

List of Figures

Figure 2.1 Architecture and Pipeline of a Virtual Reality Simulator System.....	13
Figure 2.2 Graphical Simulator (NIST Year Unknown).....	18
Figure 2.3 Realism-Enhanced Graphical Simulator (Li and Moshell 1994).....	19
Figure 2.4 Hybrid Simulator System (Ballantyne and Wong 1998).....	20
Figure 2.5 Physics-Based Simulator (Wakefield, O'Brien and Perng 1996).....	22
Figure 2.6 2D Analytical Tool-Induced Resistance Model.....	26
Figure 2.7 3D Analytical Tool-Induced Resistance Model by Perumpral (1983).....	27
Figure 2.8 Soil Flow in a Dozer Blade.....	28
Figure 2.9 Typical Shape of an Excavation Bucket.....	28
Figure 2.10 Bucket Separability and Penetrability.....	31
Figure 2.11 Motional Characteristic of an Excavator.....	31
Figure 2.12 Different Failure Shape of Deep Penetration (Yu and Mitchell 1998).....	33
Figure 2.13 Cavity Expansion Process.....	35
Figure 2.14 Explanation on Secondary Separation Mechanism (Park 2000).....	38
Figure 3.1 Research Framework for the Analysis of Excavator Digging Process.....	44
Figure 3.2 Architecture and Pipeline for a VR Excavator Simulator.....	45
Figure 4.1 Typical Excavator System (Galperin, Dombrovsky and Mestechkin 1982).....	52
Figure 4.2 Excavator Hydraulic Circuits (Nichols 1976).....	53
Figure 4.3 Excavator Control Units - Control Levers (Nichols 1976).....	54
Figure 4.4 Excavator Internal Representation (Wakefield and O'Brien 1994).....	55
Figure 4.5 Excavator External Representation.....	56
Figure 4.6 Boom Ram Induced Bucket Force Calculation.....	58
Figure 4.7 Stick Ram Induced Bucket Force.....	61
Figure 4.8 Bucket Ram Induced Bucket Force Calculation.....	63
Figure 4.9 Parallel and Normal Components of a Total Bucket Force.....	65
Figure 5.1 Various Excavator Buckets Used in Construction.....	71
Figure 5.2 Typical Bucket: (a) Perspective View and (b) Side View.....	72
Figure 5.3 Bucket Overlapped with Idealized Parts: (a) Perspective View and (b) Side View.....	73

Figure 5.4 Idealized Bucket: (a) Perspective View and (b) Side View.....	74
Figure 5.5 Proposed Failure Shapes of the Godwin’s model and the Perumpral’s model.....	75
Figure 5.6 3-Dimensional Geometric Boundaries of Idealized Separation Failure Wedge....	77
Figure 5.7 Separation Resistance Force Breakdown.....	78
Figure 5.8 Separation Resistance Force Breakdown in 2-D.....	80
Figure 5.9 Soil Failure Wedge Dimensions for Calculation of Force Components.....	82
Figure 5.10 Tool-soil vs. soil-soil interfaces on the side-wedge rupture surface.....	84
Figure 5.11 Dimensions for Wedge Centroid Calculation.....	85
Figure 5.12 Breakdown of Total Penetration Resisting Force (R_p).....	88
Figure 5.13 Conversion from a Cavity Pressure (p) to a Tooth Penetration Pressure (q_t)....	91
Figure 5.14 Various Matches for Cone Tip and Cavity.....	92
Figure 5.15 Bucket Tooth Penetration Process.....	94
Figure 5.16 Excavator Digging Modes.....	97
Figure 5.17 Small-Scale Excavation Experiment for Digging Mode II and IV.....	98
Figure 5.18 Digging Mode I: Separation.....	99
Figure 5.19 Digging Mode II: Penetration.....	101
Figure 5.20 Digging Mode III: Separation + Penetration.....	103
Figure 5.21 Digging Mode IV: Penetration + Secondary Separation.....	104
Figure 5.22 Digging Mode V: Penetration + Separation + Secondary Separation.....	105
Figure 6.1.1 Data-and-Function-Based Calculation Flow in a VR Excavator Simulator.....	112
Figure 6.1.2 Data-and-Function-Based Calculation Flow in a VR Excavator Simulator.....	113
Figure 6.1.3 Data-and-Function-Based Calculation Flow in a VR Excavator Simulator.....	114
Figure 6.1.4 Data-and-Function-Based Calculation Flow in a VR Excavator Simulator.....	115
Figure 6.2 Digging Mode Selection Algorithm.....	122
Figure 6.3 Circular Trajectory by a Single-Joint-Based Rotation (ex. by Stick Joint).....	123
Figure 6.4 Example of Digging Mode Selection Algorithm Application.....	125
Figure 6.5 Selection Process Examples of Two Digging Action Segments.....	127
Figure 6.6 Example of Operationally Non-Applicable Digging Action Segment.....	128
Figure 6.7.1 All Digging Cases in Digging Selection Algorithm (Curling Actions).....	129
Figure 6.7.2 All Digging Cases in Digging Selection Algorithm (Curling Actions).....	130
Figure 6.7.3 All Digging Cases in Digging Selection Algorithm (Curling Actions).....	131

Figure 6.7.4 All Digging Cases in Digging Selection Algorithm (Curling Actions).....	132
Figure 6.7.5 All Digging Cases in Digging Selection Algorithm (Curling Actions).....	133
Figure 6.8 Stick-Boom-Joint-Activated Circular Trajectory (Example 1).....	135
Figure 6.9 Stick-Boom-Joint-Activated Circular Trajectory (Example-2).....	137
Figure 6.10 Bucket-Stick-Boom-Joint-Activated Circular Trajectory.....	140
Figure 6.11 Effect of Separation Blade Length in Contact with Soil (L).....	143
Figure 6.12 Example of R_s (Separation Resistance)- ρ (Soil Failure Angle) Diagram.....	145
Figure 6.13 Example of ρ (Soil Failure Angle)- β (Separation Blade Angle with respect to Terrain Surface Angle) Diagrams for Different Angles of α (Angle between an Inclined Terrain Surface and a Horizontal Plane).....	146
Figure 6.14 Simple Excavation Process by Penetration and Separation.....	149
Figure 6.15 Typical Triaxial Sol Test.....	150
Figure 7.1 Proposed Procedure for Soil Resistance Calculation in an Excavator Digging Process	156