REFERENCES


Fórum das ONGs e Movimentos Sociais de Rondônia e Friends of the Earth 1995. Pedido de Investigação sobre o Plano


Martins, J.S. 1990. The Political Impasses of Rural Social Movements in Amazonia. In The Future of the Amazon:


APPENDIX A
LIST OF KEY INFORMANTS

MSOs

Indians

• Aguinaldo Arara, member of the Arara People. August 03, 1995.

• Antenor Karitiana, vice-coordinator of CUNPIR. July 11, 1995 and March 05, 1996.


• Orlando Karitiana, president of Karitiana Association. July 12, 1995

Small Farmers

• Joaquim Pereira de Carvalho, vice-president of FETAGRO, July 06, 1995.

• Valmir de Jesus, president of the “Associação dos Produtores Alternativos” (APA) and member of the Board of Directors of FETAGRO. July 26, 1995 and March 10, 1996.


• Vandeir Pereira de Sá Leite, vice-president of the “Sindicato dos Trabalhadores Rurais de Ouro Preto do Oeste (STR), the Rural Workers Labor Union of Ouro Preto do Oeste. July 30, 1995 and March 11, 1996.
Rubber Tappers

- Adonias Macurape, treasurer of the “Associação dos Seringueiros de Guajará-Mirim (ASGM), the Association of Rubber Tappers of Guajará-Mirim, August 05, 1995.

- Chico Preto, president of the “Associação dos Seringueiros de Machadinho do Oeste” (ASM), the Association of Rubber Tappers of Machadinho do Oeste. July 18, 1995

- José Maria dos Santos, president of OSR, July 16, 1995 and March 21, 1996.

- Francisco Leonel, general secretary of the “Conselho Nacional dos Seringueiros” (CNS), the National Council of Rubber Tappers, August 05, 1995.


GSOs

CIMI
- Iremar Antonio Ferreira. Member of the State Coordination of CIMI. August 01, 1995 and March 06, 1996.

CPT

ECOPORÉ
- Edvan Pinto Rios. Member of the directing board of ECOPORÉ. July 05, 1995 and March 14, 1996.

INDIA

IPHAE
• Mara Geneci C. Carneiro. Acting Executive Director of IPHAE. March 06, 1996.

KANINDÉ
• Ivaneide Lopes Bandeira. General Secretary of KANINDÉ. July 10, 1995 and March 14, 1996.

PACA
• Carlos Leonardo P. Da Silva. Member of the Board of Directors of PACA. August 05, 1995.

FORUM

State Officials

• Emmanuel Fulton Casara. Former Vice-Secretary of SEDAM, and member of team that designed PLANAFLORO. July 04, 1995.


• Maria Augusta Pacheco. Executive Secretary of SEPLANAFLORO. August 18, 1995.


World Bank Officials
Graciela Lituma. Program Desk Officer at the World Bank Headquarters in Washington DC.
APPENDIX B

BASIC STRUCTURE OF QUESTIONS FOR THE FIRST SET OF INTERVIEWS

1. What is the role of NGOs in the present political scene in Rondônia (including PLANAFLORO)?

2. What are the broader goals that justify the participation of NGOs in the political process?

3. What are your parameters to define representativeness and legitimacy?

4. When was your NGO created?

5. How is your NGO structured?

6. How do you communicate with the members?

7. To what other entities is your NGO linked to?

8. How does your NGO relate with other organizations?

9. What are the methods of action adopted by your NGO?

10. What are your sources of income?

The PLANAFLORO

11. How did you see the inclusion of NGOs in the PLANAFLORO, and why did you decide to participate?

12. In which organisms of PLANAFLORO is your NGO participating?

13. How do you evaluate your participation within PLANAFLORO?

14. What have been the problems in the participation within PLANAFLORO?

15. Did you participate in any POA (did you receive any funds from PLANAFLORO)?

16. How do evaluate the participation of the State and the World Bank in the process.
17. What are the perspectives of fulfilling the goals of PLANAFLORO?

18. How do you evaluate the process of decentralization?

**BASIC STRUCTURE OF QUESTIONS FOR THE FOLLOW-UP INTERVIEWS**

1. Did your NGO receive funds to execute any project of PLANAFLORO since August/1995?

2. Do you have any member providing services for UNDP/PLANAFLORO?

3. Did you have any change in your structure and methods of action since August/1995 as a result of your participation in the PLANAFLORO process?

4. How has been the work of the CD and CNPs since August/95?

5. Is your NGO receiving funds from any international NGO?

6. What are the results of the program of decentralization in terms of the internal democracy and practical implementation of PLANAFLORO?

7. What is your evaluation of the participation of the State agencies in the fulfillment of the goals of PLANAFLORO?

8. What is your evaluation of the participation of the World Bank in the fulfillment of the goals of PLANAFLORO? What about the instruments used by the Bank to follow the state of implementation of the program (Aide Memoires and Missions)?

9. How did you evaluate the Bank’s refusal to carry an investigation of PLANAFLORO?

10. How do you evaluate the repercussion of PLANAFLORO among its beneficiaries, especially among the groups you work with?

11. What are the practical benefits brought by PLANAFLORO for the groups you work with?

12. What is your evaluation of PLANAFLORO?
13. What is your evaluation of the participation of the NGOs in the PLANAFLORO?

14. What have been the most important lessons of the participation of NGOs in the process of PLANAFLORO?

15. What are the possibilities of having practical things being implemented until July/96?

16. Who has the actual decision-making power in PLANAFLORO?
Appendix C

BASIC STRUCTURE THE INTERVIEWS WITH STATE OFFICIALS

1. What are the attributions of NGOs within the CNPs and DC?

2. How do you evaluate the participation of NGOs in PLANAFLORO’s governing organs?

3. How do you evaluate the relationship between State and NGOs representatives within the decision-making bodies of PLANAFLORO?

4. What is the periodicity of CNPs and CD meetings?

5. Did you have problems with quorum in the meetings held by PLANAFLORO’s governing organs?

6. How did you initially see the inclusion of NGOs in the process?

7. How do you see the issue of NGO representativeness?

8. Did the issue of NGO representativeness affect the relationship between State officials and NGO leaders?

9. What were the impacts of NGO participation in PLANAFLORO?

10. What do you think of the strategies adopted by the NGOs to expose the troubles faced by PLANAFLORO?

11. How do you see the relationship of State agencies with the World Bank management?

12. What was the impact of the request of investigation in the Ban’s behavior?

13. How much of PLANAFLORO has been carried out?

15. What have been the major barriers in the implementation of PLANAFLORO?

16. What are the major lessons arising from the process of NGO participation in PLANAFLORO?
APPENDIX D
Survey of Transnational NGOs

Name of Organization: ____________________________________________
Name of Respondent: ____________________________________________
Position title of Respondent within the Organization: ____________________________

1. Is your organization presently involved in any project in the Brazilian state of Rondônia?
   1. [ ] Yes  2. [ ] No

2. If you answered yes to question number 1, how would characterize the nature of your organization work with local NGOs in Rondônia?
   1. [ ] providing funds for grassroots development only
   2. [ ] providing funds for environmental conservation only
   3. [ ] providing funds and technical assistance in the implementation of projects
   4. [ ] providing funds and policy/programmatic advice related to PLANAFLORO
   5. [ ] other ___________________________(please describe)
      (You may check more than one)

3. If you are providing funds, what local NGOs are collaborating with your organization in Rondônia?

   NGO      Location  (Municipality)
   ____________________________ ____________________________
   ____________________________ ____________________________
   ____________________________ ____________________________
   ____________________________ ____________________________
   ____________________________ ____________________________
4. How often your organization communicates with your NGO partners in Rondônia by the following mean:

<table>
<thead>
<tr>
<th>Mean</th>
<th>Daily</th>
<th>Weekly</th>
<th>Monthly</th>
<th>1-11 times/year</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mail</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Telephone</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>E-mail</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Direct Staff</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Visits</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

6. Are major international NGOs involved in shaping policies related to PLANAFLORO?

1. [ ] Yes         2. [ ] No

7. If you answered Yes to question number 6, how?

_________________________________________________________________________
_________________________________________________________________________

8. If you answered No to question 6, should international NGOs be involved in shaping policies related to PLANAFLORO?

1. [ ] Yes         2. [ ] No

9. In your opinion, has the model of NGOs inclusion conduced to greater popular participation of civil society in the implementation of PLANAFLORO?

1. [ ] Yes         2. [ ] No

10. In your opinion, has the model of NGOs inclusion in PLANAFLORO conduced to greater effectiveness of environmental conservation measure in Rondônia?

1. [ ] Yes         2. [ ] No

11. What are the major lessons arising from the process of participation of local NGOs in the decision-making process of PLANAFLORO?

_________________________________________________________________________
_________________________________________________________________________

_________________________________________________________________________
APPENDIX E
SURVEY OF SMALL FARMERS

DATA OF INTERVIEW:_________________________
NAME OF INTERVIEWEE:_________________________
LINE_________ LOT_________________ GLEBE_________________

1. In the lot above, you are:
   ( ) the owner
   ( ) owner’s relative
   ( ) owner’s friend
   ( ) sharecropper
   ( ) tenant
   ( ) other (specify)_________________________

2. Are you linked to any organization?
   1. ( ) Yes                2. ( ) No

3. If you answered yes to the previous questions, to what organizations are you linked?
   ___________________________________________________________

4. Do you what PLANAFLORO means?
   1. ( ) Yes                2. ( ) No

5. In your opinion, what are the objectives of PLANAFLORO?
   ( ) Protect the Environment
   ( ) Protect Indian peoples and their reserves
   ( ) Help Agricultural Production in Small Farms
   ( ) Improve the Infrastructure of Rural Areas
   ( ) Help the Rubber Tappers
   ( ) Other (Specify)

6. In your opinion, from where come the funds for PLANAFLORO?
   ( ) Federal Government
   ( ) State Government
   ( ) From Abroad
   ( ) All the Three Above
   ( ) You don’t know the origin of the funds
   ( ) Other (Specify)_________________________
7. Do you know that there are representatives of small farmers in PLANAFLORO’s governing organs?
1. ( ) Yes 2. ( ) No

8. If you answered yes to the previous question, who do you think represents the small farmers in PLANAFLORO?

__________________________________________________

9. Did you participate in any meeting aimed at clarifying the objectives of PLANAFLORO?
1. ( ) Yes 2. ( ) No

10. If you answered yes to the previous question, who organized the meeting?
( ) EMATER
( ) EMBRAPA
( ) CEPLAC
( ) CITY HALL
( ) THE RURAL LABOR UNION
( ) THE CHURCH
( ) OTHER (Specify)______________________________

11. From which means of information did you learn about the contents of PLANAFLORO the most?
( ) NEWSPAPER
( ) RADIO PROGRAM
( ) TV PROGRAM
( ) BROCHURE
( ) PAMPHLET
( ) OTHER (Specify)______________________________

12. If you received any material informing about PLANAFLORO, who produced it?
( . ) EMATER
( ) EMBRAPA
( ) CEPLAC
( ) CITY HALL
( ) THE RURAL LABOR UNION
( ) THE CHURCH
( ) OTHER (Specify)______________________________
13. How do you regard yourself in terms of knowledge about PLANAFLORO’s contents?

(  ) VERY WELL INFORMED
(  ) WELL INFORMED
(  ) REGULARLY INFORMED
(  ) POORLY INFORMED
(  ) UNINFORMED
(  ) OTHER (Specify)_____________________________

14. Did your region receive any benefit from PLANAFLORO?

1. (  ) Yes 2. (  ) No

15. If you answered yes to the previous question, what kind of benefit did you region receive?

(  ) ROAD PAVING
(  ) RESTORATION OF UNPAVED ROAD
(  ) SCHOOL CONSTRUCTION
(  ) HEALTH POST CONSTRUCTION
(  ) OTHER (Specify)_____________________________

16. Did you receive any direct benefit from PLANAFLORO?

1. (  ) Yes 2. (  ) No

17. If you answered yes to the previous questions, what kind of benefit did you receive?

________________________________________________________________________

________________________________________________________________________

18. What kind of requests should the representative of small farmers present in PLANAFLORO’s governing organs?

________________________________________________________________________

________________________________________________________________________

19. After the beginning of PLANAFLORO, you believe that your life has:

(  ) IMPROVED
(  ) STAYED THE SAME
(  ) GOT WORSE
(  ) OTHER (Specify)__________________________________________

++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++
THE NEXT QUESTION ONLY APPLY TO INTERVIEWEES THAT ARE AFFILIATED TO THE RURAL LABOR UNION ++++++++++++++++++++++++++++++++++++++++++++++++++++++++

20. Since when are you a member of the STR?

_______________________________________________________

21. Are you correctly paying your membership fees?

1. ( ) Yes  2. ( ) No

22. What is the periodicity of visits of members of the STR of directors to your farm?

_______________________________________________________

23. How often do you visit the headquarters of the STR?

_______________________________________________________

24. Are you member of any of the STR’s governing organs?

1. ( ) Yes  2. ( ) No

25. If you answered yes to the previous questions, in what kind of organ do you participate?

_______________________________________________________

26. Did a member of the STR’s board of directors visit your farm to talk about PLANAFLORO?

1. ( ) Yes  2. ( ) No

27. If you answered yes to the previous questions, how many times did it happen?

_______________________________________________________
28. When was the last time that you have participated in a meeting held by the STR, and what were the topics discussed in that meeting?


29. Did you participate in any meeting organized by the STR to explain the contents of PLANAFLORO?

1. (  ) Yes 2. (  ) No

30. If you answered yes to the previous question, when did this meeting happen?


31. Does your line have any type of STR’s organ?


32. If you answered yes to the previous question, what kind of organ is it?


33. Did you receive any funding from PLANAFLORO through the STR?

1. (  ) Yes 2. (  ) No

34. If you answered yes to the previous question, how much did receive?


35. When you have a proposition for the STR, how do you present it to the organization?
## APPENDIX F

### EXAMPLE OF TRANSITIONAL TABLE

<table>
<thead>
<tr>
<th>NGO</th>
<th>Participation</th>
<th>Role 1</th>
<th>Role 2</th>
<th>Role 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>CIMI</td>
<td>Important to provide responses to issues and groups that have been previously excluded</td>
<td>Present their positions regarding the guarantee the political autonomy for Indian communities</td>
<td>Avoid repetition of problems occurred during POLONOROESTE</td>
<td>Guarantee implementation of components that benefit the named beneficiaries</td>
</tr>
<tr>
<td>CPT</td>
<td>Represents a positive step in relation to POLONOROESTE, because of the increased access to information</td>
<td>Participate in the decision-making process of the program</td>
<td>Avoid the establishment of corruption within PLANAFLORO</td>
<td>Monitor the execution of PLANAFLORO</td>
</tr>
<tr>
<td>ECOPORÉ</td>
<td></td>
<td>Influence the decision-making process in PLANAFLORO</td>
<td>Avoid repetition of problems occurred during POLONOROESTE</td>
<td>Provide technical expertise</td>
</tr>
<tr>
<td>INDIA</td>
<td>“great victory” but NGOs were not prepared for the task</td>
<td>NGOs as barriers against corruption</td>
<td>Provide technical expertise</td>
<td>Monitor the execution of PLANAFLORO</td>
</tr>
<tr>
<td>PACA</td>
<td>Positive for both State and NGOs</td>
<td>To hold the State accountable</td>
<td>Participate as executioners of specific components of the program</td>
<td></td>
</tr>
<tr>
<td>Forúm</td>
<td>a victory of the NGO movement</td>
<td>increase the participation of civil society in the policy-making and implementation processes</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CUNPIR</td>
<td>“victory” of the NGO movement</td>
<td>Present requests to attend the needs of Indian communities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>FETAGRO</td>
<td>dictate by the need of increasing democratization and precluding the levels of corruption faced during POLONOROESTE</td>
<td>Increase the internal democracy of PLANAFLORO</td>
<td>Oversee the utilization of funds and decrease the level of corruption</td>
<td></td>
</tr>
<tr>
<td>OSR</td>
<td>a qualitative difference in relation to POLONOROESTE because of the mobilization of NGOs to participate in the process</td>
<td>NGOs are acting as auditors and denouncers of wrongdoing occurring in the process</td>
<td>Improve the quality of the implementation of PLANAFLORO</td>
<td></td>
</tr>
</tbody>
</table>
VITA

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M.S. Geography, Federal University of Rio de Janeiro, 1990.
PhD Environmental Design and Planning, Virginia Polytechnic Institute and State University, 1997.

Grants
Master's Program Grant Support by the Brazilian Council of Research and Technology (CNPQ), 1987/1989.
Doctoral Grant Support by the Brazilian Council of Research and Technology, 1992/1996.

Abstracts


Articles


Technical Reports:

Consultant Jobs