

**INVESTIGATION OF  
HIGH-NONLINEARITY GLASS FIBERS  
FOR POTENTIAL APPLICATIONS  
IN ULTRAFAST NONLINEAR FIBER DEVICES**

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Dissertation submitted to the Faculty of the  
Virginia Polytechnic Institute and State University  
in partial fulfillment of the requirements for the degree of

DOCTOR OF PHILOSOPHY  
In  
Electrical and Computer Engineering

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July 28, 2005  
Blacksburg, Virginia

Keywords: Nonlinear Fiber Device, Fiber Nonlinearity, High-Nonlinearity Glass,  
OTDM/WDM, Demultiplexer, Optical Communication System

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# **INVESTIGATION OF HIGH-NONLINEARITY GLASS FIBERS FOR POTENTIAL APPLICATIONS IN ULTRAFAST NONLINEAR FIBER DEVICES**

**Jong-Kook Kim**

## **ABSTRACT**

Nonlinear fiber devices have been attracting considerable attention in recent years, due to their inherent ultrafast response time and potential applications in optical communication systems. They usually require long fibers to generate sufficient nonlinear phase shifts, since nonlinearities of conventional silica-core silica-clad fibers are too low. These long devices, however, cause the serious problems of pulse walk-off, pulse broadening, and polarization fluctuation which are major limiting factors for response time, switching bandwidth, and maximum transmittable bit-rate. Therefore, short device length is indispensable for achieving ultrafast switching and higher bit-rate data transmission.

To shorten the required device length, fiber nonlinearities should be increased. In this dissertation, as a way of increasing fiber nonlinearities, high-nonlinearity materials of Litharge, Bismite, Tellurite, and Chalcogenide glasses have been considered. Although they have high nonlinearities, they also have high group-velocity dispersion and high losses deteriorating the performance of nonlinear fiber devices seriously. The aim of this work is to investigate how these high-nonlinearity glasses affect the performance of nonlinear fiber devices, taking into consideration both the advantages and disadvantages. To achieve it, the critical properties of various nonlinear fiber devices constructed with the different types of high-nonlinearity glasses and different types of fibers have been evaluated.

It turned out that the required device lengths of nonlinear fiber devices constructed with the high-nonlinearity glasses were significantly reduced and high group-velocity dispersions and losses could not be major problems due to the extremely short device length. As a result, it would be possible to suppress the problems of pulse walk-off, pulse broadening, and polarization fluctuation in nonlinear fiber devices by introducing high-nonlinearity glasses, thus enabling ultrafast switching and higher bit-rate data transmission.

Furthermore, in this dissertation, a new scheme of wavelength-division demultiplexing based on the optical Kerr effect has been proposed for the first time. The new scheme can turn the disadvantage of the extremely high group-velocity dispersion of high-nonlinearity glasses into an advantage of wavelength-division demultiplexing. Finally, it now would be possible to greatly increase maximum transmittable bit-rate in optical communication systems by simultaneously demultiplexing optical time-division-multiplexed signals and wavelength-division-multiplexed signals with an optical Kerr effect-based demultiplexer.

## **Acknowledgements**

First of all, I would like to express my wholehearted gratitude to my advisor, Dr. Rogers H. Stolen who gave me an opportunity to work at his research group. He provided invaluable and consistent guidance, generous advice, financial support, and encouragement during my study at Virginia Tech. His peerless experiences and knowledge in fiber optics, especially nonlinear phenomena, were very helpful to me throughout this entire dissertation. I am indebted to him for everything I have achieved at Virginia Tech. I really respect him and he is my model of the researcher I hope to be. I will never forget about him and my life in Blacksburg for the rest of my life.

I am also particularly grateful to my co-advisor, Dr. Ahmad Safaai-Jazi who presented consistent concern and helpful advices regarding my research. He also reviewed my whole dissertation for a long time and provided invaluable comments and suggestions.

My special thanks should be extended to my committee members, Dr. T. –C. Poon, Dr. Ioannis M. Besieris, Dr. Dong S. Ha, and Dr. James R. Heflin for their worthwhile comments and suggestions concerning my dissertation. I am really grateful to all of them for favor, concern, and encouragement they provided during my study at Virginia Tech.

My full-hearted thanks should go to my mother for her priceless and unconditional love to me. Although my father is not in the real world, I also would like to express my deep appreciation and heartfelt love to him. I know well he is always taking care of me in heaven. I will forever remain indebted to my parents. My full-hearted thanks should also go to my parents-in-law for their understanding, love, and faith in me.

I should show my gratitude to my lab-colleagues, Nitin K. Goel, Yuhong Kang, and Harsh M. Sanghvi, for their invaluable help, favor, and friendship.

I also would love to express my gratitude to many Korean associates, who studied together at Virginia Tech and shared a lot of time with me during my life in Blacksburg, for their priceless friendship, encouragement, and help.

Last, but not least, my deepest thanks from the bottom of my heart, of course, must go to my wife, Mi-Young An who let me study abroad willingly, for her love, mental support, encouragement, and patience, and I sincerely would like to express my endless love to my daughter, Seong-Min Kim, who is my wish and always makes me vital, confident, and happy. I love you, baby.

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# CHAPTER 1.

## INTRODUCTION

This chapter provides the motivation and purpose of this dissertation, the scope of investigations, the general description of fiber nonlinearities, and an overview of the nonlinear effects that are necessary for understanding nonlinear fiber devices discussed in later chapters. This chapter also provides brief discussion of multi-channel lightwave systems using optical time-division multiplexing and wavelength-division multiplexing schemes.

### 1.1. Background

Due to the advent of sophisticated all-optical amplifiers, notable developments in the area of light sources and detectors, and dramatic reduction in transmission losses, optical fibers have attracted considerable attention for applications in lightwave communication systems.

One of the several impairments limiting the performance of fiber-optic communication systems is the nonlinearity of optical fibers used as transmission medium. Nonlinear effects in optical fibers occur due to intensity dependence of the refractive index. They are primarily governed by the nonlinearities of fiber materials, fiber length, optical power, and effective mode area. Although nonlinear phenomena limit the performance of systems and cause restrictions on designing single-mode lightwave systems[1], they also have useful aspects which form the basis of all-optical ultrafast nonlinear fiber devices such as demultiplexers[2-29], wavelength converters[30-52], optical Kerr shutters[53-58], nonlinear directional couplers[59-73], amplifiers[74-77], and pulse compressors[78-88]. These devices are of great interest due to their inherent ultrafast response time(a few femtoseconds), the high-power density which results from small effective mode area, and simple all-optical features.

Recently, there has been a growing demand for much higher transmission capacity due to the rapid increase of data traffic. To meet this demand, Optical Time-Division Multiplexing (OTDM)[89] and Wavelength-Division Multiplexing(WDM) schemes[90] have been widely used in optical communication systems. However, the transmission capacity of such systems is often restricted by the limited frequency response of the electronic devices used for optical signal processing. Therefore, ultrafast demultiplexing based on all-optical nonlinear fiber devices, in which signal processings are performed optically without the involvement of electronics, are key techniques to the development of ultrafast operation and downconversion of the future ultrahigh bit-rate OTDM or WDM signals to the base rates where electronics can be used. Additionally, wavelength converters have been utilized as a way to enhance routing options and network properties such as reconfigurability, nonblocking capability, and wavelength reuse.

Even though nonlinear fiber devices are capable of ultrafast operation and broad switching bandwidth, conventional silica-core silica-clad fiber devices require either long lengths or high optical powers to achieve the desired nonlinear effects, because the nonlinearities of silica glasses are very small. Typically, a fiber length of 1Km with an optical power of 1W is required to achieve a nonlinear phase shift of  $\pi$  radians in conventional silica-core silica-clad fiber devices. The optical power of 1W is too high for actual devices and, moreover, the long length of fiber leads to the serious problems which limit response time, switching bandwidth, and maximum transmittable bit-rate. These problems include the pulse walk-off between control and signal pulses caused by group-velocity mismatch, the control pulse broadening caused by Group-Velocity Dispersion(GVD), and the polarization sensitivity caused by some external environmental conditions such as temperature variation and stress in nonlinear fiber switching devices. Therefore, short devices are essential for avoiding such serious problems and for exhibiting ultrafast switching and high bit-rate data transmission. Because the nonlinear phase shift induced by the nonlinear phenomena depends on the device length, the nonlinear-index coefficient, the input optical power, and the effective mode area, fiber nonlinearities should be increased in order to shorten the device length while maintaining the same amount of the nonlinear phase shift.

In this dissertation, High-NonLinearity(HNL) glasses of Lead-Oxide(PbO, Litharge)[91-102], Bismuth-Oxide( $\text{Bi}_2\text{O}_3$ , Bismite)[103-118], Tellurium-Oxide( $\text{TeO}_2$ , Tellurite)[119-162], and Chalcogenide glasses[163-196] are considered in order to increase fiber nonlinearities. It is certain that the HNL glasses can increase fiber nonlinearities due to their high nonlinear-index coefficients which enable short length devices. Moreover, the fabrication processes of the HNL glasses are easier because of their low melting temperatures and high glass stability. They, however, exhibit extremely high losses and GVD, causing pulse walk-off and pulse broadening and thus degrading the performance of devices seriously.

Accordingly, the aim of this dissertation is to investigate how the advantages and disadvantages of the HNL glasses affect the performance of nonlinear fiber devices. The nonlinear fiber devices which are discussed and evaluated in this dissertation include (i)OTDM/WDM demultiplexers[2-26] based on the optical Kerr effect[2-5], Nonlinear Optical Loop Mirrors(NOLM)[6-20], Four-Wave Mixing(FWM)[21-26], Asymmetric Twin-Core Fibers(ATCF), or  $\text{LP}_{01}$ - $\text{LP}_{11}$  mode interference, (ii)wavelength converters[30-52] based on the optical Kerr effect[30-32], NOLMs[33-41], or FWM[42-52], (iii)nonlinear noise filters based on  $\text{LP}_{01}$ - $\text{LP}_{02}$  mode interference, and (iv)amplitude modulators and (v)nonlinear optical switches based on  $\text{LP}_{01}$ - $\text{LP}_{11}$  mode interference. To evaluate the performance of those devices, the three types of fibers, HNL glass-core silica-clad fibers, HNL glass-core air-clad (microstructure) fibers, and HNL glass-core HNL glass-clad fibers, are considered. Then, the required device length and the required optical power for the desired nonlinear effect, relative group delay between the control and signal pulses or between the two modes of the signal for the required device length, the properties of GVD and Dispersion Slope(DS), walk-off length for the input pulse width, and output pulse width(pulse broadening) are evaluated for individual nonlinear fiber devices constructed with the three types of fibers. Finally, through the consideration of these all estimations, applicable potentialities as practical nonlinear fiber devices are discussed for individual devices.

## 1.2. Fiber Nonlinearities

The response of dielectric materials, such as optical fibers, to light becomes nonlinear for intense electromagnetic fields. The electric flux density  $\vec{D}$  is related to the electric field  $\vec{E}$  propagating inside a medium through the constitutive relations given by

$$\vec{D} = \varepsilon_0 \vec{E} + \vec{P} = \varepsilon_0 \vec{E} + \varepsilon_0 \chi \vec{E} = \varepsilon_0 \varepsilon_r \vec{E} = \varepsilon \vec{E} \quad (1.1)$$

where  $\varepsilon$ ,  $\varepsilon_0$ , and  $\varepsilon_r$  are the permittivity of the medium, the vacuum permittivity, and the relative permittivity of the medium, respectively,  $\chi$  is the susceptibility, and  $\vec{P}$  is the induced electric polarization. Additionally, the total electric polarization  $\vec{P}_t$  induced by electric dipoles in electric field  $\vec{E}$  is given by[197]

$$\vec{P}_t = \varepsilon_0 \left( \chi^{(1)} \cdot \vec{E} + \chi^{(2)} : \vec{E}\vec{E} + \chi^{(3)} : \vec{E}\vec{E}\vec{E} + \dots \right) \quad (1.2)$$

where  $\chi^{(1)}$ ,  $\chi^{(2)}$ , and  $\chi^{(3)}$  are the first-, second-, and third-order susceptibilities, respectively. The first-order susceptibility  $\chi^{(1)}$  is related to the linear refractive index representing the dominant contribution to  $\vec{P}_t$ . The second-order susceptibility  $\chi^{(2)}$ , which is responsible for the nonlinear effect of Second-Harmonic Generation(SHG), is normally zero for silica glasses since SiO<sub>2</sub> is a symmetric molecule, although the electric-quadrupole and magnetic-dipole moments can generate weak second-order nonlinear effects. Therefore, the lowest-order nonlinear effects in optical fibers originate from the third-order susceptibility  $\chi^{(3)}$ , which is responsible for nonlinear phenomena such as Third-Harmonic Generation(THG), FWM, and nonlinear refraction.

The third-order susceptibility  $\chi^{(3)}$  is positive in most cases and consists of a real part and an imaginary part such that

$$\chi^{(3)} = N_2 + i\beta \quad (1.3)$$

The real part is responsible for the nonlinear-index coefficient  $N_2$  and the imaginary part is responsible for the Two-Photon Absorption (TPA) coefficient  $\beta$  and Raman scattering. Therefore, the total refractive index  $n$  and the total absorption coefficient  $\alpha$ , including the nonlinear parts of  $\delta n$  and  $\delta\alpha$ , respectively, are expressed as

$$n = n_0 + \delta n = n_0 + N_2 I \quad (1.4)$$

$$\alpha = \alpha_0 + \delta\alpha = \alpha_0 + \beta I \quad (1.5)$$

where  $n_0$  and  $\alpha_0$  are the linear refractive index and the linear absorption coefficient, respectively and  $I$  is the optical intensity.

### 1.2.1. Nonlinear Refraction

Most nonlinear effects in optical fibers originate from nonlinear refraction, a phenomenon referring to the intensity dependence of the refractive index. In optical fibers, the nonlinear refractive index  $\delta n$ , induced by the third-order susceptibility  $\chi^{(3)}$ , is directly related to the nonlinear-index coefficient  $N_2$  and the optical intensity  $I$  as



$$n = n_0 + \delta n = n_0 + N_2 I = n_0 + N_2 \frac{P}{A_{eff}} \quad (1.6)$$

where P is the optical peak power and  $A_{eff}$  is the effective mode area defined by[197]

$$A_{eff} = 2\pi \cdot \frac{\left[ \int \psi(r)^2 r dr \right]^2}{\int \psi(r)^4 r dr} \quad (1.7)$$

The evaluation of the effective mode area requires the use of modal field distribution  $\psi(r)$ . The effective mode area clearly depends on fiber parameters such as a core radius, core refractive index, and core-cladding index difference. For step-index fibers, the effective mode area of the fundamental mode can be defined by the Mode Field Radius(MFR) with Gaussian beam approximation, which is slightly larger than the core radius due to the cladding mode contribution. The effective mode area of  $\pi w^2$  where w is the MFR is nearly same to the core area which is defined by  $\pi a^2$  where a is the core radius, when the normalized frequency V is the single-mode cutoff frequency of 2.4048. Typically, the effective mode area for single-mode silica fibers can vary in the range of 20~100 $\mu\text{m}^2$  in the 1.5 $\mu\text{m}$  region depending on fiber design.

As shown in Equation (1.6), the total refractive index is defined by the sum of the linear refractive index and the nonlinear refractive index affected by the optical intensity. As a result, nonlinearities in optical fibers are highly dependent on the nonlinear-index coefficient, the optical peak power, and the effective mode area.

### 1.2.2. Nonlinear Phase Shift

One of the most distinct properties in nonlinear fiber optics[198] is the intensity-dependent nonlinear phase shift. Since the maximum nonlinear phase shift occurs at the center of a pulse, it can be given by[197]

$$\phi_{\max} = \frac{L_{\text{eff}}}{L_{\text{NL}}} = \gamma P L_{\text{eff}} = \frac{2\pi L_{\text{eff}}}{\lambda} \frac{N_2 P}{A_{\text{eff}}} = \frac{2\pi L_{\text{eff}}}{\lambda} \delta n \quad (1.8)$$

where  $L_{\text{eff}}$  is the effective length defined as

$$L_{\text{eff}} = \frac{1 - e^{-\alpha L}}{\alpha}, \quad \alpha = \frac{\alpha_0 [dB / Km]}{10 \log_{10} e} [Km^{-1}] \quad (1.9)$$

and  $L_{\text{NL}}$  is the nonlinear length defined as

$$L_{\text{NL}} = \frac{1}{\gamma P}, \quad \gamma = \frac{2\pi N_2}{\lambda A_{\text{eff}}} [W^{-1} \cdot Km^{-1}] \quad (1.10)$$

where  $\gamma$  is the nonlinear parameter. The effective length  $L_{\text{eff}}$  plays the role of a length that is smaller than the fiber length  $L$  because of fiber losses. In the absence of fiber losses,  $\alpha=0$ , and  $L_{\text{eff}}=L$  and when losses are present,  $L_{\text{eff}}=1/\alpha$  for long fibers and  $L_{\text{eff}}=L$  for short fibers. Note that the nonlinear phase shift increases with the increase of the effective length. The physical meaning of the nonlinear length  $L_{\text{NL}}$  is clearly the effective propagation distance at which  $\phi_{\max}=1$ . If the nonlinear parameter  $\gamma=2W^{-1}\cdot Km^{-1}$ , the nonlinear length  $L_{\text{NL}}=50Km$  at the optical power  $P=10mW$  and decreases inversely with  $P$ . Typically, the nonlinear parameter  $\gamma$  for a

single-mode silica fiber is in the range of  $1\sim 10\text{W}^{-1}\cdot\text{Km}^{-1}$  when the nonlinear-index coefficient  $N_2\approx 2.6\times 10^{-20}\text{m}^2/\text{W}$ .

As shown in Equation (1.8), the nonlinear phase shift is affected by the nonlinear refractive index  $\delta n$  and depends highly on the nonlinear-index coefficient, the optical peak power, the effective mode area, and the effective length.

### **1.2.3. Self-Phase Modulation and Cross-Phase Modulation**

#### **1.2.3.1. Self-Phase Modulation**

One of the manifestations of nonlinear effects in optical fibers is Self-Phase Modulation (SPM), a phenomenon that leads to the spectral broadening of an optical pulse[199]. SPM causes a phase shift between the peak and its low-intensity leading and trailing edges of a pulse by itself, resulting in the spectral broadening of the pulse. The SPM-induced spectral broadening has a symmetric spectrum and is accompanied by an oscillatory structure which covers the entire frequency range. Generally, the spectrum has many peaks with the outermost peaks the most intense. The number of peaks and the extent of broadening are dependent on the magnitude of the nonlinear phase shift and increase with it linearly.

With regard to the temporal variation of the pulse, SPM exhibits frequency chirping such that the leading edge of the pulse is down-shifted and the trailing edge of the pulse is up-shifted. This property of SPM and GVD in the anomalous-dispersion regime of an optical fiber can cooperate in such a way that the pulse propagates as an optical soliton. In the normal-dispersion regime which has down-shifted frequency chirping in the leading edge, the combined effects of SPM and GVD can be used for pulse compression. The nonlinear phase shift induced by SPM is given by[197]

$$\delta\phi_{SPM} = \frac{2\pi L_{eff}}{\lambda_s} \frac{N_2 P_s}{A_{eff}} \quad (1.11)$$

where  $\lambda_s$  and  $P_s$  are the signal wavelength and the signal peak power, respectively.

### 1.2.3.2. Cross-Phase Modulation

When two or more optical pulses propagate inside an optical fiber simultaneously, they can interact with each other through fiber nonlinearities. The nonlinear phase shift caused by interaction between two copropagating pulses is called Cross-Phase Modulation(XPM)[200]. XPM is always accompanied by SPM and occurs because the effective refractive index of an optical pulse in a nonlinear medium depends not only on the intensity of that pulse but also on the intensity of the other pulse. In other words, while SPM occurs by itself, XPM occurs by interplay between two copropagating pulses.

Generally, nonlinear interaction between pulses can generate new waves with different frequencies through a variety of nonlinear phenomena such as Stimulated Raman Scattering(SRS), Stimulated Brillouin Scattering(SBS), and FWM. They transfer part of the energy from one pulse to the other, which is referred to as an inelastic effect. However, no energy transfer between pulses occurs through XPM; it is referred to as an elastic effect.

Similar to the case of pure SPM, the spectra of both pulses in XPM are expected to broaden and develop multiple peaks with the outermost peaks the most intense. However, because the spectra of the pulses are governed by the combined contributions of SPM and XPM, each pulse spectrum develops asymmetric spectral broadening. In the absence of the interaction of XPM, the spectrum of each pulse would be symmetric and would exhibit less broadening. It is worth mentioning that the XPM-induced chirp is affected by pulse walk-off and group-velocity mismatch, and depends critically on initial time delay between two pulses.

Actually, XPM can occur between two optical fields of both the same wavelength and different wavelength. It can be used for pulse compression[82-88] through the XPM-induced frequency chirp, optical switching[201-203] through the XPM-induced phase shift, and wavelength shifting[204-205] through the XPM-induced spectral broadening for optical communication systems. Note that in XPM, the nonlinear refractive index is twice as large as that of SPM. As a result, the nonlinear phase shift induced by XPM is given by[197]

$$\delta\phi_{XPM} = \frac{4\pi L_{eff}}{\lambda_s} \frac{N_2 P_p}{A_{eff}} \quad (1.12)$$

where  $\lambda_s$  is the weaker signal wavelength and  $P_p$  is the strong pump peak power. For XPM, the effective mode area  $A_{eff}$  is defined by both the signal and pump pulses as

$$A_{eff} = 2\pi \cdot \frac{\left[ \int \psi_s^2 r dr \right] \left[ \int \psi_p^2 r dr \right]}{\int \psi_s^2 \cdot \psi_p^2 r dr} \quad (1.13)$$

#### 1.2.4. Stimulated Inelastic Scattering

As briefly mentioned in Section 1.2.3.2, there are two classes of nonlinear effects governed by the third-order susceptibility. The first class includes elastic nonlinear effects such as SPM and XPM in the sense that no energy is exchanged between an optical field and a dielectric medium. The second class includes inelastic nonlinear effects such as SRS, SBS, and FWM in the sense that an optical field transfers its energy to another optical field. Two important stimulated inelastic scatterings are SRS[206-208] and SBS[209] which were among the first nonlinear effects studied in optical fibers. Both effects are related to the vibrational excitation modes of a nonlinear medium. The primary differences between SRS and SBS are that SRS is

associated with molecular vibrations and SBS is associated with acoustic vibrations, and that SBS occurs only in the backward direction whereas SRS can occur in both directions.

#### 1.2.4.1. Stimulated Raman Scattering

Stimulated Raman Scattering(SRS) is one of the most important nonlinear processes and can be used as broadband fiber-based Raman amplifiers and tunable Raman lasers for optical communication systems. In any molecular medium, a small fraction of power can be transferred from one optical field to another optical field through the spontaneous Raman scattering caused by molecular vibration. The newly generated wave is called the Stokes wave and its frequency is downshifted from the incident light by an amount determined by the vibrational modes of the medium. The SRS-generated Stokes wave intensity  $I_S$  for a CW or quasi-CW condition is given by[197]

$$I_S(L) = I_S(0) \exp\left(g_R I_P(0) L_{eff} - \alpha_S L\right), \quad L_{eff} = \frac{1 - e^{-\alpha_P L}}{\alpha_P} \quad (1.14)$$

where  $I_S(0)$  is the Stokes intensity at  $L=0$ ,  $I_P(0)$  is the pump intensity at  $L=0$ ,  $\alpha_S$  and  $\alpha_P$  are the fiber losses at the Stokes and pump frequencies, respectively,  $L_{eff}$  is the effective length defined by  $\alpha_P$ , and  $g_R$  is the Raman-gain coefficient.

In general,  $g_R$  depends highly on composition and dopants of fiber core materials, and is inversely proportional to the pump wavelength. For pure silica,  $g_R$  exhibits a peak at the frequency that is downshifted from a pump frequency by about 13.2THz( $440\text{cm}^{-1}$ ) and the Raman-gain spectrum extends over a large frequency range, up to 40THz due to the amorphous nature of silica glasses. When a pump power higher than the Raman threshold given in Equation (1.15) at  $1\mu\text{m}$  is used, the peak Raman-gain coefficient  $g_R \approx 1 \times 10^{-13} \text{m/W}$  for pure silica[207]. Note that, for the condition of a pulse, the effective length  $L_{eff}$  in the Raman

threshold should be replaced by the walk-off length. In Equation (1.15),  $\psi_S$  and  $\psi_P$  represent modal fields at the Stokes and pump, respectively.

$$P_{th} \approx \frac{16A_{eff}}{g_R L_{eff}}, \quad A_{eff} = 2\pi \cdot \frac{\left[ \int \psi_P^2 r dr \right] \left[ \int \psi_S^2 r dr \right]}{\int \psi_P^2 \psi_S^2 r dr} \quad (1.15)$$

SRS causes the generation of a Stokes wave whose frequency is determined by the peak Raman-gain coefficient. Once the pump power reaches the Raman threshold, the power is transferred to the Stokes rapidly and finally it is transferred completely. Moreover, this first-order Stokes wave can serve as a pump for the generation of a second-order Stokes wave if its power is still higher than the Raman threshold. The number of multiple Stokes waves generated by the process of cascade SRS depends on the input pump power.

#### 1.2.4.2. Stimulated Brillouin Scattering

The nonlinear phenomenon which occurs at the lowest power, as low as a few mW in the small core of a single-mode fiber, is Stimulated Brillouin Scattering(SBS). It occurs when an optical power reaches the level that can generate acoustic vibration in a nonlinear medium. The acoustic waves generated by the optical power affect the density of a material and thus change its refractive index. This refractive index fluctuation can scatter light; this effect is called the Brillouin scattering. Since the light wave being scattered itself also generates the acoustic waves, this process in a fiber is called SBS. Similar to SRS, SBS also generates a Stokes wave whose frequency is downshifted from an incident light by the amount set by the nonlinear medium. The SBS-generated Stokes wave intensity  $I_S$  for a CW or quasi-CW condition is described by[197]

$$I_S(L) = I_S(0) \exp\left(-g_B I_P(0) L_{eff} + \alpha L\right), \quad L_{eff} = \frac{1 - e^{-\alpha L}}{\alpha} \quad (1.16)$$

where  $g_B$  is the Brillouin-gain coefficient and in this case, the fiber loss  $\alpha$  is the same at both the Stokes and pump frequencies due to the small value of the Brillouin shift. The difference from SRS is the reversed sign owing to the counterpropagating nature of the Stokes wave with respect to the pump wave in SBS.

The frequency shift of the Stokes wave in SBS is smaller by three orders of magnitude than that of SRS. For silica-core fibers,  $g_B$  develops a peak for the frequency that is downshifted from a pump frequency by about 10GHz and the Brillouin-gain spectrum has an extremely narrow bandwidth of <100MHz. The Brillouin-gain coefficient  $g_B$  is larger than  $g_R$  by more than two orders of magnitude. For silica-core fibers,  $g_B \approx 5 \times 10^{-11} \text{ m/W}$  [209] and thus the Brillouin threshold can be as low as 1mW.

$$P_{th} \approx \frac{21A_{eff}}{g_B L_{eff}}, \quad A_{eff} = 2\pi \cdot \frac{\left[\int \psi_P^2 r dr\right] \left[\int \psi_S^2 r dr\right]}{\int \psi_P^2 \psi_S^2 r dr} \quad (1.17)$$

SBS is strongest when the pulse width is long and the linewidth of the laser source is very narrow, and it is typically harmful because it reduces signal strength by directing some portion of the light back toward the transmitter, effectively increasing attenuation. However, at the same time, it can be useful for making fiber-based Brillouin amplifiers, Brillouin lasers, and sensors.



### 1.2.5. Four-Wave Mixing

Nonlinear processes which involve the modulation of a medium parameter such as the refractive index are referred to as parametric processes. They can be classified as the second- and third-order processes depending on whether  $\chi^{(2)}$  or  $\chi^{(3)}$  is responsible for the process. Generally, the third-order parametric processes involve nonlinear interaction among four optical waves and include Four-Wave Mixing(FWM) often also called four-photon mixing, third-harmonic generation, and parametric amplification. FWM[210-214] occurs when photons from one or more waves are annihilated to create new photons at different frequencies for energy conservation during the parametric process. The primary difference between parametric processes such as FWM and the stimulated scattering processes such as SRS and SBS discussed in the previous sections is related to the condition of phase-matching[215]. It is automatically satisfied in the case of SRS and SBS as a result of active participation of a nonlinear medium. However, in the case of parametric processes, it requires specific choice of frequencies and refractive indices in order to satisfy the phase-matching conditions for efficient occurrence.

There are two types of FWM. The first case occurs when three waves transfer their energies to a single wave at the frequency at  $\omega_4 = \omega_1 + \omega_2 + \omega_3$ . However, in general, it is difficult to have high efficiency in optical fibers owing to the difficulties in satisfying the phase-matching condition for such processes. The second case occurs when two waves at frequencies  $\omega_1$  and  $\omega_2$  are annihilated to generate simultaneously two symmetric sidebands at frequencies  $\omega_3$ (downshifted) and  $\omega_4$ (upshifted) in frequency such that

$$\omega_3 + \omega_4 = \omega_1 + \omega_2 \quad (1.18)$$

$$\omega_3 = 2\omega_1 - \omega_2 \quad (1.19)$$

$$\omega_4 = 2\omega_2 - \omega_1 \quad (1.20)$$

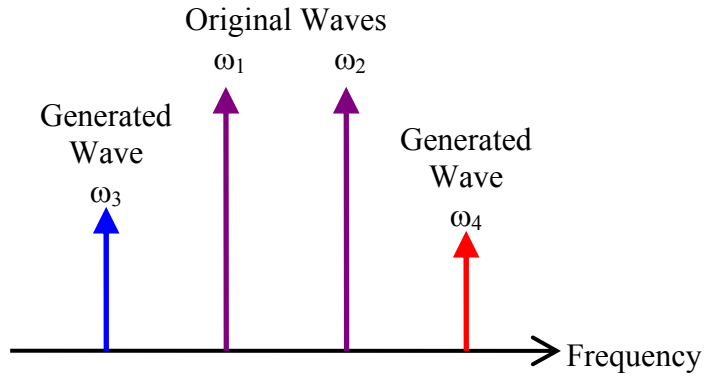


Figure 1-1. 2-Channel Pump Four-Wave Mixing

If the frequencies of the two pump waves are equal,  $\omega_1 = \omega_2$ , it is relatively easy to satisfy the phase-matching requirement given by

$$\Delta\beta = \frac{(n_3\omega_3 + n_4\omega_4 - n_1\omega_1 - n_2\omega_2)}{c} = 0 \quad (1.21)$$

This specific case is called degenerated FWM or three-wave mixing because only three distinct frequencies are involved in this nonlinear process. It is most relevant for optical fibers and is in direct analogy with SRS in that the low-frequency band is referred to as the Stokes and the high-frequency band is referred to as the anti-Stokes[197].

$$\omega_4 = 2\omega_1 - \omega_3 \quad (1.22)$$

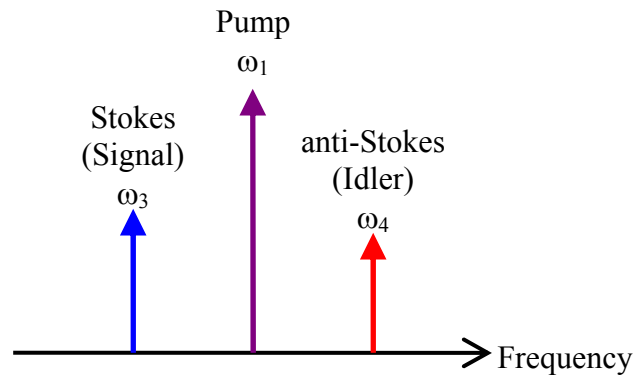


Figure 1-2. 1-Channel Pump Four-Wave Mixing(Degenerated FWM)

If only the pump wave at frequency  $\omega_1$  is incident and phase-matching requirement is satisfied, the Stokes and anti-Stokes waves at frequencies  $\omega_3$  and  $\omega_4$ , respectively can be generated. On the other hand, if a weak signal at frequency  $\omega_3$  is coupled together into a fiber with the pump, the signal is amplified while a new wave at frequency  $\omega_4$  is generated at the same time. When the signal is amplified through such process of FWM, the Stokes and the anti-Stokes are often called the signal and the idler, respectively and the gain caused by such amplification is called the parametric gain.

FWM becomes a serious problem in wavelength-division-multiplexed systems with channels which are separated by equal frequency spacing and operates near Zero-Dispersion Wavelength(ZDW), because the newly generated waves fall on the top of the existing channels, inducing crosstalk and degrading the performance of the systems. Actually, FWM in the ZDW region occurs easily because the phase-matching condition is easily satisfied, resulting in new waves over a wide wavelength range[213]. However, FWM can be avoided using unequal channel spacing or introducing Nonzero-Dispersion-Shifted Fibers(NDSF) with large enough GVD such that the required phase-matching for FWM process does not occur over long length of fibers. Despite this harmful effect, FWM also has useful applications such as optical time-division demultiplexing[21-26], wavelength conversion[42-52], signal amplification, supercontinuum generation, phase conjugation for dispersion compensation, and squeezing for reduction of quantum noise.

### 1.2.6. Formulas for Third-Order Susceptibility and Nonlinear-Index Coefficient

Various ways to estimate the nonlinear properties of materials have been proposed by many authors. This section summarizes some different ways for estimation of the third-order susceptibility and nonlinear-index coefficient. In this dissertation, some of them are used for estimation of the HNL glasses considered, and the calculated values from some different formulas are compared.

As discussed earlier, the total refractive index is defined by the sum of the linear and nonlinear refractive indices. The nonlinear refractive index can be expressed in terms of the square of the magnitude of the optical electric field  $|E|^2$  [esu or  $V^2/m^2$ ] [216],

$$n = n_0 + N_2 |E|^2 \quad (1.23)$$

or in terms of the optical intensity  $I$  [ $W/m^2$ ],

$$n = n_0 + N_2 I \quad (1.24)$$

In Equations (1.23) and (1.24),  $n_0$  is the linear refractive index. The unit of the nonlinear-index coefficient  $N_2$  in Equation (1.23) is [esu] (electro-static unit) or [ $m^2/V^2$ ] and the unit of  $N_2$  in Equation (1.24) is [ $m^2/W$ ]. For a linearly polarized wave in an isotropic material, the relationship between  $N_2$  [esu] and the third-order susceptibility  $\chi^{(3)}$  [esu] is given by [216-217]

$$N_2 [esu] = \frac{12\pi}{n_0} \chi^{(3)} [esu] \quad (1.25)$$

and the relationship between  $N_2$  [ $m^2/V^2$ ] and the third-order susceptibility  $\chi^{(3)}$  [esu] is given by [179]

$$N_2 \left[ m^2 / v^2 \right] = \frac{\pi}{6n_0 \times 10^8} \chi^{(3)} [esu] \quad (1.26)$$

In Equations (1.25) and (1.26),  $n_0$  is the linear refractive index.

One of the most convenient ways to estimate the third-order susceptibility  $\chi^{(3)}[esu]$  is the Miller's rule given by[120].

$$\chi^{(3)} [esu] = \left( \chi^{(1)} \right)^4 \times 10^{-10}, \quad \chi^{(1)} = \frac{(n_0^2 - 1)}{4\pi} \quad (1.27)$$

where  $\chi^{(1)}$  is the linear susceptibility and  $n_0$  is the linear refractive index. According to this rule, high nonlinearity is expected with high linear refractive index.

The nonlinear-index coefficient  $N_2[esu]$  can be estimated by using some linear refractive indices at different wavelengths as follows[163, 218].

$$N_2 \left[ 10^{-13} esu \right] = \frac{68(n_d - 1)(n_d^2 + 2)^2}{v_d \sqrt{1.517 + \frac{(n_d^2 + 2)(n_d + 1)v_d}{6n_d}}} \quad (1.28)$$

$$N_2 \left[ 10^{-13} esu \right] = 391 \frac{(n_d - 1)^{\frac{5}{4}}}{v_d^4}, \quad v_d = \frac{n_d - 1}{n_F - n_C} \quad (1.29)$$

In Equations (1.28) and (1.29),  $V_d$  is the Abbe number which is a measure for dispersion and  $n_d$ ,  $n_F$ , and  $n_C$  are the linear refractive indices at 0.58756 $\mu$ m, 0.48613 $\mu$ m, and 0.65627 $\mu$ m,

respectively. These equations are useful for crystals, oxide glasses, and fluoride glasses, but they are not useful for chalcogenide glasses. The simpler Equation (1.29) is more accurate than Equation (1.28)[163].

Equation (1.30) is an improved version of Equations (1.28) and (1.29). It is applicable to crystals, oxide glasses, and fluoride glasses, but still not much useful for chalcogenide glasses[163].

$$N_2 \left[ 10^{-13} \text{esu} \right] = \frac{\sqrt{3} g S (n^2 + 2)^{1.5} (n^2 - 1)^2 \hbar^2 e^2}{12 n m E_d E_0^2} \quad (1.30)$$

In Equation (1.30),  $g$  is the anharmonicity parameter,  $S$  is the oscillator strength,  $n$  is the linear refractive index,  $\hbar$  is Planck's constant divided by  $2\pi$ ,  $e$  is the electron charge,  $m$  is the electron mass,  $E_d$  is the dispersion energy, and  $E_0$  is the oscillator energy.

Equation (1.31) provides a global expression for the nonlinear-index coefficient  $N_2$ [m<sup>2</sup>/W]. The nonlinear-index coefficients calculated from this equation are in reasonable agreement with experimental results for chalcogenide glasses[219].

$$N_2 \left[ 10^{-20} \text{m}^2 / \text{W} \right] = \frac{3.4 (n_0^2 + 2)^3 (n_0^2 - 1) d^2}{n_0 E_s^2} \quad (1.31)$$

In Equation (1.31),  $d$  is the nearest-neighbor bond length in  $10^{-10}$ m,  $E_s$  is the Sellmeier gap in eV, and  $n_0$  is the linear refractive index given by

$$n_0^2 = 1 + \frac{k n_e D Z_A}{E_s} \quad (1.32)$$

where  $n_e$  is the number of valence electrons per anion,  $D$  is a dimensionless measure of ionic density,  $Z_A$  is the magnitude of the formal anionic valency, and  $k$  is the empiric energy factor which varies only slightly from material to material.

Equation (1.33) provides an estimation of third-order susceptibility  $\chi^{(3)}$ [esu], using THG measurements[104].

$$\chi^{(3)}[esu] = \left( \frac{n+1}{n_s+1} \right)^4 \left( \frac{I}{I_s} \right)^{1/2} \chi_s^{(3)} \frac{l_{ch,s}}{l_{ch}} \quad (1.33)$$

In Equation (1.33),  $l_{ch}$  and  $l_{ch,s}$  are the coherence lengths of sample and fused silica, respectively,  $I$  and  $I_s$  are the measured peak intensity values in the THG intensity patterns of sample and fused silica, respectively,  $n$  and  $n_s$  are the linear refractive indices of the sample and fused silica, respectively, and  $\chi_s^{(3)}$  is the third-order susceptibility of fused silica.

If three-photon resonance is dominant in the THG as in the case when the optical bandgap  $E_g$  is higher than the three-photon energy, the most significant term caused by the three-photon resonance can be obtained as[104]

$$\chi^{(3)}[esu] = \frac{A}{(E_g - 1.96)(E_g - 1.31)(E_g - E_g')}, \quad E_g > 1.96 \quad (1.34)$$

where  $A$  is a phenomenological constant( $1.4 \times 10^{-11}$ ) and  $E_g'$  is the bandgap energy of the laser beam used(e.g. 0.65eV for 1900nm).

Additionally, Equations (1.35)-(1.37) define the relationship between the third-order susceptibility  $\chi^{(3)}$  and the nonlinear-index coefficient  $N_2$ [179, 197]. It is quite convenient to estimate one of them from the other. This equation provides nearly exact results for the well-known values of  $\chi^{(3)}$  and  $N_2$  of pure silica.

$$\chi^{(3)} [m^2 / v^2] = \frac{4\pi}{9 \times 10^8} \chi^{(3)} [esu] \quad (1.35)$$

$$N_2 [m^2 / v^2] = \frac{3}{8n_0} \chi^{(3)} [m^2 / v^2] \quad (1.36)$$

$$\begin{aligned} N_2 [m^2 / W] &= \left( \frac{1}{cn_0 \epsilon_0} \right) N_2 [m^2 / v^2] \\ &\approx \frac{1}{8} \left( \frac{4\pi}{n_0} \right)^2 \times 10^{-7} \cdot \chi^{(3)} [esu] \end{aligned} \quad (1.37)$$

In Equations (1.36) and (1.37),  $n_0$  is the linear refractive index,  $c$  is the light velocity in vacuum, and  $\epsilon_0$  is the vacuum permittivity.

With respect to the reported values, there is a variation in the nonlinear-index coefficient  $N_2$  and the third-order susceptibility  $\chi^{(3)}$ . The values of  $N_2$  for fused silica are  $0.95 \pm 0.1 \times 10^{-13}$  [esu] and  $2.73 \pm 0.27 \times 10^{-20}$  [m<sup>2</sup>/W] with linear polarization at 1.064  $\mu$ m [220]. Typical values of  $\chi^{(3)}$  and  $N_2$  for pure silica are around  $0.27 \times 10^{-13}$  [esu] and  $2.5 \times 10^{-20}$  [m<sup>2</sup>/W], respectively, with linear polarization at 1.5  $\mu$ m. For silica-core fibers,  $N_2$  is  $2.36 \times 10^{-20}$  [m<sup>2</sup>/W] with random polarization at 1.319  $\mu$ m [221]. Measurements of  $N_2$  by various techniques exhibit variation over a 13% range between  $2.25$  and  $2.55 \times 10^{-20}$  [m<sup>2</sup>/W] for Dispersion-Shifted Fibers (DSF) at 1.55  $\mu$ m with random polarization. Well-organized and detailed investigation produces the value of  $N_2 = 2.45 \times 10^{-20}$  [m<sup>2</sup>/W] ( $\pm 5\%$ ) for DSF at 1.55  $\mu$ m with random polarization [222]. A typical value of  $N_2$  for GeO<sub>2</sub>-doped DSF is  $2.8 \times 10^{-20}$  [m<sup>2</sup>/W] with linear polarization.

In addition, because refractive index is dependent not only on wavelength but also on the State Of Polarization (SOP),  $N_2$  also varies with the SOP. It has the maximum value for linear polarization and usually drops to 2/3 of maximum for circular polarization. In long non-polarization maintaining fibers, the SOP wanders over all values from linear to circular and produces a lower value of  $N_2$  by a factor of 8/9 of the linearly polarized case.



### 1.3. Multi-Channel Lightwave Systems

In optical communication systems, the optical carrier frequency is typically on the order of 100THz, in contrast with the microwave carrier frequencies of 1-10GHz. In principle, taking 1% as the limiting value, the signal bandwidth in optical communication systems can exceed 1THz because of such a large carrier frequency associated with the optical signal, thus resulting in the potential of carrying information at bit rates in the order of 1Tb/s[223]. In practice, however, the bit-rate is often limited to tens of Gb/s or less due to fiber impairments, such as losses, dispersion, and nonlinearities, and the slow response time of electronic components required for optical signal processing. Therefore, the development of multi-channel lightwave systems which transmit signal information through multiple optical channels over the same fiber has attracted considerable attention to enhance transmission capacity. Channel multiplexing can mainly be performed in either time or wavelength using optical domain techniques. It is common to refer to the former case as Optical Time-Division Multiplexing(OTDM) to distinguish from Time-Division Multiplexing(TDM) in electrical domain and the latter case as Wavelength-Division Multiplexing(WDM) which is called the Frequency-Division Multiplexing(FDM) in electrical domain. The lightwave systems making use of such techniques are referred to as multi-channel communication systems.

### 1.3.1. Optical Time-Division Multiplexing Systems

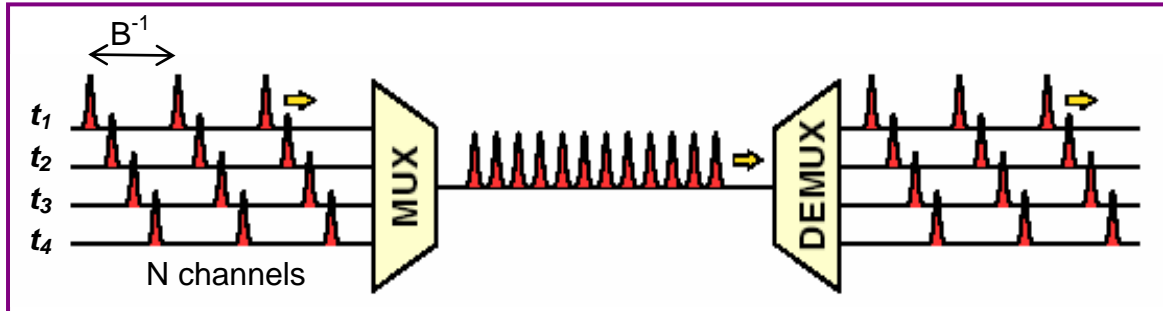


Figure 1-3. Optical Time-Division Multiplexing Systems

In OTDM Systems, as shown in Figure 1-3, optical signals modulated at the bit-rate  $B$  in each channel with different delay time are multiplexed optically to form a composite optical signal at the bit-rate  $NB$ , where  $N$  is the number of multiplexed optical channels. The width of the optical pulse generated by a transmitter should be less than  $(NB)^{-1}$  to ensure that the pulse will fit within the allocated time slot. The multiplexing of  $N$  channels can be achieved by a delay technique with the fiber segments of controlled lengths, which is optically implemented such that the modulated optical signals in  $n$ th branch is delayed by an amount  $(n-1)/(NB)$ , where  $n=1, \dots, N$ .

The demultiplexing of the individual channels in OTDM systems can be achieved by either electro-optical or all-optical techniques. All-optical techniques are more common because electro-optical techniques require a variety of expensive components and its performance is limited by the speed of modulator. Moreover, all techniques for demultiplexing in OTDM systems require control signals at the same bit-rate of a single channel, requiring an all-optical scheme because of the high bit rates associated with OTDM systems. There are various kinds of all-optical nonlinear demultiplexers for optical time-division-multiplexed signals, based on the optical Kerr effect[2-5], NOLMs[6-20], FWM[21-26], XPM[27-29], Raman amplification, directional coupler, ATCFs,  $LP_{01}$ - $LP_{11}$  mode interference, and so forth.

### 1.3.2. Wavelength-Division Multiplexing Systems

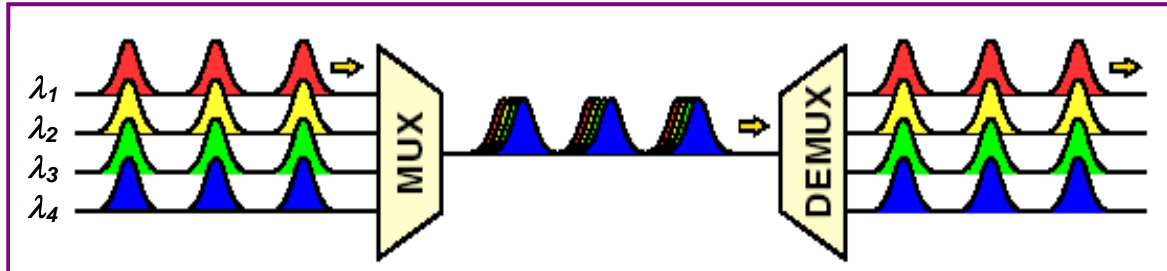


Figure 1-4. Wavelength-Division Multiplexing Systems

Figure 1-4 shows the basic diagram of WDM systems. In WDM systems, multiple optical signals at different wavelengths are modulated and then, the signals at all wavelengths from multiple channels are combined and transmitted over the same fiber. At the end of the transmission link, they are demultiplexed into individual channels by means of optical techniques. These include a tunable bandpass filter, Fiber Bragg Grating(FBG), Arrayed Waveguide Grating(AWG), and all-optical nonlinear wavelength-division demultiplexers based on the optical Kerr effect[5], ATCFs,  $LP_{01}$ - $LP_{11}$  mode interference, and so forth. WDM has much higher potential than OTDM for achieving huge transmission capacities. The transmission window near  $1.55\mu\text{m}$  in optical fibers covers a bandwidth of more than 10THz with low-loss. Therefore, in principle, it is possible to transmit hundreds of 10Gb/s signal channels over the same fiber if the channel spacing is reduced to desired level.

## CHAPTER 2.

# NONLINEAR FIBER DEVICES

This chapter is devoted to the discussion of nonlinear fiber devices operating based on the optical Kerr effect, Nonlinear Optical Loop Mirrors(NOLM), Four-Wave Mixing(FWM), Asymmetric Twin-Core Fibers(ATCF), or mode interference. The characteristic features and principles of operation of the nonlinear fiber devices are examined in some details. Even though nonlinear fiber devices based on FWM are not evaluated, they are also discussed here to understand their operation.

### 2.1. Optical Kerr Effect

#### 2.1.1. Nonlinear Birefringence

As discussed in the previous chapter, the total refractive index is defined as the sum of the linear and nonlinear refractive indices. When a sufficiently intense optical field is applied to optical fibers, the total refractive indices for two principal axes of x and y axes are given by

$$n_x = n_{0x} + \delta n_x \quad (2.1)$$

$$n_y = n_{0y} + \delta n_y \quad (2.2)$$

$$\Delta n_L = n_{0x} - n_{0y} \quad (2.3)$$

$$\Delta n_{NL} = \delta n_x - \delta n_y \quad (2.4)$$

where  $n_{0x}$  and  $n_{0y}$  are the linear refractive indices in the directions of the x and y axes, respectively,  $\delta n_x$  and  $\delta n_y$  are the nonlinear index variation along the x and y axes, respectively, and  $\Delta n_L$  and  $\Delta n_{NL}$  are the linear and nonlinear birefringence, respectively.

Ideally, the cores of optical fibers are perfectly circular and uniform along their entire length, so the intrinsic birefringence should be zero along the entire fiber. In practical fibers, however, the shape of the cores can vary randomly due to unintentional variation such as stresses, air bubbles, bends, and twist. This leads to modal birefringence, which means the propagation constants of two orthogonal axes are different. The birefringence can change randomly along the fiber length so that the State Of Polarization(SOP) can also vary randomly.

To avoid this randomly varying SOP in standard fibers, birefringence, which is much higher than the random changes in standard fibers, is built in. Polarization-Maintaining Fibers(PMF) have intentional stresses(or variation of the core shape) and exhibit nearly constant birefringence along the entire length. PMFs have two principal axes, slow and fast axes and are capable of maintaining the state of linear polarization[224] of the incident light. Additionally, since nonlinear interaction between the two orthogonally polarized optical fields changes the refractive index by different amounts for the two axes, the nonlinear contributions  $\delta n_x$  and  $\delta n_y$  are generally unequal, thus creating the nonlinear birefringence  $\Delta n_{NL}$  whose magnitude is dependent on the intensity and SOP of the incident light. As a result, the nonlinear phenomena in birefringent fibers are polarization dependent.

### 2.1.2. Optical Kerr Shutters

The optical Kerr effect[225-226] uses the nonlinear phase shift induced by the intensity-dependent nonlinear birefringence to change the SOP of a weak signal in a nonlinear medium. Figure 2-1 shows an ideal case when a linearly polarized signal with a  $45^\circ$  angle to both of the two principal axes is launched into a PMF so that the light intensity is equal along the two principal axes. When a pump is not present, the SOP of the signal changes in a periodic way

due to the built-in birefringence and the original SOP is restored by the quarter wave plate. As a result, it is blocked by a crossed polarizer at the fiber end, thus resulting in no output.

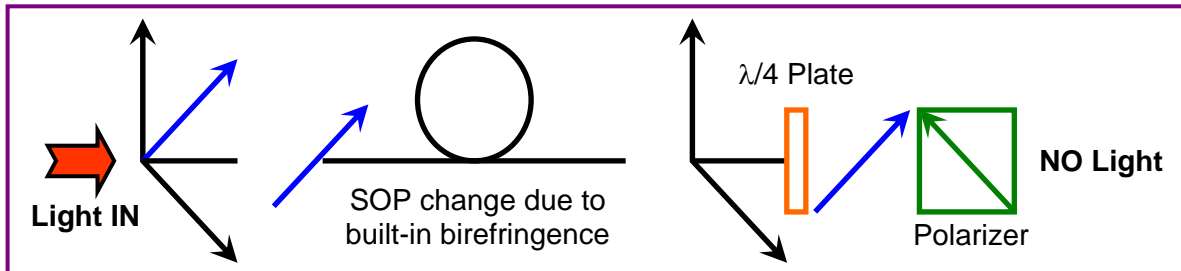


Figure 2-1. State of Polarization of Signals without Pump

However, if a linearly polarized strong pump along one of the two principal axes of the fiber is launched together with the signal, as shown in Figure 2-2, the refractive indices for the parallel and perpendicular components of the signal become slightly different with respect to the direction of the pump polarization due to the pump-induced nonlinear birefringence. This nonlinear birefringence causes a nonlinear phase shift and also changes the SOP of the signal, thus transmitting the signal through the polarizer. The signal transmittivity depends mainly on the pump intensity and can be controlled by adjusting it. Thus, a signal at one wavelength can be passed and modulated only when a pump at a different wavelength is present. This device is referred to as the optical Kerr shutter or the optical Kerr modulator. The optical Kerr effect has a large number of applications[53-58] other than ones discussed in this dissertation, such as optical sampling, and pulse shaping.

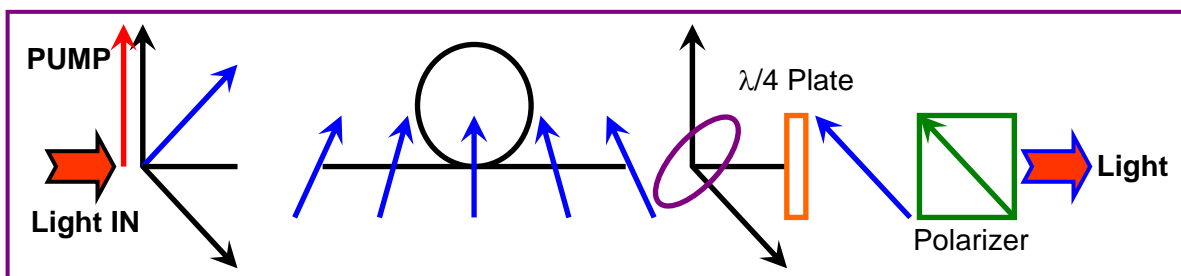


Figure 2-2. State of Polarization of Signals with Pump

The nonlinear phase shift for the signal at the output end of the fiber of length  $L$ , when the pump is polarized linearly along the  $x$  axis and  $n_{0x} > n_{0y}$ , can be written as

$$\begin{aligned}\delta\phi &\equiv \delta\phi_L + \delta\phi_{NL} = \frac{2\pi L_{eff}}{\lambda_s} (n_x - n_y) \\ &= \frac{2\pi L_{eff}}{\lambda_s} (\Delta n_L + \Delta n_{NL}) = \frac{2\pi L_{eff}}{\lambda_s} (\Delta n_L + n_{2B} I)\end{aligned}\quad (2.5)$$

where  $\lambda_s$  is the signal wavelength,  $I$  is the pump intensity, and  $n_{2B}$  is the Kerr coefficient given by [197]

$$\begin{aligned}n_{2B} &\approx 2(\delta n'_x - \delta n'_y) = 1.5N_2 \\ \text{where } \delta n'_x &= \frac{9}{8}N_2 \text{ and } \delta n'_y = \frac{1}{3}\delta n'_x\end{aligned}\quad (2.6)$$

In addition, the signal transmittivity  $T_p$  is related to the nonlinear phase shift  $\delta\phi$  by the simple relation as [197]

$$T_p = \sin^2\left(\frac{\delta\phi}{2}\right)\quad (2.7)$$

The transmittivity will be maximum when  $\delta\phi = \pi$  or an odd multiple integer of  $\pi$ , and the signal will be completely blocked when  $\delta\phi = 0$  or an even multiple integer of  $\pi$ .

Generally, PMFs are used to ensure that the pump maintains its SOP along the fiber in the optical Kerr shutters. The linear phase shift  $\delta\phi_L$ , induced by the linear birefringence  $\Delta n_L$ , can be compensated by inserting a quarter-wave plate before the polarizer as shown in Fig 2-2. However, in practical situations,  $\delta\phi_L$  fluctuates due to random variation of temperature and

pressure, making it necessary to adjust it continuously. Alternatively, two identical pieces of PMFs which were spliced together such that their fast(or slow) axes are at right angles to each other can be used[2]. Since  $\Delta n_L$  has an opposite sign in the second fiber, the net phase shift induced by the linear birefringence  $\Delta n_L$  is effectively canceled. The phase shift compensation may also be achieved by means of a polarization rotation mirror using a PANDA fiber[4]. As a result, the nonlinear phase shift induced by the optical Kerr shutter can be simplified to

$$\delta\phi = \frac{2\pi L_{eff}}{\lambda_s} 1.5 N_2 \frac{P_p}{A_{eff}} \quad (2.8)$$

where  $P_p$  is the pump peak power. The minimum pump peak power for the maximum signal transmission can be obtained by setting  $\delta\phi=\pi$ . It is given by

$$P_{P\_min} = \frac{\lambda_s A_{eff}}{3 N_2 L_{eff}} \quad (2.9)$$

Under ideal conditions, the response time of the optical Kerr shutter is limited only by the response time of the Kerr nonlinearity which is less than 10fs for optical fibers. In practice, however, there are some other factors limiting the response time and switching bandwidth. The first one is the group-velocity mismatch between the signal and the pump whose relative group delay is given by

$$\Delta t_g = L \left| \frac{1}{v_{gp}} - \frac{1}{v_{gs}} \right| = \frac{L}{c} |n_{gp} - n_{gs}| \quad (2.10)$$



where  $v_{gp}$  and  $v_{gs}$  are the group velocities and  $n_{gp}$  and  $n_{gs}$  are the group refractive indices for the pump and the signal, respectively. This problem may be solved by choosing the pump and signal wavelengths on opposite sides of the ZDW. The second limiting factor is the built-in birefringence. The two orthogonally polarized components of the signal travel at different speeds due to the linear birefringence  $\Delta n_L$ , resulting in a relative delay given by

$$\Delta t_p = \frac{L\Delta n_L}{c} = \frac{L}{c} |n_{0x} - n_{0y}| \quad (2.11)$$

This delay may be eliminated by splicing two PMFs together with their fast(or slow) axes at right angles to each other. The last limiting factor is the broadening of the pump pulse caused by GVD. This problem may be reduced by placing the pump wavelength closer to the ZDW. However, it is very difficult to satisfy all requirements simultaneously in order to solve all problems. This dissertation discusses alternative ways for solving them at once.

### 2.1.3. Optical Time-Division Demultiplexers

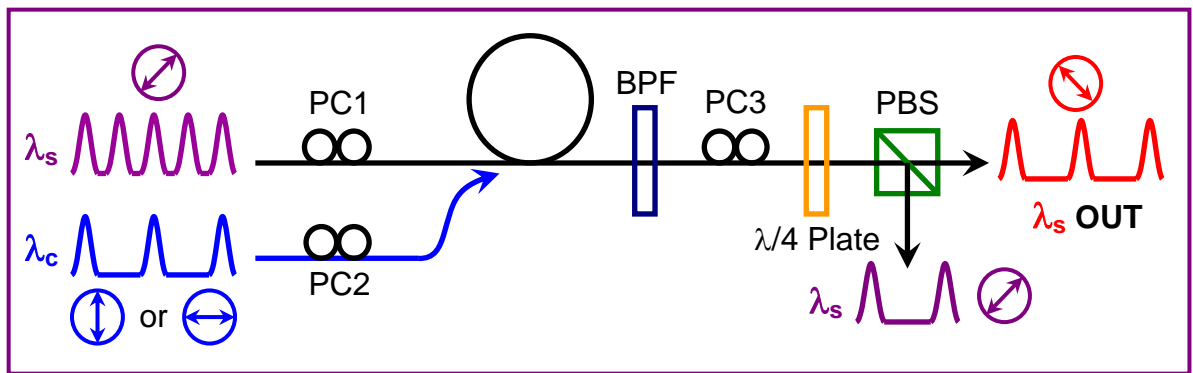


Figure 2-3. An Optical Kerr Optical Time-Division Demultiplexer

Figure 2-3 shows the schematics of an optical Kerr optical time-division demultiplexer[2-4] where  $\lambda_s$  and  $\lambda_c$  are the signal and control wavelengths, respectively, PCs are polarization controllers, BPF is a band pass filter, and PBS is a polarization beam splitter. Usually, PMFs are used to maintain the SOP of the control pulse in optical Kerr demultiplexers. In optical Kerr optical time-division demultiplexers, a strong control pulse causes the variation of refractive indices by different amounts along the two principal axes. This induced nonlinear birefringence changes the SOP of the weaker signal pulse. The extent of change depends on the power and SOP of the control pulse. The BPF is used to separate the control and signal pulses. The waveplate can be adjusted to compensate the intrinsic linear birefringence of PMFs, while active polarization control using the PCs is required to ensure the proper SOP of the signal and control pulses. The PBS is set such that the signal pulse can be detected only when the strong control pulse is present. As a result, the desired signal pulses can be selectively demultiplexed using the optical Kerr effect. The nonlinear phase shift by the nonlinear birefringence shown in Equation (2.8) should be an odd multiple integer of  $\pi$  for maximum switching efficiency. Typically, the required fiber length for a nonlinear phase shift of  $\pi$  is 1Km in conventional silica-core silica-clad fibers for a control power of 1W.

#### 2.1.4. Wavelength-Division Demultiplexers

In the application of demultiplexing, the optical Kerr effect has been efficient only for optical time-division demultiplexing. In this dissertation, a new scheme of optical Kerr wavelength-division demultiplexing, using highly nonlinear MicroStructure Fibers(MSF), is proposed for the first time[5]. Basically, the scheme uses pulse walk-off between a control pulse and undesired signal pulses. The walk-off occurs easily due to the extremely high GVD of HNL glasses and high index difference of MSFs. In optical Kerr wavelength-division demultiplexers, the control and desired signal pulses have the same group velocity at different wavelengths, while other signal pulses with different wavelengths have different group velocities. As a result, the control pulse can affect only the desired signal pulse since others

are easily separated from the control pulse owing to the extremely high group-velocity difference between the control pulse and undesired signal pulses. Thus, wavelength-division-multiplexed signals can be selectively demultiplexed using the optical Kerr effect. The elaboration of the proposed optical Kerr wavelength-division demultiplexer will be discussed in much more detail in Chapter 7.

### 2.1.5. Wavelength Converters

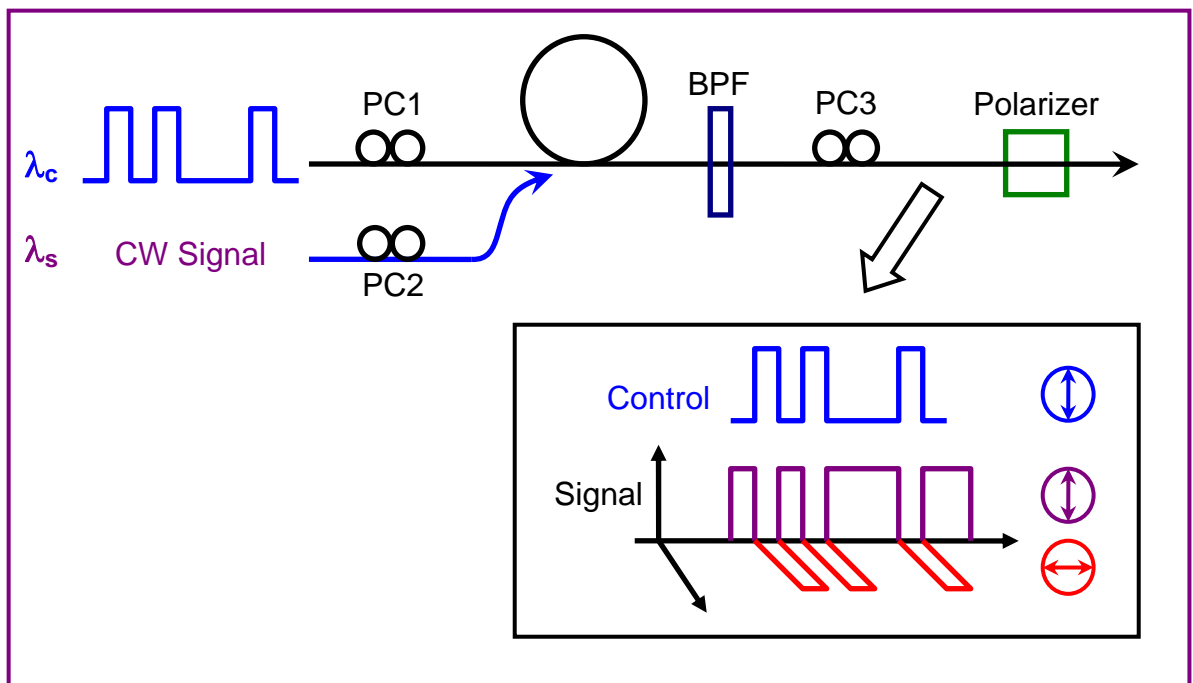


Figure 2-4. An Optical Kerr Wavelength Converter

The optical Kerr effect can also be the basis of operation for wavelength converters[30-32]. Figure 2-4 shows the schematic diagram of an optical Kerr wavelength converter. A strong control pulse changes the SOP of the weaker CW signal. The polarization controllers PC1 and PC2 are included so that both the relative polarization angle between the control and signal could be adjusted to achieve the maximum rotation of SOP and conversion efficiency. The

PC3 placed before a polarizer is adjusted such that the signal is blocked when the control pulse is absent. The inset in Figure 2-4 shows variation of the signal SOPs in the presence of control pulses. The SOP of the CW signal launched initially with the same SOP as that of control pulse is converted to the orthogonal SOP only when the control pulses are present. The wavelength conversion range of the signal depends on the initial pulse width of the control pulse, GVDs at the signal and control wavelengths, and the extent of dispersion flattening (dispersion slope) of optical fibers in the wavelength range of interest.

## 2.2. Nonlinear Optical Loop Mirrors

### 2.2.1. Nonlinear Optical Loop Mirrors by SPM

The Nonlinear Optical Loop Mirror (NOLM) [227] is an interferometric ultrafast optical switching device based on the nonlinear phase shift induced by Self-Phase Modulation (SPM). Unlike other interferometric devices, it does not require interferometric alignment, has simple structure, and is immune to external environmental changes since two counterpropagating beams experience exactly the same path.

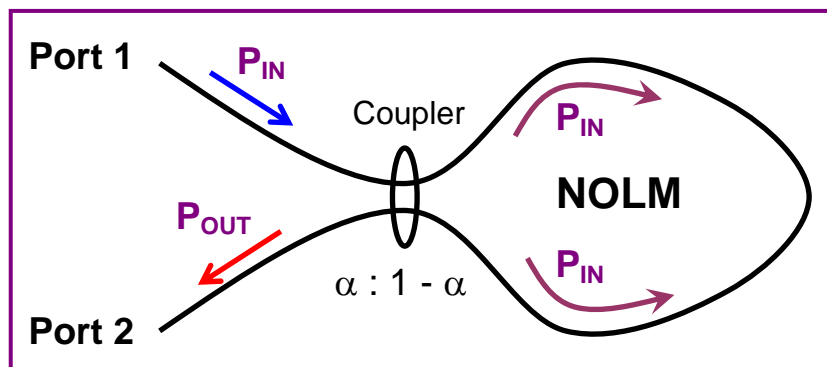


Figure 2-5. Nonlinear Optical Loop Mirrors by SPM

Figure 2-5 shows the basic configuration of the NOLM operating based on SPM. A single input signal is split into two opposite directions by a coupler with a power splitting ratio of  $\alpha$  :  $1-\alpha$ . The two counterpropagating signals are recombined again by the coupler. Since they follow the same path but in opposite directions, the optical path length is precisely the same. This device is phase sensitive because it responds to the phase difference between the two counterpropagating signals. The phase difference between them is given by Equation (1.11), the output at port 2 can be expressed as[227]

$$P_{OUT} = P_{IN} \left\{ 1 - 2\alpha(1-\alpha) \left( 1 + \cos \left[ (1-2\alpha) \times \delta\phi_{SPM} \right] \right) \right\}$$

$$\text{where } \delta\phi_{SPM} = \frac{m\pi}{1-2\alpha} = \frac{2\pi L_{eff}}{\lambda} \frac{N_2 P_{IN}}{A_{eff}} \quad (2.12)$$

This equation indicates that for any value of  $\alpha \neq 0.5$ , when  $m$  is an odd integer, all the input power comes out from the port 2 and when  $m$  is an even integer, the output at port 2 has the minimum value given by

$$P_{OUT} = P_{IN} \left[ 1 - 4\alpha(1-\alpha) \right] \quad (2.13)$$

Figure 2-6 shows the relationship between the output power and  $\alpha$  when  $m$  is an even integer[227]. The horizontal axis represents the product of the input power and effective length, the vertical axis represents the ratio of the output power over input power. As  $\alpha$  increases, the minimum output power decreases. Therefore, higher  $\alpha$  should be applied for higher power extinction ratios. Interestingly, if  $\alpha=0.5$ , the output power becomes nearly zero, which means that all input power from port 1 is reflected back into port 1. As a result, it acts as a perfect mirror with 3dB coupler.

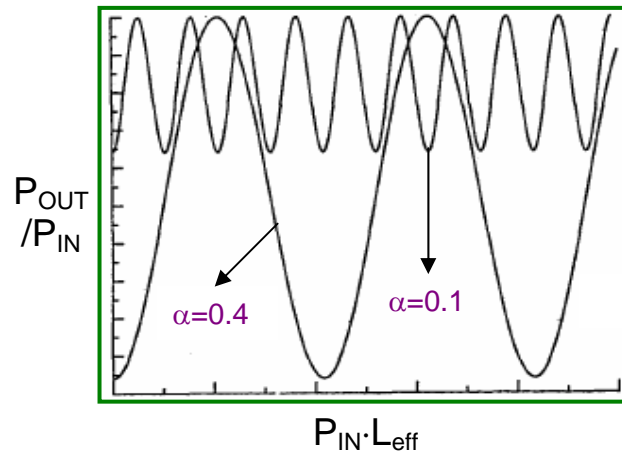


Figure 2-6. Relationship between Output Power and  $\alpha$  in Nonlinear Optical Loop Mirrors

### 2.2.2. Nonlinear Optical Loop Mirrors by XPM

Basically, a NOLM operates based on the nonlinear phase shift induced by SPM. Its operation, however, is affected by Cross-Phase Modulation(XPM) when a strong pump is coupled with the signal. As discussed before, when only a signal is launched into a NOLM with a 3dB coupler, it acts as a perfect mirror. This section now considers the case when a strong pump is present as shown in Figure 2-7.

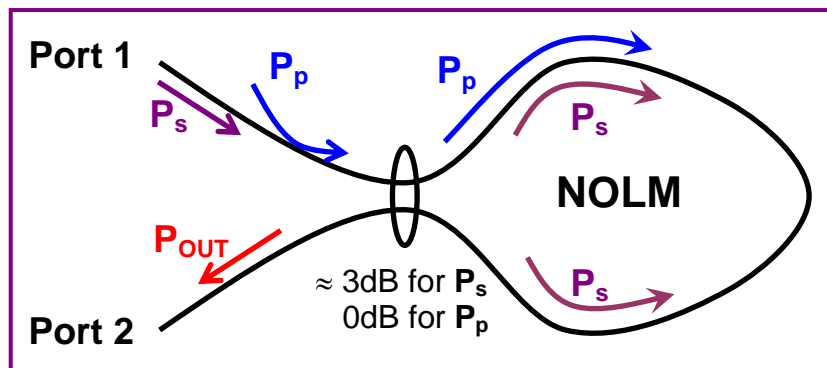


Figure 2-7. Nonlinear Optical Loop Mirrors by XPM

Now, the NOLM operates based on the nonlinear phase shift induced by XPM. When the strong pump is present, it can affect only the clockwise signal and cause the nonlinear phase shift through XPM only between the two copropagating beams, the pump and clockwise signal beams. This XPM-induced nonlinear phase shift of the clockwise signal can break the balance between the two counterpropagating signal beams and thus, they are switched out of the port 2. Therefore, the NOLM can not act as a perfect mirror any more when the pump is present, and the output from the port 2 can easily be controlled by the pump.

In nonlinear fiber devices based on NOLMs, the switched signal power,  $P_{OUT}$ , can be expressed as[33]

$$P_{OUT} = \frac{1}{2} P_s [1 - \cos \delta\phi], \quad \delta\phi = 2\gamma P_p L_l \quad (2.14)$$

where  $P_s$  is the signal power,  $P_p$  is the pump peak power,  $L_l$  is the loop length, and  $\gamma$  is the nonlinear parameter defined in Equation (1.10). The output power,  $P_{OUT}$ , is maximized when  $\delta\phi$  becomes an odd multiple integer of  $\pi$  and thus, the minimum loop length when  $\delta\phi=\pi$  is given by

$$L_l = \frac{\pi}{2} \frac{1}{\gamma P_p} = \frac{\pi}{2} L_{NL} = \frac{\lambda_s A_{eff}}{4N_2 P_p} \quad (2.15)$$

where  $\lambda_s$  is the signal wavelength and  $L_{NL}$  is the nonlinear length defined in Equation (1.10).

### 2.2.3. Optical Time-Division Demultiplexers

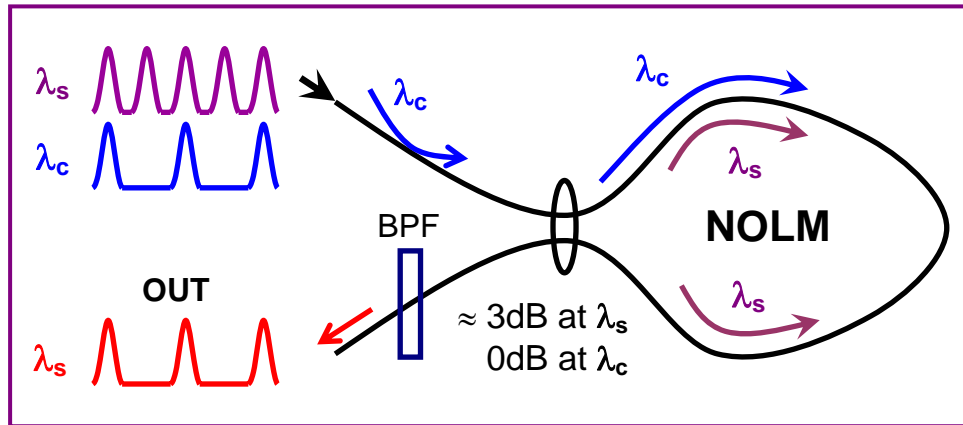


Figure 2-8. A Nonlinear Optical Loop Mirror Optical Time-Division Demultiplexer

Figure 2-8 shows the configuration of a NOLM optical time-division demultiplexer[6-20]. It uses the nonlinear phase shift induced by XPM between the control and clockwise signal pulses to pick up specific signal pulse trains. The coupler should act as a 3dB power divider at the signal wavelength and pass all of the control pulse power in the clockwise direction at the control wavelength. When the control pulse is absent, the signal pulse is reflected back to the input port. If a strong control pulse is introduced, the signal can be extracted from the output port because two counterpropagating signal pulses now experience a phase difference due to the XPM-induced nonlinear phase shift of the clockwise signal pulse. The BPF is required to pass only the switched signal pulses. Consequently, specific signal pulse trains can be selectively demultiplexed using the NOLM. The nonlinear phase shifts induced by XPM given in Equations (1.12) and (2.14) should be odd multiple integers of  $\pi$  in order for the extinction ratio of the demultiplexed signal to be maximized. Typically, the required loop length to achieve a nonlinear phase shift of  $\pi$  is 1Km in conventional silica-core silica-clad fibers when the control power is 1W.



## 2.2.4. Wavelength Converters

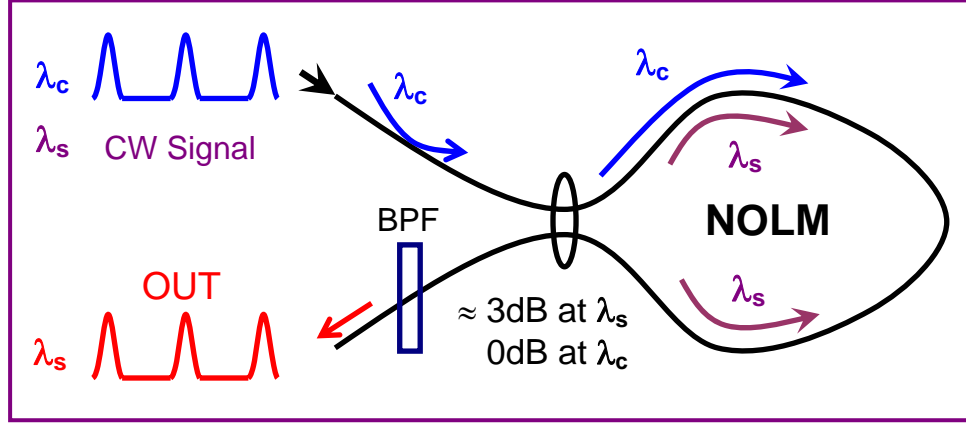


Figure 2-9. A Nonlinear Optical Loop Mirror Wavelength Converter

Figure 2-9 shows the diagram of a NOLM wavelength converter[33-41]. The weak CW signal, to be wavelength converted, can be controlled by XPM caused by the strong control pulses. Only the portions of the CW signal affected by the control pulses can experience a phase difference and thus can be extracted from the output port. As a result, the NOLM can be used for wavelength conversion.

In NOLM wavelength converters, the required minimum loop length for the maximum power of the wavelength-converted signal can be obtained by Equation (2.15). The switching performance depends highly on the initial pulse width of the control pulse and GVDs at the signal and control wavelengths. The dispersion parameter  $\beta_2$  at the control wavelength  $\lambda_c$  is given by[40]

$$\beta_2 = -\frac{2\pi c\beta_3\Delta\lambda}{\lambda_c^2}, \quad \Delta\lambda \equiv \lambda_0 - \lambda_c = \lambda_s - \lambda_0 \quad (2.16)$$

where  $c$  is the light velocity in vacuum,  $\beta_3$  is the dispersion slope parameter, and  $\lambda_0$  is the ZDW. The dispersion length  $L_D$  is given by

$$L_D = \frac{\tau^2}{|\beta_2|} \quad (2.17)$$

where  $\tau$  is the initial pulse width of the control at  $\text{FWHM} \frac{1}{e}$ . If the condition for  $L_l$  in Equation (2.15) is satisfied and the signal power  $P_s$  is much smaller than the control power  $P_c$ , the output pulse characteristics of the wavelength-converted signal is solely dependent on [40]

$$\frac{L_D}{L_l} = \frac{\lambda_c^2}{\pi^2 c} \cdot \frac{\gamma P_c \tau^2}{|\beta_3| |\Delta\lambda|} \quad (2.18)$$

To develop a low control peak power  $P_c$  and a broad wavelength conversion range  $|\Delta\lambda|$ ,  $L_D/L_l$  should be small when  $\gamma$  and  $\beta_3$  are given. On the other hand, a smaller value of  $L_D$  easily induces pulse broadening due to the short initial pulse width  $\tau$  and the high dispersion parameter  $\beta_2$ . As a result, it is necessary to consider this trade-off for better wavelength-conversion efficiencies.

### 2.3. Four-Wave Mixing

The performance efficiency of Four-Wave Mixing (FWM) is highly dependent on the wavelength range of operation [213]. FWM operating near the ZDW exhibits high efficiency and generates new FWM components in a broad wavelength range since the phase-matching condition is easily satisfied with very low GVD. Therefore, the pump of nonlinear devices based on FWM should be placed at the ZDW to maximize the efficiency. In addition, the deviation of the ZDW along a fiber length strongly affects the FWM behavior. FWM

operating around a varying ZDW develops a complicated efficiency pattern, and even the phase-matching condition is not completely satisfied in nonuniform ZDW fibers.

### 2.3.1. Optical Time-Division Demultiplexers

As discussed in Section 1.2.5, when multiple waves with different frequencies pass through the same fiber, they interact and combine to generate waves at new frequencies due to the nonlinear phenomenon called FWM.

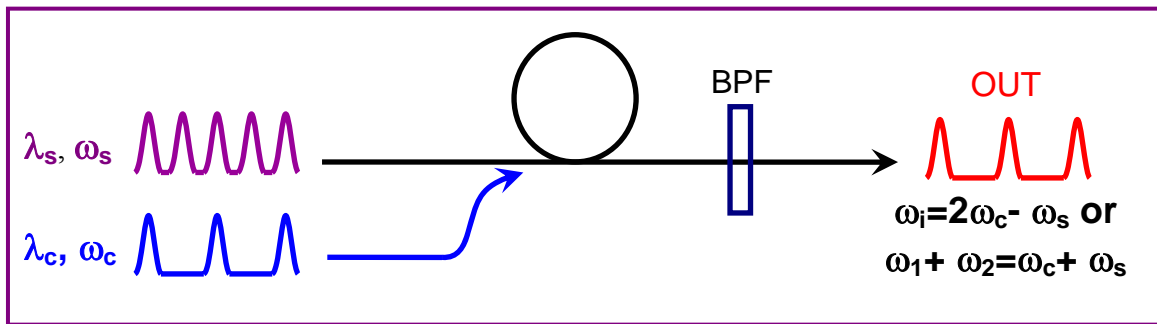


Figure 2-10. A Four-Wave Mixing Optical Time-Division Demultiplexer

Figure 2-10 depicts a simple configuration of an optical time-division demultiplexer based on FWM[21-26]. New FWM components at different frequencies can be generated by the interaction between the control and signal pulses. Only the newly generated FWM components pass through the BPF. In nonlinear fiber devices based on FWM, both of the two types of FWM, namely, four-photon mixing and three-wave mixing(degenerated FWM), can be utilized. When the control pulse power is strong(Pump) and the signal pulse power is weak(Stokes or Signal), only one wave(anti-Stokes or Idler) whose frequency is  $\omega_i = 2\omega_c - \omega_s$  will be generated(three-wave mixing)[23-25]. On the other hand, if the powers of both the control and signal pulses are strong, there will be two new waves with frequencies such that  $\omega_1 + \omega_2 = \omega_c + \omega_s$ (four-photon mixing)[21]. In the latter case, one of the two newly generated waves can be used for demultiplexing through filtering. As a result, the specific signal pulses

can be demultiplexed only when the control pulses are present by creating new frequency components.

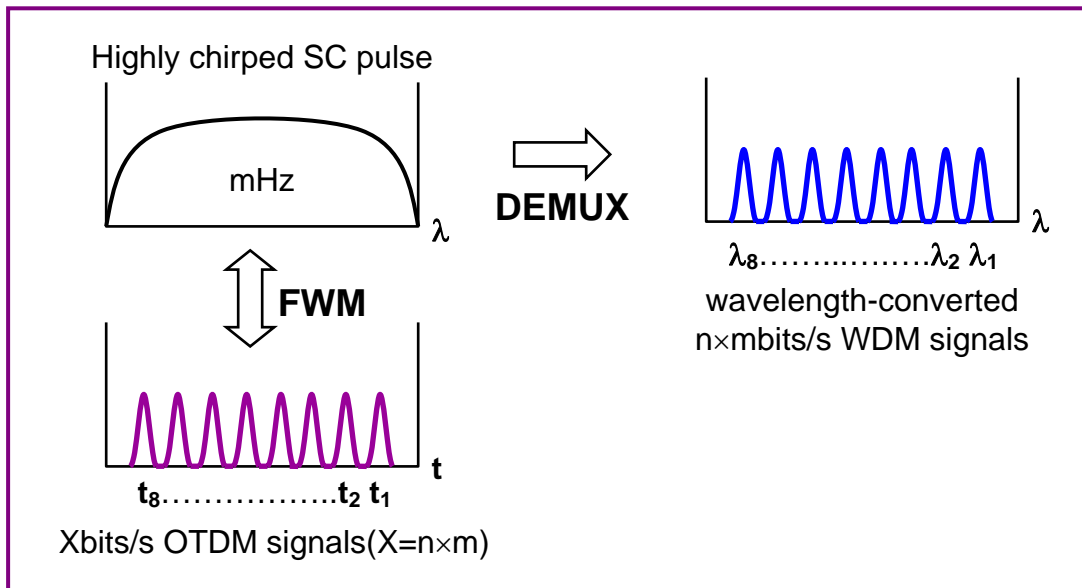


Figure 2-11. An Optical TD Demultiplexer based on OTDM-to-WDM Conversion by FWM

Figure 2-11 shows another scheme for optical time-division demultiplexing capable of simultaneous multichannel operation based on OTDM-to-WDM conversion by FWM[22, 26]. When FWM occurs between a highly chirped rectangular shaped SuperContinuum(SC) pulse(Pump) and OTDM signals(Signal), each of the newly generated waves(Idler) has a different center wavelength corresponding to the time position of the signal pulse, since the center wavelength of the SC pulse differs in its time position. If the bit-rate of the OTDM signals is Xbits/s and a mHz repetition rate of the SC pulse is used, the wavelength-converted new signals become n-channel mbits/s WDM signals because the center wavelength of each idler is different according to the time position of the OTDM signals. Thus, simultaneous multichannel all-optical demultiplexing by OTDM-to-WDM conversion can be achieved. In addition, when the pump SC pulse is placed near ZDW, the conversion and switching efficiency could be maximized.

## 2.3.2. Wavelength Converters

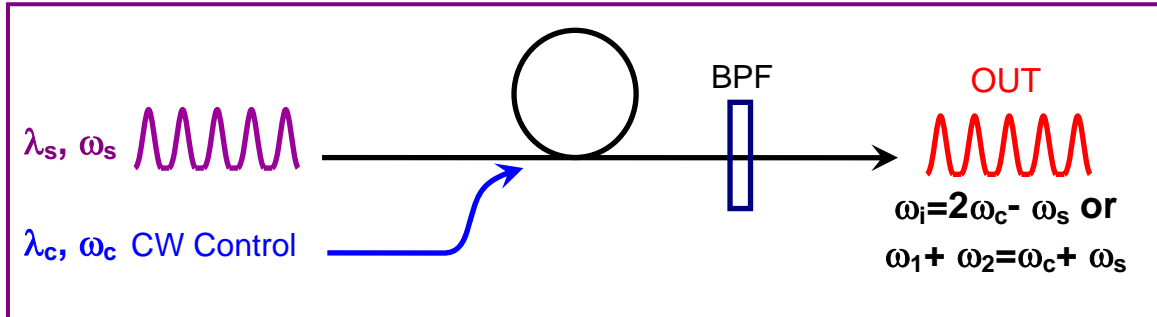


Figure 2-12. A Four-Wave Mixing Wavelength Converter

Figure 2-12 shows a simple configuration of a wavelength converter based on FWM[42-52]. The wavelengths of the input signal pulses are converted by interaction with the CW control wave through FWM. In FWM wavelength converters, the strong powers of both the control and signal are generally used (four-photon mixing,  $\omega_1 + \omega_2 = \omega_c + \omega_s$ ) for most of cases, in order to increase conversion efficiency and to have simultaneously two wavelength-converted components.

It is also possible to convert the frequencies of multichannel signals simultaneously for more flexible frequency routing systems[43]. Because the phase-matching condition is almost satisfied in a wide frequency range when the control wave is positioned at the ZDW[213], the simultaneous frequency conversion of multichannel signals could be achieved. As a result, the control wave of the FWM wavelength converters should be placed at the ZDW to achieve a wide wavelength conversion range and simultaneous multichannel conversion.

## 2.4. Asymmetric Twin-Core Fibers

### 2.4.1. Directional Couplers

Directional couplers are based on the fact that the guided mode field of optical fibers extends far beyond the core-cladding interface. Therefore, when two fiber cores are placed sufficiently close to each other laterally so that their mode fields overlap, then the modes of the two fibers become coupled and their powers can transfer periodically between them. The basic principle of operation of directional couplers is essentially the same as the interference between the  $LP_{01}$  and  $LP_{11}$  modes[228]. When a system with a pair of identical symmetric planar waveguides, as shown in Figure 2-13, is considered, there will be two modes, the fundamental symmetric mode and the first excited asymmetric mode, according to the super mode theory. If a power is incident in one of the two waveguides, it excites a linear combination of the symmetric and asymmetric modes. Because the two modes have different phase velocities, there will be a phase difference between them as they propagate along the system. When the power is incident in the waveguide 1 and the accumulated phase difference becomes  $\pi$ , the superposition of the two modes will cancel in the waveguide 1 and add in the waveguide 2.

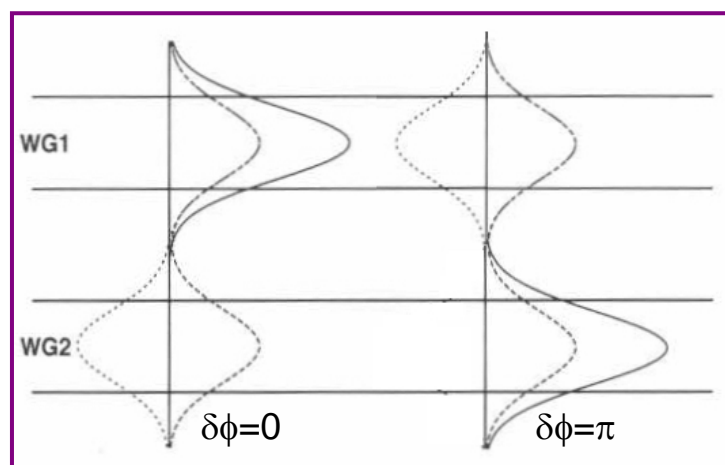


Figure 2-13. Basic Concept of Super Mode Theory

### 2.4.1.1. Linear Symmetric Directional Couplers

The simplest structure of directional couplers is the Twin-Core Fiber(TCF)[64-73, 229-241]. Figure 2-14 shows a linear symmetric TCF directional coupler[241] with equal core radii( $a_1=a_2$ ) and equal core refractive indices( $n_1=n_2$ ). That is, in the case of the symmetric directional couplers, the phase difference between the two modes of the cores is always zero regardless of the operating wavelength since all parameters of the two cores are identical.

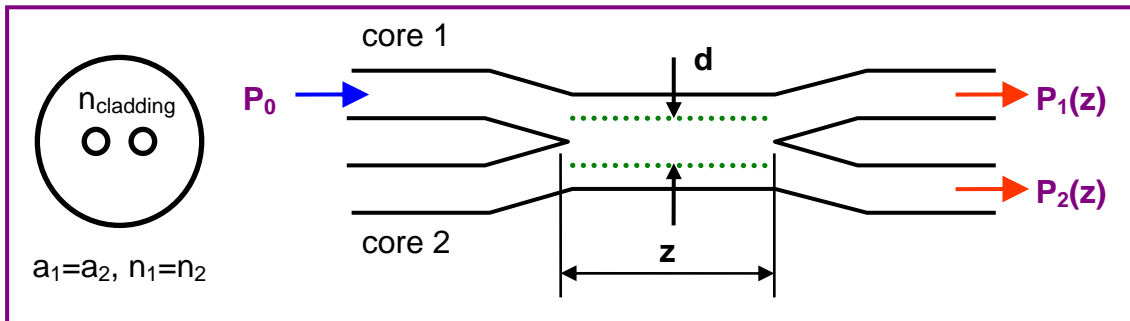


Figure 2-14. A Linear Symmetric Directional Coupler

The output powers of directional couplers can be obtained by the coupled-mode equations [242-243]. In linear symmetric directional couplers[244], when the input power is coupled into the core 1, the output powers from the two cores are given by[242]

$$P_1(z) = P_0 \left( 1 - \frac{\kappa^2}{\rho^2} \sin^2 \rho z \right) \quad (2.19)$$

$$P_2(z) = P_0 \frac{\kappa^2}{\rho^2} \sin^2 \rho z \quad (2.20)$$

where  $\rho$  is the coupling parameter given by

$$\rho = \left[ \kappa^2 + \frac{1}{4}(\Delta\beta)^2 \right]^{\frac{1}{2}} \quad (2.21)$$

$\Delta\beta$  is the phase difference between the two cores which is zero for the symmetric directional couplers,

$$\Delta\beta = \beta_1 - \beta_2 = \frac{2\pi}{\lambda} (n_{eff1} - n_{eff2}) \quad (2.22)$$

and  $\kappa$  is the linear symmetric coupling coefficient which is inversely proportional to the distance  $d$  between the two cores. By using the  $LP_{01}$  mode field of a step-index fiber, for the linear symmetric directional couplers,  $\kappa$  is given by[242]

$$\kappa(d) = \frac{\lambda}{2\pi n} \frac{U^2}{a^2 V^2} \frac{K_0(Wd/a)}{K_1^2(W)} \quad (2.23)$$

where  $\lambda$  is the free space wavelength,  $n$  is the core refractive index,  $a$  is the core radius,  $d$  is the distance between the centers of the two cores, and  $K_m(\cdot)$  is the modified Bessel function of order  $m$  and

$$U = \frac{2\pi a}{\lambda} \sqrt{n_{core}^2 - n_{eff}^2} \quad (2.24)$$

$$W = \frac{2\pi a}{\lambda} \sqrt{n_{eff}^2 - n_{cladding}^2} \quad (2.25)$$

$$V = \sqrt{U^2 + W^2} \quad (2.26)$$



Finally, for symmetric couplers since  $\rho=\kappa$ , the output powers can be simplified as

$$P_1(z) = P_0 \cos^2 \kappa z \quad (2.27)$$

$$P_2(z) = P_0 \sin^2 \kappa z \quad (2.28)$$

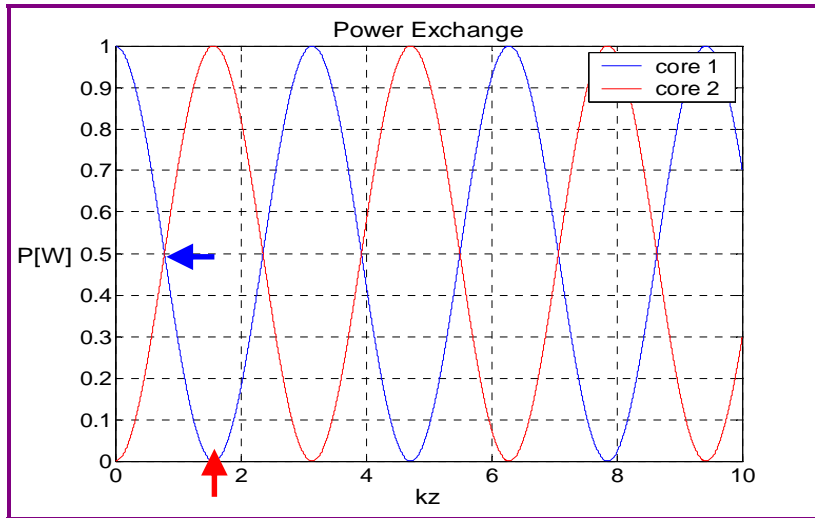


Figure 2-15. Power Exchange in Linear Symmetric Directional Couplers

Figure 2-15 shows power exchange patterns between the two cores in linear symmetric directional couplers. The minimum distance (red arrow) at which the power completely transfers from the input core to the other core is called the Coupling Length (CL) or half-beat length given by

$$z = L_c = \frac{\pi}{2\kappa} \quad (2.29)$$

When the interaction length  $z=\pi/4\kappa$  (blue arrow), the coupler acts as a 3dB power divider. In addition, since  $\kappa$  depends obviously on the wavelength of the input signal, it can also act as a spectral filter for demultiplexing in WDM systems.

### 2.4.1.2. Linear Asymmetric Directional Couplers

Figure 2-16 shows a linear Asymmetric Twin-Core Fiber(ATCF)[239-240] directional coupler in which the core radii( $a_L$  and  $a_S$ ) and core refractive indices( $n_{1L}$  and  $n_{1S}$ ) are unequal. Typically, asymmetric directional couplers consist of two single-clad fibers which differ in their core radii and/or core refractive indices.

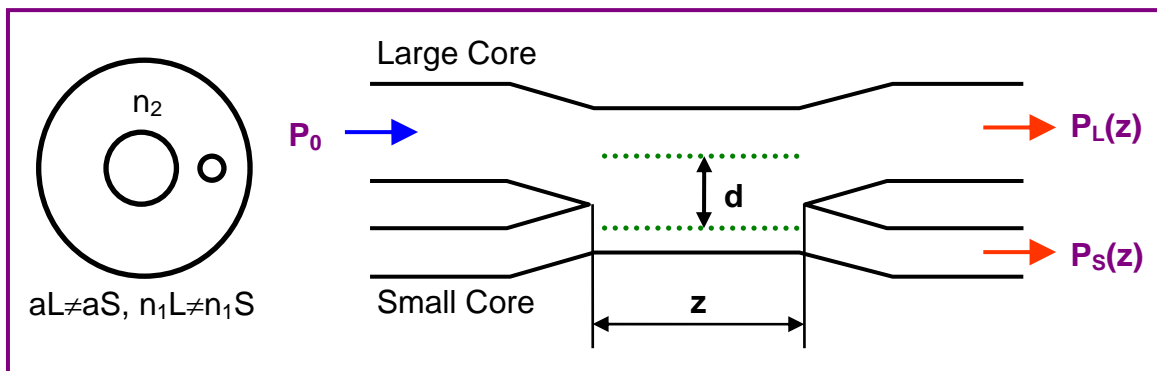


Figure 2-16. A Linear Asymmetric Directional Coupler

In contrast to symmetric directional couplers, the phase difference between the two modes of the cores in asymmetric directional couplers is not zero except at a specific wavelength. This phase difference is zero only at the wavelength where the propagation constants of the two modes of the cores are equal. As a result, the coupling process in asymmetric directional couplers occurs in a complicated manner due to the phase mismatch between the two cores.

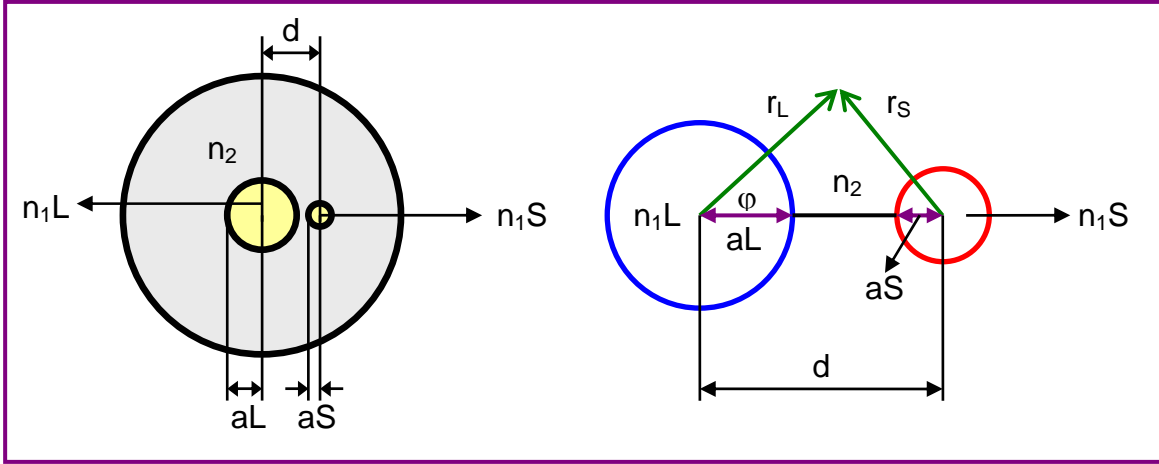


Figure 2-17. Geometry and Coordinates of Asymmetric Twin-Core Fibers

Figure 2-17 shows the cross-sectional geometry and coordinates of an ATCF. Assuming that each core guides only the fundamental  $LP_{01}$  mode and the two cores interact weakly with each other, the total field of each core can be expressed as[236]

$$\Psi_L = A_L(z)\psi_L(r_L)\exp(-j\beta_L z) \quad (2.30)$$

$$\Psi_S = A_S(z)\psi_S(r_S)\exp(-j\beta_S z) \quad (2.31)$$

where  $A_S$  and  $A_L$  are the  $z$ -dependent amplitude coefficients responsible for power transfer between the two cores,  $\psi_S$  and  $\psi_L$  are the transverse mode fields of the  $LP_{01}$  mode,  $r_S$  and  $r_L$  are the radial coordinates referred to the axis of the core, and  $\beta_S$  and  $\beta_L$  are the propagation constants of the modes. Also, the subscripts S and L refer to the small and large cores, respectively. According to the theory of weakly-coupled parallel waveguides,  $A_L$  and  $A_S$  are governed by the following coupled-mode differential equations[243].

$$\frac{dA_L}{dz} = -j \left[ \kappa'_{LL} A_L + \kappa'_{LS} \exp(j\Delta\beta z) A_S \right] \quad (2.32)$$

$$\frac{dA_S}{dz} = -j \left[ \kappa'_{SS} A_S + \kappa'_{SL} \exp(-j\Delta\beta z) A_L \right] \quad (2.33)$$

where  $\Delta\beta$  is the phase difference between the fundamental modes of the large and small cores and  $\kappa'$  is the linear asymmetric coupling coefficient defined by an overlap integral as[236]

$$\kappa'_{ij} = \frac{\omega\epsilon_0}{4} (n_2^2 - n_i^2) \int_{S_i} \psi_i(r_i) \psi_j(r_j) dS_i, \quad i \text{ and } j = L, S$$

$$\kappa'_{LS} = \frac{\omega\epsilon_0}{4} (n_2^2 - n_1L^2) \int_{S_L} \psi_L(r_L) \psi_S(r_S) dS_L \quad (2.34)$$

$$= \frac{\omega\epsilon_0}{4} (n_2^2 - n_1L^2) \int_{-aL}^{aL} \int_0^{2\pi} \psi_L(r_L) \psi_S(r_S) a da d\phi$$

$$\kappa'_{SL} = \frac{\omega\epsilon_0}{4} (n_2^2 - n_1S^2) \int_{S_S} \psi_S(r_S) \psi_L(r_L) dS_S \quad (2.35)$$

$$= \frac{\omega\epsilon_0}{4} (n_2^2 - n_1S^2) \int_{-aS}^{aS} \int_0^{2\pi} \psi_S(r_S) \psi_L(r_L) a da d\phi$$

where  $\omega$  is the angular frequency,  $\epsilon_0$  is the vacuum permittivity, and  $S$  is the cross-section of the coupler. Assuming that the entire input power is launched into the large core at  $z=0$ , that is,  $A_S(0)=0$ , the solutions of Equations (2.32) and (2.33) can be expressed as

$$P_L(z) \sim |A_L(z)|^2 = \frac{P_0}{\rho^2} \left[ \frac{1}{4} (\Delta\beta + \kappa'_{LL} - \kappa'_{SS})^2 \cdot \sin^2(\rho z) + \rho^2 \cdot \cos^2(\rho z) \right] \quad (2.36)$$

$$P_S(z) \sim |A_S(z)|^2 = \frac{P_0}{\rho^2} \left[ \kappa'_{LS}{}^2 \cdot \sin^2(\rho z) \right] \quad (2.37)$$

where  $\rho$  is the coupling parameter given by

$$\rho = \left[ \frac{1}{4} (\Delta\beta + \kappa'_{LL} - \kappa'_{SS})^2 + \kappa'_{LS} \kappa'_{SL} \right]^{\frac{1}{2}} \quad (2.38)$$

Equations (2.36) and (2.37) describe the output powers at the output of the large and small cores. As the phase difference  $\Delta\beta$  increases, the power transferred to the small core,  $P_S(z)$ , decreases. It should be noted that complete power transfer occurs at the particular wavelength where  $\Delta\beta=0$  (phase-matching) and  $\rho z = \pi/2 + m\pi$  ( $m$ =integer). In other words, power transfer in asymmetric directional couplers is always incomplete except at a specific wavelength. This wavelength is referred to as the Maximum Coupling Wavelength (MCW). The input power launched into the large core will be completely transferred to the small core at the MCW when the length of the coupler  $L = \pi/2\rho$  (the coupling length). At wavelengths far away from the MCW, the transferred power decreases because  $\Delta\beta$  increases. As a result, the asymmetric directional coupler can function as a spectral filter with peak transmission at MCW.

The self-coupling coefficients  $\kappa'_{LL}$  and  $\kappa'_{SS}$  represent corrections to the propagation constants of the modes of each individual core due to the presence of the other core; they are much smaller than  $\kappa'_{LS}$  and  $\kappa'_{SL}$  and are often neglected. In addition, at MCW, because  $\Delta\beta=0$  and  $\kappa'_{LS} = \kappa'_{SL}$ , the output powers at MCW can be simplified as

$$P_L(z) = P_0 \cos^2(\rho z) \quad (2.39)$$

$$P_S(z) = P_0 \sin^2(\rho z) \quad (2.40)$$

$$\rho = \sqrt{\kappa'_{LS}\kappa'_{SL}} = \kappa'_{LS} = \kappa'_{SL} \quad (2.41)$$

### 2.4.1.3. Nonlinear Directional Couplers

The intensity-dependent nonlinear refractive index  $\delta n$  affects the coupling process in the nonlinear directional couplers[59-73]. As shown in Figure 2-18, when input power  $P_{IN}$  is much lower than critical power  $P_{CR}$ , namely,  $P_{IN} \ll P_{CR}$ , it behaves just as a linear directional coupler. Therefore, all input power can be completely transferred to the other guide at the CL.

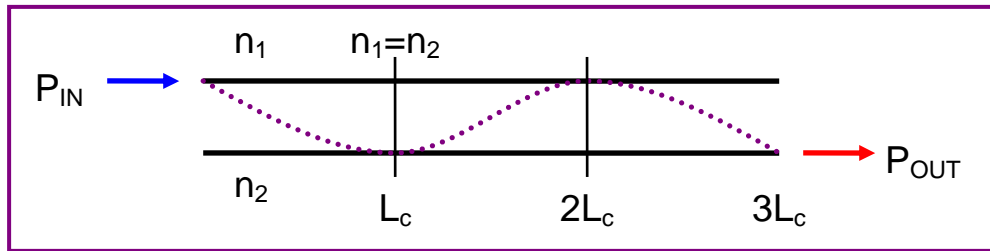


Figure 2-18. A Linear Symmetric Directional Coupler( $P_{IN} \ll P_{CR}$ )

However, as the input power increases, the refractive index of the input guide increases due to its intensity-dependence. This intensity-dependent variation of the nonlinear refractive index  $\delta n$  destroys the condition of phase-matching( $\delta\phi=0$ ). As a result, it blocks the complete power transfer due to the induced phase difference given by

$$\delta\phi = \phi_1 - \phi_2 = \frac{2\pi L_{eff}}{\lambda} (\delta n_1 - \delta n_2) \neq 0 \quad (2.42)$$

As shown in Figure 2-19, at critical power[64] which is defined as

$$P_{CR} = \frac{A_{eff} \lambda}{N_2 L_c}, \quad (2.43)$$

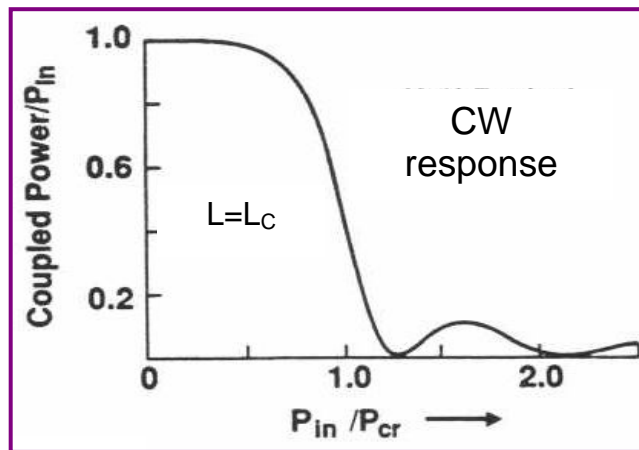


Figure 2-19. Relationship between Input Power and Critical Power

only half of the input power can be transferred to the other guide. As the input power exceeds the critical power, the transferred power decreases more and more and finally becomes 0. Therefore, as shown in Figure 2-20, all input power stays in the input guide and no power is transferred to the other guide when  $P_{IN} \gg P_{CR}$ . The intensity-dependent change in refractive index blocks the normal power transfer between the guides.

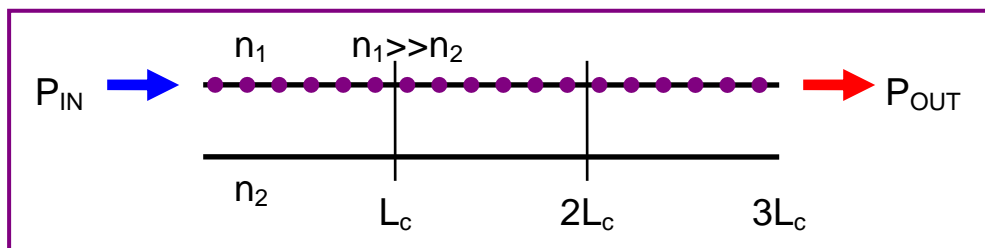


Figure 2-20. A Nonlinear Symmetric Directional Coupler( $P_{IN} \gg P_{CR}$ )

Accordingly, in the nonlinear directional couplers, when the input power is much smaller than the critical power, all input power can be transferred to the other guide at the CL. On the other hand, when the input power is much larger than the critical power, all input power stays in the input guide, thus resulting in no power transfer. Therefore, the extent of the input power transfer to the other guide can be controlled by adjusting the input power in nonlinear directional couplers. One of the obvious applications of such couplers is a nonlinear optical switch. Figure 2-21 shows the basic concept of the nonlinear optical switch. When the pump is not incident, the input signal can come out from the other guide at the CL. If the strong pump is present, it causes a phase mismatch between the two guides, thus resulting in blocking the power transfer. As a result, the position of the output power can be selected by controlling the pump power.

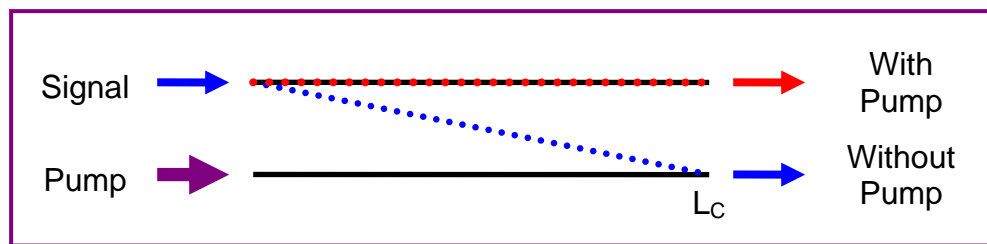


Figure 2-21. A Nonlinear Optical Switch

For the case of symmetric couplers,  $\delta\phi=0$  at any wavelength in the linear region ( $P_{IN} \ll P_{CR}$ ) while for the case of asymmetric couplers,  $\delta\phi=0$  only at one particular wavelength even in the linear region. Therefore, in nonlinear symmetric directional couplers [59-61, 64-71] described so far, complete transfer of power is possible for any signal wavelength at the corresponding CL by adjusting signal (self) or pump powers. However, in the nonlinear asymmetric directional couplers [62-63, 72-73], the signal should be placed at MCW where  $\delta\phi=0$  to transfer the input power completely. This MCW can be modified by the strong pump due to the intensity-dependence of refractive index. Using this property of the nonlinear asymmetric directional couplers, they can be used as demultiplexers for wavelength-division-multiplexed signals. It will be described in the next section in more detail.



### 2.4.2. Structures and Properties of Asymmetric Twin-Core Fibers

Figures 2-22 and 2-23 show the structures and refractive index profile of the ATCF considered in this dissertation, respectively. The ATCF consists of two cores sharing the same cladding, but their core radii and core refractive indices are different. The small core is highly doped with  $\text{GeO}_2$ , thus resulting in much higher refractive index.

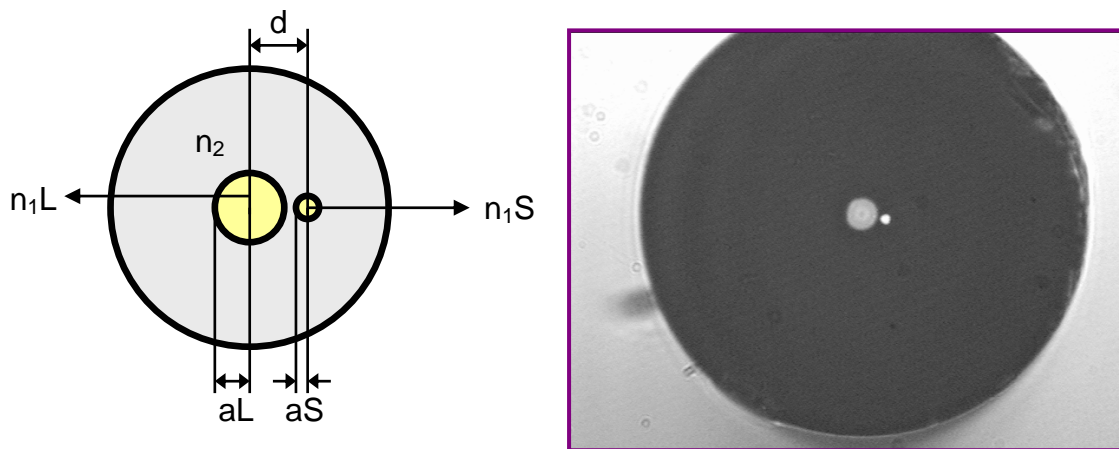


Figure 2-22. Structures of the Asymmetric Twin-Core Fiber

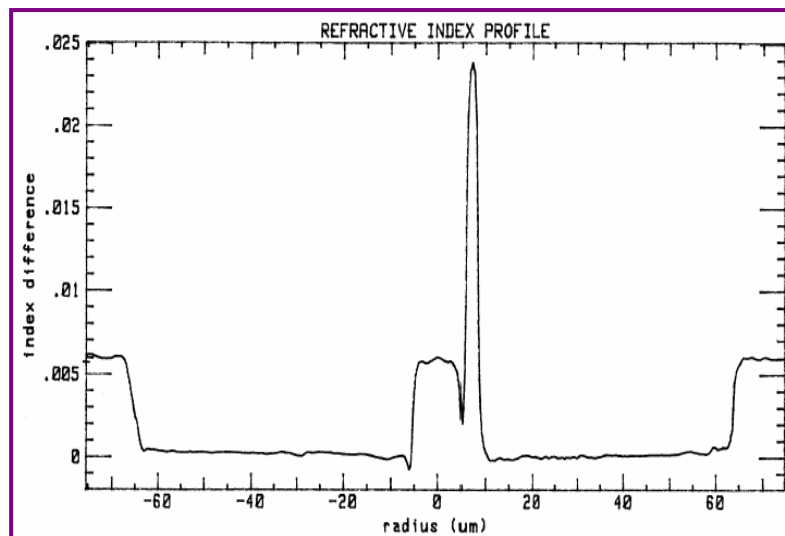


Figure 2-23. Measured Refractive Index Profile of the Asymmetric Twin-Core Fiber

The measured parameters of the ATCF are listed below.

$$aL = 4.2\mu m, aS = 1.15\mu m, d = 6.85\mu m$$

$$n_1L = 1.451, n_1S = 1.469, n_2 = 1.445 \text{ at } 1.55\mu m$$

The index difference  $\Delta n$  between the large core and the cladding is 0.006 and  $\Delta n$  between the small core and the cladding is 0.024. For the large core fiber, since  $n_1L \approx n_2$ , the scalar wave approximation (weakly guiding approximation) can be used. However, for the small core fiber, since  $n_1S \gg n_2$ , the vector wave equation should be used to achieve accurate results. As a result, to analyze this ATCF, the vector wave equation is used for both small core and even large core fibers for best analysis.

The difference between the effective refractive index and the cladding refractive index for each core has been calculated using vector wave analysis with the measured parameters as shown in Figure 2-24. The single-mode cutoff wavelengths are  $1.447\mu m$  and  $0.795\mu m$  for the large and small cores, respectively and the MCW is  $1.5216\mu m$ . Maximum transfer of power between the two cores occurs only at the MCW of  $1.5216\mu m$  in this ATCF directional coupler.

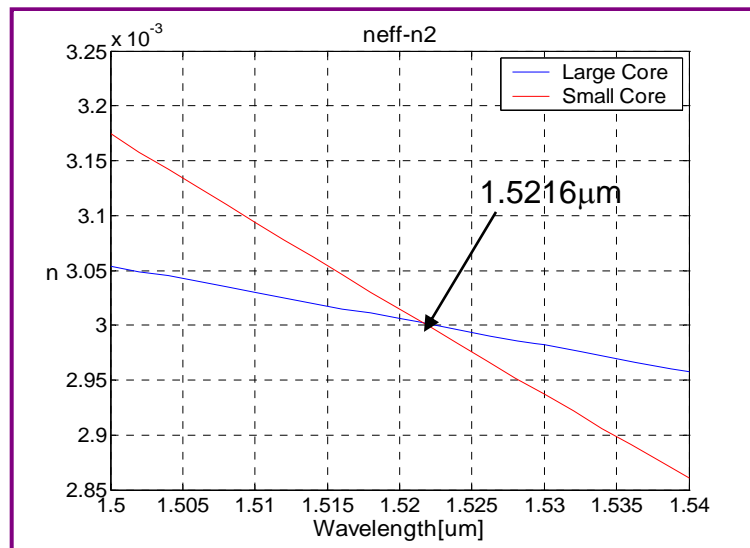


Figure 2-24. Variation of Effective Refractive Indices

The MCW of the ATCF depends on the variation of the refractive indices and the core radii of the two cores. The MCW variation caused by slightly different refractive index or core radius of each core has been simulated to examine how the MCW is sensitive to the core properties.

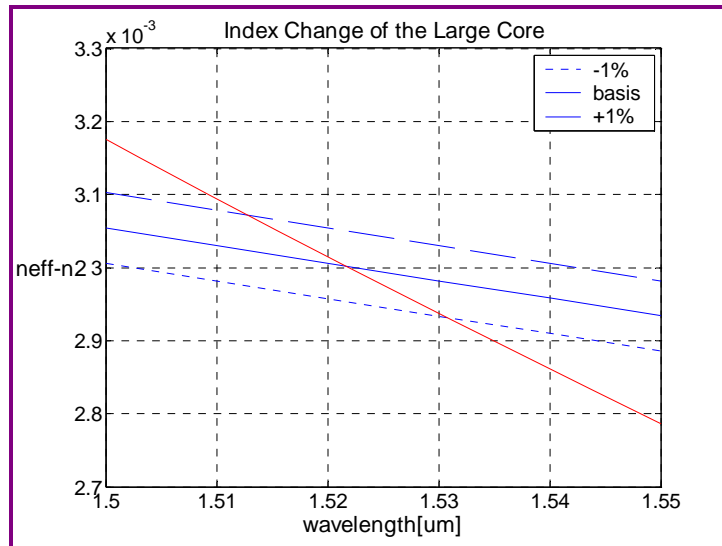


Figure 2-25. Range of MCW Variation due to Refractive Index Change of the Large Core

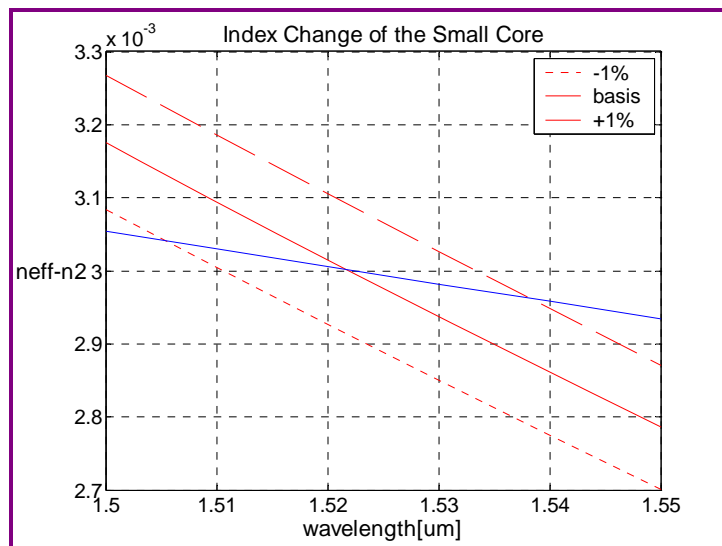


Figure 2-26. Range of MCW Variation due to Refractive Index Change of the Small Core

Figures 2-25 and 2-26 show the range of variation of the MCW caused by the refractive index change of the large core and the small core, respectively, leaving the radii of the two cores and the cladding refractive index unchanged. It was found that when the refractive indices were changed by the same percent amount, the extent of the range of MCW variation was higher for the case of the small core refractive index change.

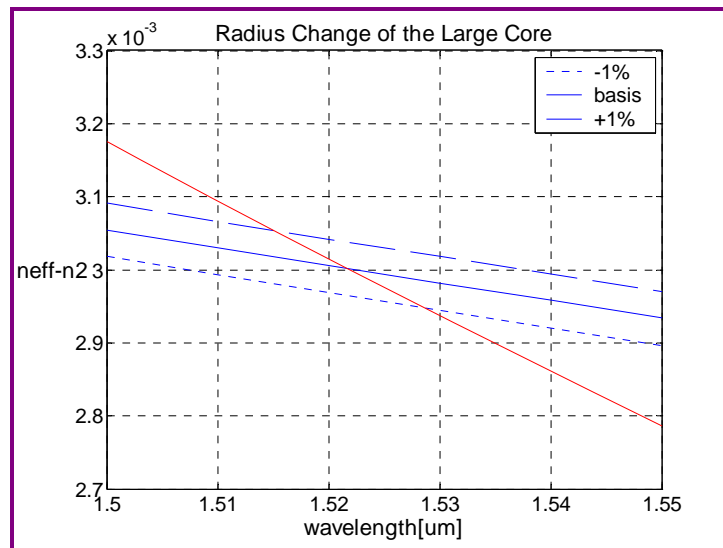


Figure 2-27. Range of MCW Variation due to Radius Change of the Large Core

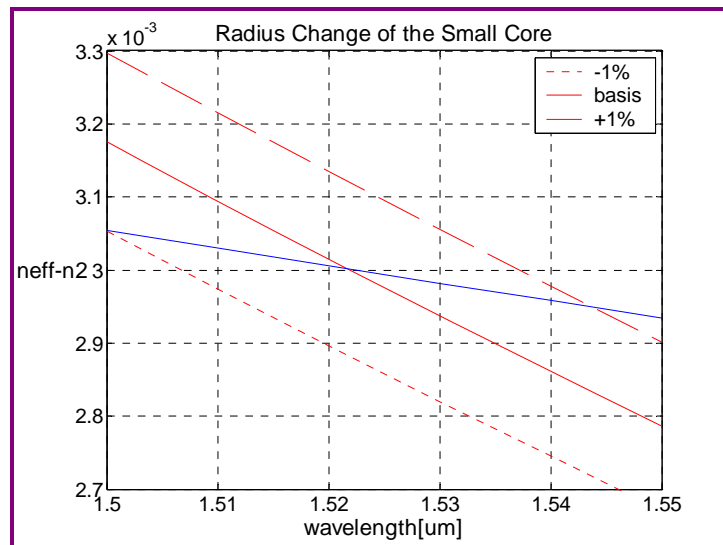


Figure 2-28. Range of MCW Variation due to Radius Change of the Small Core

Figures 2-27 and 2-28 show the range of variation of the MCW caused by the core radius change of the large core and the small core, respectively, leaving the refractive indices of the two cores and the cladding refractive index unchanged. It was found that when the core radii were changed by the same percent amount, the extent of the range of MCW variation was higher for the case of the small core radius change.

The coupling coefficients in asymmetric directional couplers are defined by Equations (2.34) and (2.35), and their coupling processes are governed by Equations (2.36) and (2.37). Power exchange and variation patterns have been simulated with the measured parameters of the ATCF. Figure 2-29 shows power exchange between the two cores as a function of length at the MCW when input power is launched into the large core. It is clearly seen that the input power in the large core is totally transferred to the small core at the MCW of  $1.5216\mu\text{m}$  for the CL of 165mm.

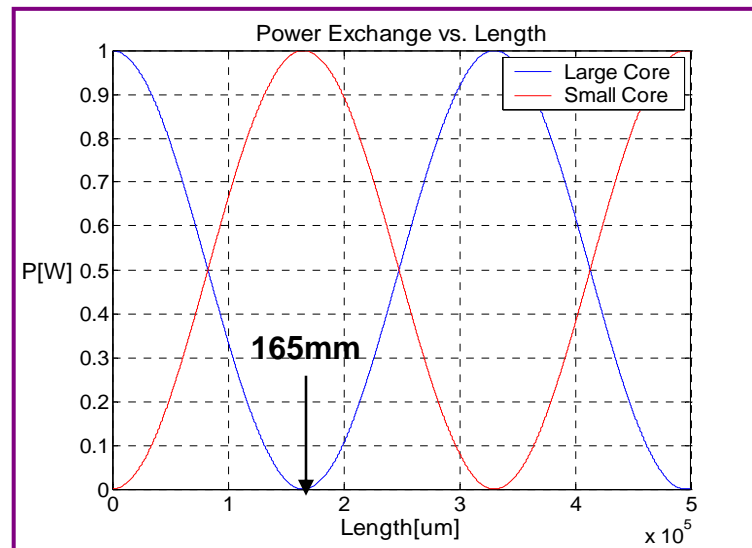


Figure 2-29. Power Exchange vs. Length at the Maximum Coupling Wavelength

Figure 2-30 shows power exchange between the two cores as a function of wavelength at the CL of 165mm. In Figure 2-31, the power variation of the small core is expressed in dB scale in order to better see the power levels away from MCW. In both figures, it is clear that the input power in the large core is completely transferred to the small core only at the MCW

of  $1.5216\mu\text{m}$  and the spectral width is  $1.6\text{nm}$ . Note that for ATCF directional couplers, as the separation between the two cores increases, the CL increases while the spectral width  $\Delta\lambda$  decreases. In addition,  $\Delta\lambda$  becomes smaller when the CL is a multiple integer of the CL.

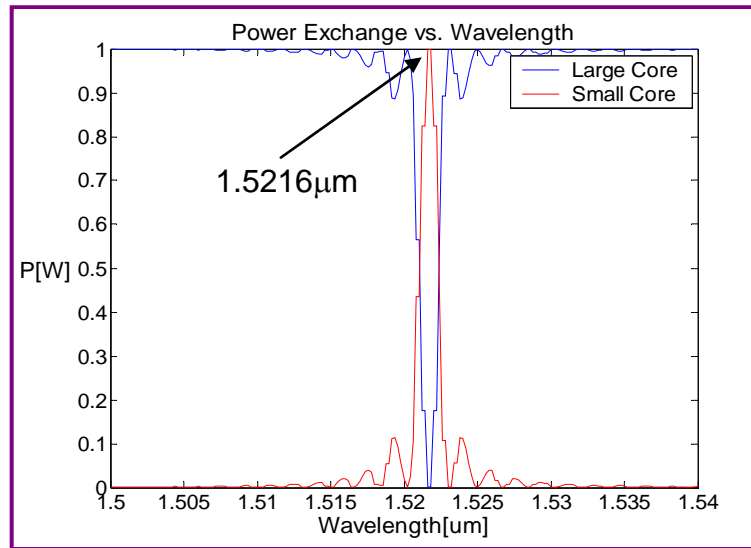


Figure 2-30. Power Exchange vs. Wavelength at the Coupling Length

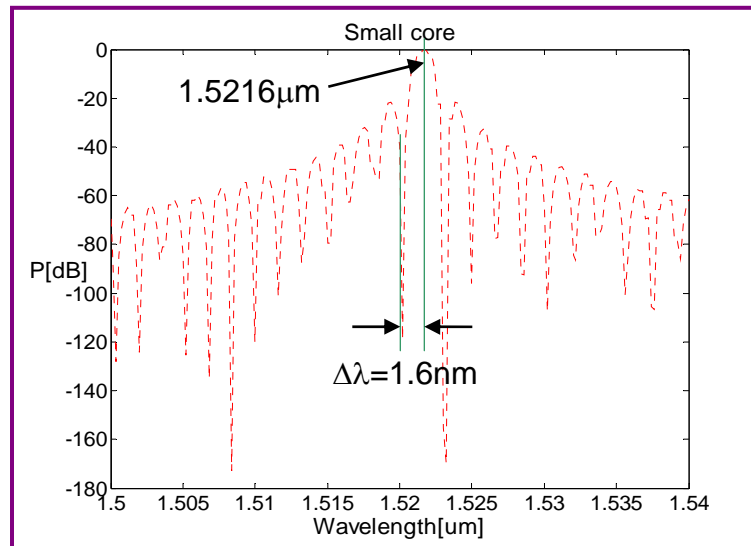


Figure 2-31. Power Variation of the Small Core vs. Wavelength at the Coupling Length

### 2.4.3. Optical Time-Division Demultiplexers

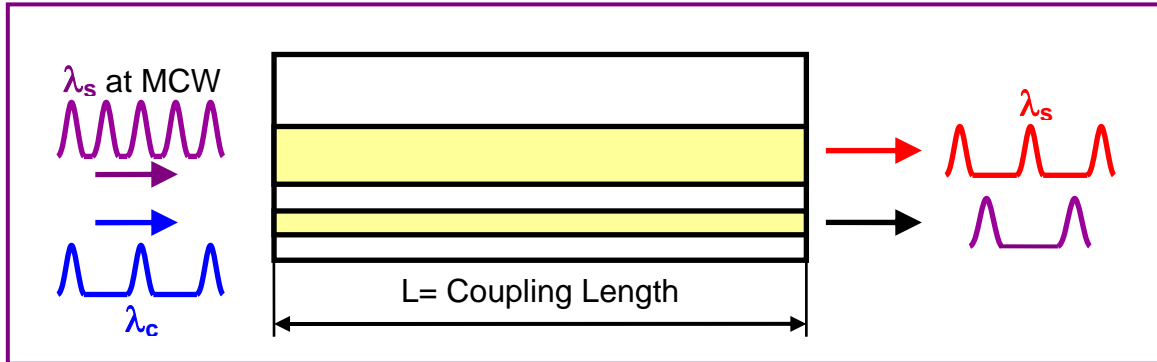


Figure 2-32. An ATCF Optical Time-Division Demultiplexer(A Signal-Pass Scheme)

Figure 2-32 describes the basic concept of an ATCF optical time-division demultiplexer(A signal-pass scheme). All signal pulses at the MCW which are coupled into the large core come out from the small core when the control pulses are not present. However, if the strong control pulses are introduced into the small core, the refractive index of the small core changes, thus causing phase mismatch between the two cores. As a result, the specific desired signal pulses affected by the control pulses stay in the large core while other signal pulses come out from the small core. Finally, the specific signal pulses could be selectively demultiplexed by adjusting the control pulses. The control pulse wavelength should be far away from the MCW in order to block power exchange by the control power.

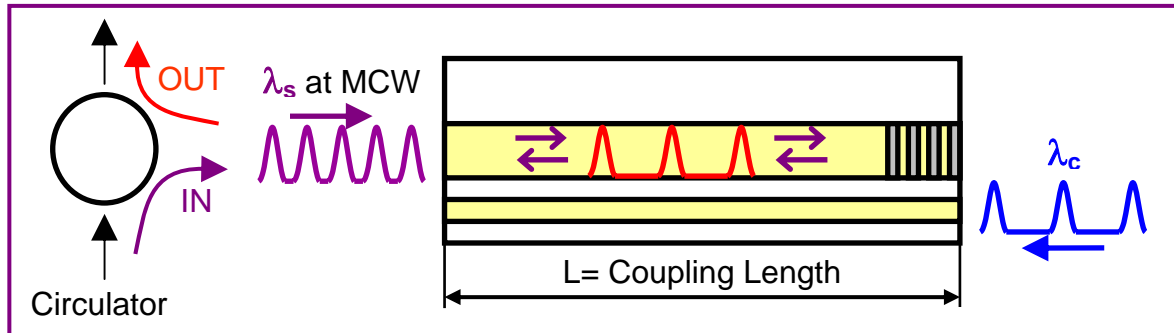


Figure 2-33. An ATCF Optical Time-Division Demultiplexer(A Signal-Reflection Scheme)

In ATCFs, it is quite difficult to launch the signal and control pulses at the same end due to a geometrical restriction of ATCFs. Figure 2-33 presents another scheme an ATCF optical time-division demultiplexer(a signal-reflection scheme) to make it easier. In this scheme, the signal pulses are launched into the large core at one end of the ATCF with a circulator while the control pulses are launched into the small core at the other end. The desired signal pulses affected by the control pulses stay in the large core due to the phase mismatch between the two cores, and they are reflected by the grating. These desired signal pulses come out from one port of the circulator while the undesired signal pulses come out from the other port.



#### 2.4.4. Wavelength-Division Demultiplexers

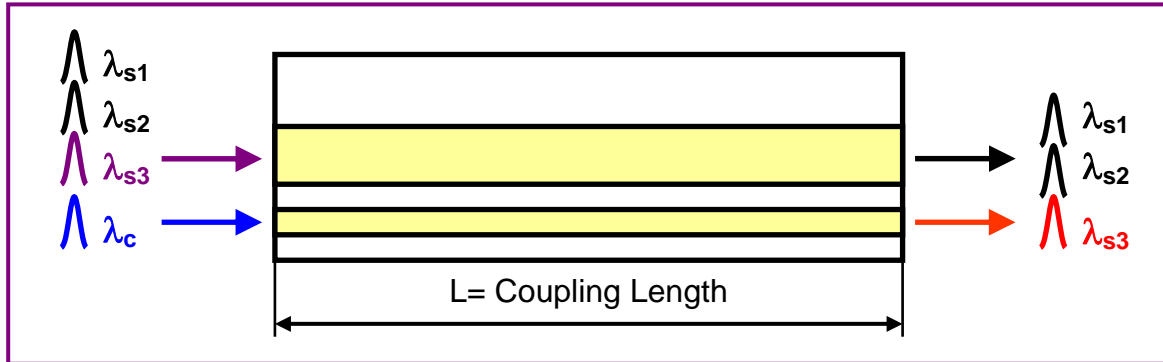


Figure 2-34. An Asymmetric Twin-Core Fiber Wavelength-Division Demultiplexer

Figure 2-34 shows the configuration of an ATCF wavelength-division demultiplexer. As discussed in Section 2.4.2, the MCW of the ACTF is highly dependent on the refractive index difference between the small core (higher-index core) and cladding. In addition, since the nonlinear refractive index  $\delta n$  of the small core is affected by the strong pump power, the MCW can be tuned by controlling  $\delta n$  which can be adjusted by the control power. When a number of signal pulses with different wavelengths are coupled simultaneously into the large core, the magnitude of the control power is adjusted and launched into the small core in order to shift the MCW to the same wavelength of the desired signal pulse. As a result, the desired signal pulse with the particular wavelength selected by the control pulse will come out from the small core while others continue to stay in the large core.

## 2.5. Mode Interference

### 2.5.1. Fiber Modes in Step-Index Fibers

The propagation characteristics of optical fibers play an extremely important role in the design of optical communication systems. Therefore, a detailed modal analysis of a step-index fiber is essential to the understanding of propagation characteristics of optical fibers. A step-index fiber is characterized by its refractive index profile shown in Figure 2-35.

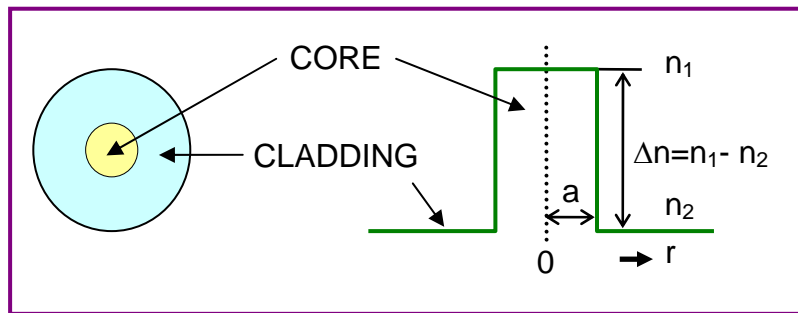


Figure 2-35. Schematic Illustration of Step-Index Fibers

#### 2.5.1.1. Scalar Wave Analysis

In conventional silica-core silica-clad fibers, the core refractive index is nearly equal to the cladding refractive index. This enables the use of the so-called scalar wave analysis based on the weakly guiding approximation. In this analysis, by superimposing transverse components ( $e_r$  and  $e_\phi$ ) of appropriate nearly degenerate modes, the resultant field is polarized in only one Cartesian direction. These linearly polarized modes are usually referred to as the LP modes.

Under the weakly guiding approximation, the transverse Cartesian component of the electric field satisfies the scalar wave equation given by

$$\nabla^2 \Psi = \frac{n^2}{c^2} \frac{\partial^2 \Psi}{\partial t^2}, \quad \Psi(r, \phi, z, t) = \psi(r, \phi) e^{j(\omega t - \beta z)} \quad (2.44)$$

where  $\omega$  is the angular frequency and  $\beta$  is the propagation constant. After solving this scalar wave equation, it is found that the general solutions for  $\psi(r, \phi)$  are expressed in terms of the J Bessel function  $J_l(\cdot)$  and the modified K Bessel function  $K_l(\cdot)$  as[245]

$$\psi(r, \phi) = A J_l \left( \frac{Ur}{a} \right) \begin{bmatrix} \cos(l\phi) \\ \sin(l\phi) \end{bmatrix}, \quad r \leq a \quad (2.45)$$

$$\psi(r, \phi) = C K_l \left( \frac{Wr}{a} \right) \begin{bmatrix} \cos(l\phi) \\ \sin(l\phi) \end{bmatrix}, \quad r \geq a \quad (2.46)$$

where  $U$  and  $W$  are the parameters defined in Equations (2.24) and (2.25), respectively. The field solution in the core region where  $r$  is smaller than the core radius can be represented by  $J_l(\cdot)$  and the field in the cladding region where  $r$  is larger than the core radius can be represented by  $K_l(\cdot)$ .

Through the imposition of boundary conditions, namely that  $\psi$  and its derivative  $\frac{\partial \psi}{\partial r}$  are continuous at the boundary  $r=a$ , the characteristic(eigenvalue) equation can be derived as

$$\frac{U J_{l-1}(U)}{J_l(U)} = - \frac{W K_{l-1}(W)}{K_l(W)} \quad (2.47)$$

In the scalar wave analysis, the modes are classified as  $LP_{lm}(l=0, 1, 2, \dots, m=1, 2, 3, \dots)$ . For example, when  $l=0$  and  $m=1$ ,  $l=0$  and  $m=2$ , and  $l=1$  and  $m=1$ , the modes are  $LP_{01}$ ,  $LP_{02}$ , and  $LP_{11}$ , respectively.

### 2.5.1.2. Vector Wave Analysis

The scalar wave analysis of fiber modes is valid only when index difference between core and cladding is much smaller than 1. For the HNL glass-core fibers which have extremely high core refractive indices, vector wave analysis should be considered. Therefore, the properties of the highly nonlinear fiber devices examined in this dissertation are evaluated by vector wave analysis in order to obtain more accurate results. Solutions of the vector wave equations for fiber modes are discussed in Appendix in more detail.

### 2.5.2. Modal Properties of Step-Index Fibers

The fiber modes described in this section are obtained from the scalar wave analysis for weakly guiding standard step-index fibers. Figures 2-36, 2-37, and 2-38 show the electric field and intensity patterns of the  $LP_{01}$ ,  $LP_{02}$ , and  $LP_{11}$  modes, respectively.

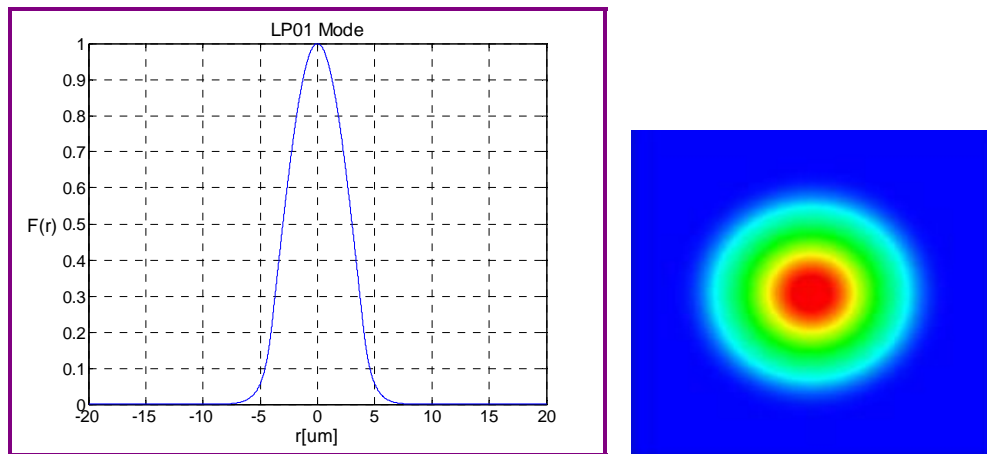


Figure 2-36. Electric Field and Intensity Patterns of the  $LP_{01}$  Mode

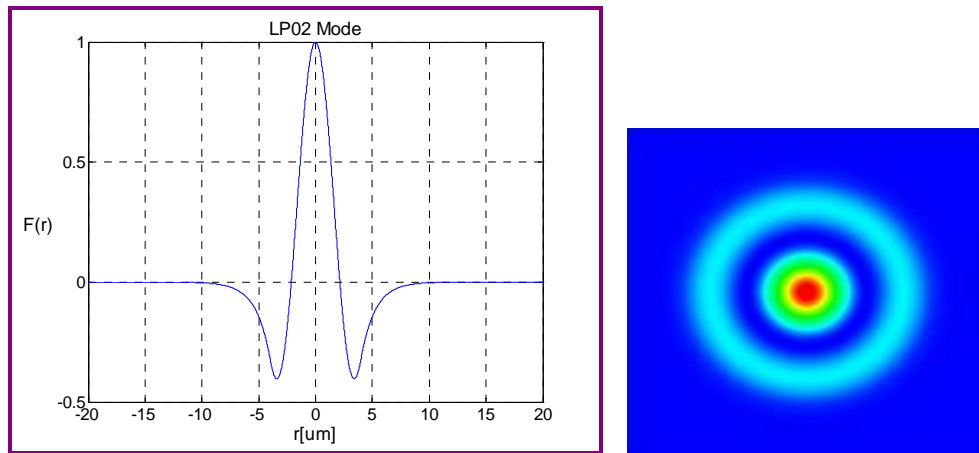
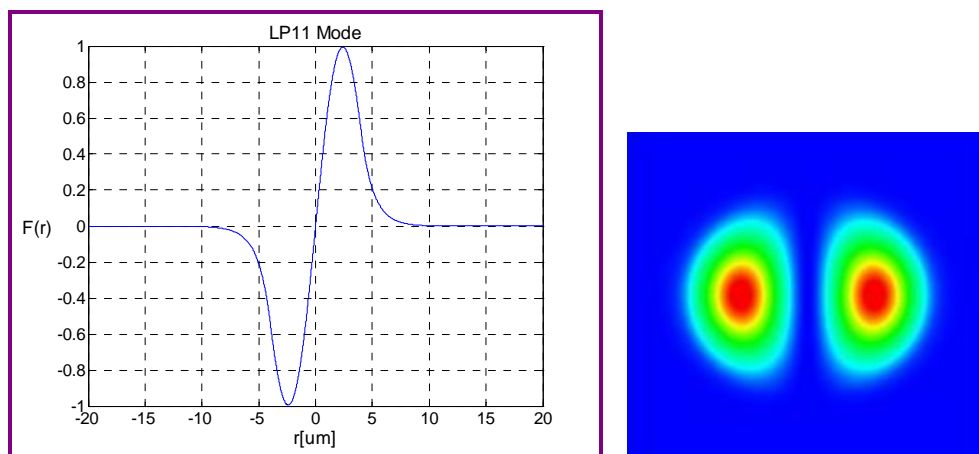
Figure 2-37. Electric Field and Intensity Patterns of the  $LP_{02}$  ModeFigure 2-38. Electric Field and Intensity Patterns of the  $LP_{11}$  Mode

Figure 2-39 presents variation of the effective refractive index as a function of normalized frequency for fiber modes. If  $V < 2.4048$ , a fiber supports only the fundamental  $LP_{01}$  mode and the value  $V_c=2.4048$  is referred to as the single-mode cutoff frequency. Note that at higher  $V$ , most of the power propagates in the core and the effective refractive index approaches the core refractive index while at lower  $V$ , most of the power is in the cladding and the effective refractive index approaches the cladding refractive index.

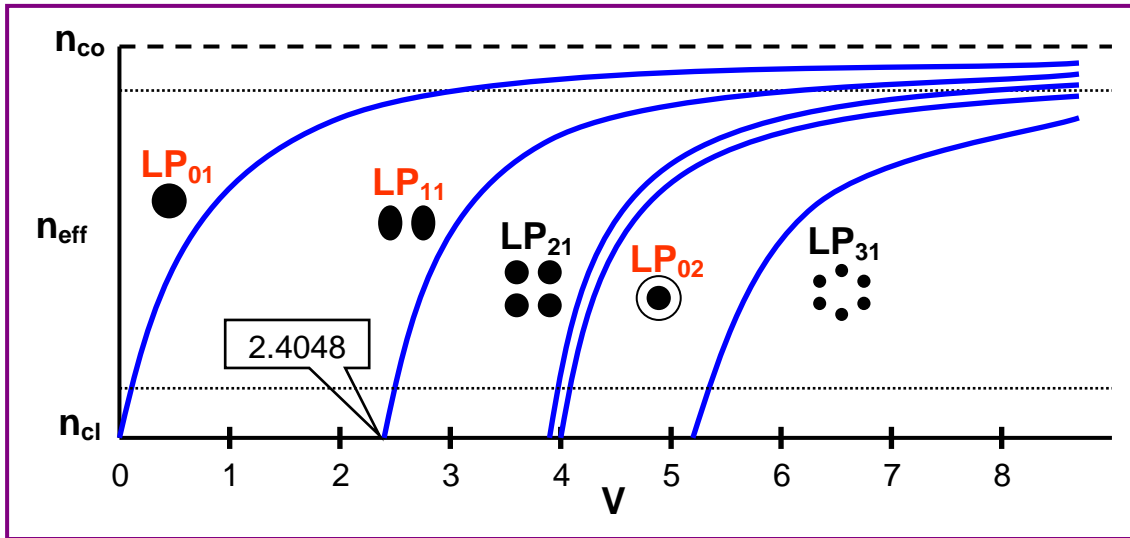


Figure 2-39. Effective Refractive Index vs. Normalized Frequency

In addition, the number of modes in step-index fibers is determined by the normalized frequency given by

$$V = \frac{2\pi a}{\lambda} \sqrt{n_{core}^2 - n_{cladding}^2} \quad (2.48)$$

As can be seen in Figure 2-36, the electric field pattern of the  $LP_{01}$  mode in fact closely fits a Gaussian function and the Mode Field Radius (MFR) defined as the radial distance from the center to the  $1/e$  point is given by the following empirical formula [242].

$$\frac{w}{a} \approx 0.65 + \frac{1.619}{V^{3/2}} + \frac{2.879}{V^6} \quad (2.49)$$

As expected, as  $V$  increases, the MFR decreases, thus reflecting the tighter mode confinement for higher  $V$ . In addition, the MFR is always larger than the core radius under single-mode operation ( $V < 2.4048$ ).

### 2.5.3. LP<sub>01</sub>-LP<sub>02</sub> Mode Interference

#### 2.5.3.1. Concepts

As shown in Figure 2-39, fiber modes have different effective refractive indices. It means that they have different propagation constants. Due to this phase-velocity mismatch of fiber modes, there will be a phase difference between two different modes when they propagate along the fiber. Figures 2-40 and 2-41 show the intensity distributions due to interference when the LP<sub>01</sub> and LP<sub>02</sub> modes with equal peaks are in phase and when they are out of phase by  $\pi$ , respectively. When the two modes are in phase, they interfere constructively, so resultant intensity pattern will be maximized. This intensity pattern is called SPOT. On the other hand, when they are out of phase by  $\pi$ , they interfere destructively, so resultant intensity pattern will be minimized. This intensity pattern is called RING, which means there is no power at the center.

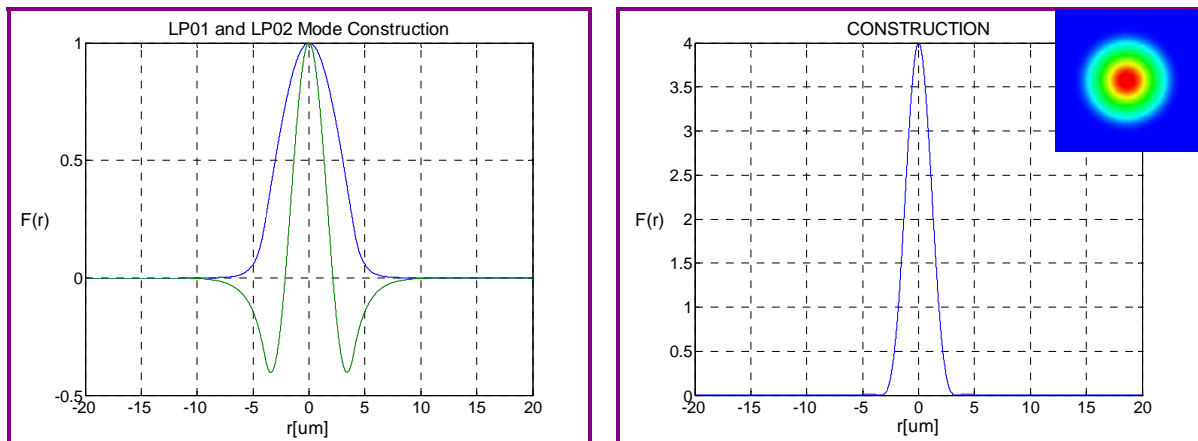


Figure 2-40. LP<sub>01</sub>-LP<sub>02</sub> Mode Interference Patterns(In Phase)

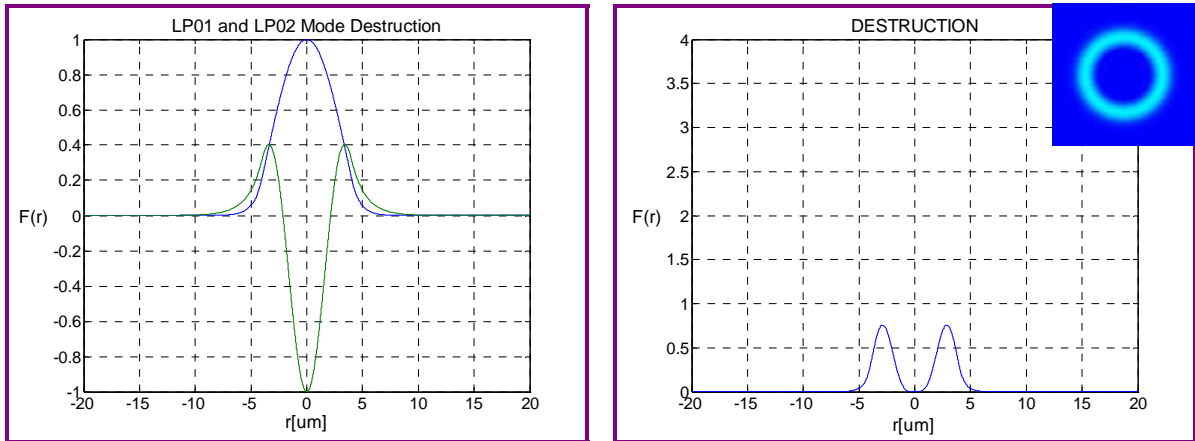


Figure 2-41. LP<sub>01</sub>-LP<sub>02</sub> Mode Interference Patterns(Out of Phase by  $\pi$ )

Figure 2-42 shows the experimental setup used to examine the effect of mode interference between the LP<sub>01</sub> and LP<sub>02</sub> modes[246-249]. The fiber used supports four modes at the wavelength of operation, namely, LP<sub>01</sub>, LP<sub>11</sub>, LP<sub>21</sub>, and LP<sub>02</sub> modes. Among them, only the LP<sub>01</sub> and LP<sub>02</sub> modes are circularly symmetric and thus can be selectively coupled into the fiber. After launching the LP<sub>01</sub> and LP<sub>02</sub> modes equally, the fiber glued on a movable plate is strained. As the length of the fiber varies due to strain, a phase difference between the two modes develops. As a result, far-field mode constructive and destructive intensity patterns occur periodically.

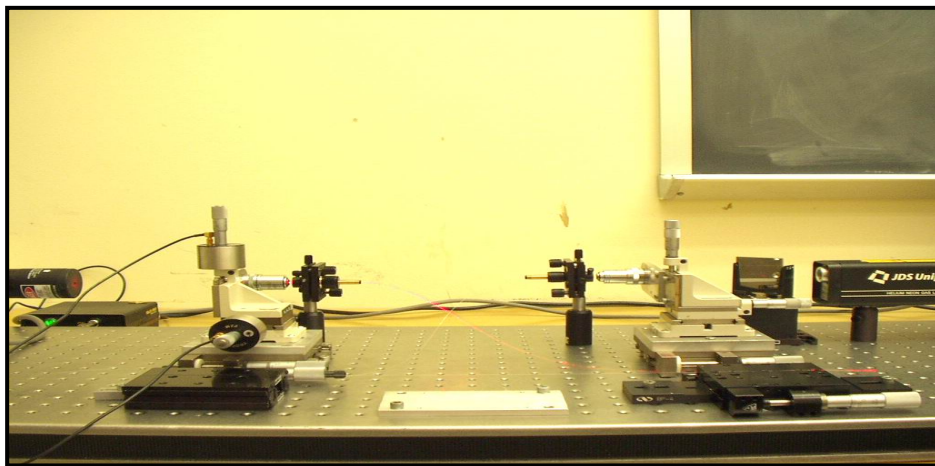


Figure 2-42. Experimental Setup for Demonstration of LP<sub>01</sub>-LP<sub>02</sub> Mode Interference



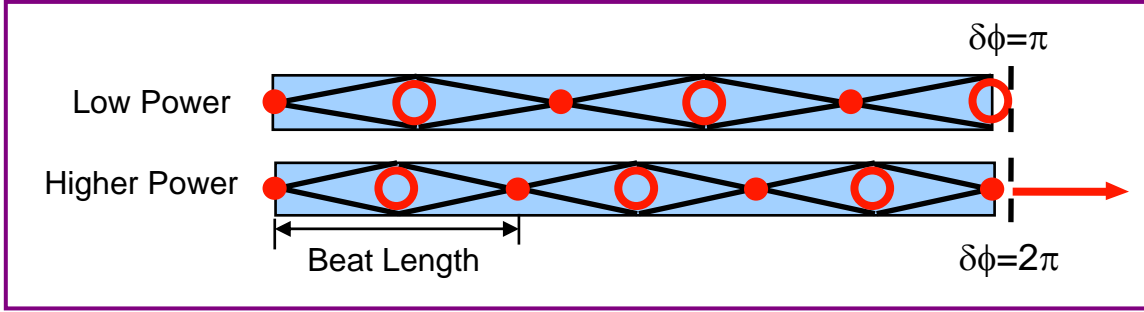
This intensity variation can be measured by placing a pinhole in front of the detector which is positioned at the center of the far-field pattern. Table 2-1 presents the measured power of the far-field pattern. As can be seen, maximum powers are detected when the two modes are in phase (Spot), while almost no powers are detected when they are out of phase by  $\pi$  (Ring). It is noted that a better agreement is achieved for the shorter fiber with the same sensing length. The interference properties of the  $LP_{01}$  and  $LP_{02}$  modes can be used for sensing of strain, temperature, and pressure [247-249].

Table 2-1. Power Variation at the Center of the Pattern due to  $LP_{01}$ - $LP_{02}$  Mode Interference

Fiber Length	Sensing Length	Far-field Pattern	Power
10m	45cm	Spot	$17\mu\text{W}$
		Ring	$3\mu\text{W}$
2m	45cm	Spot	$19\mu\text{W}$
		Ring	$1\mu\text{W}$

### 2.5.3.2. Nonlinear Filters

In optical communication systems, signals always suffer intrinsic and extrinsic noise, thus causing bit-detection errors. As a way of removing the low-power background noise of signals, a nonlinear fiber device named nonlinear filter was proposed [250]. Figure 2-43 shows the basic concept of the nonlinear filter based on  $LP_{01}$ - $LP_{02}$  mode interference. The basic idea is to use the intensity-dependent nonlinear phase shift induced by self-interaction between the  $LP_{01}$  and  $LP_{02}$  modes. According to the variation of the input power, there will be intensity dependence on the beat period because of the nonlinear refractive index. This device adjusts the input power to make the output power SPOT or RING by exploiting the interference of the  $LP_{01}$  and  $LP_{02}$  modes. To make a filter, it is necessary to determine the required power for making the output RING at a low power or SPOT at a higher power for a chosen fiber length.


 Figure 2-43. Basic Concept of Nonlinear Filter based on LP<sub>01</sub>-LP<sub>02</sub> Mode Interference[250]

The intensity-dependent nonlinear phase shifts of the LP<sub>01</sub> and LP<sub>02</sub> modes in the signal induced by self-interaction between them can be defined as

$$\delta\phi(01) = \frac{2\pi L_{eff}}{\lambda_s} [\delta n(01) + \delta n(02)] \quad (2.50)$$

$$\delta n(01) = \delta n_{01} = \frac{N_2 P_{01}}{A_{eff\_01}}, \quad \delta n(02) = \frac{2N_2 P_{01}}{A_{eff\_01\_02}}$$

$$\delta\phi(02) = \frac{2\pi L_{eff}}{\lambda_s} [\delta n(02) + \delta n(01)] \quad (2.51)$$

$$\delta n(02) = \delta n_{02} = \frac{N_2 P_{02}}{A_{eff\_02}}, \quad \delta n(01) = \frac{2N_2 P_{02}}{A_{eff\_01\_02}}$$

where

$$A_{eff\_01\_02} = 2\pi \cdot \frac{\left[ \int \psi_{01}^2 r dr \right] \left[ \int \psi_{02}^2 r dr \right]}{\int \psi_{01}^2 \cdot \psi_{02}^2 r dr} \quad (2.52)$$

As a result, the total nonlinear phase shift induced by self-interaction between the LP<sub>01</sub> and LP<sub>02</sub> modes, can be expressed as

$$\delta\phi(01) - \delta\phi(02) = \left| \frac{2\pi L_{eff}}{\lambda_s} \left[ \frac{N_2 P_{01}}{A_{eff\_01}} + \frac{2N_2 P_{01}}{A_{eff\_01\_02}} - \frac{N_2 P_{02}}{A_{eff\_02}} - \frac{2N_2 P_{02}}{A_{eff\_01\_02}} \right] \right| \quad (2.53)$$

Assuming that the LP<sub>01</sub> and LP<sub>02</sub> modes have the same power in the device, that is, P<sub>01</sub>=P<sub>02</sub>, the required optical power for a phase shift of  $\pi$  can be obtained from

$$P_{01} = P_{02} = \frac{\lambda_s}{2N_2 L_{eff} \left| \frac{1}{A_{eff\_01}} - \frac{1}{A_{eff\_02}} \right|} \quad (2.54)$$

Therefore, the output will be RING when each mode is launched into the device with this power. If the power is doubled, then the output will be SPOT.

In nonlinear fiber devices based on mode interference, there are two categories according to the way of operation. When the signal power is itself strong and no pump is present, the induced nonlinear phase shift depends on self-interaction between the modes carrying the signal. This kind of device is referred to as SPM-induced device. The nonlinear filter based on LP<sub>01</sub>-LP<sub>02</sub> mode interference, discussed before, and an amplitude modulator based on LP<sub>01</sub>-LP<sub>11</sub> mode interference belong to this category. However, when the signal power is much weaker than the pump power and the field distribution of the waveguide mode is independent of its intensity, the differential phase shift between the modes of the signal is induced by the pump power. This kind of device is referred to as XPM-induced device. The nonlinear optical switch[56, 246, 251] based on LP<sub>01</sub>-LP<sub>11</sub> mode interference, which will be discussed later, belongs to this category.

Even though XPM-induced nonlinear fiber devices based on LP<sub>01</sub>-LP<sub>02</sub> mode interference have never been experimentally demonstrated, it is useful to investigate their operations by numerical simulation. Under the assumption that the strong pump operates at a single-mode

wavelength, the total nonlinear phase shift, induced by the strong pump in the XPM-induced nonlinear fiber devices based on LP<sub>01</sub>-LP<sub>02</sub> mode interference, can be defined as

$$\delta\phi(01) - \delta\phi(02) = \left| \frac{2\pi L_{eff}}{\lambda_s} \left[ \frac{2N_2 P_p}{A_{eff\_01S\_01P}} - \frac{2N_2 P_p}{A_{eff\_02S\_01P}} \right] \right| \quad (2.55)$$

where

$$A_{eff\_01S\_01P} = 2\pi \cdot \frac{\left[ \int \psi_{01S}^2 r dr \right] \left[ \int \psi_{01P}^2 r dr \right]}{\int \psi_{01S}^2 \cdot \psi_{01P}^2 r dr} \quad (2.56)$$

$$A_{eff\_02S\_01P} = 2\pi \cdot \frac{\left[ \int \psi_{02S}^2 r dr \right] \left[ \int \psi_{01P}^2 r dr \right]}{\int \psi_{02S}^2 \cdot \psi_{01P}^2 r dr} \quad (2.57)$$

In Equations (2.55)-(2.57), 01S and 02S refer to the LP<sub>01</sub> mode and the LP<sub>02</sub> mode of the signal, respectively, and 01P refers to the LP<sub>01</sub> mode of the pump. As a result, the required optical pump power for a phase shift of  $\pi$  can be obtained from

$$P_p = \frac{\lambda_s}{4N_2 L_{eff} \left| \frac{1}{A_{eff\_01S\_01P}} - \frac{1}{A_{eff\_02S\_01P}} \right|} \quad (2.58)$$

## 2.5.4. LP<sub>01</sub>-LP<sub>11</sub> Mode Interference

### 2.5.4.1. Concepts

In a similar manner, the LP<sub>01</sub> and LP<sub>11</sub> modes can interfere each other due to the phase-velocity difference between the two modes. However, LP<sub>01</sub>-LP<sub>11</sub> mode interference[56, 60, 228, 246, 251-255] has quite different characteristics because of the asymmetric field pattern of the LP<sub>11</sub> mode. Figures 2-44 and 2-45 show the intensity distributions due to interference when the LP<sub>01</sub> and LP<sub>11</sub> modes are in phase and when they are out of phase by  $\pi$ , respectively. Since the LP<sub>01</sub> mode is symmetric about the fiber axis and the LP<sub>11</sub> mode is asymmetric, interference is constructive on one side of the axis and destructive on the other, thus resulting in an asymmetric intensity distribution.

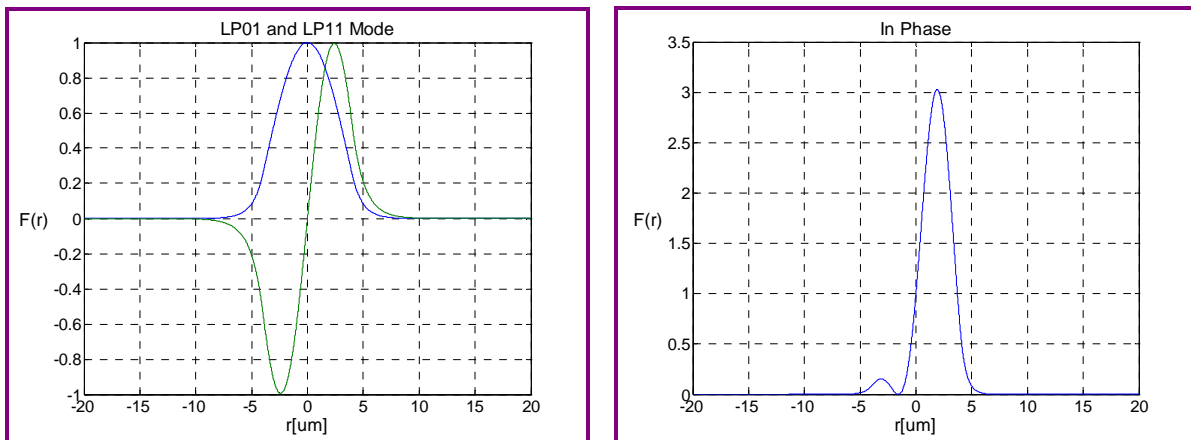


Figure 2-44. LP<sub>01</sub>-LP<sub>11</sub> Mode Interference Patterns(In Phase)

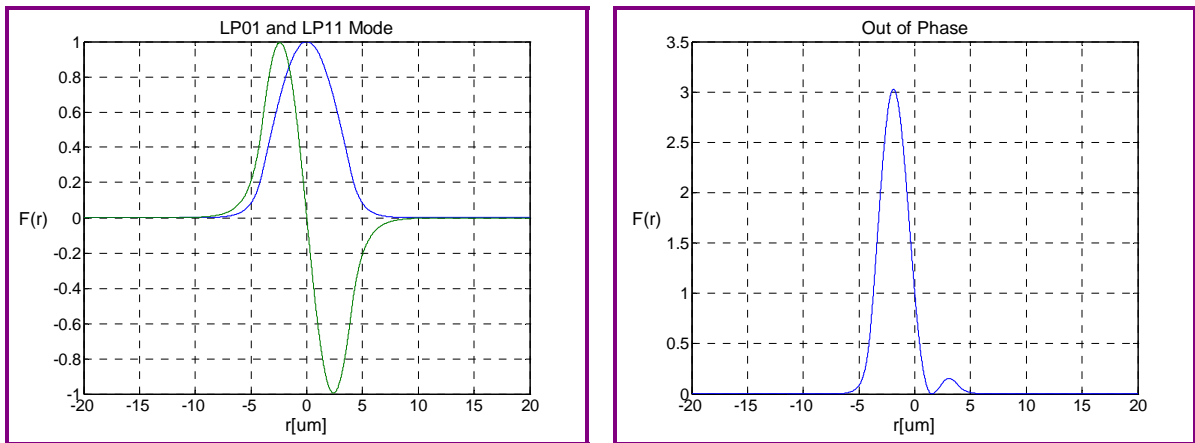


Figure 2-45. LP<sub>01</sub>-LP<sub>11</sub> Mode Interference Patterns(Out of Phase by  $\pi$ )

Figure 2-46 illustrates intensity patterns for several values of the phase difference  $\Delta\phi$  between the LP<sub>01</sub> and LP<sub>11</sub> modes[228]. Note that the intensity distribution has a minor lobe due to the asymmetry of the LP<sub>11</sub> mode and there is always a finite intensity on the fiber axis. As the phase difference increases, the power moves to the other side more and more and when  $\Delta\phi=\pi/2$ , the power is distributed equally on both sides.

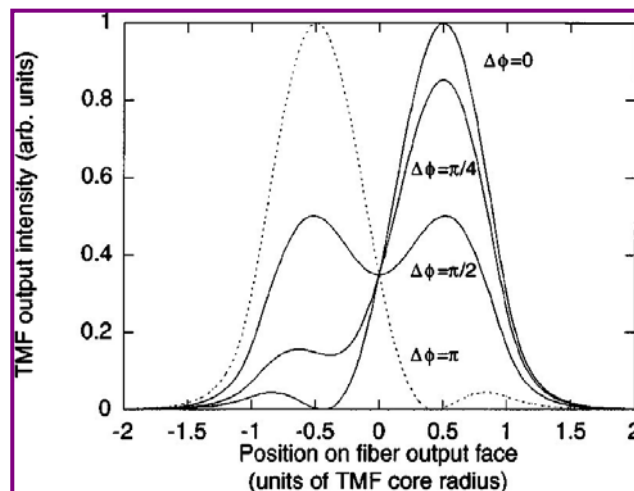


Figure 2-46. Intensity Patterns for Different Phase Differences between LP<sub>01</sub> and LP<sub>11</sub> Modes

## 2.5.4.2. Amplitude Modulators

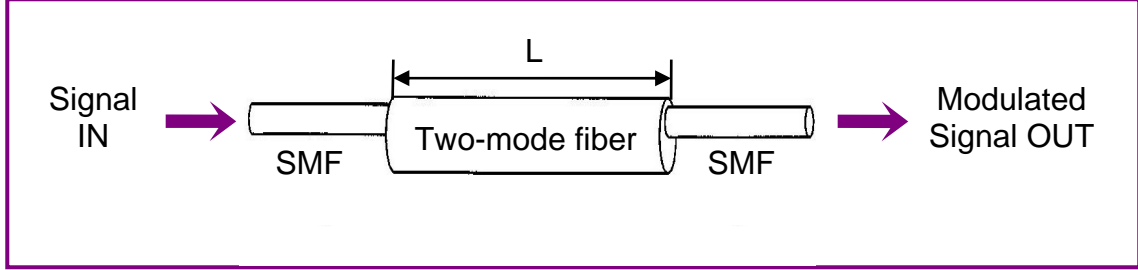
Figure 2-47. An Amplitude Modulator based on  $LP_{01}$ - $LP_{11}$  Mode Interference

Figure 2-47 describes an amplitude modulator based on  $LP_{01}$ - $LP_{11}$  mode interference. The input Single-Mode Fiber (SMF) is aligned slightly off the Two-Mode Fiber (TMF) axis so that its power is coupled equally into the  $LP_{01}$  and  $LP_{11}$  modes of the TMF. Similarly, the output SMF is aligned off axis so that it picks up the power from only one of the two lobes of the  $LP_{01}$ - $LP_{11}$  mode interference pattern. The maximum intensity position of the interference pattern in the TMF will vary according to the nonlinear phase shift which is affected by the input powers of the  $LP_{01}$  and  $LP_{11}$  modes. If the input powers of the two modes are chosen such that the nonlinear phase shift, induced by self-interaction between the two modes, becomes 0 or  $\pi$ , the intensity from the output SMF can be modulated.

The intensity-dependent nonlinear phase shifts of the  $LP_{01}$  and  $LP_{11}$  modes in the signal induced by self-interaction between them can be defined as

$$\delta\phi(01) = \frac{2\pi L_{eff}}{\lambda_s} [\delta n(01) + \delta n(11)] \quad (2.59)$$

$$\delta n(01) = \delta n_{01} = \frac{N_2 P_{01}}{A_{eff\_01}}, \quad \delta n(11) = \frac{2N_2 P_{01}}{A_{eff\_01\_11}}$$

$$\delta\phi(11) = \frac{2\pi L_{eff}}{\lambda_s} [\delta n(11) + \delta n(01)] \quad (2.60)$$

$$\delta n(11) = \delta n_{11} = \frac{N_2 P_{11}}{A_{eff\_11}}, \quad \delta n(01) = \frac{2N_2 P_{11}}{A_{eff\_01\_11}}$$

where

$$A_{eff\_01\_11} = 2\pi \cdot \frac{\left[ \int \psi_{01}^2 r dr \right] \left[ \int \psi_{11}^2 r dr \right]}{\int \psi_{01}^2 \cdot \psi_{11}^2 r dr} \quad (2.61)$$

As a result, the total nonlinear phase shift induced by self-interaction between the LP<sub>01</sub> and LP<sub>11</sub> modes, can be expressed as

$$\delta\phi(01) - \delta\phi(11) = \left| \frac{2\pi L_{eff}}{\lambda_s} \left[ \frac{N_2 P_{01}}{A_{eff\_01}} + \frac{2N_2 P_{01}}{A_{eff\_01\_11}} - \frac{N_2 P_{11}}{A_{eff\_11}} - \frac{2N_2 P_{11}}{A_{eff\_01\_11}} \right] \right| \quad (2.62)$$

Assuming that the LP<sub>01</sub> and LP<sub>11</sub> modes have the same power in the device, that is, P<sub>01</sub>=P<sub>11</sub>, the required optical power for a phase shift of  $\pi$  can be obtained from

$$P_{01} = P_{11} = \frac{\lambda_s}{2N_2 L_{eff} \left| \frac{1}{A_{eff\_01}} - \frac{1}{A_{eff\_11}} \right|} \quad (2.63)$$

Therefore, supposing that the maximum power is first in the upper position, it will be shifted to the lower position when each mode is launched into the device with this power. If the power is doubled, the maximum power will be back to the upper position.



## 2.5.4.3. Nonlinear Optical Switches

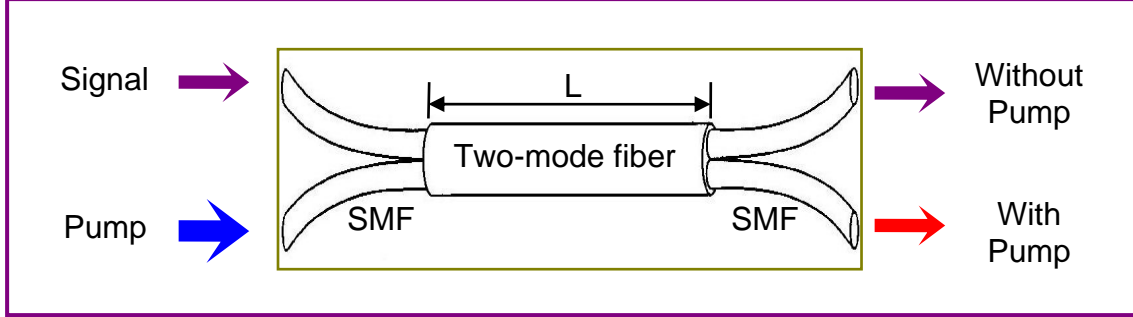

 Figure 2-48. A Nonlinear Optical Switch based on  $LP_{01}$ - $LP_{11}$  Mode Interference

Figure 2-48 presents the schematics of a  $2 \times 2$  nonlinear optical switch based on  $LP_{01}$ - $LP_{11}$  mode interference. The TMF is butt coupled at both ends to two side-by-side SMFs. The input SMFs are positioned such that one of the SMFs carries in the signal and excites equal amounts of the  $LP_{01}$  and  $LP_{11}$  modes of the TMF, while the other carries the pump. At the output end of the TMF, the output SMFs are positioned such that each fiber picks up the signal power contained in one of the two lobes. When weak signal powers of the  $LP_{01}$  and  $LP_{11}$  modes are launched, mode interference patterns between them are now affected by the strong pump power. As a result, the signal can be switched by adjusting the strong pump. The pump should have the same polarization as the signal and it may propagate in either or both of the two modes.

Under the assumption that the strong pump operates at a single-mode wavelength, the total nonlinear phase shift, induced by the strong pump in the XPM-induced nonlinear fiber devices based on  $LP_{01}$ - $LP_{11}$  mode interference, can be defined as

$$\delta\phi(01) - \delta\phi(11) = \left| \frac{2\pi L_{eff}}{\lambda_s} \left[ \frac{2N_2 P_p}{A_{eff\_01S\_01P}} - \frac{2N_2 P_p}{A_{eff\_11S\_01P}} \right] \right| \quad (2.64)$$

where  $A_{eff\_01S\_01P}$  is the effective mode area given in Equation (2.56) and

$$A_{eff\_11S\_01P} = 2\pi \cdot \frac{\left[ \int \psi_{11S}^2 r dr \right] \left[ \int \psi_{01P}^2 r dr \right]}{\int \psi_{11S}^2 \cdot \psi_{01P}^2 r dr} \quad (2.65)$$

In Equations (2.64) and (2.65), 01S and 11S refer to the  $LP_{01}$  mode and the  $LP_{11}$  mode of the signal, respectively, and 01P refers to the  $LP_{01}$  mode of the pump. As a result, the required optical pump power for a phase shift of  $\pi$  can be obtained from

$$P_p = \frac{\lambda_s}{4N_2 L_{eff} \left| \frac{1}{A_{eff\_01S\_01P}} - \frac{1}{A_{eff\_11S\_01P}} \right|} \quad (2.66)$$

#### 2.5.4.4. Optical Time-Division Demultiplexers

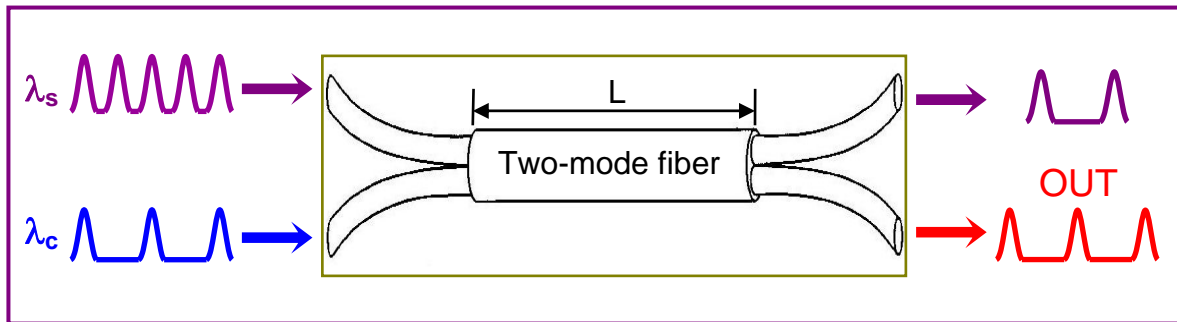


Figure 2-49. An Optical Time-Division Demultiplexer based on  $LP_{01}$ - $LP_{11}$  Mode Interference

Figure 2-49 shows the schematic diagram of an optical time-division demultiplexer based on the  $LP_{01}$ - $LP_{11}$  mode interference. When no control pulses are incident, all signal pulses go through the upper single-mode pick-up fiber. If a strong control pulse is introduced such that the nonlinear phase shift between the  $LP_{01}$  and  $LP_{11}$  modes of the signal pulse becomes  $\pi$ , the

maximum intensity of the specific signal pulse affected by the control pulse shifts to the other side and thus come out from lower single-mode pick-up fiber. As a result, the desired signal pulses are selectively demultiplexed using  $LP_{01}$ - $LP_{11}$  mode interference. The control pulse should have the same polarization as the signal pulse and it may propagate in either or both of the two modes. In contrast to the previous time-independent applications, in this kind of time-dependent applications, group-velocity difference between the two modes will be the critical factor for proper operation.

## CHAPTER 3.

### ISSUES IN NONLINEAR FIBER DEVICES

This chapter focuses on critical issues in nonlinear fiber devices. The critical issues in nonlinear fiber devices include the relationship between device length, optical power, the nonlinear-index coefficient, effective mode area, and nonlinear phase shift, the pulse walk-off caused by group-velocity mismatch, the pulse broadening caused by GVD, and the polarization fluctuation caused by environmental disturbances.

#### 3.1. Required Device Length and Required Optical Power

As shown in Equations (1.11) and (1.12), the operation of nonlinear fiber devices is directly related to the nonlinear phase shift. The nonlinear phase shift is dependent on the nonlinear-index coefficient, the optical power, the device length, and the effective mode area. For conventional silica-core silica-clad fibers in which nonlinear-index coefficients are small, the nonlinear fiber devices using them usually require substantially long fiber length to generate a sufficient amount of phase shift. For typical parameters of silica fibers, the required device length to acquire a nonlinear phase shift of  $\pi$  with an optical power of 1W is about 1Km as shown below.

$$\begin{aligned}\delta\phi_{SPM} &= \pi, P_s = 1W, \lambda_s = 1.5\mu m \\ A_{eff} &= 35\mu m^2, N_2 = 2.5 \times 10^{-20} m^2 / W \\ L_{\pi}^{SPM} &= \frac{\lambda_s A_{eff}}{2N_2 P_s} = 1[Km]\end{aligned}$$

This long device length causes some serious problems which are the major limiting factors for response time and switching bandwidth. The problems include the pulse walk-off between control and signal pulses caused by group-velocity mismatch, the control pulse broadening caused by GVD, and the polarization sensitivity caused by external environmental disturbances such as temperature drift and stresses. Therefore, fibers with high nonlinearities are essential in nonlinear fiber devices to shorten the device length required for acquiring sufficient nonlinear phase shifts. In addition, the effective mode area should be smaller for a given optical power to shorten the device length. High Non-Linearity(HNL) glasses can satisfy these requirements since they have both high nonlinear-index coefficients and high linear refractive indices. A high linear refractive index of the core allows smaller core radius, while maintaining single-mode operation at the desired wavelength, and thus, the effective mode area decreases.

Additionally, the Two-Photon Absorption(TPA) discussed in Chapter 1 can be a factor which degrades the performance of all-optical nonlinear switching devices. Since the TPA is manifested by an intensity-dependent nonlinear absorption, it limits the transmittable power and thereby limits the obtainable nonlinear phase shift. This negatively affects the switching behavior. Therefore, the following criterion should be satisfied to avoid significant TPA-induced effects[256].

$$\frac{2\beta\lambda}{N_2} < 1 \quad (3.1)$$

where  $N_2$  is the nonlinear-index coefficient and  $\beta$  is the TPA coefficient given in Equation (1.5).

## 3.2. Pulse Walk-Off and Pulse Broadening

### 3.2.1. Group-Velocity Dispersion

In a dielectric medium such as an optical fiber, the response of an electromagnetic wave depends on the optical frequency. This property of the frequency dependence of the effective refractive index is referred to as chromatic dispersion. Chromatic dispersion in optical fibers consists of two components, material dispersion and waveguide dispersion. The material dispersion originates from the characteristic resonance frequencies at which the dielectric medium absorbs the electromagnetic radiation through oscillation of bound electrons.

The refractive index as a function of wavelength is well approximated by the Sellmeier equation defined as[197]

$$n^2 = 1 + \sum_{j=1}^m \frac{A_j \omega_j^2}{\omega_j^2 - \omega^2} = 1 + \sum_{j=1}^m \frac{A_j \lambda^2}{\lambda^2 - \lambda_j^2}, \quad \lambda_j = \frac{2\pi c}{\omega_j} \quad (3.2)$$

where  $\omega_j$  is the  $j$ th resonance frequency and  $A_j$  is the strength of  $j$ th resonance. The parameters of  $A_j$  and  $\omega_j$  depend on composition of dielectric materials and  $m=3$  for the case of optical fibers. In addition, the group refractive index is given by

$$n_g = \frac{d[\omega n(\omega)]}{d\omega} = n(\omega) + \omega \frac{dn(\omega)}{d\omega} = n(\lambda) - \lambda \frac{dn(\lambda)}{d\lambda} \quad (3.3)$$

Different spectral components of pulses in optical fibers travel at different group velocities given by

$$v_g = \frac{c}{n_g(\lambda)} \quad (3.4)$$

due to the wavelength dependence of the refractive index. This wavelength dependence of the group velocity leads to pulse broadening because different spectral components of pulses in optical fibers spread as they propagate along the fiber and arrive at the fiber end at different times. This phenomenon is referred to as the Group-Velocity Dispersion(GVD).

To assess the effects of GVD on pulse spreading, the propagation constant  $\beta$  is expanded in a Taylor series about the center frequency  $\omega_0$  of the pulse spectrum.

$$\beta(\omega) = n(\omega) \frac{\omega}{c} = \beta_0 + \beta_1(\omega - \omega_0) + \frac{1}{2} \beta_2(\omega - \omega_0)^2 + \frac{1}{6} \beta_3(\omega - \omega_0)^3 + \dots \quad (3.5)$$

where

$$\beta_m = \left( \frac{d^m \beta}{d\omega^m} \right)_{\omega=\omega_0} \quad (m = 0, 1, 2, 3, \dots) \quad (3.6)$$

The parameter  $\beta_1$  given by

$$\beta_1 = \frac{d\beta}{d\omega} = \frac{1}{v_g} = \frac{n_g}{c} = \frac{1}{c} \left( n + \omega \frac{dn}{d\omega} \right) \quad (3.7)$$

represents the group velocity of the pulse, while the parameter  $\beta_2$  given by

$$\beta_2 = \frac{d\beta_1}{d\omega} = \frac{d^2\beta}{d\omega^2} = \frac{1}{c} \left( 2 \frac{dn}{d\omega} + \omega \frac{d^2n}{d\omega^2} \right) \quad (3.8)$$

represents the GVD which is responsible for pulse broadening. The parameter  $\beta_3$  given by

$$\beta_3 = \frac{d\beta_2}{d\omega} = \frac{d^3\beta}{d\omega^3} = \frac{1}{c} \left( 3 \frac{d^2n}{d\omega^2} + \omega \frac{d^3n}{d\omega^3} \right) \quad (3.9)$$

represents the Dispersion Slope(DS) or Third-Order Dispersion(TOD) which is responsible for asymmetry.

The most notable feature is that the dispersion parameter  $\beta_2$  for bulk-fused silica becomes zero at the wavelength of about 1.27 $\mu\text{m}$ . This particular wavelength  $\lambda_D$  is referred to as the Zero-Dispersion Wavelength(ZDW). In addition, the dispersion slope parameter  $\beta_3$ , also referred to as the TOD parameter, is required to be included when wavelength approaches the ZDW to within a few nanometers for accurate evaluation of ultrashort pulse propagation.

The ZDW of actual silica glass fibers deviates from that of bulk-fused silica. The reasons are as follows. First, the fiber core may be slightly doped with dopants such as  $\text{GeO}_2$ . Second, due to the structure of dielectric waveguide, the effective refractive index is slightly lower than the material refractive index of the core. More specifically, the change in mode confinement with optical frequency adds an additional refractive index variation which shifts the ZDW from the silica value to longer wavelengths. This waveguide contribution should be added to the material contribution to achieve the total GVD. Therefore, the total GVD is defined as the sum of the material dispersion and the waveguide dispersion as[197]

$$D_{MAT} = \frac{d\beta_1}{d\lambda} = -\frac{2\pi c}{\lambda^2} \beta_2 = \frac{1}{c} \frac{dn_g}{d\lambda} = -\frac{\lambda}{c} \frac{d^2n}{d\lambda^2} \quad (3.10)$$



$$D_{WG} = \frac{1}{c} \frac{dn_{g\_WG}}{d\lambda} = -\frac{\Delta n}{c} \frac{V}{\lambda} \frac{d^2[bV]}{dV^2} \quad (3.11)$$

$$D_{TOTAL} = D_{MAT} + D_{WG} = -\frac{\lambda}{c} \frac{d^2n}{d\lambda^2} - \frac{\Delta n}{c} \frac{V}{\lambda} \frac{d^2[bV]}{dV^2} \quad (3.12)$$

where  $\Delta n$  is the core-cladding index difference,  $V$  is the normalized frequency given in Equation (2.48) and  $b$  is the normalized propagation constant defined by the effective refractive index  $n_{eff}$  as[242]

$$b = \frac{n_{eff}^2 - n_{cladding}^2}{n_{core}^2 - n_{cladding}^2} \quad (3.13)$$

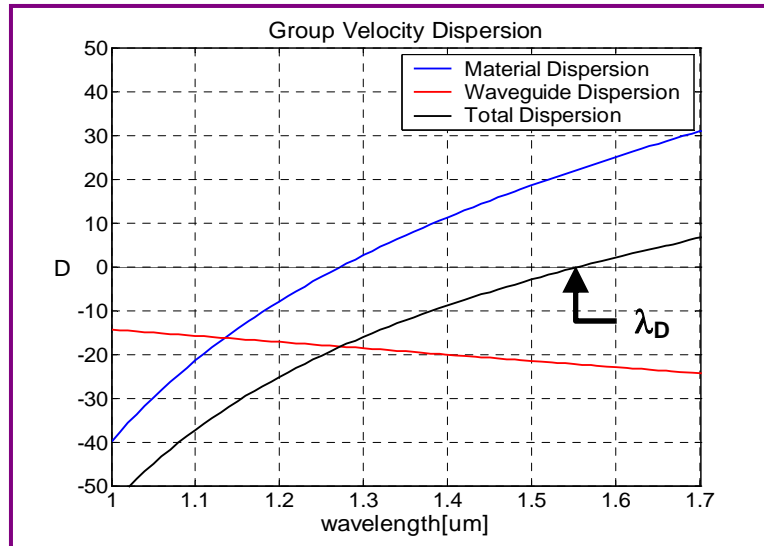


Figure 3-1. Waveguide Contribution for Dispersion-Shifted Fibers

Figure 3-1 shows the waveguide contribution for Dispersion-Shifted Fibers(DSF). The primary effect of the waveguide contribution is to shift ZDW toward longer wavelengths. This waveguide contribution can be controlled by adjusting fiber-design parameters such as

core radius and core-cladding index difference.  $\lambda_D \approx 1.55\mu\text{m}$  for DSFs and  $\lambda_D \approx 1.31\mu\text{m}$  for standard step-index fibers.

Actually, Equation (3.12) is valid only when the core-cladding index difference  $\Delta n$  is wavelength independent(a constant). Most generally, the effective refractive index is used with the varying core and/or cladding refractive indices to get the total GVD as

$$D_{TOTAL} = \frac{1}{c} \frac{dn_{geff}}{d\lambda} = -\frac{\lambda}{c} \frac{d^2 n_{eff}}{d\lambda^2} \quad (3.14)$$

where  $n_{geff}$  is the group effective refractive index. This total GVD represents pulse broadening in time[ps] by a fiber length of L[Km] for a pulse with a spectral width of  $\delta\lambda[\text{nm}]$  as follows.

$$\Delta t[ps] = L[Km] \cdot \delta\lambda[nm] \cdot D \left[ \frac{ps}{nm \cdot Km} \right] \quad (3.15)$$

The effect of GVD on the bit-rate B can be estimated by

$$B \cdot \Delta t = B \cdot L \cdot \delta\lambda \cdot |D| < 1 \quad (3.16)$$

Therefore, the BL product of single-mode fibers can be increased by operating at the ZDW and using a pulse with narrow spectral width. However, the dispersive effects do not disappear completely even at the ZDW. Optical pulses still experience broadening due to the higher-order dispersive effects and these higher-order dispersion effects are governed by the DS  $D_\lambda$  which can be defined by the dispersion parameter  $\beta_2[\text{ps}^2/\text{Km}]$  and the TOD parameter  $\beta_3[\text{ps}^3/\text{Km}]$  as[197]

$$D_\lambda \left[ \frac{ps}{nm^2 \cdot Km} \right] = \frac{dD}{d\lambda} = \left( \frac{2\pi c}{\lambda^2} \right)^2 \beta_3 + \left( \frac{4\pi c}{\lambda^3} \right) \beta_2 \quad (3.17)$$

In particular,  $\beta_2 = 0$  at the ZDW, so  $D_\lambda$  is now only affected by  $\beta_3$  as

$$D_\lambda = \frac{4\pi^2 c^2}{\lambda^4} \beta_3 \quad (3.18)$$

More specifically, although  $\beta_2$  dominates in most cases of practical interest,  $\beta_3$  can provide the dominant contribution when pulses operates near the ZDW where  $\beta_2 \approx 0$ . It is also necessary to consider  $\beta_3$  even when  $\beta_2 \neq 0$  for ultrashort pulses. As a result, the limiting BL product can also be estimated by

$$B \cdot L \cdot (\delta\lambda)^2 \cdot |D_\lambda| < 1 \quad (3.19)$$

Additionally, the GVD  $D(\lambda)$  is related to the DS  $D_\lambda$  by the relation

$$D(\lambda) = \frac{D_\lambda}{4} \left( \lambda - \frac{\lambda_D^4}{\lambda^3} \right) \quad (3.20)$$

Figure 3-2 shows the properties of pulse broadening by GVD. For the wavelengths smaller than the ZDW, the low-frequency components(red-shifted) of pulses travel faster than the high-frequency components(blue-shifted) of the same pulses. As a result, pulses spread with the red side of the spectrum leading. This region is often called ‘Normal’ or ‘Negative’ dispersion regime. On the other hand, for the wavelengths longer than the ZDW, the opposite occurs. This region is often called ‘Anomalous’ or ‘Positive’ dispersion regime. This

anomalous-dispersion regime is of considerable interest because in this regime, optical fibers support solitons through interplay between GVD and SPM.



Figure 3-2. Illustration of Pulse Broadening by Group-Velocity Dispersion

The pulse broadening by GVD is also affected by the position of the pump and signal wavelengths[257]. When the pump is in the normal regime and the signal is in the anomalous regime, the signal pulse is less broadened than it would be if the pump and signal pulses were in the opposite regimes. The reason is that when the pump is in the anomalous regime, its peak is increased and compressed and this high peak-compressed pump affects the signal pulse to create chirp. As a result, the signal is broken and broadened more.

### 3.2.2. Pulse Walk-Off

As discussed in the previous section, the important feature of GVD is that pulses at different wavelengths propagate at different speed due to the group-velocity differences. It causes pulse walk-off that plays a central role in nonlinear fiber switching devices involving two or more closely spaced pulses. In nonlinear fiber switching devices, they, in general, use different wavelengths for control and signal pulses to separate them easily after switching. Therefore, the effect of pulse walk-off between control and signal pulses seriously affects the switching performance. The interaction between the two pulses terminates when the fast moving pulse completely walks through the slower moving pulse. More specifically, when walk-off exceeds one time slot of OTDM signal pulses, the control pulse interacts with many

other different signal pulses, making it hard to select only one channel of the signals. Finally, it limits the bit-rate of the signal and switching bandwidth. This feature is governed by the walk-off parameter  $d_w$  given by

$$d_w = |\beta_1(\lambda_1) - \beta_1(\lambda_2)| = \left| \frac{1}{v_g(\lambda_1)} - \frac{1}{v_g(\lambda_2)} \right| \quad (3.21)$$

The walk-off length for the initial pulse width of  $\tau$  can be defined as

$$L_w = \frac{\tau}{d_w} \quad (3.22)$$

As the walk-off parameter increases and the initial pulse width decreases, the walk-off length decreases, thus making it hard to achieve high bit-rate and broad switching bandwidth.

### 3.2.3. Pulse Broadening

Another issue in nonlinear fiber devices is the temporal broadening of the control pulse caused by GVD. Even though the control and signal pulses have the same group velocities, if the control pulse has high GVD, the temporal broadening of the control pulse reduces the switching time of the devices. A shorter control pulse width exhibits faster response time, but it is often limited due to GVD.

The dispersion length  $L_D$  is defined as given in Equation (2.17) and the critical pulse width  $\tau_c$  is defined as[191]

$$\tau_c^2 = 4 \ln(2|\beta_2|L) \quad (3.23)$$

where  $\beta_2$  is the dispersion parameter and  $L$  is the fiber length. Over a propagating length  $L$ , an optical pulse broadens by a factor of 2 when  $L=L_D$ . Therefore, to avoid the pulse broadening effect, the following condition should be satisfied.

$$\frac{L}{L_D} \ll 1 \quad (3.24)$$

and the output pulse width  $\tau_o$  given by

$$\tau_o^2 = \tau_i^2 \left[ 1 + \left( \frac{\tau_c}{\tau_i} \right)^2 \right] \quad (3.25)$$

should be nearly equal to the input pulse width  $\tau_i$ .

Usually, nonlinear fiber devices require long device lengths to induce a sufficient nonlinear phase shift at lower optical powers due to the low nonlinearities of silica fibers. Furthermore, since the minimum switching time is limited by the control pulse width, a short control pulse is required to achieve much faster switching. These requirements of long device length, which increases the walk-off parameter and GVD, and short control pulse in nonlinear fiber devices make the switching performance much worse because a short pulse is broadened even more for a given fiber length. Therefore, the device length should be short enough to minimize the walk-off parameter and pulse broadening due to GVD. This can be achieved by introducing HNL glasses since they can increase the nonlinear-index coefficient and decrease the effective mode area, thus resulting in extremely short devices.

### 3.3. Polarization

#### 3.3.1. Polarization-Mode Dispersion

Single-mode fibers, in fact, support two modes that are linearly polarized in two orthogonal directions. Under the ideal conditions of perfect cylindrical symmetry and no asymmetric stresses, the two linearly polarized modes would not couple to each other and their propagation constants are the same. However, in practical fibers, due to the variation of core shape caused by stresses, bubbles, bends, twist, and so forth, fibers become slightly birefringent and the propagation constants of the two orthogonal modes become slightly different. This property of optical fibers is referred to as modal birefringence defined by

$$\delta n = \frac{|\beta_x - \beta_y|}{k_0} = |n_x - n_y| \quad (3.26)$$

where x and y represent the two orthogonal directions. This small difference between the propagation constants leads to the mixing of the two orthogonally polarized modes even under the smallest external perturbations. Therefore, for such fibers, the SOP of the linearly polarized input signal varies randomly as it propagates inside the fiber. Although this random variation of SOP is typically harmless for a continuous wave, it can be a problem when a short pulse is transmitted over long length in optical communication systems. As the short pulse propagates, its SOP changes randomly and finally it becomes broader at the output end because the group velocities of the two orthogonal modes are different. This phenomenon is referred to as the Polarization Mode Dispersion(PMD). The extent of the pulse broadening can be estimated from the time delay  $\Delta t$  between the two orthogonal modes as

$$\Delta t = L \left| \frac{1}{v_{gx}} - \frac{1}{v_{gy}} \right| = \frac{L}{c} |n_{gx} - n_{gy}| \quad (3.27)$$

Consequently, for Polarization-Maintaining Fibers(PMF)( $\delta n = \text{constant}$ ), PMD is defined as

$$PMD \left[ \frac{ps}{Km} \right] = \frac{|n_{gx} - n_{gy}|}{c} = \frac{\delta n_g}{c} = \frac{1}{c} \left[ \delta n - \lambda \frac{d(\delta n)}{d\lambda} \right] = \frac{\delta n}{c} \quad (3.28)$$

However,  $\delta n$  varies randomly for non-PMFs( $\delta n \neq \text{constant}$ ), and PMD is defined as

$$PMD \left[ \frac{ps}{\sqrt{Km}} \right] = \frac{\delta n_g}{c} \sqrt{L_{co}} \quad (3.29)$$

where  $L_{co}$  is the correlation length defined by the length over which the two polarization components remain correlated. Typically, for  $L > 0.1\text{Km}$ ,  $L_{co} \ll L$ . Note that since the time delay  $\Delta t$  for non-PMFs depends on  $\sqrt{L}$  as

$$\Delta t = \frac{\delta n_g}{c} \sqrt{L_{co}} \cdot \sqrt{L} \quad (3.30)$$

This PMD-induced pulse broadening is relatively small compared with the GVD-induced pulse broadening. However, PMD becomes a limiting factor for the system designed to operate over a long distance at high bit rates near the ZDW. PMFs can be used to overcome the randomly varying SOP. In PMFs, the input signal which is linearly polarized in either one of the two principal axes, maintains the SOP during propagation along the fiber. If the linearly polarized input signal makes an angle with the two principal axes, the SOP of the two modes



varies continuously as they propagate inside the fiber in a periodic manner with the period called the beat length given by

$$L_B = \frac{2\pi}{|\beta_x - \beta_y|} = \frac{\lambda}{\delta n} \quad (3.31)$$

### 3.3.2. Polarization Sensitivity

The fluctuation of SOP seriously degrades the performance of nonlinear fiber devices because SOP is very critical to the operation of almost all nonlinear fiber devices. Therefore, polarization controllers or PMFs are usually used in order to control the SOP of an optical pulse, since the SOP in standard fibers changes randomly as the pulse propagates along the fiber. However, it is not efficient to adjust the polarization controllers continuously whenever required, and moreover, huge built-in stress birefringence in conventional low-index cladding PMFs depends highly on temperature variation.

In particular, nonlinear fiber devices based on the optical Kerr effect always use PMFs to ensure that the control pulse which is linearly polarized in either one of the two principal axes maintains its SOP along the fiber, because the optical Kerr effect operates based on the variation of SOP. In such devices, since the direction of the linearly polarized signal pulse makes a  $45^\circ$  angle with the two principal axes, the signal pulse will suffer PMD by the built-in birefringence. Moreover, this intentional non-varying built-in birefringence in PMFs is much higher than the varying birefringence in standard fibers. As a result, the use of PMFs also brings serious problems which cause the limitation of the response time and bit-rate of signals. The problems include the signal pulse broadening caused by PMD and the pulse walk-off between the control and signal pulses caused by the birefringence-induced group-velocity mismatch. Additionally, for the nonlinear fiber devices based on mode interference,

SOPs of the two modes should be maintained and remain the same during operation for better performance, but it is hard to achieve due to the temperature dependence of the SOP.

Short lengths of devices are able to solve these problems of the signal pulse broadening caused by PMD and the pulse walk-off caused by the birefringence-induced group-velocity mismatch. Moreover, short devices are hardly affected by environmental disturbances. Therefore, the use of HNL glasses for short devices would be the best way to effectively reduce polarization sensitivity.

## CHAPTER 4.

# MICROSTRUCTURE FIBERS

In this dissertation, the three types of high-nonlinearity glass fibers, HNL glass-core silica-clad fibers, HNL glass-core air-clad(microstructure) fibers, and HNL glass-core HNL glass-clad fibers, are considered in studying the effect of HNL glasses. More specifically, when HNL glasses are used for the three types of fibers, the performance of highly nonlinear fiber devices operating based on different principles are evaluated. This chapter describes the structures, properties, and applications of the MicroStructure Fibers(MSF). This chapter also compares structures and some critical properties, which are related to nonlinear operations, of different types of optical fibers.

### 4.1. Structures

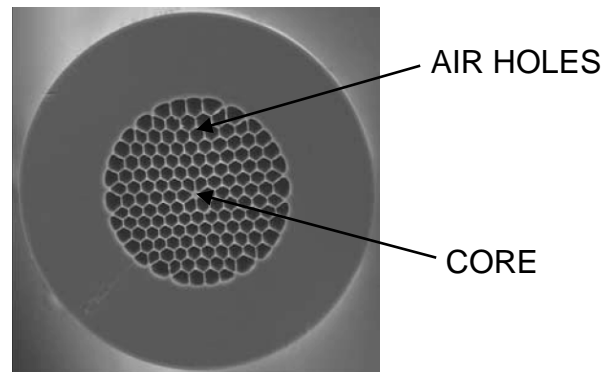


Figure 4-1. Structures of a Microstructure Fiber[258]

Figure 4-1 shows the structures of one kind of MSF[258]. The most distinct feature of MSFs[259] compared with conventional fibers is that the low-index cladding region is

replaced by holes which are usually air holes. That is, the cladding region consists of both glass and air, so the average refractive index of the cladding falls somewhere between glass and air.

Because MSFs can be made from a single material, it is possible to eliminate the problems caused by the core-cladding interface of two different glasses. The characteristics of MSFs are affected by the diameter of a hole and the pitch which is the length from center of a hole to center of an adjacent hole. Additionally, the combination of small hole-to-hole pitch and large air-filling fraction, defined by the ratio of hole area to total cladding area, results in tight mode confinement due to the high glass/air index contrast and thus, a small effective mode area.

The MSFs can be classified according to their structural geometries, determined by the arrangement, number, size, pitch, and shape of air holes. Fibers with structures, as that shown in Figure 4-1, in which a central core is supported by a spider web of glass are called “Cobweb” fibers[260] and fibers with structures in which air holes fill only a small fraction of glass in the cladding are often referred to as “Endless Single-Mode” fibers[261]. In addition, when holes are arranged in a periodic manner, a photonic bandgap is introduced where light is coherently reflected back into the core. Such fibers are referred to as “Photonic Bandgap Fibers”(PBF)[262]. The guidance mechanisms of PBFs are different from those of Cobweb and Endless Single-Mode fibers, which are similar to the conventional way of light guiding. For the PBFs, the core refractive index can be lower than the average cladding refractive index. It, in fact, can be hollow. In contrast, the exact position of the holes does not make much difference for the Cobweb fibers.

## 4.2. Properties

One of the attractive features of MSFs is the greater tolerance in tailoring various fiber properties. There are some unique and interesting properties in MSFs. It is possible to design a single-mode fiber with an extremely small spot size to enhance nonlinear effects[263] or with a very large spot size to carry high optical power without nonlinear interactions or optical damage[264-265].

### 4.2.1. Group-Velocity Dispersion

The dispersion properties of MSFs are quite different from those of the conventional fibers. As discussed in Section 3.2.1, the ZDW can be shifted from a shorter wavelength to a longer wavelength in the conventional silica-core silica-clad fibers due to the waveguide contribution. Note that it is impossible to shift the ZDW to a shorter wavelength in the conventional fibers. In the MSFs in which the core region is surrounded by air, the waveguide dispersion dominates over material dispersion due to high index difference and small core radius. This can increase the flexibility in controlling the total dispersion as well as the location of ZDW[260].

As shown in Figure 4-2, the Cobweb MSF can have two ZDWs and it is possible to place the ZDW anywhere in the visible or infrared spectral regions. This ability to control the ZDW plays an important role in nonlinear fiber devices, since they usually require anomalous or near-zero dispersion at 1550nm where most HNL glasses show high normal material dispersion. It is also shown in Figure 4-2 how the dispersion varies with the silica-core diameter surrounded by air. As the core size increases, the dispersion will eventually match the material dispersion.

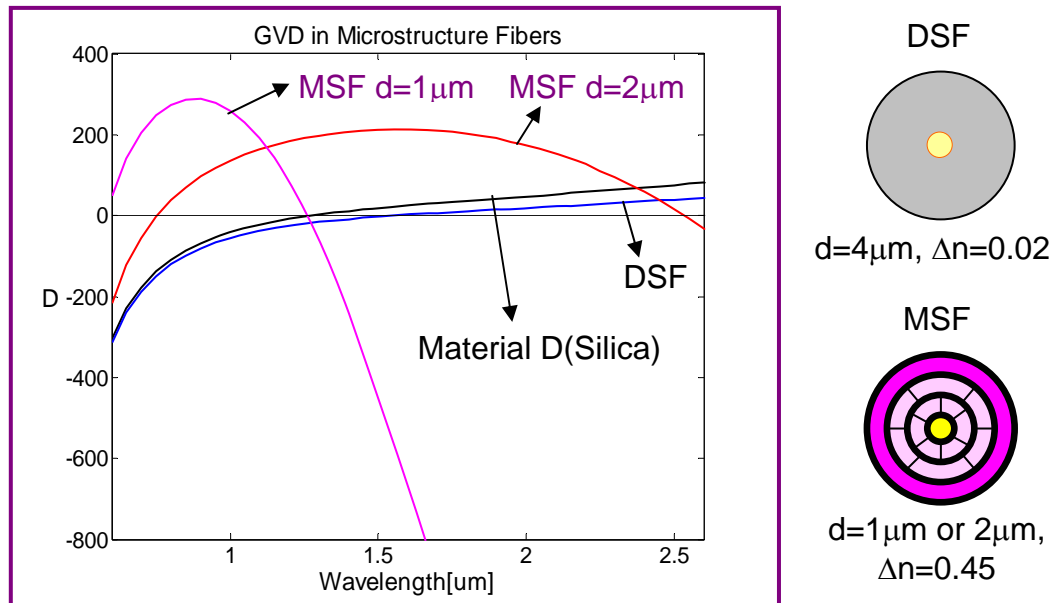


Figure 4-2. Group-Velocity Dispersion of Microstructure Fibers for Different Core Diameters

In addition, the careful design of the Endless Single-Mode MSFs allows a constant dispersion over a relatively broad spectral range[266-267]. The net dispersion can be close to zero which is useful for wideband continuum generation. The basic idea is to try to balance the slopes of the material and waveguide dispersions over some wavelength band. In MSFs, it is possible to shift the ZDW to a shorter wavelength[268], to have two ZDWs which can give flattened region by modifying air holes, to have very large negative dispersion for dispersion compensation[269], and to have anomalous dispersion in the visible range[270]. The applications of these properties will be discussed in Section 4.3.3.

#### 4.2.2. Birefringence

It is relatively easy to obtain high birefringence in the design of MSFs, which can facilitate control over the SOP for device applications. As discussed in Section 3.3, no fiber can be perfectly circular, which means that there will always be some local birefringence. The birefringence is usually linear so there will be orthogonal directions of maximum and

minimum effective refractive index. Because the birefringence can vary in magnitude and direction, the SOP of optical waves will vary as they travel along the fiber. This randomly varying SOP can be avoided by using Polarization-Maintaining Fibers(PMF). In PMFs, a large birefringence, which increases the resistance to the cross-coupling of the two orthogonal SOPs from internal and external perturbations, is deliberately introduced.

The maximum birefringence in conventional low-index cladding PMFs is around  $4 \times 10^{-4}$ . However, a birefringence of  $10^{-4}$  can arise unintentionally in MSFs from small asymmetries [271-272]. The reported maximum intentional birefringence( $\sim 4 \times 10^{-3}$ ) in MSFs is an order of magnitude larger than that of the conventional PMFs[273]. The simplest way to introduce birefringence is making the core noncircular. The key point is to take a large ellipticity to achieve high birefringence and the birefringence can also be introduced by applying air holes on each side of the core in MSFs. Conventional PMFs usually rely on stress introduced by differential thermal expansion from Boron-doped regions near the core.

Geometrical form birefringence of the MSFs can have fairly stable polarization properties while stress birefringence of the conventional low-index cladding fibers is highly temperature dependent, thus resulting in huge changes with temperature variation.

### **4.2.3. Photonic Bandgap**

PBFs can guide light in a lower-index core, which means that the core region can be filled with liquids such as water or can be even hollow(air). The transmission of a hollow-core light guide can be improved by adding reflecting layers around the hollow core as shown in Figure 4-3. The coherent reflections from multiple layers can be viewed as reflection from a photonic bandgap.

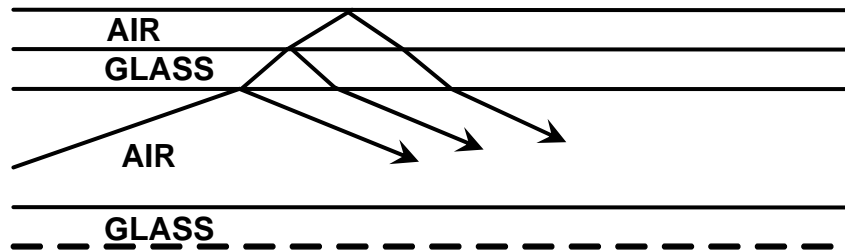


Figure 4-3. Basic Concept of Light Propagation in Hollow-Core

Early PBFs had hole spacings (pitch) around  $5\mu\text{m}$  and air filling fractions less than 50%. More recent PBFs have air filling fractions greater than 90% so the hollow core is surrounded by a thin cylinder of silica[274]. Also, the PBF in which air holes are arranged in a hexagonal pattern has been developed with an extremely low loss of  $1.72\text{dB/Km}$  at  $1565\text{nm}$ [275].

### 4.3. Applications

#### 4.3.1. Supercontinuum Generation

The most simple and ubiquitous application of MSFs is continuum generation[276-278]. The principle is that a short intense optical pulse coupled into a fiber is spectrally broadened by nonlinear effects. The evident characteristic of nonlinear effects is a generation of new frequencies and the magnitude of nonlinear effects increases with high optical intensity, long interaction length, and small effective mode area. The core diameters of MSFs can be less than  $1\mu\text{m}$  giving the effective mode area  $A_{\text{eff}} \approx 1\mu\text{m}^2$ . While, the effective mode areas for standard fibers and specially-designed highly nonlinear conventional fibers are around  $60\mu\text{m}^2$  and  $20\mu\text{m}^2$ , respectively. The supercontinuum can be used for ultrafast spectroscopy, spectrum slicing, optical pulse compression, and optical coherence tomography.



### 4.3.2. Nonlinear Fiber Devices

The nonlinear phenomena discussed in Chapter 1 can occur easily in MSFs due to the extremely small effective mode area[279-281] and a demultiplexer based on XPM for optical time-division-multiplexed signals[282] can be implemented using MSFs. As discussed in Section 3.1, a general rule for nonlinear switching is that 1KW of optical power is typically required with 1m of device length for a phase shift of  $\pi$  in standard fibers. This is about three orders of magnitude away from the most practical applications. Specially-designed highly nonlinear fibers utilizing high GeO<sub>2</sub> doping can lower the required power by about a factor of five. For silica-core cobweb MSFs, the power requirement drops to 10W for 1m of device length. Furthermore, if HNL glasses are used as the cores of the MSFs, the required power can be further reduced to practical levels.

### 4.3.3. Applications of Dispersion Shifting

The increased ability to tailor the magnitude and sign of the dispersion in MSFs brings new applications and extends the capabilities of existing applications. Many lightwave systems utilize Dispersion Compensating Fibers(DCF) to correct the dispersive broadening of optical pulses. DCFs are designed to maximize the normal or negative dispersion to cancel the pulse broadening. Figure 4-4 shows the extremely high negative GVD of a Cobweb MSF. It can have the negative dispersion which is 20 times larger than that of a conventional DCF. As a result, it is possible to use a shorter length of DCF for dispersion compensation[269]. Also, MSFs can have greater ability in compensating dispersion over a wide bandwidth[270].

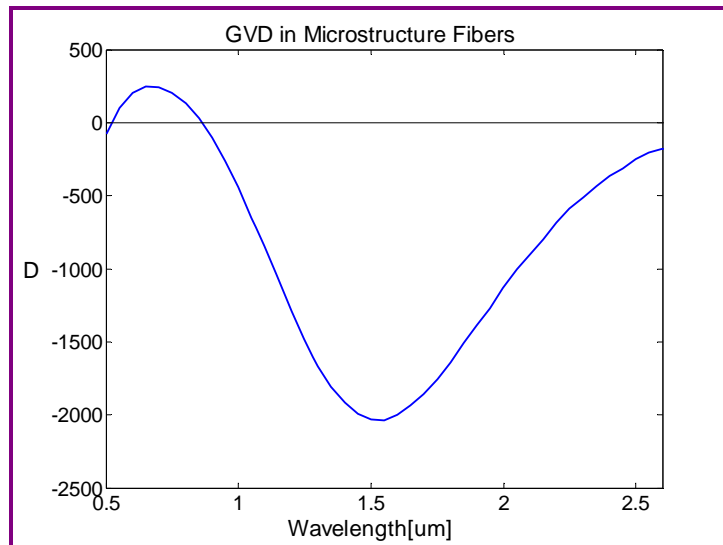


Figure 4-4. High Negative Group-Velocity Dispersion of Cobweb Microstructure Fibers

While dispersion compensation restores a temporally broadened optical pulse to its original pulse width, optical pulse compression produces a pulse much shorter than an input pulse. The spectrally-broadened chirped pulse is produced with large optical nonlinearity as discussed under the application of supercontinuum generation. For compression of this chirped pulse, the required dispersive delay must be obtained by the anomalous or positive dispersion. This can be achieved using the high anomalous dispersion shown in Figure 4-4.

Soliton pulses propagate without spreading by balancing chirp from SPM with anomalous dispersion. In conventional fibers, solitons are only observed at the wavelength greater than 1300nm, because anomalous dispersion in conventional fibers can not be developed at the wavelength shorter than 1300nm. However, as also shown in Figure 4-4, MSFs can be designed with anomalous dispersion at any wavelength[270]. This makes it possible to produce solitons in the visible range as well as in the infrared range[283].

#### 4.4. Comparison

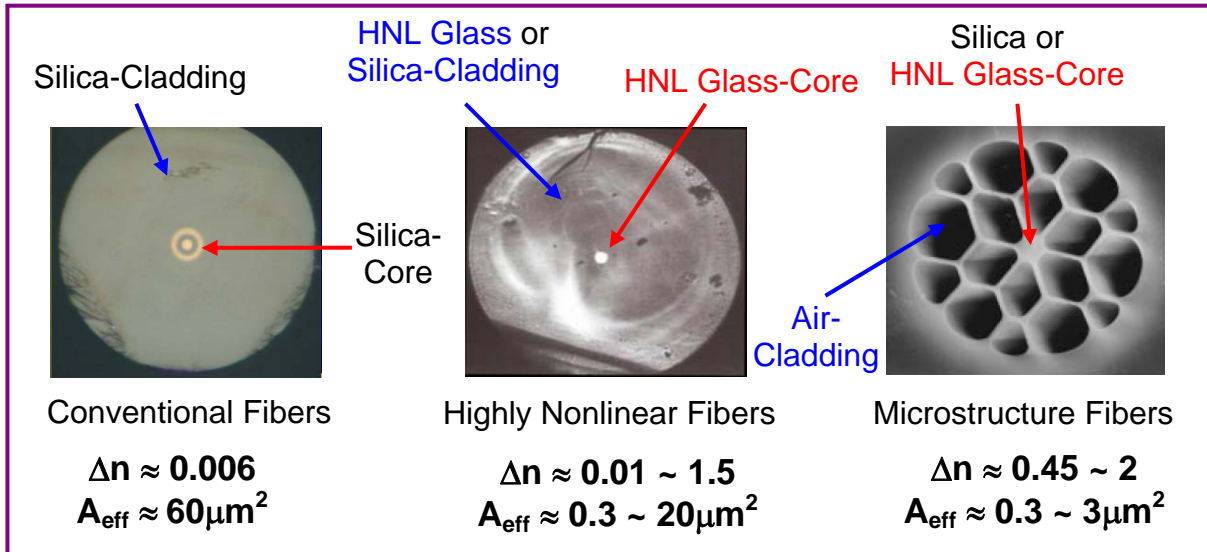


Figure 4-5. Comparison of Structures and Properties for Different Fiber Types[260]

Figure 4-5 compares the structures and properties of different types of fibers. The conventional silica-core silica-clad fibers have very small index difference and large effective mode area, while highly nonlinear fibers with silica-cladding have high index difference and small effective mode area, thus resulting in high nonlinearity. In MSFs, because the core is surrounded by air holes, they can develop much higher index difference and much smaller effective mode area. These properties enable extremely small spot size and thus much higher nonlinearity for nonlinear applications. Therefore, it is highly likely that the required device length for nonlinear fiber devices can be considerably reduced further by introducing HNL glasses as the cores of the MSFs.

Highly nonlinear fibers with lower-index cladding have usually been fabricated using similar glasses with slightly different compositions for both the core and cladding. As a result, they have lower index differences and larger effective mode areas compared to the highly nonlinear fibers with silica-cladding.

## **CHAPTER 5.**

# **HIGH-NONLINEARITY GLASSES**

Optical glasses with fast response times and high third-order nonlinearities are very promising materials for ultrafast nonlinear fiber devices[219, 284]. The third-order optical nonlinearity is the most important property for realization of ultrafast all-optical switching. This chapter addresses the general properties of the High-NonLinearity(HNL) Glasses of Lead-Oxide(PbO, Litharge), Bismuth-Oxide( $\text{Bi}_2\text{O}_3$ , Bismite), Tellurium-Oxide( $\text{TeO}_2$ , Tellurite), and Chalcogenide glasses with a focus on their nonlinear properties such as the third-order susceptibility and nonlinear-index coefficient. This chapter also discusses the characteristics of the conventional and microstructure fibers made of those HNL glasses.

### **5.1. Litharge(PbO) Glasses**

Lead reacts easily with silica to form low melting lead-silicates of high gloss and quality. It is the heaviest oxide that produces incredible colors and surface characteristics. It promotes low expansion, decreases the viscosity, but has a tendency to devitrify. In addition, it is often used in combination with boric oxide which improves crazing problems and resistance to chemical attacks. Problems with lead include toxic nature of many forms, volatilization, loss of gloss during higher firing, and less abrasion resistance.

The glasses containing lead-oxide(PbO) are called Litharge glasses. Litharge glass has a high refractive index making it bright and a relatively soft surface making it easy to decorate by grinding, cutting and engraving. Its ability to refract light more efficiently than standard glass makes it an excellent material for prisms, decorative objects, and artificial jewelry. It is also favored for electrical applications because of excellent electrical insulating characteristics,

and the concave or diverging half on an achromatic lens is usually made of litharge glass. It can not withstand high temperatures or sudden changes in temperature.

Lead-silicate glasses are especially promising materials for highly nonlinear fibers due to their suitable combination of properties. Although their material nonlinearities are lower than those of bismite, tellurite, and chalcogenide glasses, they provide higher thermal and crystallization stability and less steep viscosity-temperature-curves, while exhibiting low softening temperatures. The properties of PbO-based glasses are summarized below.

- High linear refractive index(1.75~2.46)
- High nonlinear-index coefficient( $22\sim 286\times 10^{-20}[\text{m}^2/\text{W}]$ )
- Wide transmission range( $0.4\mu\text{m}\sim 3\mu\text{m}$ )
- Transition temperature( $\sim 700^\circ\text{C}$ ) and melting temperature( $\sim 1100^\circ\text{C}$ )
- Soft, toxic, heavy, and ductile
- Highly malleable
- Poor electrical conductivity
- High corrosion resistance
- Highest atomic number of all stable elements

Hereafter, for all tables of the linear and nonlinear properties for different HNL glasses,

1. Numbers with asterisk(\*) mean the references placed on the bottom of tables.
2. The values of  $\chi^{(3)}$  and  $N_2$  in separate columns were obtained from references. Since most references present only one value of either  $\chi^{(3)}$  or  $N_2$ , the other value was estimated using the most accurate formulas given in Equations (1.35)-(1.37).
3. The number in the parenthesis next to  $N_2$  indicates how many times it is larger than the  $N_2$  value of silica glass. For pure silica glasses,  $\chi^{(3)}$  and  $N_2$  are  $0.27\times 10^{-13}[\text{esu}]$  and  $2.5\times 10^{-20}[\text{m}^2/\text{W}]$ , respectively at a wavelength of about 1500nm, and  $\chi^{(3)}$  and  $N_2$  are  $0.28\times 10^{-13}[\text{esu}]$  and  $2.6\times 10^{-20}[\text{m}^2/\text{W}]$ , respectively at a wavelength of about 633nm with linear polarization.

4. The value of  $\chi^{(3)}$  in the parenthesis next to the linear refractive index  $n_0$  was simply calculated only with the  $n_0$  through the Miller's rule given in Equation (1.27) for comparison. These values of  $\chi^{(3)}$  obtained from the Miller's rule showed quite large difference with those obtained from references or Equations (1.35)-(1.37). It seems that the Miller's rule might not be useful for compositions of different glasses. This is why many people have proposed the more complicated formulas, shown in section 1.2.6, for more accurate nonlinear properties of various types of glasses.

Table 5-1 summarizes the linear refractive index  $n_0$ , the third-order susceptibility  $\chi^{(3)}$ , and the nonlinear-index coefficient  $N_2$  for PbO-based glasses[91-102]. The largest value of  $N_2$  is 114 times larger than that of silica glass.

## CHAPTER 5. HIGH-NONLINEARITY GLASSES

Table 5-1. Linear and Nonlinear Properties of PbO-based Glasses

Glass Compositions	$n_0$ and $\chi^{(3)}$ [ $10^{-13}$ esu]	$\chi^{(3)}$ [ $10^{-13}$ esu]	$N_2$ [ $10^{-20}$ m <sup>2</sup> /W]
*1	(635nm)		
67PbO·33GaO <sub>1.5</sub>	2.260 (11.42)	7.7	30(11)
10TiO <sub>2</sub> ·60PbO·30GaO <sub>1.5</sub>	2.301 (13.64)	8.5	32(12)
10NbO <sub>2.5</sub> ·60PbO·30GaO <sub>1.5</sub>	2.280 (12.46)	7.5	28(11)
10WO <sub>3</sub> ·60PbO·30GaO <sub>1.5</sub>	2.258 (11.32)	6.7	26(10)
PbO·TiO <sub>2</sub> ·SiO <sub>2</sub> * <sup>2</sup>			286(114) (1064nm)
SF : Lead-Silicate Glasses* <sup>3</sup>	(1060nm)		
SF59	1.91 (1.97)	13	68(27)
SF58	1.88 (1.65)	8.8	49(20)
SF57	1.81 (1.08)	6.8	41(16)
SF56	1.75 (0.73)	4	26(10)
SF : Lead-Silicate Glasses* <sup>4</sup>	(546nm)	(1060nm)	
SF6	1.81 (1.08)	3.7	22(9)
SF66	1.93 (2.21)		
PbO·SiO <sub>2</sub> * <sup>5</sup>	(1060nm)		
	1.774 (0.85)	3.5	22(9)
PbO·SiO <sub>2</sub> * <sup>6</sup>	(633nm)		
	1.846 (1.35)	5.5	32(12)
*7	(589.3nm)		
60PbO·40SiO <sub>2</sub>	2.06 (4.44)		
57PbO·25BiO <sub>3</sub> ·18Ga <sub>2</sub> O <sub>3</sub>	2.46 (26.11)		
70PbO·12Ga <sub>2</sub> O <sub>3</sub> ·6Tl <sub>2</sub> O·12CdO	2.31 (14.18)		
57PbO·18Bi <sub>2</sub> O <sub>3</sub> ·18Ga <sub>2</sub> O <sub>3</sub> ·7Tl <sub>2</sub> O	2.30 (13.58)		
48PbO·14Bi <sub>2</sub> O <sub>3</sub> ·10Ga <sub>2</sub> O <sub>3</sub> ·14Tl <sub>2</sub> O·14CdO	2.27 (11.93)		

References : \*1[91], \*2[92], \*3[219], \*4: Schott Glass Catalog, \*5[94], \*6[96], \*7[216]

As discussed in Chapter 4, MSF technology has enabled considerable progress in the development of fibers with high nonlinearity. This can be achieved by combining a small core with a high numerical aperture to develop tight mode confinement. When HNL glasses are used for such MSFs, a further dramatic increase of the fiber nonlinearity can be obtained. While Table 5-1 shows the properties of PbO-based glasses, Table 5-2 presents the properties of highly nonlinear fibers which have actually been fabricated with PbO-based glasses[94-102].

Hereafter, for all tables of the properties of actual highly nonlinear fibers made of different HNL glasses,

1. Numbers with asterisk(\*) mean the references placed on the bottom of tables.
2. CF represents Conventional Fiber in which both the core and cladding are made of glasses, and MSF represents MicroStructure Fiber which has a glass-core and air-cladding.
3.  $\gamma$  is the nonlinear parameter given in Equation (1.10),  $d$  is the core diameter,  $A_{\text{eff}}$  is the effective mode area given in Equation (1.7), and GVD is the Group-Velocity Dispersion.



Table 5-2. Properties of Highly Nonlinear Fibers with PbO-based Glasses

Fiber Compositions	$\gamma$ [ $W^{-1}Km^{-1}$ ]	d [ $\mu m$ ]	$A_{eff}$ [ $\mu m^2$ ]	GVD [ $ps/nm \cdot Km$ ]	Loss [dB/m]
CF <sup>*1</sup> : $\Delta n=0.046$ core( $n=1.774$ ) & clad( $n=1.728$ ) PbO:SiO <sub>2</sub>		0.45	$7 \pm 1$	-207 (1060nm)	2 (1071nm)
CF <sup>*2</sup> : core: PbO-GeO <sub>2</sub> clad: SF57		7			2
MSF <sup>*3</sup> : SF57		2	2 (1550nm)		3 (633nm) 10 (1550nm)
MSF <sup>*4</sup> : SF57	550 (1550nm)	2	$3.0 \pm 0.3$ (1550nm)		4 (1550nm)
MSF <sup>*5</sup> : SF6		2.6		50 (1550nm)	4.5 (1550nm) min. 2 (1200nm)
MSF <sup>*6</sup> : SF57	$640 \pm 60$ (1550nm)	1.7	$2.6 \pm 0.3$ (1550nm)	80 (1550nm)	2.6 (1550nm)
MSF <sup>*7</sup> : SF6 (LMAF)			$40 \pm 2$ (800nm)		

LMAF : Large Mode Area Fiber

References : \*1[94], \*2[95], \*3[96], \*4[97], \*5[98], \*6[99-100], \*7[101]

## 5.2. Bismite( $\text{Bi}_2\text{O}_3$ ) Glasses

Bismite is derived from the ignition of bismuth nitrate which in turn is obtained from the heavy metal bismuth. Bismuth is very similar to lead, however there is no evidence of toxicity, and it has been used in low temperature frits, as a flux in conductive glazes, and in metal enamels. Bismite has been used instead of litharge in amounts up to 50% in optical glasses to improve durability and increase the specific gravity and the refractive index. Arsenic is often used with it to prevent a tendency toward grey coloration. Bismite is a very effective substitute for litharge, providing the same high gloss, flow, bubble clearance characteristics, high refractive index, surface tension, viscosity, and resistance to aggressive dishwasher detergents. Bismite melts at a lower temperature than litharge and thus glazes can be even more fluid. The properties of  $\text{Bi}_2\text{O}_3$ -based glasses are summarized below.

- High linear refractive index(1.87~2.6)
- High nonlinear-index coefficient( $32\sim 1810\times 10^{-20}[\text{m}^2/\text{W}]$ )
- Very wide transmission range( $0.45\mu\text{m}\sim 5\mu\text{m}$ )
- Transition temperature( $\sim 500^\circ\text{C}$ ) and melting temperature( $\sim 900^\circ\text{C}$ )
- Fusion-spliceable to  $\text{SiO}_2$ -based glasses
  - : Easy integration to silica-based systems
- High mechanical, chemical, and thermal durability
  - : Simple and easy fabrication process
- No toxicity

Table 5-3 summarizes the transition temperature TT, the linear refractive index  $n_0$ , the third-order susceptibility  $\chi^{(3)}$ , and the nonlinear-index coefficient  $N_2$  for  $\text{Bi}_2\text{O}_3$ -based glasses [103-118]. The largest value of  $N_2$  is 696 times larger than that of silica glass.

Table 5-3. Linear and Nonlinear Properties of  $\text{Bi}_2\text{O}_3$ -based Glasses

Glass Compositions	TT [°C]	$n_0$ and $\chi^{(3)}$ [ $10^{-13}$ esu]	$\chi^{(3)}$ [ $10^{-13}$ esu]	$N_2$ [ $10^{-20}$ m <sup>2</sup> /W]
*1		(633nm)		
25.5 $\text{Bi}_2\text{O}_3$ ·51.0 $\text{SiO}_2$ ·15.7 $\text{B}_2\text{O}_3$ ·7.8 $\text{BaO}$		1.87 (1.56)	58	327(126)
42.5 $\text{Bi}_2\text{O}_3$ ·28.4 $\text{SiO}_2$ ·28.4 $\text{B}_2\text{O}_3$ ·0.7 $\text{CeO}_2$		2.05 (4.22)	93	436(168)
$\text{Bi}_2\text{O}_3$ · $\text{B}_2\text{O}_3$ · $\text{SiO}_2$ *2		(633nm)		
$\text{Bi}_2\text{O}_3$ : 84.2 wt.%		2.04 (4.01)	53	251(97)
$\text{Bi}_2\text{O}_3$ : 89.0 wt.%		2.13 (6.28)	75	326(125)
$\text{Bi}_2\text{O}_3$ : 92.0 wt.%		2.21 (9.13)	93	375(144)
$\text{Er}^{3+}$ -doped $\text{Bi}_2\text{O}_3$ · $\text{B}_2\text{O}_3$ · $\text{SiO}_2$ *3		(970nm)		
$\text{Bi}_2\text{O}_3$ : 25 mol.%		1.908 (1.95)		
$\text{Bi}_2\text{O}_3$ : 35 mol.%		2.005 (3.34)		
$\text{Bi}_2\text{O}_3$ : 45 mol.%		2.093 (5.24)		
$\text{Bi}_2\text{O}_3$ : 55 mol.%		2.165 (7.41)		
(100-x) $\text{Bi}_2\text{O}_3$ ·x $\text{B}_2\text{O}_3$ *4		(643.85nm)		
x=60	432	2.0153 (3.52)		
x=65	449	1.9593 (2.61)		
x=70	459	1.8997 (1.86)		
x=75	465	1.8359 (1.27)		
x=80	460	1.7657 (0.81)		
(30-x) $\text{Li}_2\text{O}$ ·x $\text{K}_2\text{O}$ ·20 $\text{Bi}_2\text{O}_3$ ·50 $\text{B}_2\text{O}_3$ *5		(633nm)		
x=5		2.22 (9.55)		
x=10		2.42 (22.31)		
x=20		2.55 (36.76)		

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$\text{Bi}_2\text{O}_3 \cdot \text{B}_2\text{O}_3 \cdot \text{SiO}_2$ <sup>*6</sup>		(633nm)	(753nm)	
$\text{Bi}_2\text{O}_3$ : 44.0 mol.%		2.10 (5.42)	60	268(103)
$\text{Bi}_2\text{O}_3$ : 56.0 mol.%		2.22 (9.55)	260	1040(400)
$\text{Bi}_2\text{O}_3$ : 65.5 mol.%		2.31 (14.18)	490 (748nm)	1810(696) (748nm)
$78\text{Bi}_2\text{O}_3 \cdot 22\text{SiO}_2$ <sup>*7</sup>		(1550nm)		
		2.02 (3.61)	6.6	32(13)

References : <sup>\*1</sup>[103], <sup>\*2</sup>[104], <sup>\*3</sup>[105], <sup>\*4</sup>[106], <sup>\*5</sup>[107], <sup>\*6</sup>[108], <sup>\*7</sup>[110]

Table 5-4 presents the properties of highly nonlinear fibers which have actually been fabricated with Bi<sub>2</sub>O<sub>3</sub>-based glasses[110-118].

Table 5-4. Properties of Highly Nonlinear Fibers with Bi<sub>2</sub>O<sub>3</sub>-based Glasses

Fiber Compositions	$\gamma$ [W <sup>-1</sup> Km <sup>-1</sup> ]	d [ $\mu$ m]	A <sub>eff</sub> [ $\mu$ m <sup>2</sup> ]	GVD [ps/nm·Km]	Loss [dB/m]
CF <sup>*1</sup> : $\Delta n=0.01$ core(n=2.02) & clad(n=2.01) 78Bi <sub>2</sub> O <sub>3</sub> ·22SiO <sub>2</sub>	64.2 (1550nm)		20.4 (1550nm)	-130 (1550nm)	0.8
CF <sup>*2</sup> : $\Delta n=0.07$ core(n=2.099)&clad(n=2.029) Er <sup>3+</sup> -doped Bi <sub>2</sub> O <sub>3</sub> -based		4.9			0.7 (1310nm)
CF <sup>*3</sup> : $\Delta n=0.09$ core(n=2.22) & clad(n=2.13) Bi <sub>2</sub> O <sub>3</sub> ·B <sub>2</sub> O <sub>3</sub>	1360 (1550nm)	1.72	3.3 (1550nm)	-280 (1550nm)	1.9 (1310nm)
MSF <sup>*4</sup> : Bi <sub>2</sub> O <sub>3</sub> ·SiO <sub>2</sub>	460 ± 40 (1550nm)	2.1	2.8 ± 0.3 (1550nm)	40 (1550nm)	3.8 ± 0.5 (1550nm)
MSF <sup>*5</sup> : Bi <sub>2</sub> O <sub>3</sub> ·SiO <sub>2</sub>	1100 (1550nm)	1.8			3.4 (1550nm)
	735 (1550nm)	2.1			2.8 (1550nm)
	460 ± 50 (1550nm)	2.7	2.8 ± 0.3 (1550nm)		3.8 (1550nm)

References : \*1[110], \*2[111], \*3[112-113], \*4[114], \*5[115]

### 5.3. Tellurite( $\text{TeO}_2$ ) Glasses

Tellurite glasses, compared to silicate and fluoride glasses, have a reasonably wide transmission region, the lowest phonon energy among the common oxide glasses, large refractive indices, and high nonlinear-index coefficients. Their large refractive index and the small phonon energy are desirable for radiative transitions of rare-earth ions( $\text{Er}^{3+}$ ,  $\text{Tm}^{3+}$ ,  $\text{Nd}^{3+}$ ,  $\text{Pr}^{3+}$ ,  $\text{Yb}^{3+}$ , and so on) and the application of fiber lasers and amplifiers. The lower phonon energy leads to lower nonradiative transition rate(high fluorescence quantum efficiency) between adjacent rare earth energy levels, causing new fluorescence transitions and laser emission from additional energy levels.

Under normal conditions, tellurium-dioxide( $\text{TeO}_2$ ) has no vitrification ability without modifiers. Thus, glass-modifiers and/or secondary glass-formers are necessary in order to obtain tellurite glasses. Incorporation of a second component to tellurite glasses is expected to extend the Te-O interatomic distance, which should increase the mobility of the polyhedra and thereby provide a favorable condition for tellurite vitrification.

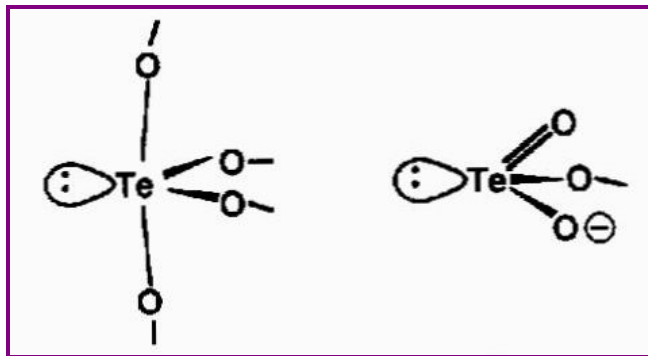


Figure 5-1. Structures of  $\text{TeO}_4$  tbp and  $\text{TeO}_3$  tp

Tellurite glasses have been found to be composed mainly of low symmetric structural units like  $\text{TeO}_4$  trigonal bipyramid(tbp) and  $\text{TeO}_3$  trigonal pyramid(tp) which are shown in Figure 5-1. They differ from conventional glass-forming systems like silicate and phosphates, in which symmetrical  $\text{SiO}_4$  and  $\text{PO}_4$  tetrahedra, respectively, are the main structural units. The

coordination state of tellurium atom changes from  $\text{TeO}_4$  tbp through  $\text{TeO}_{3+1}$  polyhedron to  $\text{TeO}_3$  tp with increasing alkali oxide. In the  $\text{TeO}_4$  tbp, one equatorial site of the Te  $sp^3d$  hybrid orbital is occupied by a lone pair of electrons and the other two equatorial and axial sites are occupied by oxygen atoms. In the  $\text{TeO}_3$  tp, one of the Te  $sp^3$  hybrid orbital is occupied by a lone pair of electrons. The irregular connection of these units has been proposed as a structure model for a variety of binary  $\text{TeO}_2$ -based glasses. The properties of  $\text{TeO}_2$ -based glasses are summarized below.

- High linear refractive index(1.82~2.27)
- High nonlinear-index coefficient( $16\sim 210\times 10^{-20}[\text{m}^2/\text{W}]$ )
- Very wide transmission range( $0.35\mu\text{m}\sim 6\mu\text{m}$ )
- Low transition temperature( $250\sim 400^\circ\text{C}$ ) and melting temperature( $450\sim 800^\circ\text{C}$ )
- Good glass stability, strength, and corrosion resistance
- Good rare-earth ion solubility
- Relatively low phonon energy for oxide glasses( $600\sim 850\text{cm}^{-1}$ ) to minimize nonradiative losses
- High electrical conductivity
- High resistance to devitrification and atmospheric moisture
- Good chemical durability
- High homogeneity
- Highly capable of incorporating large concentrations of rare-earth ions into the matrix

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Table 5-5 summarizes the transition temperature TT, the melting temperature MT, the linear refractive index  $n_0$ , the third-order susceptibility  $\chi^{(3)}$ , and the nonlinear-index coefficient  $N_2$  for TeO<sub>2</sub>-based glasses[119-162]. The largest one is 81 times larger than that of silica glass.

Table 5-5. Linear and Nonlinear Properties of TeO<sub>2</sub>-based Glasses

Glass Compositions	TT [°C]	MT [°C]	$n_0$ and $\chi^{(3)}$ [10 <sup>-13</sup> esu]	$\chi^{(3)}$ [10 <sup>-13</sup> esu]	$N_2$ [10 <sup>-20</sup> m <sup>2</sup> /W]
(100-x)TeO <sub>2</sub> ·xZnO <sup>*1, *3</sup>			(643.8nm)		
x=17.4 <sup>*1</sup>			2.1099 (5.7)		
x=24.6 <sup>*1</sup>	315		2.0807 (4.9)		
x=36.4 <sup>*1</sup>			2.0297 (3.8)		
			(840nm)		
x=25 <sup>*3</sup>	326		2.13 (6.28)	35	153(59)
x=30 <sup>*3</sup>	329		2.11 (5.70)	33	147(57)
(100-x-y)TeO <sub>2</sub> ·xTiO <sub>2</sub> ·yLi <sub>2</sub> O <sup>*2, *4</sup>			(633nm)		
x=5, y=20 <sup>*2</sup>			2.1 (5.42)	4.3	19(8)
x=10, y=10 <sup>*2</sup>			2.2 (8.72)	8.0	33(13)
			(1900nm)		
x=10, y=5 <sup>*4</sup>	340		2.145 (6.74)	3.729	16(6)
x=15, y=5 <sup>*4</sup>	333		2.223 (9.68)	4.111	16(6)
(100-x-y)TeO <sub>2</sub> ·xTiO <sub>2</sub> ·yPbO <sup>*2</sup>			(633nm)		
x=5, y=20			2.13 (6.28)	36	156(60)
x=10, y=20			2.2 (8.72)	28	114(44)
x=10, y=25			2.27 (11.93)	37	142(54)
(100-x-y)TeO <sub>2</sub> ·xTiO <sub>2</sub> ·yNb <sub>2</sub> O <sup>*2</sup>			(633nm)		
x=10, y=10			2.18 (7.95)	12	50(19)
x=10, y=15			2.27 (11.93)	9.6	37(14)



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$(100-x)\text{TeO}_2 \cdot x\text{Nb}_2\text{O}_5$ <sup>*3</sup>			(840nm)		
x=5	326		2.23 (9.99)	53	210(81)
x=10	357		2.24 (10.45)	47	185(71)
x=20	427		2.26 (11.42)	47	180(69)
$(100-x-y)\text{TeO}_2 \cdot x\text{Li}_2\text{O} \cdot y\text{Nb}_2\text{O}_5$ <sup>*3</sup>			(840nm)		
x=10, y=5	308		2.17 (7.59)	36	150(58)
x=15, y=5	296		2.15 (6.91)	34	146(56)
x=10, y=10	330		2.17 (7.59)	38	158(61)
$(100-x-y)\text{TeO}_2 \cdot x\text{K}_2\text{O} \cdot y\text{Nb}_2\text{O}_5$ <sup>*3</sup>			(840nm)		
x=10, y=10	353				
x=15, y=5	298		2.07 (4.67)	28	127(49)
$x\text{TeO}_2 \cdot (63-x)\text{GeO}_2 \cdot 27\text{PbO} \cdot 10\text{CaO}$ <sup>*5</sup>			(546.1nm)		
x=20	420		1.86 (1.47)		
x=30	385	600	1.91 (1.97)		
x=40	365	610	1.95 (2.47)		
$(100-x)\text{TeO}_2 \cdot x\text{WO}_3$ <sup>*6, *7</sup>		<sup>*7</sup>	$(632.8\text{nm})$ <sup>*6</sup>		
x=10			2.166 (7.45)	14.2	60(23)
x=15	362	607			
x=20			2.169 (7.55)	14.8	62(24)
x=30	398	622	2.172 (7.66)	15.9	66(26)
$(100-x)\text{TeO}_2 \cdot x\text{PbO}$ <sup>*8, *9</sup>		<sup>*9</sup>	$(632.8\text{nm})$ <sup>*8</sup>		
x=10	289		2.12 (5.98)		
x=30	262		2.17 (7.59)		
x=40			2.18 (7.95)		
x=50	231				
$x\text{TeO}_2 \cdot (65-x)\text{GeO}_2 \cdot 5\text{Nb}_2\text{O}_5 \cdot 30\text{BaO}$ <sup>*10</sup>			(589.3nm)		
x=10			1.8235 (1.2)		

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x=30			1.9235 (2.1)		
x=50			2.0235 (3.7)		
$(100-x-y)\text{TeO}_2 \cdot x\text{K}_2\text{O} \cdot y\text{WO}_3$ <sup>*11</sup>			(632.8nm)		
x=5, y=5	306	687	2.11 (5.70)		
x=10, y=10	308	619	2.04 (4.01)		
x=25, y=15	252	454	1.86 (1.47)		
x=20, y=40	363	630	1.92 (2.09)		
x=30, y=50	325	550	1.62 (0.28)		
$(100-x)\text{TeO}_2 \cdot x\text{Li}_2\text{O}$ <sup>*12</sup>			(633nm)		
x=7.5			2.141 (6.62)	12.81	55(21)
x=20			2.040 (4.01)	5.86	28(11)
x=25			1.997 (3.20)	4.21	21(8)
$(100-x)\text{TeO}_2 \cdot x\text{Na}_2\text{O}$ <sup>*12</sup>			(633nm)		
x=5			2.135 (6.43)	7.26	31(12)
x=10			2.085 (5.03)	5.86	27(10)
x=20			1.994 (3.15)	4.82	24(9)
$(100-x)\text{TeO}_2 \cdot x\text{K}_2\text{O}$ <sup>*12, *13</sup>		<sup>*13</sup>	(633nm) <sup>*12</sup>		
x=7.5			2.105 (5.56)	7.31	33(13)
x=15	249	442	1.990 (3.08)	5.15	26(10)
x=20	230	464	1.928 (2.19)	3.78	20(8)
$(90-x)\text{TeO}_2 \cdot x\text{ZnF}_2 \cdot 10\text{Na}_2\text{O}$ <sup>*14</sup>			(632.8nm)		
x=10	256	494	1.990 (3.08)		
x=20	241	466	1.914 (2.02)		
x=30	235	467	1.850 (1.38)		
$85\text{TeO}_2 \cdot 15\text{WO}_3$ <sup>*15</sup>			2.17 (7.59) (800nm)	18	76(29)
$(100-x)\text{TeO}_2 \cdot x\text{ScO}_{1.5}$ <sup>*16</sup>			(633nm)		
x=5			2.121 (6.01)	8.2	36(14)
x=10			2.085 (5.03)	5.6	25(10)

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$(100-x)\text{TeO}_2 \cdot x\text{TiO}_2$ <sup>*16</sup>			(633nm)		
x=5			2.190 (8.33)	14.2	58(22)
x=10			2.214 (9.29)	15.8	64(24)
x=15			2.227 (9.86)	16.6	66(25)
$90\text{TeO}_2 \cdot 10\text{VO}_{2.5}$ <sup>*16</sup>			2.141 (6.62)	36	155(60)
$(100-x)\text{TeO}_2 \cdot x\text{NbO}_{2.5}$ <sup>*16</sup>			(633nm)		
x=10			2.172 (7.66)	14.1	59(23)
x=20			2.182 (8.03)	16.1	67(26)
x=30			2.192 (8.41)	16.9	69(27)
$(100-x)\text{TeO}_2 \cdot x\text{MoO}_3$ <sup>*16</sup>			(633nm)		
x=10			2.115 (5.84)	6.7	29(11)
x=20			2.132 (6.34)	7.1	31(12)
x=30			2.092 (5.21)	6.9	31(12)
$(100-x)\text{TeO}_2 \cdot x\text{TaO}_{2.5}$ <sup>*16</sup>			(633nm)		
x=5			2.164 (7.38)	12.2	51(20)
x=10			2.154 (7.04)	10.1	43(17)
x=15			2.137 (6.49)	8.0	35(13)
$(100-x)\text{TeO}_2 \cdot x\text{MgO}$ <sup>*17</sup>			(632.8nm)		
x=5			2.01 (3.43)		
x=25			1.96 (2.62)		
$(100-x)\text{TeO}_2 \cdot x\text{La}_2\text{O}_3$ <sup>*18</sup>					
x=10	620			1.19	
x=20				1.04	
$(100-2x)\text{TeO}_2 \cdot x\text{BaO} \cdot x\text{ZnO}$ <sup>*19</sup>			(589.3nm)		
x=7.5			2.17 (7.59)		
x=20			2.02 (3.61)		

References : <sup>\*1</sup>[119], <sup>\*2</sup>[120], <sup>\*3</sup>[121], <sup>\*4</sup>[122], <sup>\*5</sup>[123], <sup>\*6</sup>[124], <sup>\*7</sup>[125], <sup>\*8</sup>[126], <sup>\*9</sup>[127], <sup>\*10</sup>[128], <sup>\*11</sup>[129], <sup>\*12</sup>[130], <sup>\*13</sup>[131], <sup>\*14</sup>[132], <sup>\*15</sup>[133], <sup>\*16</sup>[124], <sup>\*17</sup>[126], <sup>\*18</sup>[285], <sup>\*19</sup>[216]

Table 5-6 presents the properties of highly nonlinear fibers which have actually been fabricated with TeO<sub>2</sub>-based glasses[154-162]. TeO<sub>2</sub>-based fibers have been extensively used in rare-earth ion-doped, especially erbium, fiber amplifiers[157-161].

Table 5-6. Properties of Highly Nonlinear Fibers with TeO<sub>2</sub>-based Glasses

Fiber Compositions	$\gamma$ [W <sup>-1</sup> Km <sup>-1</sup> ]	d [ $\mu$ m]	A <sub>eff</sub> [ $\mu$ m <sup>2</sup> ]	Cutoff $\lambda$ [ $\mu$ m]	Loss [dB/m]
CF <sup>*1</sup> : TeO <sub>2</sub> -based		5			0.9 (1350nm)
MSF <sup>*2</sup> : 75TeO <sub>2</sub> -20ZnO-5Na <sub>2</sub> CO <sub>3</sub>	47.8 (1550nm)	7	21.2		min. 2.3 (1055nm)
CF <sup>*3</sup> : $\Delta=2.2\%$ TeO <sub>2</sub> -based	55			1.3	0.02 (1560nm)
CF <sup>*4</sup> : $\Delta=1.5\%$ Er <sup>3+</sup> -doped TeO <sub>2</sub> -based				1.15	0.054 (1200nm)
CF <sup>*5</sup> : $\Delta=1.5\%$ Er <sup>3+</sup> -doped TeO <sub>2</sub> -based				1.3	1
CF <sup>*6</sup> : $\Delta=1.5\%$ Er <sup>3+</sup> -doped TeO <sub>2</sub> -based				1.2	0.2 (1300nm)
CF <sup>*7</sup> : $\Delta=1.5\%$ Er <sup>3+</sup> -doped TeO <sub>2</sub> -based				1.4	0.035 (1230nm)
CF <sup>*8</sup> : Tm <sup>3+</sup> -doped TeO <sub>2</sub> -based		5			6.5 (1400nm)

References : \*1[154], \*2[155], \*3[156], \*4[157], \*5[158], \*6[159], \*7[160], \*8[161]

Table 5-7 compares the properties of pure SiO<sub>2</sub> and pure TeO<sub>2</sub> glasses.

Table 5-7. Comparison of Properties of Pure SiO<sub>2</sub> and Pure TeO<sub>2</sub> Glasses

Glasses	TT [°C]	MT [°C]	n <sub>0</sub>	$\chi^{(3)}$ [10 <sup>-13</sup> esu]	N <sub>2</sub> [10 <sup>-20</sup> m <sup>2</sup> /W]	GVD [ps/nm·Km]	ZDW [μm] <sup>*1</sup>	Abbe # <sup>*2</sup>
			(633nm)			(1550nm)		
SiO <sub>2</sub>	1100	1700	1.457	0.28	2.6(1)	21.7	1.273	69
TeO <sub>2</sub>	400	733	2.239	14	55(21)	-35.7	1.688	17.7
Ref.	285		120			134		

\*1: Zero-Dispersion Wavelength by Material Contribution Only, \*2: Equation (1.29)

## 5.4. Chalcogenide Glasses

The chalcogenide glasses are composed of one or more of the chalcogenide elements such as S, Se, and Te, with other elements such as As, Ga, Ge, In, and Sb to form a stable glasses. Other elements such as P, I, Cl, Br, Cd, Br, Ba, Si, or Tl can be added to these glasses for tailoring their thermal, mechanical, and optical properties. The chalcogenide elements are covalent in nature and can exhibit chain, ring and/or network structures. In contrast to oxide glasses, they can depart from atomic stoichiometry through the partial segregation of chalcogens and/or redox adjustments of the nonchalcogen constituents.

Chalcogenide glasses are melted in closed systems due to the chalcogens volatility. Therefore, it is required, typically, to seal the batch components in an evacuated silica ampoule and to increase temperatures slowly with rocking motion to promote mixing. The glass components are often individually prepurified to remove oxide and hydride impurities which can impair the mid-IR transmission. The most distinct characteristics of chalcogenide glasses are high refractive indices and ZDWs in the mid-IR range.

It has been confirmed that Se-based chalcogenide glasses possess higher nonlinearity than S-based chalcogenide glasses, and it seems that Te-based chalcogenide glasses are not suitable for optical communications at wavelengths of 1.3 $\mu\text{m}$  or 1.5 $\mu\text{m}$  because their bandgap wavelengths become longer than the operating wavelengths. Additionally, the chalcogenide glasses based on Ga-La-S are of great interest, particularly due to their low toxicity, high transition temperature, and excellent rare-earth solubility, and the chalcogenide glasses based on As-S are suitable candidates for passive and active fiber applications due to their crystallization stability and their mechanical and chemical stability. The properties of Chalcogenide glasses are summarized below.

- High linear refractive index(2.03~3.23)
- High nonlinear-index coefficient( $101\sim 9000\times 10^{-20}[\text{m}^2/\text{W}]$ )
- Ultra wide transparency region from near IR to far IR(0.7 $\mu\text{m}$ ~16 $\mu\text{m}$ )

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- Low transition temperature(150~250°C) and melting temperature(300~400°C)
- High chemical durability
- Low phonon energy (200~300cm<sup>-1</sup>)
- Wide glass-forming region
- Can be fabricated into low-loss fiber
- Can be doped by rare-earth elements

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Table 5-8 summarizes the transition temperature TT, the linear refractive index  $n_0$ , the third-order susceptibility  $\chi^{(3)}$ , and the nonlinear-index coefficient  $N_2$  for chalcogenide glasses [163-196]. The largest one is 3600 times larger than that of silica glass.

Table 5-8. Linear and Nonlinear Properties of Chalcogenide Glasses

Glass Compositions	TT [°C]	$n_0$ and $\chi^{(3)}$ [ $10^{-13}$ esu]	$\chi^{(3)}$ [ $10^{-13}$ esu]	$N_2$ [ $10^{-20}$ m <sup>2</sup> /W]
*1		(1500nm)		
45As·55S		2.270 (11.93)	50	191(77)
33Ge·67S		2.027 (3.75)	24	115(46)
20As·60S·20Ge		2.112 (5.75)	40	177(71)
*2				(1500nm)
40As·45S·15Se	207			475(190)
40As·30S·30Se	202			263(105)
40As·15S·45Se	196			193(77)
24As·38S·38Se	135			1015(406)
*3		(1500nm)		
25Ge·75Se		2.4 (20.59)	88	300(120)
25Ge·65Se·10Te		2.5 (30.47)	174	550(220)
28Ge·60Se·12Sb		2.61 (45.76)	311	900(360)
40As·60Se		2.78 (82.19)	490	1250(500)
*4				(1064nm)
10Ge·90Se	102			1500(600)
30Ge·70Se	324			2100(840)
10Ge·10As·80Se	128			2200(880)
15Ge·10As·75Se	153			1200(480)
*2, *5	*2	(1550nm) <sup>*5</sup>		
40As·60S	215	2.45 (25.11)	168	550(220)
40As·60Se	191	2.81 (90.69)	931	2325(930)



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40As·40S·20Se		2.55 (36.76)	247	750(300)
40As·10S·50Se		2.76 (76.91)	541	1400(560)
40As·55Se·5Cu		2.93 (132.73)	926	2125(850)
25As·55S·20Te		2.52 (32.86)	376	1175(470)
*6		(1520nm)		
65Ga <sub>2</sub> S <sub>3</sub> ·32La <sub>2</sub> S <sub>3</sub> ·3La <sub>2</sub> O <sub>3</sub>		2.41 (21.43)	64	216(86)
70Ga <sub>2</sub> S <sub>3</sub> ·30La <sub>2</sub> O <sub>3</sub>		2.25 (10.92)	46	177(71)
70Ga <sub>2</sub> S <sub>3</sub> ·15La <sub>2</sub> O <sub>3</sub> ·15LaF <sub>3</sub>		2.26 (11.42)	36	139(56)
68Ga <sub>2</sub> S <sub>3</sub> ·32Na <sub>2</sub> S		2.14 (6.58)	24	101(40)
*7				(1060nm)
(As <sub>2</sub> S <sub>3</sub> ) <sub>50</sub> (Sb <sub>2</sub> S <sub>3</sub> ) <sub>30</sub> (PbI <sub>2</sub> ) <sub>20</sub>				700(280)
(As <sub>2</sub> S <sub>3</sub> ) <sub>45</sub> (Sb <sub>2</sub> S <sub>3</sub> ) <sub>45</sub> (Bi <sub>2</sub> S <sub>3</sub> ) <sub>10</sub>				800(320)
(As <sub>2</sub> S <sub>3</sub> ) <sub>35</sub> (Sb <sub>2</sub> S <sub>3</sub> ) <sub>35</sub> (Bi <sub>2</sub> S <sub>3</sub> ) <sub>10</sub> (PbI <sub>2</sub> ) <sub>20</sub>				1300(520)
(As <sub>2</sub> S <sub>3</sub> ) <sub>25</sub> (Sb <sub>2</sub> S <sub>3</sub> ) <sub>25</sub> (Bi <sub>2</sub> S <sub>3</sub> ) <sub>10</sub> (PbI <sub>2</sub> ) <sub>40</sub>				1600(640)
xAg·(100-x)(0.4As·0.6Se) *8		(1050nm)		
x=20		3.1 (220.38)	4388	9000(3600)
x=30		3.23 (317.50)		
*9		(2μm)		
40As·60S		2.43 (23.21)		
15As·25Ge·60Se		2.22 (9.55)		
12As·33Ge·55Se		2.53 (34.12)		
28Ge·12Sb·60Se		2.63 (49.15)		
*10		(633nm)		
Ge-As-S		2.22 (9.55)	37	148(59)
Ge-As-S-Se		2.36 (17.49)	70	248(99)
As-S-Se		2.55 (36.76)	140	424(170)

References : \*1[163], \*2[164], \*3[165], \*4[166], \*5[167], \*6[168], \*7[169], \*8[170], \*9[216], \*10[120]

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Table 5-9 presents the properties of highly nonlinear fibers which have actually been fabricated with chalcogenide glasses[179-196].

Table 5-9. Properties of Highly Nonlinear Fibers with Chalcogenide Glasses

Fiber Compositions	$N_2$ [ $10^{-20}m^2/W$ ]	d [ $\mu m$ ]	$A_{eff}$ [ $\mu m^2$ ]	GVD [ps/nm·Km]	Loss [dB/m]
CF <sup>*1</sup> :					
40As·60S-unclad					0.035 (2.44 $\mu m$ )
38As·5Ge·57Se-unclad					0.182 (2.12 $\mu m$ )
20Ge·80S-unclad					0.148 (1.68 $\mu m$ )
CF <sup>*2</sup> : $\Delta=0.4\%$ core : 38As·62S clad : 37.4As·62.6S	170		24.6		3 (1319nm)
CF <sup>*3</sup> :					(1536nm)
$\Delta=0.4\%$ core : 38As·62S clad: 37.4As·62.6S	93	5.4			0.9
$\Delta=0.8\%$ core : 38As·62S clad : 36.8As·63.2S	230	3.6			2.1
$\Delta=1.3\%$ core : 40As·60S clad : 38As·62S	200	3			3.0
CF <sup>*4</sup> : $\Delta n=0.157$ core : 38As·62S(n=2.6) clad:37.4As·62.6S(n=2.443)	390		27.8		2.0
CF <sup>*5</sup> :	(1552nm)				
core : 38As·62S clad : 37.4As·62.6S	93		23.3	-410	0.88

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CF <sup>*6</sup> : As <sub>2</sub> S <sub>3</sub> -based			8.6 (1.55μm)		0.6-3.0
CF <sup>*7</sup> :					
core : 40As·58S·2Se clad : 40As·60S		200			0.6 (4.8μm)
core: 30Ge·10As·30Se·30Te clad: 25Ge·10As·40Se·25Te		140			0.7 (6.56μm)
CF <sup>*8</sup> :					
70Ga <sub>2</sub> S <sub>3</sub> ·30La <sub>2</sub> S <sub>3</sub> -unclad					4.8 (4.75μm)
72.5Ga <sub>2</sub> S <sub>3</sub> ·27.5La <sub>2</sub> O <sub>3</sub> -unclad					3.8 (3.9μm)
CF <sup>*9</sup> : core : 40As·58S·2Se clad : 40As·60S		12			1 (2.7μm)
CF <sup>*10</sup> : Δn=0.032 core : (n=2.426) Pr <sup>3+</sup> -doped 37.8As·1.3Ge·0.5Ga·60.4S clad : (n=2.394) 36.6As·3.2Ge·60.2S		2.5			6.7 (1020nm) 3.3 (1300nm)
MSF <sup>*11</sup> Ga·Ga·S-based		10		10 (1500nm)	
CF <sup>*12</sup> : core : 38As·62Se clad : 39As·61S		7			0.7 (1560nm)
CF <sup>*13</sup> : core : 39As·61Se clad : 38As·62Se	(1550nm)				
	2340 γ = 2450	7	40	-670	1

References : \*<sup>1</sup>[179], \*<sup>2</sup>[180], \*<sup>3</sup>[181], \*<sup>4</sup>[182], \*<sup>5</sup>[183], \*<sup>6</sup>[184], \*<sup>7</sup>[185], \*<sup>8</sup>[186], \*<sup>9</sup>[187], \*<sup>10</sup>[188], \*<sup>11</sup>[189], \*<sup>12</sup>[190], \*<sup>13</sup>[191]

## 5.5. Estimation of Losses

With the actual losses from fabricated fibers given in the previous sections, it would be useful to see the way of loss estimation for predictions of losses of HNL glasses. This section provides useful formulas for loss estimation[286-287].

The major scattering absorption losses are caused by density, Raman, and (for multi-component systems) concentration mechanisms and contribute to total loss  $\alpha=\alpha_0$ (in dB/Km) at minimum dispersion wavelength  $\lambda_0$  as follows.

$$\alpha_{0\_Density} = 7.0 \times 10^{-6} E_0 E_d E_l^2 (1 - \Lambda)^2 T K_T \quad (5.1)$$

$$\alpha_{0\_Concentration} = 0.084 E_0 E_d E_l^2 V F \quad (5.2)$$

$$\alpha_{0\_Raman} = \frac{1.4 E_0 E_d E_l^2 V d^2 \Lambda^2}{z_R l^2 m \omega} \coth\left(\frac{0.72 \omega}{T}\right) \quad (5.3)$$

In addition, the minimum dispersion vacuum wavelength can be defined in terms of the three Sellmeier energies[288] of the average electronic energy gap  $E_0$ , the dispersion energy  $E_d$ , and the lattice oscillator strength  $E_l$ (all in eV) as

$$\lambda_0[\mu m] = 1.63 \left( \frac{E_d}{E_0^3 E_l^2} \right)^{\frac{1}{4}} \quad (5.4)$$

It is presumed that one particular local motion with a number  $Z_R$  of such independent modes per molecular unit, which involves a highly polarizable bond type of bond length  $l$ , dominates both bond-dependent density and Raman scattering mechanisms. In Equations (5.1)-(5.3),  $d$  is the local structural dimensionality,  $K_T$  is the static isothermal compressibility in  $10^7$

$^{12}\text{cm}^2/\text{dyne}$ ,  $T$  is the temperature in Kelvin,  $V$  is the molar volume in  $\text{cm}^3$ ,  $l$  is the bond length in Angstroms,  $m$  is the effective mass of the dominant Raman mode in atomic mass units which has a frequency  $\omega$  in  $\text{cm}^{-1}$ ,  $F$  is a dimensionless parameter which is zero for single component materials. The dimensionless quantity  $\Lambda$  is related to  $d$  and to other physical characteristics of the bond.

Under the assumption in Equations (5.1) and (5.2) that at minimum dispersion, the electronic contribution to the dielectric constant is dominant and closely equal to its long wavelength limit of  $1+E_d/E_0$ , the three major contributions to scattering loss in this region vary with wavelength as  $\lambda^{-4}$ . For wavelengths which are not too far from  $\lambda_0$ , the wavelength-dependent absorption contributions can be given

$$\alpha_{Density} = \frac{5.0 \times 10^{-5} E_d^2 (1 - \Lambda)^2 T K_T}{E_0^2 \lambda^4} \quad (5.5)$$

$$\alpha_{Concentration} = \frac{0.6 E_d^2 V F}{E_0^2 \lambda^4} \quad (5.6)$$

$$\alpha_{Raman} = \frac{10.0 E_d^2 V d^2 \Lambda^2}{E_0^2 \lambda^4 z_R l^2 m \omega} \coth\left(\frac{0.72 \omega}{T}\right) \quad (5.7)$$

## 5.6. Comparison

Table 5-10 compares the properties for different glasses of Pure Silica, Litharge, Bismite, Tellurite, and Chalcogenide glasses.

Table 5-10. Comparison of Properties for Different Glasses

Properties	Pure Silica	Litharge	Bismite	Tellurite	Chalcogenide
$n_0$	1.4441 (1.5 $\mu\text{m}$ )	1.75~2.46	1.87~2.6	1.82~2.27	2.03~3.23
$N_2$ [ $10^{-20}$ m <sup>2</sup> /W]	2.5 (1.5 $\mu\text{m}$ )	22~286	32~1810	16~210	101~9000
Transmission Range [ $\mu\text{m}$ ]	0.2~2.5	0.4~3	0.45~5	0.35~6	0.7~16
Melting Temp. [ $^{\circ}\text{C}$ ]	1700 [pyrex: 820]	1100	900	450~800	300~400
Best Fiber Loss [dB/m]	0.0002 (1.5 $\mu\text{m}$ )	2 (1.2 $\mu\text{m}$ )	0.7 (1.31 $\mu\text{m}$ )	0.035 (1.23 $\mu\text{m}$ )	0.7 (1.56 $\mu\text{m}$ )
Transition Temp. [ $^{\circ}\text{C}$ ]	1100 [pyrex: 560]	700	500	250~400	150~250
Thermal Expansion Coefficient [ $10^{-6}/^{\circ}\text{C}$ ]	0.55	29	13	12~20	13~24
Density [g/cm <sup>3</sup> ]	2.2 [pyrex: 3.25]	9.5	9.4	6	4

## CHAPTER 6.

# EVALUATION METHODOLOGY

This chapter describes how the properties of nonlinear fiber devices, constructed with the HNL glasses of litharge, bismite, tellurite, and chalcogenide glasses, are evaluated. At first, representative glasses for each of the HNL glasses are selected and used in each of the nonlinear fiber devices operating based on the optical Kerr effect, NOLMs, ATCFs, or mode interference. To evaluate the performance of those devices, the three types of fibers, HNL glass-core silica-clad fibers, HNL glass-core air-clad(microstructure) fibers, and HNL glass-core HNL glass-clad fibers, are considered. Then, the required device length and the required optical power for the desired nonlinear effect, relative group delay between the control and signal pulses or between the two modes of the signal for the required device length, the properties of GVD and Dispersion Slope(DS), walk-off length for the input pulse width, and output pulse width(pulse broadening) are evaluated for individual nonlinear fiber devices constructed with the three types of fibers.

### 6.1. Glass Selection

To evaluate the properties of highly nonlinear fiber devices, representative types of HNL glasses are chosen with careful consideration of both linear and nonlinear properties. The selected glasses include SF57(lead-silicate, Schott glass),  $55\text{Bi}_2\text{O}_3\cdot 45\text{B}_2\text{O}_3$ ,  $75\text{TeO}_2\cdot 25\text{ZnO}$ , and  $40\text{As}\cdot 60\text{Se}$  for litharge, bismite, tellurite, and chalcogenide glasses, respectively. Table 6-1 presents the linear and nonlinear properties of selected glasses as well as the silica glass. The number in the parenthesis for  $N_2$  indicates how many times it is larger than the  $N_2$  value of silica glass.

Table 6-1. Linear and Nonlinear Properties of Selected Representative Glasses

Properties (at 1.55 $\mu\text{m}$ )	Silica $\text{SiO}_2$	Litharge $\text{SF57}$	Bismite $55\text{Bi}_2\text{O}_3\cdot 45\text{B}_2\text{O}_3$	Tellurite $75\text{TeO}_2\cdot 25\text{ZnO}$	Chalcogenide $40\text{As}\cdot 60\text{Se}$
$n_0$	1.4554 pure:1.4441	1.8017	2.1009	2.0278	2.7555
$N_2$ [ $10^{-20} \text{m}^2/\text{W}$ ]	2.5(1)	40(16)	1000(400)	148(59)	1250(500)
$\chi^{(3)}$ [ $10^{-13} \text{esu}$ ]	0.27	6.6	224	31	481

## 6.2. Required Device Length and Required Optical Power

For conventional silica-core silica-clad fiber devices, usually, a fiber length of 1Km with an optical power of 1W is required to obtain a phase shift of  $\pi$ . To assess the reduction in the required device length and optical power when the HNL glasses are used, the required lengths are calculated for nonlinear fiber devices based on the optical Kerr effect, NOLMs, or mode interference, while the required optical powers are calculated for wavelength-division demultiplexers based on ATCFs.

The required device lengths for nonlinear fiber devices based on the optical Kerr effect or NOLMs to achieve a nonlinear phase shift of  $\pi$  are obtained from followings.

### Nonlinear Fiber Devices based on the Optical Kerr Effect

$$\delta\phi_{XPM} = \frac{2\pi L}{\lambda_s} 1.5N_2 \frac{P_c}{A_{eff}} = \pi$$

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{3N_2 P_c}$$
(6.1)



### Nonlinear Fiber Devices based on Nonlinear Optical Loop Mirrors

$$\delta\phi_{XPM} = \frac{2\pi L}{\lambda_s} 2N_2 \frac{P_C}{A_{eff}} = \pi$$

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{4N_2 P_C}$$
(6.2)

In Equations (6.1) and (6.2),  $\lambda_s$  is the signal wavelength,  $P_C$  is the control peak power, and

$$A_{eff} = 2\pi \cdot \frac{\left[ \int \psi_s^2 r dr \right] \left[ \int \psi_c^2 r dr \right]}{\int \psi_s^2 \cdot \psi_c^2 r dr}$$
(6.3)

The required device lengths for SPM-induced and XPM-induced nonlinear fiber devices based on LP<sub>01</sub>-LP<sub>02</sub> mode interference to achieve a nonlinear phase shift of  $\pi$  can be obtained from Equations (2.53) and (2.55), respectively as follows.

### SPM-Induced Nonlinear Fiber Devices based on LP<sub>01</sub>-LP<sub>02</sub> Mode Interference

When  $P_S = P_{01} = P_{02}$

$$\delta\phi_{SPM} = \left| \frac{2\pi L N_2 P_S}{\lambda_s} \left[ \frac{1}{A_{eff\_01}} - \frac{1}{A_{eff\_02}} \right] \right| = \pi$$

$$L_{\pi}^{SPM} = \frac{\lambda_s}{2N_2 P_S \left| \frac{1}{A_{eff\_01}} - \frac{1}{A_{eff\_02}} \right|}$$
(6.4)

**XPM-Induced Nonlinear Fiber Devices based on LP<sub>01</sub>-LP<sub>02</sub> Mode Interference**
**When P<sub>P</sub> >> P<sub>S</sub> (Pump is in LP<sub>01</sub> Mode)**

$$\begin{aligned}
 \delta\phi_{XPM} &= \left| \frac{4\pi LN_2 P_P}{\lambda_S} \left[ \frac{1}{A_{eff\_01S\_01P}} - \frac{1}{A_{eff\_02S\_01P}} \right] \right| = \pi \\
 L_{\pi}^{XPM} &= \frac{\lambda_S}{4N_2 P_P \left| \frac{1}{A_{eff\_01S\_01P}} - \frac{1}{A_{eff\_02S\_01P}} \right|}
 \end{aligned} \tag{6.5}$$

where  $A_{eff\_01S\_01P}$  and  $A_{eff\_02S\_01P}$  are the effective mode areas given in Equations (2.56) and (2.57), respectively.

The required device lengths for SPM-induced and XPM-induced nonlinear fiber devices based on LP<sub>01</sub>-LP<sub>11</sub> mode interference to achieve a nonlinear phase shift of  $\pi$  can be obtained from Equations (2.62) and (2.64), respectively as follows.

**SPM-Induced Nonlinear Fiber Devices based on LP<sub>01</sub>-LP<sub>11</sub> Mode Interference**
**When P<sub>S</sub>=P<sub>01</sub>=P<sub>11</sub>**

$$\begin{aligned}
 \delta\phi_{SPM} &= \left| \frac{2\pi LN_2 P_S}{\lambda_S} \left[ \frac{1}{A_{eff\_01}} - \frac{1}{A_{eff\_11}} \right] \right| = \pi \\
 L_{\pi}^{SPM} &= \frac{\lambda_S}{2N_2 P_S \left| \frac{1}{A_{eff\_01}} - \frac{1}{A_{eff\_11}} \right|}
 \end{aligned} \tag{6.6}$$

**XPM-Induced Nonlinear Fiber Devices based on LP<sub>01</sub>-LP<sub>11</sub> Mode Interference****When  $P_P \gg P_S$  (Pump is in LP<sub>01</sub> Mode)**

$$\begin{aligned}
 \delta\phi_{XPM} &= \left| \frac{4\pi L N_2 P_P}{\lambda_S} \left[ \frac{1}{A_{eff\_01S\_01P}} - \frac{1}{A_{eff\_11S\_01P}} \right] \right| = \pi \\
 L_{\pi}^{XPM} &= \frac{\lambda_S}{4N_2 P_P \left| \frac{1}{A_{eff\_01S\_01P}} - \frac{1}{A_{eff\_11S\_01P}} \right|}
 \end{aligned} \tag{6.7}$$

where  $A_{eff\_01S\_01P}$  and  $A_{eff\_11S\_01P}$  are the effective mode areas given in Equations (2.56) and (2.65), respectively.

In addition, the required optical powers for wavelength-division demultiplexers based on ATCFs are obtained by

**Wavelength-Division Demultiplexers based on Asymmetric Twin-Core Fibers**

$$\begin{aligned}
 \delta n &= N_2 I = N_2 \frac{P_C}{A_{eff}} \\
 P_C &= \frac{A_{eff} \cdot \delta n}{N_2}
 \end{aligned} \tag{6.8}$$

### 6.3. Relative Group Delay, Group-Velocity Dispersion, and Dispersion Slope

The refractive index as a function of wavelength for each selected glass is first obtained by the following Sellmeier(or a similar) equation and accompanying coefficients.

**For Slightly GeO<sub>2</sub>-doped Silica(core), Pure Silica(cladding),  
and Litharge(SF57) Glasses**

$$n^2 = 1 + \frac{B_1 \lambda^2}{\lambda^2 - \lambda_1^2} + \frac{B_2 \lambda^2}{\lambda^2 - \lambda_2^2} + \frac{B_3 \lambda^2}{\lambda^2 - \lambda_3^2} \quad (6.9)$$

Table 6-2. Coefficients for GeO<sub>2</sub>·SiO<sub>2</sub>, Pure SiO<sub>2</sub>, and SF57 Glasses

	GeO <sub>2</sub> ·SiO <sub>2</sub> (core)	Pure SiO <sub>2</sub> (cladding)	SF57
B <sub>1</sub>	0.68698290	0.6961663	1.81651732
B <sub>2</sub>	0.44479505	0.4079426	0.428893631
B <sub>3</sub>	0.79073512	0.8974794	1.07186278
λ <sub>1</sub>	0.078087582	0.0684043	0.0143704198
λ <sub>2</sub>	0.11551840	0.1162414	0.0592801172
λ <sub>3</sub>	10.436628	9.896161	121.419942

**For Bismite Glass(55Bi<sub>2</sub>O<sub>3</sub>·45B<sub>2</sub>O<sub>3</sub>)[109]**

$$n^2 = A_0 + A_1 \cdot x + A_2 \cdot x^2 + \frac{B_1 \cdot x}{\lambda^2 - C_0 - C_1 \cdot x} - D_0 \cdot \lambda^2 \quad (6.10)$$

Table 6-3. Coefficients for 55Bi<sub>2</sub>O<sub>3</sub>·45B<sub>2</sub>O<sub>3</sub> Glass

x	A <sub>0</sub>	A <sub>1</sub>	A <sub>2</sub>	B <sub>1</sub>	C <sub>0</sub>	C <sub>1</sub>	D <sub>0</sub>
0.55	1.90598	5.78900	-2.22010	0.16995	0.02116	0.09230	0.01857

**For Tellurite Glass(75TeO<sub>2</sub>·25ZnO)[134]**

$$n^2 = A + \frac{B\lambda^2}{\lambda^2 - C} + \frac{D\lambda^2}{\lambda^2 - E} \quad (6.11)$$

Table 6-4. Coefficients for 75TeO<sub>2</sub>·25ZnO Glass

A	B	C	D	E
2.4843245	1.6174321	0.053715551	2.4765135	225

**For Chalcogenide Glass(40As·60Se)[191]**

$$n^2 = 1 + \frac{E_d E_s}{E_s^2 - \left(\frac{hc}{\lambda q}\right)^2} \quad (6.12)$$

where  $E_d$  is the electronic oscillator energy,  $E_s$  is the Sellmeier gap energy,  $h$  is the Planck's constant,  $c$  is the light velocity in vacuum, and  $q$  is the electron charge.

Table 6-5. Coefficients for 40As·60Se Glass

$E_d$ [eV]	$E_s$ [eV]	$h$ [J·s]	$q$ [C]
26	4.1	$6.626 \times 10^{-34}$	$1.602 \times 10^{-19}$

After determining the refractive indices of these glasses, the effective refractive indices for fiber modes are obtained by using vector wave analysis. Then, the RGD, between the control and signal pulses for nonlinear fiber devices based on the optical Kerr effect, NOLMs, and ATCFs[Equation (6.13)] or between two different modes of the signal pulse for nonlinear fiber devices based on mode interference[Equation (6.14)], for the required device length is estimated by

$$\Delta t_g = L \left| \frac{1}{v_{g\_s}} - \frac{1}{v_{g\_c}} \right| = \frac{L}{c} \left| n_{geff\_s} - n_{geff\_c} \right| \quad (6.13)$$

$$\Delta t_g = L \left| \frac{1}{v_{g\_01}} - \frac{1}{v_{g\_02 \text{ or } 11}} \right| = \frac{L}{c} \left| n_{geff\_01} - n_{geff\_02 \text{ or } 11} \right| \quad (6.14)$$

where  $n_{geff\_s}$  and  $n_{geff\_c}$  are the group effective refractive indices of the signal and control pulses, respectively,  $n_{geff\_01}$  and  $n_{geff\_02 \text{ or } 11}$  are the group effective refractive indices of the LP<sub>01</sub> and LP<sub>02</sub>(or LP<sub>11</sub>) modes of the signal pulse, respectively, and L is the device length.

Additionally, the GVD and DS are evaluated by using Equations (3.14) and (3.17), respectively to examine the effects of pulse broadening.

#### 6.4. Walk-Off Length and Output Pulse Width

The most critical point regarding the nonlinear fiber devices made of HNL glasses is the extremely high GVD. It is certain that high GVDs cause the serious problems of pulse walk-off and pulse broadening. To examine the effects caused by group-velocity mismatch, the walk-off length between the control and signal pulses for nonlinear fiber devices based on the optical Kerr effect, NOLMs, and ATCFs[Equation (6.15)] or between two different modes of the signal pulse for nonlinear fiber devices based on mode interference[Equation (6.16)] is estimated by

$$L_w = \frac{\tau \cdot c}{\left| n_{geff\_s} - n_{geff\_c} \right|} \quad (6.15)$$

$$L_w = \frac{\tau \cdot c}{\left| n_{geff\_01} - n_{geff\_02 \text{ or } 11} \right|} \quad (6.16)$$

where  $n_{geff\_s}$  and  $n_{geff\_c}$  are the group effective refractive indices of the signal and control pulses, respectively,  $n_{geff\_01}$  and  $n_{geff\_02 \text{ or } 11}$  are the group effective refractive indices of the LP<sub>01</sub> and LP<sub>02</sub>(or LP<sub>11</sub>) modes of the signal pulse, respectively,  $\tau$  is the initial pulse width, and  $c$  is the light velocity in vacuum.

Additionally, the propagation of the input pulse down the nonlinear fiber devices is simulated to observe the extent of pulse broadening caused by GVD. Since nonlinear fiber devices operate on the basis of nonlinear phenomena, both GVD and nonlinear effects should be considered to accurately simulate propagation of the input pulse. To this end, the nonlinear Schrödinger equation, which is the wave equation for a dispersive nonlinear medium and covers both linear and nonlinear properties of optical fibers, is used. Using the nonlinear Schrödinger equation, the effect of pulse distortion by SPM can also be accounted for. The nonlinear Schrödinger equation is expressed as

$$\frac{\partial A}{\partial z} + \beta_1 \frac{\partial A}{\partial t} + \frac{i}{2} \beta_2 \frac{\partial^2 A}{\partial t^2} - \frac{1}{6} \beta_3 \frac{\partial^3 A}{\partial t^3} = i\gamma |A|^2 A - \frac{\alpha}{2} A \quad (6.17)$$

where  $\gamma$  is the nonlinear parameter defined in Equation (1.10) and  $\alpha$  is the fiber loss. In this equation,  $\beta_1$ ,  $\beta_2$ ,  $\beta_3$ , and  $\gamma$  account for the group velocity, GVD, DS, and nonlinearity, respectively. This equation is quite accurate for describing the evolution of optical pulses as short as 5ps. The input pulse width considered in the simulation is 10ps.

Furthermore, the extent of pulse broadening is also estimated by considering both GVD and PMD in the linear regime. Since polarization is a very critical factor in the performance of nonlinear fiber devices, in most devices, PMFs are used. Therefore, nonlinear fiber devices should have a high index difference between the two principal axes due to the high built-in

birefringence. Moreover, MSFs easily exhibit extremely high birefringence due to their structural properties. This high index difference leads to high PMD and thus spreading of the input pulse. Thus, it is useful to examine the extent of pulse broadening by high PMD and high GVD in nonlinear fiber devices. The output pulse width can be estimated by

$$\Delta t_{OUT}^2 = \Delta t_{IN}^2 + \delta t^2 \quad (6.18)$$

$$\delta t^2 = \delta t_{GVD}^2 + \delta t_{PMD}^2 \quad (6.19)$$

$$\delta t_{GVD}[ps] = L[Km] \times \delta\lambda[nm] + GVD[ps/nm \cdot Km] \quad (6.20)$$

$$\delta t_{PMD}[ps] = L[Km] \times PMD[ps/Km] \quad (6.21)$$

where  $\Delta t_{IN}$  is the initial input pulse width and  $\delta t$  is the factor representing the extent of pulse broadening by GVD( $\delta t_{GVD}$ ) and PMD( $\delta t_{PMD}$ ). For the estimation of the output pulse width  $\Delta t_{OUT}$ , 10ps transform limited pulse defined as

$$\Delta \nu \cdot \Delta t = 0.44 \quad (6.22)$$

is considered as the input pulse. In Equation (6.22),  $\Delta \nu$  is the Full-Width at Half Maximum (FWHM) bandwidth and  $\Delta t$  is the FWHM pulses width. The almost maximum values of index differences  $\delta n$  of  $10^{-4}$  and  $10^{-3}$  are used for silica-clad fiber and MSF devices, respectively for the rigorous condition of evaluation.



### 6.5. Modal Solutions by Vector Wave Analysis

As previously described in Section 2.5, there are two ways for analyzing the mode distribution patterns of optical fibers. For the case of high index difference between the core and the cladding of optical fibers, vector wave analysis should be utilized. Therefore, the properties of the highly nonlinear fiber devices studied in this dissertation must be evaluated by the vector wave analysis for accurate results. It should be noted that although, in this dissertation, they have been referred to as  $LP_{01}$ ,  $LP_{02}$ , and  $LP_{11}$  modes, strictly speaking, the  $LP_{01}$  and the  $LP_{02}$  modes are the  $HE_{11}$  and the  $HE_{12}$  modes, respectively, and the  $LP_{11}$  mode is the combination of either the nearly degenerate  $TE_{01}$  and  $HE_{21}$  modes or  $TM_{01}$  and  $HE_{21}$  modes. The solutions of the vector wave equation for fiber modes are described in Appendix in some detail

## **CHAPTER 7.**

# **IMPACT OF HIGH-NONLINEARITY GLASSES ON NONLINEAR FIBER DEVICES**

This chapter is devoted to describing the effects of the HNL glasses of litharge, bismite, tellurite, and chalcogenide glasses on the performance of highly nonlinear fiber devices based on the optical Kerr effect, NOLMs, ATCFs, or mode interference. The required device length, the required optical power, Effective Refractive Index(ERI), Group Effective Refractive Index(GERI), Relative Group Delay(RGD), Group-Velocity Dispersion(GVD), Dispersion Slope(DS), walk-off length, and output pulse width (pulse broadening) have been evaluated for individual nonlinear fiber devices made of HNL glass-core silica-clad fibers or HNL glass-core air-clad(microstructure) fibers.

As summarized in Chapter 5, HNL glass fibers with lower-index cladding have usually been fabricated using similar glasses with slightly different compositions for both the core and cladding. Moreover, chalcogenide glasses have never been used as cores in silica-clad fibers due to the high melting temperature difference between silica and chalcogenide glasses. Thus, it is useful to compare this type of devices with the two different types of devices described above. As a result, the required device length for individual nonlinear fiber devices made of such HNL glass-core HNL glass-clad fibers has also been evaluated using actual parameters of the specific fibers which were fabricated with litharge glasses[94], bismite glasses[112], tellurite glasses[156], and chalcogenide glasses[182].

## **7.1. Nonlinear Fiber Devices based on the Optical Kerr Effect**

### **7.1.1. Required Device Length**

This section provides the required device length for nonlinear fiber devices based on the optical Kerr effect. The required device length was calculated from Equation (6.1) with a control power of 1W for a nonlinear phase shift of  $\pi$  due to XPM. For all nonlinear fiber devices constructed with different glasses, the same normalized frequency  $V$  of 2.25 at  $1.55\mu\text{m}$  was selected for reasonable comparison. Thus, the devices operate in a single-mode regime at wavelengths larger than  $1.45\mu\text{m}$ . It is assumed that the wavelengths of the signal and control pulses are  $1.55\mu\text{m}$  and  $1.54\mu\text{m}$ , respectively.

**For Nonlinear Fiber Devices based on the Optical Kerr Effect**

$$\lambda_s = 1.55 \mu m, \lambda_c = 1.54 \mu m, P_c = 1W, V = 2.25(\text{at } 1.55 \mu m), \lambda_{cutoff} = 1.45 \mu m$$


---

**SILICA-CORE**

$$n_{core} = 1.4554, N_2 = 2.5 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

*Silica-Clad Devices*

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 3.07 \mu m$$

$$n_{eff\_s} = 1.4496, n_{eff\_c} = 1.4497, A_{eff} = 37.67 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{3N_2 P_c} = \frac{1.55 \times 10^{-6} \times 37.67 \times 10^{-12}}{3 \times 2.5 \times 10^{-20} \times 1} = 780[m]$$

*Microstructure Devices*

$$n_{cladding} = 1, a = 0.53 \mu m$$

$$n_{eff\_s} = 1.1982, n_{eff\_c} = 1.2005, A_{eff} = 1.44 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{3N_2 P_c} = \frac{1.55 \times 10^{-6} \times 1.44 \times 10^{-12}}{3 \times 2.5 \times 10^{-20} \times 1} = 30[m]$$

**LITHARGE-CORE**

$$n_{core} = 1.8017, N_2 = 40 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

*Silica-Clad Devices*

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.52 \mu m$$

$$n_{eff\_s} = 1.6087, n_{eff\_c} = 1.6103, A_{eff} = 1.21 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{3N_2 P_c} = \frac{1.55 \times 10^{-6} \times 1.21 \times 10^{-12}}{3 \times 40 \times 10^{-20} \times 1} = 1.56[m]$$

*Microstructure Devices*

$$n_{cladding} = 1, a = 0.37 \mu m$$

$$n_{eff\_s} = 1.3186, n_{eff\_c} = 1.3234, A_{eff} = 0.88 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{3N_2 P_c} = \frac{1.55 \times 10^{-6} \times 0.88 \times 10^{-12}}{3 \times 40 \times 10^{-20} \times 1} = 1.14[m]$$

*Litharge-Clad Devices*

$$n_{core} = 1.774, n_{cladding} = 1.728, a = 1.38 \mu m$$

$$n_{eff\_s} = 1.7504, n_{eff\_c} = 1.7506, A_{eff} = 7.70 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{3N_2 P_c} = \frac{1.55 \times 10^{-6} \times 7.70 \times 10^{-12}}{3 \times 40 \times 10^{-20} \times 1} = 9.95[m]$$

**BISMITE-CORE**

$$n_{core} = 2.1009, N_2 = 1000 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.36 \mu m$$

$$n_{eff\_s} = 1.7301, n_{eff\_c} = 1.7334, A_{eff} = 0.70 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{3N_2 P_c} = \frac{1.55 \times 10^{-6} \times 0.70 \times 10^{-12}}{3 \times 1000 \times 10^{-20} \times 1} = 3.62 [cm]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.30 \mu m$$

$$n_{eff\_s} = 1.4058, n_{eff\_c} = 1.4132, A_{eff} = 0.66 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{3N_2 P_c} = \frac{1.55 \times 10^{-6} \times 0.66 \times 10^{-12}}{3 \times 1000 \times 10^{-20} \times 1} = 3.41 [cm]$$

***Bismite-Clad Devices***

$$n_{core} = 2.22, n_{cladding} = 2.13, a = 0.89 \mu m$$

$$n_{eff\_s} = 2.1737, n_{eff\_c} = 2.1741, A_{eff} = 3.19 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{3N_2 P_c} = \frac{1.55 \times 10^{-6} \times 3.19 \times 10^{-12}}{3 \times 1000 \times 10^{-20} \times 1} = 16.48 [cm]$$

**TELLURITE-CORE**

$$n_{core} = 2.0278, N_2 = 148 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.39 \mu m$$

$$n_{eff\_s} = 1.7017, n_{eff\_c} = 1.7046, A_{eff} = 0.77 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{3N_2 P_c} = \frac{1.55 \times 10^{-6} \times 0.77 \times 10^{-12}}{3 \times 148 \times 10^{-20} \times 1} = 26.88 [cm]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.31 \mu m$$

$$n_{eff\_s} = 1.3857, n_{eff\_c} = 1.3925, A_{eff} = 0.70 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{3N_2 P_c} = \frac{1.55 \times 10^{-6} \times 0.70 \times 10^{-12}}{3 \times 148 \times 10^{-20} \times 1} = 24.44 [cm]$$

***Tellurite-Clad Devices***

$$n_{core} = 2.028, n_{cladding} = 1.983, a = 1.31 \mu m$$

$$n_{eff\_s} = 2.0049, n_{eff\_c} = 2.0052, A_{eff} = 6.86 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{3N_2 P_c} = \frac{1.55 \times 10^{-6} \times 6.86 \times 10^{-12}}{3 \times 148 \times 10^{-20} \times 1} = 2.40 [m]$$

**CHALCOGENIDE-CORE**

$$n_{core} = 2.7555, N_2 = 1250 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.24 \mu m$$

$$n_{eff\_s} = 1.9513, n_{eff\_c} = 1.9595, A_{eff} = 0.38 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{3N_2 P_c} = \frac{1.55 \times 10^{-6} \times 0.38 \times 10^{-12}}{3 \times 1250 \times 10^{-20} \times 1} = 1.57 [cm]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.21 \mu m$$

$$n_{eff\_s} = 1.5545, n_{eff\_c} = 1.5699, A_{eff} = 0.37 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{3N_2 P_c} = \frac{1.55 \times 10^{-6} \times 0.37 \times 10^{-12}}{3 \times 1250 \times 10^{-20} \times 1} = 1.53 [cm]$$

***Chalcogenide-Clad Devices***

$$n_{core} = 2.6, n_{cladding} = 2.443, a = 0.62 \mu m$$

$$n_{eff\_s} = 2.5188, n_{eff\_c} = 2.5195, A_{eff} = 1.60 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{3N_2 P_c} = \frac{1.55 \times 10^{-6} \times 1.60 \times 10^{-12}}{3 \times 1250 \times 10^{-20} \times 1} = 6.61 [cm]$$



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Table 7-1 summarizes the required device length with a control power of 1W for nonlinear fiber devices based on the optical Kerr effect when the signal pulse operates at 1.55 $\mu\text{m}$  and the control pulse operates at 1.54 $\mu\text{m}$ .

Table 7-1. The Required Device Length with 1W Control Power for Nonlinear Fiber Devices based on the Optical Kerr Effect (Signal at 1.55 $\mu\text{m}$  and Control at 1.54 $\mu\text{m}$ )

Device Length[m]	Silica	Litharge	Bismite	Tellurite	Chalcogenide
Silica-Cladding	780	1.56	0.0362	0.2688	0.0157
MSF	30	1.14	0.0341	0.2444	0.0153
HNL Glass-Cladding	-	9.95	0.1648	2.40	0.0661

### 7.1.2. Relative Group Delay, Group-Velocity Dispersion, and Dispersion Slope

This section provides the Effective Refractive Index(ERI), the Group Effective Refractive Index(GERI), the Group-Velocity Dispersion(GVD), and the Dispersion Slope(DS) of the devices. In addition, the Effective Mode Area(EMA) and the Required Device Length(RDL), which vary according to the signal and control wavelengths, are provided with the signal pulse fixed at 1.55 $\mu\text{m}$  for control pulses at different wavelengths. Furthermore, graphs of the Relative Group Delay(RGD) between the signal wavelength fixed at 1.55 $\mu\text{m}$  and different control wavelengths for the different required device lengths.

**SILICA-CORE**

$n_{core} = \text{slightly } GeO_2 - \text{doped silica}$

*Silica-Clad Devices*

$$n_{cladding} = \text{silica}, a = 3.07 \mu\text{m}$$

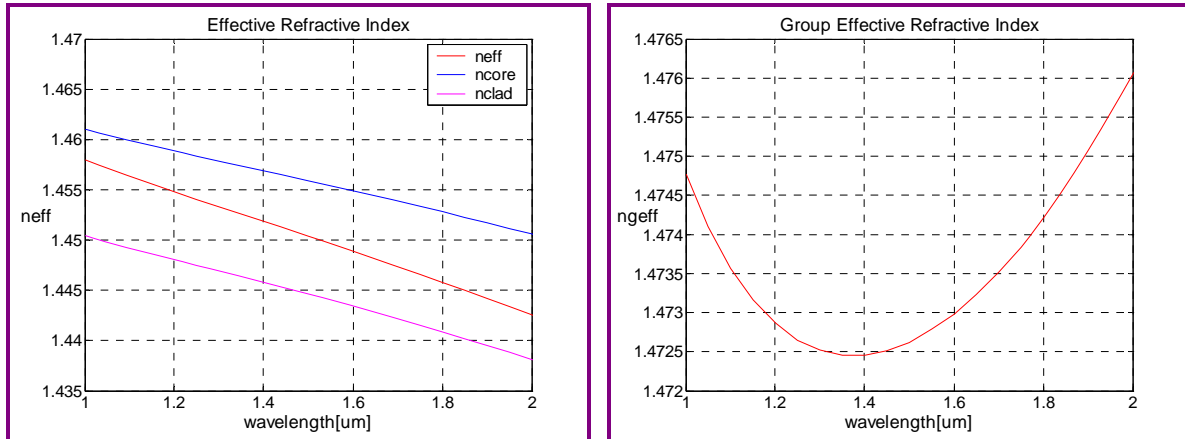


Figure 7-1. ERI and GERI of Silica-Core Silica-Clad Devices

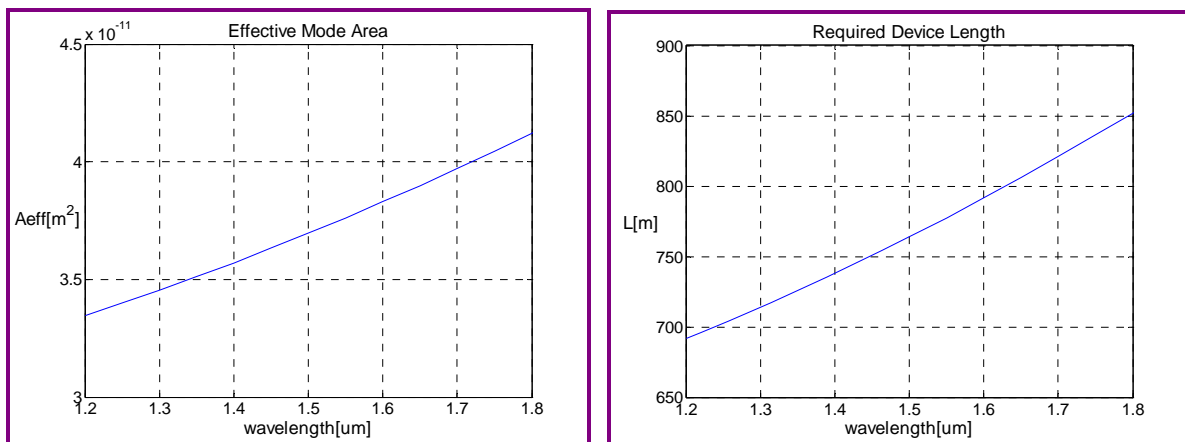


Figure 7-2. EMA and RDL of Silica-Core Silica-Clad Devices

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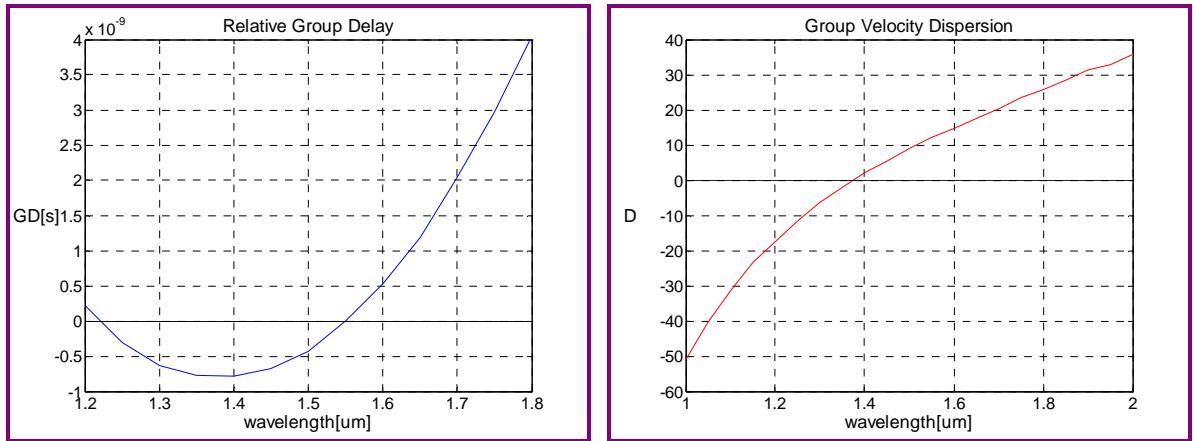


Figure 7-3. RGD and GVD of Silica-Core Silica-Clad Devices

The RGD between the signal pulse at  $1.55\mu\text{m}$  and the control pulse at  $1.54\mu\text{m}$  for the required device length of 780m is 78ps, the GVD at  $1.55\mu\text{m}$  is 12[ps/nm-km], and the DS at  $1.55\mu\text{m}$  is 0.057[ps/nm<sup>2</sup>-km].

*Microstructure Devices*

$$n_{cladding} = air, a = 0.53 \mu m$$

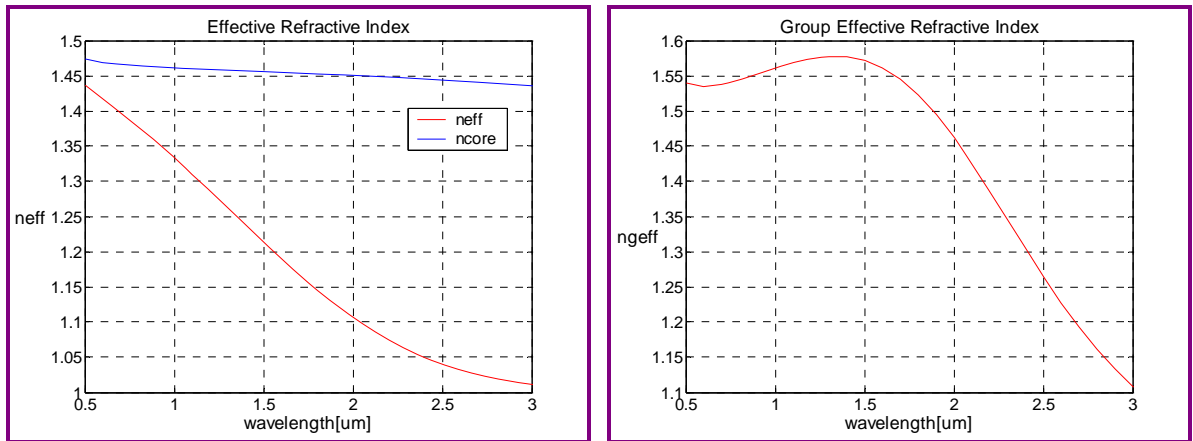


Figure 7-4. ERI and GERI of Silica-Core Microstructure Devices

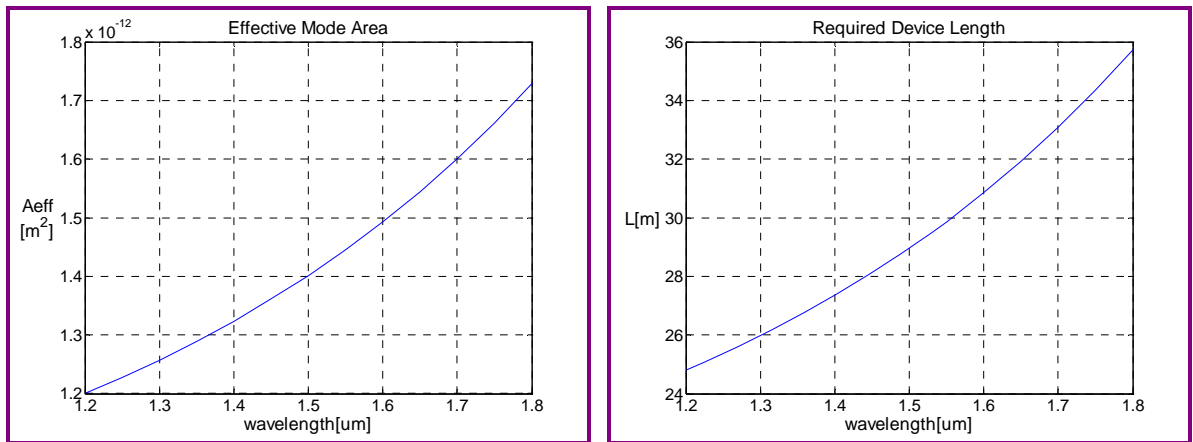


Figure 7-5. EMA and RDL of Silica-Core Microstructure Devices

## CHAPTER 7. IMPACT OF HIGH-NONLINEARITY GLASSES ON NONLINEAR FIBER DEVICES

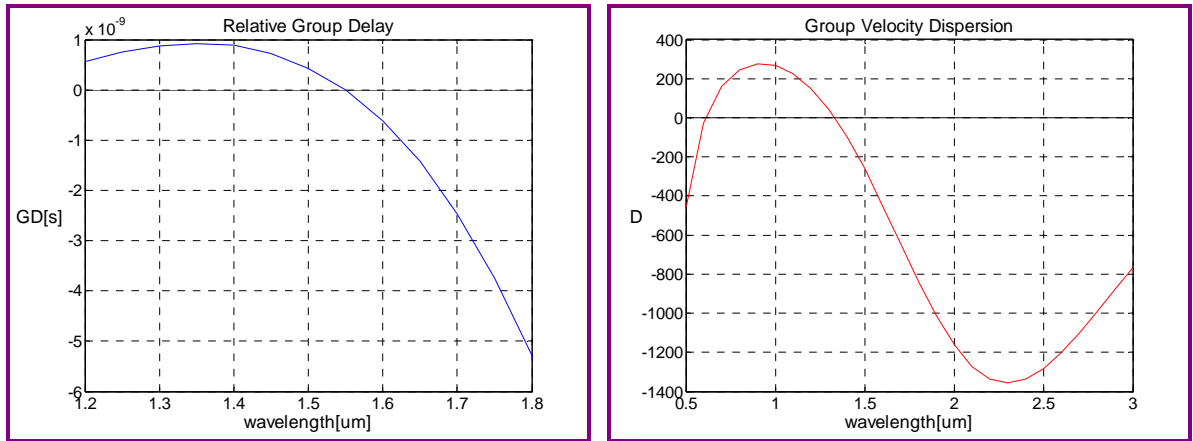


Figure 7-6. RGD and GVD of Silica-Core Microstructure Devices

The RGD between the signal pulse at  $1.55\mu\text{m}$  and the control pulse at  $1.54\mu\text{m}$  for the required device length of 30m is 110ps, the GVD at  $1.55\mu\text{m}$  is  $-355[\text{ps}/\text{nm}\cdot\text{km}]$ , and the DS at  $1.55\mu\text{m}$  is  $-1.836[\text{ps}/\text{nm}^2\cdot\text{km}]$ .

**LITHARGE-CORE**

$$n_{core} = SF57$$

*Silica-Clad Devices*

$$n_{cladding} = \text{silica}, a = 0.52 \mu\text{m}$$

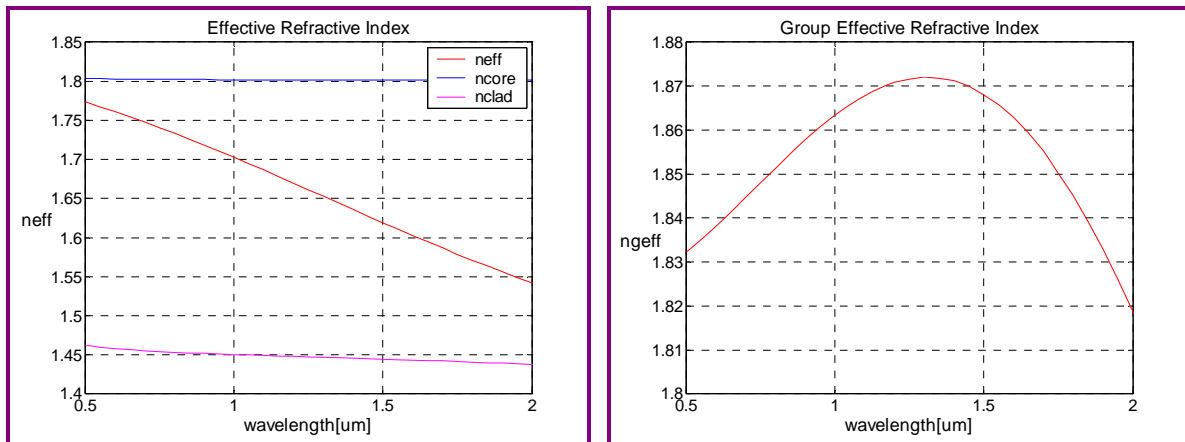


Figure 7-7. ERI and GERI of Litharge-Core Silica-Clad Devices

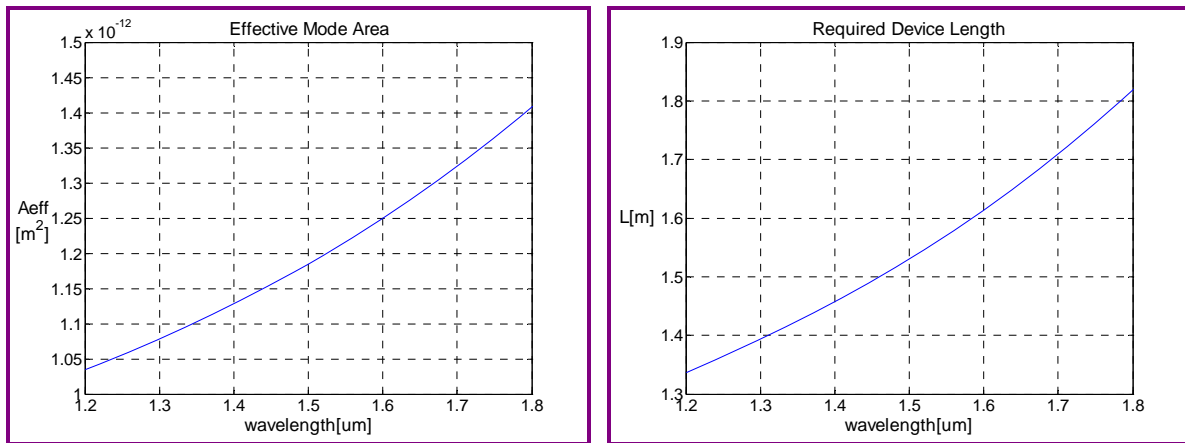


Figure 7-8. EMA and RDL of Litharge-Core Silica-Clad Devices

## CHAPTER 7. IMPACT OF HIGH-NONLINEARITY GLASSES ON NONLINEAR FIBER DEVICES

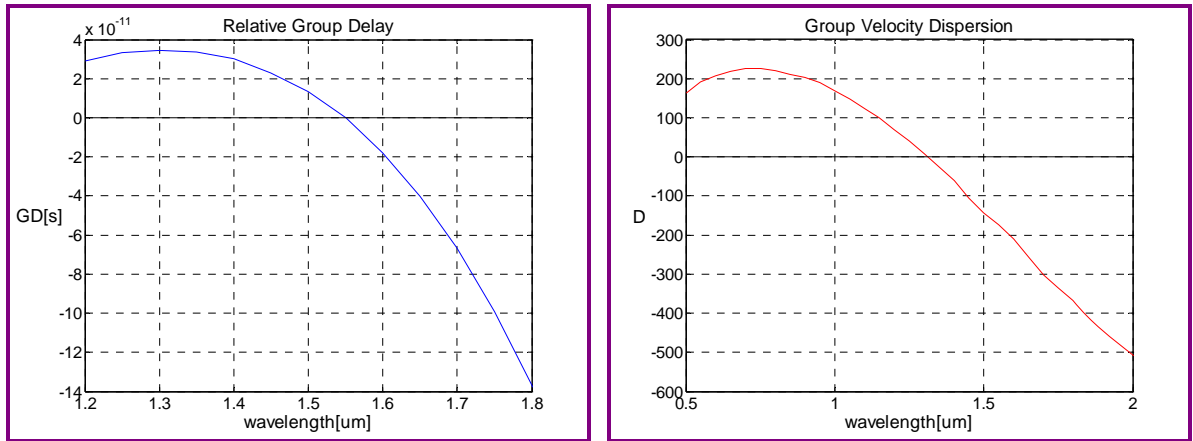


Figure 7-9. RGD and GVD of Litharge-Core Silica-Clad Devices

The RGD between the signal pulse at  $1.55\mu\text{m}$  and the control pulse at  $1.54\mu\text{m}$  for the required device length of  $1.56\text{m}$  is  $2.50\text{ps}$ , the GVD at  $1.55\mu\text{m}$  is  $-174[\text{ps}/\text{nm}\cdot\text{km}]$ , and the DS at  $1.55\mu\text{m}$  is  $-0.676[\text{ps}/\text{nm}^2\cdot\text{km}]$ .

*Microstructure Devices*

$$n_{cladding} = air, a = 0.37 \mu m$$

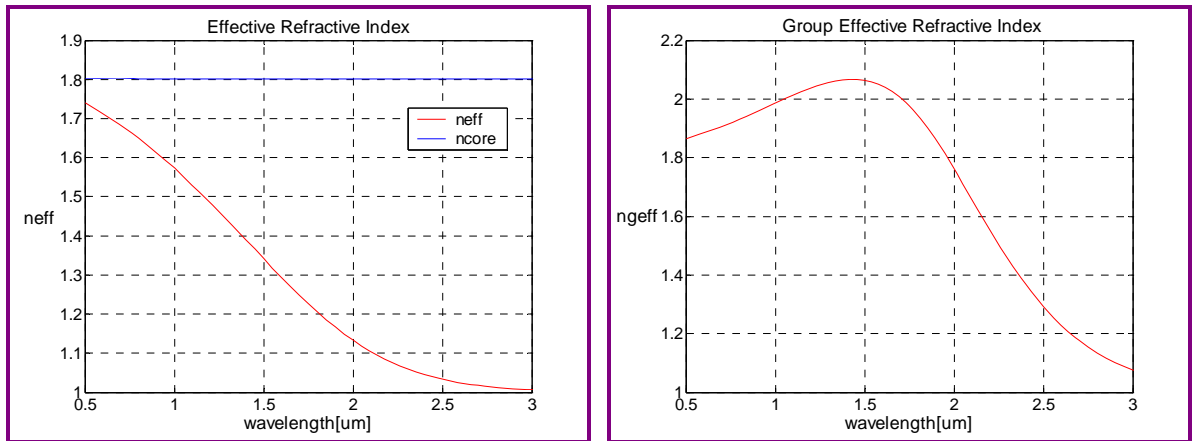


Figure 7-10. ERI and GERI of Litharge-Core Microstructure Devices

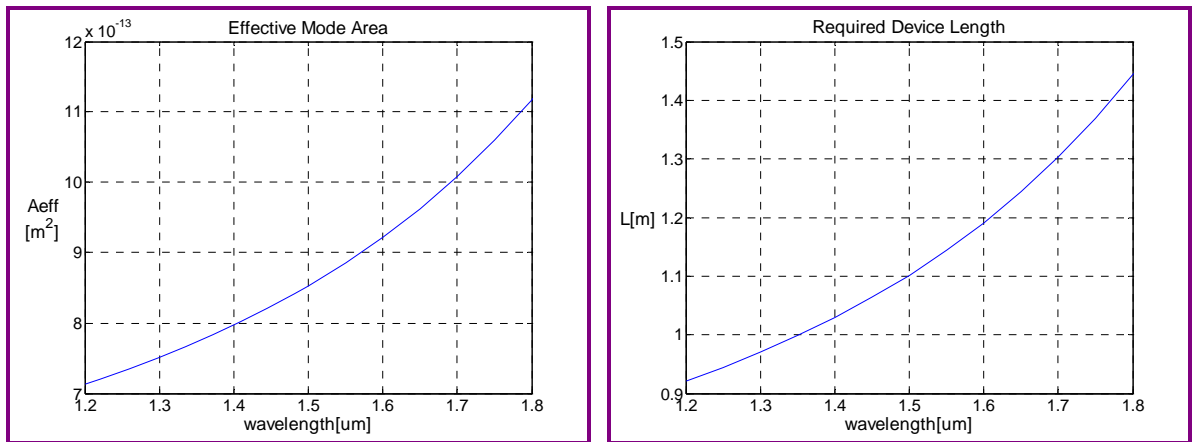


Figure 7-11. EMA and RDL of Litharge-Core Microstructure Devices



## CHAPTER 7. IMPACT OF HIGH-NONLINEARITY GLASSES ON NONLINEAR FIBER DEVICES

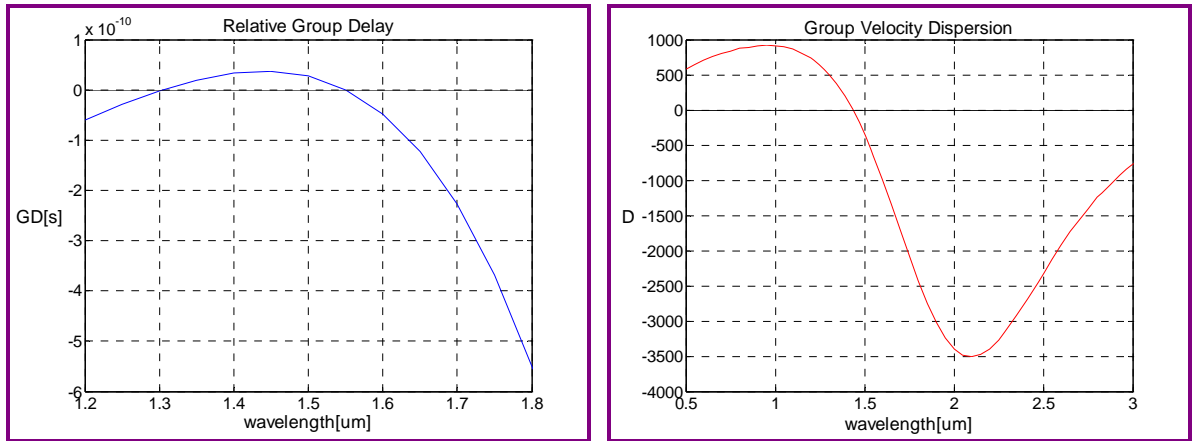


Figure 7-12. RGD and GVD of Litharge-Core Microstructure Devices

The RGD between the signal pulse at  $1.55\mu\text{m}$  and the control pulse at  $1.54\mu\text{m}$  for the required device length of  $1.14\text{m}$  is  $5.32\text{ps}$ , the GVD at  $1.55\mu\text{m}$  is  $-653[\text{ps}/\text{nm}\cdot\text{km}]$ , and the DS at  $1.55\mu\text{m}$  is  $-6.356[\text{ps}/\text{nm}^2\cdot\text{km}]$ .

**BISMITE-CORE**

$$n_{core} = 55Bi_2O_3 \cdot 45B_2O_3$$

*Silica-Clad Devices*

$$n_{cladding} = \text{silica}, a = 0.36\mu m$$

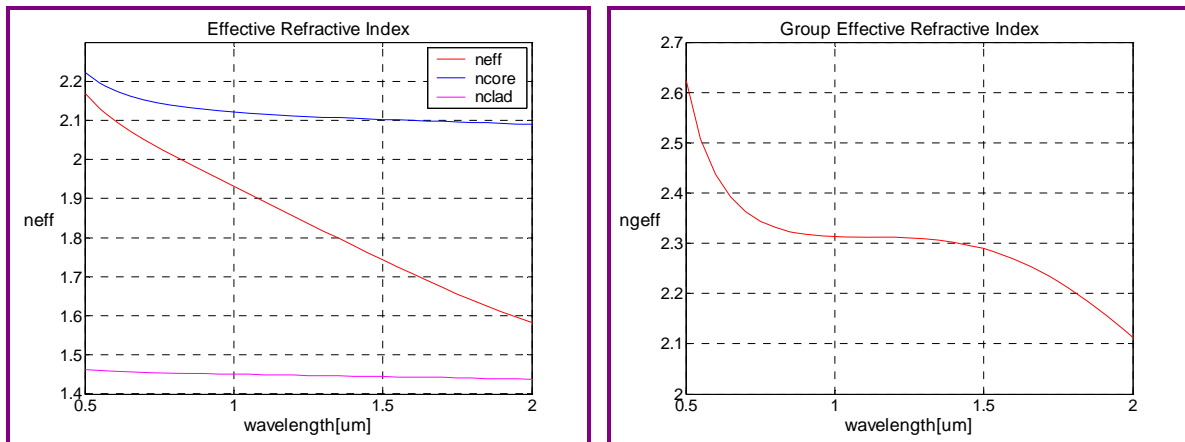


Figure 7-13. ERI and GERI of Bismite-Core Silica-Clad Devices

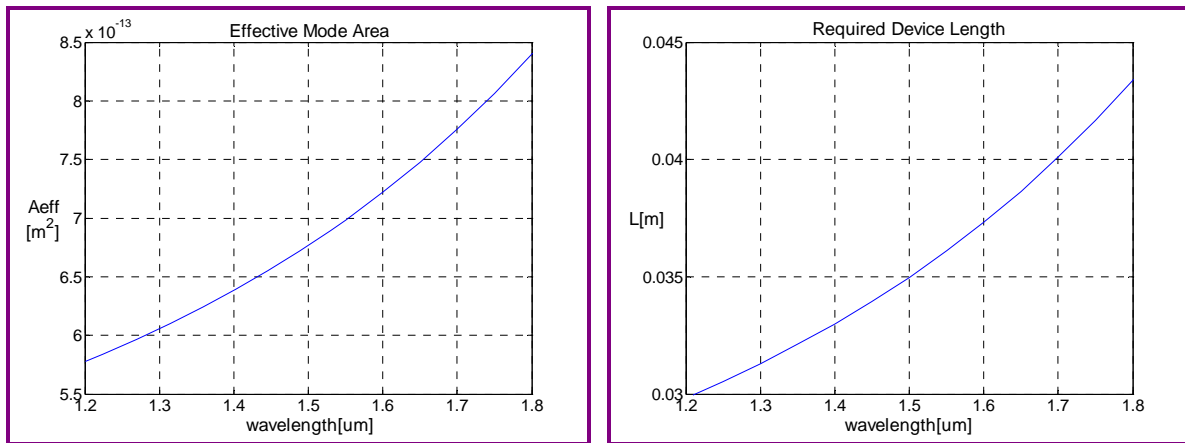


Figure 7-14. EMA and RDL of Bismite-Core Silica-Clad Devices

## CHAPTER 7. IMPACT OF HIGH-NONLINEARITY GLASSES ON NONLINEAR FIBER DEVICES

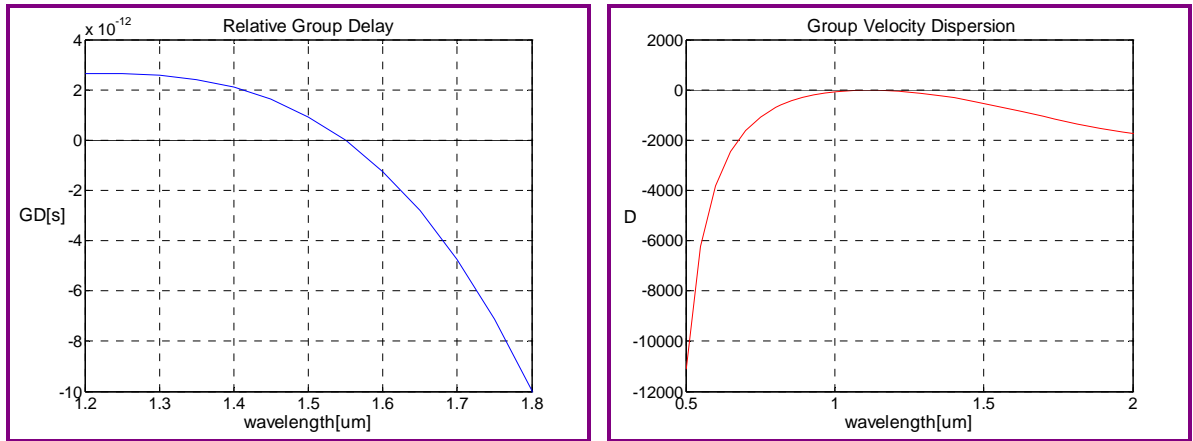


Figure 7-15. RGD and GVD of Bismite-Core Silica-Clad Devices

The RGD between the signal pulse at  $1.55\mu\text{m}$  and the control pulse at  $1.54\mu\text{m}$  for the required device length of  $0.0362\text{m}$  is  $0.22\text{ps}$ , the GVD at  $1.55\mu\text{m}$  is  $-667[\text{ps}/\text{nm}\cdot\text{km}]$ , and the DS at  $1.55\mu\text{m}$  is  $-2.549[\text{ps}/\text{nm}^2\cdot\text{km}]$ .

*Microstructure Devices*

$$n_{cladding} = air, a = 0.30 \mu m$$

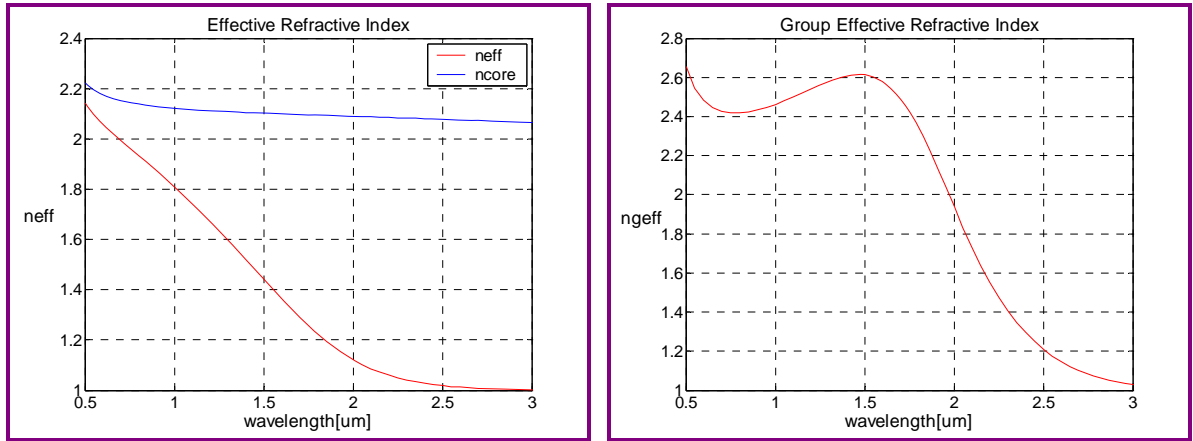


Figure 7-16. ERI and GERI of Bismite-Core Microstructure Devices

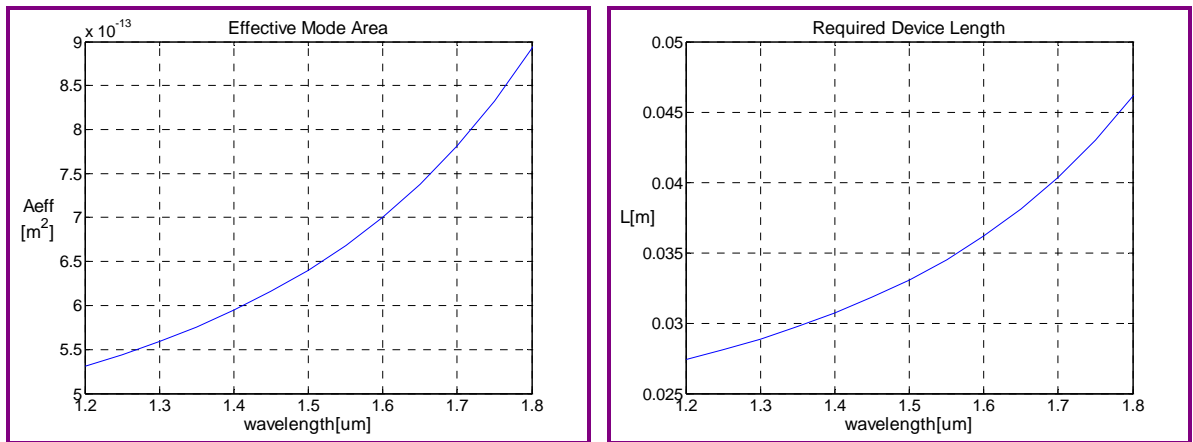


Figure 7-17. EMA and RDL of Bismite-Core Microstructure Devices

## CHAPTER 7. IMPACT OF HIGH-NONLINEARITY GLASSES ON NONLINEAR FIBER DEVICES

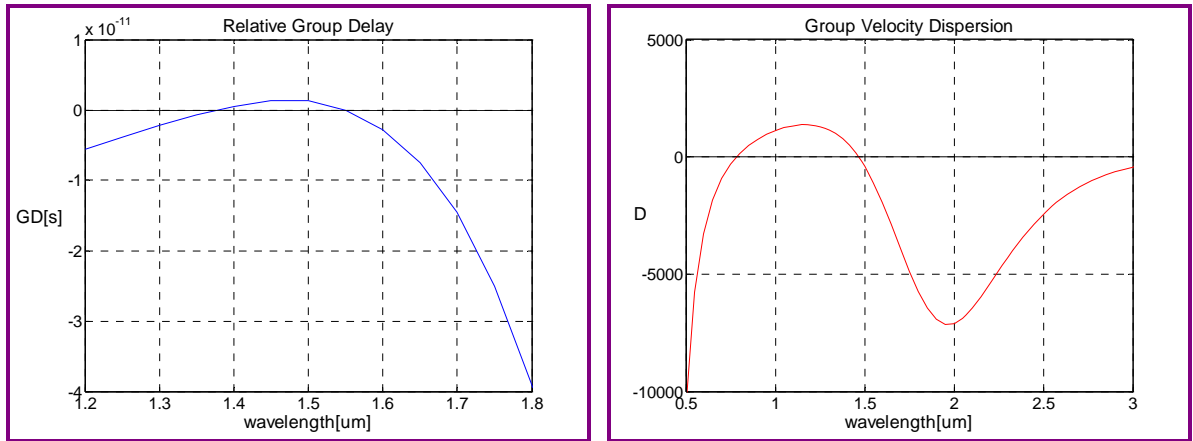


Figure 7-18. RGD and GVD of Bismite-Core Microstructure Devices

The RGD between the signal pulse at  $1.55\mu\text{m}$  and the control pulse at  $1.54\mu\text{m}$  for the required device length of  $0.0341\text{m}$  is  $0.25\text{ps}$ , the GVD at  $1.55\mu\text{m}$  is  $-1110[\text{ps}/\text{nm}\cdot\text{km}]$ , and the DS at  $1.55\mu\text{m}$  is  $-15.537[\text{ps}/\text{nm}^2\cdot\text{km}]$ .

**TELLURITE-CORE**



*Silica-Clad Devices*

$$n_{cladding} = \text{silica}, a = 0.39 \mu m$$

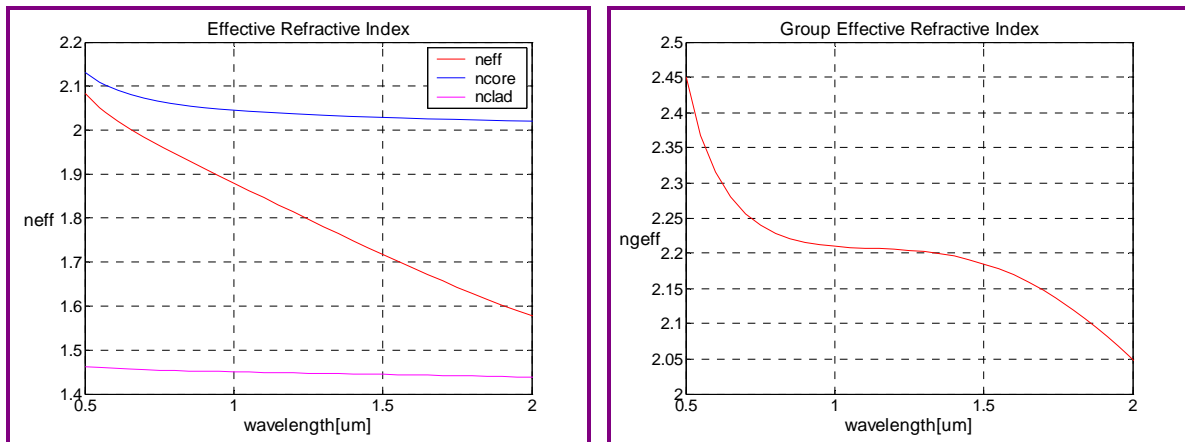


Figure 7-19. ERI and GERI of Tellurite-Core Silica-Clad Devices

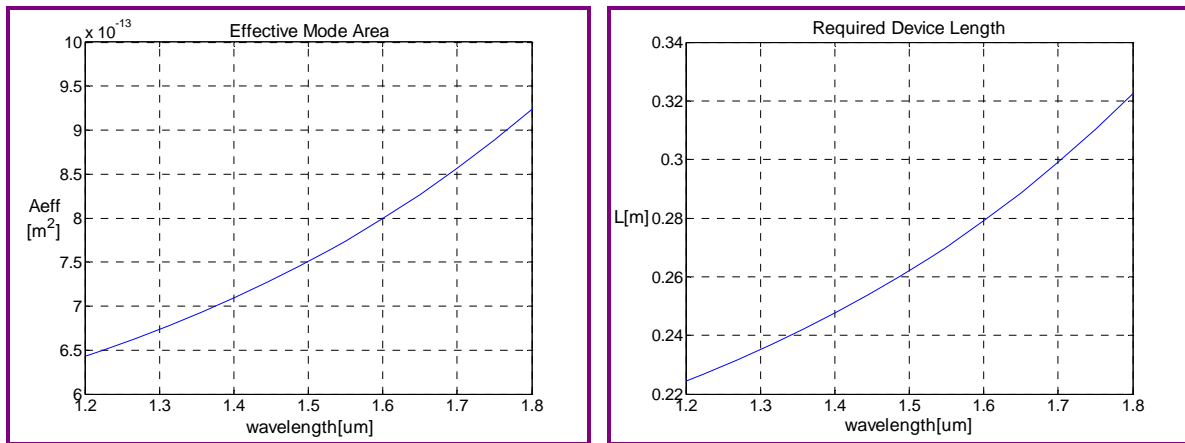


Figure 7-20. EMA and RDL of Tellurite-Core Silica-Clad Devices

## CHAPTER 7. IMPACT OF HIGH-NONLINEARITY GLASSES ON NONLINEAR FIBER DEVICES

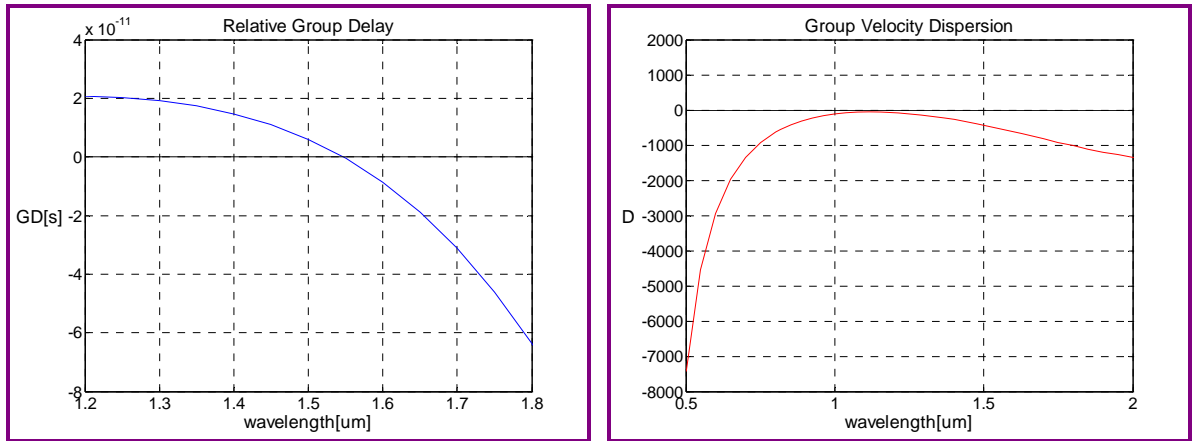


Figure 7-21. RGD and GVD of Tellurite-Core Silica-Clad Devices

The RGD between the signal pulse at  $1.55\mu\text{m}$  and the control pulse at  $1.54\mu\text{m}$  for the required device length of  $0.2688\text{m}$  is  $1.25\text{ps}$ , the GVD at  $1.55\mu\text{m}$  is  $-529[\text{ps}/\text{nm}\cdot\text{km}]$ , and the DS at  $1.55\mu\text{m}$  is  $-1.865[\text{ps}/\text{nm}^2\cdot\text{km}]$ .

*Microstructure Devices*

$$n_{cladding} = air, a = 0.31\mu m$$

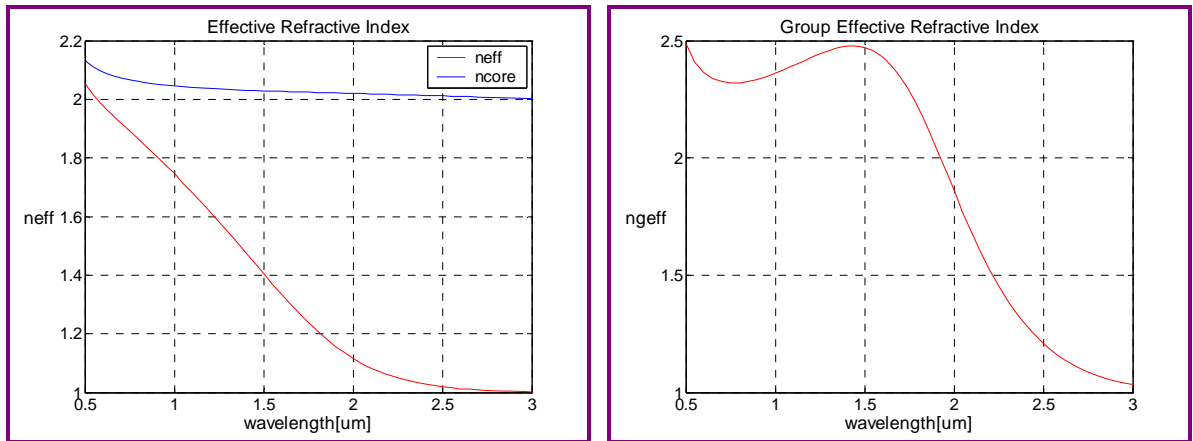


Figure 7-22. ERI and GERI of Tellurite-Core Microstructure Devices

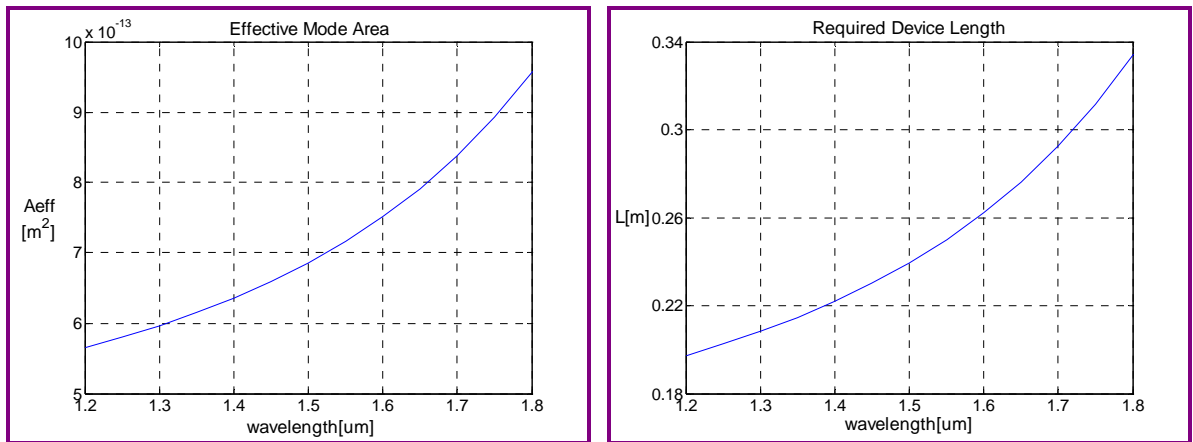


Figure 7-23. EMA and RDL of Tellurite-Core Microstructure Devices



## CHAPTER 7. IMPACT OF HIGH-NONLINEARITY GLASSES ON NONLINEAR FIBER DEVICES

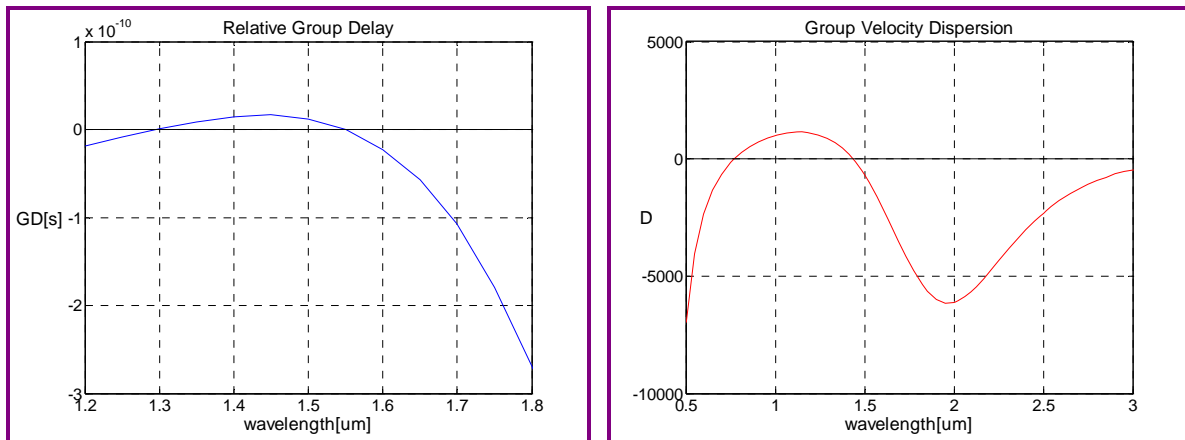


Figure 7-24. RGD and GVD of Tellurite-Core Microstructure Devices

The RGD between the signal pulse at 1.55 $\mu\text{m}$  and the control pulse at 1.54 $\mu\text{m}$  for the required device length of 0.2444m is 2.44ps, the GVD at 1.55 $\mu\text{m}$  is -1329[ps/nm-km], and the DS at 1.55 $\mu\text{m}$  is -13.665[ps/nm<sup>2</sup>-km].

**CHALCOGENIDE-CORE**

$$n_{core} = 40As \cdot 60Se$$

*Silica-Clad Devices*

$$n_{cladding} = \text{silica}, a = 0.24 \mu m$$

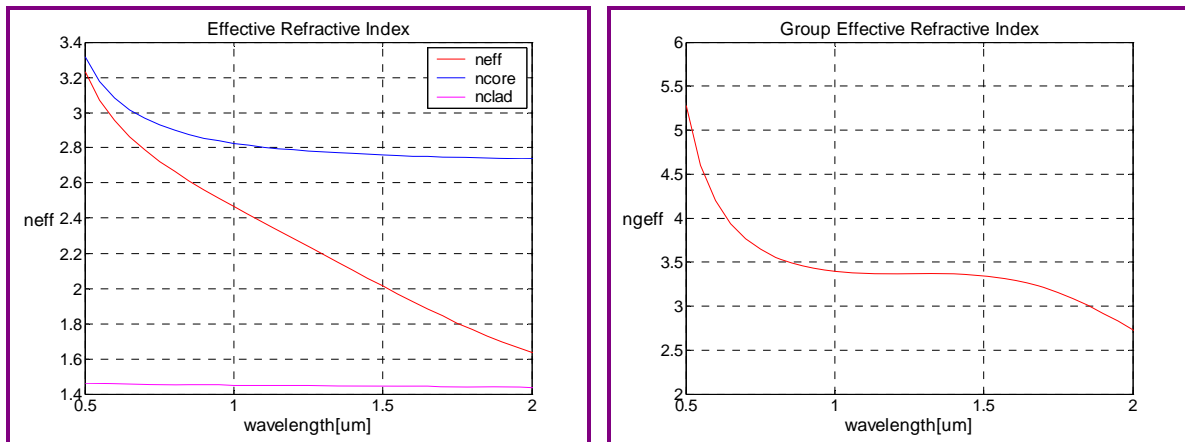


Figure 7-25. ERI and GERI of Chalcogenide-Core Silica-Clad Devices

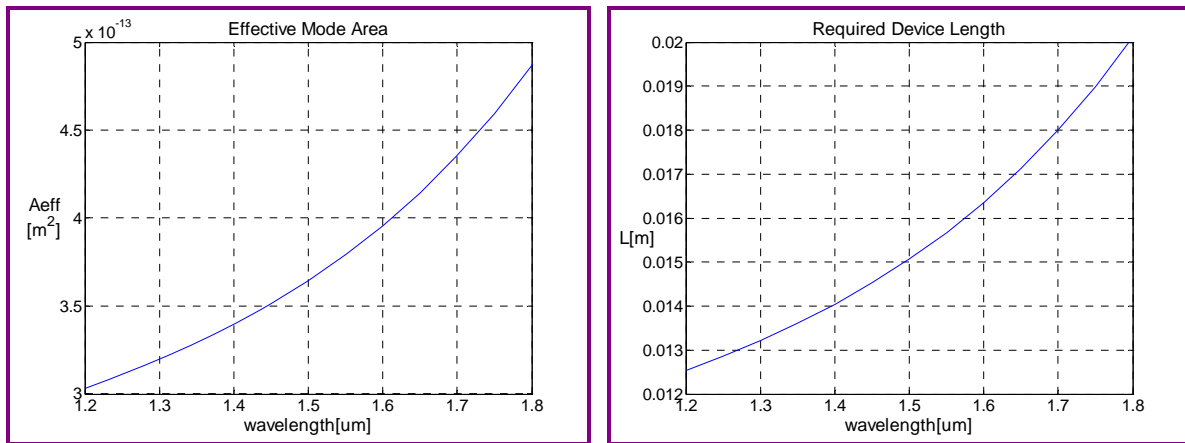


Figure 7-26. EMA and RDL of Chalcogenide-Core Silica-Clad Devices

## CHAPTER 7. IMPACT OF HIGH-NONLINEARITY GLASSES ON NONLINEAR FIBER DEVICES

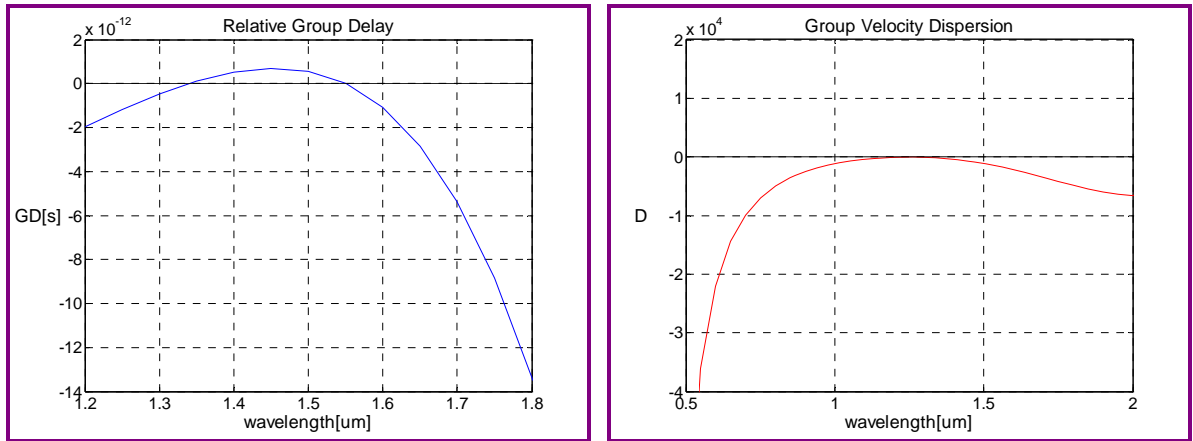


Figure 7-27. RGD and GVD of Chalcogenide-Core Silica-Clad Devices

The RGD between the signal pulse at  $1.55\mu\text{m}$  and the control pulse at  $1.54\mu\text{m}$  for the required device length of  $0.0157\text{m}$  is  $0.20\text{ps}$ , the GVD at  $1.55\mu\text{m}$  is  $-1590[\text{ps}/\text{nm}\text{-km}]$ , and the DS at  $1.55\mu\text{m}$  is  $-10.602[\text{ps}/\text{nm}^2\text{-km}]$ .

*Microstructure Devices*

$$n_{cladding} = air, a = 0.21\mu m$$

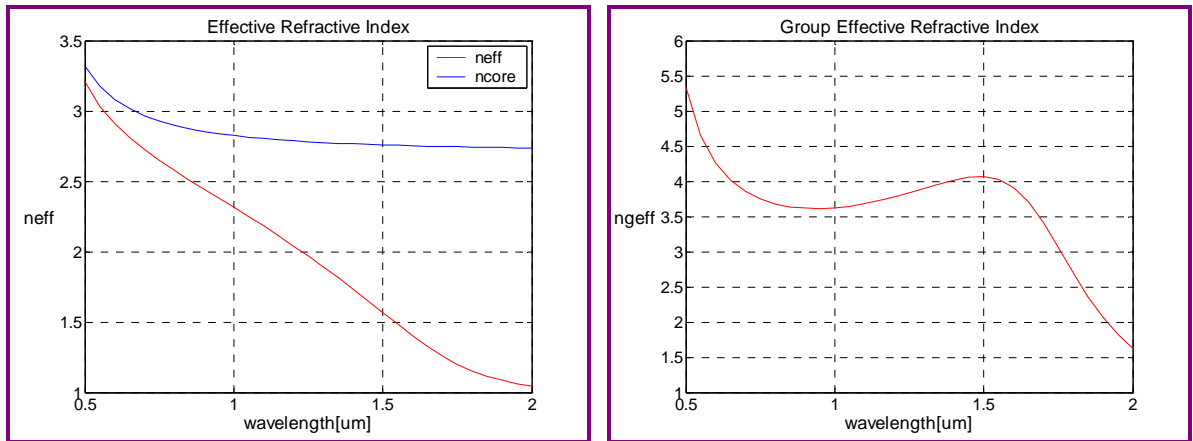


Figure 7-28. ERI and GERI of Chalcogenide-Core Microstructure Devices

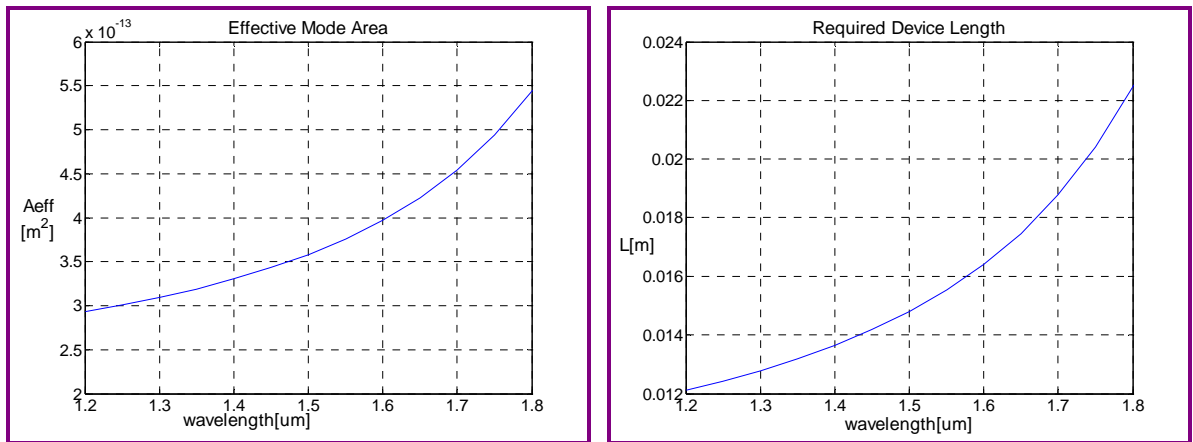


Figure 7-29. EMA and RDL of Chalcogenide-Core Microstructure Devices

## CHAPTER 7. IMPACT OF HIGH-NONLINEARITY GLASSES ON NONLINEAR FIBER DEVICES

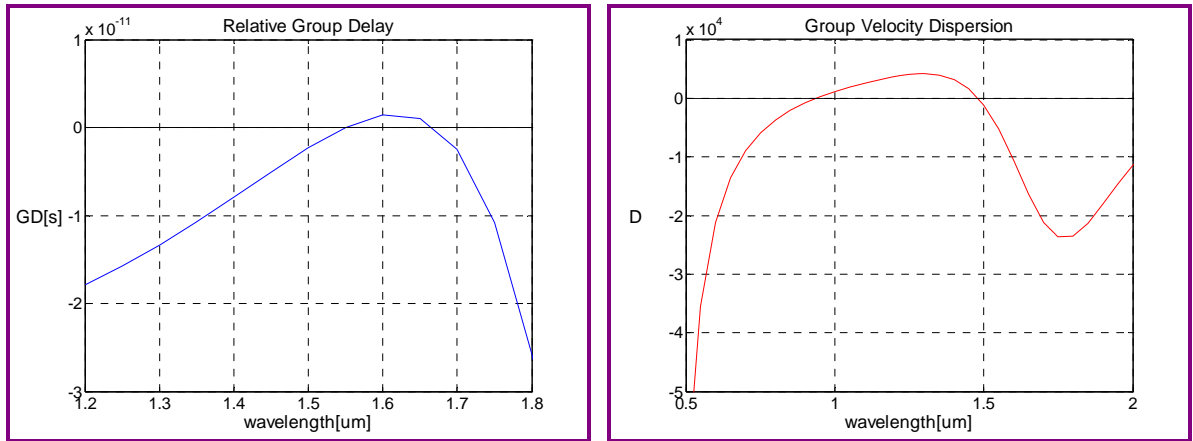


Figure 7-30. RGD and GVD of Chalcogenide-Core Microstructure Devices

The RGD between the signal pulse at  $1.55\mu\text{m}$  and the control pulse at  $1.54\mu\text{m}$  for the required device length of  $0.0153\text{m}$  is  $0.44\text{ps}$ , the GVD at  $1.55\mu\text{m}$  is  $-5316[\text{ps}/\text{nm}\cdot\text{km}]$ , and the DS at  $1.55\mu\text{m}$  is  $-95[\text{ps}/\text{nm}^2\cdot\text{km}]$ .

CHAPTER 7. IMPACT OF HIGH-NONLINEARITY GLASSES  
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Table 7-2 summarizes the RGD, calculated from Equation (6.13), between the signal pulse at 1.55 $\mu\text{m}$  and the control pulse at 1.54 $\mu\text{m}$  for the required device length shown in Table 7-1, the GVD at 1.55 $\mu\text{m}$ , and the DS at 1.55 $\mu\text{m}$  for nonlinear fiber devices based on the optical Kerr effect.

Table 7-2. RGD between Signal at 1.55 $\mu\text{m}$  and Control at 1.54 $\mu\text{m}$ , GVD at 1.55 $\mu\text{m}$ , and DS at 1.55 $\mu\text{m}$  for Nonlinear Fiber Devices based on the Optical Kerr Effect

Properties		Silica	Litharge	Bismite	Tellurite	Chalcogenide
<b>RGD</b> [ps]	Silica-Cladding	78	2.50	0.22	1.25	0.20
	MSF	110	5.32	0.25	2.44	0.44
<b>GVD</b> [ps/nm-km] at 1.55 $\mu\text{m}$	Silica-Cladding	12	-174	-667	-529	-1590
	MSF	-355	-653	-1110	-1329	-5316
<b>DS</b> [ps/nm <sup>2</sup> -km] at 1.55 $\mu\text{m}$	Silica-Cladding	0.057	-0.676	-2.549	-1.865	-10.602
	MSF	-1.836	-6.356	-15.537	-13.665	-95

This consideration of the control pulse at 1.54 $\mu\text{m}$  with the signal pulse at 1.55 $\mu\text{m}$  is the best case. A reasonable comparison between the different glasses would be to evaluate the RGD for a worst case in the applicable wavelength range. For example, in Figure 7-3, the range for control wavelengths would be between 1.22 $\mu\text{m}$  and 1.55 $\mu\text{m}$  for the silica-core silica-clad devices. In the range, the worst case is when the control pulse is at 1.4 $\mu\text{m}$ . At this control wavelength, the RGD is 782ps. Similar evaluations from Figures 7-6, 7-9, 7-12, 7-15, 7-18, 7-21, 7-24, 7-27, and 7-30 were performed for the different types of devices. Table 7-3 presents the RGD between the signal pulse at 1.55 $\mu\text{m}$  and the control pulse at different wavelengths of the worse case for the required device lengths at different control wavelengths.

CHAPTER 7. IMPACT OF HIGH-NONLINEARITY GLASSES  
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Table 7-3. RGD between Signal at 1.55 $\mu$ m and Control at Different Wavelengths  
for Nonlinear Fiber Devices based on the Optical Kerr Effect

Properties		Silica	Litharge	Bismite	Tellurite	Chalcogenide
<b>Control Wavelength</b> [ $\mu$ m]	Silica-Cladding	1.40	1.30	1.22	1.04	1.45
	MSF	1.35	1.45	1.48	1.45	1.60
<b>RDL at Control Wavelength</b> [m]	Silica-Cladding	738	1.39	0.0301	0.2102	0.0145
	MSF	27	1.06	0.0326	0.2301	0.0164
<b>RGD</b> [ps]	Silica-Cladding	782	34.61	2.65	21.56	0.69
	MSF	917	36.86	1.31	16.64	1.45

### 7.1.3. Walk-Off Length and Output Pulse Width

Table 7-4 presents the walk-off length, calculated from Equation (6.15), between the signal pulse at 1.55 $\mu$ m and the control pulse at 1.54 $\mu$ m with 10ps pulse width for nonlinear fiber devices based on the optical Kerr effect.

Table 7-4. Walk-Off Length between Signal at 1.55 $\mu$ m and Control at 1.54 $\mu$ m  
with 10ps Pulse Width for Nonlinear Fiber Devices based on the Optical Kerr Effect

<b>Walk-Off Length</b> [m]	Silica	Litharge	Bismite	Tellurite	Chalcogenide
Silica-Cladding	100	6	1.67	2.14	0.77
MSF	3	2.14	1.36	1	0.34

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Table 7-5 presents the walk-off length, between the signal pulse at 1.55 $\mu\text{m}$  and the control pulse at different wavelengths of the worse case, shown in Table 7-3, with 10ps pulse width.

Table 7-5. Walk-Off Length between Signal at 1.55 $\mu\text{m}$  and Control at Different Wavelengths with 10ps Pulse Width for Nonlinear Fiber Devices based on the Optical Kerr Effect

Walk-Off Length [m]	Silica	Litharge	Bismite	Tellurite	Chalcogenide
Silica-Cladding	9.44	0.40	0.11	0.10	0.21
MSF	0.29	0.29	0.25	0.13	0.11

Table 7-6 shows the output control pulse width for nonlinear fiber devices based on the optical Kerr effect simulated using the nonlinear Schrödinger equation for the input control pulse at 1.54 $\mu\text{m}$  with 10ps pulse width and 1W peak power.

Table 7-6. Output Control Pulse Width by the Nonlinear Schrödinger Equation for Nonlinear Fiber Devices based on the Optical Kerr Effect

Pulse Width [ps]	Silica	Litharge	Bismite	Tellurite	Chalcogenide
Silica-Cladding	16.1420	10.1053	10.0787	10.0981	10.0686
MSF	15.4628	10.0943	10.0695	10.0762	10.0357

Table 7-7 presents the output control pulse width for nonlinear fiber devices based on the optical Kerr effect calculated from Equations (6.18)-(6.21) with the consideration of both GVD and PMD for a 10ps transform limited input pulse at 1.54 $\mu\text{m}$ . The spectral width  $\delta\lambda$  for this input pulse calculated from Equation (6.22) is 0.348nm and the index differences  $\delta n$  used are  $10^{-4}$  and  $10^{-3}$  for silica-clad fiber and MSF devices, respectively



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Table 7-7. Output Control Pulse Width by GVD and PMD  
for Nonlinear Fiber Devices based on the Optical Kerr Effect

Output Pulse Width [ps]	Silica		Litharge		Bismite		Tellurite		Chalcogenide	
	Si-C	MSF	Si-C	MSF	Si-C	MSF	Si-C	MSF	Si-C	MSF
P[W]	<b>Device Length[m]</b>									
1	780	30	1.56	1.14	0.0362	0.0341	0.2688	0.2444	0.0157	0.0153
0.1	1560	60	3.12	2.28	0.0724	0.0682	0.5376	0.4888	0.0314	0.0306
0.01	7800	300	15.6	11.4	0.362	0.3410	2.688	2.444	0.157	0.153
$\delta n$	$10^{-4}$	$10^{-3}$	$10^{-4}$	$10^{-3}$	$10^{-4}$	$10^{-3}$	$10^{-4}$	$10^{-3}$	$10^{-4}$	$10^{-3}$
PMD[ps/m]	0.333	3.333	0.333	3.333	0.333	3.333	0.333	3.333	0.333	3.333
P[W]	<b><math>\delta t_{PMD}[ps] = PMD[ps/m] \times L[m]</math></b>									
1	260	100	0.52	3.8	0.012	0.114	0.09	0.815	0.005	0.051
0.1	520	200	1.04	7.6	0.024	0.227	0.179	1.629	0.01	0.102
0.01	2600	1000	5.2	38	0.121	1.137	0.896	8.147	0.052	0.51
<b>GVD</b>	<b>11.6</b>	<b>-336</b>	<b>-168</b>	<b>-653</b>	<b>-642</b>	<b>-968</b>	<b>-511</b>	<b>-1200</b>	<b>-1491</b>	<b>-4486</b>
$\delta\lambda[nm]$	<b>0.348</b>									
P[W]	<b><math>\delta t_{GVD}[ps] = L[Km] \times \delta\lambda[nm] \times GVD[ps/nm-Km]</math></b>									
1	3.15	-3.51	-0.09	-0.26	-0.01	-0.01	-0.05	-0.10	-0.01	-0.02
0.1	6.30	-7.02	-0.18	-0.52	-0.02	-0.02	-0.10	-0.20	-0.02	-0.05
0.01	31.49	-35.08	-0.91	-2.59	-0.08	-0.11	-0.48	-1.02	-0.08	-0.24
P[W]	<b><math>\delta t^2[ps] = \delta t_{GVD}^2[ps] + \delta t_{PMD}^2[ps]</math></b>									
1	260	100	0.528	3.809	0.015	0.114	0.102	0.821	0.010	0.056
0.1	520	200	1.056	7.618	0.029	0.228	0.203	1.642	0.019	0.113
0.01	2600	1000	5.279	38.088	0.145	1.142	1.016	8.210	0.097	0.563
P[W]	<b><math>\Delta t_{OUT}^2[ps] = \Delta t_{IN}^2[ps] + \delta t^2[ps]</math></b>									
<b>1</b>	<b>260</b>	<b>100</b>	<b>10.01</b>	<b>10.70</b>	<b>10.00</b>	<b>10.00</b>	<b>10.00</b>	<b>10.03</b>	<b>10.00</b>	<b>10.00</b>
<b>0.1</b>	<b>520</b>	<b>200</b>	<b>10.06</b>	<b>12.57</b>	<b>10.00</b>	<b>10.00</b>	<b>10.00</b>	<b>10.13</b>	<b>10.00</b>	<b>10.00</b>
<b>0.01</b>	<b>2600</b>	<b>1000</b>	<b>11.31</b>	<b>39.38</b>	<b>10.00</b>	<b>10.07</b>	<b>10.05</b>	<b>12.94</b>	<b>10.00</b>	<b>10.02</b>

### 7.1.4. All-Optical Kerr Wavelength-Division Demultiplexers

In the application to demultiplexers, the optical Kerr effect has been used only for optical time-division-multiplexed signals. In this dissertation, a new scheme of wavelength-division demultiplexing based on the optical Kerr effect has been proposed for the first time[5]. As shown in the previous results, highly nonlinear fiber devices using HNL glasses have extremely high GVD which causes pulse walk-off between the control and signal pulses due to group-velocity mismatch. The new scheme utilizes this extremely high GVD to easily separate the control pulse and undesired signal pulses. To confirm the reliability of the scheme, a calculation has been performed using a MSF constructed with S-LAH79(Ohara Glass) glass. It was found that a specific signal pulse separated in wavelength by 0.8nm(ITU Standard) can be selectively demultiplexed by a 10ps control pulse in just 2m propagation distance.

Figure 7-31 shows the refractive index of S-LAH79 glass and the ERI of the all-optical Kerr wavelength-division demultiplexer.

#### **S-LAH79 Glass-Core Microstructure Devices**

$$V = 1.84 \text{ (at } 1.55 \mu\text{m)}, \lambda_{\text{cutoff}} = 1.2 \mu\text{m}, a = 0.27 \mu\text{m}$$

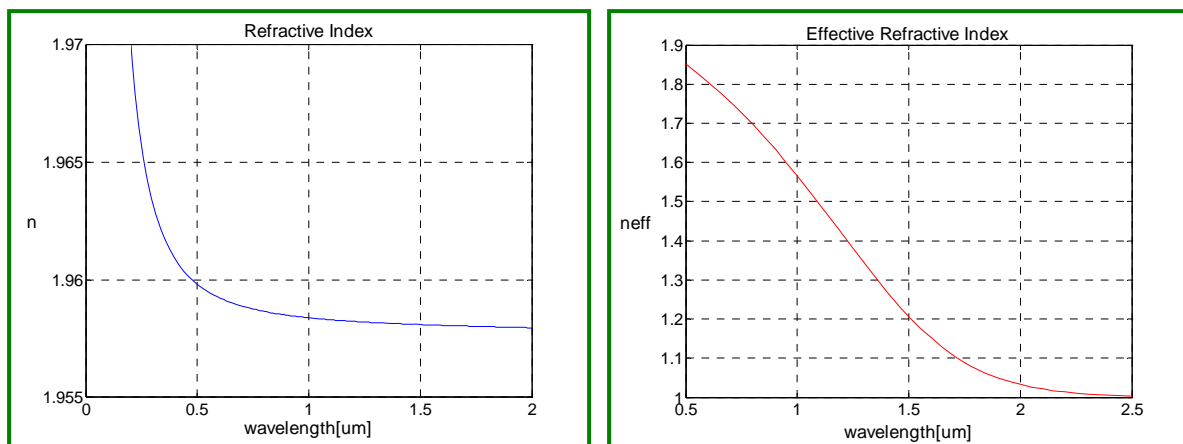


Figure 7-31. R/Index of SLAH-79 and ERI of Kerr Wavelength-Division Demultiplexers

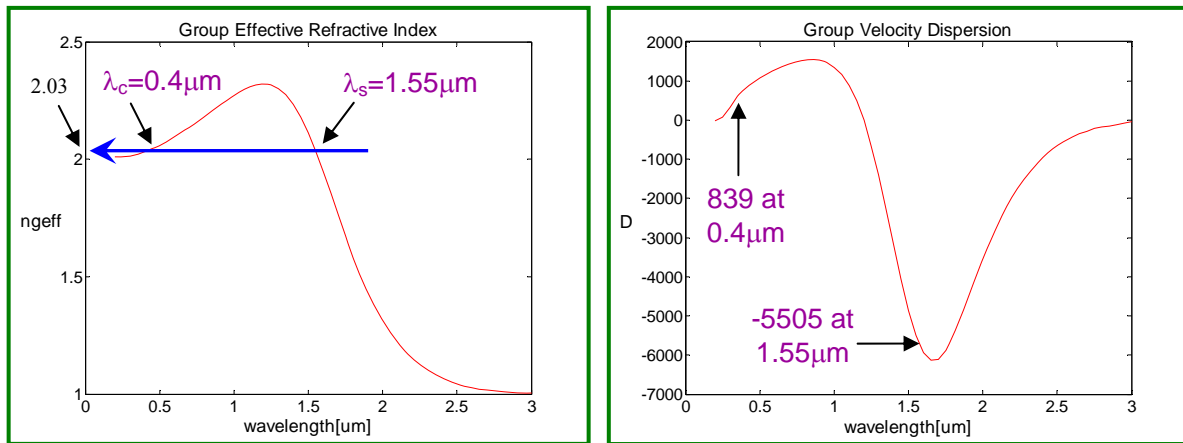


Figure 7-32. GERI and GVD of Optical Kerr Wavelength-Division Demultiplexers

Figure 7-32 shows the GERI and the GVD of the all-optical Kerr wavelength-division demultiplexer as a function of wavelength. As shown in the figure, group velocities are matched for the control pulse at  $0.4 \mu m$  and the desired signal pulse at  $1.55 \mu m$  while signal pulses at other wavelengths will easily walk-off from the  $0.4 \mu m$  control pulse due to the high group-velocity difference between the control and undesired signal pulses. The pulse walk-off length between the  $0.4 \mu m$  control pulse and the closest adjacent  $1.5492 \mu m$  signal pulse was just 2m when a control pulse of 10ps was used.

However, although the power of the  $1.5492 \mu m$  signal pulse will be less than that of the  $1.55 \mu m$  signal pulse after propagation of one walk-off length, it will not be zero. If there are many WDM channels, the desired signal will sit on a huge background. As a result, it is necessary to eliminate undesired signals completely. To achieve that, a two-control-pulse scheme has been devised as shown in Figure 7-33. A second control pulse is coupled into the orthogonal principal axis with a delay of one pulse width to remove the undesired signals completely. The change in SOP induced by the first control pulse is canceled by the opposite change induced by the orthogonal second control pulse. The  $1.55 \mu m$  desired signal pulse is matched to the first control pulse and is thus unaffected by the orthogonal second control pulse. If the first adjacent signal channel ( $1.5492 \mu m$ ) is suppressed, all other signal channels

will also be suppressed because those pulses will experience more than one pass through both control pulses.

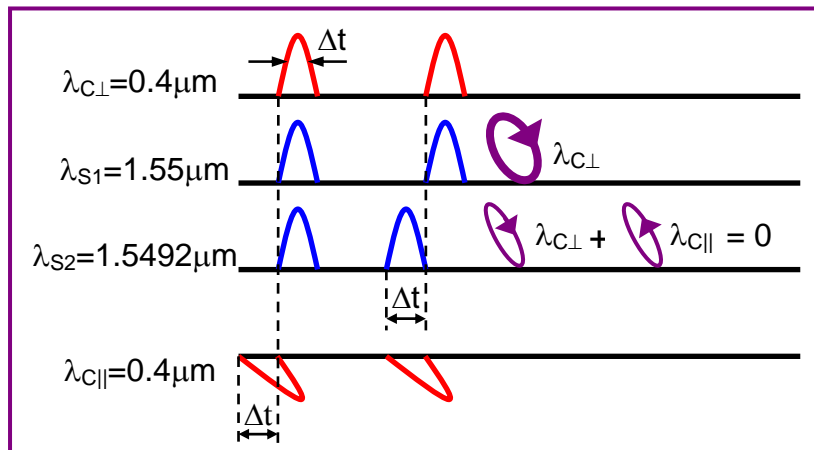


Figure 7-33. A Two-Control-Pulse Scheme

In the all-optical Kerr wavelength-division demultiplexer, the control pulse wavelength should vary according to the desired signal pulse wavelength. The control pulse selects the wavelength where its group velocity is the same as that of the desired signal pulse. With the parameters used in this dissertation, the device would require a laser source capable of being tuned over 170nm and producing 10ps pulses at 400-570nm if we use 40 wavelength signal channels with 0.8nm spacing(1518nm~1550nm). It is possible to develop a narrower tuning range by adjusting fiber parameters to make a slope of the GERI near the control wavelength region steeper.

In this application, higher GVD is desirable for more rapid pulse walk-off. However, high GVD causes pulse broadening which limits the maximum transmittable bit-rate. To examine the effect of pulse broadening, the output signal pulse width was also calculated. Although the signal pulse has an extremely high GVD of around -5500[ps/nm-km], the output signal pulse width after propagation of the pulse walk-off length of 2m was just 10.6ps when a 10ps transform limited input signal pulse was considered. As a result, all-optical wavelength-division demultiplexers based on the optical Kerr effect can be realized by using the property of high GVD in HNL glasses.

With this scheme, it now would be possible to demultiplex simultaneously optical Time-Division(TD)-multiplexed signals and Wavelength-Division(WD)-multiplexed signals using an optical Kerr effect-based demultiplexer. Figure 7-34 shows an example of systems in which 40Gb/s optical TD-multiplexed signals(4channels×10Gb/s) and 40 channels of WD-multiplexed signals are transmitted together. The apparent disadvantage of high GVD in HNL glasses is actually advantageous in that an optical Kerr effect-based demultiplexer can select optical TD-multiplexed and WD-multiplexed signals simultaneously.

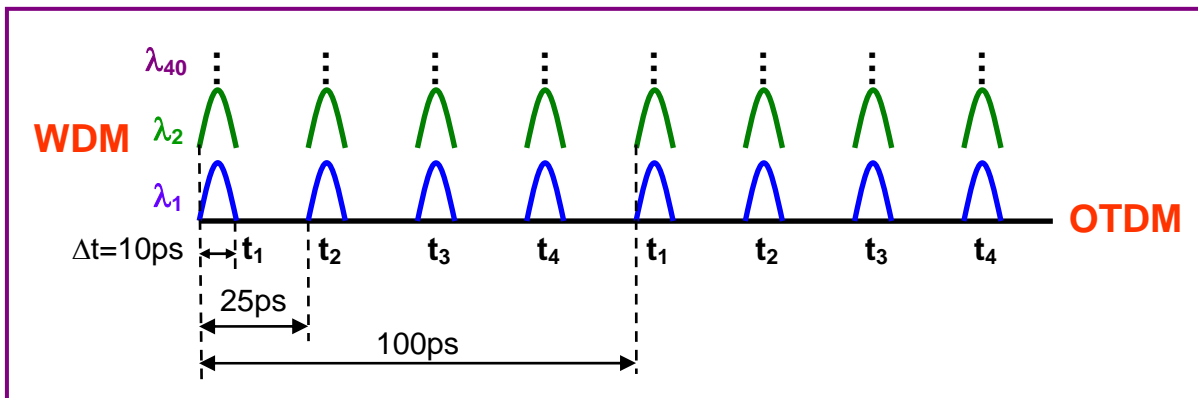


Figure 7-34. 1.6Tb/s OTDM-WDM Systems

## 7.2. Nonlinear Fiber Devices based on Nonlinear Optical Loop Mirrors

### 7.2.1. Required Device Length

Similarly, this section provides the required device length for nonlinear fiber devices based on NOLMs. The required device length was calculated from Equation (6.2) with a control power of 1W for a nonlinear phase shift of  $\pi$  due to XPM. The conditions and parameters are the same as those of devices based on the optical Kerr effect.

#### For Nonlinear Fiber Devices based on Nonlinear Optical Loop Mirrors

$$\lambda_s = 1.55 \mu m, \lambda_c = 1.54 \mu m, P_c = 1W, V = 2.25(\text{at } 1.55 \mu m), \lambda_{cutoff} = 1.45 \mu m$$


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#### SILICA-CORE

##### *Silica-Clad Devices*

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{4N_2 P_c} = \frac{1.55 \times 10^{-6} \times 37.67 \times 10^{-12}}{4 \times 2.5 \times 10^{-20} \times 1} = 584[m]$$

##### *Microstructure Devices*

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{4N_2 P_c} = \frac{1.55 \times 10^{-6} \times 1.44 \times 10^{-12}}{4 \times 2.5 \times 10^{-20} \times 1} = 22[m]$$

**LITHARGE-CORE**

*Silica-Clad Devices*

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{4N_2 P_c} = \frac{1.55 \times 10^{-6} \times 1.21 \times 10^{-12}}{4 \times 40 \times 10^{-20} \times 1} = 1.17[m]$$

*Microstructure Devices*

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{4N_2 P_c} = \frac{1.55 \times 10^{-6} \times 0.88 \times 10^{-12}}{4 \times 40 \times 10^{-20} \times 1} = 0.85[m]$$

*Litharge-Clad Devices*

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{4N_2 P_c} = \frac{1.55 \times 10^{-6} \times 7.70 \times 10^{-12}}{4 \times 40 \times 10^{-20} \times 1} = 7.46[m]$$

**BISMITE-CORE**

*Silica-Clad Devices*

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{4N_2 P_c} = \frac{1.55 \times 10^{-6} \times 0.70 \times 10^{-12}}{4 \times 1000 \times 10^{-20} \times 1} = 2.71[cm]$$

*Microstructure Devices*

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{4N_2 P_c} = \frac{1.55 \times 10^{-6} \times 0.66 \times 10^{-12}}{4 \times 1000 \times 10^{-20} \times 1} = 2.56[cm]$$

*Bismite-Clad Devices*

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{4N_2 P_c} = \frac{1.55 \times 10^{-6} \times 3.19 \times 10^{-12}}{4 \times 1000 \times 10^{-20} \times 1} = 12.36[cm]$$

**TELLURITE-CORE**

*Silica-Clad Devices*

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{4N_2 P_c} = \frac{1.55 \times 10^{-6} \times 0.77 \times 10^{-12}}{4 \times 148 \times 10^{-20} \times 1} = 20.16[cm]$$

*Microstructure Devices*

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{4N_2 P_c} = \frac{1.55 \times 10^{-6} \times 0.70 \times 10^{-12}}{4 \times 148 \times 10^{-20} \times 1} = 18.33[cm]$$

*Tellurite-Clad Devices*

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{4N_2 P_c} = \frac{1.55 \times 10^{-6} \times 6.86 \times 10^{-12}}{4 \times 148 \times 10^{-20} \times 1} = 1.80[m]$$

**CHALCOGENIDE-CORE**

*Silica-Clad Devices*

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{4N_2 P_c} = \frac{1.55 \times 10^{-6} \times 0.38 \times 10^{-12}}{4 \times 1250 \times 10^{-20} \times 1} = 1.18[cm]$$

*Microstructure Devices*

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{4N_2 P_c} = \frac{1.55 \times 10^{-6} \times 0.37 \times 10^{-12}}{4 \times 1250 \times 10^{-20} \times 1} = 1.15[cm]$$

*Chalcogenide-Clad Devices*

$$L_{\pi}^{XPM} = \frac{\lambda_s A_{eff}}{4N_2 P_c} = \frac{1.55 \times 10^{-6} \times 1.60 \times 10^{-12}}{4 \times 1250 \times 10^{-20} \times 1} = 4.96[cm]$$



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Table 7-8 summarizes the required device length with a control power of 1W for nonlinear fiber devices based NOLM when the signal pulse operates at 1.55 $\mu$ m and the control pulse operates at 1.54 $\mu$ m.

Table 7-8. The Required Device Length with 1W Control Power for Nonlinear Fiber Devices based on Nonlinear Optical Loop Mirrors (Signal at 1.55 $\mu$ m and Control at 1.54 $\mu$ m)

Device Length[m]	Silica	Litharge	Bismite	Tellurite	Chalcogenide
Silica-Cladding	584	1.17	0.0271	0.2016	0.0118
MSF	22	0.85	0.0256	0.1833	0.0115
HNL Glass-Cladding	-	7.46	0.1236	1.80	0.0496

### 7.2.2. Relative Group Delay, Group-Velocity Dispersion, and Dispersion Slope

Table 7-9 presents the RGD, calculated from Equation (6.13), between the signal pulse at 1.55 $\mu$ m and the control pulse at 1.54 $\mu$ m for the required device length shown in Table 7-8, for nonlinear fiber devices based on NOLMs. The GVD and DS are the same as those of the devices based on the optical Kerr effect.

Table 7-9. RGD between Signal at 1.55 $\mu$ m and Control at 1.54 $\mu$ m for Nonlinear Fiber Devices based on Nonlinear Optical Loop Mirrors

Properties		Silica	Litharge	Bismite	Tellurite	Chalcogenide
<b>RGD [ps]</b>	Silica-Cladding	59	1.88	0.17	0.94	0.15
	MSF	83	3.99	0.19	1.83	0.33

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Similarly, for a reasonable comparison between different glasses, the same evaluations as the case of the optical Kerr effect were performed. Table 7-10 presents the RGD between the signal pulse at 1.55 $\mu\text{m}$  and the control pulse at different wavelengths of the worse case for the required device lengths at different control wavelengths.

Table 7-10. RGD between Signal at 1.55 $\mu\text{m}$  and Control at Different Wavelengths  
for Nonlinear Fiber Devices based on Nonlinear Optical Loop Mirrors

Properties		Silica	Litharge	Bismite	Tellurite	Chalcogenide
<b>Control Wavelength</b> [ $\mu\text{m}$ ]	Silica-Cladding	1.40	1.30	1.22	1.04	1.45
	MSF	1.35	1.45	1.48	1.45	1.60
<b>RDL at Control Wavelength</b> [m]	Silica-Cladding	554	1.04	0.0226	0.1577	0.0109
	MSF	20	0.80	0.0245	0.1726	0.0123
<b>RGD</b> [ps]	Silica-Cladding	587	25.96	1.99	16.17	0.52
	MSF	688	27.65	0.98	12.48	1.09

### 7.2.3. Walk-Off Length and Output Pulse Width

The results for the walk-off length are the same as those of the devices based on the optical Kerr effect with the same conditions. Table 7-11 shows the output control pulse width for nonlinear fiber devices based on NOLMs simulated using the nonlinear Schrödinger equation for the input control pulse at 1.54 $\mu\text{m}$  with 10ps pulse width and 1W peak power.

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Table 7-11. Output Control Pulse Width by the Nonlinear Schrödinger Equation  
for Nonlinear Fiber Devices based on Nonlinear Optical Loop Mirrors

Output Pulse Width [ps]	Silica	Litharge	Bismite	Tellurite	Chalcogenide
Silica-Cladding	14.9399	10.0879	10.0642	10.0730	10.0502
MSF	13.2122	10.0692	10.0529	10.0613	10.0322

Table 7-12 presents the output control pulse width for nonlinear fiber devices based on NOLMs calculated from Equations (6.18)-(6.21) with the consideration of both GVD and PMD for a 10ps transform limited input pulse at 1.54 $\mu$ m. The spectral width  $\delta\lambda$  for this input pulse calculated from Equation (6.22) is 0.348nm and the index differences  $\delta n$  used are  $10^{-4}$  and  $10^{-3}$  for silica-clad fiber and MSF devices, respectively.

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Table 7-12. Output Control Pulse Width by GVD and PMD  
for Nonlinear Fiber Devices based on Nonlinear Optical Loop Mirrors

Output Pulse Width [ps]	Silica		Litharge		Bismite		Tellurite		Chalcogenide	
	Si-C	MSF	Si-C	MSF	Si-C	MSF	Si-C	MSF	Si-C	MSF
P[W]	<b>Device Length[m]</b>									
1	584	22	1.17	0.85	0.0271	0.0256	0.2016	0.1833	0.0118	0.0115
0.1	1168	44	2.34	1.7	0.0542	0.0512	0.4032	0.3666	0.0236	0.0230
0.01	5840	220	11.7	8.5	0.2710	0.2560	2.0160	1.8330	0.1180	0.1150
$\delta n$	$10^{-4}$	$10^{-3}$	$10^{-4}$	$10^{-3}$	$10^{-4}$	$10^{-3}$	$10^{-4}$	$10^{-3}$	$10^{-4}$	$10^{-3}$
PMD[ps/m]	0.333	3.333	0.333	3.333	0.333	3.333	0.333	3.333	0.333	3.333
P[W]	$\delta t_{PMD}[ps] = PMD[ps/m] \times L[m]$									
1	194	73	0.39	2.83	0.009	0.085	0.067	0.611	0.004	0.038
0.1	389	146	0.78	5.67	0.018	0.171	0.134	1.222	0.008	0.077
0.01	1946	733	3.9	28.33	0.090	0.853	0.672	6.110	0.039	0.383
<b>GVD</b>	<b>11.6</b>	<b>-336</b>	<b>-168</b>	<b>-653</b>	<b>-642</b>	<b>-968</b>	<b>-511</b>	<b>-1200</b>	<b>-1491</b>	<b>-4486</b>
$\delta\lambda[nm]$	<b>0.348</b>									
P[W]	$\delta t_{GVD}[ps] = L[Km] \times \delta\lambda[nm] \times GVD[ps/nm-Km]$									
1	2.36	-2.57	-0.07	-0.19	-0.01	-0.01	-0.04	-0.08	-0.01	-0.02
0.1	4.71	-5.14	-0.14	-0.39	-0.01	-0.02	-0.07	-0.15	-0.01	-0.04
0.01	23.57	-25.72	-0.68	-1.93	-0.06	-0.09	-0.36	-0.77	-0.06	-0.18
P[W]	$\delta t^2[ps] = \delta t_{GVD}^2[ps] + \delta t_{PMD}^2[ps]$									
1	194	73	0.4	2.84	0.011	0.086	0.076	0.616	0.007	0.042
0.1	389	146	0.79	5.68	0.022	0.172	0.152	1.232	0.015	0.085
0.01	1946	733	3.96	28.4	0.109	0.858	0.762	6.158	0.073	0.423
P[W]	$\Delta t_{OUT}^2[ps] = \Delta t_{IN}^2[ps] + \delta t^2[ps]$									
<b>1</b>	<b>194</b>	<b>74</b>	<b>10.01</b>	<b>10.40</b>	<b>10.00</b>	<b>10.00</b>	<b>10.00</b>	<b>10.02</b>	<b>10.00</b>	<b>10.00</b>
<b>0.1</b>	<b>389</b>	<b>147</b>	<b>10.03</b>	<b>11.50</b>	<b>10.00</b>	<b>10.00</b>	<b>10.00</b>	<b>10.08</b>	<b>10.00</b>	<b>10.00</b>
<b>0.01</b>	<b>1946</b>	<b>734</b>	<b>10.76</b>	<b>30.11</b>	<b>10.00</b>	<b>10.04</b>	<b>10.03</b>	<b>11.74</b>	<b>10.00</b>	<b>10.01</b>

### **7.3. Nonlinear Fiber Devices based on Asymmetric Twin-Core Fibers**

#### **7.3.1. Coupling Properties**

This section describes the coupling properties of nonlinear fiber devices based on single silica-clad ATCFs in which slightly GeO<sub>2</sub>-doped silica glass is in the large core and one of HNL glasses is in the small core. The Maximum Coupling Wavelengths(MCW), the Coupling Lengths(CL) at the MCW, power exchange patterns between the two cores, coupling power variation patterns in the small core at the CL, and the spectral width of the devices are provided. In the simulations, the parameters of the devices were chosen such that their MCWs have the same wavelength of 1.5496 $\mu$ m for reasonable comparison. In addition, for all cases, the input power is launched into the larger core at  $z=0$  and the separation between the two cores is 1.5 $\mu$ m(from boundary to boundary). In the following parameters, L refers to the large core, S refers to the small core, and  $n_2$  is the cladding refractive index.

$$aL = 3\mu\text{m}, n_1L = 1.4554, n_2 = 1.4441 \text{ at } 1.55\mu\text{m}, \lambda_{\text{cutoff}}L = 1.42\mu\text{m}$$

**LITHARGE GLASSES in the Small Core**

$$aS = 0.2254\mu\text{m}, n_1S = 1.8017 \text{ (at } 1.55\mu\text{m)}, \lambda_{\text{cutoff}}S = 0.63\mu\text{m}$$

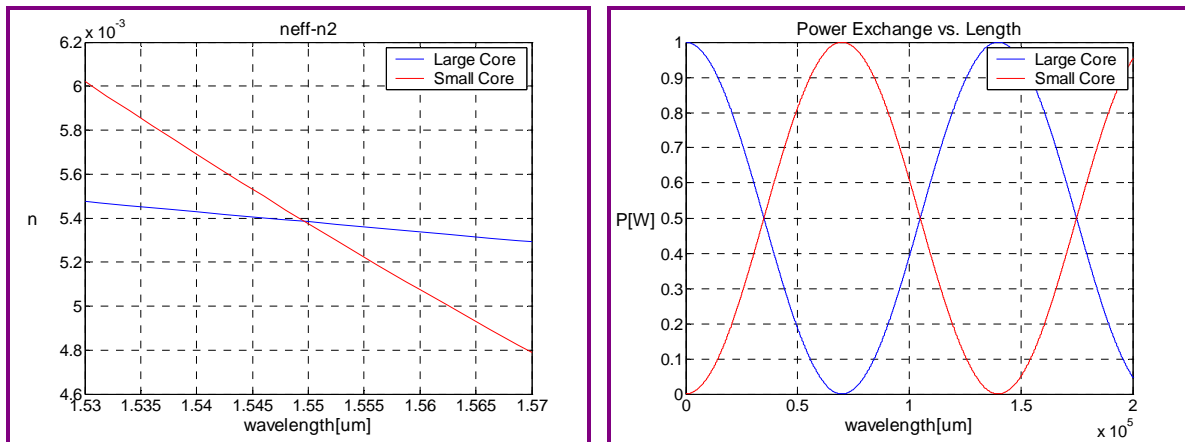


Figure 7-35. MCW(1.5496 $\mu\text{m}$ ) and CL(70.0mm) at the MCW for Litharge Glasses

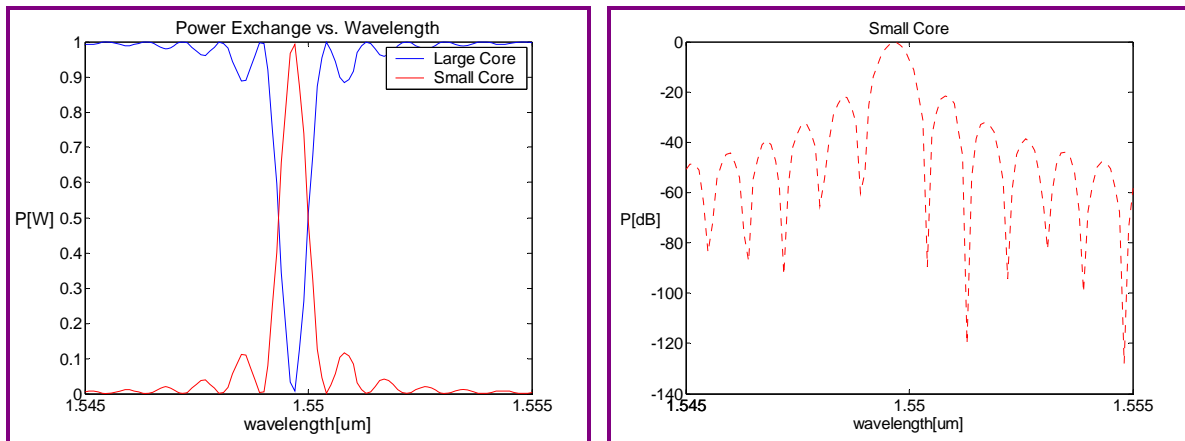


Figure 7-36. Power Exchange between the Cores and Power Variation in the Small Core at the CL( $\Delta\lambda=0.73\text{nm}$ ) for Litharge Glasses

**BISMITE GLASSES in the Small Core**

$$aS = 0.164\mu m, n_1S = 2.1009(\text{at } 1.55\mu m), \lambda_{cutoff}S = 0.65\mu m$$

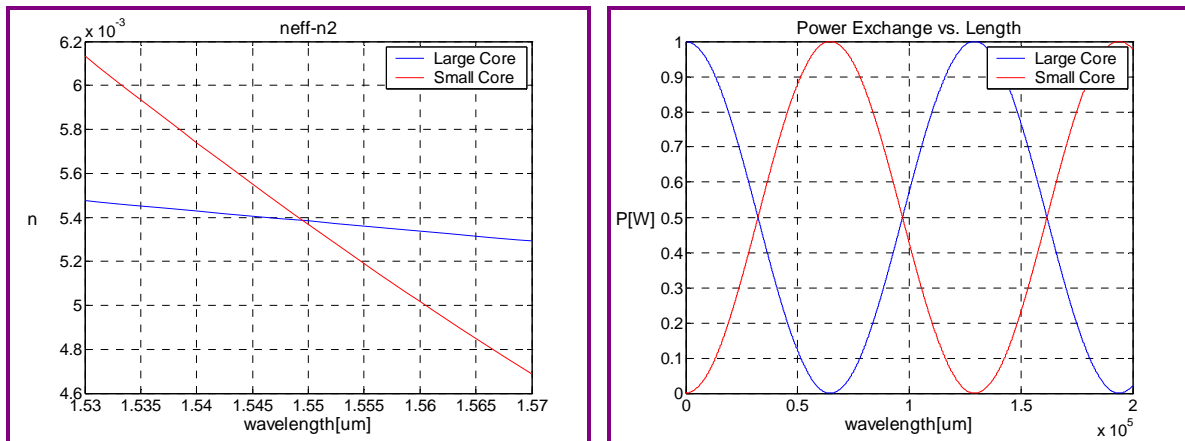


Figure 7-37. MCW(1.5496 $\mu$ m) and CL(64.7mm) at the MCW for Bismite Glasses

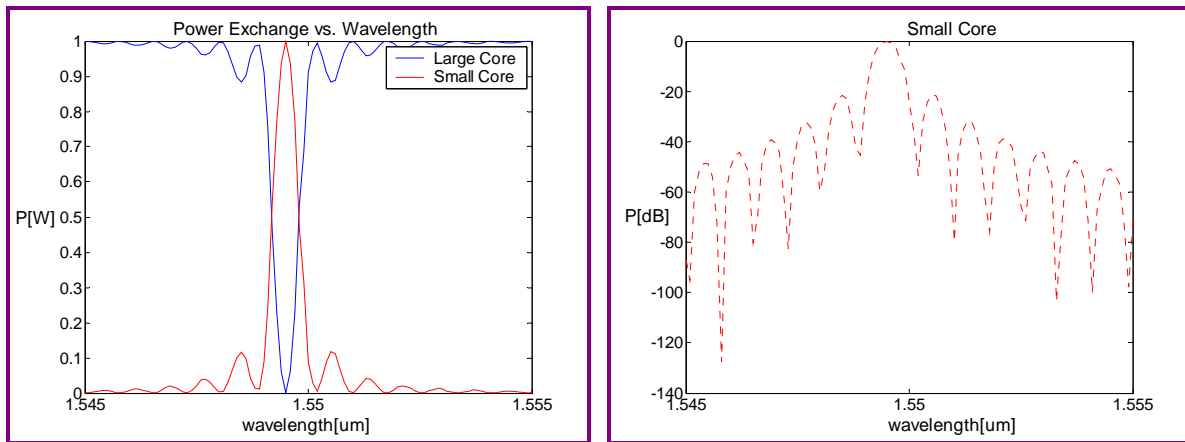


Figure 7-38. Power Exchange between the Cores and Power Variation in the Small Core at the CL( $\Delta\lambda=0.65$ nm) for Bismite Glasses

**TELLURITE GLASSES in the Small Core**

$$aS = 0.174\mu m, n_1S = 2.0278(\text{at } 1.55\mu m), \lambda_{cutoff}S = 0.64\mu m$$

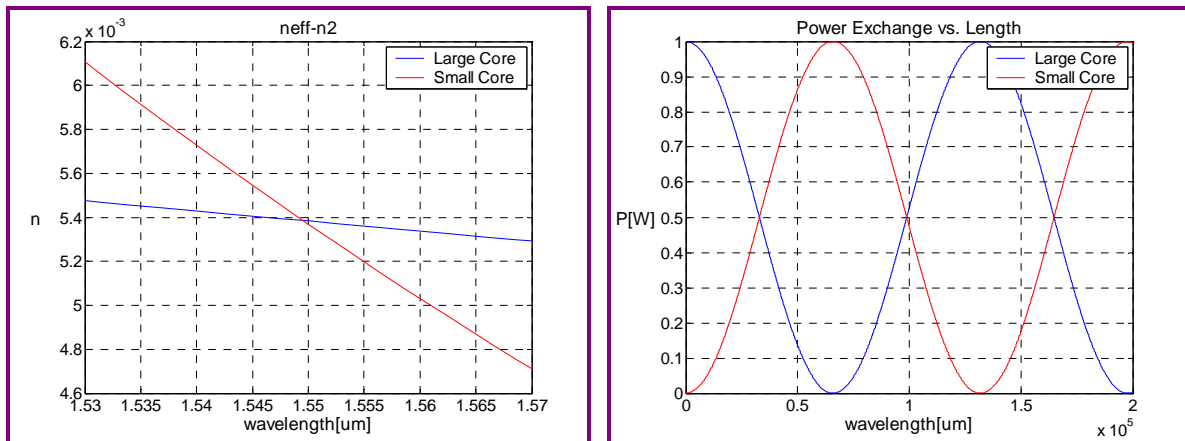


Figure 7-39. MCW(1.5496 $\mu$ m) and CL(65.9mm) at the MCW for Tellurite Glasses

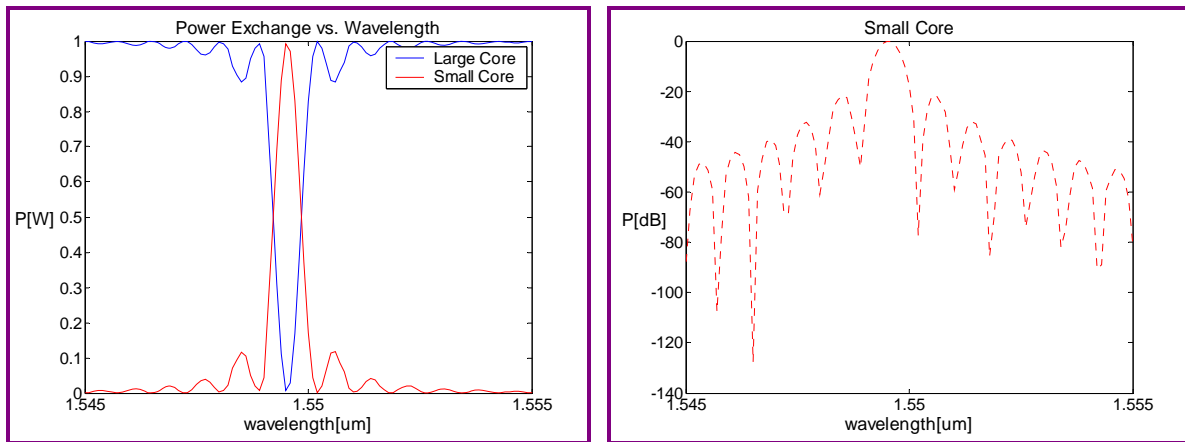


Figure 7-40. Power Exchange between the Cores and Power Variation in the Small Core at the CL( $\Delta\lambda=0.70$ nm) for Tellurite Glasses



**CHALCOGENIDE GLASSES in the Small Core**

$$aS = 0.1203 \mu m, n_1 S = 2.7555 (\text{at } 1.55 \mu m), \lambda_{cutoff} S = 0.74 \mu m$$

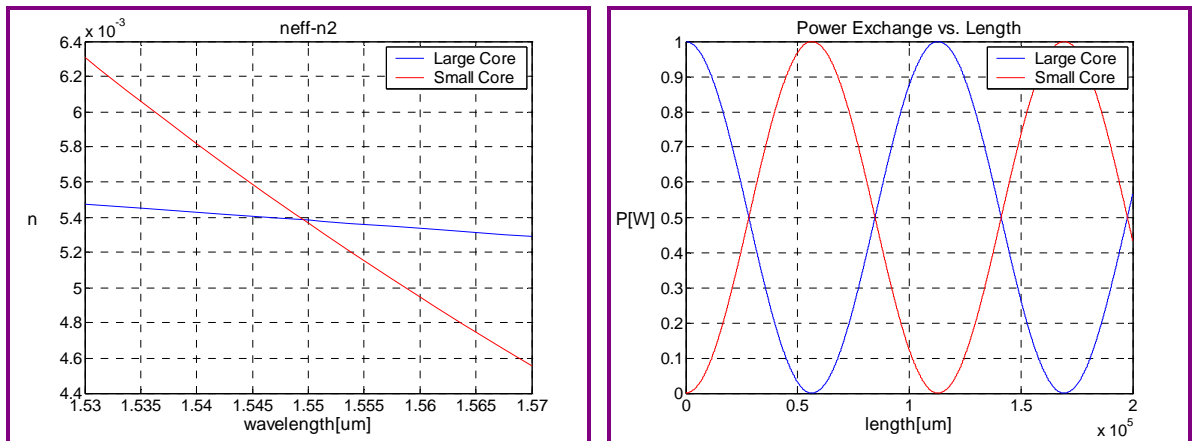


Figure 7-41. MCW(1.5496 $\mu$ m) and CL(56.5mm) at the MCW for Chalcogenide Glasses

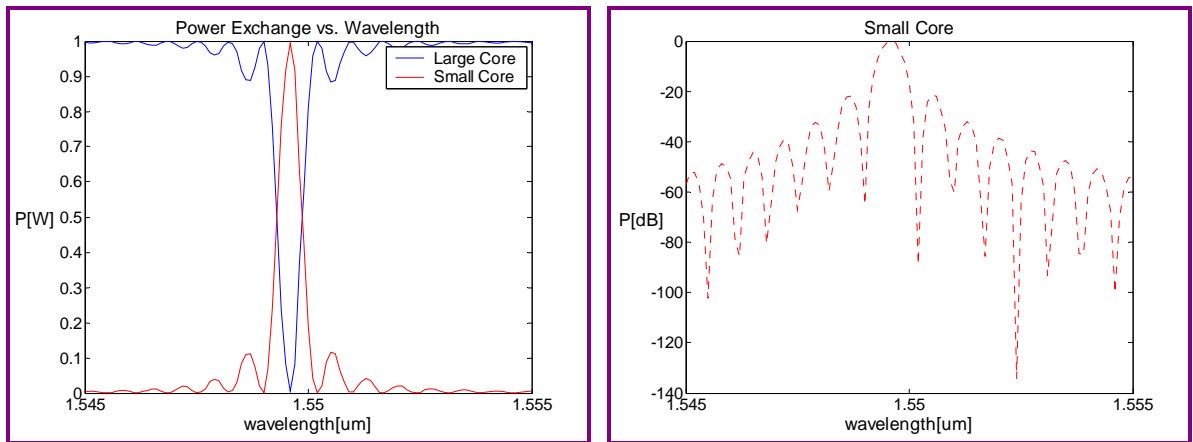


Figure 7-42. Power Exchange between the Cores and Power Variation in the Small Core at the CL( $\Delta\lambda=0.61$ nm) for Chalcogenide Glasses

## CHAPTER 7. IMPACT OF HIGH-NONLINEARITY GLASSES ON NONLINEAR FIBER DEVICES

Table 7-13 compares the MCW, CL, spectral width, and effective mode area of the small core for nonlinear fiber devices based on ATCFs in which different HNL glasses are used in the small core.

Table 7-13. Comparison of the Properties of Nonlinear Fiber Devices based on ATCFs

Properties	GeO <sub>2</sub> -doped*	Litharge	Bismite	Tellurite	Chalcogenide
<b>MCW [<math>\mu\text{m}</math>]</b>	1.5216	1.5496	1.5496	1.5496	1.5496
<b>CL [mm]</b>	165	70.0	64.7	65.9	56.5
<b><math>\Delta\lambda</math> [nm]</b>	1.6	0.73	0.65	0.70	0.61
<b><math>A_{\text{eff}}</math> [<math>\mu\text{m}^2</math>]</b>	12.2	2.12	1.84	1.92	1.19

\* : The results from Section 2.4.2

### 7.3.2. Required Optical Power for Wavelength-Division Demultiplexers

As discussed in Section 2.4.4, MCWs of ATCFs are highly dependent on refractive index difference between the small core and cladding, and the refractive index of the small core is affected by strong pump power. As a result, the MCW can be adjusted by controlling the pump power to choose a specific wavelength of the signal pulses in wavelength-division demultiplexers based on ATCFs. This section presents the required optical pump power at 1.3 $\mu\text{m}$ , calculated from Equation (6.8), for shifting the MCW by an amount of the spectral width to select the adjacent wavelength channel. It should be noted that the pump wavelength should be far away from the MCW in order to block power exchange of the pump power.

**GeO<sub>2</sub>-doped GLASSES in the Small Core**

$$\lambda_{MCW} = 1.5216\mu m, N_2 = 2.8 \times 10^{-20} m^2 / W, A_{eff} = 12.2\mu m^2$$

For shifting the MCW by  $\Delta\lambda = 1.6nm$ ,  $\delta n = 2.316 \times 10^{-5}$

$$P_{1.3\mu m} = \frac{A_{eff} \cdot \delta n}{N_2} = \frac{12.2 \times 10^{-12} \times 2.316 \times 10^{-5}}{2.8 \times 10^{-20}} = 10[KW]$$

**LITHARGE GLASSES in the Small Core**

$$\lambda_{MCW} = 1.5496\mu m, N_2 = 40 \times 10^{-20} m^2 / W, A_{eff} = 2.12\mu m^2$$

For shifting the MCW by  $\Delta\lambda = 0.73nm$ ,  $\delta n = 2.517 \times 10^{-4}$

$$P_{1.3\mu m} = \frac{A_{eff} \cdot \delta n}{N_2} = \frac{2.12 \times 10^{-12} \times 2.517 \times 10^{-4}}{40 \times 10^{-20}} = 1.33[KW]$$

**BISMITE GLASSES in the Small Core**

$$\lambda_{MCW} = 1.5496\mu m, N_2 = 1000 \times 10^{-20} m^2 / W, A_{eff} = 1.84\mu m^2$$

For shifting the MCW by  $\Delta\lambda = 0.65nm$ ,  $\delta n = 5 \times 10^{-4}$

$$P_{1.3\mu m} = \frac{A_{eff} \cdot \delta n}{N_2} = \frac{1.84 \times 10^{-12} \times 5 \times 10^{-4}}{1000 \times 10^{-20}} = 92[W]$$

**TELLURITE GLASSES in the Small Core**

$$\lambda_{MCW} = 1.5496\mu m, N_2 = 148 \times 10^{-20} m^2 / W, A_{eff} = 1.92\mu m^2$$

For shifting the MCW by  $\Delta\lambda = 0.70nm$ ,  $\delta n = 5.385 \times 10^{-4}$

$$P_{1.3\mu m} = \frac{A_{eff} \cdot \delta n}{N_2} = \frac{1.92 \times 10^{-12} \times 5.385 \times 10^{-4}}{148 \times 10^{-20}} = 0.7[KW]$$

**CHALCOGENIDE GLASSES in the Small Core**

$$\lambda_{MCW} = 1.5496\mu m, N_2 = 1250 \times 10^{-20} m^2 / W, A_{eff} = 1.19\mu m^2$$

For shifting the MCW by  $\Delta\lambda = 0.61nm$ ,  $\delta n = 1.017 \times 10^{-3}$

$$P_{1.3\mu m} = \frac{A_{eff} \cdot \delta n}{N_2} = \frac{1.19 \times 10^{-12} \times 1.017 \times 10^{-3}}{1250 \times 10^{-20}} = 97[W]$$

Table 7-14 summarizes the required optical pump power at 1.3 $\mu$ m to shift the MCW of 1.5496 $\mu$ m by an amount of the spectral width for wavelength-division demultiplexers based on ATCFs.

Table 7-14. The Required Optical Pump Power at 1.3 $\mu$ m to shift the MCW of 1.5496 $\mu$ m for Wavelength-Division Demultiplexers based on ATCFs

	GeO <sub>2</sub> -doped	Litharge	Bismite	Tellurite	Chalcogenide
Power [KW]	10	1.33	0.092	0.7	0.097

As can be seen, the power requirements for the wavelength-division demultiplexers based on ATCFs turn out to be large as compared with other nonlinear fiber devices. The reason is that, because HNL glasses have much higher linear refractive indices, the rate of variation of the effective refractive indices in the small core is much smaller. As a result, the required  $\delta n$  for shifting the MCW, which is proportional to the optical pump power, becomes much larger. Finally, even for the case of chalcogenide glasses which have the highest linear refractive indices, it still resumes the relatively high power of 97W due to the highest  $\delta n$ , despite the smallest effective mode area and the largest nonlinear-index coefficient.

### **7.3.3. Relative Group Delay, Group-Velocity Dispersion, and Dispersion Slope**

This section provides the ERI, the GERI, the GVD, and the DS of nonlinear fiber devices based on ATCFs. The RGD between the large and small cores for the constant coupling length shown in Table 7-13 is also provided as a function of wavelength. Furthermore, to investigate the applicable potentiality of optical time-division demultiplexers based on ATCFs, the RGD between the signal pulse at the MCW of  $1.5496\mu\text{m}$  in the large core and the control pulse at  $1.3\mu\text{m}$  in the small core for the device length (coupling length) were evaluated from Equation (6.13).

$$aL = 3\mu\text{m}, n_1L = 1.4554, n_2 = 1.4441 \text{ at } 1.55\mu\text{m}, \lambda_{\text{cutoff}}L = 1.42\mu\text{m}$$

**LITHARGE GLASSES in the Small Core**

$$aS = 0.2254\mu\text{m}, n_1S = 1.8017 \text{ (at } 1.55\mu\text{m)}, \lambda_{\text{cutoff}}S = 0.63\mu\text{m}$$

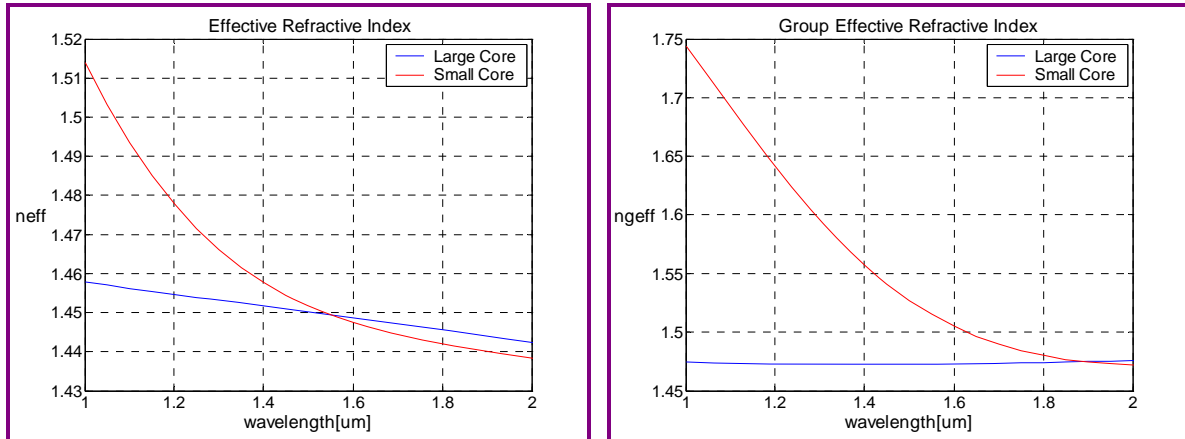


Figure 7-43. ERI and GERI When Litharge Glass is in the Small Core

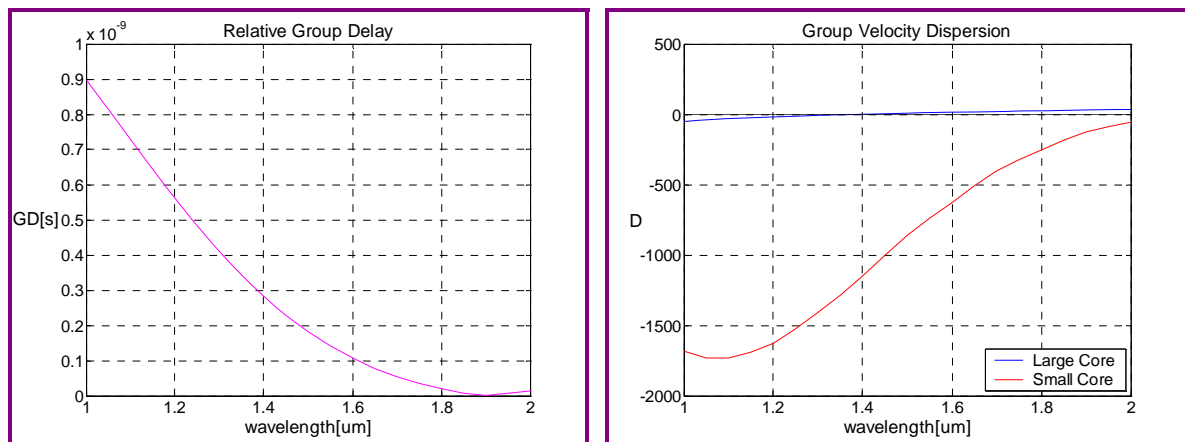


Figure 7-44. RGD and GVD When Litharge Glass is in the Small Core

The RGD between the signal pulse at the MCW of 1.5496 μm in the large core and the control pulse at 1.3 μm in the small core for the coupling length of 0.07 m is 29 ps, and the GVD and DS of the control pulse are -1405 [ps/nm-km] and 2.36 [ps/nm<sup>2</sup>-km], respectively.

**BISMITE GLASSES in the Small Core**

$$aS = 0.164\mu\text{m}, n_1S = 2.1009(\text{at } 1.55\mu\text{m}), \lambda_{\text{cutoff}}S = 0.65\mu\text{m}$$

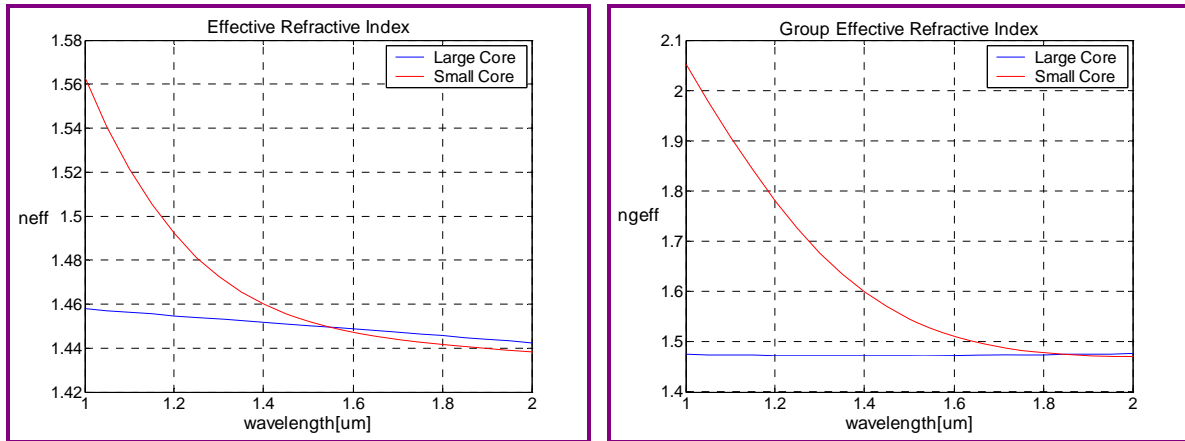


Figure 7-45. ERI and GERI When Bismite Glass is in the Small Core

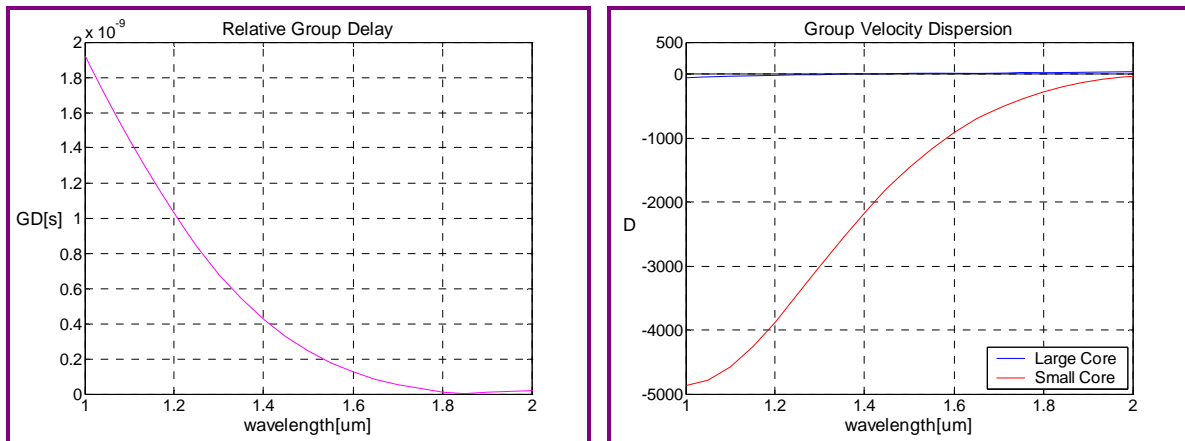


Figure 7-46. RGD and GVD When Bismite Glass is in the Small Core

The RGD between the signal pulse at the MCW of  $1.5496\mu\text{m}$  in the large core and the control pulse at  $1.3\mu\text{m}$  in the small core for the coupling length of  $0.0647\text{m}$  is  $44\text{ps}$ , and the GVD and DS of the control pulse are  $-3001[\text{ps}/\text{nm}\cdot\text{km}]$  and  $8.69[\text{ps}/\text{nm}^2\cdot\text{km}]$ , respectively.

**TELLURITE GLASSES in the Small Core**

$$aS = 0.174\mu m, n_1S = 2.0278(\text{at } 1.55\mu m), \lambda_{cutoff}S = 0.64\mu m$$

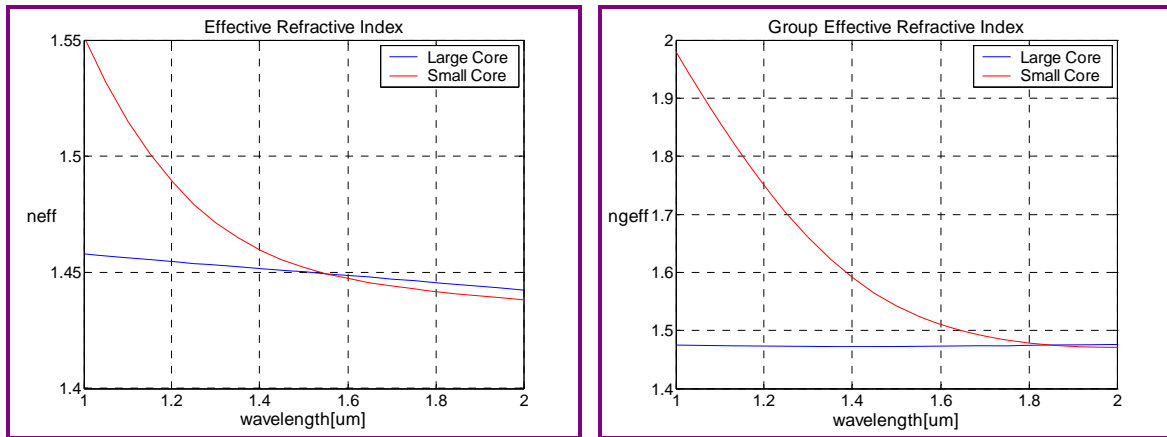


Figure 7-47. ERI and GERI When Tellurite Glass is in the Small Core

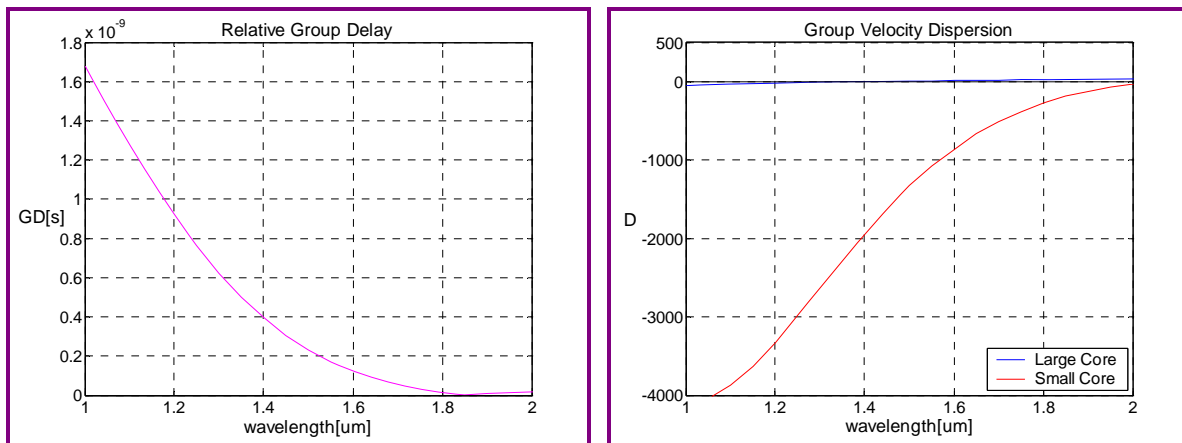


Figure 7-48. RGD and GVD When Tellurite Glass is in the Small Core

The RGD between the signal pulse at the MCW of  $1.5496\mu m$  in the large core and the control pulse at  $1.3\mu m$  in the small core for the coupling length of  $0.0659m$  is  $41ps$ , and the GVD and DS of the control pulse are  $-2632[ps/nm\cdot km]$  and  $7.06[ps/nm^2\cdot km]$ , respectively.



**CHALCOGENIDE GLASSES in the Small Core**

$$aS = 0.1203\mu m, n_1S = 2.7555(\text{at } 1.55\mu m), \lambda_{cutoff}S = 0.74\mu m$$

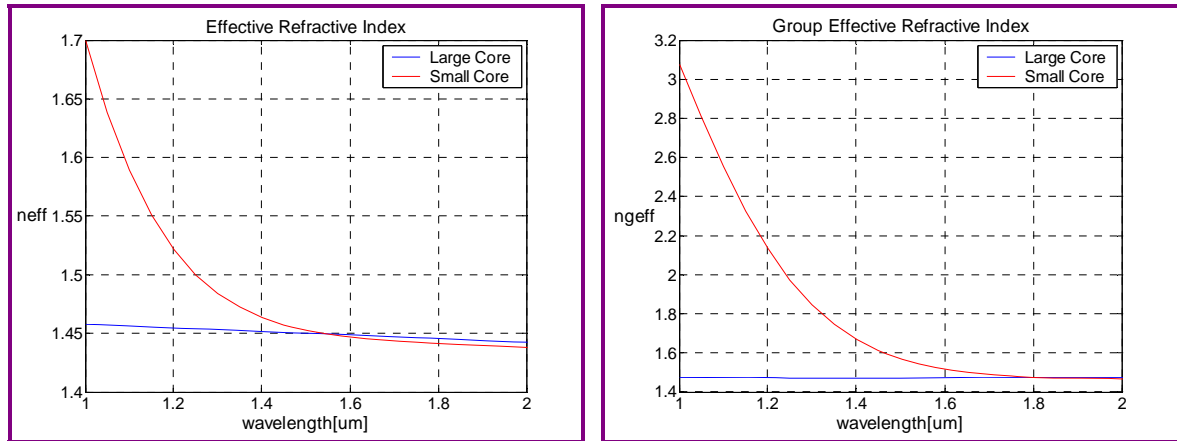


Figure 7-49. ERI and GERI When Chalcogenide Glass is in the Small Core

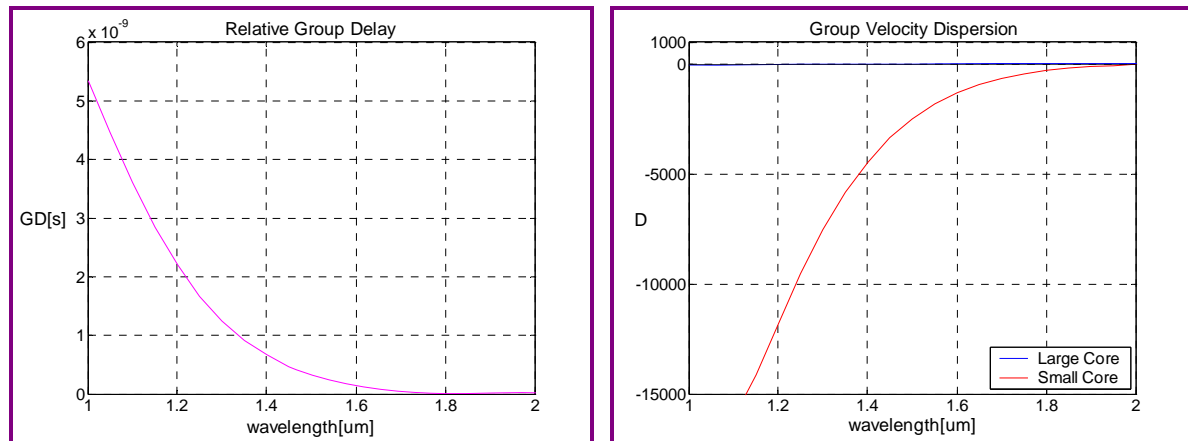


Figure 7-50. RGD and GVD When Chalcogenide Glass is in the Small Core

The RGD between the signal pulse at the MCW of 1.5496  $\mu m$  in the large core and the control pulse at 1.3  $\mu m$  in the small core for the coupling length of 0.0565 m is 70 ps, and the GVD and DS of the control pulse are -7513 [ps/nm-km] and 37.08 [ps/nm<sup>2</sup>-km], respectively.

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Table 7-15 summarizes the RGD, calculated from Equation (6.13), between the signal pulse at the MCW of 1.5496 $\mu\text{m}$  in the large core and the control pulse at 1.3 $\mu\text{m}$  in the small core for the device length shown in Table 7-13, and the GVD and DS of the control pulse at 1.3 $\mu\text{m}$  in the small core for different HNL glasses. The GVD and DS of the signal pulse at the MCW of 1.5496 $\mu\text{m}$  in the large core of slightly GeO<sub>2</sub>-doped silica glass are 12[ps/nm-km] and 0.052[ps/nm<sup>2</sup>-km], respectively.

Table 7-15. RGD between Signal at 1.5496 $\mu\text{m}$  in the Large Core and Control at 1.3 $\mu\text{m}$  in the Small Core, GVD at 1.3 $\mu\text{m}$ , and DS at 1.3 $\mu\text{m}$  for Nonlinear Fiber Devices based on ATCFs

Properties	Litharge	Bismite	Tellurite	Chalcogenide
<b>RGD [ps]</b>	29	44	41	70
<b>GVD [ps/nm-km] at 1.3<math>\mu\text{m}</math></b>	-1405	-3001	-2632	-7513
<b>DS [ps/nm<sup>2</sup>-km] at 1.3<math>\mu\text{m}</math></b>	2.36	8.69	7.06	37.08

### 7.3.4. Walk-Off Length

Table 7-16 presents the walk-off length, calculated from Equation (6.15), between the signal pulse at the MCW of 1.5496 $\mu\text{m}$  in the large core and the control pulse at 1.3 $\mu\text{m}$  in the small core with 10ps pulse width for nonlinear fiber devices based on ATCFs.

Table 7-16. Walk-Off Length between Signal at 1.5496 $\mu\text{m}$  in the Large Core and Control at 1.3 $\mu\text{m}$  in the Small Core with 10ps Pulse Width for Nonlinear Fiber Devices based on ATCFs

<b>Walk-Off Length [m]</b>	Litharge	Bismite	Tellurite	Chalcogenide
	0.024	0.015	0.016	0.008

## **7.4. Nonlinear Fiber Devices based on LP<sub>01</sub>-LP<sub>02</sub> Mode Interference**

### **7.4.1. Required Device Length**

This section provides the required device length for nonlinear fiber devices based on LP<sub>01</sub>-LP<sub>02</sub> mode interference. For all nonlinear fiber devices constructed with different glasses, the same normalized frequency  $V$  of 5 at 1.55 $\mu\text{m}$  was selected for reasonable comparison. Thus, the devices support the LP<sub>01</sub> and LP<sub>02</sub> modes simultaneously at 1.55 $\mu\text{m}$ .

Followings are the required device lengths obtained from Equation (6.4) for the SPM-induced nonlinear phase shift of  $\pi$ . It is assumed that the signal operates at 1.55 $\mu\text{m}$  and the LP<sub>01</sub> and LP<sub>02</sub> modes of the signal have the same power of 1W.

**For SPM-Induced Nonlinear Fiber Devices**

**based on LP<sub>01</sub>-LP<sub>02</sub> Mode Interference**

$$\lambda_s = 1.55 \mu m, P_s = P_{01} = P_{02} = 1W, V = 5(at 1.55 \mu m)$$

**SILICA-CORE**

$$n_{core} = 1.4554, N_2 = 2.5 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

*Silica-Clad Devices*

$$n_{cladding} = 1.4441(at 1.55 \mu m), a = 6.81 \mu m$$

$$n_{eff\_01} = 1.4536, n_{eff\_02} = 1.4465, A_{eff\_01} = 102.95 \mu m^2, A_{eff\_02} = 107.41 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 2.5 \times 10^{-20} \times 1 \times \left| \frac{1}{102.95 \times 10^{-12}} - \frac{1}{107.41 \times 10^{-12}} \right|} = 77 [Km]$$

*Microstructure Devices*

$$n_{cladding} = 1, a = 1.17 \mu m$$

$$n_{eff\_01} = 1.3859, n_{eff\_02} = 1.0832, A_{eff\_01} = 2.97 \mu m^2, A_{eff\_02} = 5.67 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 2.5 \times 10^{-20} \times 1 \times \left| \frac{1}{2.97 \times 10^{-12}} - \frac{1}{5.67 \times 10^{-12}} \right|} = 193 [m]$$

**LITHARGE-CORE**

$$n_{core} = 1.8017, N_2 = 40 \times 10^{-20} m^2/W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 1.14 \mu m$$

$$n_{eff\_01} = 1.7461, n_{eff\_02} = 1.5157, A_{eff\_01} = 2.85 \mu m^2, A_{eff\_02} = 4.73 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 40 \times 10^{-20} \times 1 \times \left| \frac{1}{2.85 \times 10^{-12}} - \frac{1}{4.73 \times 10^{-12}} \right|} = 13.89[m]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.82 \mu m$$

$$n_{eff\_01} = 1.6831, n_{eff\_02} = 1.1221, A_{eff\_01} = 1.51 \mu m^2, A_{eff\_02} = 3.06 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 40 \times 10^{-20} \times 1 \times \left| \frac{1}{1.51 \times 10^{-12}} - \frac{1}{3.06 \times 10^{-12}} \right|} = 5.76[m]$$

***Litharge-Clad Devices***

$$n_{core} = 1.774, n_{cladding} = 1.728, a = 3.07 \mu m$$

$$n_{eff\_01} = 1.7667, n_{eff\_02} = 1.7378, A_{eff\_01} = 20.89 \mu m^2, A_{eff\_02} = 23.13 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 40 \times 10^{-20} \times 1 \times \left| \frac{1}{20.89 \times 10^{-12}} - \frac{1}{23.13 \times 10^{-12}} \right|} = 418[m]$$

**BISMITE-CORE**

$$n_{core} = 2.1009, N_2 = 1000 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.81 \mu m$$

$$n_{eff\_01} = 2.0007, n_{eff\_02} = 1.5641, A_{eff\_01} = 1.43 \mu m^2, A_{eff\_02} = 2.72 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 1000 \times 10^{-20} \times 1 \times \left| \frac{1}{1.43 \times 10^{-12}} - \frac{1}{2.72 \times 10^{-12}} \right|} = 0.23[m]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.67 \mu m$$

$$n_{eff\_01} = 1.9421, n_{eff\_02} = 1.1408, A_{eff\_01} = 1.01 \mu m^2, A_{eff\_02} = 2.14 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 1000 \times 10^{-20} \times 1 \times \left| \frac{1}{1.01 \times 10^{-12}} - \frac{1}{2.14 \times 10^{-12}} \right|} = 0.15[m]$$

***Bismite-Clad Devices***

$$n_{core} = 2.22, n_{cladding} = 2.13, a = 1.97 \mu m$$

$$n_{eff\_01} = 2.2057, n_{eff\_02} = 2.1492, A_{eff\_01} = 8.56 \mu m^2, A_{eff\_02} = 10.01 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 1000 \times 10^{-20} \times 1 \times \left| \frac{1}{8.56 \times 10^{-12}} - \frac{1}{10.01 \times 10^{-12}} \right|} = 4.58[m]$$

**TELLURITE-CORE**

$$n_{core} = 2.0278, N_2 = 148 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.87 \mu m$$

$$n_{eff\_01} = 1.9383, n_{eff\_02} = 1.5534, A_{eff\_01} = 1.64 \mu m^2, A_{eff\_02} = 3.06 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 148 \times 10^{-20} \times 1 \times \left| \frac{1}{1.64 \times 10^{-12}} - \frac{1}{3.06 \times 10^{-12}} \right|} = 1.85[m]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.70 \mu m$$

$$n_{eff\_01} = 1.8786, n_{eff\_02} = 1.1374, A_{eff\_01} = 1.10 \mu m^2, A_{eff\_02} = 2.30 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 148 \times 10^{-20} \times 1 \times \left| \frac{1}{1.10 \times 10^{-12}} - \frac{1}{2.30 \times 10^{-12}} \right|} = 1.10[m]$$

***Tellurite-Clad Devices***

$$n_{core} = 2.028, n_{cladding} = 1.983, a = 2.90 \mu m$$

$$n_{eff\_01} = 2.0209, n_{eff\_02} = 1.9926, A_{eff\_01} = 18.70 \mu m^2, A_{eff\_02} = 20.48 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 148 \times 10^{-20} \times 1 \times \left| \frac{1}{18.70 \times 10^{-12}} - \frac{1}{20.48 \times 10^{-12}} \right|} = 113[m]$$

**CHALCOGENIDE-CORE**

$$n_{core} = 2.7555, N_2 = 1250 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.53 \mu m$$

$$n_{eff\_01} = 2.5633, n_{eff\_02} = 1.6321, A_{eff\_01} = 0.62 \mu m^2, A_{eff\_02} = 1.27 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 1250 \times 10^{-20} \times 1 \times \left| \frac{1}{0.62 \times 10^{-12}} - \frac{1}{1.27 \times 10^{-12}} \right|} = 0.075[m]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.48 \mu m$$

$$n_{eff\_01} = 2.5135, n_{eff\_02} = 1.1469, A_{eff\_01} = 0.54 \mu m^2, A_{eff\_02} = 1.07 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 1250 \times 10^{-20} \times 1 \times \left| \frac{1}{0.54 \times 10^{-12}} - \frac{1}{1.07 \times 10^{-12}} \right|} = 0.068[m]$$

***Chalcogenide-Clad Devices***

$$n_{core} = 2.6, n_{cladding} = 2.443, a = 1.39 \mu m$$

$$n_{eff\_01} = 2.5752, n_{eff\_02} = 2.4763, A_{eff\_01} = 4.23 \mu m^2, A_{eff\_02} = 5.17 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 1250 \times 10^{-20} \times 1 \times \left| \frac{1}{4.23 \times 10^{-12}} - \frac{1}{5.17 \times 10^{-12}} \right|} = 1.44[m]$$



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Table 7-17 summarizes the required device length with the same optical power in both modes of 1W for SPM-induced nonlinear fiber devices based on LP<sub>01</sub>-LP<sub>02</sub> mode interference when the signal which supports the LP<sub>01</sub> and LP<sub>02</sub> modes operates at 1.55μm.

Table 7-17. The Required Device Length with 1W Optical Power at 1.55μm  
for SPM-Induced Nonlinear Fiber Devices based on LP<sub>01</sub>-LP<sub>02</sub> Mode Interference

Device Length[m]	Silica	Litharge	Bismite	Tellurite	Chalcogenide
Silica-Cladding	77000	13.89	0.23	1.85	0.075
MSF	193	5.76	0.15	1.10	0.068
HNL Glass-Cladding	-	418	4.58	113	1.44

Followings are the required device lengths, obtained from Equation (6.5), for the XPM-induced nonlinear phase shift of  $\pi$  when the pump is much stronger than the signal. It is assumed that the signal operates at 1.55μm and almost all pump power of 1W is launched into the fundamental mode at 1.3μm.

**For XPM-Induced Nonlinear Fiber Devices**

**based on LP<sub>01</sub>-LP<sub>02</sub> Mode Interference**

$$\lambda_s = 1.55 \mu m, \lambda_p = 1.3 \mu m, P_p = 1W \gg P_s, V = 5(\text{at } 1.55 \mu m)$$


---

**SILICA-CORE**

$$n_{core} = 1.4554, N_2 = 2.5 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

*Silica-Clad Devices*

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 6.81 \mu m$$

$$n_{eff\_01P} = 1.4541, A_{eff\_01S\_01P} = 100.21 \mu m^2, A_{eff\_02S\_01P} = 139.45 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 2.5 \times 10^{-20} \times 1 \times \left| \frac{1}{100.21 \times 10^{-12}} - \frac{1}{139.45 \times 10^{-12}} \right|} = 5.5 [Km]$$

*Microstructure Devices*

$$n_{cladding} = 1, a = 1.17 \mu m$$

$$n_{eff\_01P} = 1.4047, A_{eff\_01S\_01P} = 2.85 \mu m^2, A_{eff\_02S\_01P} = 4.23 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 2.5 \times 10^{-20} \times 1 \times \left| \frac{1}{2.85 \times 10^{-12}} - \frac{1}{4.23 \times 10^{-12}} \right|} = 135 [m]$$

**LITHARGE-CORE**

$$n_{core} = 1.8017, N_2 = 40 \times 10^{-20} m^2/W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 1.14 \mu m$$

$$n_{eff\_01P} = 1.7608, A_{eff\_01S\_01P} = 2.75 \mu m^2, A_{eff\_02S\_01P} = 4.05 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 40 \times 10^{-20} \times 1 \times \left| \frac{1}{2.75 \times 10^{-12}} - \frac{1}{4.05 \times 10^{-12}} \right|} = 8.30[m]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.82 \mu m$$

$$n_{eff\_01P} = 1.7162, A_{eff\_01S\_01P} = 1.44 \mu m^2, A_{eff\_02S\_01P} = 2.16 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 40 \times 10^{-20} \times 1 \times \left| \frac{1}{1.44 \times 10^{-12}} - \frac{1}{2.16 \times 10^{-12}} \right|} = 4.19[m]$$

***Litharge-Clad Devices***

$$n_{core} = 1.774, n_{cladding} = 1.728, a = 3.07 \mu m$$

$$n_{eff\_01P} = 1.7686, A_{eff\_01S\_01P} = 20.24 \mu m^2, A_{eff\_02S\_01P} = 27.98 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 40 \times 10^{-20} \times 1 \times \left| \frac{1}{20.24 \times 10^{-12}} - \frac{1}{27.98 \times 10^{-12}} \right|} = 70.88[m]$$

**BISMITE-CORE**

$$n_{core} = 2.1009, N_2 = 1000 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.81 \mu m$$

$$n_{eff\_01P} = 2.0278, A_{eff\_01S\_01P} = 1.37 \mu m^2, A_{eff\_02S\_01P} = 2.03 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 1000 \times 10^{-20} \times 1 \times \left| \frac{1}{1.37 \times 10^{-12}} - \frac{1}{2.03 \times 10^{-12}} \right|} = 0.16 [m]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.67 \mu m$$

$$n_{eff\_01P} = 1.9870, A_{eff\_01S\_01P} = 0.96 \mu m^2, A_{eff\_02S\_01P} = 1.49 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 1000 \times 10^{-20} \times 1 \times \left| \frac{1}{0.96 \times 10^{-12}} - \frac{1}{1.49 \times 10^{-12}} \right|} = 0.11 [m]$$

***Bismite-Clad Devices***

$$n_{core} = 2.22, n_{cladding} = 2.13, a = 1.97 \mu m$$

$$n_{eff\_01P} = 2.2094, A_{eff\_01S\_01P} = 8.29 \mu m^2, A_{eff\_02S\_01P} = 11.67 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 1000 \times 10^{-20} \times 1 \times \left| \frac{1}{8.29 \times 10^{-12}} - \frac{1}{11.67 \times 10^{-12}} \right|} = 1.11 [m]$$

**TELLURITE-CORE**

$$n_{core} = 2.0278, N_2 = 148 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.87 \mu m$$

$$n_{eff\_01P} = 1.9624, A_{eff\_01S\_01P} = 1.57 \mu m^2, A_{eff\_02S\_01P} = 2.33 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 148 \times 10^{-20} \times 1 \times \left| \frac{1}{1.57 \times 10^{-12}} - \frac{1}{2.33 \times 10^{-12}} \right|} = 1.26[m]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.70 \mu m$$

$$n_{eff\_01P} = 1.9207, A_{eff\_01S\_01P} = 1.05 \mu m^2, A_{eff\_02S\_01P} = 1.61 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 148 \times 10^{-20} \times 1 \times \left| \frac{1}{1.05 \times 10^{-12}} - \frac{1}{1.61 \times 10^{-12}} \right|} = 0.79[m]$$

***Tellurite-Clad Devices***

$$n_{core} = 2.028, n_{cladding} = 1.983, a = 2.90 \mu m$$

$$n_{eff\_01P} = 2.0227, A_{eff\_01S\_01P} = 18.09 \mu m^2, A_{eff\_02S\_01P} = 24.95 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 148 \times 10^{-20} \times 1 \times \left| \frac{1}{18.09 \times 10^{-12}} - \frac{1}{24.95 \times 10^{-12}} \right|} = 17.23[m]$$

**CHALCOGENIDE-CORE**

$$n_{core} = 2.7555, N_2 = 1250 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.53 \mu m$$

$$n_{eff\_01P} = 2.6172, A_{eff\_01S\_01P} = 0.59 \mu m^2, A_{eff\_02S\_01P} = 0.89 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 1250 \times 10^{-20} \times 1 \times \left| \frac{1}{0.59 \times 10^{-12}} - \frac{1}{0.89 \times 10^{-12}} \right|} = 0.054[m]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.48 \mu m$$

$$n_{eff\_01P} = 2.5834, A_{eff\_01S\_01P} = 0.51 \mu m^2, A_{eff\_02S\_01P} = 0.91 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 1250 \times 10^{-20} \times 1 \times \left| \frac{1}{0.51 \times 10^{-12}} - \frac{1}{0.91 \times 10^{-12}} \right|} = 0.036[m]$$

***Chalcogenide-Clad Devices***

$$n_{core} = 2.6, n_{cladding} = 2.443, a = 1.39 \mu m$$

$$n_{eff\_01P} = 2.5816, A_{eff\_01S\_01P} = 4.09 \mu m^2, A_{eff\_02S\_01P} = 5.79 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 1250 \times 10^{-20} \times 1 \times \left| \frac{1}{4.09 \times 10^{-12}} - \frac{1}{5.79 \times 10^{-12}} \right|} = 0.432[m]$$

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Table 7-18 summarizes the required device length with a pump power of 1W for XPM-induced nonlinear fiber devices based on LP<sub>01</sub>-LP<sub>02</sub> mode interference when the signal which supports the LP<sub>01</sub> and LP<sub>02</sub> modes operates at 1.55μm and the control which supports the fundamental mode operates at 1.3μm.

Table 7-18. The Required Device Length with 1W Pump Power for XPM-Induced Nonlinear Fiber Devices based on LP<sub>01</sub>-LP<sub>02</sub> Mode Interference(Signal at 1.55μm and Pump at 1.3μm)

Device Length[m]	Silica	Litharge	Bismite	Tellurite	Chalcogenide
Silica-Cladding	5500	8.30	0.16	1.26	0.054
MSF	135	4.19	0.11	0.79	0.036
HNL Glass-Cladding	-	70.88	1.11	17.23	0.432

#### 7.4.2. Relative Group Delay, Group-Velocity Dispersion, and Dispersion Slope

Basically, the principle of mode interference is based on the phase-velocity difference between two modes. However, if nonlinear fiber devices based on mode interference are used for time-dependent applications such as optical time-division demultiplexing, group-velocity difference between two modes plays an important role in their performances. This section provides the ERI, the GERI, the GVD, and the DS of the LP<sub>01</sub> and LP<sub>02</sub> modes in the devices. In addition, graphs of the RGD between the LP<sub>01</sub> and LP<sub>02</sub> modes of the signal pulse at 1.55μm for the required device length of the SMP-induced devices are provided. In the graphs, although the required device length should be changed according to the wavelength, the same required device length for 1.55μm was used for all wavelengths to roughly see the extent and trend of the RGD. To assess the applicability of devices for time-dependent applications, values of the RGD between the modes of the SPM-induced and XPM-induced devices at 1.55μm signal for the required device length were also calculated.

**SILICA-CORE**

$n_{core} = \text{slightly } GeO_2 - \text{doped silica}$

*Silica-Clad Devices*

$$n_{cladding} = \text{silica}, a = 6.81\mu\text{m}$$

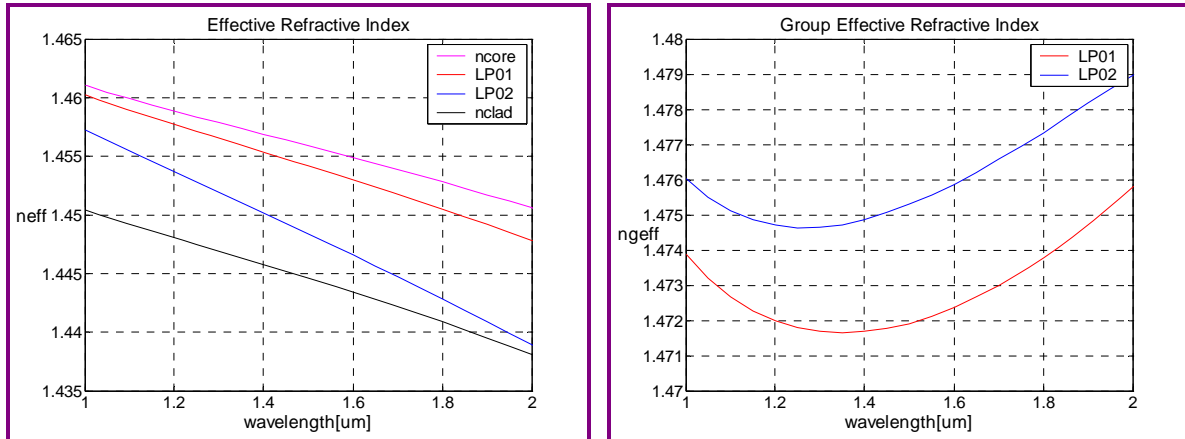


Figure 7-51. ERI and GERI of Silica-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

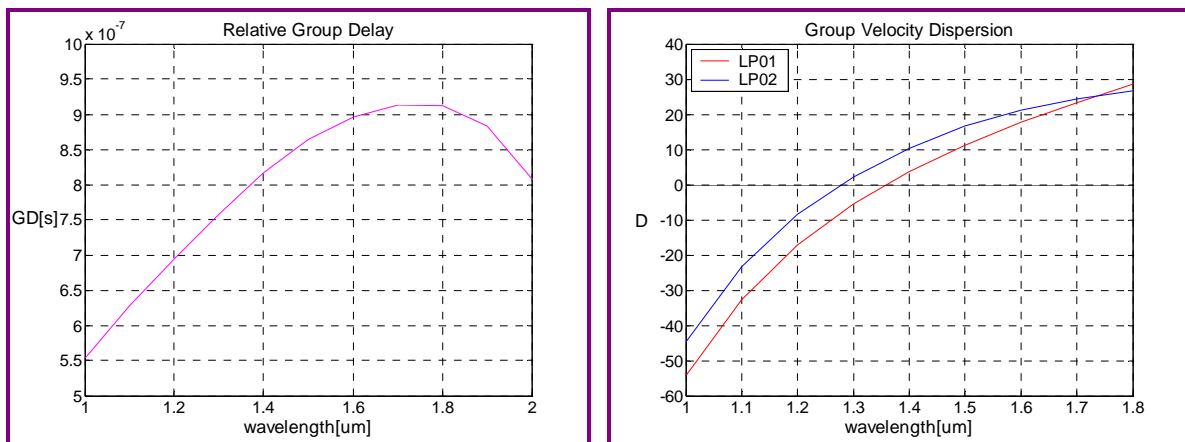


Figure 7-52. RGD and GVD of Silica-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55μm for the device length of 77Km is 880ns. The GVDs are 14.33[ps/nm-km] and 18.93[ps/nm-km], and the DSs are 0.075[ps/nm<sup>2</sup>-km] and 0.037[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55μm, respectively.



*Microstructure Devices*

$$n_{cladding} = air, a = 1.17 \mu m$$

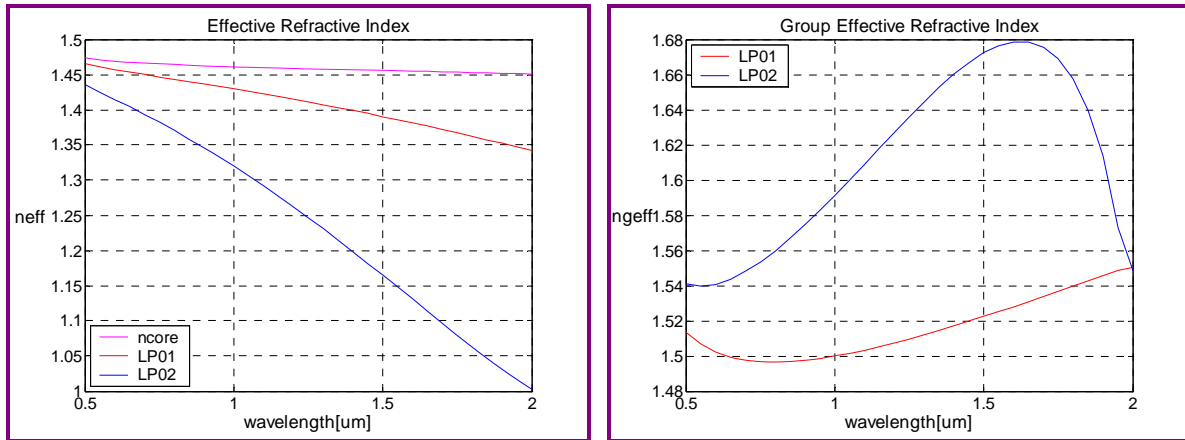


Figure 7-53. ERI and GERI of Silica-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

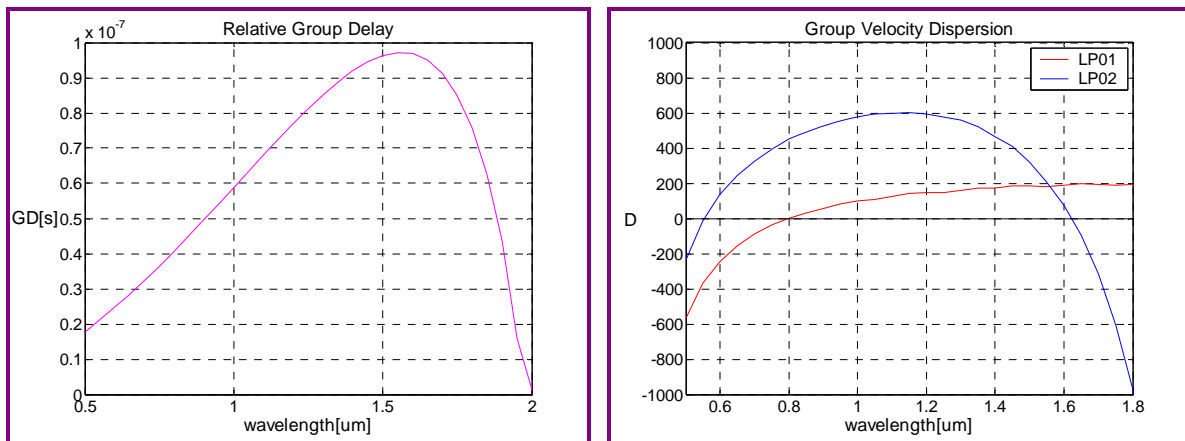


Figure 7-54. RGD and GVD of Silica-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55 $\mu m$  for the device length of 193m is 97ns. The GVDs are 181[ps/nm-km] and 205[ps/nm-km], and the DSs are 0.027[ps/nm<sup>2</sup>-km] and -2.452[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55 $\mu m$ , respectively.

**LITHARGE-CORE**

$$n_{core} = SF57$$

*Silica-Clad Devices*

$$n_{cladding} = \text{silica}, a = 1.14\mu\text{m}$$

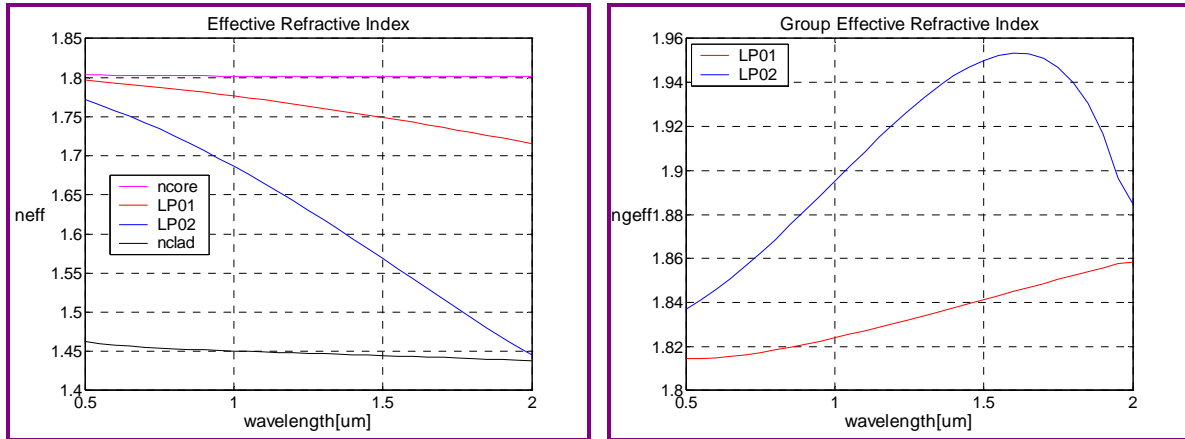


Figure 7-55. ERI and GERI of Litharge-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

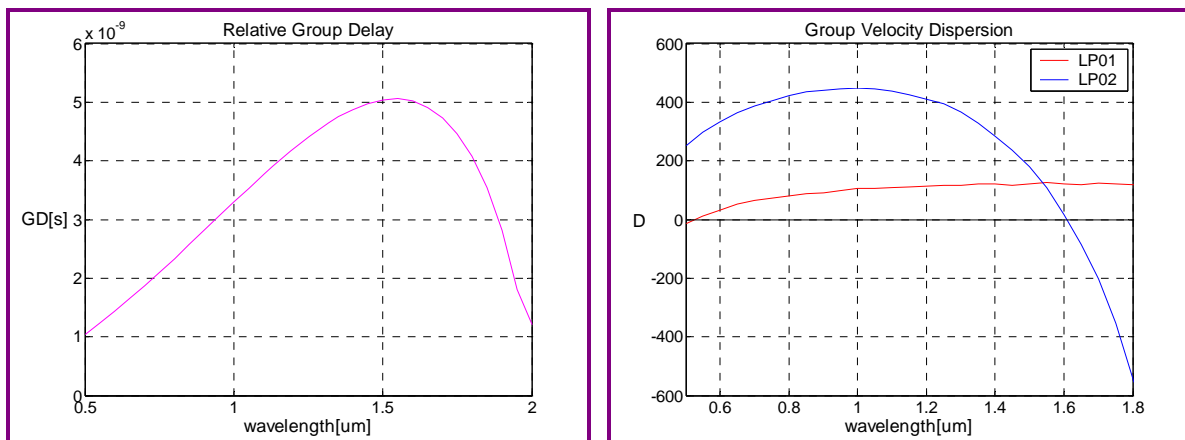


Figure 7-56. RGD and GVD of Litharge-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55 $\mu\text{m}$  for the device length of 13.89m is 5.06ns. The GVDs are 126[ps/nm-km] and 110[ps/nm-km], and the DSs are -0.021[ps/nm<sup>2</sup>-km] and -1.636[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55 $\mu\text{m}$ , respectively.

*Microstructure Devices*

$$n_{cladding} = air, a = 0.82\mu m$$

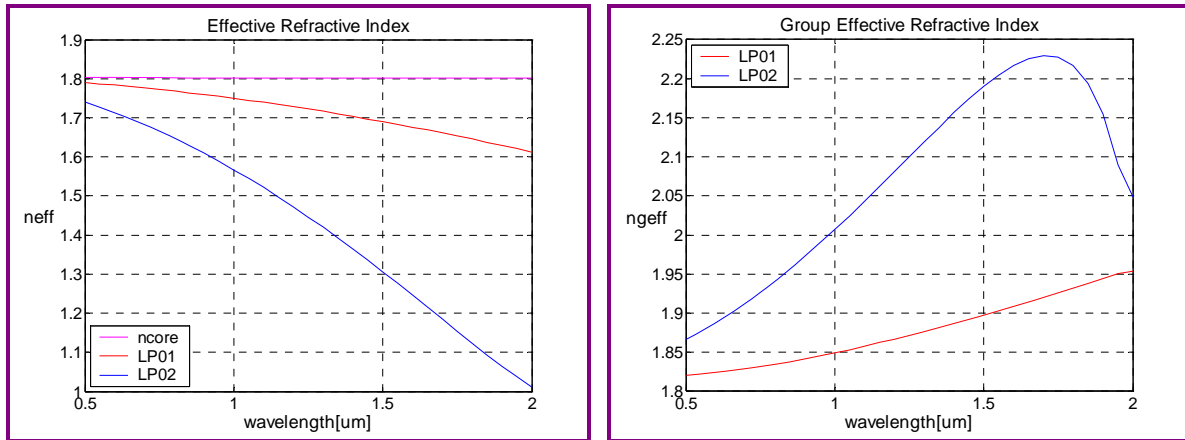


Figure 7-57. ERI and GERI of Litharge-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

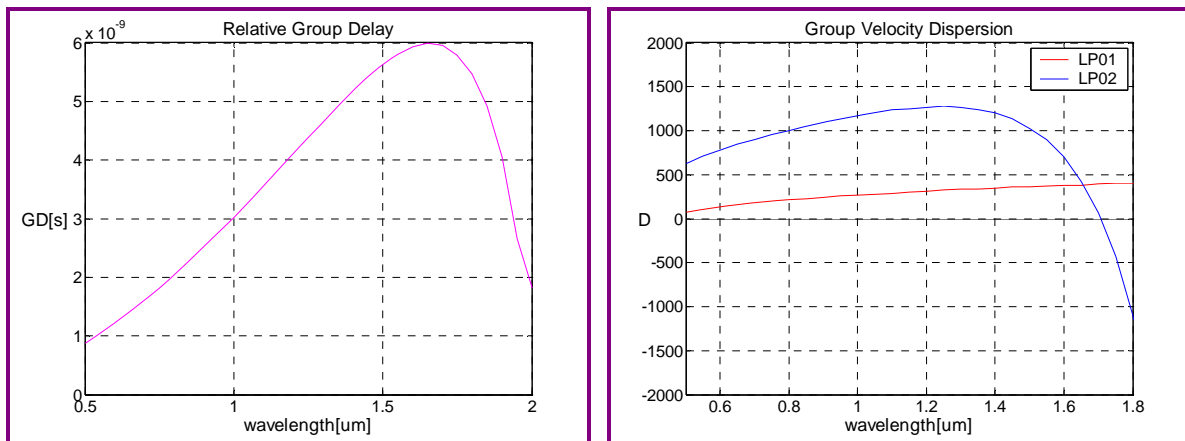


Figure 7-58. RGD and GVD of Litharge-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55 $\mu$ m for the device length of 5.76m is 5.80ns. The GVDs are 369[ps/nm-km] and 899[ps/nm-km], and the DSs are 0.142[ps/nm<sup>2</sup>-km] and -3.275[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55 $\mu$ m, respectively.

**BISMITE-CORE**

$$n_{core} = 55Bi_2O_3 \cdot 45B_2O_3$$

*Silica-Clad Devices*

$$n_{cladding} = \text{silica}, a = 0.81\mu m$$

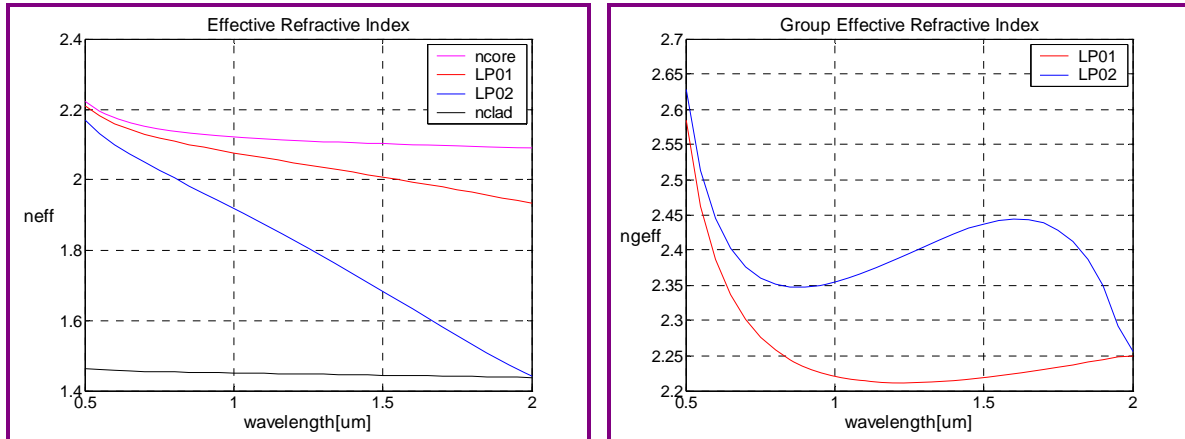


Figure 7-59. ERI and GERI of Bismite-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

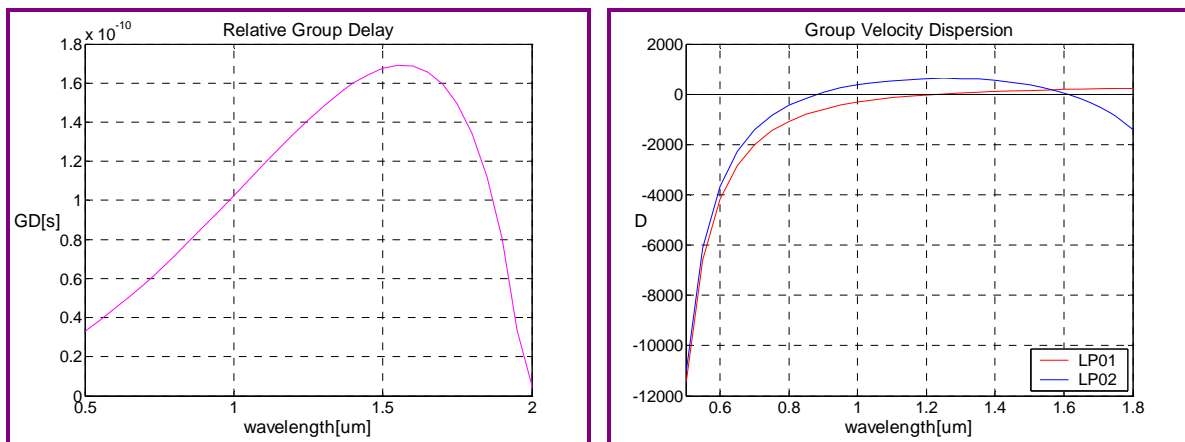


Figure 7-60. RGD and GVD of Bismite-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55μm for the device length of 0.23m is 0.17ns. The GVDs are 173[ps/nm-km] and 237[ps/nm-km], and the DSs are 0.368[ps/nm<sup>2</sup>-km] and -3.342[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55μm, respectively.

*Microstructure Devices*

$$n_{cladding} = air, a = 0.67 \mu m$$

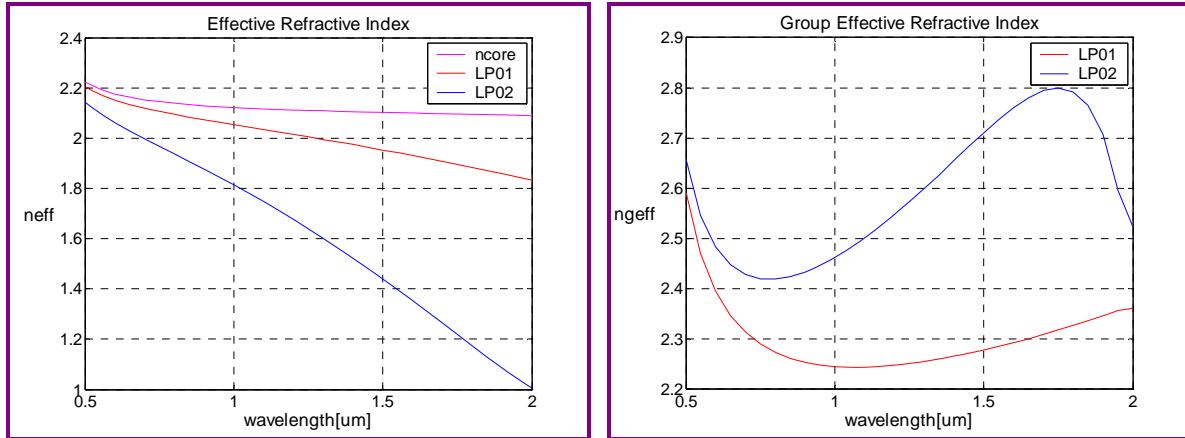


Figure 7-61. ERI and GERI of Bismite-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

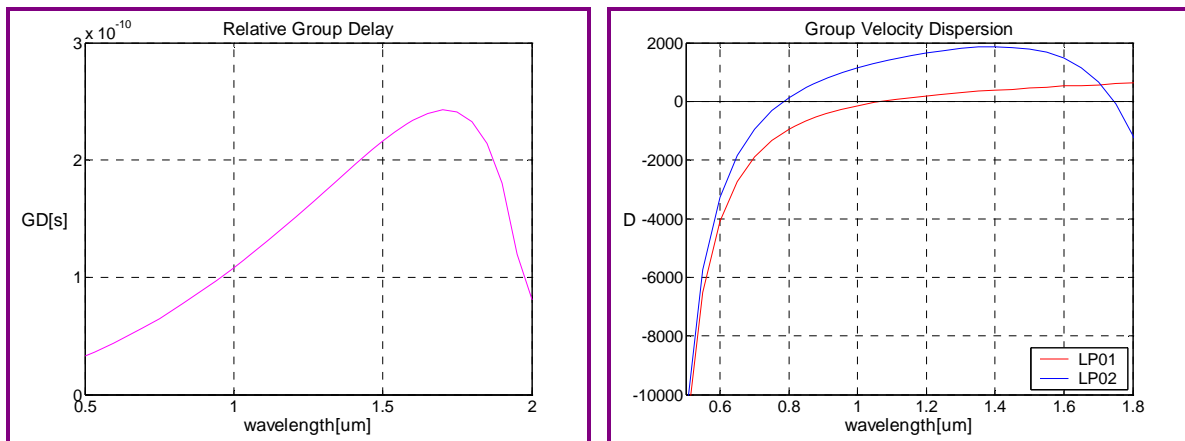


Figure 7-62. RGD and GVD of Bismite-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55μm for the device length of 0.15m is 0.23ns. The GVDs are 493[ps/nm-km] and 1672[ps/nm-km], and the DSs are 0.673[ps/nm<sup>2</sup>-km] and -3.305[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55μm, respectively.

**TELLURITE-CORE**

$$n_{core} = 75TeO_2 \cdot 25ZnO$$

*Silica-Clad Devices*

$$n_{cladding} = silica, a = 0.87 \mu m$$

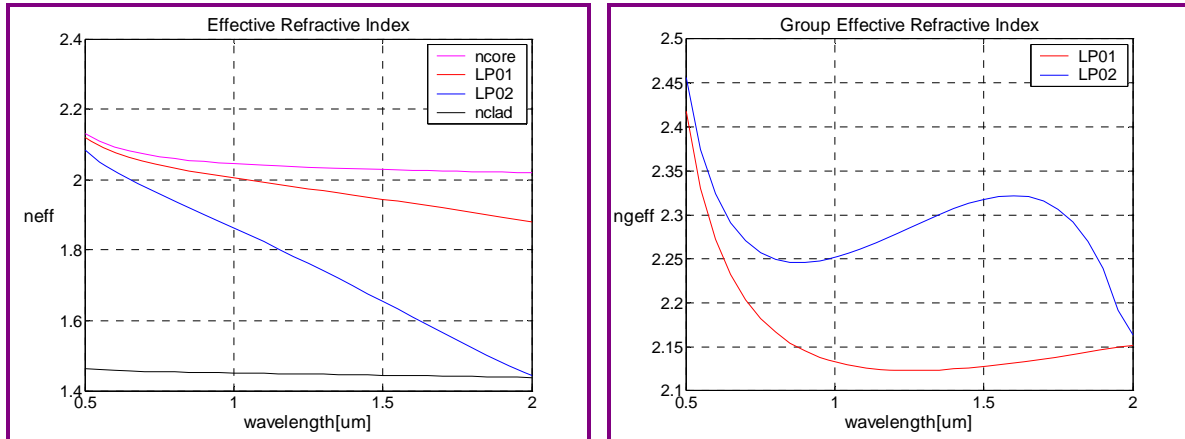


Figure 7-63. ERI and GERI of Tellurite-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

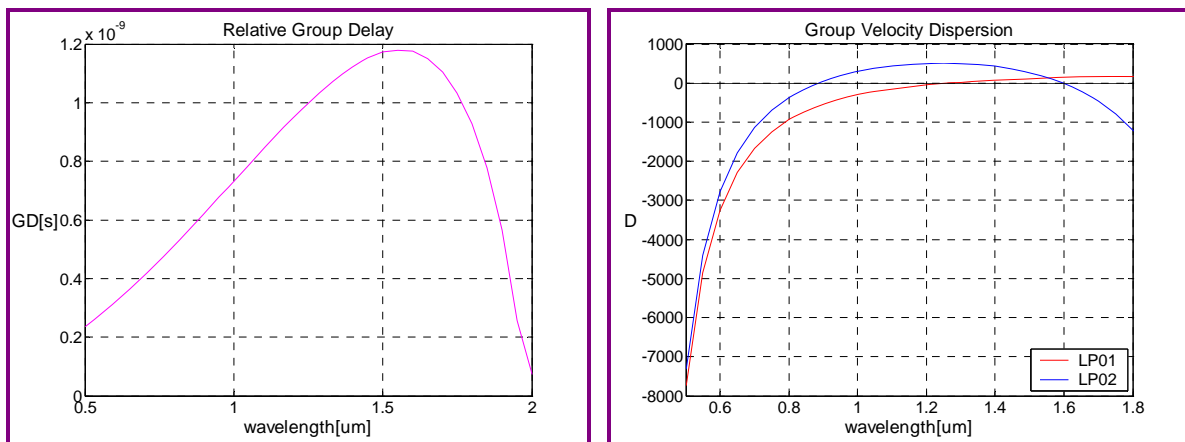


Figure 7-64. RGD and GVD of Tellurite-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55 $\mu$ m for the device length of 1.85m is 1.18ns. The GVDs are 128[ps/nm-km] and 142[ps/nm-km], and the DSs are 0.267[ps/nm<sup>2</sup>-km] and -2.733[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55 $\mu$ m, respectively.

*Microstructure Devices*

$$n_{cladding} = air, a = 0.70\mu m$$

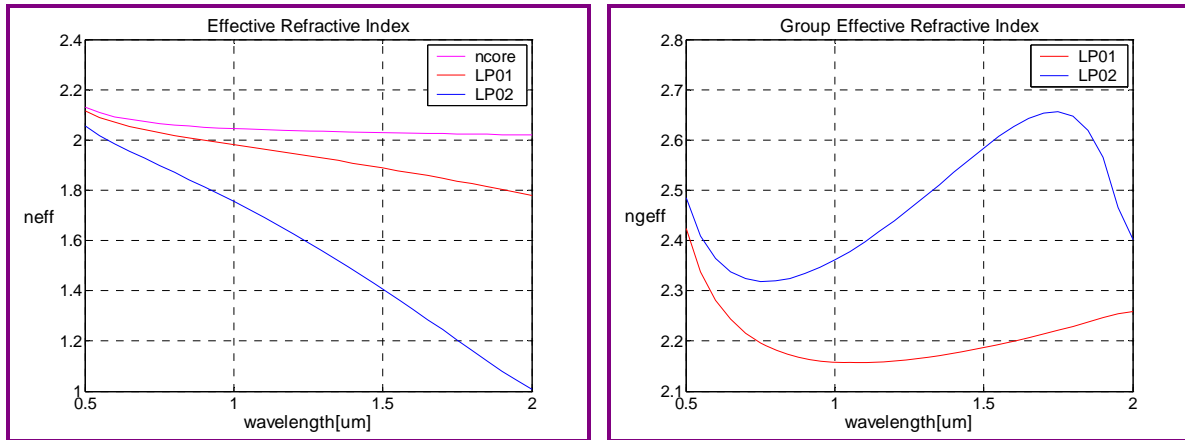


Figure 7-65. ERI and GERI of Tellurite-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

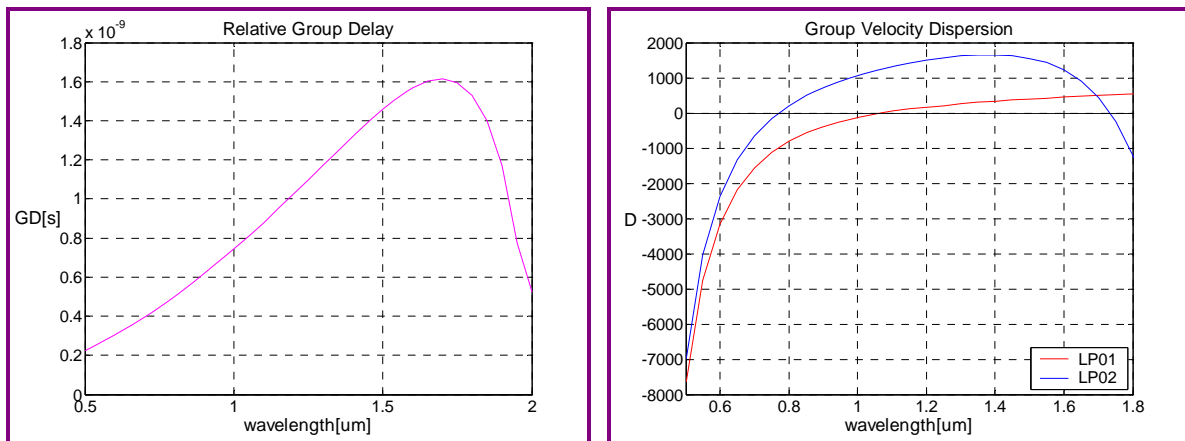


Figure 7-66. RGD and GVD of Tellurite-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55 $\mu$ m for the device length of 1.10m is 1.52ns. The GVDs are 423[ps/nm-km] and 1427[ps/nm-km], and the DSs are 0.615[ps/nm<sup>2</sup>-km] and -3.282[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55 $\mu$ m, respectively.

**CHALCOGENIDE-CORE**

$$n_{core} = 40As \cdot 60Se$$

*Silica-Clad Devices*

$$n_{cladding} = \text{silica}, a = 0.53 \mu m$$

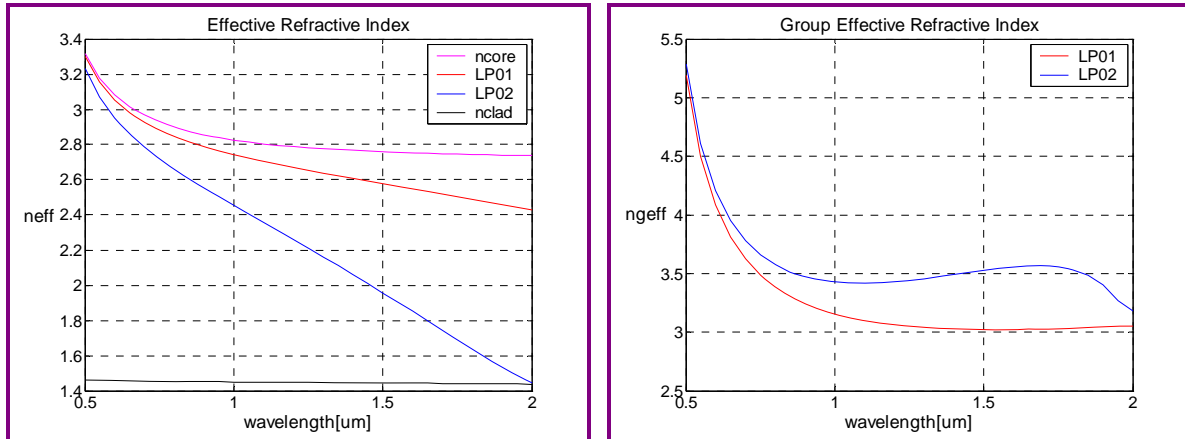


Figure 7-67. ERI and GERI of Chalcogenide-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

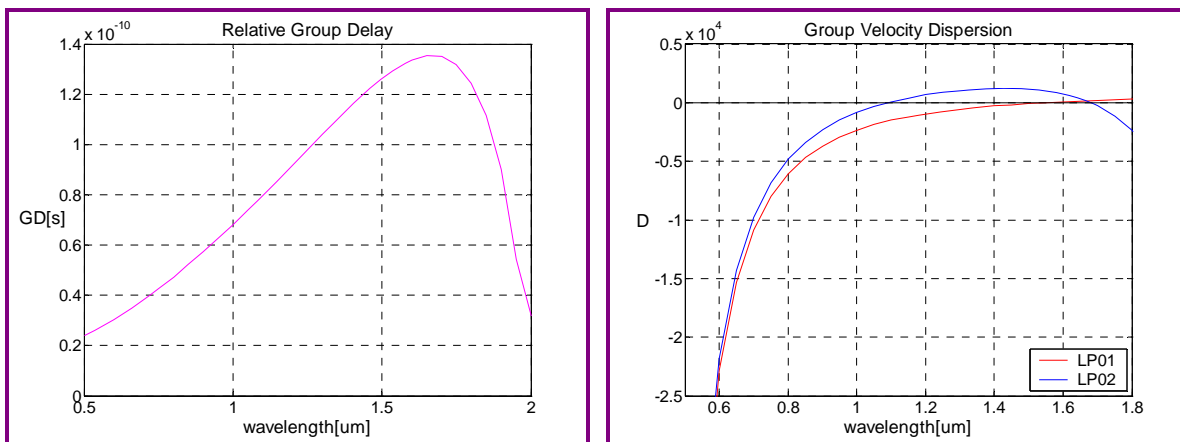


Figure 7-68. RGD and GVD of Chalcogenide-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55μm for the device length of 0.075m is 0.13ns. The GVDs are -0.34[ps/nm-km] and 992[ps/nm-km], and the DSs are 1.591[ps/nm<sup>2</sup>-km] and -4.067[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55μm, respectively.



*Microstructure Devices*

$$n_{cladding} = air, a = 0.48\mu m$$

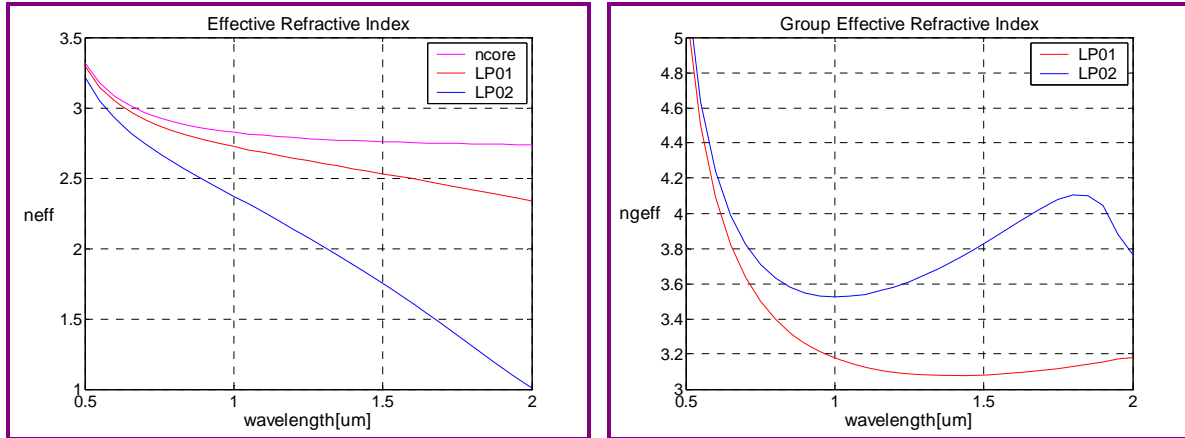


Figure 7-69. ERI and GERI of Chalcogenide-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

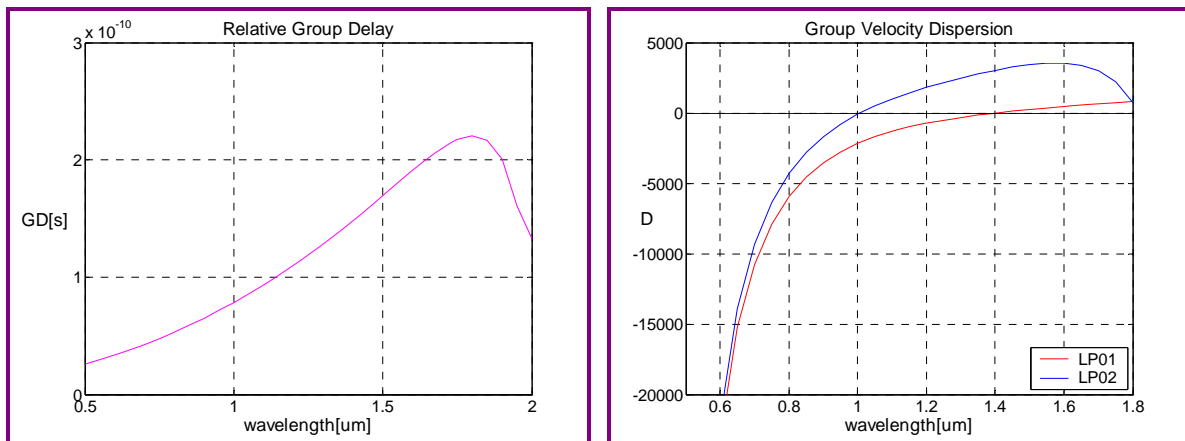


Figure 7-70. RGD and GVD of Chalcogenide-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>02</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55 $\mu$ m for the device length of 0.068m is 0.18ns. The GVDs are 362[ps/nm-km] and 3542[ps/nm-km], and the DSs are 2.135[ps/nm<sup>2</sup>-km] and 1.138[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>02</sub> modes at 1.55 $\mu$ m, respectively.

CHAPTER 7. IMPACT OF HIGH-NONLINEARITY GLASSES  
ON NONLINEAR FIBER DEVICES

Table 7-19 summarizes the RGD, calculated from Equation (6.14), between the LP<sub>01</sub> and LP<sub>02</sub> modes of the signal pulse at 1.55μm for the required device length of SPM-induced devices and XPM-induced devices, shown in Tables 7-17 and 7-18, respectively. In addition, the GVD and the DS of the two modes at 1.55μm for nonlinear fiber devices based on LP<sub>01</sub>-LP<sub>02</sub> mode interference are provided.

Table 7-19. RGD between LP<sub>01</sub> and LP<sub>02</sub> Modes of Signal at 1.55μm, GVD at 1.55μm, and DS at 1.55μm for Nonlinear Fiber Devices based on LP<sub>01</sub>-LP<sub>02</sub> Mode Interference

Properties		Silica	Litharge	Bismite	Tellurite	Chalcogenide	
<b>RGD [ns] by SPM</b>	S-clad	880	5.06	0.17	1.18	0.13	
	MSF	97	5.80	0.23	1.52	0.18	
<b>RGD [ns] by XPM</b>	S-clad	642	3.00	0.12	0.80	0.09	
	MSF	68	4.20	0.17	1.10	0.10	
<b>GVD [ps/nm-km] at 1.55μm</b>	S-clad	LP <sub>01</sub>	14.33	126	173	128	-0.34
		LP <sub>02</sub>	18.93	110	237	142	992
	MSF	LP <sub>01</sub>	181	369	493	423	362
		LP <sub>02</sub>	205	899	1672	1427	3542
<b>DS [ps/nm<sup>2</sup>-km] at 1.55μm</b>	S-clad	LP <sub>01</sub>	0.075	-0.021	0.368	0.267	1.591
		LP <sub>02</sub>	0.037	-1.636	-3.342	-2.733	-4.067
	MSF	LP <sub>01</sub>	0.027	0.142	0.673	0.615	2.135
		LP <sub>02</sub>	-2.452	-3.275	-3.305	-3.282	1.138

### 7.4.3. Walk-Off Length

Table 7-20 presents the walk-off length, calculated from Equation (6.16), between the  $LP_{01}$  and  $LP_{02}$  modes of the signal pulse at  $1.55\mu\text{m}$  with 10ps pulse width for nonlinear fiber devices based on  $LP_{01}$ - $LP_{02}$  Mode Interference.

Table 7-20. Walk-Off Length between  $LP_{01}$  and  $LP_{02}$  Modes of Signal at  $1.55\mu\text{m}$  with 10ps Pulse Width for Nonlinear Fiber Devices based on  $LP_{01}$ - $LP_{02}$  Mode Interference

Walk-Off Length [m]	Silica	Litharge	Bismite	Tellurite	Chalcogenide
Silica-Cladding	0.86	0.0270	0.0140	0.0160	0.0058
MSF	0.02	0.0010	0.0066	0.0072	0.0038

## **7.5. Nonlinear Fiber Devices based on LP<sub>01</sub>-LP<sub>11</sub> Mode Interference**

### **7.5.1. Required Device Length**

This section provides the required device length for nonlinear fiber devices based on LP<sub>01</sub>-LP<sub>11</sub> mode interference. For all nonlinear fiber devices constructed with different glasses, the same normalized frequency  $V$  of 3.5 at 1.55 $\mu\text{m}$  was selected for reasonable comparison. Thus, the devices support only the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55 $\mu\text{m}$ .

Followings are the required device lengths obtained from Equation (6.6) for the SPM-induced nonlinear phase shift of  $\pi$ . It is assumed that the signal operates at 1.55 $\mu\text{m}$  and the LP<sub>01</sub> and LP<sub>11</sub> modes of the signal have the same power of 1W.

**For SPM-Induced Nonlinear Fiber Devices**

**based on LP<sub>01</sub>-LP<sub>11</sub> Mode Interference**

$$\lambda_s = 1.55 \mu m, P_s = P_{01} = P_{11} = 1W, V = 3.5(\text{at } 1.55 \mu m)$$


---

**SILICA-CORE**

$$n_{core} = 1.4554, N_2 = 2.5 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

*Silica-Clad Devices*

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 4.77 \mu m$$

$$n_{eff\_01} = 1.4522, n_{eff\_11} = 1.4478, A_{eff\_01} = 60.45 \mu m^2, A_{eff\_11} = 100.58 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 2.5 \times 10^{-20} \times 1 \times \left| \frac{1}{60.45 \times 10^{-12}} - \frac{1}{100.58 \times 10^{-12}} \right|} = 4.7 [Km]$$

*Microstructure Devices*

$$n_{cladding} = 1, a = 0.82 \mu m$$

$$n_{eff\_01} = 1.3263, n_{eff\_11} = 1.1341, A_{eff\_01} = 1.90 \mu m^2, A_{eff\_11} = 2.97 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 2.5 \times 10^{-20} \times 1 \times \left| \frac{1}{1.90 \times 10^{-12}} - \frac{1}{2.97 \times 10^{-12}} \right|} = 164 [m]$$

**LITHARGE-CORE**

$$n_{core} = 1.8017, N_2 = 40 \times 10^{-20} m^2/W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.80 \mu m$$

$$n_{eff\_01} = 1.7009, n_{eff\_11} = 1.5543, A_{eff\_01} = 1.75 \mu m^2, A_{eff\_11} = 2.88 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 40 \times 10^{-20} \times 1 \times \left| \frac{1}{1.75 \times 10^{-12}} - \frac{1}{2.88 \times 10^{-12}} \right|} = 8.64[m]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.58 \mu m$$

$$n_{eff\_01} = 1.5742, n_{eff\_11} = 1.2185, A_{eff\_01} = 1.02 \mu m^2, A_{eff\_11} = 1.46 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 40 \times 10^{-20} \times 1 \times \left| \frac{1}{1.02 \times 10^{-12}} - \frac{1}{1.46 \times 10^{-12}} \right|} = 6.56[m]$$

***Litharge-Clad Devices***

$$n_{core} = 1.774, n_{cladding} = 1.728, a = 2.15 \mu m$$

$$n_{eff\_01} = 1.7612, n_{eff\_11} = 1.7430, A_{eff\_01} = 12.39 \mu m^2, A_{eff\_11} = 20.81 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 40 \times 10^{-20} \times 1 \times \left| \frac{1}{12.39 \times 10^{-12}} - \frac{1}{20.81 \times 10^{-12}} \right|} = 59.33[m]$$

**BISMITE-CORE**

$$n_{core} = 2.1009, N_2 = 1000 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.57 \mu m$$

$$n_{eff\_01} = 1.9147, n_{eff\_11} = 1.6375, A_{eff\_01} = 0.91 \mu m^2, A_{eff\_11} = 1.43 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 1000 \times 10^{-20} \times 1 \times \left| \frac{1}{0.91 \times 10^{-12}} - \frac{1}{1.43 \times 10^{-12}} \right|} = 0.19[m]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.47 \mu m$$

$$n_{eff\_01} = 1.7900, n_{eff\_11} = 1.2806, A_{eff\_01} = 0.71 \mu m^2, A_{eff\_11} = 1.08 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 1000 \times 10^{-20} \times 1 \times \left| \frac{1}{0.71 \times 10^{-12}} - \frac{1}{1.08 \times 10^{-12}} \right|} = 0.16[m]$$

***Bismite-Clad Devices***

$$n_{core} = 2.22, n_{cladding} = 2.13, a = 1.38 \mu m$$

$$n_{eff\_01} = 2.1949, n_{eff\_11} = 2.1596, A_{eff\_01} = 5.09 \mu m^2, A_{eff\_11} = 8.62 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 1000 \times 10^{-20} \times 1 \times \left| \frac{1}{5.09 \times 10^{-12}} - \frac{1}{8.62 \times 10^{-12}} \right|} = 0.96[m]$$

**TELLURITE-CORE**

$$n_{core} = 2.0278, N_2 = 148 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.61 \mu m$$

$$n_{eff\_01} = 1.8625, n_{eff\_11} = 1.6179, A_{eff\_01} = 1.04 \mu m^2, A_{eff\_11} = 1.64 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 148 \times 10^{-20} \times 1 \times \left| \frac{1}{1.04 \times 10^{-12}} - \frac{1}{1.64 \times 10^{-12}} \right|} = 1.49[m]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.49 \mu m$$

$$n_{eff\_01} = 1.7371, n_{eff\_11} = 1.2663, A_{eff\_01} = 0.77 \mu m^2, A_{eff\_11} = 1.15 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 148 \times 10^{-20} \times 1 \times \left| \frac{1}{0.77 \times 10^{-12}} - \frac{1}{1.15 \times 10^{-12}} \right|} = 1.22[m]$$

***Tellurite-Clad Devices***

$$n_{core} = 2.028, n_{cladding} = 1.983, a = 2.03 \mu m$$

$$n_{eff\_01} = 2.0154, n_{eff\_11} = 1.9977, A_{eff\_01} = 11.03 \mu m^2, A_{eff\_11} = 18.39 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 148 \times 10^{-20} \times 1 \times \left| \frac{1}{11.03 \times 10^{-12}} - \frac{1}{18.39 \times 10^{-12}} \right|} = 14.43[m]$$



**CHALCOGENIDE-CORE**

$$n_{core} = 2.7555, N_2 = 1250 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.37 \mu m$$

$$n_{eff\_01} = 2.3839, n_{eff\_11} = 1.7932, A_{eff\_01} = 0.42 \mu m^2, A_{eff\_11} = 0.60 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 1250 \times 10^{-20} \times 1 \times \left| \frac{1}{0.42 \times 10^{-12}} - \frac{1}{0.60 \times 10^{-12}} \right|} = 0.087[m]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.34 \mu m$$

$$n_{eff\_01} = 2.2681, n_{eff\_11} = 1.4230, A_{eff\_01} = 0.39 \mu m^2, A_{eff\_11} = 0.64 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 1250 \times 10^{-20} \times 1 \times \left| \frac{1}{0.39 \times 10^{-12}} - \frac{1}{0.64 \times 10^{-12}} \right|} = 0.062[m]$$

***Chalcogenide-Clad Devices***

$$n_{core} = 2.6, n_{cladding} = 2.443, a = 0.97 \mu m$$

$$n_{eff\_01} = 2.5561, n_{eff\_11} = 2.4949, A_{eff\_01} = 2.52 \mu m^2, A_{eff\_11} = 4.32 \mu m^2$$

$$L_{\pi}^{SPM} = \frac{1.55 \times 10^{-6}}{2 \times 1250 \times 10^{-20} \times 1 \times \left| \frac{1}{2.52 \times 10^{-12}} - \frac{1}{4.32 \times 10^{-12}} \right|} = 0.375[m]$$

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Table 7-21 summarizes the required device length with the same optical power in both modes of 1W for SPM-induced nonlinear fiber devices based on LP<sub>01</sub>-LP<sub>11</sub> mode interference when the signal which supports the LP<sub>01</sub> and LP<sub>11</sub> modes operates at 1.55μm.

Table 7-21. The Required Device Length with 1W Optical Power at 1.55μm  
for SPM-Induced Nonlinear Fiber Devices based on LP<sub>01</sub>-LP<sub>11</sub> Mode Interference

Device Length[m]	Silica	Litharge	Bismite	Tellurite	Chalcogenide
Silica-Cladding	4700	8.64	0.19	1.49	0.087
MSF	164	6.56	0.16	1.22	0.062
HNL Glass-Cladding	-	59.33	0.96	14.43	0.375

Followings are the required device lengths, obtained from Equation (6.7), for the XPM-induced nonlinear phase shift of  $\pi$  when the pump is much stronger than the signal. It is assumed that the signal operates at 1.55μm and almost all pump power of 1W is launched into the fundamental mode at 1.3μm.

**For XPM-Induced Nonlinear Fiber Devices**

**based on LP<sub>01</sub>-LP<sub>11</sub> Mode Interference**

$$\lambda_s = 1.55 \mu m, \lambda_p = 1.3 \mu m, P_p = 1W \gg P_s, V = 3.5(\text{at } 1.55 \mu m)$$


---

**SILICA-CORE**

$$n_{core} = 1.4554, N_2 = 2.5 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

*Silica-Clad Devices*

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 4.77 \mu m$$

$$n_{eff\_01P} = 1.4530, A_{eff\_01S\_01P} = 57.69 \mu m^2, A_{eff\_11S\_01P} = 99.76 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 2.5 \times 10^{-20} \times 1 \times \left| \frac{1}{57.69 \times 10^{-12}} - \frac{1}{99.76 \times 10^{-12}} \right|} = 2.1 [Km]$$

*Microstructure Devices*

$$n_{cladding} = 1, a = 0.82 \mu m$$

$$n_{eff\_01P} = 1.3598, A_{eff\_01S\_01P} = 1.76 \mu m^2, A_{eff\_11S\_01P} = 2.96 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 2.5 \times 10^{-20} \times 1 \times \left| \frac{1}{1.76 \times 10^{-12}} - \frac{1}{2.96 \times 10^{-12}} \right|} = 67.29 [m]$$

**LITHARGE-CORE**

$$n_{core} = 1.8017, N_2 = 40 \times 10^{-20} m^2/W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.80 \mu m$$

$$n_{eff\_01P} = 1.7261, A_{eff\_01S\_01P} = 1.65 \mu m^2, A_{eff\_11S\_01P} = 2.83 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 40 \times 10^{-20} \times 1 \times \left| \frac{1}{1.65 \times 10^{-12}} - \frac{1}{2.83 \times 10^{-12}} \right|} = 3.83[m]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.58 \mu m$$

$$n_{eff\_01P} = 1.6364, A_{eff\_01S\_01P} = 0.93 \mu m^2, A_{eff\_11S\_01P} = 1.49 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 40 \times 10^{-20} \times 1 \times \left| \frac{1}{0.93 \times 10^{-12}} - \frac{1}{1.49 \times 10^{-12}} \right|} = 2.40[m]$$

***Litharge-Clad Devices***

$$n_{core} = 1.774, n_{cladding} = 1.728, a = 2.15 \mu m$$

$$n_{eff\_01P} = 1.7642, A_{eff\_01S\_01P} = 11.76 \mu m^2, A_{eff\_11S\_01P} = 20.59 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 40 \times 10^{-20} \times 1 \times \left| \frac{1}{11.76 \times 10^{-12}} - \frac{1}{20.59 \times 10^{-12}} \right|} = 26.57[m]$$

**BISMITE-CORE**

$$n_{core} = 2.1009, N_2 = 1000 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.57 \mu m$$

$$n_{eff\_01P} = 1.9631, A_{eff\_01S\_01P} = 0.85 \mu m^2, A_{eff\_11S\_01P} = 1.42 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 1000 \times 10^{-20} \times 1 \times \left| \frac{1}{0.85 \times 10^{-12}} - \frac{1}{1.42 \times 10^{-12}} \right|} = 0.082[m]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.47 \mu m$$

$$n_{eff\_01P} = 1.8775, A_{eff\_01S\_01P} = 0.64 \mu m^2, A_{eff\_11S\_01P} = 0.98 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 1000 \times 10^{-20} \times 1 \times \left| \frac{1}{0.64 \times 10^{-12}} - \frac{1}{0.98 \times 10^{-12}} \right|} = 0.071[m]$$

***Bismite-Clad Devices***

$$n_{core} = 2.22, n_{cladding} = 2.13, a = 1.38 \mu m$$

$$n_{eff\_01P} = 2.2009, A_{eff\_01S\_01P} = 4.84 \mu m^2, A_{eff\_11S\_01P} = 8.54 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 1000 \times 10^{-20} \times 1 \times \left| \frac{1}{4.84 \times 10^{-12}} - \frac{1}{8.54 \times 10^{-12}} \right|} = 0.433[m]$$

**TELLURITE-CORE**

$$n_{core} = 2.0278, N_2 = 148 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.61 \mu m$$

$$n_{eff\_01P} = 1.9051, A_{eff\_01S\_01P} = 0.97 \mu m^2, A_{eff\_11S\_01P} = 1.64 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 148 \times 10^{-20} \times 1 \times \left| \frac{1}{0.97 \times 10^{-12}} - \frac{1}{1.64 \times 10^{-12}} \right|} = 0.62[m]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.49 \mu m$$

$$n_{eff\_01P} = 1.8184, A_{eff\_01S\_01P} = 0.70 \mu m^2, A_{eff\_11S\_01P} = 1.07 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 148 \times 10^{-20} \times 1 \times \left| \frac{1}{0.70 \times 10^{-12}} - \frac{1}{1.07 \times 10^{-12}} \right|} = 0.53[m]$$

***Tellurite-Clad Devices***

$$n_{core} = 2.028, n_{cladding} = 1.983, a = 2.03 \mu m$$

$$n_{eff\_01P} = 2.0184, A_{eff\_01S\_01P} = 10.48 \mu m^2, A_{eff\_11S\_01P} = 18.26 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 148 \times 10^{-20} \times 1 \times \left| \frac{1}{10.48 \times 10^{-12}} - \frac{1}{18.26 \times 10^{-12}} \right|} = 6.44[m]$$

**CHALCOGENIDE-CORE**

$$n_{core} = 2.7555, N_2 = 1250 \times 10^{-20} m^2 / W \text{ at } 1.55 \mu m$$

***Silica-Clad Devices***

$$n_{cladding} = 1.4441(\text{at } 1.55 \mu m), a = 0.37 \mu m$$

$$n_{eff\_01P} = 2.4865, A_{eff\_01S\_01P} = 0.39 \mu m^2, A_{eff\_11S\_01P} = 0.61 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 1250 \times 10^{-20} \times 1 \times \left| \frac{1}{0.39 \times 10^{-12}} - \frac{1}{0.61 \times 10^{-12}} \right|} = 0.034[m]$$

***Microstructure Devices***

$$n_{cladding} = 1, a = 0.34 \mu m$$

$$n_{eff\_01P} = 2.4112, A_{eff\_01S\_01P} = 0.35 \mu m^2, A_{eff\_11S\_01P} = 0.57 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 1250 \times 10^{-20} \times 1 \times \left| \frac{1}{0.35 \times 10^{-12}} - \frac{1}{0.57 \times 10^{-12}} \right|} = 0.028[m]$$

***Chalcogenide-Clad Devices***

$$n_{core} = 2.6, n_{cladding} = 2.443, a = 0.97 \mu m$$

$$n_{eff\_01P} = 2.5666, A_{eff\_01S\_01P} = 2.39 \mu m^2, A_{eff\_11S\_01P} = 4.28 \mu m^2$$

$$L_{\pi}^{XPM} = \frac{1.55 \times 10^{-6}}{4 \times 1250 \times 10^{-20} \times 1 \times \left| \frac{1}{2.39 \times 10^{-12}} - \frac{1}{4.28 \times 10^{-12}} \right|} = 0.168[m]$$

## CHAPTER 7. IMPACT OF HIGH-NONLINEARITY GLASSES ON NONLINEAR FIBER DEVICES

Table 7-22 summarizes the required device length with a pump power of 1W for XPM-induced nonlinear fiber devices based on LP<sub>01</sub>-LP<sub>11</sub> mode interference when the signal which supports the LP<sub>01</sub> and LP<sub>11</sub> modes operates at 1.55 $\mu$ m and the control which supports the fundamental mode operates at 1.3 $\mu$ m.

Table 7-22. The Required Device Length with 1W Pump Power for XPM-Induced Nonlinear Fiber Devices based on LP<sub>01</sub>-LP<sub>11</sub> Mode Interference (Signal at 1.55 $\mu$ m and Pump at 1.3 $\mu$ m)

Device Length[m]	Silica	Litharge	Bismite	Tellurite	Chalcogenide
Silica-Cladding	2100	3.83	0.082	0.62	0.034
MSF	67.29	2.40	0.071	0.53	0.028
HNL Glass-Cladding	-	26.57	0.433	6.44	0.168

### 7.5.2. Relative Group Delay, Group-Velocity Dispersion, and Dispersion Slope

This section provides the ERI, the GERI, the GVD, and the DS of the LP<sub>01</sub> and LP<sub>11</sub> modes in the devices. In addition, graphs of the RGD between the LP<sub>01</sub> and LP<sub>11</sub> modes of the signal pulse at 1.55 $\mu$ m for the required device length of the SPM-induced devices are provided. Similarly, in the graphs, although the required device length should be changed according to the wavelength, the same required device length for 1.55 $\mu$ m was used for all wavelengths to roughly see the extent and trend of the RGD. To assess the applicability of devices for time-dependent applications, values of the RGD between the modes of the SPM-induced and XPM-induced devices at 1.55 $\mu$ m signal for the required device length were also calculated.



**SILICA-CORE**

$n_{core} = \text{slightly } GeO_2 - \text{doped silica}$

*Silica-Clad Devices*

$$n_{cladding} = \text{silica}, a = 4.77 \mu\text{m}$$

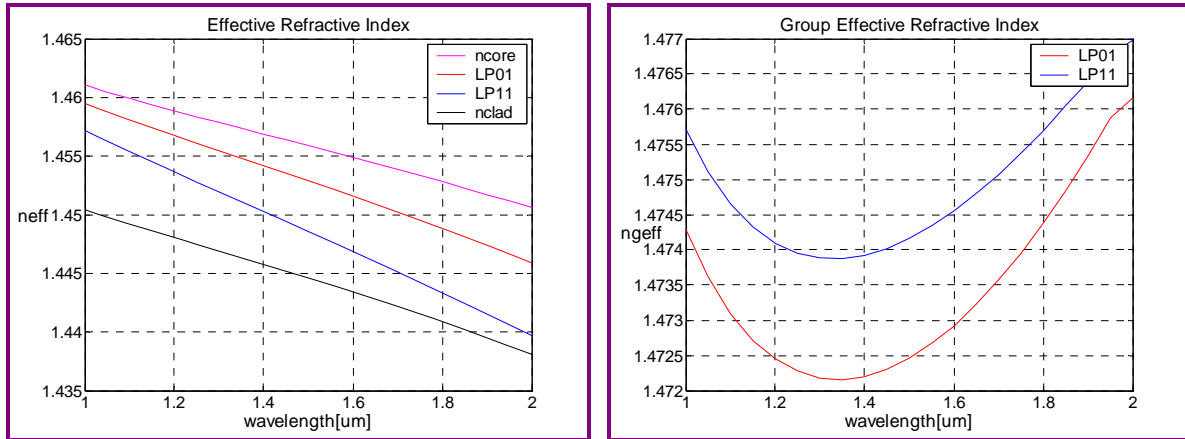


Figure 7-71. ERI and GERI of Silica-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

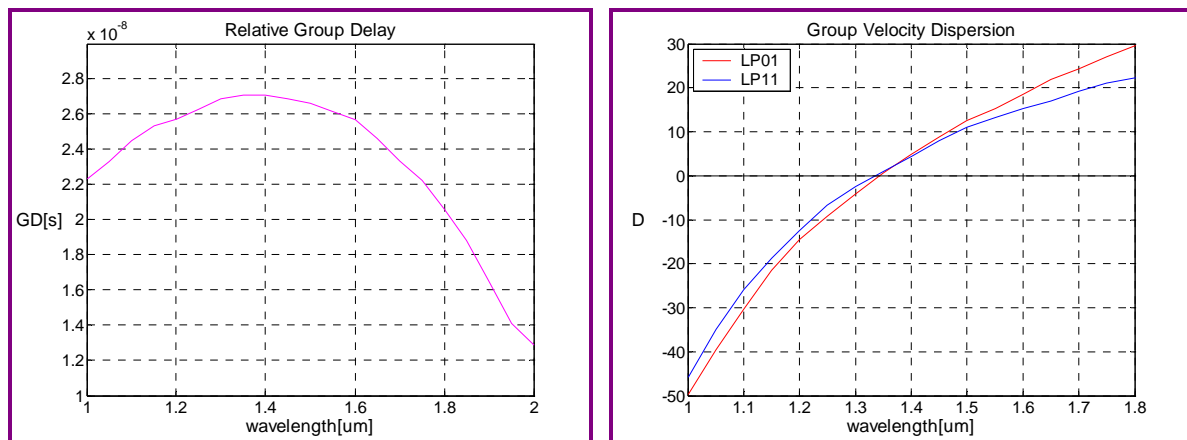


Figure 7-72. RGD and GVD of Silica-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55 $\mu\text{m}$  for the device length of 4.7Km is 26ns. The GVDs are 15.33[ps/nm-km] and 13.26[ps/nm-km], and the DSs are 0.059[ps/nm<sup>2</sup>-km] and 0.038[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55 $\mu\text{m}$ , respectively.

*Microstructure Devices*

$$n_{cladding} = air, a = 0.82\mu m$$

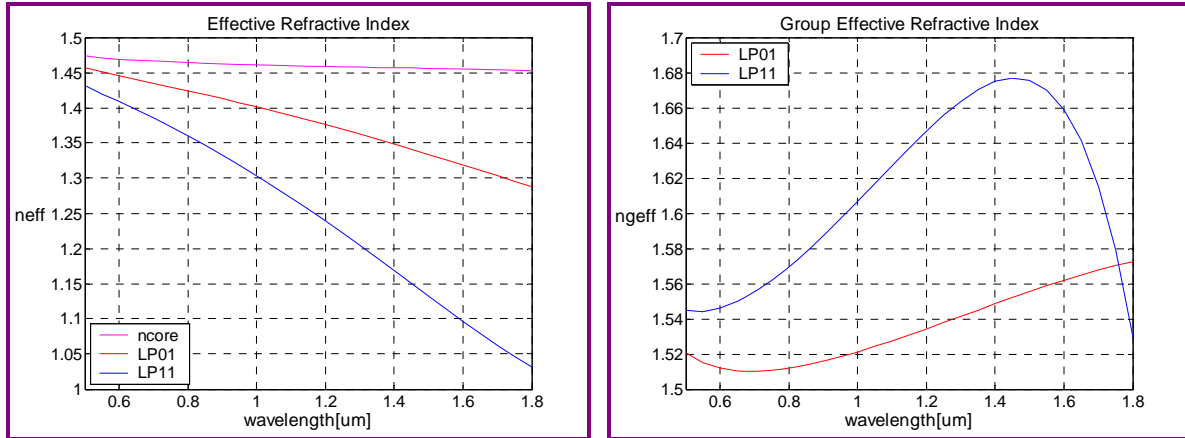


Figure 7-73. ERI and GERI of Silica-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

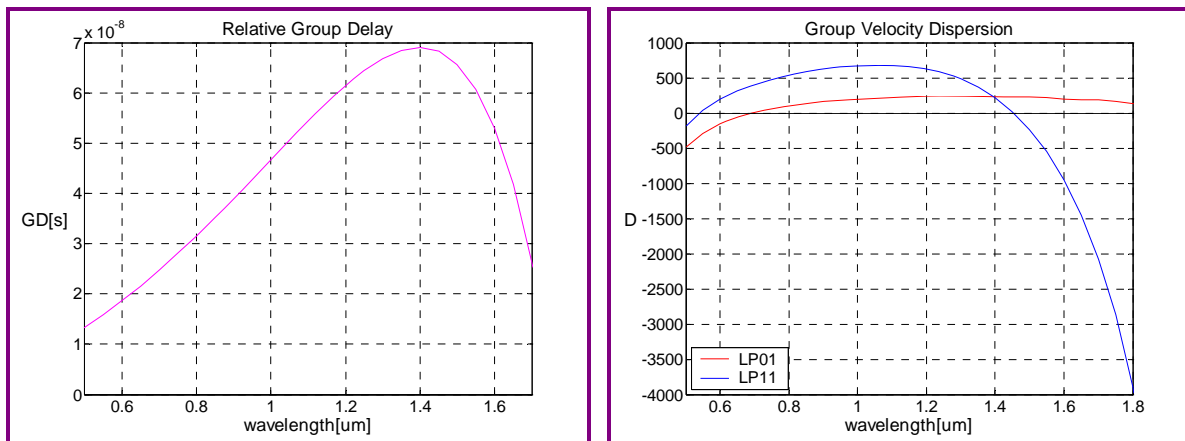


Figure 7-74. RGD and GVD of Silica-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55 $\mu m$  for the device length of 164m is 61ns. The GVDs are 222[ps/nm-km] and -553[ps/nm-km], and the DSs are -0.277[ps/nm<sup>2</sup>-km] and -7.103[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55 $\mu m$ , respectively.

**LITHARGE-CORE**

$$n_{core} = SF57$$

*Silica-Clad Devices*

$$n_{cladding} = \text{silica}, a = 0.80\mu\text{m}$$

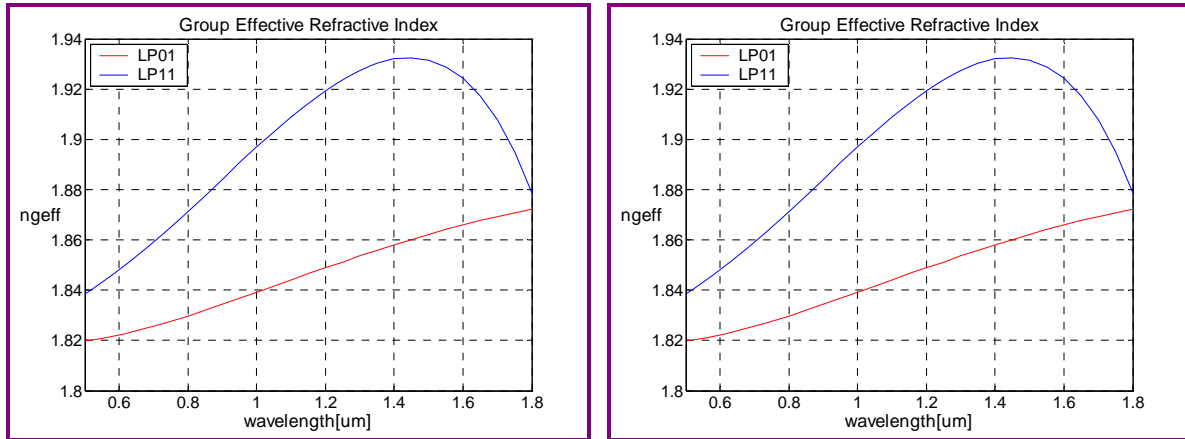


Figure 7-75. ERI and GERI of Litharge-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

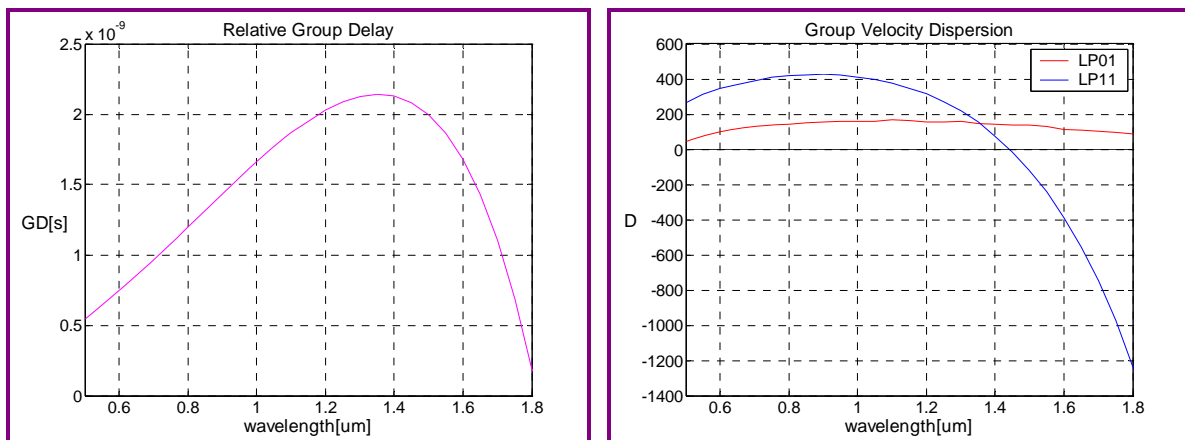


Figure 7-76. RGD and GVD of Litharge-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55μm for the device length of 8.64m is 1.86ns. The GVDs are 131[ps/nm-km] and -242[ps/nm-km], and the DSs are -0.224[ps/nm<sup>2</sup>-km] and -2.689[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55μm, respectively.

*Microstructure Devices*

$$n_{cladding} = air, a = 0.58\mu m$$

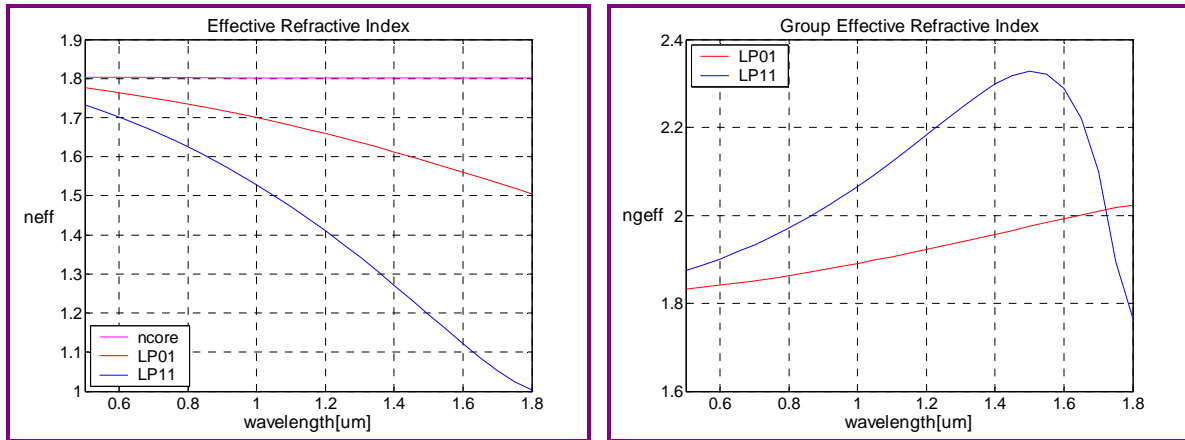


Figure 7-77. ERI and GERI of Litharge-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

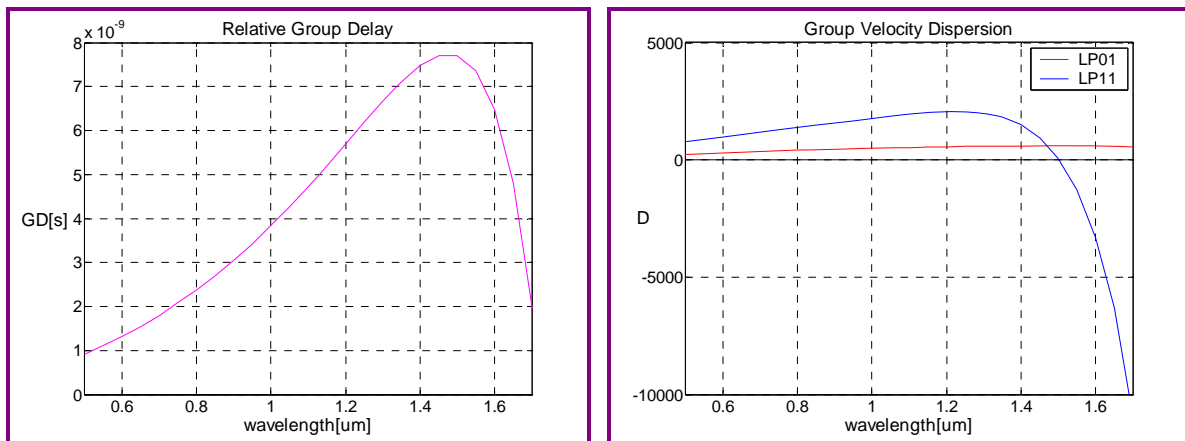


Figure 7-78. RGD and GVD of Litharge-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55 $\mu$ m for the device length of 6.56m is 7.36ns. The GVDs are 596[ps/nm-km] and -1284[ps/nm-km], and the DSs are -0.076[ps/nm<sup>2</sup>-km] and -33.769[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55 $\mu$ m, respectively.

**BISMITE-CORE**

$$n_{core} = 55Bi_2O_3 \cdot 45B_2O_3$$

*Silica-Clad Devices*

$$n_{cladding} = silica, a = 0.57 \mu m$$

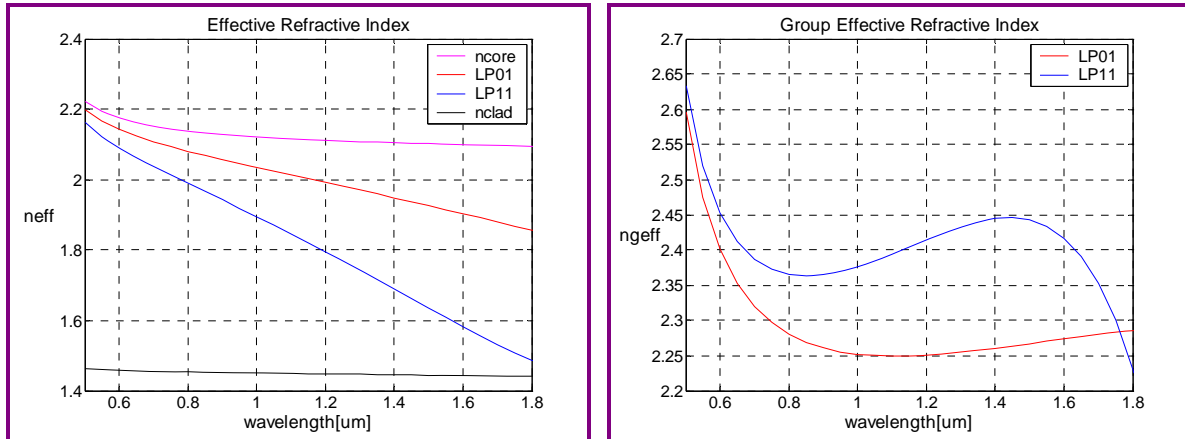


Figure 7-79. ERI and GERI of Bismite-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

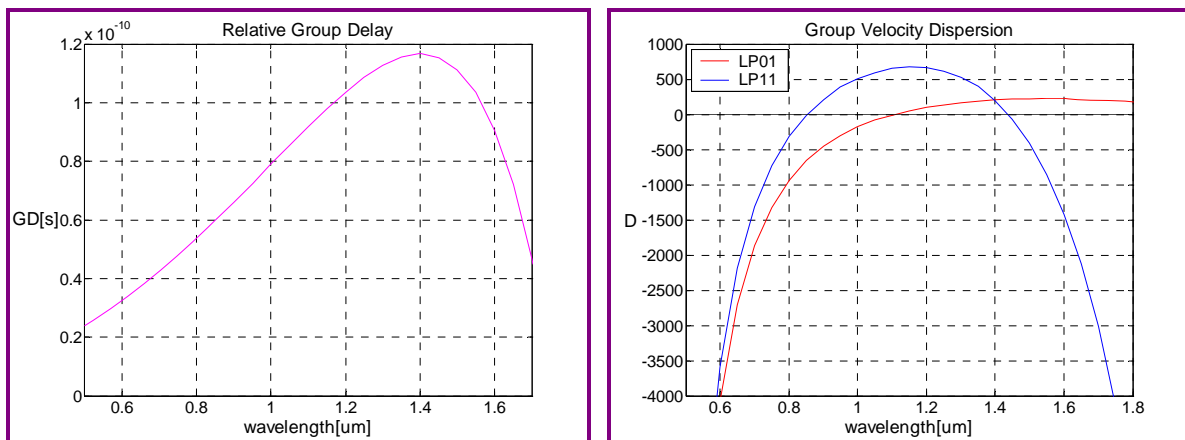


Figure 7-80. RGD and GVD of Bismite-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55μm for the device length of 0.19m is 0.10ns. The GVDs are 229[ps/nm-km] and -858[ps/nm-km], and the DSs are 0.087[ps/nm<sup>2</sup>-km] and -10.107[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55μm, respectively.

*Microstructure Devices*

$$n_{cladding} = air, a = 0.47 \mu m$$

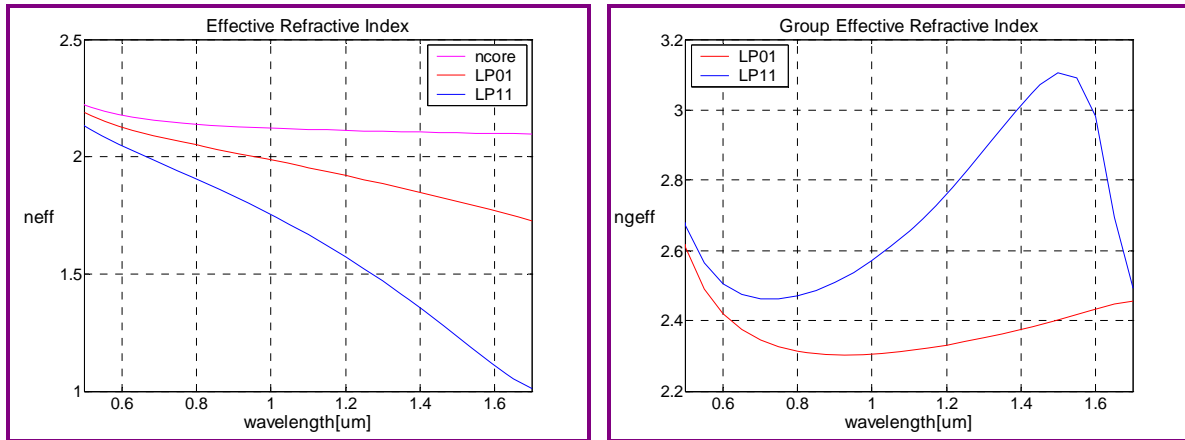


Figure 7-81. ERI and GERI of Bismite-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

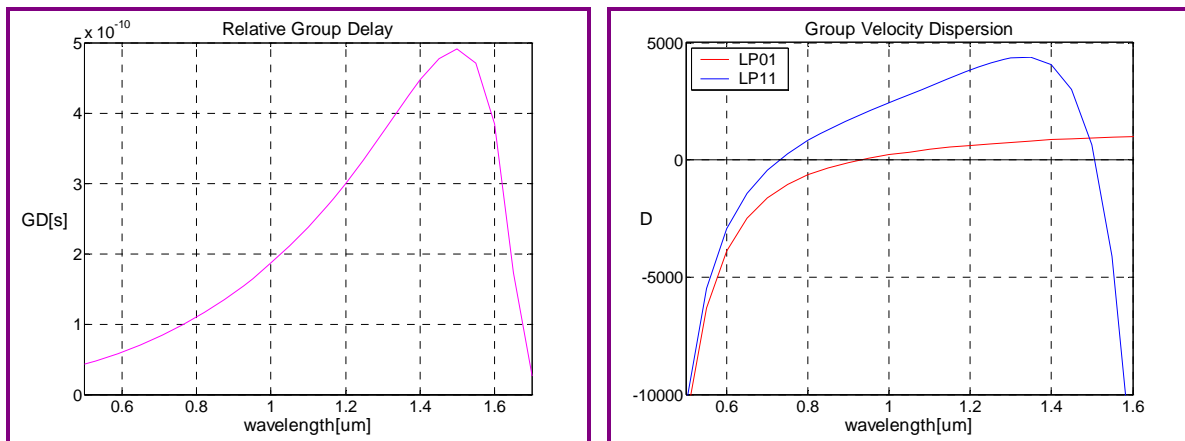


Figure 7-82. RGD and GVD of Bismite-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55 $\mu$ m for the device length of 0.16m is 0.47ns. The GVDs are 978[ps/nm-km] and -4102[ps/nm-km], and the DSs are 0.626[ps/nm<sup>2</sup>-km] and -139[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55 $\mu$ m, respectively.

**TELLURITE-CORE**

$$n_{core} = 75TeO_2 \cdot 25ZnO$$

*Silica-Clad Devices*

$$n_{cladding} = \text{silica}, a = 0.61\mu m$$

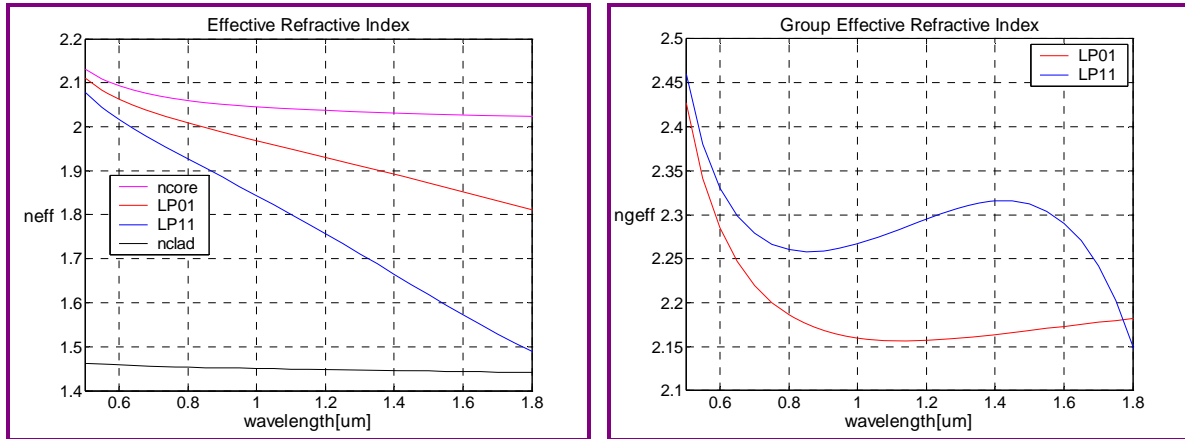


Figure 7-83. ERI and GERI of Tellurite-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

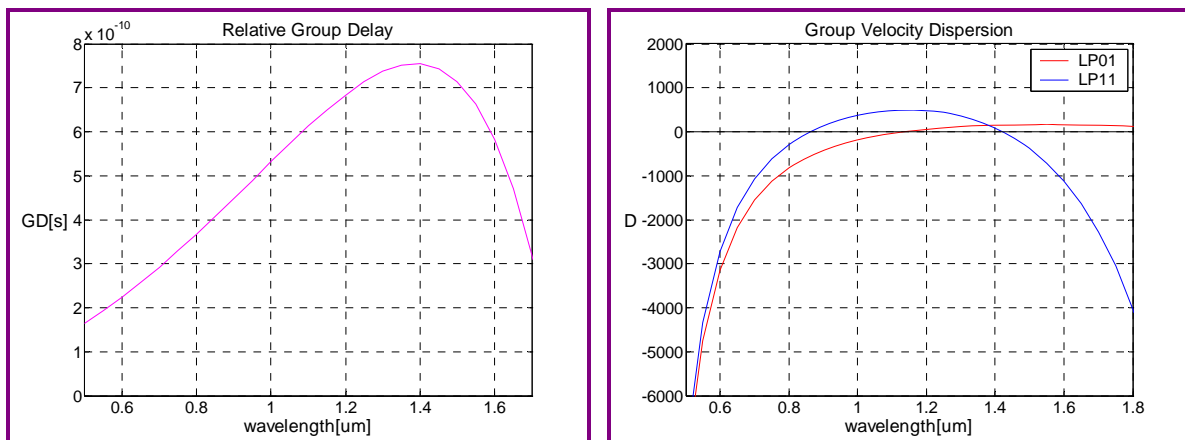


Figure 7-84. RGD and GVD of Tellurite-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55μm for the device length of 1.49m is 0.66ns. The GVDs are 164[ps/nm-km] and -720[ps/nm-km], and the DSs are -0.027[ps/nm<sup>2</sup>-km] and -7.488[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55μm, respectively.

*Microstructure Devices*

$$n_{cladding} = air, a = 0.49 \mu m$$

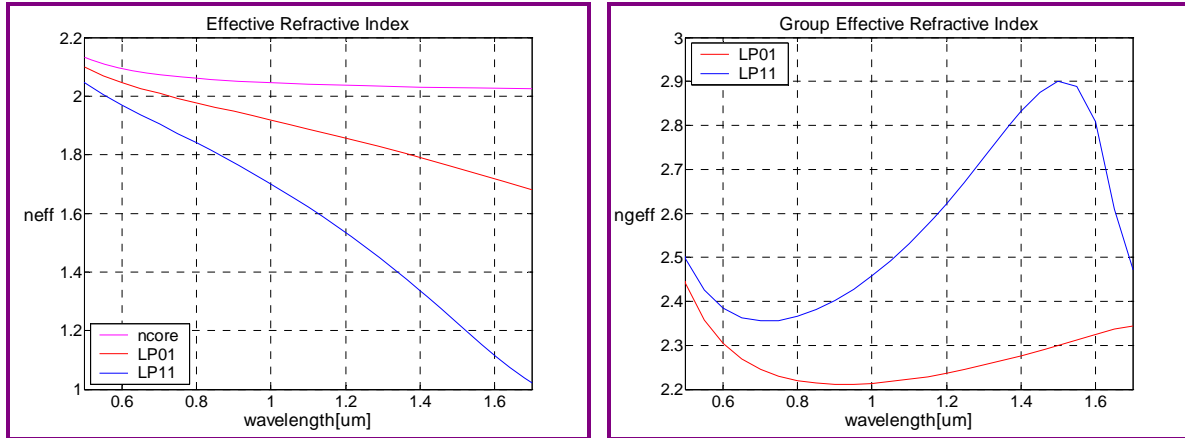


Figure 7-85. ERI and GERI of Tellurite-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

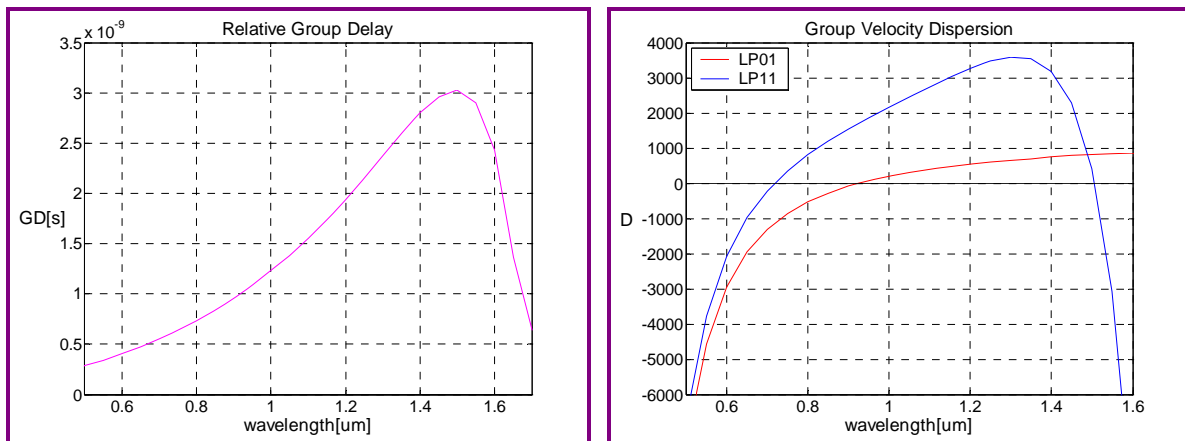


Figure 7-86. RGD and GVD of Tellurite-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55 $\mu$ m for the device length of 1.22m is 2.89ns. The GVDs are 836 [ps/nm-km] and -3084[ps/nm-km], and the DSs are 0.413[ps/nm<sup>2</sup>-km] and -97.353[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55 $\mu$ m, respectively.



**CHALCOGENIDE-CORE**

$$n_{core} = 40As \cdot 60Se$$

*Silica-Clad Devices*

$$n_{cladding} = silica, a = 0.37 \mu m$$

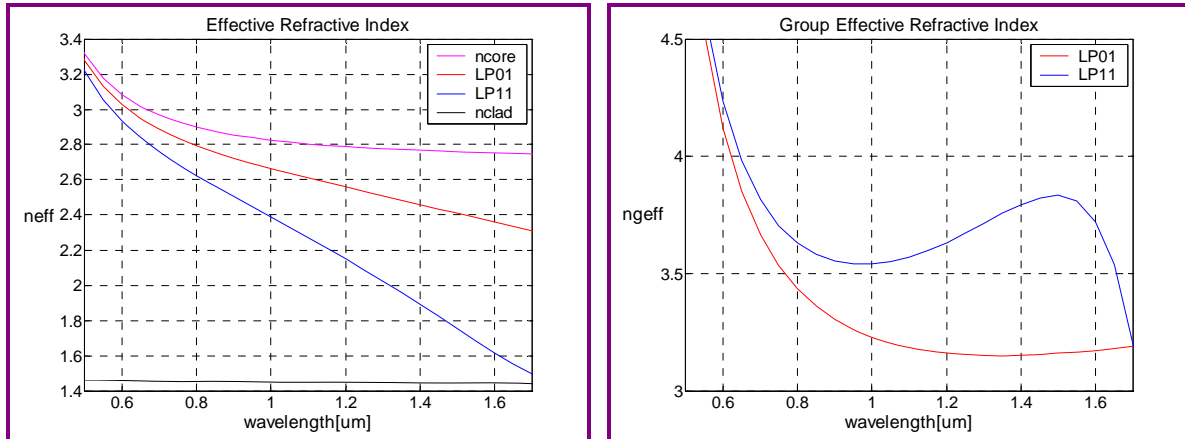


Figure 7-87. ERI and GERI of Chalcogenide-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

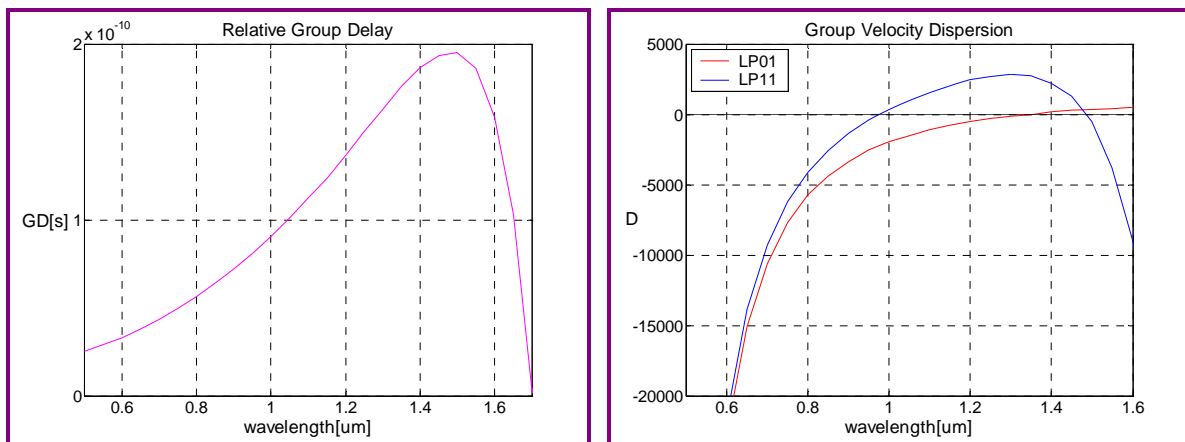


Figure 7-88. RGD and GVD of Chalcogenide-Core Silica-Clad Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55μm for the device length of 0.087m is 0.19ns. The GVDs are 393[ps/nm-km] and -3807[ps/nm-km], and the DSs are 1.519[ps/nm<sup>2</sup>-km] and -85.430[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55μm, respectively.

*Microstructure Devices*

$$n_{cladding} = air, a = 0.34\mu m$$

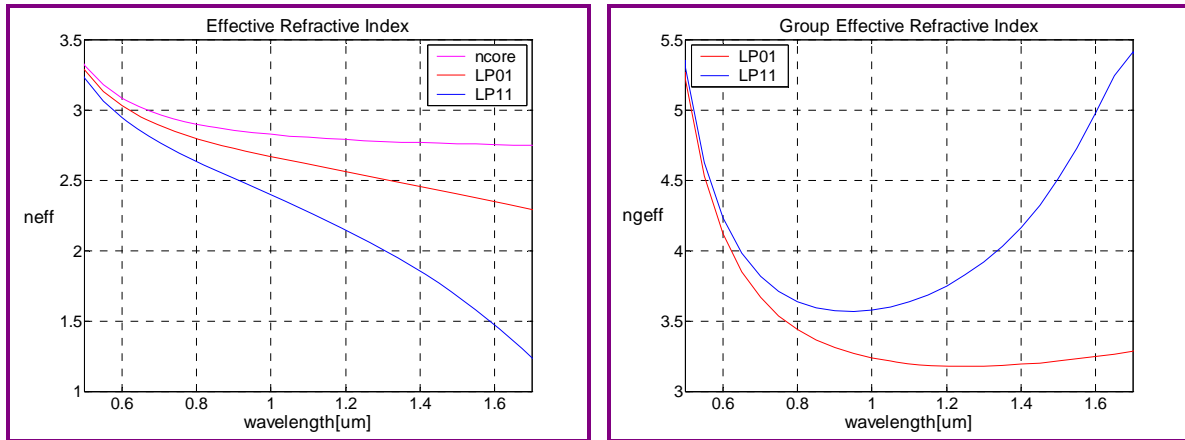


Figure 7-89. ERI and GERI of Chalcogenide-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

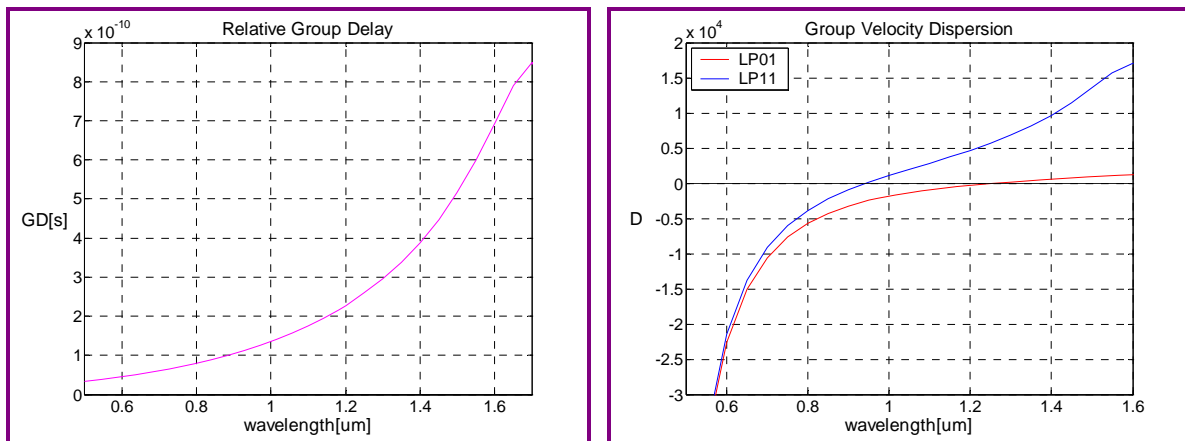


Figure 7-90. RGD and GVD of Chalcogenide-Core Microstructure Devices(LP<sub>01</sub>-LP<sub>11</sub> Mode)

The RGD between the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55 $\mu$ m for the device length of 0.062m is 0.60ns. The GVDs are 1067[ps/nm-km] and 15721[ps/nm-km], and the DSs are 2.956 [ps/nm<sup>2</sup>-km] and 35.688[ps/nm<sup>2</sup>-km], for the LP<sub>01</sub> and LP<sub>11</sub> modes at 1.55 $\mu$ m, respectively.

CHAPTER 7. IMPACT OF HIGH-NONLINEARITY GLASSES  
ON NONLINEAR FIBER DEVICES

Table 7-23 summarizes the RGD, calculated from Equation (6.14), between the LP<sub>01</sub> and LP<sub>11</sub> modes of the signal pulse at 1.55μm for the required device length of SPM-induced devices and XPM-induced devices, shown in Tables 7-21 and 7-22, respectively. In addition, the GVD, and the DS of the two modes at 1.55μm for nonlinear fiber devices based on LP<sub>01</sub>-LP<sub>11</sub> mode interference are provided.

Table 7-23. RGD between LP<sub>01</sub> and LP<sub>11</sub> Modes of Signal at 1.55μm, GVD at 1.55μm, and DS at 1.55μm for Nonlinear Fiber Devices based on LP<sub>01</sub>-LP<sub>11</sub> Mode Interference

Properties		Silica	Litharge	Bismite	Tellurite	Chalcogenide	
<b>RGD [ns] by SPM</b>	S-clad	26	1.86	0.10	0.66	0.19	
	MSF	61	7.36	0.47	2.89	0.60	
<b>RGD [ns] by XPM</b>	S-clad	11	0.83	0.05	0.28	0.07	
	MSF	25	2.70	0.16	1.02	0.14	
<b>GVD [ps/nm-km] at 1.55μm</b>	S-clad	LP <sub>01</sub>	15.33	131	229	164	393
		LP <sub>11</sub>	13.26	-242	-858	-720	-3807
	MSF	LP <sub>01</sub>	222	596	978	836	1067
		LP <sub>11</sub>	-553	-1284	-4102	-3084	15721
<b>DS [ps/nm<sup>2</sup>-km] at 1.55μm</b>	S-clad	LP <sub>01</sub>	0.059	-0.224	0.087	-0.027	1.519
		LP <sub>11</sub>	0.038	-2.689	-10.107	-7.488	-85.430
	MSF	LP <sub>01</sub>	-0.277	-0.076	0.626	0.413	2.956
		LP <sub>11</sub>	-7.103	-33.769	-139	-97.353	35.688

### 7.5.3. Walk-Off Length

Table 7-24 presents the walk-off length, calculated from Equation (6.16), between the  $LP_{01}$  and  $LP_{11}$  modes of the signal pulse at  $1.55\mu\text{m}$  with 10ps pulse width for nonlinear fiber devices based on  $LP_{01}$ - $LP_{11}$  Mode Interference.

Table 7-24. Walk-Off Length between  $LP_{01}$  and  $LP_{11}$  Modes of Signal at  $1.55\mu\text{m}$  with 10ps Pulse Width for Nonlinear Fiber Devices based on  $LP_{01}$ - $LP_{11}$  Mode Interference

Walk-Off Length [m]	Silica	Litharge	Bismite	Tellurite	Chalcogenide
Silica-Cladding	1.80	0.0460	0.0180	0.0225	0.0047
MSF	0.027	0.0089	0.0045	0.0052	0.0020

## 7.6. Effects of Loss

The required device length in the previous sections was calculated without consideration of fiber losses. As shown in Equation (1.9), the effective length decreases as fiber losses increase. As a result, when fiber losses are present, the required device length should be increased to compensate for losses and thus maintain the same amount of nonlinear phase shift. Table 7-25 compares the required device length calculated without fiber losses and the effective length calculated with the best losses of actually fabricated fibers for the devices based on NOLMs.

Table 7-25. Comparison between the Required Device Length and Effective Length

Properties		Silica	Litharge	Bismite	Tellurite	Chalcogenide
<b>Loss [dB/m]</b>		0.0002	2	0.7	0.035	0.7
<b><math>\alpha</math>[m<sup>-1</sup>]</b>		0.000046	0.46	0.16	0.0008	0.16
<b>L[m]</b>	Si-Clad	584	1.17	0.0271	0.2016	0.0118
	MSF	22	0.85	0.0256	0.1833	0.0115
<b>L<sub>eff</sub>[m]</b>	Si-Clad	576	0.9	0.0270	0.2016	0.0118
	MSF	22	0.7	0.0255	0.1833	0.0115

As can be seen, the difference between the required device length and the effective length is almost zero except for the case of litharge glass devices. This is due to the extremely short device length despite of high losses of HNL glass fibers. For the case of litharge glass devices, the difference is noticeable owing to the much higher loss. As a result, the required device length for litharge glass devices should be slightly increased for achieving the same amount of nonlinear phase shift. However, it will not be a significant problem since the difference is small. Finally, even though nonlinear fiber devices constructed with HNL glasses have high losses, they do not cause any significant problems in the performance of the devices due to the extremely short device length.

## **CHAPTER 8.**

# **CONCLUSIONS**

### **SCOPE OF THE DISSERTATION**

In this dissertation, the performance of nonlinear fiber devices constructed with the high-nonlinearity glasses of Litharge, Bismite, Tellurite, and Chalcogenide glasses have been evaluated to investigate the applicable potentiality of the ultrafast highly nonlinear fiber devices considering both the advantages and disadvantages of the high-nonlinearity glasses.

### **ADVANTAGES OF HIGH-NONLINEARITY GLASSES**

High-nonlinearity glasses such as Litharge, Bismite, Tellurite, and Chalcogenide glasses have both high linear refractive indices and high nonlinear-index coefficients. Due to their high nonlinear-index coefficients, nonlinear fiber devices made of the high-nonlinearity glasses can have highly nonlinear properties. In addition, high linear refractive indices of the high-nonlinearity glasses enable higher index difference between the core and cladding of the devices, thus making it possible to develop smaller effective mode area. This also leads to increase of nonlinearities in the nonlinear fiber devices. Furthermore, if the high-nonlinearity glasses are used in microstructure fibers, the effective mode areas can be reduced further due to much higher index difference and thus, the nonlinearities of the devices can be increased much more. As a result, it is certain that one can increase the nonlinear properties of nonlinear fiber devices by introducing the high-nonlinearity glasses with high linear refractive index and high nonlinear-index coefficients.

Since nonlinearities of silica glasses are very small, conventional nonlinear fiber devices usually require either long length or high power for a sufficient nonlinear phase shift in order to achieve the desired nonlinear effects. The long length of fiber leads to the serious problems of pulse walk-off, pulse broadening, and polarization fluctuation. It is also certain that one can drastically reduce the required device length by introducing the high-nonlinearity glasses due to their high nonlinearities and thus can suppress the problems caused by the long length.

Moreover, the fabrication processes of high-nonlinearity glasses are easier because of their low melting temperatures and high glass stability. Also, they have wide transmission range from near-infrared to far-infrared.

### **DISADVANTAGES OF HIGH-NONLINEARITY GLASSES**

However, the high-nonlinearity glasses have extremely high losses and group-velocity dispersions. This extremely high group-velocity dispersion can cause the deleterious effects of high relative group delay and rapid pulse walk-off between two pulses at different wavelengths, despite the short device length, due to the extremely high group-velocity difference. It also can cause huge pulse broadening and, moreover, the extremely high loss limits the performance of the devices. These problems can be major limiting factors for response time, switching bandwidth, and maximum transmittable bit-rate.

## **TYPES OF HIGH-NONLINEARITY GLASS FIBERS**

In this dissertation, three types of high-nonlinearity glass fibers were considered. The first type is high-nonlinearity glass-core silica-clad fibers which have high index difference and small effective mode area, thus resulting in high nonlinearities. The second type is high-nonlinearity glass-core air-clad(microstructure) fibers. In microstructure fibers, because the cladding is effectively air, they can develop much higher index difference and much smaller effective mode area. These properties enable extremely small spot size and thus much higher nonlinearity for nonlinear applications.

Highly nonlinear fibers with lower-index cladding have usually been fabricated using similar glasses with slightly different compositions for both the core and cladding. The third type of fiber has a high-nonlinearity glass-core with a cladding of a similar glass. Such fibers have lower index differences and larger effective mode areas compared to the high-nonlinearity glass-core silica-clad fibers.

## **TYPES OF NONLINEAR FIBER DEVICES**

The nonlinear fiber devices evaluated in this dissertation include (i)OTDM/WDM demultiplexers based on the optical Kerr effect, nonlinear optical loop mirrors, asymmetric twin-core fibers, or  $LP_{01}$ - $LP_{11}$  mode interference, (ii)wavelength converters based on the optical Kerr effect or nonlinear optical loop mirrors, (iii)nonlinear noise filters based on  $LP_{01}$ - $LP_{02}$  mode interference, and (iv)amplitude modulators and (v)nonlinear optical switches based on  $LP_{01}$ - $LP_{11}$  mode interference. To evaluate the performance of these nonlinear fiber devices, the three types of fibers described above were considered. Then, the required device length, the required optical power, relative group delay, group-velocity dispersion, dispersion slope, walk-off length, and output pulse width(pulse broadening) were evaluated for individual nonlinear fiber devices.



## RESULTS

It was found that the required lengths of nonlinear fiber devices based on the optical Kerr effect, nonlinear optical loop mirrors, and mode interference were drastically reduced for both the high-nonlinearity glass-core silica-clad and high-nonlinearity glass-core microstructure fiber devices. The required length for the chalcogenide glass-core microstructure fiber devices based on nonlinear optical loop mirrors was just 1.15cm with the control power of 1W for a phase shift of  $\pi$ , which is typically 1Km for conventional silica-core silica-clad fiber devices with the same conditions.

As expected, the required device lengths of high-nonlinearity glass-core high-nonlinearity glass-clad fiber devices were longer than those of the other two types of fiber devices, although they were much shorter than those of conventional silica-core silica-clad fiber devices. It is because they have lower index difference and larger effective mode area compared to the other two types of fibers. In addition, high-nonlinearity glass-core high-nonlinearity glass-clad fiber devices will have group-velocity dispersions dominated by their material dispersion, while the other two types of fibers exhibit the strong influence of waveguide dispersion.

As also expected, group-velocity dispersions and losses of nonlinear fiber devices constructed with high-nonlinearity glasses were far higher than those of conventional silica-core silica-clad fiber devices. However, the high group-velocity dispersion was found not to be a major problem for most practical applications in high bit-rate systems, since the strong nonlinearities of high-nonlinearity glasses allow the use of extremely short lengths of fiber for functional devices. In addition, published loss data from highly nonlinear fibers which have already been fabricated with high-nonlinearity glasses ensure that nonlinear fiber devices will perform properly with these short device lengths.

## **RESULTS FOR SPECIFIC NONLINEAR FIBER DEVICES BASED ON THE OPTICAL KERR EFFECT AND NONLINEAR OPTICAL LOOP MIRRORS**

With the extremely reduced device length, the evaluations of relative group delay between the control and signal pulses, walk-off length, and output control pulse width for the nonlinear fiber devices based on the optical Kerr effect and nonlinear optical loop mirrors proved that those devices constructed with any high-nonlinearity glasses with a signal at  $1.55\mu\text{m}$  and control at  $1.54\mu\text{m}$  will function properly without any problems despite extremely high group-velocity dispersion. Even with the worst conditions, the devices constructed with bismite and chalcogenide glasses still will function properly without any difficulties.

For the best performance of nonlinear fiber devices, the required device length should be short, the relative group delay between the control and signal pulses for the required device length should be small, the walk-off length for a given input pulse width should be long, and the input pulse should remain the same. To compare relative group delays, the worst case was chosen for signal wavelength of  $1.55\mu\text{m}$  and control wavelengths over a range from  $1.04\mu\text{m}$  to  $1.6\mu\text{m}$ . When all these requirements were considered with the worst conditions, it turned out that the bismite-core microstructure fiber devices have the most reasonable properties for practical applications. Their relative group delay with the signal pulse at  $1.55\mu\text{m}$  and the worst case of control pulse at  $1.48\mu\text{m}$  for the required device lengths were just 1.31ps and 0.98ps for the cases of the optical Kerr effect and nonlinear optical loop mirror, respectively and the 10ps input control pulse remained almost the same after propagation. In addition, the walk-off length of 0.25m for the 10ps input pulse is about 8 times and 10 times longer than the required device length for the cases of the optical Kerr effect and nonlinear optical loop mirror, respectively.

Even though the chalcogenide-core silica-clad fiber devices developed better performances than bismite-core microstructure fiber devices, it seems impossible to use chalcogenide

glasses as core materials in silica-clad fibers due to the high melting temperature difference. As a result, the bismite-core microstructure devices can be the best candidate for the nonlinear fiber devices based on the optical Kerr effect and nonlinear optical loop mirrors.

At the same control wavelength, although the chalcogenide-core microstructure fiber devices developed the shortest device lengths, they showed larger relative group delay and shorter walk-off length than those of the chalcogenide-core silica-clad fiber devices. For all high-nonlinearity glasses, microstructure fiber devices developed larger relative group delay and shorter walk-off length than silica-clad fiber devices due to higher refractive index difference between the core and cladding of the microstructure fiber devices, leading to higher group effective refractive index difference between the two wavelengths.

## **ASYMMETRIC TWIN-CORE FIBERS**

The asymmetric twin-core fiber is a narrow band filter where light is coupled only when the propagation constants of the two cores are identical. A large slope difference of the effective refractive indices between the two cores produces a narrower band spectral filter. In the asymmetric twin-core nonlinear devices, one of the cores is a high-nonlinearity glass, and a change in the effective refractive index of one of the cores will shift the maximum coupling wavelength.

For wavelength-division demultiplexers based on asymmetric twin-core fibers, the required optical pump power to shift the maximum coupling wavelength by one spectral width was 10KW for the case when GeO<sub>2</sub>-doped glass was used in the small core. This power would be reduced to 92W if the small GeO<sub>2</sub>-doped core was replaced with bismite glass. In addition, if bismite glass was used in the small core, the spectral width of the filter would be 0.65nm.

Chalcogenide glasses could also be used as the small core and would show performance similar to the bismite glasses. However, bismite glasses would be the best candidate for wavelength-division demultiplexers based on asymmetric twin-core fibers with silica-cladding due to the fabrication difficulties of chalcogenide-core silica-clad fibers.

The power requirements for the asymmetric twin-core nonlinear filter turn out to be large as compared with other nonlinear fiber devices. The reason is that because high-nonlinearity glasses have much higher linear refractive indices, the rate of variation of the effective refractive indices in the small core is much smaller. Therefore, the required  $\delta n$  for shifting the maximum coupling wavelength, which is proportional to the pump power, becomes much larger. Even for the case of chalcogenide glasses, the relatively high power of 97W is required despite the smallest effective mode area and the largest nonlinear-index coefficient.

For optical time-division demultiplexers based on asymmetric twin-core fibers, the group-velocity difference between the signal in the large core and the control in the small core was too large for the device to act properly. The relative group delays between the signal pulses in the large core at  $1.55\mu\text{m}$  and the control pulses in the small core at  $1.3\mu\text{m}$  for the calculated device lengths were high (tens of picoseconds) and the walk-off lengths between the signal and control pulses for 10ps input control pulses were shorter than the device lengths. Thus, it seems impractical to use nonlinear fiber devices based on asymmetric twin-core fibers for time-dependent applications such as optical time-division demultiplexers.

## **MODE INTERFERENCE**

### **BETWEEN $LP_{01}$ AND $LP_{02}$ MODES OR $LP_{01}$ AND $LP_{11}$ MODES**

For the nonlinear fiber devices based on  $LP_{01}$ - $LP_{02}$  mode interference, the required lengths of SPM-induced and XPM-induced devices were drastically reduced from 77Km and 5.5Km for silica-core silica-clad fiber devices to 0.068m and 0.036m for chalcogenide-core microstructure fiber devices, respectively. As a result, the chalcogenide-core microstructure fiber would be the best candidate for the nonlinear fiber devices based on  $LP_{01}$ - $LP_{02}$  mode interference. In addition, for the nonlinear fiber devices based on  $LP_{01}$ - $LP_{11}$  mode interference, the required lengths of SPM-induced and XPM-induced devices were shortened from 4.7Km and 2.1Km for silica-core silica-clad fiber devices to 0.062m and 0.028m for chalcogenide-core microstructure fiber devices, respectively. Thus, the chalcogenide-core microstructure

fiber would also be the best candidate for the nonlinear fiber devices based on LP<sub>01</sub>-LP<sub>11</sub> mode interference.

Basically, the principle of mode interference is based on phase-velocity difference between two modes. However, if nonlinear fiber devices based on mode interference are used for time-dependent applications such as optical time-division demultiplexing, group-velocity mismatch between two modes plays an important role in their performances. According to the results, group-velocity differences between the two modes were significantly large. The relative group delays between the two modes for the required device lengths were too high (a few nanoseconds) and the walk-off lengths between the two modes for 10ps input pulses were extremely shorter than the required device lengths. As a result, time-dependent applications of highly nonlinear fiber devices based on mode interference may not be practical despite the extremely short device length.

## **APPLICATION OF HIGH GROUP-VELOCITY DISPERSION IN AN OPTICAL KERR WAVELENGTH-DIVISION DEMULTIPLEXER**

In this dissertation, a new scheme of wavelength-division demultiplexing based on the optical Kerr effect has been proposed for the first time. The scheme utilizes the rapid pulse walk-off between a control pulse and undesired signal pulses, caused by the extremely high group-velocity dispersion of high-nonlinearity glasses. It was found that wavelength-division-multiplexed signal pulses with a narrow channel spacing can be demultiplexed in very short propagation. In calculations using S-LAH79 glasses in microstructure fibers, signal pulses with a spacing of 0.8nm were easily demultiplexed by a 10ps control pulse with a device length of just 2m. It is very probable that the required device length and(or) the channel spacing can be reduced further by using much higher nonlinearity glasses such as bismite and chalcogenide glasses with careful design of the parameters. This new scheme can turn the disadvantage of the extremely high group-velocity dispersion in high-nonlinearity glasses into an advantage of wavelength-division demultiplexing. It is highly likely to greatly increase the transmission capacity in optical communication systems by simultaneously demultiplexing optical time-division-multiplexed signals and wavelength-division-multiplexed signals with an optical Kerr effect-based demultiplexer.

## OVERALL CONCLUSIONS

In conclusion, one can drastically reduce the required device length and suppress simultaneously the problems of pulse walk-off between the control and signal pulses, pulse broadening, and polarization fluctuation in nonlinear fiber devices by introducing high-nonlinearity glasses, without problems resulting from high group-velocity dispersion and losses. This makes it possible to have shorter control pulse widths, faster response time, broader switching bandwidth, and thus higher data transmission in optical communication systems. Thus, the high-nonlinearity glasses of litharge, bismite, tellurite, and chalcogenide glasses have potentials for nonlinear applications in highly nonlinear fiber devices. Among them, bismite-core silica(or air)-clad fiber and chalcogenide-core microstructure fiber devices have much higher potentials for the best performance and moreover, geometrical form birefringence of microstructure fibers can have fairly stable polarization properties.

Furthermore, the optical Kerr effect-based demultiplexers which can demultiplex optical time-division-multiplexed and wavelength-division-multiplexed signals simultaneously have high potentials of achieving unprecedented transmission capacities in future higher bit-rate optical communication systems.

## **DIRECTIONS FOR FUTURE WORK**

Since highly nonlinear fibers have usually been fabricated with similar types of high-nonlinearity glasses for both the core and cladding, little information about fabrication of highly nonlinear fibers constructed with high-nonlinearity glass-core and silica(or air)-cladding exist. This work is expected to be a resource for the development of highly nonlinear fiber devices using high-nonlinearity glasses, especially for high-nonlinearity glass-core and silica(or air)-clad devices. The comparison between different types of high-nonlinearity glasses and different types of fibers, and the calculations of important factors such as relative group delay, walk-off length, and output pulse width should aid in the selection of high-nonlinearity materials for specific applications.

Refinement of fabrication techniques for highly nonlinear fibers using high-nonlinearity glasses discussed in this dissertation is an important task that should be investigated as part of the future work. This work needs to be extended to the fabrication of highly nonlinear fiber devices such as wavelength-division demultiplexers based on the optical Kerr effect and asymmetric twin-core fibers. In addition, efficient coupling of power into highly nonlinear fiber devices remains a challenging task. A satisfactory solution to this problem certainly constitutes a natural extension of this work which needs to be pursued vigorously.



## APPENDIX A

### *Solutions of the Vector Wave Equations for Fiber Modes*

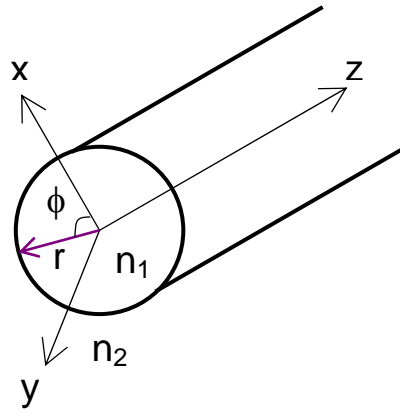


Figure A-1. Geometry and Coordinates of Optical Fibers

Figure A-1 shows the geometry and coordinates of optical fibers and the modal solutions for step-index fibers by vector wave analysis are derived from the following vector wave equation.

$$\nabla^2 \vec{E} = \frac{n^2}{c^2} \frac{\partial^2 \vec{E}}{\partial t^2} \quad (\text{A.1})$$

$$\vec{E}(r, \phi, z, t) = \left[ e_r \hat{a}_r + e_\phi \hat{a}_\phi + e_z \hat{a}_z \right] (r, \phi) e^{j(\omega t - \beta z)}$$

The solutions for the axial component of  $e_z$  and the two transverse components  $e_r$  and  $e_\phi$  of the electric field are given by [243, 245]

$$e_z = AJ_\nu \left( \frac{Ur}{a} \right) \begin{bmatrix} \cos(\nu\phi) \\ \sin(\nu\phi) \end{bmatrix}, r \leq a \quad (\text{A.2})$$

$$e_z = CK_\nu \left( \frac{Wr}{a} \right) \begin{bmatrix} \cos(\nu\phi) \\ \sin(\nu\phi) \end{bmatrix}, r \geq a \quad (\text{A.3})$$

$$e_r = -\frac{ja^2}{U^2} \left[ A \left( \frac{\beta U}{a} \right) J_\nu' \left( \frac{Ur}{a} \right) + B \left( \frac{\nu\omega\mu_0}{r} \right) J_\nu \left( \frac{Ur}{a} \right) \right] \begin{bmatrix} \cos(\nu\phi) \\ \sin(\nu\phi) \end{bmatrix}, r \leq a \quad (\text{A.4})$$

$$e_r = \frac{ja^2}{W^2} \left[ C \left( \frac{\beta W}{a} \right) K_\nu' \left( \frac{Wr}{a} \right) + D \left( \frac{\nu\omega\mu_0}{r} \right) K_\nu \left( \frac{Wr}{a} \right) \right] \begin{bmatrix} \cos(\nu\phi) \\ \sin(\nu\phi) \end{bmatrix}, r \geq a \quad (\text{A.5})$$

$$e_\phi = -\frac{ja^2}{U^2} \left[ A \left( \frac{\nu\beta}{r} \right) J_\nu \left( \frac{Ur}{a} \right) + B \left( \frac{U\omega\mu_0}{a} \right) J_\nu' \left( \frac{Ur}{a} \right) \right] \begin{bmatrix} -\sin(\nu\phi) \\ \cos(\nu\phi) \end{bmatrix}, r \leq a \quad (\text{A.6})$$

$$e_\phi = \frac{ja^2}{W^2} \left[ C \left( \frac{\nu\beta}{r} \right) K_\nu \left( \frac{Wr}{a} \right) + D \left( \frac{W\omega\mu_0}{a} \right) K_\nu' \left( \frac{Wr}{a} \right) \right] \begin{bmatrix} -\sin(\nu\phi) \\ \cos(\nu\phi) \end{bmatrix}, r \geq a \quad (\text{A.7})$$

and the solutions for the axial component of  $h_z$  and the two transverse components  $h_r$  and  $h_\phi$  of the magnetic field are given by

$$h_z = BJ_\nu \left( \frac{Ur}{a} \right) \begin{bmatrix} \sin(\nu\phi) \\ -\cos(\nu\phi) \end{bmatrix}, r \leq a \quad (\text{A.8})$$

$$h_z = DK_\nu \left( \frac{Wr}{a} \right) \begin{bmatrix} \sin(\nu\phi) \\ -\cos(\nu\phi) \end{bmatrix}, r \geq a \quad (\text{A.9})$$

$$h_r = -\frac{ja^2}{U^2} \left[ A \left( \frac{\nu n_1^2 \omega \epsilon_0}{r} \right) J_\nu \left( \frac{Ur}{a} \right) + B \left( \frac{\beta U}{a} \right) J'_\nu \left( \frac{Ur}{a} \right) \right] \begin{bmatrix} \sin(\nu\phi) \\ -\cos(\nu\phi) \end{bmatrix}, r \leq a \quad (\text{A.10})$$

$$h_r = \frac{ja^2}{W^2} \left[ C \left( \frac{\nu n_2^2 \omega \epsilon_0}{r} \right) K_\nu \left( \frac{Wr}{a} \right) + D \left( \frac{\beta W}{a} \right) K'_\nu \left( \frac{Wr}{a} \right) \right] \begin{bmatrix} \sin(\nu\phi) \\ -\cos(\nu\phi) \end{bmatrix}, r \geq a \quad (\text{A.11})$$

$$h_\phi = -\frac{ja^2}{U^2} \left[ A \left( \frac{U n_1^2 \omega \epsilon_0}{a} \right) J'_\nu \left( \frac{Ur}{a} \right) + B \left( \frac{\nu \beta}{r} \right) J_\nu \left( \frac{Ur}{a} \right) \right] \begin{bmatrix} \cos(\nu\phi) \\ \sin(\nu\phi) \end{bmatrix}, r \leq a \quad (\text{A.12})$$

$$h_\phi = \frac{ja^2}{W^2} \left[ C \left( \frac{W n_2^2 \omega \epsilon_0}{a} \right) K'_\nu \left( \frac{Wr}{a} \right) + D \left( \frac{\nu \beta}{r} \right) K_\nu \left( \frac{Wr}{a} \right) \right] \begin{bmatrix} \cos(\nu\phi) \\ \sin(\nu\phi) \end{bmatrix}, r \geq a \quad (\text{A.13})$$

Applying the boundary conditions for only tangential components of  $e_z$ ,  $h_z$ ,  $e_\phi$ , and  $h_\phi$ , the characteristic equation can be derived as

$$(\eta_1 + \eta_2)(n_1^2 \eta_1 + n_2^2 \eta_2) - (\nu n_{eff})^2 \left( \frac{V}{UW} \right)^4 = 0 \quad (\text{A.14})$$

where  $U$ ,  $W$ , and  $V$  are the parameters defined in Equations (2.24), (2.25), and (2.26), respectively and

$$\eta_1 = \frac{J'_\nu(U)}{U J_\nu(U)} \quad (\text{A.15})$$

$$\eta_2 = \frac{K'_\nu(W)}{W K_\nu(W)} \quad (\text{A.16})$$

When  $\nu \geq 1$ , there are two types of  $HE_{\nu m}$  and  $EH_{\nu m}$  modes and all six components of the electromagnetic field are nonzero. When  $\nu = 0$ , these modes are analogous to the transverse-

electric (TE) and transverse-magnetic (TM) modes of a planar waveguide, since the axial electric-field component  $e_z=0$  for TE modes and the axial magnetic-field component  $h_z=0$  for TM modes.

In addition, three of coefficients A, B, C, and D in the field expression can be obtained in terms of a fourth one by using boundary conditions. After determining the effective refractive index from Equation (A.14), the mode patterns for the electric and magnetic fields can be obtained from Equations (A.2)-(A.7) and (A.8)-(A.13), respectively. Also, field magnitudes are obtained from

$$|\vec{E}| = \sqrt{|e_z|^2 + |e_r|^2 + |e_\phi|^2} \quad (\text{A.17})$$

$$|\vec{H}| = \sqrt{|h_z|^2 + |h_r|^2 + |h_\phi|^2} \quad (\text{A.18})$$

Using the weakly guiding approximation that  $n_1 \approx n_{\text{eff}} \approx n_2$ , the characteristic equation in Equation (A.14) can be simplified as

$$\eta_1 + \eta_2 = \pm v \left( \frac{V}{UW} \right)^2 \quad (\text{A.19})$$

When  $v=0$ ,

$$\frac{UJ_0(U)}{J_1(U)} = -\frac{WK_0(W)}{K_1(W)}, \quad \text{TE}_{\text{vm}} \ \& \ \text{TM}_{\text{vm}} \quad (\text{A.20})$$

and when  $v \gg 1$ ,

$$\frac{UJ_{\nu}(U)}{J_{\nu+1}(U)} = -\frac{WK_{\nu}(W)}{K_{\nu+1}(W)}, \quad + \text{EH}_{\nu m} \quad (\text{A.21})$$

$$\frac{UJ_{\nu-2}(U)}{J_{\nu-1}(U)} = -\frac{WK_{\nu-2}(W)}{K_{\nu-1}(W)}, \quad - \text{HE}_{\nu m} \quad (\text{A.22})$$

Defining a new integer denoted as  $l$ , Equations (A.20)-(A.22) can be cast into the same formats as the characteristic equation obtained from the scalar wave analysis given in Equation (2.47). Therefore, these modes and the  $\text{LP}_{lm}$  modes have a relationship according to the following:

$$\begin{aligned}
 & 1 \quad \text{TE}_{0m}, \text{TM}_{0m} \\
 l = & \nu + 1 \quad \text{EH}_{\nu m} \\
 & \nu - 1 \quad \text{HE}_{\nu m} \\
 \\ 
 & 0 \quad \text{HE}_{1m} \\
 l = & 1 \quad \text{TE}_{0m}, \text{TM}_{0m}, \text{HE}_{2m} \\
 & \geq 2 \quad \text{EH}_{l-1m}, \text{HE}_{l+1m}
 \end{aligned}$$

When  $\nu=1$  and  $m=1, 2$  in the vector wave analysis, they represent the fundamental  $\text{HE}_{11}$  mode ( $\text{LP}_{01}$  mode of the scalar wave analysis) and the  $\text{HE}_{12}$  mode ( $\text{LP}_{02}$  mode), respectively. In addition, the  $\text{TE}_{01}$ ,  $\text{TM}_{01}$ , and  $\text{HE}_{21}$  modes in the vector wave analysis correspond to the  $\text{LP}_{11}$  mode in the scalar wave analysis. Table A-1 lists the first 12 LP modes and their corresponding vector degenerate modes[245].

Table A-1. Relationship between LP Modes and Degenerate Modes

$V_{\text{cutoff}}$	$l$	Degenerate Modes	$LP_{lm}$ Modes
0	0	$HE_{11}$	$LP_{01}$
2.405	1	$TE_{01}, TM_{01}, HE_{21}$	$LP_{11}$
3.832	2	$EH_{11}, HE_{31}$	$LP_{21}$
3.832	0	$HE_{12}$	$LP_{02}$
5.136	3	$HE_{21}, HE_{41}$	$LP_{31}$
5.520	1	$TE_{02}, TM_{02}, HE_{22}$	$LP_{12}$
6.380	4	$EH_{31}, HE_{51}$	$LP_{41}$
7.016	2	$EH_{12}, HE_{32}$	$LP_{22}$
7.016	0	$HE_{13}$	$LP_{03}$
7.588	5	$EH_{41}, HE_{61}$	$LP_{51}$
8.417	3	$EH_{22}, HE_{42}$	$LP_{32}$
8.654	1	$TE_{03}, TM_{03}, HE_{23}$	$LP_{13}$

### A.1. LP<sub>01</sub>(HE<sub>11</sub>) and LP<sub>02</sub>(HE<sub>12</sub>) Modes

For the LP<sub>0m</sub>(HE<sub>1m</sub>) modes,  $\nu$  of the characteristic equation in Equation (A.14) is 1, and thus the characteristic equation becomes

$$(\eta_1 + \eta_2)(n_1^2 \eta_1 + n_2^2 \eta_2) - (n_{eff})^2 \left( \frac{V}{UW} \right)^4 = 0 \quad (\text{A.23})$$

where

$$\eta_1 = -\frac{1}{U^2} + \frac{J_0(U)}{UJ_1(U)} \quad (\text{A.24})$$

$$\eta_2 = -\frac{1}{W^2} - \frac{K_0(W)}{WK_1(W)} \quad (\text{A.25})$$

From Equations (A.2)-(A.7), the solutions of the electric field with the axial component of  $\mathbf{e}_z$  and the two transverse components of  $\mathbf{e}_r$  and  $\mathbf{e}_\phi$  for the LP<sub>0m</sub>(HE<sub>1m</sub>) modes can be given by

$$e_z = AJ_1\left(\frac{Ur}{a}\right) \begin{bmatrix} \cos \phi \\ \sin \phi \end{bmatrix}, r \leq a \quad (\text{A.26})$$

$$e_z = CK_1\left(\frac{Wr}{a}\right) \begin{bmatrix} \cos \phi \\ \sin \phi \end{bmatrix}, r \geq a \quad (\text{A.27})$$

$$e_r = -\frac{ja^2}{U^2} \left[ A \left( \frac{\beta U}{a} \right) J_1' \left( \frac{Ur}{a} \right) + B \left( \frac{\omega \mu_0}{r} \right) J_1 \left( \frac{Ur}{a} \right) \right] \begin{bmatrix} \cos \phi \\ \sin \phi \end{bmatrix}, r \leq a \quad (\text{A.28})$$

$$e_r = \frac{ja^2}{W^2} \left[ C \left( \frac{\beta W}{a} \right) K_1' \left( \frac{Wr}{a} \right) + D \left( \frac{\omega \mu_0}{r} \right) K_1 \left( \frac{Wr}{a} \right) \right] \begin{bmatrix} \cos \phi \\ \sin \phi \end{bmatrix}, r \geq a \quad (\text{A.29})$$

$$e_\phi = -\frac{ja^2}{U^2} \left[ A \left( \frac{\beta}{r} \right) J_1 \left( \frac{Ur}{a} \right) + B \left( \frac{U \omega \mu_0}{a} \right) J_1' \left( \frac{Ur}{a} \right) \right] \begin{bmatrix} -\sin \phi \\ \cos \phi \end{bmatrix}, r \leq a \quad (\text{A.30})$$

$$e_\phi = \frac{ja^2}{W^2} \left[ C \left( \frac{\beta}{r} \right) K_1 \left( \frac{Wr}{a} \right) + D \left( \frac{W \omega \mu_0}{a} \right) K_1' \left( \frac{Wr}{a} \right) \right] \begin{bmatrix} -\sin \phi \\ \cos \phi \end{bmatrix}, r \geq a \quad (\text{A.31})$$

The electric field magnitudes of the LP<sub>01</sub>(HE<sub>11</sub>) and LP<sub>02</sub>(HE<sub>12</sub>) modes can be obtained for the core region( $r \leq a$ ) and for the cladding region( $r \geq a$ ) from Equations (A.26)-(A.31) and (A.17).

## A.2. LP<sub>11</sub>(TE<sub>01</sub>, TM<sub>01</sub>, and HE<sub>21</sub>) Mode

The LP<sub>11</sub> mode from scalar wave analysis corresponds to the three nearly degenerate TE<sub>01</sub>, TM<sub>01</sub>, and HE<sub>21</sub> vector modes. When  $v=0$ ,  $\cos v\phi=1$  and  $\sin v\phi=0$ . Therefore, all field component become independent of  $\phi$ .

For the LP<sub>1m</sub>(TE<sub>0m</sub> and TM<sub>0m</sub>)modes,  $v$  of the characteristic equation in Equation (A.14) is 0, and thus the characteristic equation becomes

$$(\eta_1 + \eta_2)(n_1^2 \eta_1 + n_2^2 \eta_2) = 0 \quad (\text{A.32})$$

where



$$\eta_1 = -\frac{J_1(U)}{UJ_0(U)} \quad (\text{A.33})$$

$$\eta_2 = -\frac{K_1(W)}{WK_0(W)} \quad (\text{A.34})$$

The characteristic equation for the TE<sub>0m</sub> modes is given by

$$(\eta_1 + \eta_2) = 0 \quad (\text{A.35})$$

Since  $e_z=0$  for the TE<sub>0m</sub> mode, the solutions for the LP<sub>1m</sub>(TE<sub>0m</sub>) modes can be defined by  $h_z$ ,  $h_r$ , and  $e_\phi$  from Equations (A.8)-(A.9), (A.10)-(A.11), and (A.6)-(A.7), respectively as

$$h_z = -BJ_0\left(\frac{Ur}{a}\right), r \leq a \quad (\text{A.36})$$

$$h_z = -DK_0\left(\frac{Wr}{a}\right), r \geq a \quad (\text{A.37})$$

$$h_r = \frac{ja^2}{U^2} \left[ B \left( \frac{\beta U}{a} \right) J_0' \left( \frac{Ur}{a} \right) \right], r \leq a \quad (\text{A.38})$$

$$h_r = -\frac{ja^2}{W^2} \left[ D \left( \frac{\beta W}{a} \right) K_0' \left( \frac{Wr}{a} \right) \right], r \geq a \quad (\text{A.39})$$

$$e_\phi = -\frac{ja^2}{U^2} \left[ B \left( \frac{U\omega\mu_0}{a} \right) J_0' \left( \frac{Ur}{a} \right) \right], r \leq a \quad (\text{A.40})$$

$$e_\phi = \frac{ja^2}{W^2} \left[ D \left( \frac{W\omega\mu_0}{a} \right) K_0' \left( \frac{Wr}{a} \right) \right], r \geq a \quad (\text{A.41})$$

The electric field magnitude of the LP<sub>11</sub>(TE<sub>01</sub>) mode can be obtained from Equations (A.40) and (A.41) as

$$|\vec{E}| = |e_\phi| \quad (\text{A.42})$$

The characteristic equation for the TM<sub>0m</sub> modes is given by

$$(n_1^2 \eta_1 + n_2^2 \eta_2) = 0 \quad (\text{A.43})$$

Since  $h_z=0$  for the TM<sub>0m</sub> mode, the solutions for the LP<sub>1m</sub>(TM<sub>0m</sub>) modes can be defined by  $e_z$ ,  $e_r$ , and  $h_\phi$  from Equations (A.2)-(A.3), (A.4)-(A.5), and (A.12)-(A.13), respectively as

$$e_z = AJ_0\left(\frac{Ur}{a}\right), r \leq a \quad (\text{A.44})$$

$$e_z = CK_0\left(\frac{Wr}{a}\right), r \geq a \quad (\text{A.45})$$

$$e_r = -\frac{ja^2}{U^2} \left[ A \left( \frac{\beta U}{a} \right) J_0' \left( \frac{Ur}{a} \right) \right], r \leq a \quad (\text{A.46})$$

$$e_r = \frac{ja^2}{W^2} \left[ C \left( \frac{\beta W}{a} \right) K_0' \left( \frac{Wr}{a} \right) \right], r \geq a \quad (\text{A.47})$$

$$h_\phi = -\frac{ja^2}{U^2} \left[ A \left( \frac{Un_1^2 \omega \epsilon_0}{a} \right) J_0' \left( \frac{Ur}{a} \right) \right], r \leq a \quad (\text{A.48})$$

$$h_\phi = \frac{ja^2}{W^2} \left[ C \left( \frac{Wn_2^2 \omega \epsilon_0}{a} \right) K_0' \left( \frac{Wr}{a} \right) \right], r \geq a \quad (\text{A.49})$$

The electric field magnitude of the LP<sub>11</sub>(TM<sub>01</sub>) mode can be obtained from Equations (A.44)-(A.47) as

$$|\vec{E}| = \sqrt{|e_z|^2 + |e_r|^2} \quad (\text{A.50})$$

For the LP<sub>1m</sub>(HE<sub>2m</sub>) modes,  $\nu$  of the characteristic equation in Equation (A.14) is 2, and thus the characteristic equation becomes

$$(\eta_1 + \eta_2)(n_1^2 \eta_1 + n_2^2 \eta_2) - (2n_{eff})^2 \left( \frac{V}{UW} \right)^4 = 0 \quad (\text{A.51})$$

where

$$\eta_1 = -\frac{2}{U^2} + \frac{J_1(U)}{UJ_2(U)} \quad (\text{A.52})$$

$$\eta_2 = -\frac{2}{W^2} - \frac{K_1(W)}{WK_2(W)} \quad (\text{A.53})$$

From Equations (A.2)-(A.7), the solutions of the electric field with the axial component of  $e_z$  and the two transverse components of  $e_r$  and  $e_\phi$  for the LP<sub>1m</sub>(HE<sub>2m</sub>) modes can be given by

$$e_z = AJ_2\left(\frac{Ur}{a}\right) \begin{bmatrix} \cos 2\phi \\ \sin 2\phi \end{bmatrix}, r \leq a \quad (\text{A.54})$$

$$e_z = CK_2\left(\frac{Wr}{a}\right) \begin{bmatrix} \cos 2\phi \\ \sin 2\phi \end{bmatrix}, r \geq a \quad (\text{A.55})$$

$$e_r = -\frac{ja^2}{U^2} \left[ A\left(\frac{\beta U}{a}\right) J_2'\left(\frac{Ur}{a}\right) + B\left(\frac{2\omega\mu_0}{r}\right) J_2\left(\frac{Ur}{a}\right) \right] \begin{bmatrix} \cos 2\phi \\ \sin 2\phi \end{bmatrix}, r \leq a \quad (\text{A.56})$$

$$e_r = \frac{ja^2}{W^2} \left[ C\left(\frac{\beta W}{a}\right) K_2'\left(\frac{Wr}{a}\right) + D\left(\frac{2\omega\mu_0}{r}\right) K_2\left(\frac{Wr}{a}\right) \right] \begin{bmatrix} \cos 2\phi \\ \sin 2\phi \end{bmatrix}, r \geq a \quad (\text{A.57})$$

$$e_\phi = -\frac{ja^2}{U^2} \left[ A\left(\frac{2\beta}{r}\right) J_2\left(\frac{Ur}{a}\right) + B\left(\frac{U\omega\mu_0}{a}\right) J_2'\left(\frac{Ur}{a}\right) \right] \begin{bmatrix} -\sin 2\phi \\ \cos 2\phi \end{bmatrix}, r \leq a \quad (\text{A.58})$$

$$e_\phi = \frac{ja^2}{W^2} \left[ C\left(\frac{2\beta}{r}\right) K_2\left(\frac{Wr}{a}\right) + D\left(\frac{W\omega\mu_0}{a}\right) K_2'\left(\frac{Wr}{a}\right) \right] \begin{bmatrix} -\sin 2\phi \\ \cos 2\phi \end{bmatrix}, r \geq a \quad (\text{A.59})$$

The electric field magnitudes of the LP<sub>11</sub>(HE<sub>21</sub>) mode can be obtained from Equations (A.54)-(A.59) and Equation (A.17).

In this dissertation, the average values of the effective refractive indices and the effective mode areas from the three degenerate modes are used for the LP<sub>11</sub> mode and the evaluation of the devices based on LP<sub>01</sub>-LP<sub>11</sub> mode interference.

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