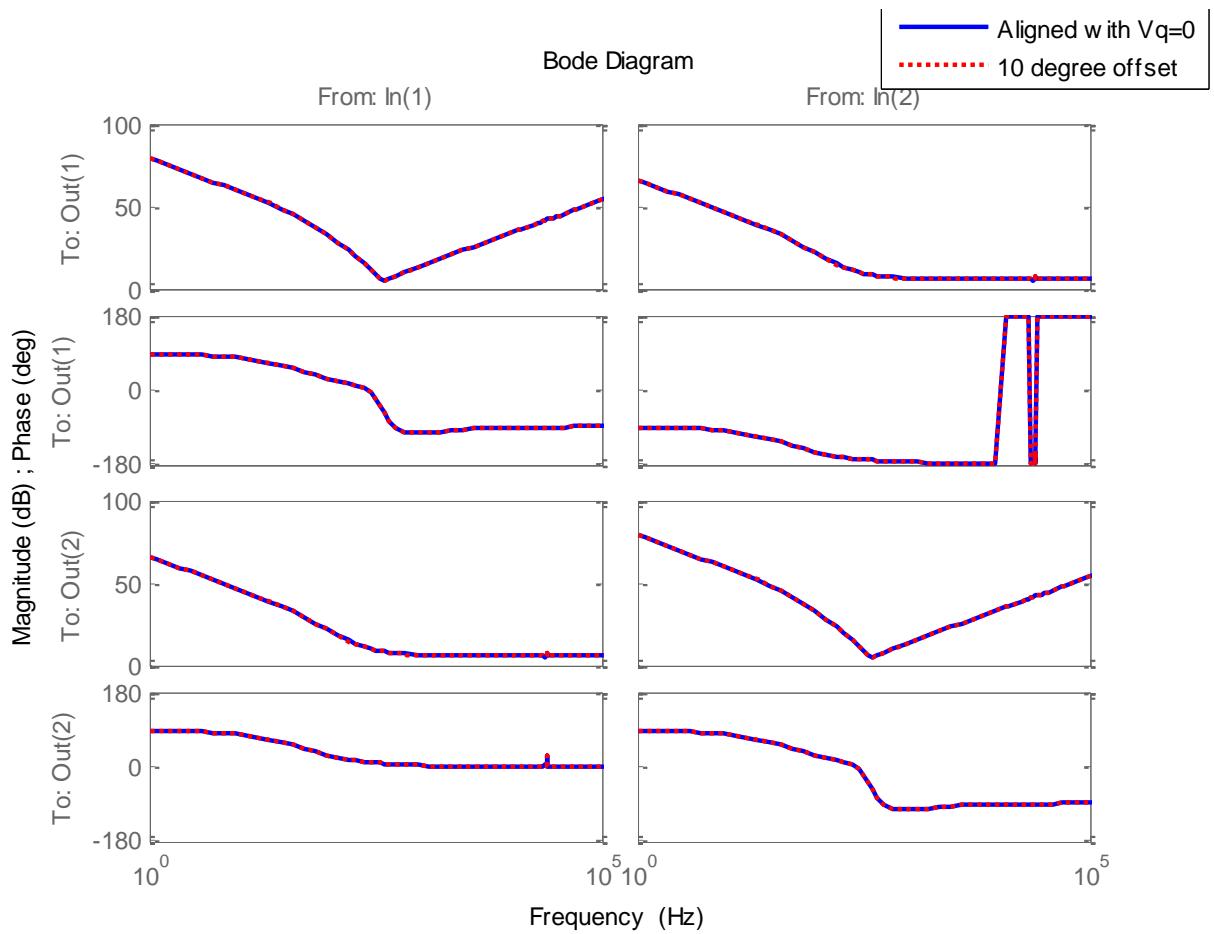


Fig. 5-22: Simulated impedance of the VSI when measured with D-Q frame aligned with the D-axis and aligned with an offset of 10 degrees from the D-Axis. The impedances are coincident as per the model.

To properly determine the impedance of the voltage source inverter, one channel of the inverter was left in current mode while the other channel of the inverter was left in open-loop mode. This change made the inverter's impedance asymmetric, and rotating the measurement PLL will consequently rotate the impedance. This can be shown in simulation. The following is the impedance of the inverter when the measurement PLL is aligned with the D-axis and with the Q-axis, showing that the impedance does vary with the measurement PLL angle. It should be noted that there is less variation at higher frequencies because the inductor becomes the dominant component of the converter's output impedance at higher frequencies.

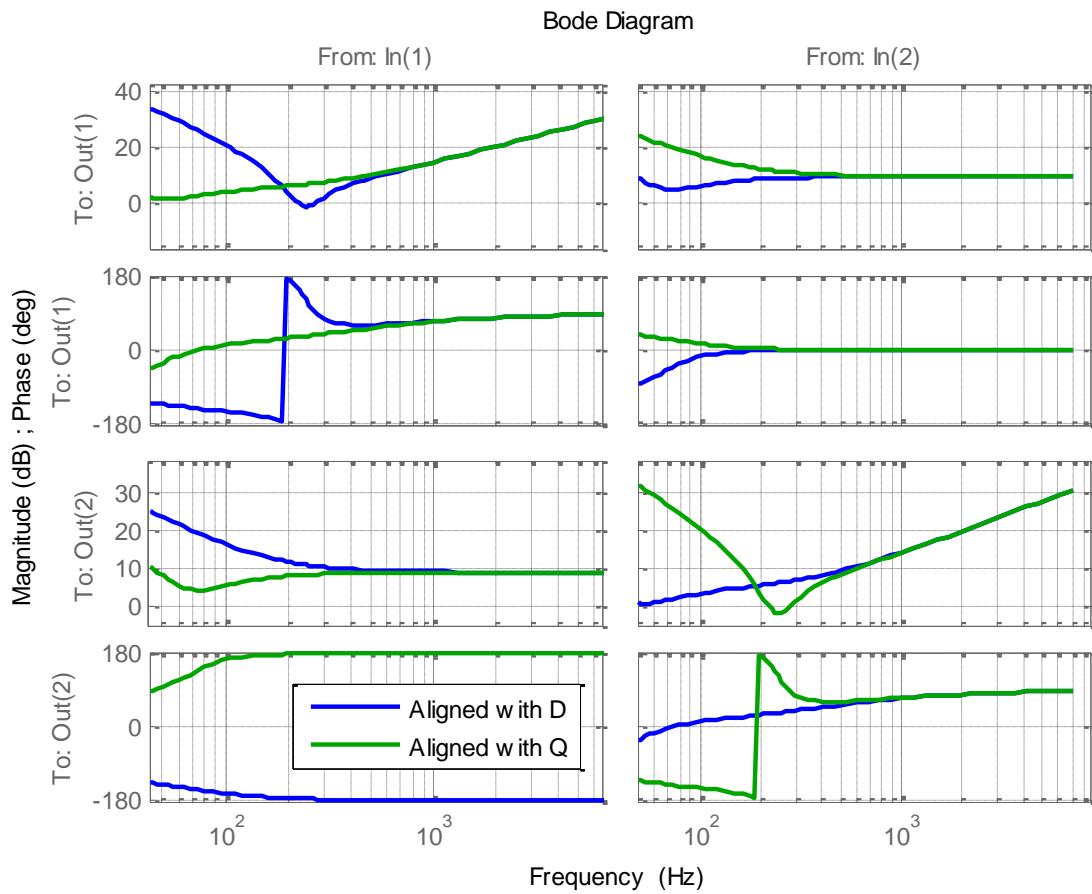


Fig. 5-23: Simulated Impedances for Asymmetrical Control of the Voltage Source Inverter

Measurements of the system impedance can be used to validate the PLL alignment and demonstrate proper operation of the PLL, as shown below:

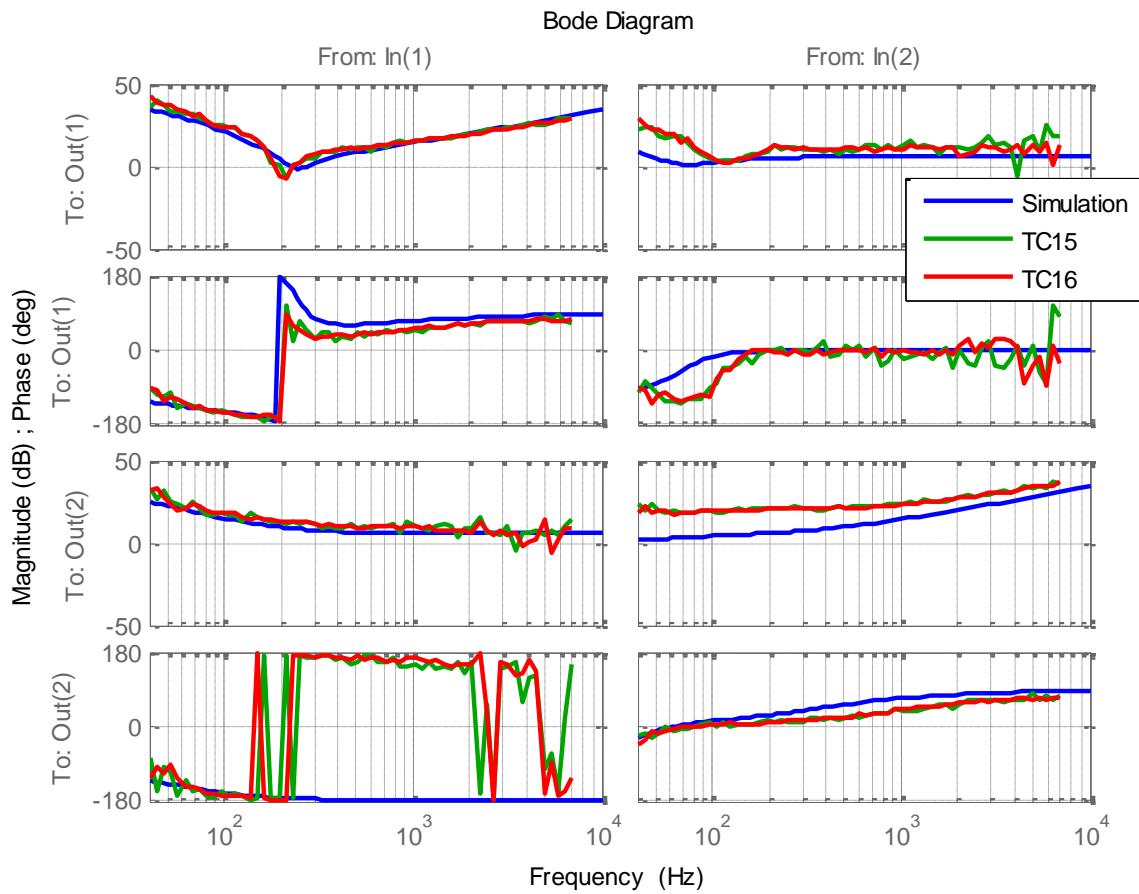


Fig. 5-24: VSI Measured and Simulated Impedances: Zdd (Upper Left), Zdq (Upper Right), Zqd (Lower Left), Zqq (Lower Right). The two simulations are two different IF bandwidth choices. The PLL is aligned with the D-axis voltage, and the Q-axis is running in open-loop.

It can be seen by aligning the measurement PLL with the Q-axis voltage, the impedances will swap, making the new, rotated impedance appear as measured:

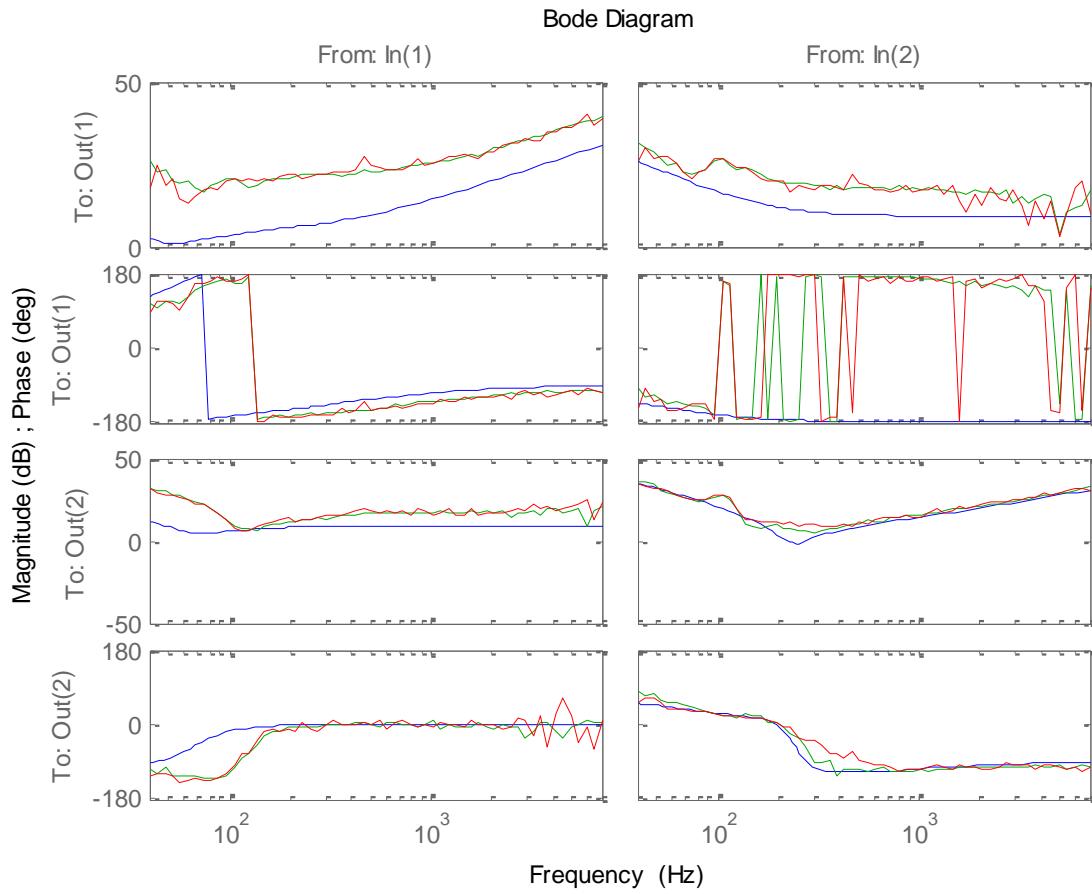


Fig. 5-25: VSI Impedance when aligned on the Q-Axis: Z_{dd} (Upper Left), Z_{dq} (Upper Right), Z_{qd} (Lower Left), Z_{qq} (Lower Right). The two simulations are two different IF bandwidth choices. The PLL is aligned with the D-axis voltage, and the Q-axis is running in open-loop.

VII. Six-Pulse Rectifier

The Vienna rectifier, when all switches are off, operates in six-pulse mode. Impedances were taken, connecting a dynamic load to the DC side of the rectifier. With all switches in the off position, the model can be simplified to the diagram shown in Fig. 5-26.

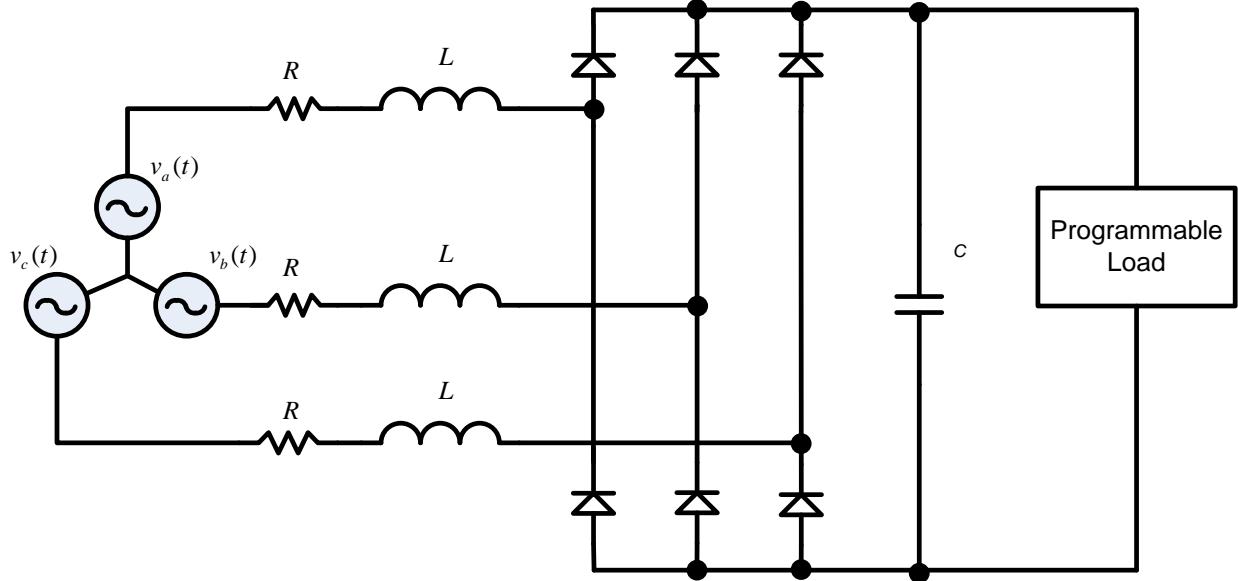


Fig. 5-26: Diode rectifier driving programmable load

The voltage source used was an HP 6834 three-phase AC power supply. The resistors used had an impedance rated at 0.66 Ohms. The inductors had a nominal inductance of 150 microhenries. As the rectifier used the same power stage as the Vienna, the capacitance of the DC link was split between two capacitors stacked in series, each rated at 40 microfarads, providing a combined capacitance on the DC link of 20 microfarads. The midpoint of the Vienna rectifier was uncontrolled during this experiment, and thus the operating point was lower than the total rating of the Vienna rectifier to minimize overvoltage risk due to capacitor imbalance.

We can see from Fig. 5-27 that the current waveforms in the six-pulse rectifier are dominated by harmonics. The current waveform is clearly non-sinusoidal, as can be seen in both the time domain waveforms and the $\alpha-\beta$ plane.

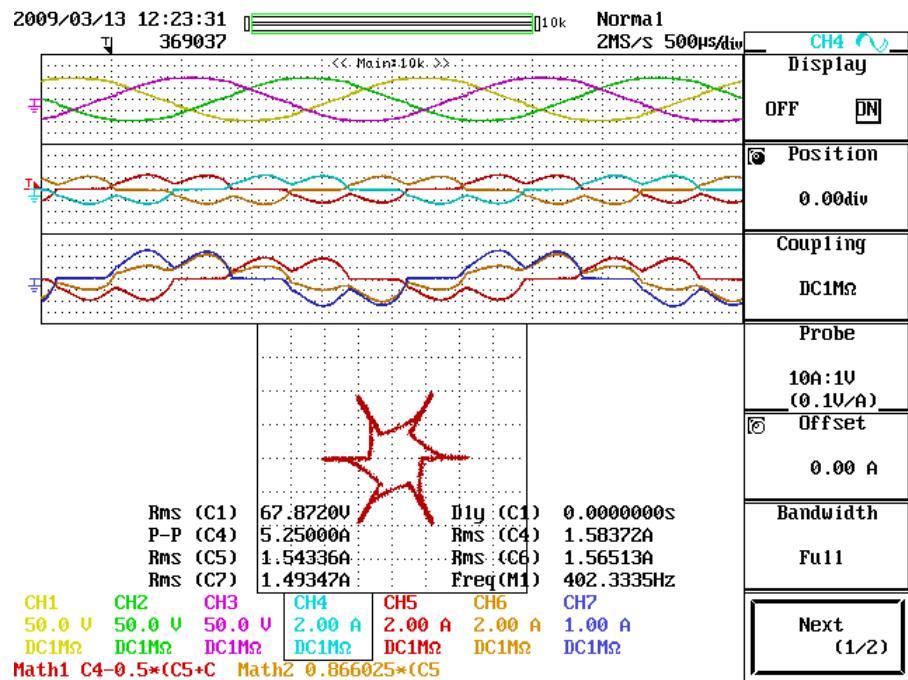


Fig. 5-27: Six-pulse rectifier waveforms showing ABC voltages in the first graticule (at 50 volts per division), ABC currents in the second graticule (at 2 amps per division), and α - β currents in the third graticule (also at 2 amps per division). The fourth graticule shows the trajectory of the current on the α - β plane. All waveforms vs. time are scaled to 500 μ s per division.

The following figures show the results obtained for the impedance tests for the six-pulse rectifier operating under several test conditions. The test conditions presented include constant power loads, constant current loads and constant resistance loads on the DC bus (with an additional capacitor present as shown in Fig. 5-26), with which two different power levels were tested.

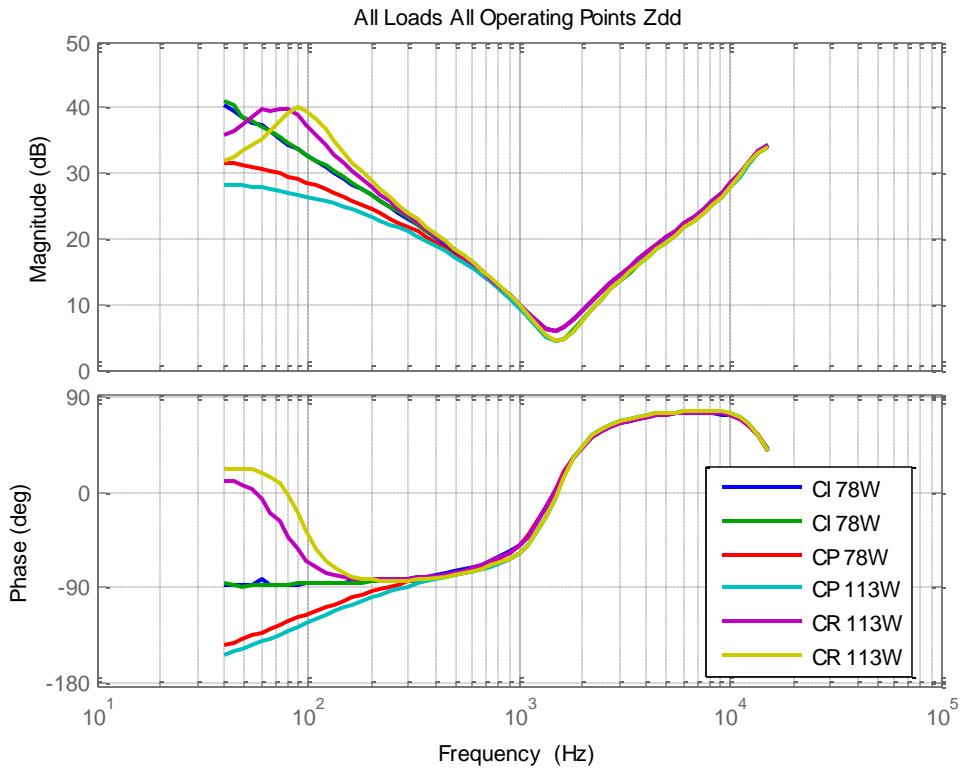


Fig. 5-28: Zdd for six-pulse rectifier showing results for constant current (CI), constant power (CP) and constant resistance (CR) for two different power levels.

The Zdd impedance reflects the impedance of the DC link. Constant power loads on the DC link do produce a Zdd impedance that approaches -180 degrees, as expected. Constant current loads approach 90 degrees. This can be shown to match the impedance of the DC link capacitor. Constant resistance loads approach zero degrees, and the constant resistance does approach 0 degrees. However, at lower frequencies, the effect of the dynamic load used dominates the frequency response. This is also seen later with the ATRU, where the same decreasing response was observed at low frequencies, but when replacing the dynamic load with resistors, the response matches the DC link impedance, and does in fact go flat.

It should be noted that the constant current case impedance is invariant to power in this axis. We will see later that the value of the capacitance and inductance can be related to the circuit.

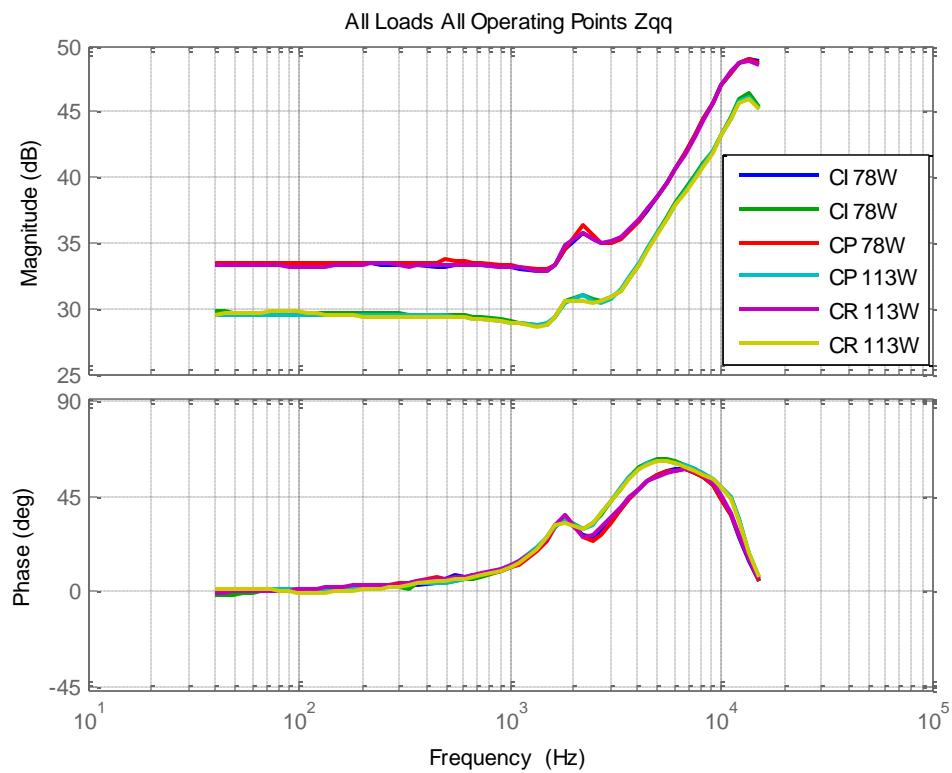


Fig. 5-29: Zqq for six-pulse rectifier showing results for constant current (CI), constant power (CP) and constant resistance (CR) for two different power levels.

As seen in Fig. 5-29, the load power influences the Zqq impedance, but the DC link dynamics do not seem to be visible in the Zqq impedance.

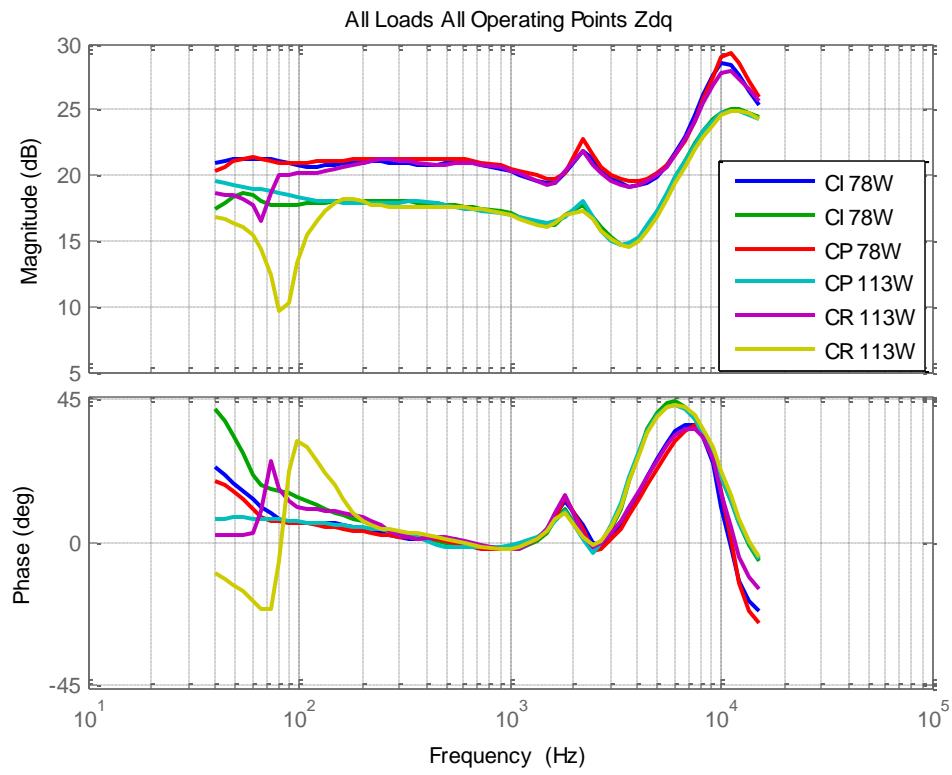


Fig. 5-30: Zdq for six-pulse rectifier showing results for constant current (CI), constant power (CP) and constant resistance (CR) for two different power levels.

It can be seen that the Zdq impedance, as shown in Fig. 5-30, is split, and seems to be dominated by the power level and not the DC link load type.

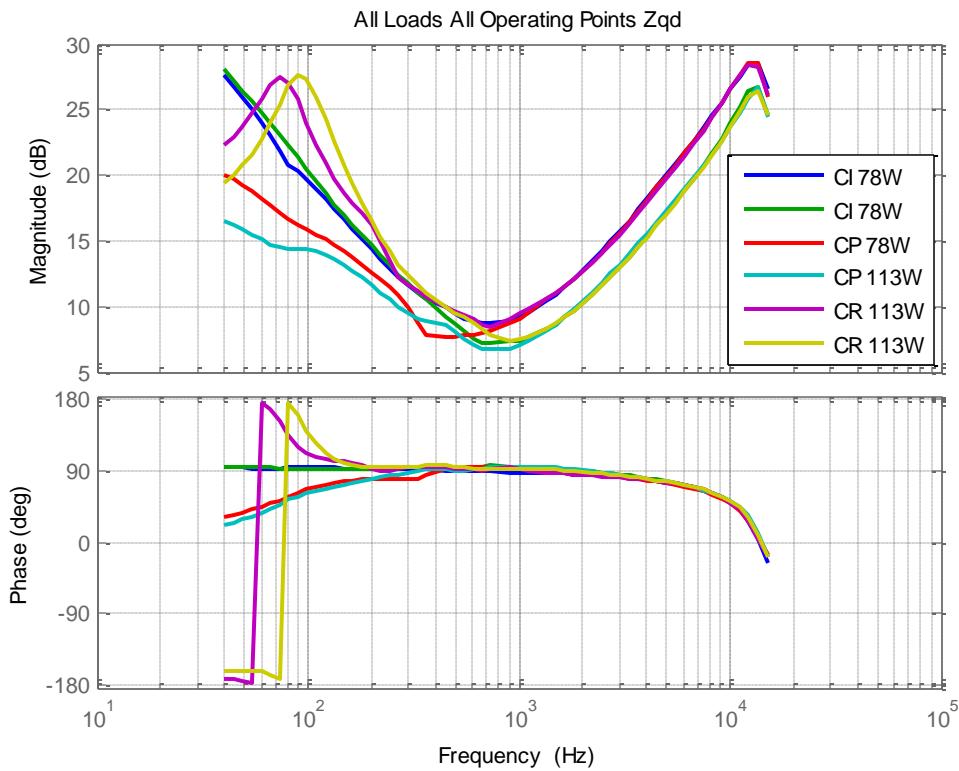


Fig. 5-31: Zqd for six-pulse rectifier showing results for constant current (CI), constant power (CP) and constant resistance (CR) for two different power levels

The Zqd impedance seems to have the link impedance present, although it is negative, as can be seen by the constant current impedances cases providing impedances now approaching +90 degrees instead of -90 degrees as before, the constant power loads approaching +180 as the frequency goes to zero, and by the constant resistance approaching zero from above. Previously the impedances phases converged to -90 degrees, whereas here they approach +90 degrees. It can again be seen that the frequency response is grouped in frequencies greater than 800 Hertz by power level, and have identical phases.

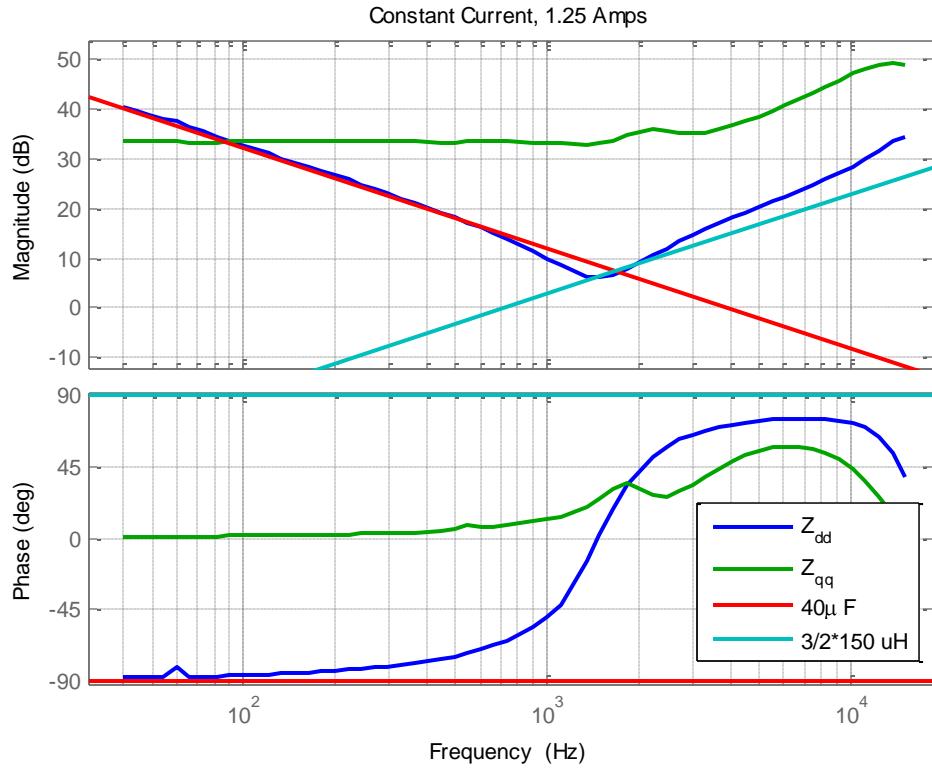


Fig. 5-32: Six-pulse constant current response with capacitors and inductors shown.

Taking a sample impedance, the 113-watt constant current load, approximations can be made, showing the components. The lower-frequency components are dominated by a 40 microfarad capacitor, while the higher-frequency components, being inductive, can be approximated by 3/2 of the line input inductor, 150 microhenries. It should be noted that the order seems to be higher than the second order, however. Several papers propose small-signal models for such converters [73-75].

VIII. ATRU

In order to reduce harmonics and improve the power factor, we have developed and tested an auto-transformer rectifier unit (ATRU). Previous multi-pulse rectifier models conducted at CPES modeled non-frequency domain aspects of the converter [76]. Further ATRU research at

CPES led to the production of several small-scale ATRU prototypes [77-79] including research into the stability of systems including such converters [80].

A reduced-scale 18-pulse auto-transformer rectifier unit was tested under 700 watts of power. The ATRU provided 151 volts on the DC side provided an input voltage of 70 volts RMS.

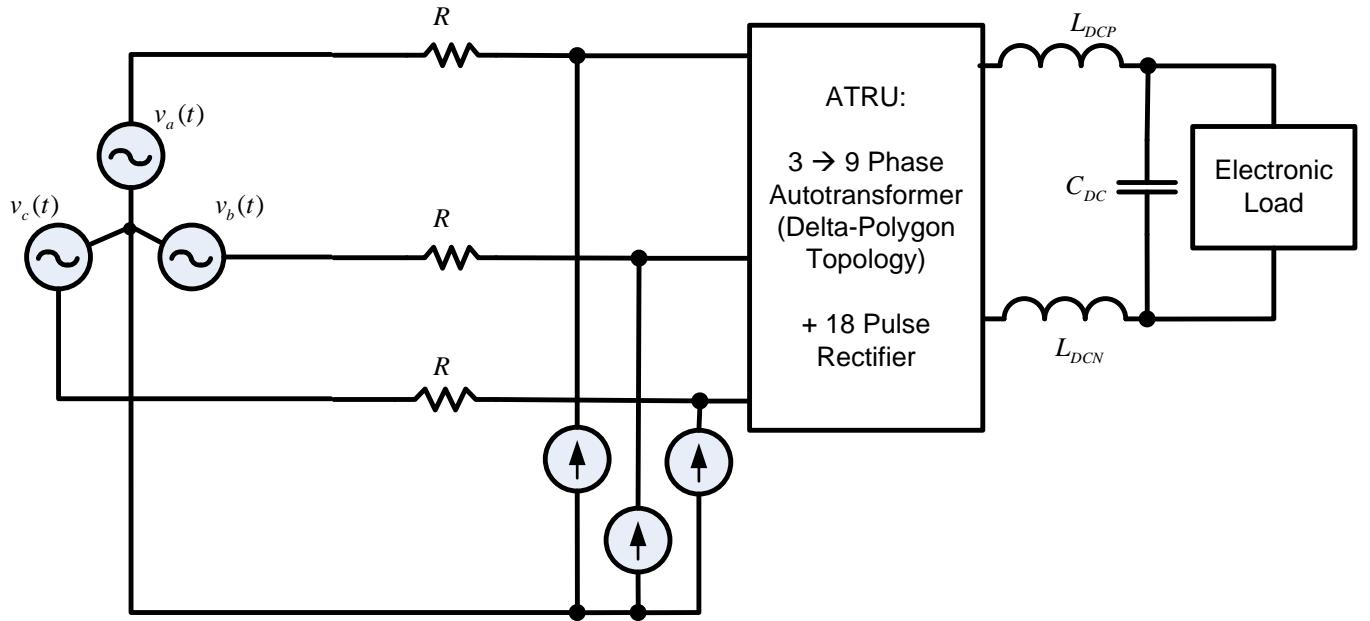


Fig. 5-33: ATRU Test setup showing source on left, followed by a source side resistor impedance of 0.6 Ohms followed by the injection hardware followed by the ATRU and load.

The ATRU produces a much cleaner waveform with significantly lower harmonics than the six-pulse rectifier. The time-domain waveform is shown in Fig. 5-34.

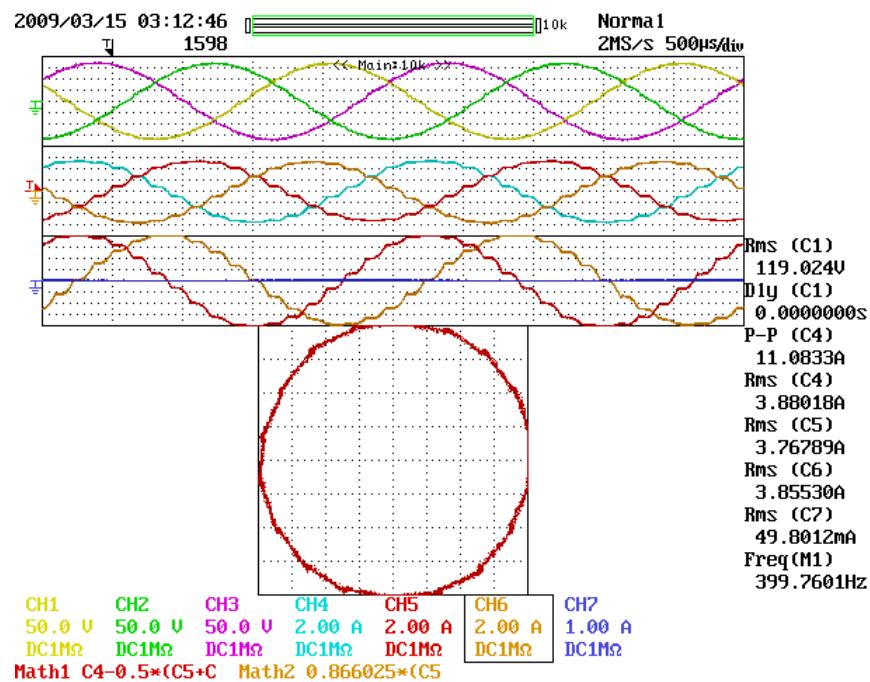


Fig. 5-34: ATRU waveforms showing ABC voltages in the first graticule (at 50 volts per division), ABC currents in the second graticule (at 2 amps per division), and α - β currents in the third graticule (also at 2 amps per division). The fourth graticule shows the trajectory of the current on the α - β plane. All waveforms vs. time are scaled to 500 μ s per division.

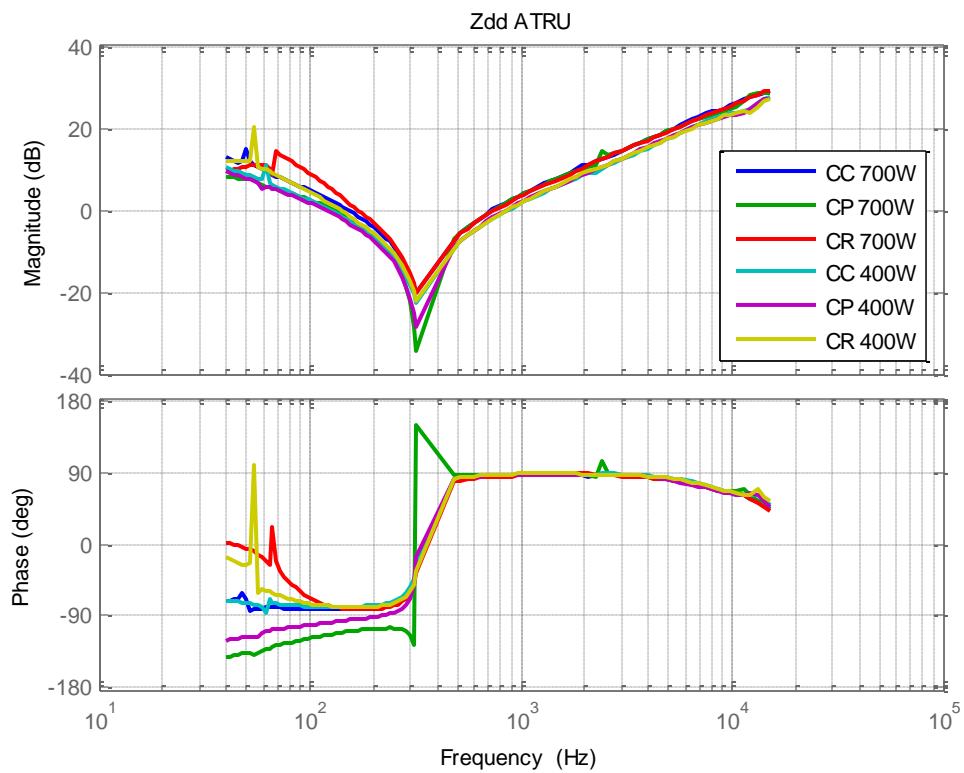


Fig. 5-35: ATRU Zdd showing results for constant current (CI), constant power (CP) and constant resistance (CR) for two different power levels.

It is seen in Fig. 5-35 that the impedance does reflect the DC link, as constant power load impedances approach -180 degrees, constant current impedances approach -90 degrees, and constant resistance loads approach zero degrees.

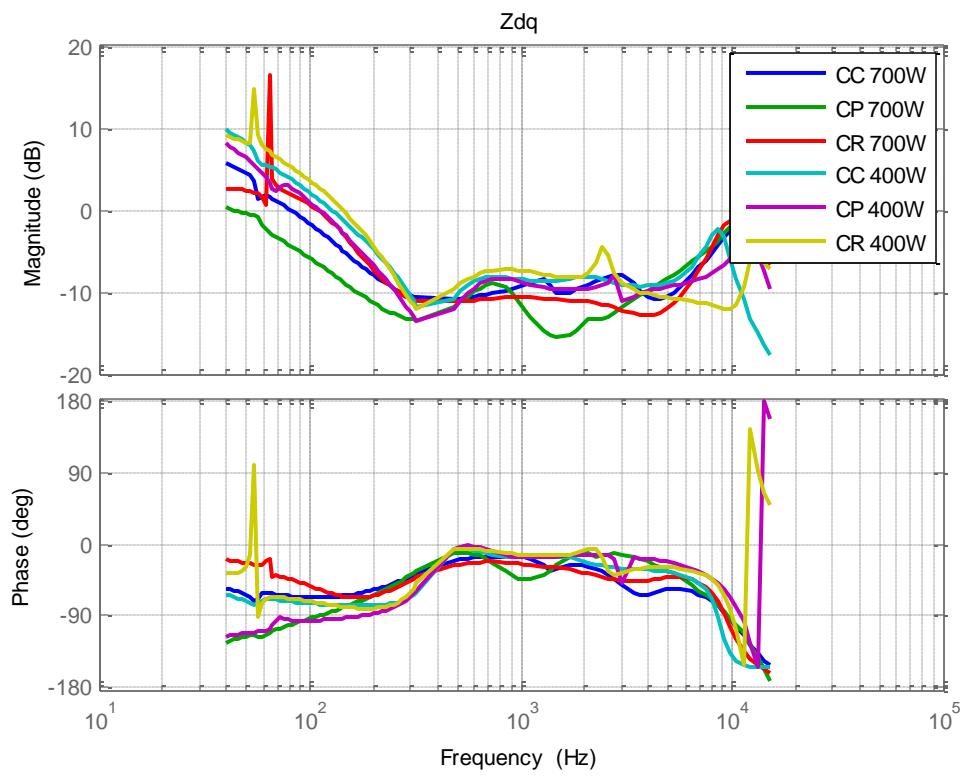


Fig. 5-36: ATRU Zdq showing results for constant current (CI), constant power (CP) and constant resistance (CR) for two different power levels.

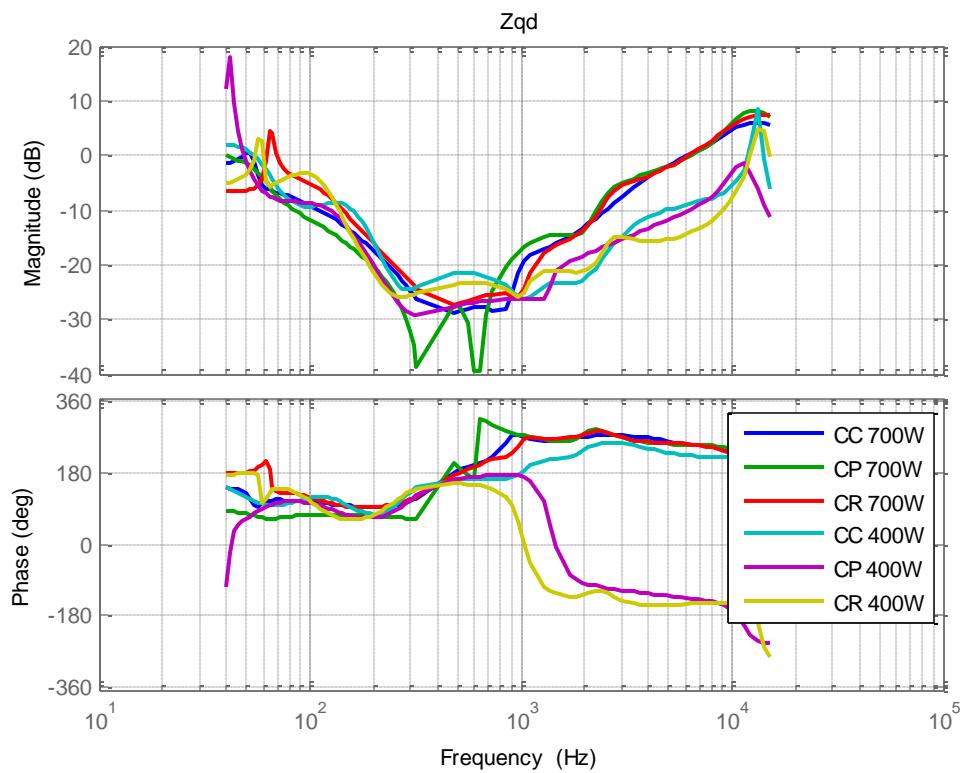


Fig. 5-37: ATRU Zqd showing results for constant current (CI), constant power (CP) and constant resistance (CR) for two different power levels.

The ATRU Zqd impedance is grouped by power level in the case of the ATRU and the six-pulse rectifier.

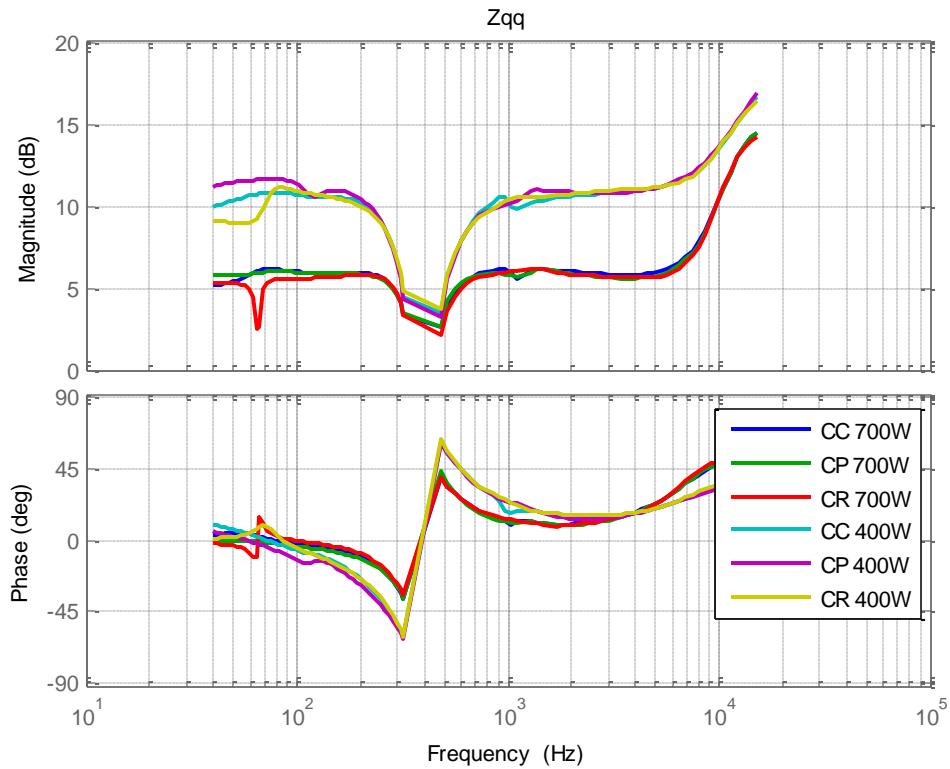


Fig. 5-38: ATRU Zqq showing results for constant current (CI), constant power (CP) and constant resistance (CR) for two different power levels.

It should be noted that similar to the six-pulse rectifier, the ATRU impedances are grouped on the Z_{qq} axis by power level, and do not differ between different types of DC loads. The sharp drop of the impedance near 400 Hz is suspected to be due to the dual polygon transformer used, as it is designed for 400 Hz operation. When perturbing near 400 Hz in the DQ domain, the ABC frame frequencies generated are near 800 Hz and near DC. The transformer was not designed to accept frequencies lower than 400 Hz. We can see from Fig. 5-38 that the impedance starts to drop at $400 \text{ Hz} \pm 200 \text{ Hz}$.

The low-frequency resistive characteristic is actually created based on the characteristic of an electronic load operating in constant resistance mode. If the electronic load is substituted for an actual resistor, more accurate results can be obtained. Fig. 5-39 is the value of the load as measured on the DC link.

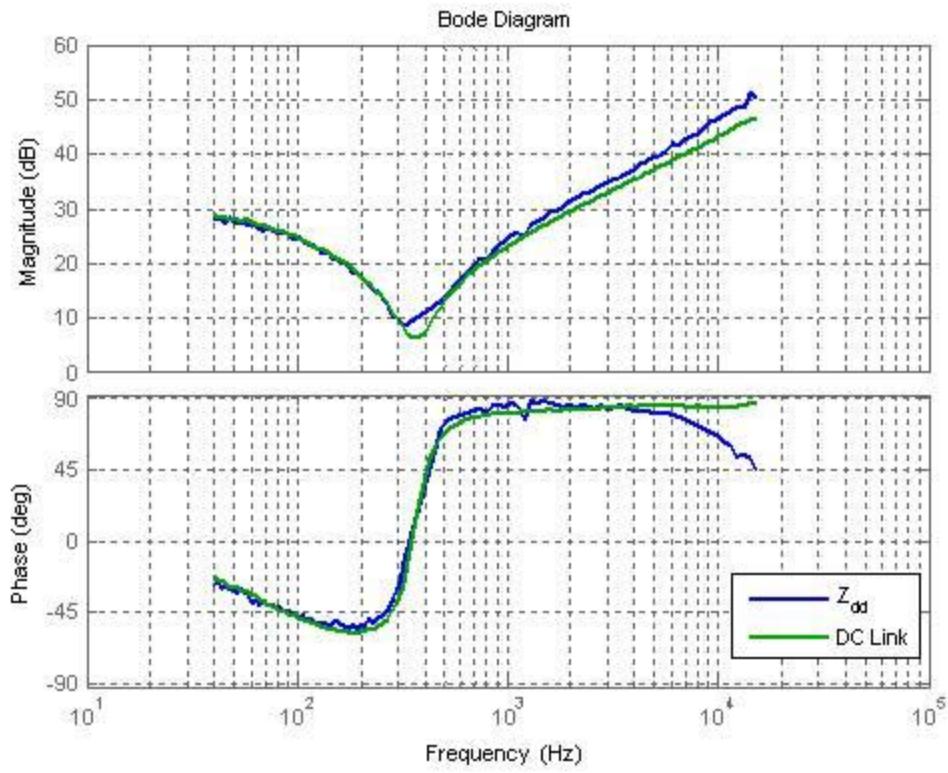


Fig. 5-39: ATRU DC Link and Z_{dd}

This was obtained by perturbing on the D-axis via the three-phase injection system described, while measuring the change of voltage and current on the DC side of the ATRU.

IX. Summary and Conclusions

Measurements were taken, and they agree with the model for linear loads. The operation of the PLL is verified, and the impedances change according to PLL alignment. The VSI control was modified to ensure the impedance was rotationally variant, forcing different impedances on the D- and Q-axes.

Chapter 6. Summary and Conclusions

I. Summary

We have developed an impedance analyzer that allows its user to measure impedances in the D-Q frame. The analyzer was an effort combining hardware- and software-based hierarchical design, as described in Chapters 3 and 4. Several impedances were measured, as shown in Chapter 5, and matching results were extracted for linear time-invariant impedances, while meaningful results were extracted from switching converters—although the model used to verify these results has not been tested sufficiently to conclude that it is correct.

A review of existing literature is provided in Chapter 1, showing that no existing solution solves all the issues that are critical to implementing an impedance tester for three-phase systems. While different papers have contributed different aspects, most have focused on the injection technique, and do not address hardware implementations. Furthermore, the majority of the papers indicate software-based simulation results, and do not show experimental results.

We have developed an impedance analyzer algorithm that includes a phase-locked loop and an injection sequence controller. The resulting current and voltages caused by the shunt injection of a perturbation current are captured at the load or source interface. They are then processed after the injection using software written in Matlab scripting language. The results may be stored and recalled for later use, as each measurement is stored along with complete intermediate data so it may be re-processed at a later date if new techniques are made available. Furthermore, the intermediate data serves to provide information on the accuracy of the measurements as demonstrated.

II. Conclusion

The D-Q impedance can be measured via separate shunt current injections in the D-Q frame by measuring the current and voltage responses to these perturbations and post-processing the acquired data. Multiple injections are produced in the D-Q frame and are transformed to the ABC frame where the physical injection takes place into the system. The measured currents and

voltages are then transformed back from the ABC domain to the D-Q domain where they are provided to a network analyzer to acquire the system's response to the particular perturbation injected. After acquiring all necessary perturbations, the system's impedance can be determined via post processing the results on a per-frequency basis using the responses acquired at that specific frequency for each angle of injection on each axis.

When acquiring these measurements, injections at certain frequencies in the D-Q domain will cause components of the current and voltage in the stationary frame which are different. They are the sum and difference between the injection frequency in the D-Q domain and the line frequency. As a result, the system may be endangered if injection happens at these frequencies, particularly injecting near the line frequency in the D-Q domain, as this will produce components near DC in the ABC domain, leading to the risk of transformer saturation.

During the process of measurement, the D-Q frame must be aligned at a known position relative to either the voltage or current. This was achieved in this dissertation via the use of a phase locked loop. Furthermore, effects of PLL misalignment are shown to cause the impedance to be different for loads whose impedance is rotationally invariant.

Results are presented indicating impedances for several passive loads, line-commutated converters, and switching converters. The measurements are shown to be different from the models previously developed in the D-Q domain. All impedances tested were below the 2 kilowatt level.

III. Future Work and Improvements

Testing at high power is still pending. Current testing has been limited to below two kilowatts due to supply and load availability. Future testing at CPES can be done with linear loads.

A. *Injection Amplifiers*

The models of the converters operating in the D-Q frame must be validated to ensure the results match. It is anticipated that the current amplifiers will not be able to measure at high

power levels due to the small size of the current perturbation. Larger amplifiers will be required to ensure that the amplifier can inject a sufficiently large perturbation.

B. Algorithm Implementation

The nature of the analyzer is very pipelined. Many conversions can be done in parallel. The architecture lends itself strongly to implementation in an FPGA. Some of the filters implemented may be implemented digitally in the FPGA using a sample rate higher than the calculation rate. This would make them more invariant to parameter mismatches, and would simplify the design of the analog stage of the PCB, as well as make it much easier to make changes to the filter parameters without having to solder and remove components.

As DSP execution time is a major limiting factor in the bandwidth limitation of the system, it would be beneficial to move as many functions as possible to the FPGA side. It is important to consider, however, the interface chosen to partition the two processing units. Partitioning the system at the wrong interface, one which requires the transmission of many intermediate variables between the DSP and FPGA, may actually slow the system down due to an interface bottleneck and limited communication bandwidth.

C. Complete real-time data flow in FPGA

If the FPGA could implement all the real-time processing functions, the bandwidth of the system would be as fast as the time it took to execute one step. This solution utilizes a fully pipelined architecture. Since all data travels with its corresponding data down the pipeline, there is no relative shift of data such as current and voltage. As such, the impedance can be extracted at a much higher rate. The pipeline latency does not affect the calculation result, as described earlier, since all variables of interest share the same delay. The pipeline shift would be triggered by the AD incoming sample, and the output would be synchronized with the input.

Such an implementation would not come without risks, however. A very high bit size may be needed in order to ensure accuracy, as each operation in an FPGA typically uses fixed-point computation, and each step would introduce quantization noise into the calculation.

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