

# Effective Features of Algorithm Visualizations

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# Effective Features of Algorithm Visualizations

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(Abstract)

Current research suggests that by actively involving students, you can increase pedagogical value of algorithm visualizations. We believe that a pedagogically successful visualization, besides actively engaging participants, also requires certain other key features. We compared several existing algorithm visualizations for the purpose of identifying features that we believe increase the pedagogical value of an algorithm visualization. To identify the most important features from this list, we conducted two experiments using a variety of the heapsort algorithm visualizations.

The results of these experiments indicate that the single most important feature is the ability to control the pace of the visualization. Providing a good data set that covers all the special cases is important to help students comprehend an unfamiliar algorithm. An algorithm visualization having minimum features that focuses on the logical steps of an algorithm is sufficient for procedural understanding of the algorithm. To have better conceptual understanding, additional features (like an activity guide that makes students cover the algorithm in detail and analyze what they are doing, and pseudocode display of an algorithm) may prove to be helpful, but that is a much harder effect to detect.

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# Chapter 1

## Introduction

Computer algorithms and data structures are essential topics in the undergraduate computer science curriculum. The undergraduate data structures course is typically considered to be one of the toughest courses by students. Thus, many researchers are trying to find methods to make this material easier to understand by the students.

Amongst the most popular methods currently being investigated are algorithm visualizations and animations (hereafter referred to as algorithm visualizations). It is true that students can learn algorithms without using an algorithm visualization. But based on the age-old adage “a picture speaks more than thousand words,” many researchers and educationists assume that students would learn an algorithm faster and more thoroughly using an algorithm visualization [Stasko *et al.*, 2001]. There are other benefits that are assumed by those creating these systems [Bergin *et al.*, 1996].

- A visualization can hope to convey dynamic concepts of an algorithm.
- Studying graphically is assumed to be easier and more fun for many students than reading “dry” textbooks because an important characteristic of the algorithm visualizations is that they are (seemingly) more like a video game or an animated movie. By making an algorithm visualization more similar to these popular forms of entertainment, instructors can use it to grab students’ attention.
- Algorithm visualizations provide an alternative presentation mode for those students who understand things more easily when presented to them visually or graphically as compared to textually. In theory, these students would benefit a lot if visual systems are used to explain algorithms to them. Of course, the concept of using algorithm visualizations is not new because most instructors have always used graphics (slides

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and pictures) to teach their courses. Algorithm visualizations could be viewed as more sophisticated pictures, slides, and movies.

- Visualizations can help instructors to cover more material related to a specific algorithm in less time. Instructors can demonstrate the behavior of an algorithm on both small and large data sets of elements using large screen projection devices in class. This provides students with a broader perspective about the working and the mechanism of that algorithm, time complexity, and differences in its behavior in response to different data sets.
- Students can use algorithm visualizations to explore behavior of an algorithm on data sets that the students generate after the lecture is over in a more homework-like setting [Hundhausen *et al.*, 2002].

The effectiveness of an algorithm visualization is determined by measuring its pedagogical value [Stasko *et al.*, 1990 1992 1993 1997; Lawrence, 1993; Hundhausen, 2000 2002; Gurka and Wayne, 1996; Wilson and Aiken, 1996]. By pedagogical value of an algorithm visualization we generally mean how much students learned about that algorithm by using the visualization. A comparison medium that is often used to measure pedagogical value of a visualization is the course textbook or some textual explanation of the algorithm.

Measuring the effectiveness of a visualization often involves a group of students using the visualization, a group of students using textual material, and a group of students using both the visualization and the textual materials, to understand the algorithm for some amount of time [Stasko *et al.*, 1990 1992 1993 1997; Lawrence, 1993; Hundhausen, 2000 2002; Hansen *et al.*, 2000]. After the students use each of these learning materials a post-test is normally taken which examines the students knowledge about the algorithm. The students' performance on the post-test, that is, how many questions the students answered correctly, measures the effectiveness of each studying material.

Enormous amounts of time and effort been spent in developing visualizations on the assumption that they will be more effective than traditional studying materials. Numerous algorithm visualization artifacts have been created and many are being tested or have

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been tested by researchers to see if they really make it easy for students to comprehend the algorithms in a better and easier way [Stasko *et al.*, 1990 1992 1993 1997; Lawrence, 1993; Hundhausen, 2000 2002; Hansen *et al.*, 2000].

Research that has been carried out so far on these systems has provided mixed results at best (Section 2.2). A large number of studies that have been carried out to measure the pedagogical effectiveness of algorithm visualizations showed no significant learning difference between them and the normal class textbook [Stasko *et al.*, 1993 1996].

However, not all algorithm visualization studies have proved to be disappointing [Stasko *et al.*, Sept 96]. Andrea Lawrence's study [Stasko *et al.*, 1994; Lawrence, 1993] showed positive benefits of using an algorithm visualization for Kruskal's Minimum Spanning Tree algorithm in an after-class laboratory session when students were allowed to interact with animations by entering their own data sets as an input to the algorithm.

Hansen and Narayanan have built the Hypermedia Algorithm Visualization (HalVis) system. Students using the HalVis system greatly outperformed those students using only lectures or textbooks or using both textbook and another interactive algorithm animation [Hundhausen *et al.*, 2002, Hansen 1998]. However, the increase in performance could have been caused by a number of other factors and features that the system has other than the graphical visualizations. (The system has been described in Chapter 2).

Hundhausen [Hundhausen *et al.*, 2002] has listed a total of 24 experimental studies that tried to measure effectiveness of an algorithm visualization. The effectiveness is measured by performance of students on a post-test that the students take after completing their study session. Eleven of these experiments yielded significant results, i.e., a group of students using some algorithm visualization technology significantly outperformed another group of students who were using either some other algorithm visualization or no algorithm visualization (textual materials) on a post test that measured their learning. Ten of these experiments did not have any significant result, i.e., the group of students using some algorithm visualization technology did not perform any better on a post test as compared to the students who did not use any algorithm visualization or used some other algorithm visualization.

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Thus, only a few of the algorithm visualizations [Hundhausen *et al.*, 2002] created so far have yielded positive results about their pedagogical effectiveness when they are used alone without any help from a textbook or a lecturer. A large number of the experiments fail to show any significant difference in enhancing the learning ability of the students by using visualizations as compared to the textbook.

Current research shows that by actively involving students [Hundhausen *et al.*, 2002] as they are watching an algorithm visualization and making the students mentally analyze what they are doing, you can increase the pedagogical value of an algorithm visualization. We believe that besides mentally involving students with a visualization, you also need to be careful about certain other features which, if included while creating an algorithm visualization, would result in a significant increase in the pedagogical value of the algorithm visualization. The aim of our study is to identify these key features. To create the initial list of these features we looked at several algorithm visualizations (Chapter 2 & 3). To test whether these features could indeed increase pedagogical value of an algorithm visualization and also to know the more important features from this list that could result in a significant increase in a pedagogical value of an algorithm, we conducted two experiments.

The results of these experiments indicate that the single most important feature is the ability to control the pace of the visualization. Providing a good data set that covers all the special cases in an algorithm can be helpful to students to understand an unfamiliar algorithm. An algorithm visualization having minimum features, and that focuses on the logical steps of an algorithm is sufficient for providing procedural understanding of the algorithm. However, to have better conceptual understanding, additional features (like an activity guide, see Appendix A.2, or pseudocode of an algorithm) would prove to be helpful.

# Chapter 2

## Literature Review

In this chapter, we describe several algorithm visualizations. The description is followed by a brief summary of current research on the effectiveness of algorithm visualizations.

Typically while creating an algorithm visualization an author believes that if the system has certain capabilities, then the system would be more helpful to students. We reviewed these systems to know what capabilities do most algorithm visualizations generally provide. We also wanted to know what features does the current research suggest as having pedagogical value.

### 2.1 Algorithm Visualizations

#### 2.1.1 Brown University Algorithm Simulator and Animator (BALSA)

BALSA [Brown *et al.*, 1985] was one of the first algorithm visualization created to help students understand computer algorithms. The system has served as a prototype for many algorithm animations that were developed later [Wiggins, 1998]. It was developed in the early 1980s at Brown University to serve several purposes. Students would use it to watch execution of algorithms and thereby get better insight into their workings. Students need not write code but invoke code written by someone else. Lecturers would use it to prepare materials for the students. Algorithm designers would use the facilities provided by the system to get a dynamic graphical display of their programs in execution for thorough understanding of the algorithms. Animators using the low-level facilities provided by BALSA would design and implement programs that would be displayed when executed. The system was written in C and animated PASCAL programs.

BALSA provided several facilities to the users. Users could control display properties of the system and thereby were able to create, delete, resize, move, zoom, and pan the algorithm view. The users were provided with different views of the algorithm at the same time. The system allowed several algorithms to be executed and displayed simultaneously. The users were also able to interact with the algorithm animations. For example they could start, stop, slow down, and run the algorithm backwards. After the algorithm had run once, the entire history of the algorithm was saved so that students could refer to it and rerun it again. Users could save their window configurations and use it to restore the view of the algorithm later. The original version of the system presented the animations in black and white.

### 2.1.2 Tango and XTango

The Xtango algorithm animation system was developed under the guidance of Dr. John Stasko at Georgia Tech as a successor to the Tango algorithm animation system. XTango “supports development of color, real-time, 2 & 1/2 dimensional, smooth animations of algorithms and programs” [Wiggins, 1998]. The system uses a path-transition paradigm to achieve smooth animations [Stasko, 1992].

XTango is implemented on the X11 window system. It is distributed with sample animation programs (e.g., matrix multiplication, Fast Fourier Transform, Bubble Sort, Binomial Heaps, AVL Trees) [Wilson *et al.*, 1996]. The system has been designed for ease of use. It is meant to be helpful to those who are not experts in computer graphics in implementing animations [Wiggins, 1998].

The package can be used in two ways: Users can embed data structures and library calls for the animation package in a program written in C or any other programming language that can produce a trace file. The program with the embedded calls is then compiled with both the Xtango and X11 window libraries. The other way to use the animation package is to write a text file of commands that is read by the system’s animation interpreter which is also distributed together with the Xtango package. The text

file can be constructed either with a text editor or as a result of the print statements in a user's simulation program.

### **2.1.3 Generalized Algorithm Illustration through Graphical Software (GAIGS)**

GAIGS is an Algorithm Visualization that was developed at Lawrence University from 1988-1990. The system does not truly animate the algorithm but generates snapshots, while the algorithm is executing, of data structures at "interesting events" [Naps *et al.*, 1994]. Users can then view these snapshots at his/her own pace.

GAIGS presents different simultaneous views of the same data structure. It also allows users to rewind to a previous state and replay a sequence of snapshots of an algorithm that were presented earlier. However, it provides the users with a static display of program code. Users cannot see what effect a particular line of code has on the program execution [Wiggins, 1998].

GAIGS provides conceptual understanding of algorithms through experimentation without programming them. Computer science faculty of over sixty institutions have used the earlier version of this system in core courses like Introduction to Computer Science, Principles of Software Design, Systems analysis and Design, and Data Structures and Algorithm analysis course.

### **2.1.4 Dynamic Laboratory (DynaLab)**

DynaLab was developed in the early 1990s at Montana State University. The system was created "to open to the students the broader meaning of algorithms, the world of interesting problems, the problems that are solved by the algorithms, the problems that are unsolvable, problems that are intractable and what would be the efficient solutions to the tractable problems from a given set of solutions" and supports "interactive, highly visual, and motivating lecture demonstrations and laboratory experiments in computer science" [Boroni *et al.*, 1996].

The main constituents of Version 2 of DynaLab were: a virtual computer or an education machine, an emulator for the education machine, a Pascal compiler, and a C

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and Ada subset compiler for the education machine. It had X- windows and MS-windows program animators and a comprehensive library of program animations. In 1996 only the Pascal version of the system was functional.

To animate a chosen program, a student or an instructor using DynaLab's Windows interface can retrieve the program from the library and display it in the animator. The animation sequence consists of highlighting the portion of the program being executed, displaying the variable values that are changed and showing inputs to the program. The animation also maintains and shows the total cost of executing the program. To understand a puzzling or a complex sequence of events in an algorithm, users can reverse the animation by an arbitrary number of steps and restart it in the normal forward direction.

DynaLab was developed for use in a laboratory setting environment where students can perform different experiments with a given algorithm (e.g., to find the time complexity of an algorithm). Such an experimental setting can be easily accomplished because each student can have a copy of DynaLab and the specific algorithm to work with. No extra time is required to set up these laboratory experiments and hence the entire time can be focused on performing them.

### **2.1.5 SWAN**

Swan [Shaffer *et al.*, 1996] was created at Virginia Tech, and can be used to visualize data structures implemented in a C/C++ program. All data structures in a program are viewed as graphs. A graph can be either directed or undirected and can be restricted to special cases such as trees, lists and arrays.

The system has three main components: The Swan Annotation Interface Library (SAIL), the Swan Kernel, and the Swan Viewer Interface (SVI). SAIL consists of library functions that allow users to create different views of a program. SVI allows the users to explore the Swan annotated program. The Swan Kernel is the main module of the system.

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A user makes visualizations by “annotating” a program through calls to SAIL. The annotated program is then compiled, thus creating a visualization of the selected data structures. The tool can be used both by students and instructors. Instructors can use it to create instructional visualizations. Students can use it to animate their own programs and understand how and they do or do not work.

As the system has been built on the GeoSim Interface Library, a user interface library developed at Virginia Tech, it can be easily ported to X Windows, MS Windows and Macintosh computers.

### **2.1.6 Java And Web-based Algorithm Animation (JAWAA)**

JAWAA [Pierson and Rodger, 1998] uses a simple command language to create animations of data structures and displays them with a web browser. The system has been developed in JAVA and hence can be run on any machine.

Animations to be performed are written in a simple script language. The script file can be written easily in any text editor or can be generated as an output from a program. The scripts have one command or graphic task per line. The JAWAA applet retrieves the command file and runs it. The program interprets commands line by line and executes the graphic tasks of each instruction.

JAWAA provides commands that allow users to create and move both primitive objects like circles, lines, text, rectangles, etc., and data structure objects like arrays, stacks, queues, lists, trees, graphs etc.

The system interface consists of an animation canvas and a panel that provides users with controls like start, stop, pause and step through the animation. To control the animation speed a scrollbar has also been provided.

### **2.1.7 Flexible Learning with an Artificial Intelligence Repository (FLAIR)**

FLAIR [Ingargiola *et al.*, 1994] is a repository of algorithms and instructional materials for use in undergraduate Artificial Intelligence courses. Students can learn from these materials, which are laboratory-based learning environments, by experimenting

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with them. For example, students can use the Search Module to animate the standard search algorithms on a map of cities. Students can select from a collection of algorithms a particular algorithm to animate, various heuristics to run the algorithm, and the start and end cities. He/She can also adjust the speed of animation, create new city maps to run the algorithm on, step through the animation or pause it. Along with the animation of a search algorithm the students can also run a detailed animation of the underlying data structures. To compare the performance of different search algorithms, students can have multiple windows open at the same time, each animating a different algorithm working on the same problem concurrently.

Instructors can use these materials to guide students to work on different algorithms, analyze how different algorithms perform in different conditions, and under what circumstances a given algorithm works better than other algorithms solving the same problem.

The system runs on SUN SPARC workstations. It is written in Common Lisp (CL) with the Common List Object System. The graphical user interface for the system has been developed using the Garnet System (Garnet was developed at Carnegie Mellon University using X-Windows and has a Lisp-based GUI). The system can be made portable to any hardware/software environment that supports a CL that runs on Garnet.

### **2.1.8 Parallel program-focused Object-oriented Low Key Animation (POLKA)**

The POLKA algorithm animation system [Stasko *et al.*, 1993] was created at Georgia Tech, under the guidance of Dr. John Stasko. The system not only allows students to watch algorithm animations that were created previously but also lets them build their own animations.

There are two versions of POLKA: a 2D version that is built on top of the X window System and a 3D version of the system for Silicon Graphics GL.

Both versions of the system have two critical features. They have primitives that provide “true” [Stasko *et al.*, June 1993] animations, a capability not found in other systems. These primitives show smooth, continuous movements and actions on the

objects and not just blinking objects or color changes. These more sophisticated graphical capabilities are supposed to preserve context and promote comprehension. Primitives that show overlapping animation actions on multiple objects make Polka particularly useful for reflecting properly the concurrent operations occurring in a parallel program.

The 3D version provides many default parameters and simplifications so that the designers need not worry about graphics details. Programmers need not know 3D graphics techniques like shading, ray-tracing etc., in order to create a 3D visualization.

To write an animation in Polka, you need to create a C++ program and inherit the base classes that are provided with the system. The authors claim that the system is easy to use and have reported that students not very well versed in C++ had success in using it.

### **2.1.9 Samba**

Samba is an application program of the POLKA algorithm animation system [Stasko *et al.*, June 1993] described above. It was developed for students so that they could write algorithm animations as a part of their class assignments.

Samba is meant to be easy to use and learn so that students can create their own animations. Students when writing the animations would be intimately tied to the algorithm and its operations. Thus, as they are constructing their algorithm animations, students should uncover the fundamental attributes and characteristics of the algorithm.

Samba is an animation interpreter and generator that can run in batch mode. It takes as an input a sequence of ASCII commands, one command per line. There are different types of commands provided by the system. One set of commands generates graphical objects for the animations. The second set of commands modifies already generated graphical objects. There are other types of commands that can be used to build rich and complex animations. For example there are commands which can be used to have multiple views (windows) of the algorithm. A group of commands can be batched so that they can execute concurrently.

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Samba runs on the X-Window System and Motif. The system was being made portable to Windows and Java in 1997 [Stasko, 1997].

### **2.1.10 Mocha**

Mocha [Baker *et al.*, 1996] was developed to provide algorithm animation over the World Wide Web. It has a distributed model with a client-server architecture that optimally partitions the software components of a typical algorithm animation system.

Two important features of Mocha are that users with limited computing power can access animations of computationally complex algorithms, and it provides code protection in that end users do not have access to the algorithm encoding.

An animation is viewed as an event-driven system of communicating processes. The algorithm has annotations of interesting events called algorithm operations. There is an animation component that provides a multimedia visualization of the algorithm operations. The animation component is further subdivided into a GUI, which handles the interaction with the user, and an animator, which maps algorithm operations and user requests into dynamic multimedia scenes. Users interact with the system through an interface written in HTML, which is transferred to the user's machine together with the code for the interface.

The security of the animation code is obtained by exporting only the interface code to a user machine. The algorithm is executed on a server that runs on the provider's machine. Multithreading in the implementation of the GUI and animator is used to provide more responsive feedback to the users. Also, an object-oriented container/component software architecture has been used to guarantee expandability of the system. Mediators are used to isolate the commonality between the interactions of the clients and servers, providing with a high degree of inter-operability.

### **2.1.11 Hpermedia Algorithm Visualization system (HalVis)**

The HalVis was developed at Auburn University in the late 1990s. The system was developed on the assumption that, to make an algorithm visualization pedagogically

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effective, besides gaining visual attention (which most algorithm visualizations do), you also need to gain cognitive attention and engage a student's mind while he/she is watching an algorithm visualization. The system presents algorithms in a multimedia environment.

HalVis consists of five modules. 'The Fundamentals' module contains information about basic operations (e.g. swapping data, looping operation, recursion) that are common to almost all algorithms. This module cannot be accessed directly. It is invoked on demand through hyperlinks from other modules. The module presents information in context to the algorithm that a student is working with.

'The Conceptual view' module explains a specific algorithm to students in general terms using analogies. For example, to explain the Mergesort algorithm an analogy of playing cards that divide and merge to create a sorted sequence is used. Animation, text, and interactivity are used to explain essential aspects of the algorithm to students.

'The Detailed View' module explains an algorithm in a very detailed way. Two representations are used for this. One representation consists of a textual explanation of the algorithm together with its pseudocode. The textual explanation consists of hyperlinks to the 'fundamentals' module. The second representation contains four windows: The Execution Animation window shows updates to the data as a result of an algorithm execution using smooth animations, the Execution Status Message window explains key events and actions of the algorithm using textual feedback and comments, the Pseudocode window shows the algorithm steps by highlighting them together with the animation, the Execution Variables window shows "a scoreboard like panorama of the variables involved in the algorithm" [Hansen *et al.*, 2002]. Initially, only a limited number of elements can be entered. This makes students focus on the micro level behavior of the algorithm.

'The Populated View' module takes larger data sets as an input to make macro-level behavior of the algorithm explicit to students. Animations embedded in this view are similar to those found in the earlier systems. The module also allows students to make

predictions about different parameters of algorithm performance when an animation is running.

‘The Questions’ module is a question-answer module. The questions are typically multiple choice, true or false, or focus on algorithm step reordering. Students are given immediate feedback on their answers.

### **2.1.12 Summary on the capabilities of algorithm visualizations**

All the above and many more algorithm visualizations have been created to make algorithms easier to students. Using graphics and pictures to represent an algorithm they try to make seemingly complex algorithms less intimidating.

Most of the systems discussed above have many capabilities in common. They have a basic input feature that allows students to enter data, or work on pre-selected data sets. They trace the working of an algorithm on a data set either as a “movie” or using some stepping mechanism. The visualization shows updates and changes that take place on the data set, as the algorithm runs. Some systems also provide linking to the pseudo-code so that students can see how each individual line of code affects the data. In some cases a history mechanism is provided, so that students can trace back and see how they reached the current step.

Systems like Samba, Jawa, and SWAN allow students to create their own visualizations, based on the reasoning that as the students create their visualizations they will be linked to and thereby uncover the workings of an algorithm.

The HalVis system provides a unique feature, not found in the other systems, that allows students to make predictions and asks them questions about algorithm steps and its mechanism while the students are working with it.

By providing the above capabilities the authors of these systems assume that their system enhances the learning of algorithms in students. The following section discusses the research that has examined the truth of this assumption.

## 2.2 Algorithm Visualization Effectiveness

Hundhausen in his work “A Meta Study of Algorithm Visualization System” [Hundhausen *et al.*, 2002] has analyzed in detail 24 experiments that considered the effectiveness of different algorithm visualizations. He categorized the design of these experiments into four theoretical groups: Epistemic Fidelity, Dual-Coding, Individual Differences, and Constructivism.

### Epistemic Fidelity

“The key assumption of epistemic theory is that graphics have an excellent ability to encode an expert’s mental model of an algorithm, leading to the robust, efficient transfer of that mental model to the viewer” [Hundhausen *et al.*, 2002]. The better the visualization matches the expert’s model, the more effective it is in the transfer of that model to its viewer.

Ten of the twenty-four studies can be categorized under this theory. These studies manipulated representational features of a visualization or the order in which the visualizations were presented. These studies hypothesized that certain representational features or certain orderings of the features will promote more robust and efficient transfer of knowledge. Only three of these ten experiments could show that there was a significant learning difference when a specific algorithm visualization was used as compared to when it was not used. The participants who viewed black and white animations performed significantly better than those viewing color animations (Lawrence Chapter 7, 1993). The participants who had access to all three HalVis views or the Detailed View of the HalVis system performed significantly better than those students who had access to the Conceptual and the Populated Views. The participants who had access to the conceptual view of the HalVis system significantly outperformed the participants who had access to the populated view of the HalVis system (Hansen *et al.*, 2000).

### **Dual-Coding**

Dual code theory is based on the assumption that “cognition consists largely of the activity of two partly interconnected but functionally independent and distinct symbolic systems. One encodes verbal events (words) and other encodes nonverbal events (pictures)”. Thus, according to this theory visualizations that encode knowledge in both verbal and non-verbal modes facilitate transfer of knowledge more efficiently and robustly than those that encode only one of these modes.

Two experiments (Lawrence Chapters 5 and 7, 1993) were based on this theory. Only one experiment (Lawrence Chapter 7, 1993) yielded significant results. Lawrence compared effectiveness of singly encoded visualizations that presented information using graphics only vs. doubly encoded visualizations that used both graphics and text to present information to the users. The participants who viewed animations that had algorithm steps labeled significantly outperformed participants who watched animations that did not have algorithm steps labeled.

### **Individual Differences**

Individual difference theory takes into account differences in human cognitive capabilities and learning styles. Some students will be able to learn more from a visualization than others. According to this theory, the differences in capabilities of each individual will lead to difference in measurable performance on experiments conducted on algorithm visualization effectiveness.

Two studies [Lawrence Chapter 5 1993, Crosby *et al.* 1995] that considered learning differences in participants have been performed. Only one [Crosby *et al.* 1995] experiment out of the two led to significant results. Participants who learned with multimedia significantly outperformed participants who learned through lecture.

### **Cognitive Constructivism**

Cognitive constructivism states that individuals will not benefit from an algorithm visualization by merely watching it. It is necessary to make participants actively engaged with the visualization in order to learn from it.

Fourteen experiments [Stasko *et al.* 1993, Lawrence 1993, Crosby *et al.* 1995, Stasko *et al.* 1999, Hansen *et al.* 2000, Kann *et al.* 1999] were based on this theory. Ten of the fourteen experiments showed that the participants who are actively engaged with the visualizations learned significantly more than those that who watched the visualizations passively.

According to Hundhausen [Hundhausen, 2002] participants can be actively involved with the visualization by making them

- construct their input data sets (Lawrence Chapter 6, 1993)
- do what-if analyses of an algorithm behavior (Lawrence Chapter 9, 1993)
- make predictions about the next algorithm steps (Price *et al.*, 1993)
- program the target algorithm (Kann *et al.*, 1997)
- answer questions about the algorithm being visualized (Hansen *et al.*, 2000)
- construct their own visualizations (Hundhausen *et al.*, 2000)

In summary, the current research suggests that students who passively watch an algorithm visualization, however well designed, do not have a learning advantage over students who use conventional learning materials. By actively involving students with a visualization, you can increase its pedagogical effectiveness. However, we believe that in addition to actively engage students while they are watching a visuallization there are other key features which you need to pay attention to while designing an algorithm visualization. These key features if taken into account while creating a visualization could result in a significant increase in its pedagogical value. We have listed in the next chapter features that we believe could be candidate key features. We also performed two experiments to know what features are really important from the ones we listed.

# Chapter 3

## Creating Effective Visualizations

### 3.1 Review of Visualizations

Current research (Chapter 2) on algorithm visualization effectiveness has identified that active involvement by students with the visualization is a necessary factor for the visualization's success [Hundhausen *et al.* 2000]. We believe that a successful visualization also requires certain other features apart from active involvement by students with the visualization. The goal of our work is to identify such key features of successful visualizations. This chapter describes the procedure we adopted to create an initial list of features.

To prepare the initial list of these features an expert study was conducted. We used several heapsort algorithm visualizations for the study. The main reason for selecting the heapsort algorithm was that a wide variety of heapsort algorithm visualizations were easily available on the Internet. Three members of the thesis committee (Dr. Shaffer, Dr. McCrickard, Dr. North) reviewed these visualizations. All the faculty members teach the undergraduate advanced data structures and algorithm course and have HCI background. They were asked to compare and contrast these visualizations, to comment about the different features of these visualizations, what features they thought would increase algorithm understanding and promote active learning in students and also what features would compromise the student learning.

The faculty members' comments were used to compile a list of features (presented later in this chapter) and suggestions that, we believe, should be taken into account while designing an algorithm visualization to increase its pedagogical value. The experiments described in later chapters indicate that many of these features do indeed affect learning. The following heapsort visualizations were used to help the expert panel develop the

initial feature list. They are quite different from one another in many aspects and provide a good range to identify the candidate features.

### Visualization 1

This visualization was created as a part of this thesis work. The key feature of this visualization is that it allows users to control the speed of algorithm execution by providing a “Next” button. The algorithm goes to the next step only when a user presses this “Next” button. It also allows users to revert to previous steps in the algorithm by pressing the “Back” button. The visualization allows users to enter any data set to run the algorithm. Different color combinations have been used to show comparison and exchange of tree nodes. Each logical step of the algorithm besides being depicted graphically is also described textually in the panel to the right of the visualization.

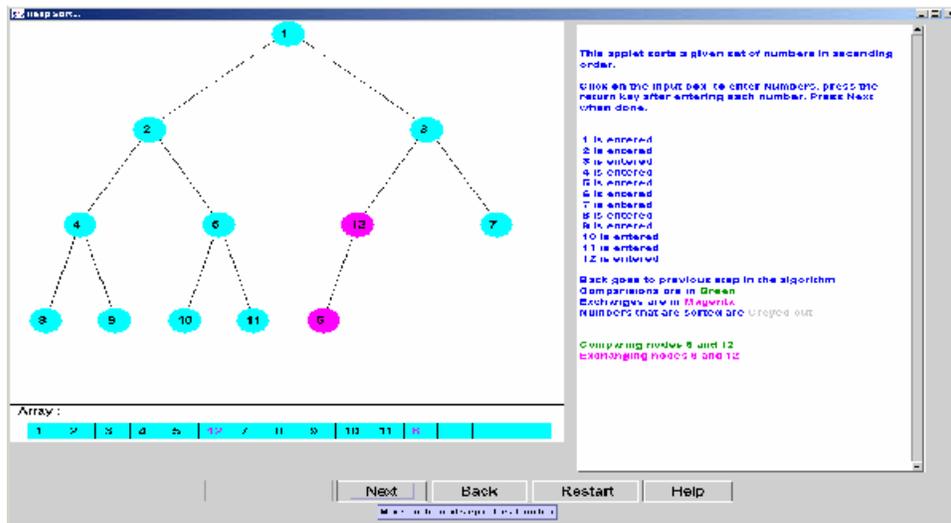


Figure 3.1: Heapsort Visualization 1.

### Visualization 2

This visualization is distributed with the POLKA system for windows. It can be obtained from Georgia Tech Website at <http://www.cc.gatech.edu/gvu/softviz/parviz/polka.html>. The visualization is made up of several windows and lacks efficient window management. When you start the visualization several windows overlap and it is possible to miss a window completely if it

### Chapter 3: Creating Effective Visualizations

is hidden by another window on top of it. The visualization does not provide users with absolute speed control. The “Next” and “Previous” buttons on the interface correspond to the animation steps instead of corresponding to the logical steps in the algorithm. The feedback messages are not very helpful to those who are unfamiliar with the heapsort algorithm.

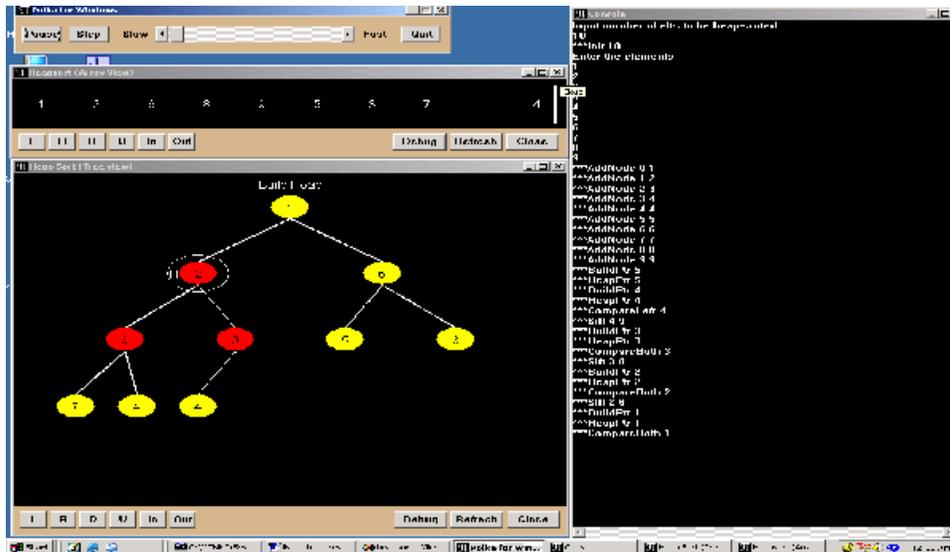


Figure 3.2: Heapsort Visualization 2.

### Visualization 3

This visualization was created under the guidance of Dr. Linda Stern, a faculty member at University of Melbourne. It can be obtained at <http://www.cs.mu.oz.au/~linda/>. Like the previous visualization, this uses many windows but provides efficient window management. When a user starts the applet, all the windows are properly placed and the user can see all the windows in the visualization. The visualization has a pseudocode display. Users can control the pseudocode display by suppressing or expanding the lines depending to their interest. The visualization generates a random data set and also allows users to enter their own data sets. Users can step through or animate the algorithm. The visualization provides both the background information about the heapsort algorithm and also explains each step of the algorithm. Thus, the visualization provides a variety of features.

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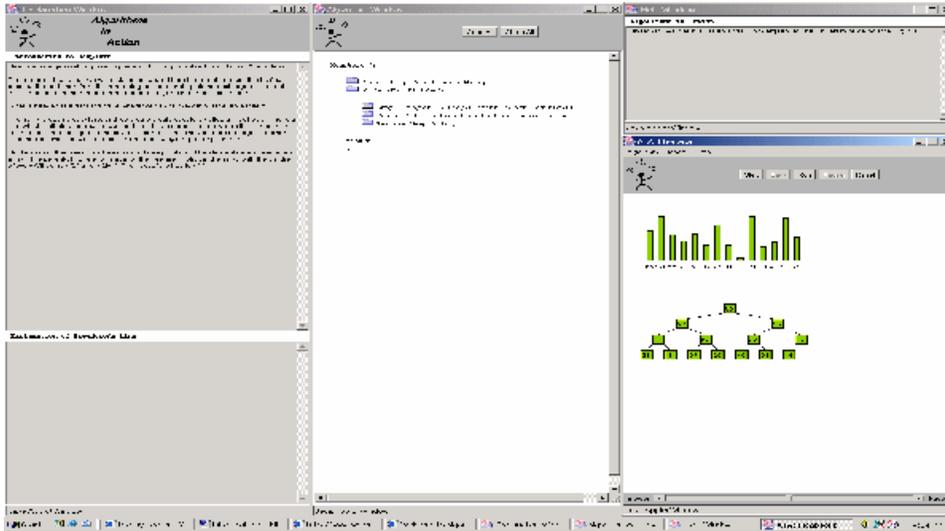


Figure 3.3: Heapsort Visualization 3.

### Visualization 4

This visualization was created at University of Western Australia at <http://ciips.ee.uwa.edu.au/~morris/Year2/PLDS210/>. Unlike the previous two visualizations it has just one window. It animates the heapsort algorithm. The visualization allows users to control the speed of algorithm animation by selecting one of the given time delays between two algorithm steps from the combo-box provided at the bottom of the pseudocode display. However, there is no “Next” button or some similar mechanism to allow users to have an absolute control over the speed of the algorithm execution. Feedback messages while the algorithm is executing are provided under the graphical visualization. Important steps in the algorithm are highlighted (for e.g., the figure below shows the message highlighting the swapping step). The visualization also has a pseudocode display. The line of the pseudocode that is currently being executed is highlighted. However, as the pseudocode is given in details it cannot be fitted in one screen and too much scrolling is required. The users may lose context through this.



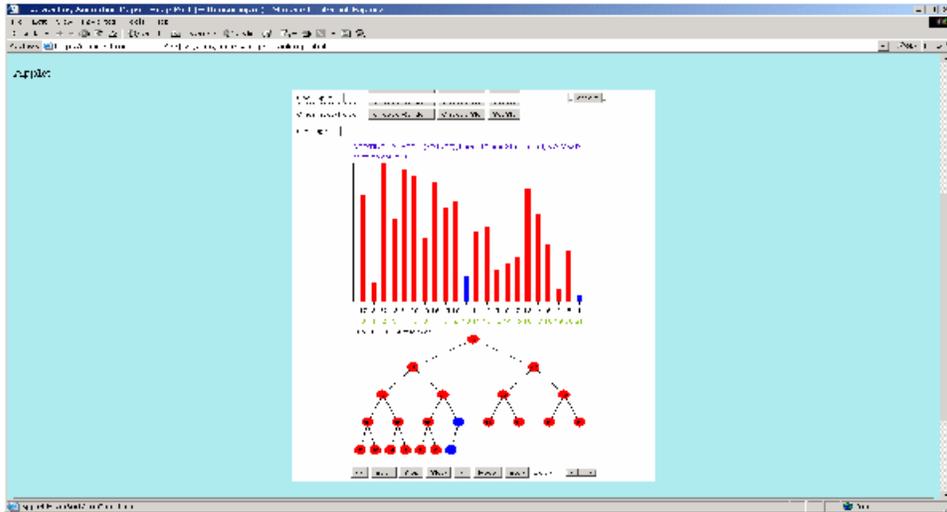


Figure 3.5: Heapsort Visualization 5.

### 3.2 List of Features

This section presents a list of features and recommendations derived by the “expert panel” after reviewing the heapsort visualizations described above. This list was compiled before conducting the experiments described in Chapters 4 and 5, and served as the basis for designing those experiments.

**1) Careful design of interface, ease of use:** Minor interface (usability) flaws can easily ruin an algorithm visualization. The typical user will use a given visualization only once. He/she will not be willing to put much effort into learning to use the visualization. If the interface is difficult to use, the students will have to make a conscious effort to use it that can prove distracting while learning an algorithm. Following are some important things to consider while implementing a visualization’s user interface.

- Pay careful attention to terminology used on buttons and other controls and in descriptive textual messages.
- The controls on the interface (like buttons and other widgets) should be self-evident or documentation on their use should be easily accessible such as through tool tips.

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- Be careful about initial window placement when the visualization is made up of multiple windows.

**2) Data Input:** When a user is using an algorithm for the first time, he/she may need some guidance as to what data to use with it. The system should suggest what should be the data to be used with the system. Also providing the students with a data set that can show them the best and worst performance of an algorithm visualization can prove useful.

After students have worked for sometime with the algorithm they should be encouraged to experiment with their own data set.

- The user should have the option to be provided with reasonable data examples.
- The students should be able to input data.

**3) Feedback:** All algorithm visualizations make some assumptions about the background knowledge of the students who are going to use it. Visualization designers should make explicit what level of background is expected of the user, and support that level as necessary.

- Feedback messages and other information should be appropriate to the expected level of algorithm knowledge that the students should have, or below it.
- Visualization actions need to be related to the logical steps of the algorithm being visualized.
- For the students who are not familiar with the algorithm that is being presented graphically it is necessary to provide some textual explanation relating to the algorithm background and what it is trying to achieve.
- Some feedback messages that provide textual explanation of the steps in an algorithm would be helpful.
- When possible, have descriptive text appear directly with the associated action. This will help users notice the feedback messages clearly and what caused them to appear.

**4) User control:** Different users have different speeds of understanding and grasping learning materials. Even the same user may need to work at different speed when they progress through the different stages while working with the same algorithm as they may find some processes of the algorithm more complex than the others. Some times the users may not understand completely the first time they are working with the system.

- Students should be able to control all the steps of the algorithm. They should be able to slow down the algorithm animation if they have difficulty in understanding any particular aspect of the algorithm.
- The students should be able to avoid or speed up those steps in the algorithm that they have understood clearly and no longer want to watch.
- The user should be able to back up through steps of the algorithm, or restart the algorithm.
- The user should be able to suppress higher levels of detail in sections of the visualization as appropriate to allow them to focus on the higher-level or conceptual structure of the algorithm once the details of its working have been understood.

**5) State changes:** The key thing any algorithm visualization/animation tries to show to the users is a series of state changes in the algorithm and the updates to a data set or a particular data structure that the algorithm modifies.

- Designers need to define clearly each logical step in the algorithm.
- The visualization needs to provide sufficient support to indicate the state changes that take place.
- Some times it is good to provide textual explanation, for better understanding, of what led to the state change for an algorithm.

**6) Multiple Views:** It is often necessary to show to the students both the physical view (the way a data-structure is implemented) and the logical view that the algorithm assumes of the data structure (provided it is different from that of the physical view).

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- Whenever they differ, there should be distinct views of the logical and physical view of the data structure.
- The visualization should distinctly show how the logical steps in the algorithm affect the physical implementation or the physical view of the data.
- The visualization should show clearly what benefits are obtained by assuming a logical view of the data.

**7) Window Management:** This is an important issue to consider if the visualization uses multiple windows. Sometimes when a large window gets placed over a smaller window, users can fail to notice the covered window.

It is difficult to relate actions in one window to corresponding actions and updates in another window (e.g., text in one section can be difficult to relate to actions in another section.).

- Make sure that when the user starts the algorithm visualization that all the windows are made distinctly visible to the users.
- Allow the user to resize or reposition the windows in a way that he/she is comfortable with.
- If possible have some mechanism to detect if any window is completely hidden by a larger window.
- If descriptive text of a particular action appears in a different part of the display or in a different window, be sure that the relationship between text and action is made clear.

**8) Pseudocode:** Pseudocode can be a powerful part of an algorithm visualization. It can demonstrate the working of each individual line of the algorithm and what updates each line causes in the algorithm data structure.

- A pseudocode display must be well integrated with the rest of the visualization.
- Pseudocode should focus on logical algorithm steps, not physical ones.
- Pseudocode should be easy to understand.

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- It is better to present pseudocode with a high-level language rather than be close to a particular programming.
- Users should be able to control the level of detail of pseudocode display.

# Chapter 4

## Experiment 1

Chapter 3 of this thesis presents a list of features that the “expert panel” believed would help to increase the effectiveness of an algorithm visualization. This chapter describes the first experiment that we conducted to test the effectiveness of various features that we listed. We conducted the experiment using a variety of heapsort algorithm visualizations created explicitly for the experiment. We believed that a visualization having features like pseudocode display and a guide (a paper reference containing questions to make students explore the algorithm in detail and analyze what they are doing) would be helpful and students using this visualization would show increased learning about the algorithm as compared to those students using a simple visualization which lacks these features.

Undergraduate students who had prior knowledge about simple sorting algorithms and knew basic data structures like stacks, queues, single linked lists, double linked lists, and circular linked lists, participated in this experiment. Most of these participants had no previous knowledge about the heapsort algorithm or the heap and tree data structures.

### 4.1 Procedure

Participants were asked to work (there was no time limit) with one of five variations of the heapsort algorithm visualization. All the variations used the same graphical representation of the algorithm. The presentation showed both the physical representation of the array to be sorted and the logical tree representation of the array embodied by the heap. Nodes being compared or exchanged were highlighted to show the logical operations of the algorithm. Simple textual feedback messages were also provided so that participants had better understanding of the significance of each step in the algorithm. An

## Chapter 4: Experiment 1

important feature common to all these visualizations was that participants could control the speed of the algorithm execution. The algorithm went to the next step in the execution only when they pressed the ‘Next’ button.

Besides the visualization, all the participants were provided with some background information on the heapsort algorithm (Appendix A.1).

### Version 1

Version 1 had (compared to the others) minimal capabilities. The visualization showed logical steps of the algorithm on a pre-selected data set. Participants using this version could not enter any other data set. The system did not allow any other interaction.

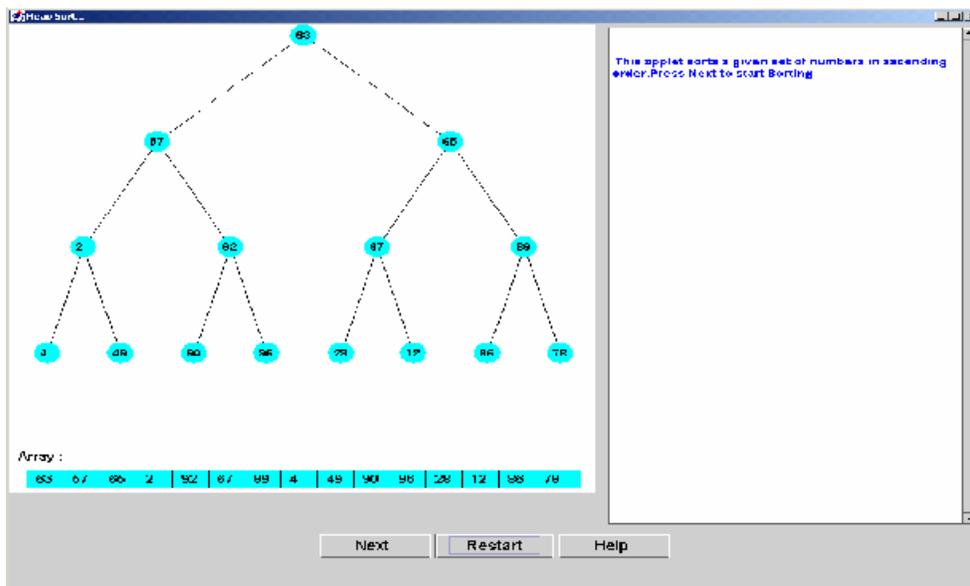


Figure 4.1: Heapsort Version 1

### Version 2

The second version was slightly more sophisticated than the first version. Participants using this version could visualize the algorithm on any data set they entered. They could also use the ‘Back’ button to revert to previous steps in the algorithm. Unlike the first version participants using this version were not provided with any example data set to work with.

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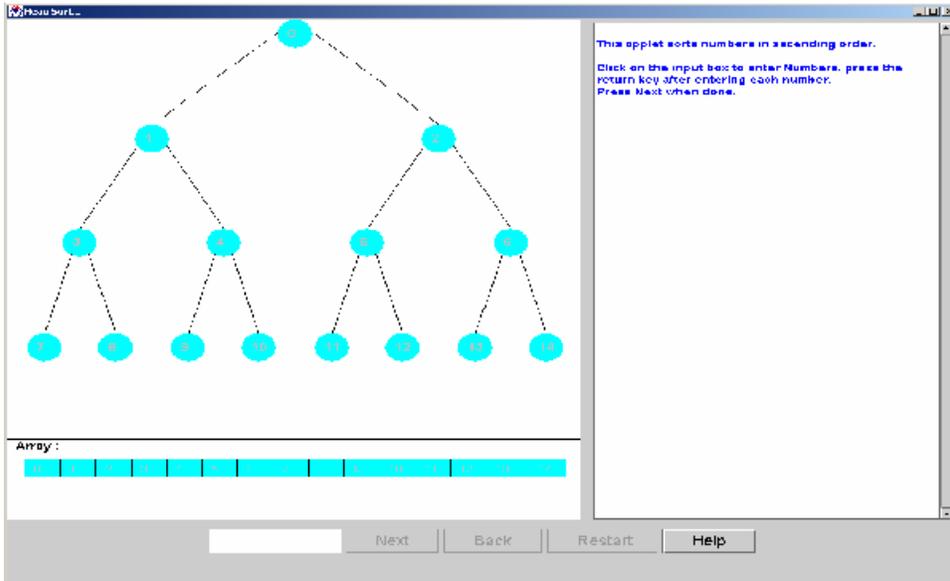


Figure 4.2: Heapsort Version 2

**Version 3**

The third version provided all the functionality of the second version, and also displayed the pseudocode of the algorithm. This enabled participants using this version to see how each individual line of the code affects the data set. Unlike the first version the participants were not provided with any example data set to work with.

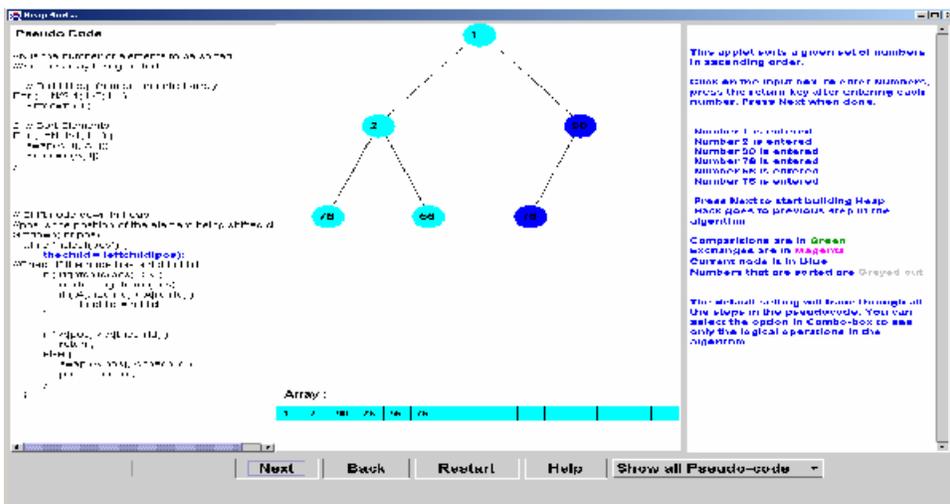


Figure 4.3: Heapsort Version 3

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### Version 4

The fourth version used the same algorithm visualization as the second version (Figure 4.2) and also provided the participants with a (paper) reference material to make them explore the algorithm in a more detailed manner (Appendix A.2). This guide made the participants work through several examples, and required them to answer questions about the heapsort algorithm as they were working with it.

### Version 5

The fifth version used the same visualization as the third version (Figure 4.3) and also provided the participants with the same (paper) reference material (Appendix A.2) that was used in the fourth version. The difference from the fourth version was the addition of the pseudocode display.

Features provided in each of the above five versions can be summarized as in the following table.

Version 1	Version 2	Version 3	Version 4	Version 5
Next button Example data-set	Input Next button Back button	Input Pseudocode Next button Back button	Input Next button Back button Guide	Input Pseudocode Next button Back button Guide

Table 4.1: Feature list for the verisons

When the participants thought that they were sufficiently familiar with the algorithm, they were asked to answer questions (Appendix A.3) on the heapsort algorithm. Participants' performance on the test would determine effectiveness of each algorithm visualization.

### **Question set**

The question set for Experiment 1 had 19 questions (Appendix A.3). We divided the questions into 3 groups to understand the results in a better way. We grouped questions 1 through 8 as conceptual questions. Questions 9 and 14 were categorized as pseudocode questions. Question 9 asked participants to identify pseudocode to form a max-heap. Question 14 asked participants to identify pseudocode for the heapsort algorithm. Remaining questions were grouped as procedural questions. Questions 10-13 asked participants to trace the steps of the algorithm to re-arrange the elements of a given array in a max-heap format and questions 15-19 asked participants to trace the steps of the algorithm to sort the elements of a given array once the first max-heap has been created.

## **4.2 Hypothesis**

We believed that since Version 1 had (relatively) minimum capabilities, participants would not be able to infer much about the heapsort algorithm from it. As Version 2 allowed participants to enter their own data set and also revert to a previous step in the algorithm, we believed that it would prove more helpful to understand the algorithm, as compared to the basic version. We believed that since Version 3 had a pseudocode display it would further increase participants' understanding. As the reference material required participants to explore and analyze the algorithm in a detailed way we believed that Version 4 would prove more helpful than the earlier versions. We believed that the Version 5 would prove to be the most helpful.

## **4.3 Results**

### **4.3.1 Total Performance**

66 students participated in the experiment. We omitted the performance of 2 participants, as they had no prior knowledge about sorting, and thus they were quite different from the target population for use of the visualizations. The test (Appendix A.3) had 19 multiple-choice questions on the heapsort algorithm. We omitted the first question

## Chapter 4: Experiment 1

in analysis as the background information provided the answer. On performing Anova analysis on the GPAs of participants of different groups there was no significant difference in average GPAs of different groups. There was no significant difference in the overall performance of the students. The average score for participants using Version 1 was the highest whereas average score for participants using Version 2 was the least. Anova analysis for the overall performance and average GPAs of the participants are included in Appendix A.5.

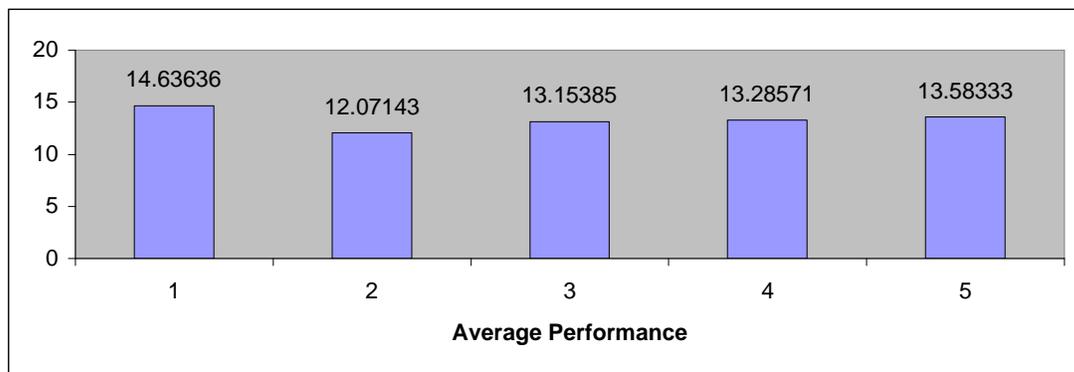


Figure 4.4: Average Performance of participants

### 4.3.2 Individual Question Analysis

To further understand the results we analyzed performance of the participants on each individual question. Anova analysis on all the questions are included in Appendix A.5.

#### Conceptual Questions

We categorized Questions 2 - 8 on the test (Appendix A.3) as conceptual questions. The following are questions on which a significant performance difference was found.

Question 7 (Appendix A.3) asked participants about the memory requirements of the heapsort algorithm. Participants using Version 3 performed comparatively better as compared to other versions on this question. Anova analysis on performance of participants using Version 3 and Version 4 showed that participants using Version 3 performed significantly better than participants using Version 4 with a p value of 0.011.

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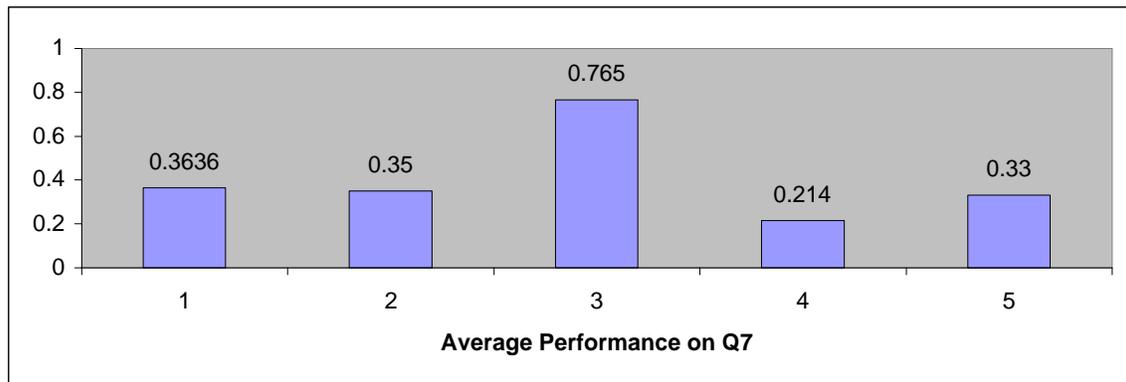


Figure 4.5: Average performance of participants on Q7

Question 8 (Appendix A.3) asked participants to identify the array in max-heap format from a set of given arrays. Anova analysis on paired groups showed that participants using Version 5 significantly outperformed participants using Version 2 with a p value of 0.00281 and participants using Version 4 with a p value of 0.0459. Participants using Version 1 outperformed participants using Version 2 with a p value of 0.029.

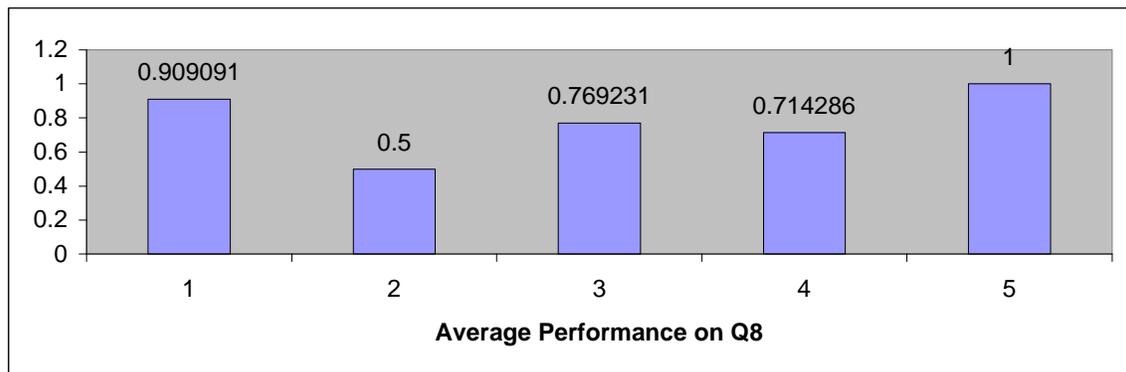


Figure 4.6: Average performance of participants on Q8

### Pseudocode Questions

We categorized questions 9 and 14 on the test (Appendix A.3) as pseudocode questions. There was no significant difference in the performance of the participants using different versions on these questions.

### Procedural questions

We categorized Questions 10 through 13 and Questions 15 through 19 on the test (Appendix A.3) as procedural questions. The following are questions on which a significant performance difference was found.

Questions 10 –13 asked participants to trace the steps of the heapsort algorithm to rearrange the elements of a given array in a max-heap format. Anova analysis showed that participants using Version 1 performed significantly better than participants using Version 3 with a p value of 0.00026, participants using version 4 with a p value of 0.0424 and participants using Version 5 with a p value of 0.0080. Participants using Version 4 and Version 2 performed significantly better than participants using Version 3 with a p value of 0.0251 and 0.010 respectively.

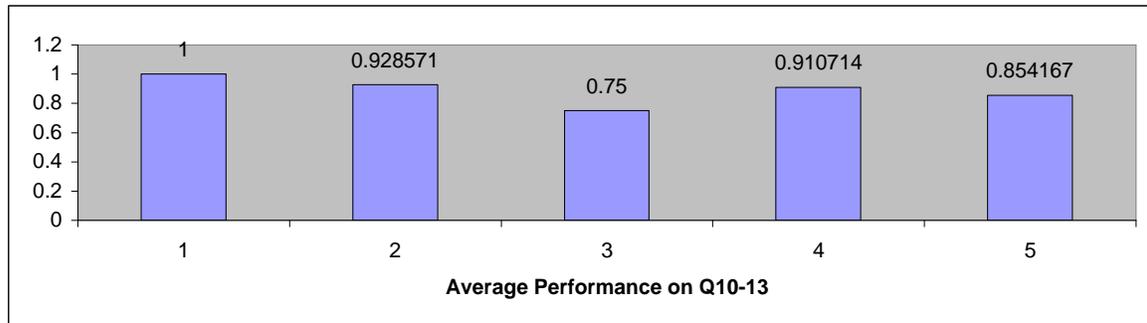


Figure 4.7: Average performance of participants on Q10-13

### 4.3.3 GPA Vs. Performance

Visualization of the GPA vs. Total score (shown in the figure below) shows no strong co-relation between GPA of the participants and their performance on the test. The data shown below is a scatter plot of GPA vs. Total performance of the participants using Spot fire (a data visualization tool). All the participants having a GPA  $\leq 2$  scored  $\geq 12$  on the test. For all other participants there is no strong co-relation between GPA and total performance.

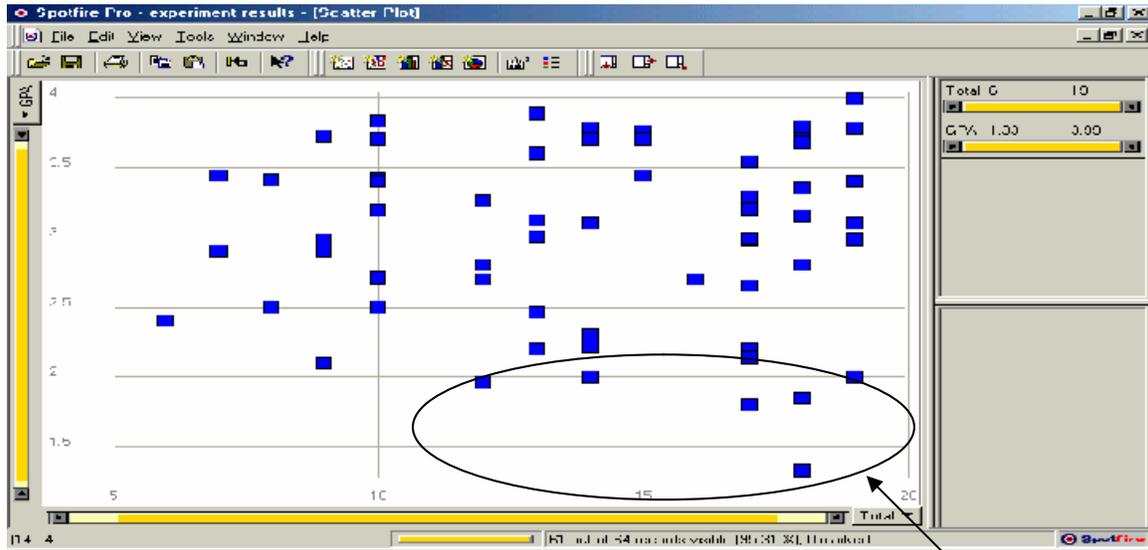


Figure 4.8: Scatter Plot of GPA vs. Total Performance of the participants

Participants having low GPAs but scoring above average

## 4.4 Conclusions

The overall performance indicates that participants using Version 1 performed somewhat better (not significantly) than participants using the other versions. On performing the individual question analysis, we can infer the reason for this. Participants using Version 1 significantly outperformed all the other participants (except Version 2) on procedural questions (Q 10-13). Participants using Version 2 or Version 4 performed significantly better than those using Version 3 on the procedural questions.

Thus, from the results it can be inferred that a simple visualization that focuses just on the logical steps of an algorithm and shows its effect on the data would provide better understanding of the procedural steps of the algorithm to the participants who have no previous knowledge of the algorithm. The results seem to indicate that a simple visualization which focuses mainly on the procedural steps of the algorithm may help participants understand and notice the procedural steps in a better way. Too much information (like a pseudocode display, an activity guide) may over-whelm participants and thereby reduce the amount of procedural understanding they may gain from a visualization. It may also be possible that participants who observe a visualization that

#### *Chapter 4: Experiment 1*

focuses on procedural steps of an algorithm may be able to mimic the algorithm steps better and thereby obtain better results on the procedural questions than participants who have more amount of detail to work with. Also, working repeatedly with an example data set as in Version 1 which covers all the important cases in the algorithm may allow participants to understand the algorithm in a better way. The reason for this may be that participants who have no previous knowledge of algorithm do not know what would be a “good” data set to enter and therefore miss some of the important points in the algorithm.

On Question 7 (Appendix A.3), which was a conceptual question, participants using Version 3 performed significantly better than participants using Version 4 and somewhat better ( $p = 0.087$ ) than the participants using Version 2. On Question 8, another conceptual question (Appendix A.3), participants using Version 5 significantly outperformed participants using Version 2 or Version 4. Participants using Version 1 outperformed participants using Version 2. Participants using Version 3 outperformed participants using version 2.

Thus, from the above results it can be inferred that a pseudocode display and an activity guide or a data example that covers all the important cases may provide better conceptual understanding of the algorithm. Participants who worked with Version 3 and Version 5 had a pseudocode of the algorithm displayed to them. Participants who worked with Version 5 were given an activity guide to work with (Appendix A.2) that made them analyze and answer questions about the algorithm, provided them with a few data sets to work with. Participants who had an activity guide usually spent more time with the visualization as compared to other participants as they had an activity guide to work with. This may have enabled them to understand the logic of the algorithm in a better way as compared to Versions 2 and Versions 4 who did not have a pseudocode display. However the results also indicate another important point that an example data set may also help to provide conceptual understanding of the algorithm as the participants using either the Version 3 or Version 5 did not perform better than Version 1.

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The question set for Experiment 1 had two questions 9 and 14 (Appendix A.3) that asked participants pseudocode for the heapsort algorithm. We were surprised by the fact that the participants who had pseudocode display did not perform better on the pseudocode questions as compared to the participants who did not have a pseudocode display.

# Chapter 5

## Experiment 2

This chapter describes the second experiment that we conducted as a part of this thesis work. Our analysis of the first experiment gave counter-intuitive results (better performance by the basic version). We then hypothesized that two factors not tested for were dominating the results: having absolute control on the speed of algorithm execution and a data example. Thus, we did the second experiment to test this hypothesis.

As in the first experiment, undergraduate students who had prior knowledge about simple sorting algorithms and knew basic data structures like stacks, queues, single linked lists, double linked lists, and circular linked lists, participated in this experiment. Most of these participants had no previous knowledge about the heapsort algorithm or the heap and tree data structures.

### 5.1 Procedure

Participants were asked to work with one of four variations of the heapsort algorithm visualizations. All four variations used the same graphical representation of the algorithm and the same textual feedback messages used by all the visualizations in Experiment 1. Besides the algorithm visualization, participants were provided with some background information on the heapsort algorithm (Appendix A.1).

For each participant, a log file that had a record of the time the participant spent with the visualization and also number of times that he/she interacted with various interface was maintained.

### Version 1

Version 1 visualized the heapsort algorithm on a data set that the participants entered. Participants using this version were not given any example data set to work with. This version had a “next” button, but no “back” button, no pseudocode panel and no guide.

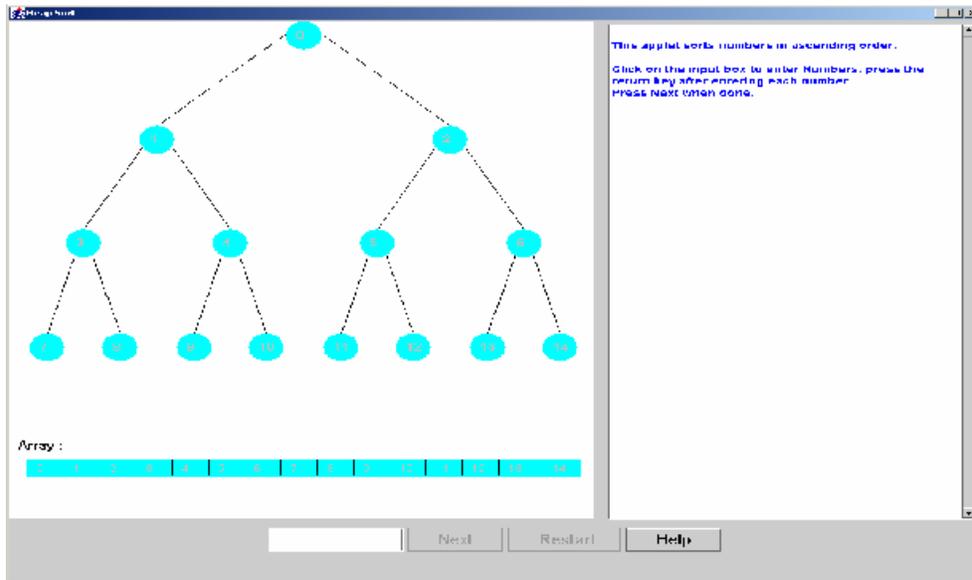


Figure 5.1: Heapsort Version 1

### Version 2

Version 2 extended Version 1 by adding more capabilities to it. The participants using this version were provided with an example data set and could enter any other data set to visualize the algorithm. They were also able to revert to a previous step in the algorithm.

## Chapter 5: Experiment 2

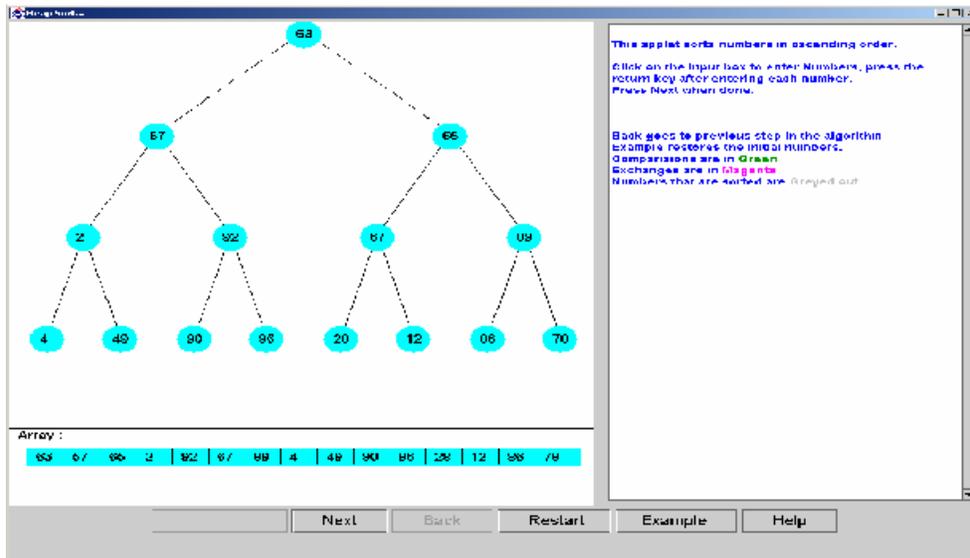


Figure 5.2: Heapsort Version 2

### Version 3

Version 3 extended version 2 by adding more capabilities to it. The participants using this version were provided with a pseudocode display to show how each individual line of the heapsort algorithm code affects a given data set. Participants were able to revert to a previous step in the algorithm. Participants were provided with an example data set (same as Version 2) to begin the algorithm visualization. They could also enter any other data set. They were also provided with a paper reference material (Appendix A.2) to make them explore the algorithm in a more detailed way.

## Chapter 5: Experiment 2

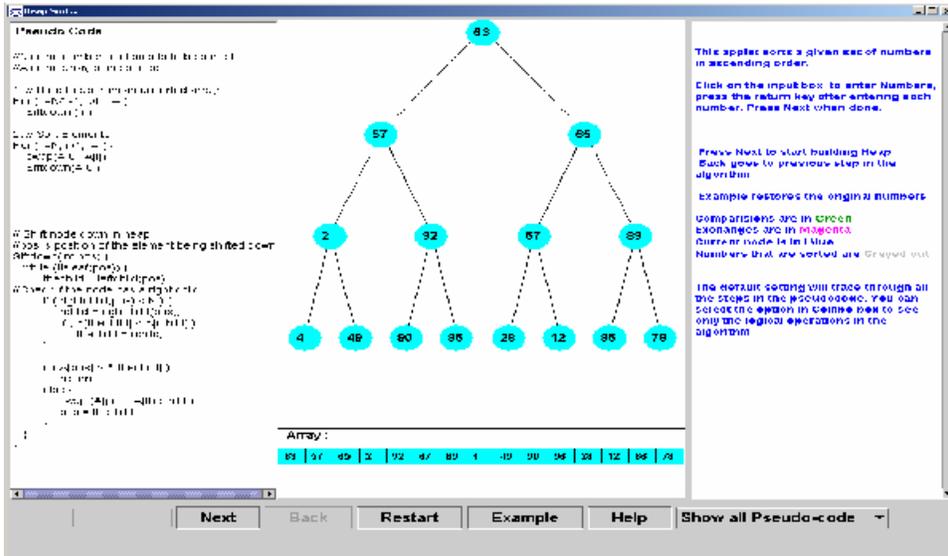


Figure 5.3: Heapsort Version 3

### Version 4

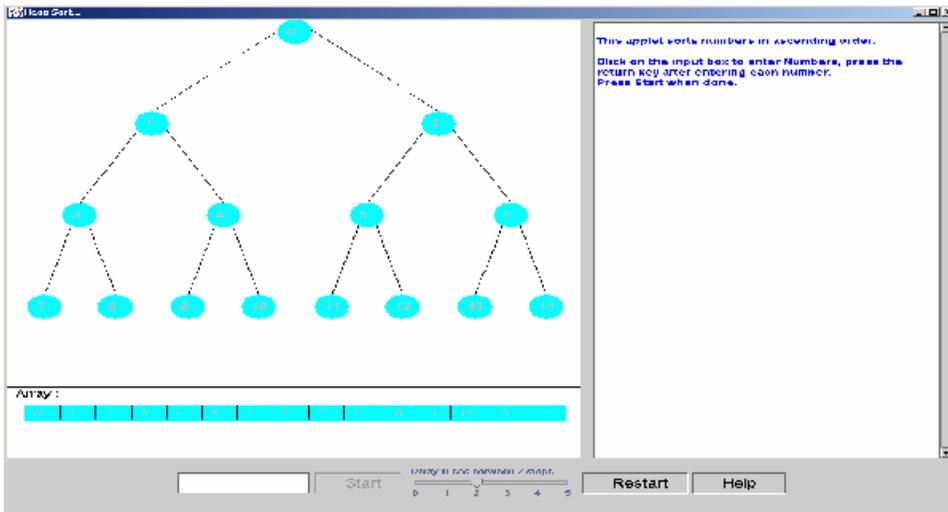


Figure 5.4: Version 4 (Animation)

Unlike all the other visualizations created as a part of this thesis work, Version 4 animated the heapsort algorithm on a data set entered by the students. The participants using this version could control the speed of animation, but did not have a ‘Next’ button. Also as in Version 1 no example data set was provided to students.

## Chapter 5: Experiment 2

Features provided in each of the above four versions can be summarized as in the following table.

Version 1	Version 2	Version 3	Version 4
Input Next button	Input Example Next button Back button	Input Example Guide Pseudocode Next button Back button	Input Animation (No next button)

Table 5.1: Feature list for all versions.

When the participants thought that they were sufficiently familiar with the algorithm, they were asked to answer questions (Appendix A.4) about the heapsort algorithm. Participants performance on the test would determine the effectiveness of each Version.

### Question set

The results of Experiment 1 seem to indicate that participants who had a simple visualization that focused mainly on the logical steps of the algorithm performed better on procedural questions as compared to participants who had a pseudocode display or pseudocode display and activity guide. The results seem to suggest that participants who had more amount of detail presented to them (e.g. pseudocode display and activity guide) tend to perform worse on the procedural questions. To further analyse the results obtained from Experiment 1 we included more procedural questions in the question set for Experiment 2 as compared to the question set for Experiment 1.

The question set for Experiment 2 had a total of 24 questions (Appendix A.4). We divided the questions into two groups: Conceptual and Procedural questions. Questions 1 through 5 were grouped as conceptual questions whereas the remaining questions were grouped as procedural questions. Questions 6–8 asked participants to rearrange a given array of 3 numbers in max-heap format. Questions 9-13 asked participants to rearrange an array of 4 numbers in a max-heap format. Questions 14-16 asked participants to sort an

array of 3 numbers after the first max-heap has been created. Questions 17-24 asked participants to sort an array of 4 numbers after the first max-heap has been created.

## **5.2 Hypothesis**

The results from the experiment 1 (Chapter 4) indicated that participants who used a minimal algorithm visualization (without many features) that focused mainly on the logical steps of the algorithm performed better on procedural questions. The results also indicated that a good data example and the pseudocode of an algorithm help in increasing students' conceptual understanding of an algorithm. The results seem to indicate that providing a good example can prove to be most helpful to increase the pedagogical effectiveness of an algorithm visualization.

To analyze the importance of a good data set (a data set that covers all the important cases in an algorithm) for conceptual understanding of the algorithm, participants were asked to study the heapsort algorithm with Version 1 and Version 2. Both the versions were almost identical except that participants using Version 2 were provided with a data example and could revert to a previous step in the algorithm. This would allow us to measure the difference in conceptual understanding of the two groups and determine if a good data set could increase the participants conceptual understanding of an algorithm. Version 3 used a pseudocode display, a data set example, and a guide that made students explore the algorithm in detail. By this, we wanted to see if providing all these features could further increase conceptual understanding of the algorithm.

If Version 3 outperformed all the other versions on the conceptual questions, than based on the first and second experiment we would be able to conclude that providing additional features (like pseudocode and an activity guide) could increase students conceptual understanding of an unfamiliar algorithm. If there is a significant difference between performance of the students using Version 1 and Version 2 or Version 1 and Version 3 but no significant difference between the performance of students using Version 2 and Version 3, then we would conclude that providing a good data example can prove to be most helpful in understanding the concept of an unfamiliar algorithm.

## *Chapter 5: Experiment 2*

Results from Experiment 1 indicated that students who used minimal algorithm visualization (without many features) performed well on procedural questions. Also in Experiment 1 students who had a pseudocode display performed significantly worse on these questions. We should be able to analyze by comparing the performance of the students on questions 6-24 (Appendix A.4) using Version 1, Version 2 and Version 3, whether providing more features could result in reduced procedural understanding of the algorithm. Through this analysis we should also be able to understand the importance of providing a data set to understand the procedural steps of an algorithm.

We also believed that allowing students to control the speed of algorithm execution would prove more helpful to understand an algorithm than showing an animation that does not provide absolute control on the algorithm execution. If our hypothesis was true then the students using Version 1 (Input) should perform better than students using Version 4 (animation).

It is commonly assumed that the more time students spend with an algorithm visualization, the better understanding they have from the visualization. For the first experiment we did not measure the learning time (the amount of time students with the algorithm visualization) but for the second experiment we kept track of the learning time to know if there is any significant relation between the time students spend with the algorithm visualization and the score that they got on the test. Also, while creating the algorithm visualizations, many authors assume that it would help students if they could revert to a previous step in the algorithm visualization. We wanted to see if there was any specific relation between the usage of the history or the 'back button' and the scores students obtained on the test.

## **5.3 Results**

### **5.3.1 Total Performance**

44 Students, 11 for each version, participated in the experiment. The average GPAs of the participants of each group were almost similar. Anova analysis on the GPAs did not show any significant difference. The following is a bar chart showing average

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performance of each version. The anova analysis for the total performance and GPAs of students is included in Appendix A.6.

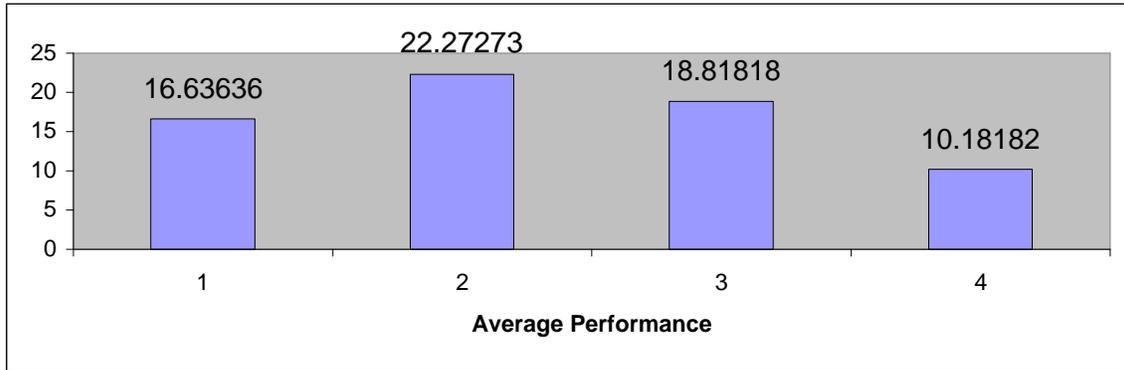


Figure 5.5: Average performance of participants of each version.

The following is the summary of average performance of the participants

Version 1 (Input Only)	Significantly worse than Version 2 (p=0.008)	Non-significantly worse than Version 3 (p=0.35)	Significantly better than Version 4 (p = 0.03)
Version 2 (I +H+Ex)	Significantly better than Version 1 (p=0.008)	Non-significantly better than Version 3 (p=0.10)	Significantly better than version 4 (p=0.0001)
Version 3 (P+I+H+Ex+G)	Non-significantly better than Version 1 (p=0.35)	Non-significantly worse than Version 2 (p=0.107)	Significantly better than Version 4 (p=0.006)
Version 4 (Animation)	Significantly worse than Version 1 (p = 0.029)	Significantly worse than Version 2 (p=0.0001)	Significantly worse than Version 3 (p=0.006)

Table 5.2: Summary of total performance.

The following is the partial ordering on the results for each version. The circular nodes represent each version (V1 = Version 1, V2 = Version 2, V3 = Version 3 and V4 = Version 4). The arrows are from the nodes (i.e. Version) that performed poor towards the nodes (i.e. Version) that had better performance. The dotted arrows indicate non-significant results where as a bold arrow indicates a significant performance difference.

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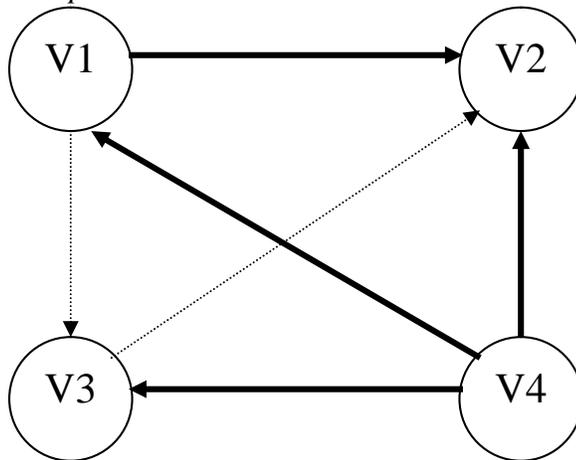


Figure 5.6: Partial ordering on total performance of participants of each version.

As shown in the above table and partial order figure, participants who used Version 4 performed significantly worse than other participants. From this we can conclude that the providing an ability to control the pace of the visualization is very important. Participants using Version 2 performed significantly better than the participants using Version 1. From this we can conclude that providing a data set that covers all the important cases in an algorithm can prove to be pedagogically very effective.

### 5.3.2 Individual Question analysis

Anova analysis for the Individual questions are included in Appendix A.6.

#### Conceptual Questions

Questions 1-5 (AppendixA.4): Participants using Versions 2 and 3 performed slightly better than those using Version 1 and Version 4 as shown by the average scores in the following bar char. However, on performing Anova analysis there was no significant performance difference.

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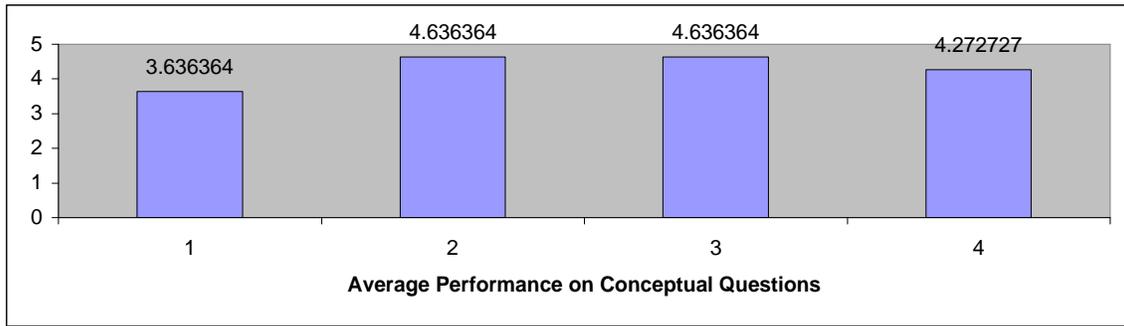


Figure 5.7 Average Performance on Conceptual Questions

**Procedural questions**

Questions 6 – 24 on the test (Appendix A.4) were categorized as procedural questions. On performing Anova analysis on performance on these questions we discovered that participants using Version 2 performed significantly better than Version 1 ( $p=0.01479$ ). Participants using Version 4 performed significantly worse than participants using all other versions  $p= 0.0139$  as compared to Version 1,  $p= 0.000086$  as compared to Version 2 and  $p= 0.0057$  as compared to Version 3.

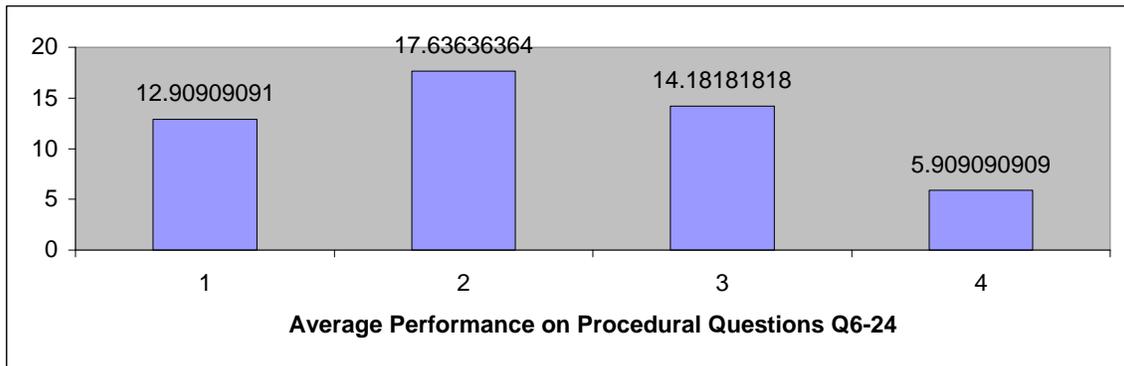


Figure 5.8 Average Performance on Procedural Questions

The following is a summary of the results on Anova analysis for individual procedural questions.

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Questions 6-8 (Appendix A.4) asked students to trace the steps of the heapsort algorithm to rearrange a given array having 3 numbers in max-heap format. Participants using Version 1 performed significantly ( $p=0.02678$ ) better than Version 4.

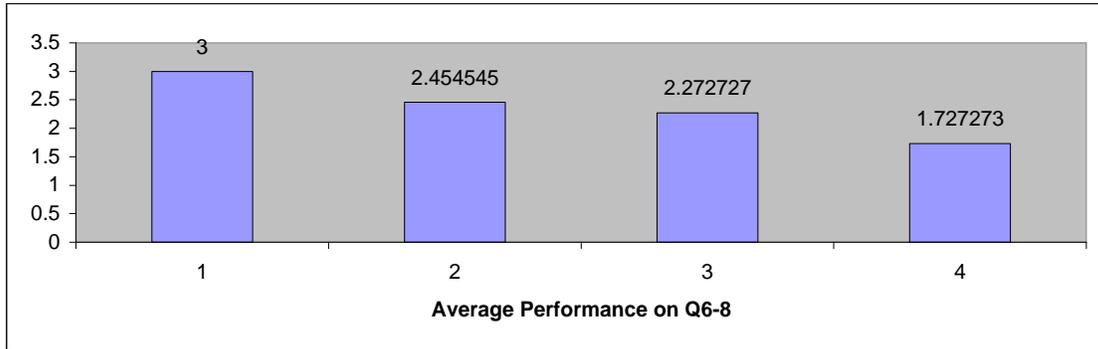


Figure 5.9: Average performance of the participants on Q 6-8.

Questions 9-13 (Appendix A.4) asked students to trace the steps of the heapsort algorithm to re-arrange a given array of 4 numbers in a max-heap format. Participants using Version 2 performed significantly better than participants using Version 1 ( $p=0.009$ ). Participants using Version 2 and Version 3 performed significantly better than participants using Version 4 with  $p=0.0006$  and  $p=0.008$  respectively.

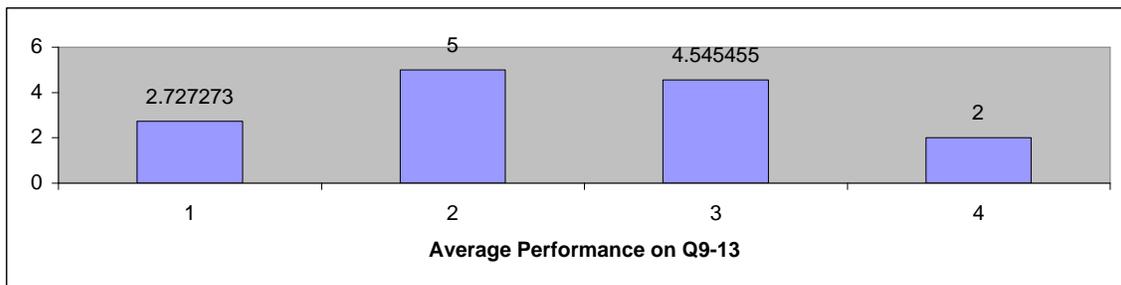


Figure 5.10: Average performance of the participants on Q 9-13.

Questions 14 – 16 (Appendix A.4) asked students to trace steps of the heapsort algorithm to sort a given array of 3 elements after the first max-heap has been created. The participants using Version 4 performed worse than all other versions with  $p=0.03$ ,  $p=4.030E-06$ , and  $p=0.008$  as compared to Version 1, Version 2 and Version 3

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respectively. Participants using Version 2 also performed significantly better than participants using Version 1 with  $p=0.02$ .

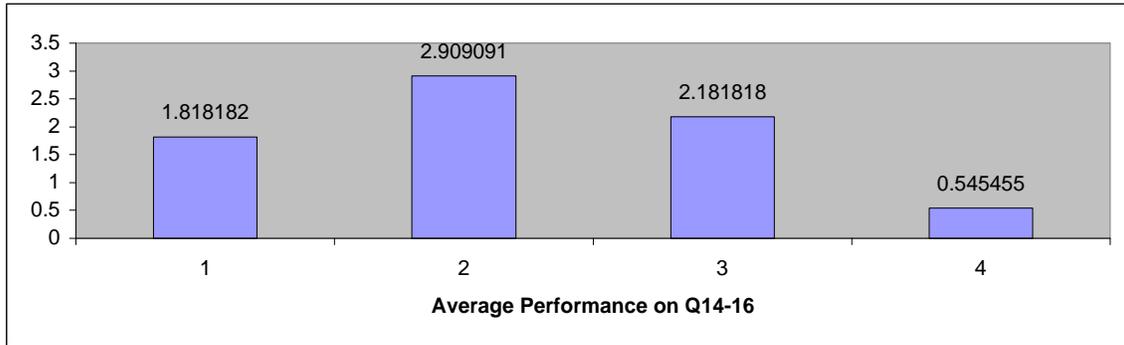


Figure 5.11: Average Performance of participants on Q14-16.

Questions 17- 24 (Appendix A.4) asked participants to trace steps of the heapsort algorithm to sort elements of a given array of 4 numbers after the first max-heap has been created. Participants using Version 4 performed significantly worse than participants using than participants using Version 1 with  $p=0.016$  and those using Version 2 with  $p=0.0001$ . Participants using Version 2 performed marginally better than participants using Version 3 ( $p=0.059$ ).

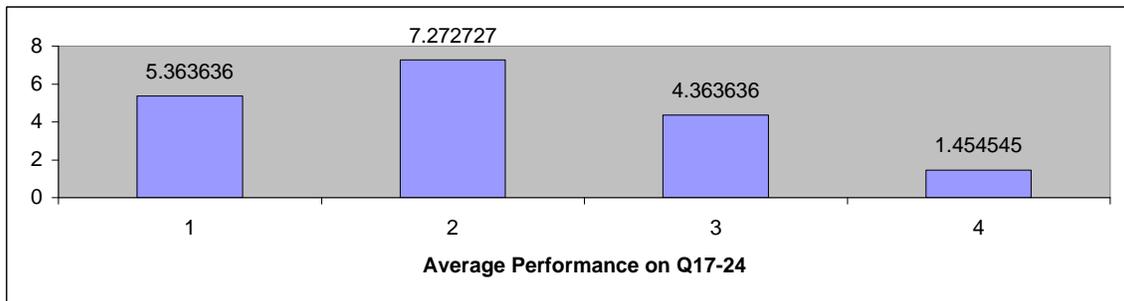


Figure 5.12: Average Performance on participants on Q17-24.

The above results on the pseudocode questions can be summarized as in the following table.

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Questions 6 -8	Version 1 performed better than version 4.			
Questions 9-13	Participants using Version 2 performed significantly better (p = 0.009) than those using Version 1	Participants using Version 2 performed significantly better (p= 0.0006) than those using Version 4	Participants using Version 3 performed significantly better than those using Version 4 (p=0.008)	Participants using Version 3 performed marginally better than those using Version 1 (p=0.059).
Questions 14-16	Participants using Version 1 performed significantly better (p= 0.03) than participants using Version 4	Participants using Version 2 performed significantly better (p=0.020) than participants using Version 1	Participants using Version 2 performed significantly better (p=4.030E-06) than participants using Version 4.	Participants using Version 3 performed significantly better (p=0.008) than those using Version 4.
Questions 17-24	Participants using Version 1 performed significantly (p= 0.016) better than the participants using Version 4	Participants using Version 2 performed significantly better than the participants using Version 4 (p= 0.0001	Participants using Version 2 performed marginally better than those using Version 3 (p= 0.0592).	Participants using Version 3 performed marginally better than those using Version 4 (p= 0.0828).

Table 5.3: summary of performance on individual questions.

### 5.3.3 Performance vs. Learning Time

The following is a scatter plot of Learning Time Vs. Average Performance. As shown in the below scatter plot, more time spent with the visualization does not imply that students would have better understanding of the algorithm. Participants using Version 3 spent about 41.73 minutes studying the applet and working with the guide, however this did not result in better scores than the participants using Version 2 who spent only an average of 18.73 minutes with the visualization.

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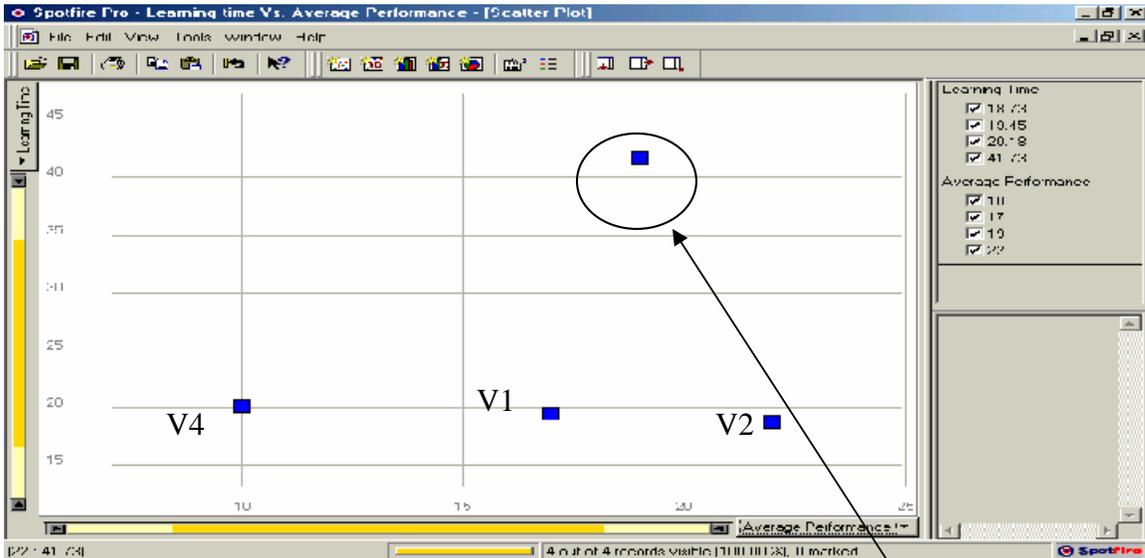


Figure 5.13: Average learning time vs. Average Scores.

Participants using Version 3 more than double time as compared to other versions.

**Version 1**

The following figure is a scatter plot of Learning time vs. Performance of participants using Version 1 in Spot fire. As shown in the figure below, the learning time did not affect the performance.

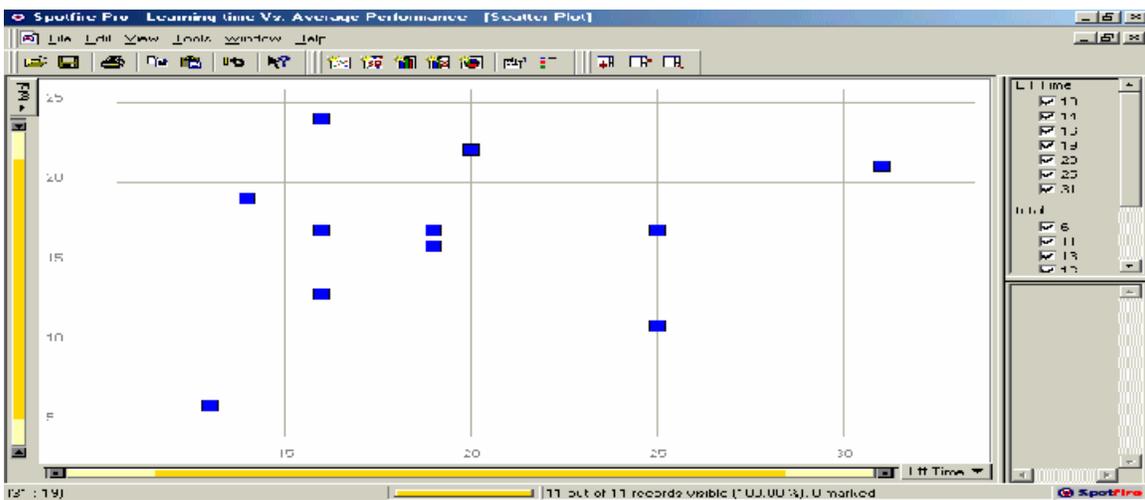


Figure 5.14: A scatter plot of Learning time vs. Performance for participants using Version1 using Spot fire.

### Version 2

As shown in the figure below, the learning time did not have any significant effect on performance of participants using Version 2. The following is a scatter plot of Learning time vs. Performance of participants using Version 2 in Spot fire.

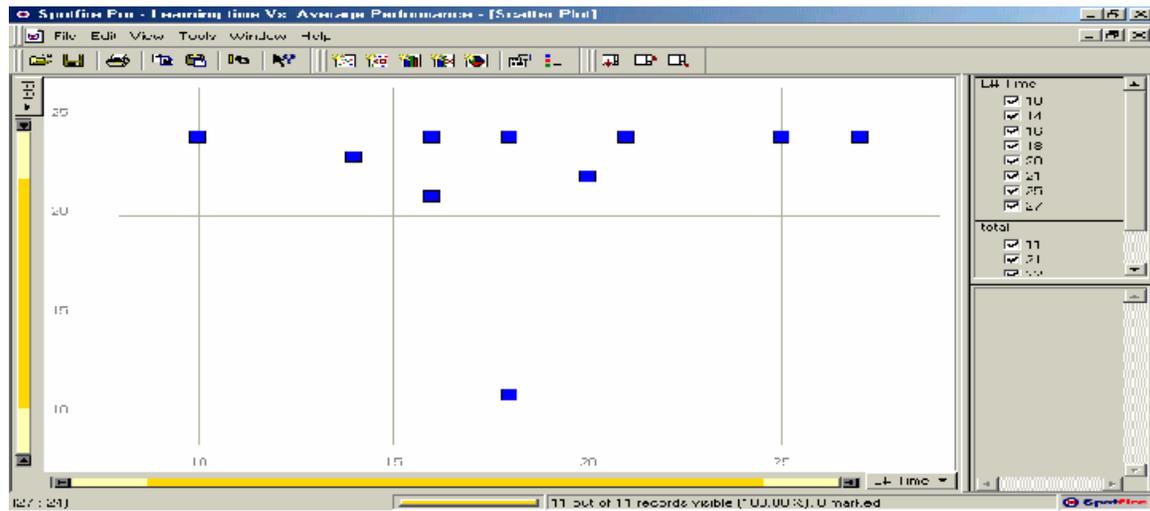


Figure 5.15: A scatter plot of Learning time vs. Performance for participants using Version 2 using Spot fire.

### Version 3

Like the previous two versions, there was no relation between learning time and performance of the participants.

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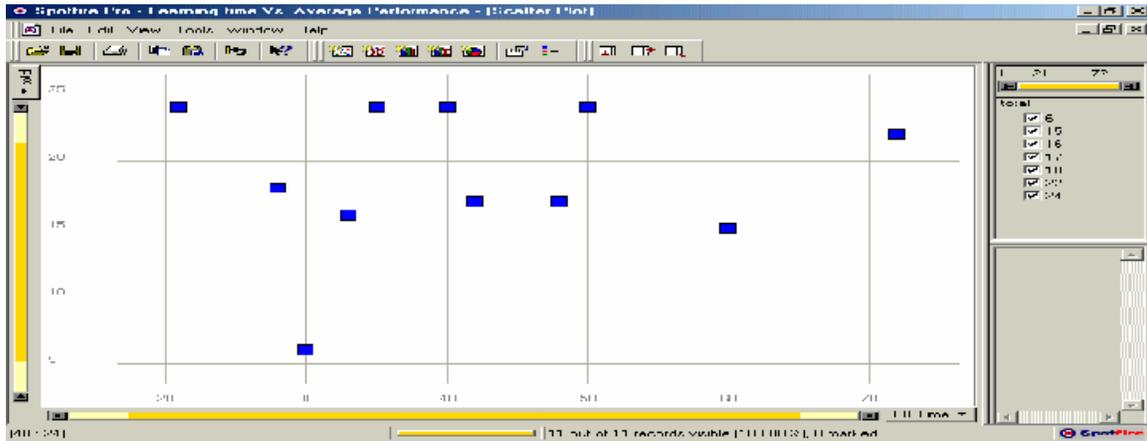


Figure 5.16: A scatter plot of Learning time vs. Performance for participants using Version 3 using Spot fire.

### Version 4

Again there was no correlation between learning time and performance for participants using Version 4.

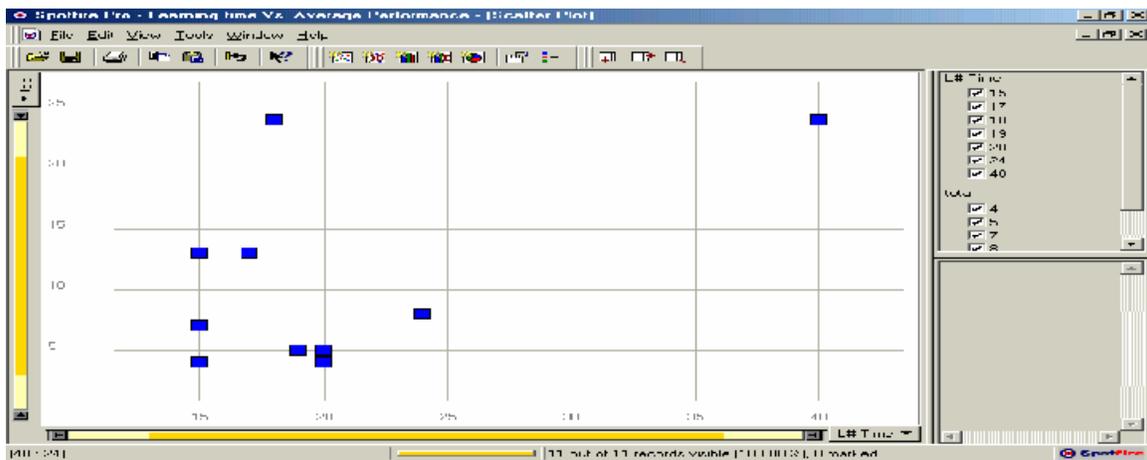


Figure 5.17: A scatter plot of Learning time vs. Performance for participants using Version 4 using Spot fire.

### 5.3.4 GPA vs. Performance

The following figure shows a scatter plot of GPA vs. Total performance of participants. No strong correlation is seen between GPA of participants and their

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performance. However, it is interesting to note that all the 3 participants having low GPA ( $\leq 2.25$ ) performed well on the test.

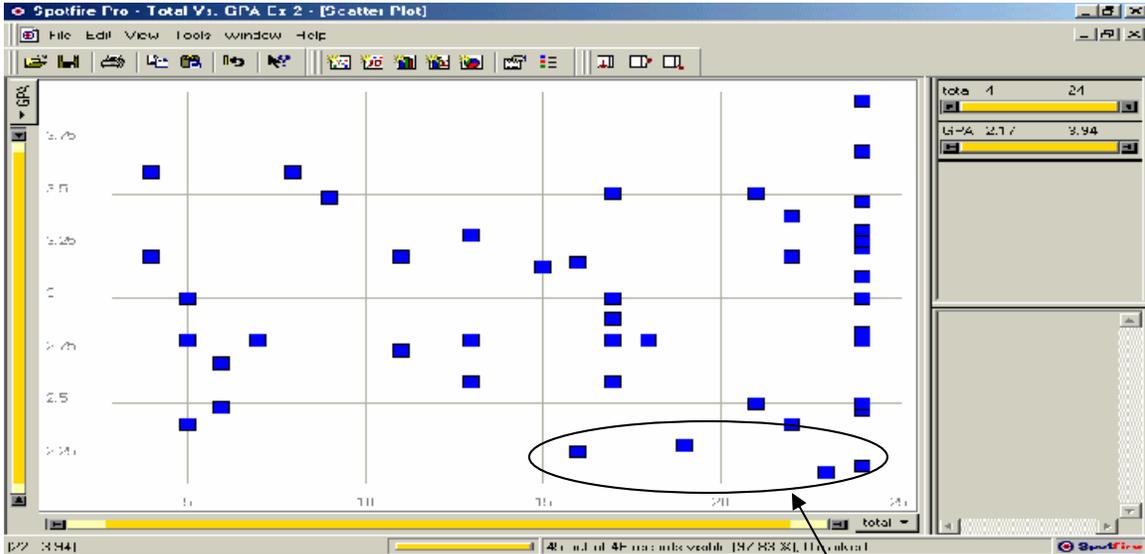


Figure 5.18: Scatter plot of GPA vs. Total Score using Spot fire.

All the participants having GPA  $\leq 2.25$  performed well.

### 5.3.5 Example Usage

Both Version 2 and Version 3 provided a data set example to the participants. The following figures are the visualizations of number of times the participants worked with the example and their scores.

#### Version 2

The below visualization suggests that the participants who worked more than twice with the data set example had a full score on the test as compared to the participants who worked just once with it. Thus, the example data set may have helped the participants. The data in the figure below is sorted on example usage.

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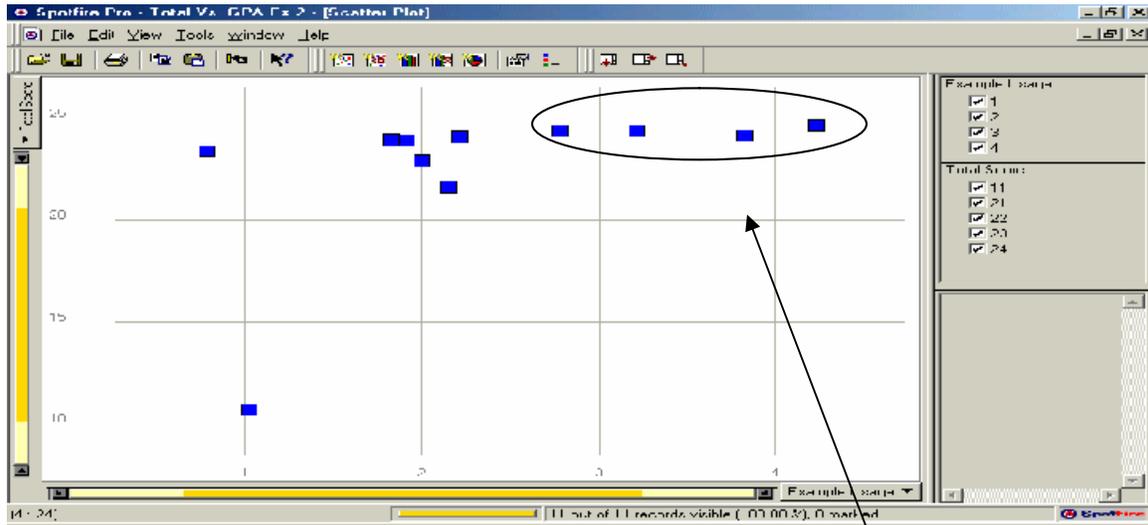


Figure 5.19: Scatter plot of example usage vs. Total Score for Version 2.

Participants who worked with example atleast 3 times could answer all the questions on the test correctly.

Version 3

As shown in the visualization, there was no relation between the number of times the participants studied the example and the total score they got on the test.

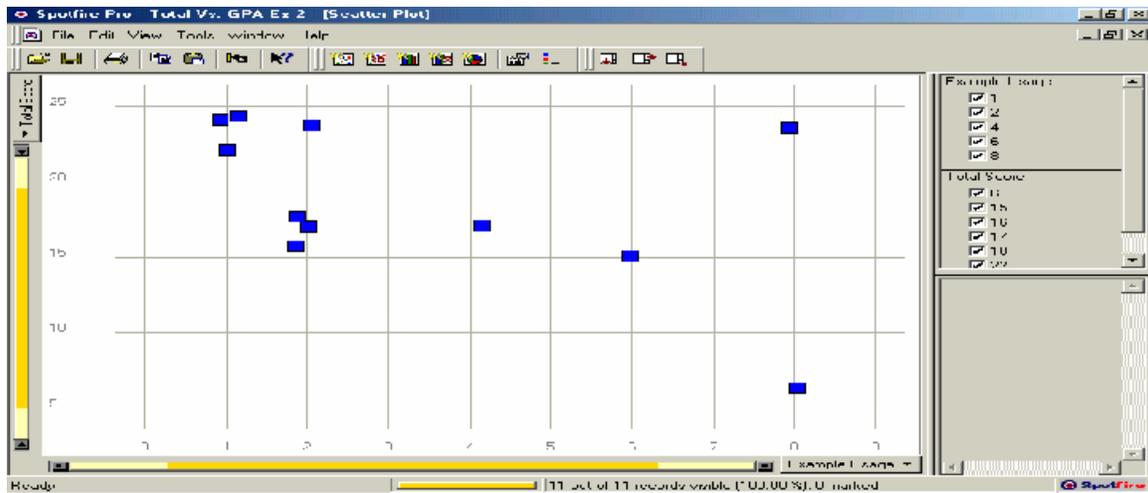


Figure 5.20: Scatter plot of example usage vs. Total Score for Version 3.

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On average the participants using Version 3 (average = 3.3636) studied the example more number of times than the participants using Version 2 (average = 2.3636).

### 5.3.6 History (Back Button) Usage

Participants using Version 2 and Version 3 were provided with a Back button so that they could revert to a previous step in the algorithm and reuse it again.

#### Version 2

The participants using Version 2 did not use this button much. Most of the participants (6/11) did not use it at all. As shown in the figure below there is no correlation visible between the usage of back button and total scores on the test.

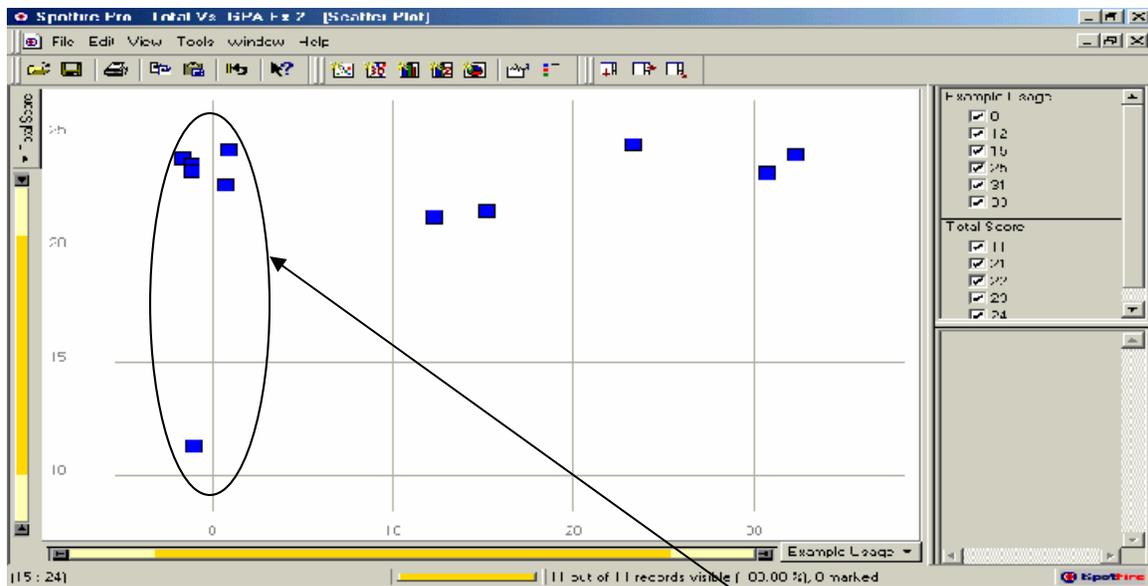


Figure 5.21: Scatter plot of Back button usage vs. Total Score for Version 2.

6 out of 11 participants did not use back button at all.

### Version 3

Participants using Version 3 used the back button more than the participants using Version 2. As shown in the figure below there was no significant relation between the back button usage and the total scores. On average participants using Version 3 used back button more than participants using Version 2.

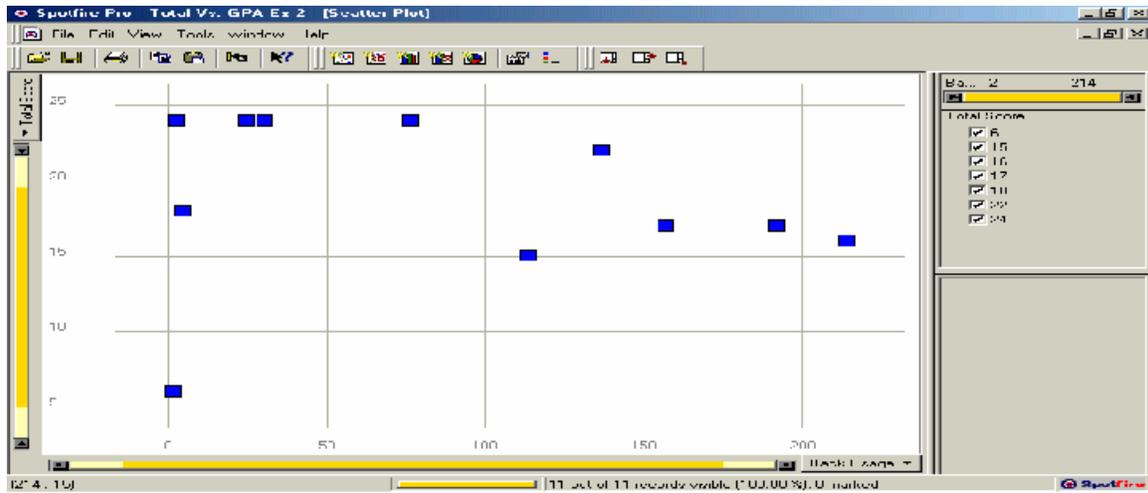


Figure 5.22: Scatter plot of Back button usage vs. Total Score for Version 3.

### 5.3.7 Subjective Satisfaction

The last question on the test (Appendix A.4) asked students to rate the visualization that they worked on between a scale of 1-5. Anova analysis indicated that Version 2 had a significantly higher rating as compared to Version 4 with  $p=0.038687$ . The following is a bar chart showing average subject satisfaction for each group.

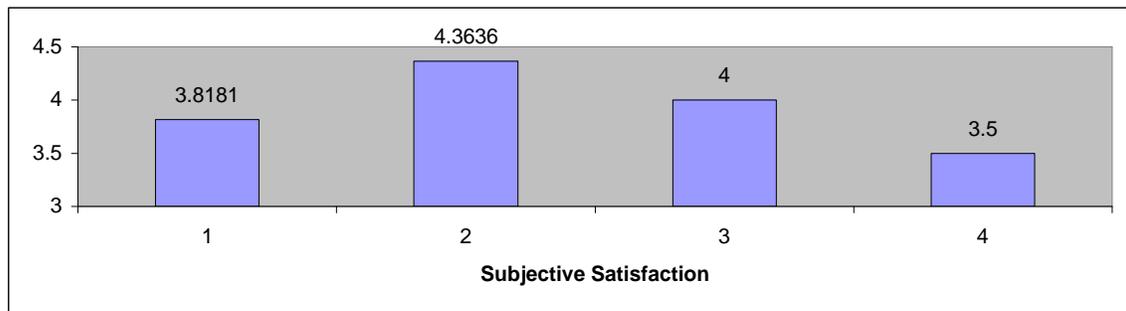


Figure 5.23: Average subjective satisfaction for each version.

## **5.4 Conclusions**

Participants using Version 1, Version 2 and Version 3 significantly outperformed participants using Version 4. Versions 1 and 4 had all features but the control of algorithm execution in common.

Thus, providing students an absolute control on the speed of algorithm execution can prove to be most helpful. Based on the results obtained in Experiment 2 we recommend that “animations” with speed control be replaced with a ‘Next’ button for controlling progress of an algorithm.

On procedural questions, participants using Version 1 performed significantly better than participants using Version 4 and participants using Version 2 performed significantly better than participants using Version 1. The only difference between Version 1 and Version 4 was that Version 1 had a ‘Next’ button which allowed the users

Thus, providing a good data example and having an absolute control on the algorithm execution would be very helpful in procedural understanding of the algorithm.

Version 2 and Version 3 had every feature other than pseudocode display and study Guide (Appendix A.2) in common. It seems from the results of Experiment 2 that having too many features may reduce students’ procedural understanding of the algorithm (On average participants using Version 2 performed better on procedural questions as compared to participants using Version 3 but there was no significant performance difference). The learning time vs. performance analysis showed that a larger learning time (at least when that time is spent observing pseudocode and working with guide) does not mean that participants understood the algorithm better. The participants using Version 3 spent almost double amount of time with the visualization as compared to the participants using Version 2. This shows that having the right set of features would improve learning in less time, also using more features could result in increase in the learning time without any pedagogical benefit.

There was no significant performance difference on the conceptual questions on the test for Experiment 2 between participants using various versions. There was no performance difference between participants using Version 2 and Version 3 on

## *Chapter 5: Experiment 2*

conceptual questions (Q 1-5, Appendix A.4). Results from Experiment 1 indicated that additional features like pseudocode and guide might prove to be helpful in increasing conceptual understanding of the algorithm. Both Version 2 and Version 3 provided participants with an example data set to work with. Besides example data set, participants using Version 3 also had a pseudocode display and a guide to work with, which the participants using Version 2 did not have. However, there was no performance difference on the conceptual questions between Version 2 and Version 3. Thus, having a good example data set that covers all the important cases in the algorithm may be sufficient in providing conceptual understanding of the algorithm. Also, features like pseudocode display and an activity guide increase learning time significantly.

From the experiments 1 and 2, we can conclude that a minimal visualization that focuses on the logical steps of the algorithm and allows students to have absolute control on the speed of algorithm execution is most helpful for procedural understanding of the algorithm. Also, providing an algorithm that has too many features may confuse the users.

GPA vs. performance analysis on the tests for both Experiment 1 and Experiment 2 indicated that students having an extremely low GPA often performed well using the visualization. This indicates that if visualizations are used for teaching algorithms in class these students may benefit. However, we did not conduct an experiment explicitly to test this hypothesis.

# Chapter 6

## Algorithm Visualizations

This chapter describes several algorithm visualizations that were created early in this thesis work, before we decided to focus on studying the key feature set.

### 6.1 Graph Traversals

The graph traversal applet visualizes Breadth First Search (BFS) and Depth First Search (DFS) algorithms and illustrates step-by-step, how graphs are traversed in each case.

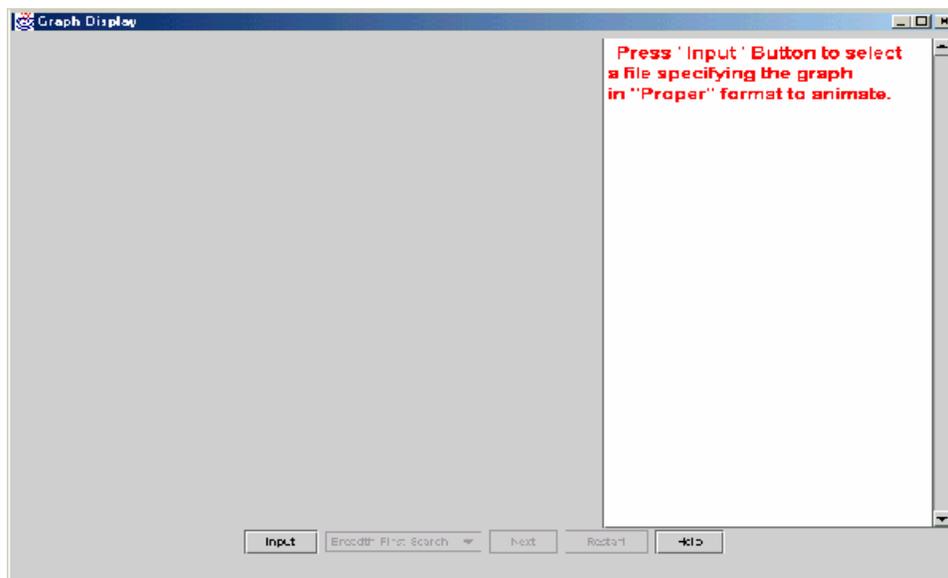


Figure 6.1: The initial interface when the user starts the applet.

When a user starts the visualization the text display as shown above instructs him/her to 'Input' a file that has the specification for the graph on which the algorithm will be executed. Clicking the 'Input' button pops up a dialog box to select the file.

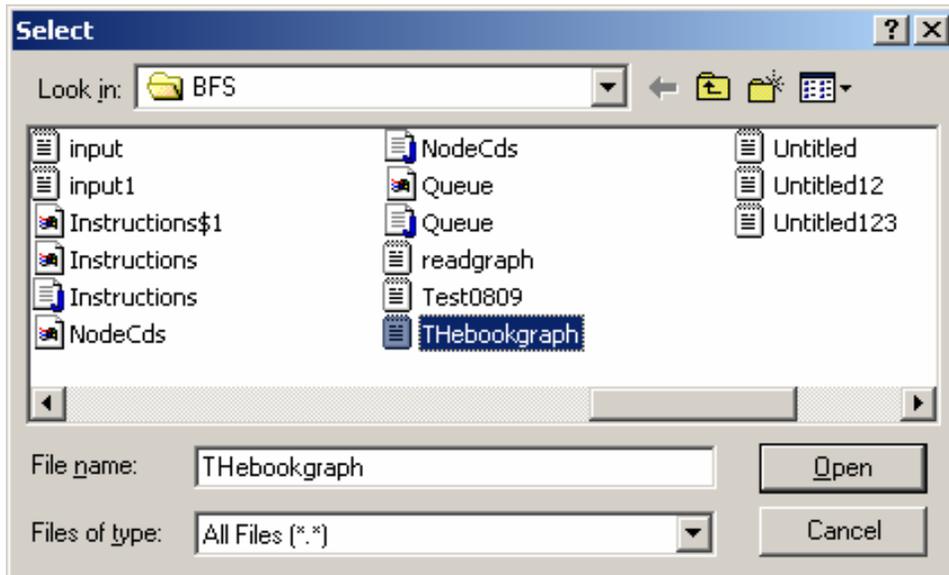


Figure 6.2: Dialog box to select the file.

The applet reads the selected file and displays the graph as shown in the figure below.

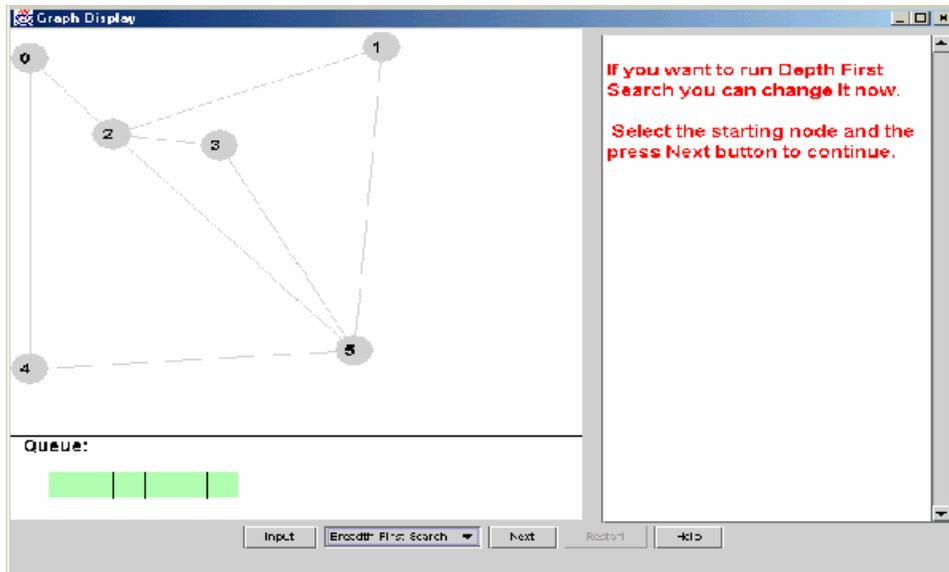


Figure 6.3: The graph display. The text field displays the textual feedback.

The graph display, as shown above, is divided into two parts. The top part shows the graph visualization and the bottom part shows the visualization of a queue or a stack depending on the algorithm selected. The queue/stack is initially empty.

The default algorithm selected by the system is BFS. Users can change the algorithm using the provided Combo box. To select the starting node a user will click on one of graph nodes. The node that has been selected is shown pink in color as shown in the figure below. The user will step through the algorithm by pressing the 'Next' button repeatedly.

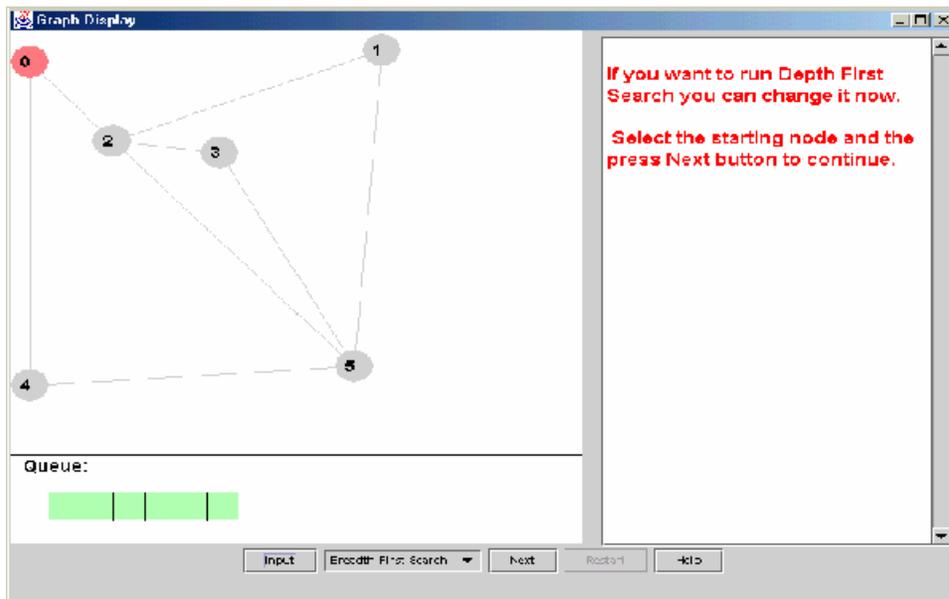


Figure 6.4: The starting node is highlighted in pink.

Different color combinations have been used to show different stages of the graph traversal (shown in the figure below). An un-traversed graph is shown in gray. The starting node is shown in dark pink. The current active node is shown in dark green. The nodes that are in Queue/Stack are shown in light green color and are also displayed in the queue/stack box at the bottom of the screen. The current active edge being traversed from the current active node to the other node is shown in dark green. The edges that are in the BFS/DFS tree are shown in dark pink. The edges that have been traversed but do not belong to the tree are shown in light pink color. The un-traversed nodes and edges are left in gray. Each step of the algorithm is also explained using text messages in the text field on the right side of the screen.

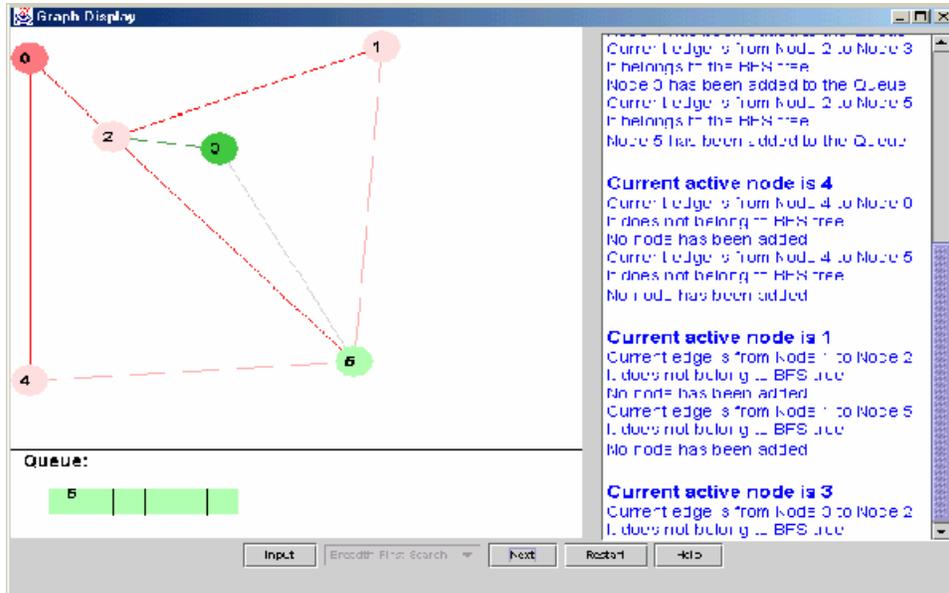


Figure 6.5: Different color combinations used in the visualization as explained in the paragraph below.

Users can also refer to the help manual if there is any confusion about the interface or the graphical display. The help manual is displayed when the help button is clicked.

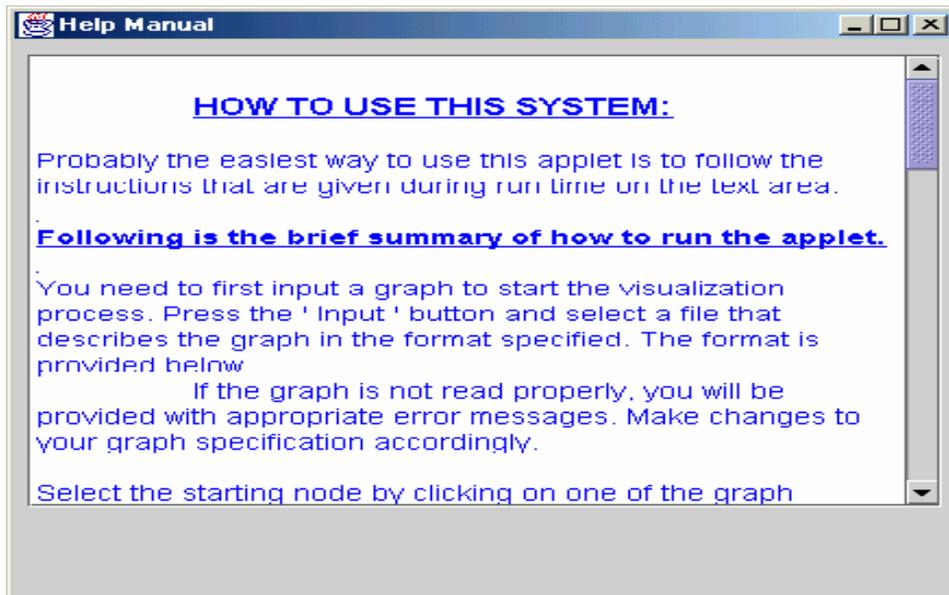


Figure 6.6: Help Manual.

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As shown in the figure below, once the graph traversal is complete, nodes that were visited are shown in pink and the starting node in dark pink. All the traversed edges are in pink with the BFS/DFS tree highlighted.

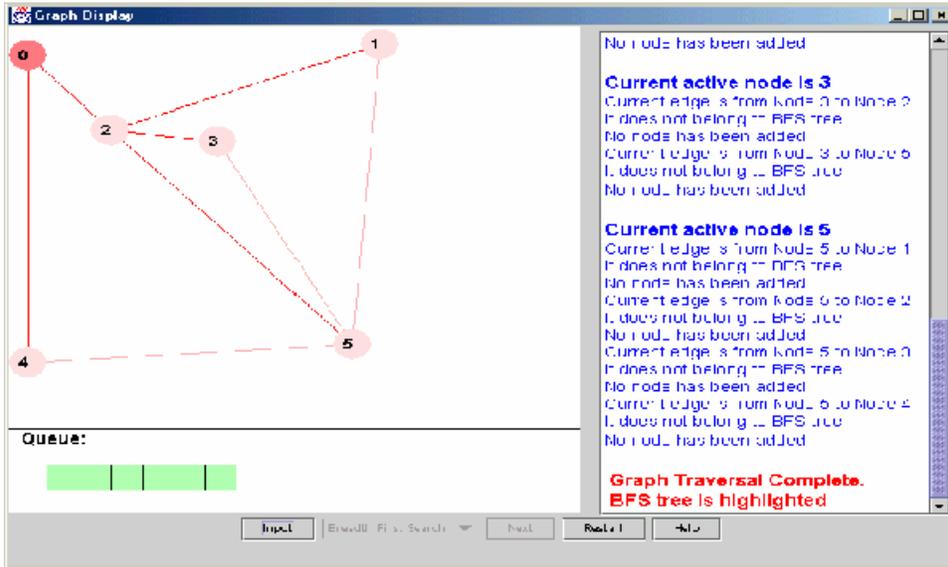


Figure 6.7: A traversed graph.

The unvisited nodes and edges in the graph are left in gray as shown in the figure below.

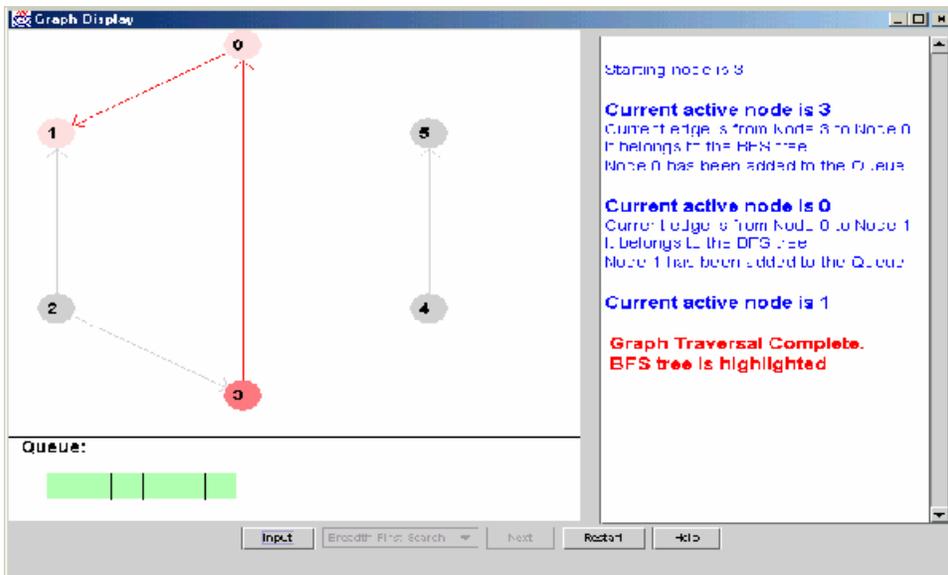


Figure 6.8: A traversed graph.

### Format for Graph Specification

The applet reads a graph from a file with the following format

1. All the statements starting with '#' are treated as comments and ignored.
2. Specify number of nodes in digits like 1, 2 etc.
3. Optionally, X and Y co-ordinates are specified to position the nodes on the display.  
The graph display is divided into a 1000 X 1000 co-ordinate system. If the co-ordinates are not specified, the graph nodes are displayed in a circle.
4. Specify the graph type by using the characters 'U' (Undirected) or 'D' (Directed).
5. Specify the number of edges.
6. In a separate line, for each edge, specify the starting and the ending node.

### A file format example is shown below

```
# You can include more comments using the '#' sign
4 # Number of vertices the co-ordinates for each vertex are below
10 40 # The X and Y co-ordinates
700 10
200 250
400 500
U #Undirected graph
5 # Number of edges
0 1 # the starting and the ending node for each edge
0 2
2 1
2 3
3 1
```

## 6.2 Skip Lists

The Skip List applet visualizes the Skip List data structure. The applet illustrates step-by-step insertion and search of an element in a Skip List.

When a user starts the visualization the text display, as shown below, instructs the user to enter an element in the text field. When the user enters an element the Skip List is displayed as shown below.

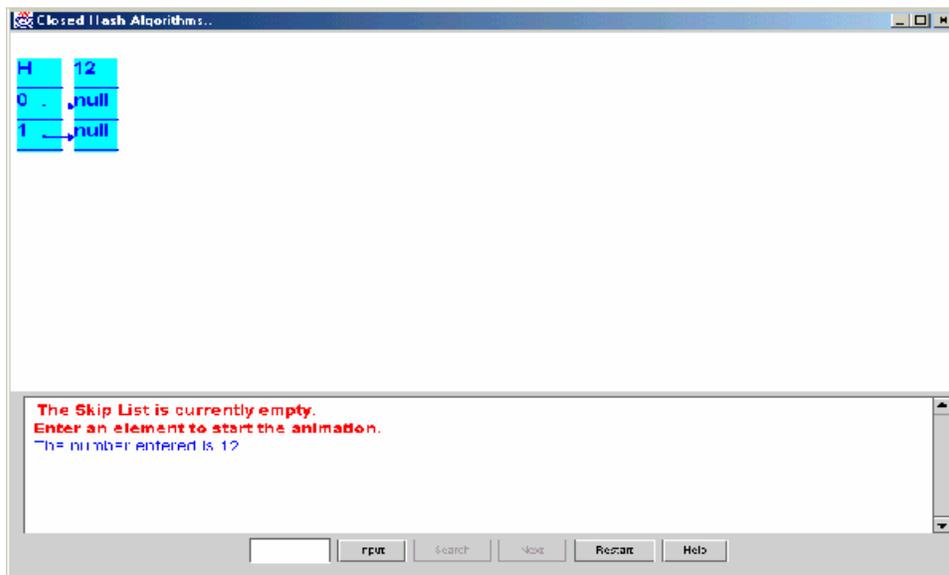


Figure 6.9: Initial Skip list.

The Input/Search button is used to specify the operation to be performed on the element that is entered. The users will trace the insertion or search operation by pressing the Next button. As shown in the figure below the pointers are highlighted using green color. The position to insert the element is displayed using a red arrow.

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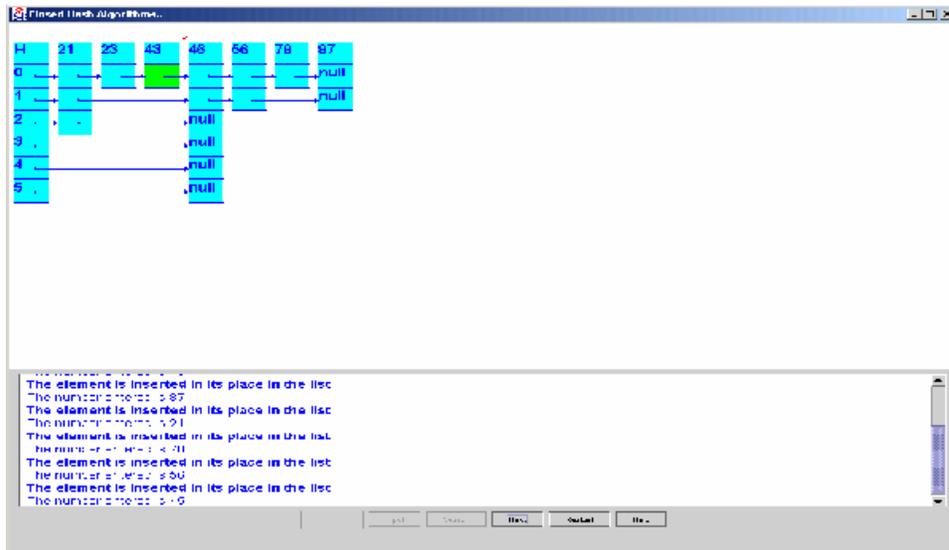


Figure 6.10: The current pointer is highlighted. The red arrow shows the position to insert the element

For the search operation the element, if found in the list, is highlighted using pink color. If the element that is being searched is not present in the Skip List then a message “The number was not found” is displayed as shown in the figure below.

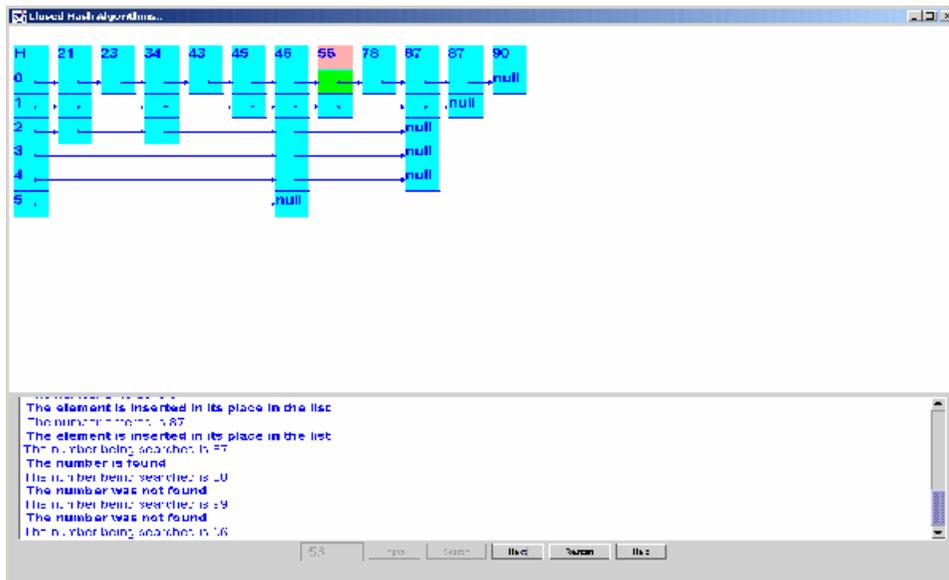


Figure 6.11: The element is found in the list.

### 6.3 Memory Management (Buffer Pool Applet)

The total number of pages that can be stored in the buffer pool or main memory is quite less than the total number of pages on the secondary storage device. As long as there is an unused buffer available in the buffer pool, new information can be read in from the disk as demanded but as an application continues to read new information, from the secondary storage device, eventually all the buffers from the buffer pool will become full. Once this happens, some decision has to be made about what information in the buffer pool will be removed to make room for the newly requested information. The goal is to select the page that has the information that is least likely to be requested again. Since the buffer pool cannot know for certain what the pattern of future requests will be, a decision based on some heuristic, or best guess, is made. This applet presents visualizations of the following heuristics.

**First In First Out (FIFO):** This approach stores the buffers in a queue. When the buffer pool is full the buffer at the front of queue is removed and the new buffer is stored at the end of the queue.

**Least Recently Used (LRU):** LRU simply keeps the buffers in a linked list. Whenever information in a buffer is accessed, this buffer is brought to the front of the list. When new information is to be read, the buffer at the end of the list is taken off and the new buffer is inserted in the front of the list.

**Least Frequently Used (LFU):** LFU tracks the number of accesses to the each buffer in the buffer pool. When a buffer has to be replaced, the one with the least number of accesses is removed.

When a user starts the visualization the text display, as shown below, instructs the user to select one of the buffer management algorithms that the user wants to visualize.

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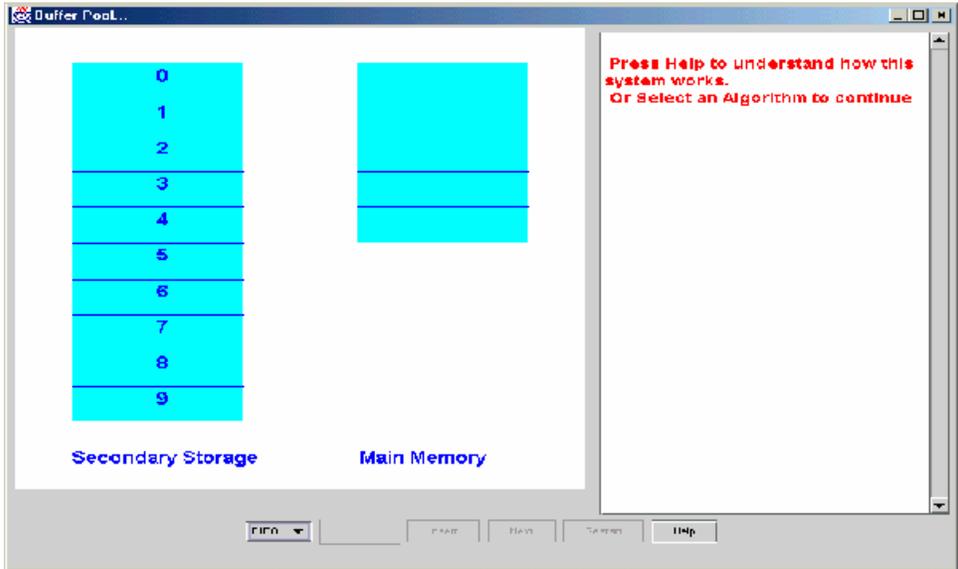


Figure 6.12: The starting interface of the system.

Users need to enter the page number to be inserted in the main memory. Memory updates depend on the algorithm that is selected. Users will step through the algorithm by pressing the 'Next' button repeatedly.

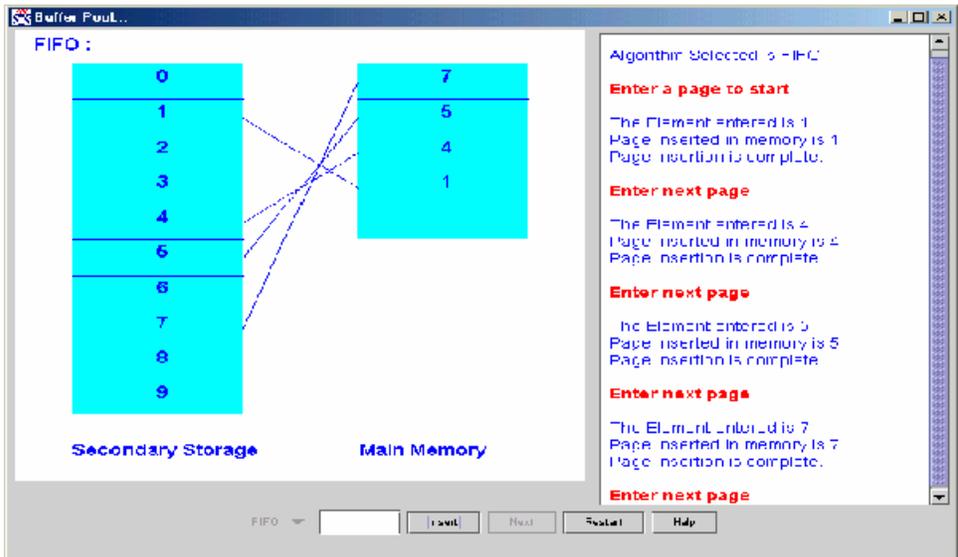


Figure 6.13: The pages that have been inserted in the main memory.

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Different color combinations have been used to show the updates to the main memory. The page inserted in the main memory is highlighted in green color. The page to be deleted is highlighted in red color. The messages on the text field explain memory updates using a blue color and also provide user instructions using red color.

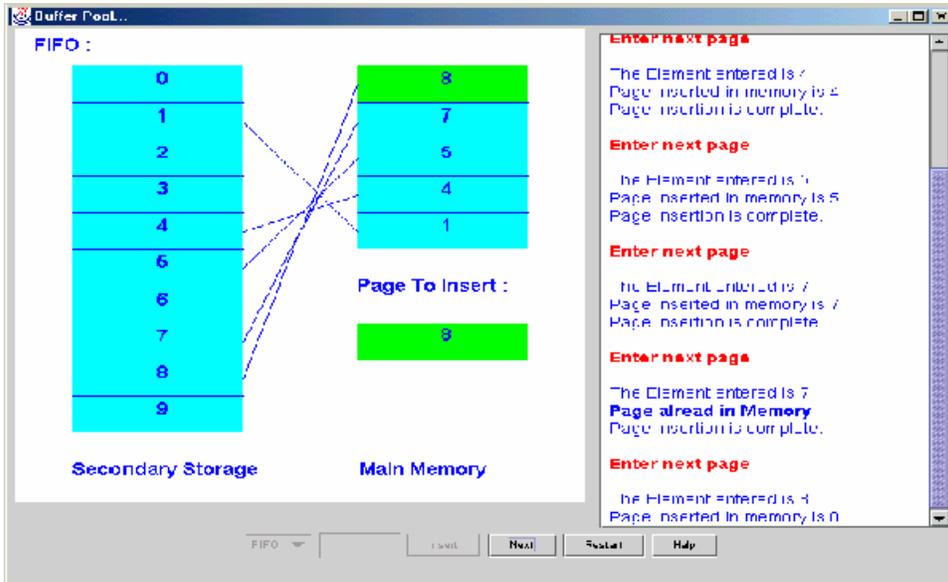


Figure 6.14: The page inserted in main memory highlighted in green

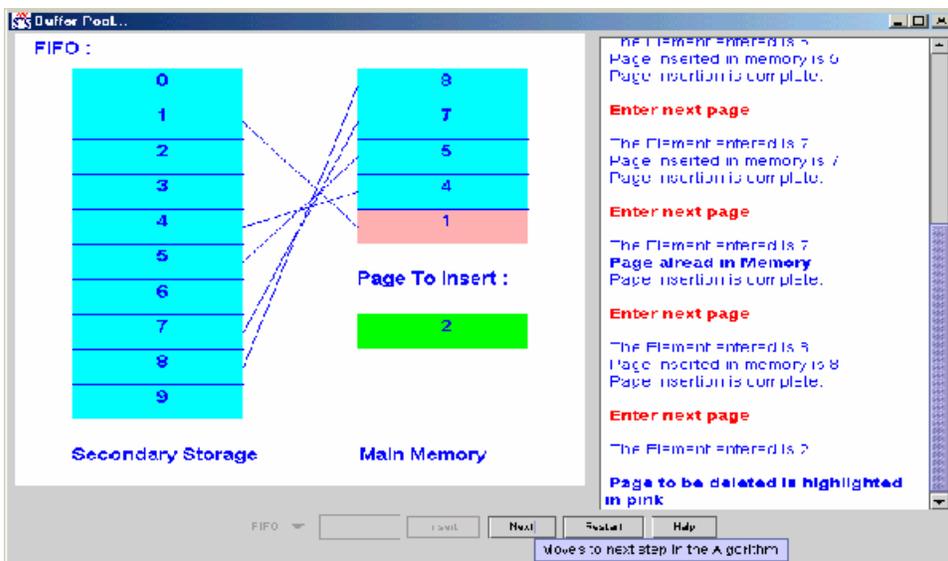


Figure 6.15: The page to be deleted is highlighted in red.

If a user chooses to visualize 'Least Frequently Used' memory management algorithm, then the number of accesses to the page are also displayed.

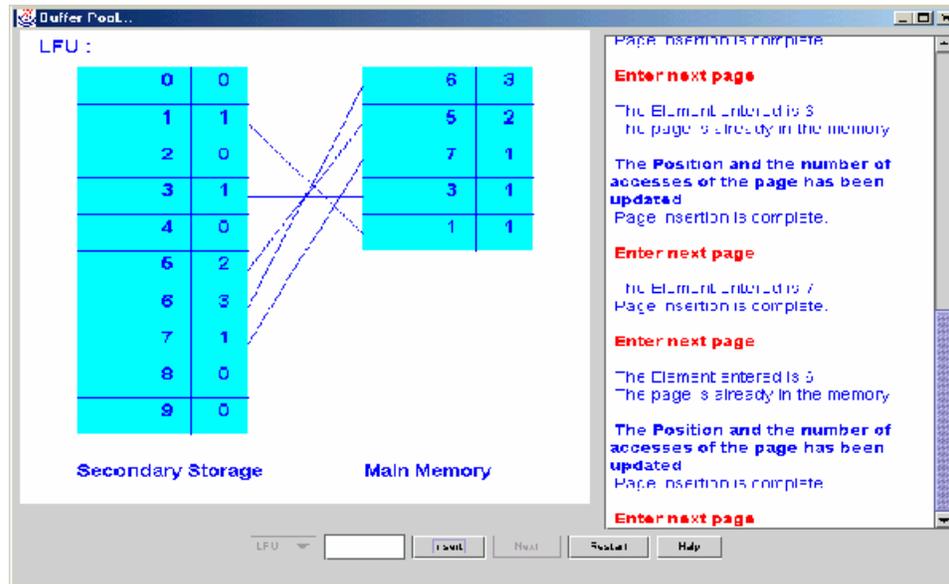


Figure 6.16: The number of disk accesses in case of LFU algorithm.

## 6.4 Hash Algorithms

In a hash algorithm, the address where the data is stored is calculated and stored in a table along with a key value. The table that stores the addresses of the data items along with their key values is called the hash table. The function that calculates the address where the data is to be stored in the data structure and maps them to key values is called a hash function and the process is called hashing. The hashing functions that have been visualized in this applet are.

- 1) Mod Function: (Take the remainder (Modulus) of the number and table size)
- 2) Add Digits: (Add the digits of the number and take modulus with the table size)
- 3) Reverse Digits: (Reverse the digits of the number and take modulus with the table size)
- 4) Mid-Square: (Take the middle bits of the square of the number entered, and perform modulus operation on the value of the middle bits with the table size)

Linear probe collision resolution policy has been used for all the hash functions.

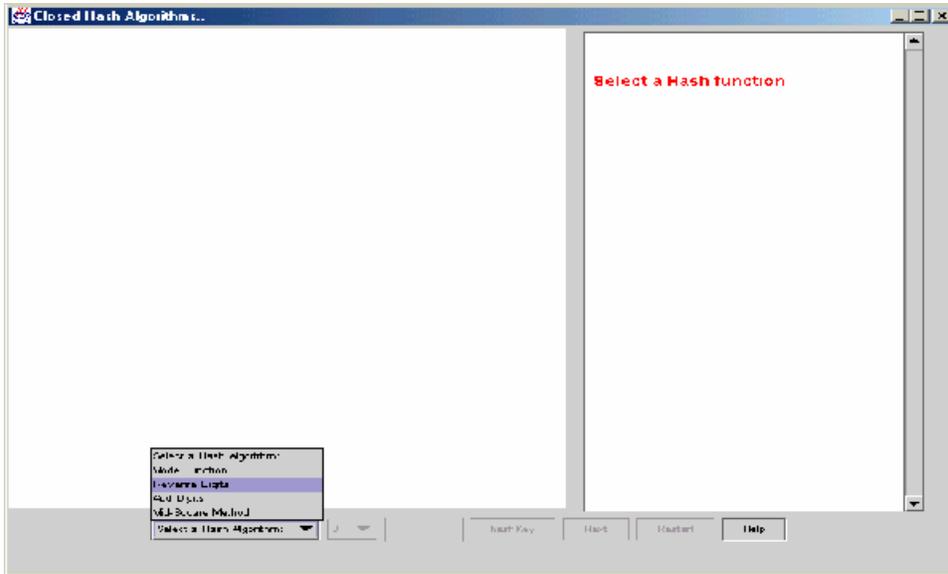


Figure 6.17: the various hash function available to the users.

When a user starts the visualization, the text display as shown above, instructs the user to select the hash function. Then the user selects the size of the table.

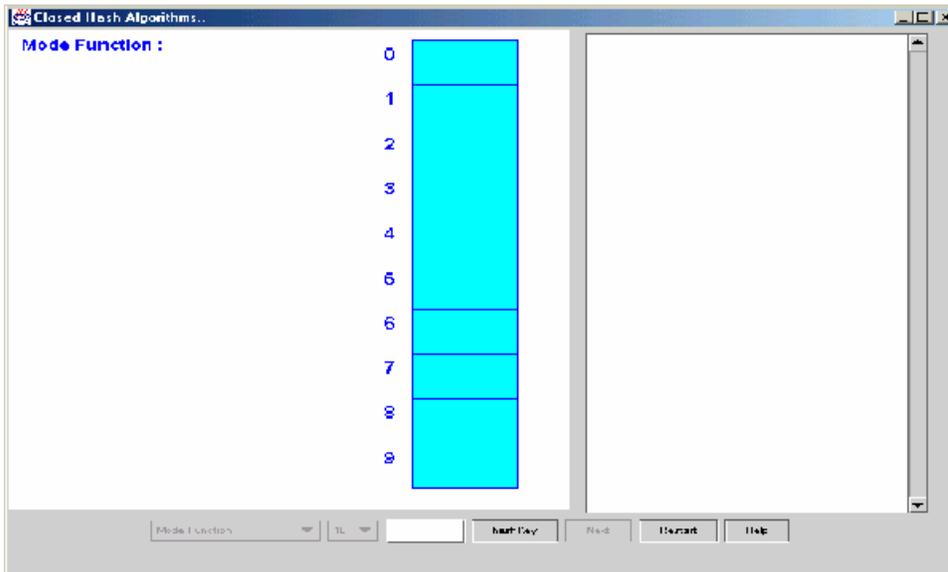


Figure 6.18: The hash table.

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The hash table is displayed when the user selects the table size. The user then needs to input a number, using the text input field, to enter into the table. The user will compute the hash key by pressing the hash key button. As shown in the figure below, feedback is provided on the display about the hash key and the function used to calculate it.

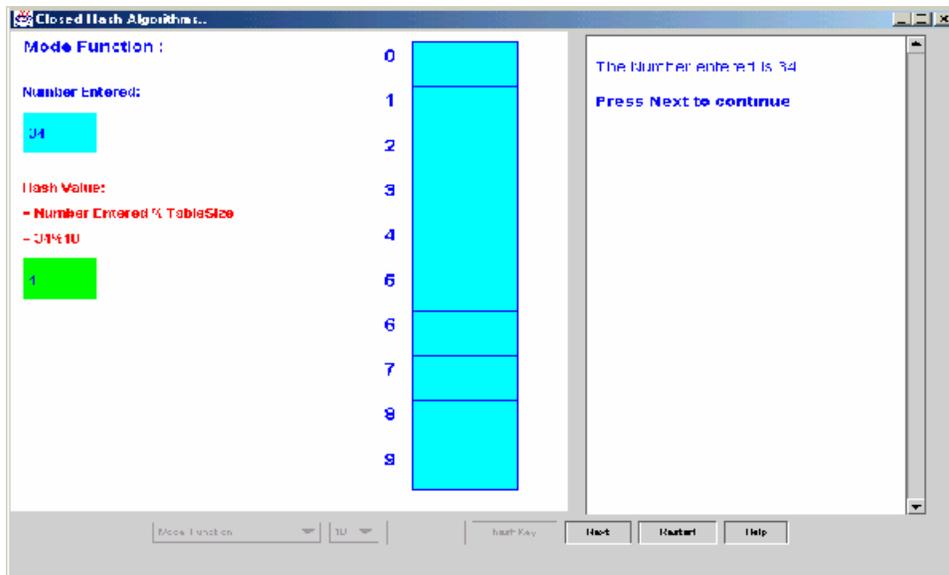


Figure 6.19: Hash value and the hash function used.

The user then steps through the algorithm using the next button. The slots in the table are highlighted depending on the values that are generated using the hash function as shown in the figure below.

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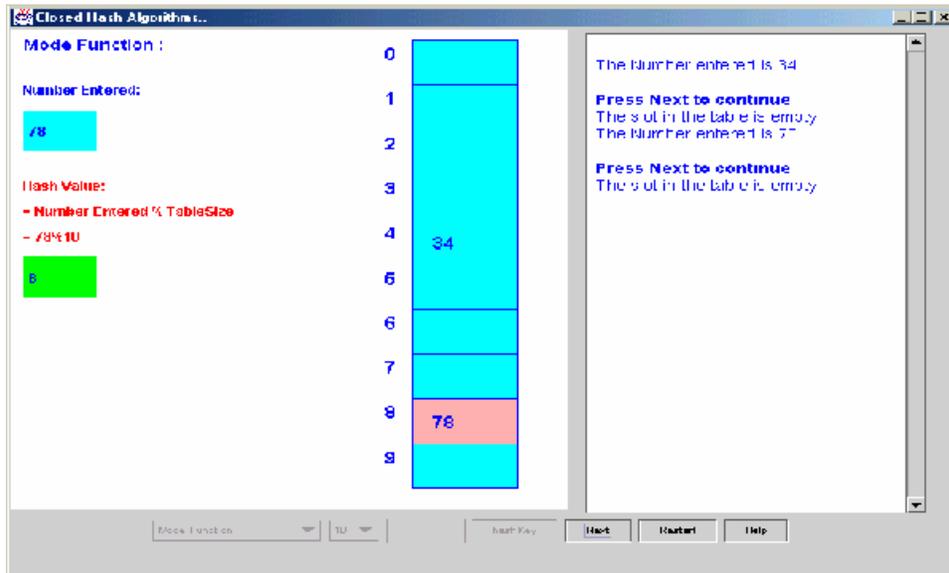


Figure 6.20: The position calculated by the hash value is highlighted.

If a collision resolution policy is used to determine an empty space to store the new data item, the user is given feedback about how the table position was recalculated using the collision resolution.

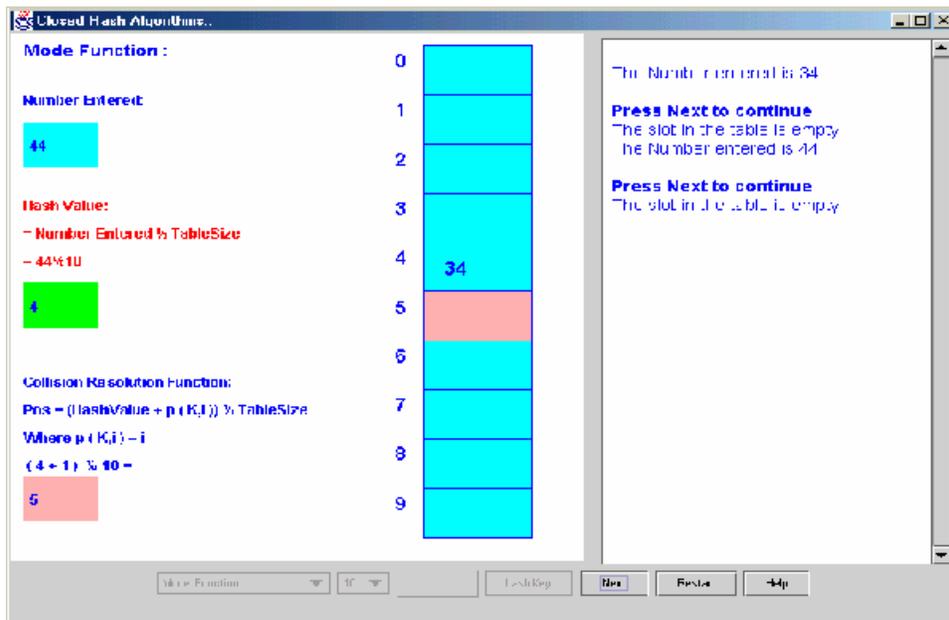


Figure 6.21: The collision resolution policy.

## 6.5 Collision Resolution Applet:

Typically for hashing algorithms, the range of hash key is comparatively larger than the number of slots in the hash table and so it is probable that multiple key values would be mapped to the same slot in the hash table. Given a hash function  $H$  and two key values  $K_1$  and  $K_2$ , if  $H(K_1) = H(K_2) = j$ , where  $j = \text{slot in the table}$ , then we say that keys  $K_1$  and  $K_2$  have a collision at slot  $j$  under the hash function  $H$ . Thus, to search a record with the key value  $K$  using hash function  $H$ , we go through the following two steps.

- a) Compute the table location  $H(K)$ .
- b) Starting with the table slot  $H(K)$ , locate the record containing key  $K$  (if there is a collision) using a collision resolution policy.

The collision resolution functions visualized in this applet are as follows:

1. Linear Probing
2. Linear probing with steps
3. Quadratic probing.
4. Double hashing
5. Pseudorandom probing

A common hash function which performs a modulus operation on the number entered and the table size and uses the remainder as the hash value is used for all the collision resolution mechanisms.

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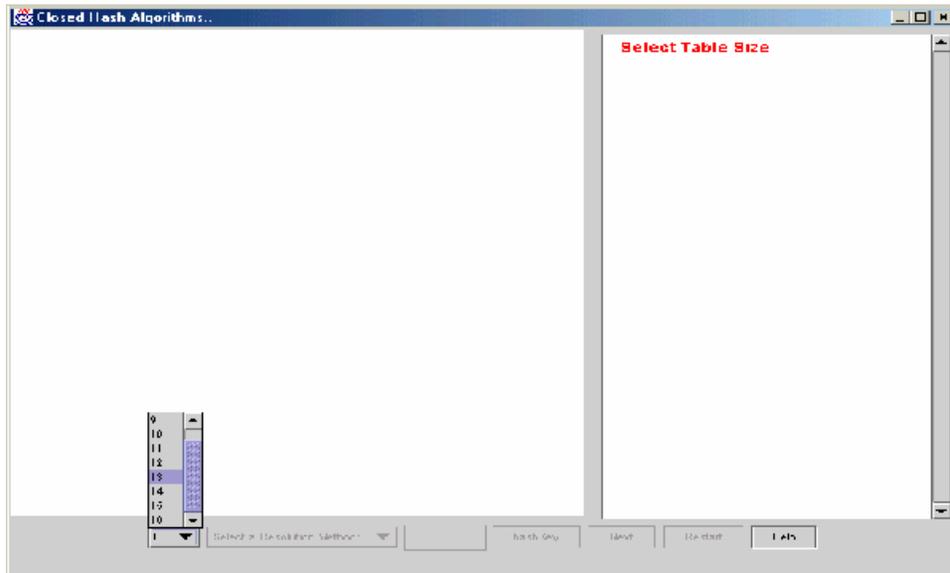


Figure 6.22: The user needs to select the table size first.

When a user starts the visualization, the text display as shown above, instructs the user to select the table size to be implemented using the combo box. The collision resolution policy to be visualized can be selected using the other combo-box.

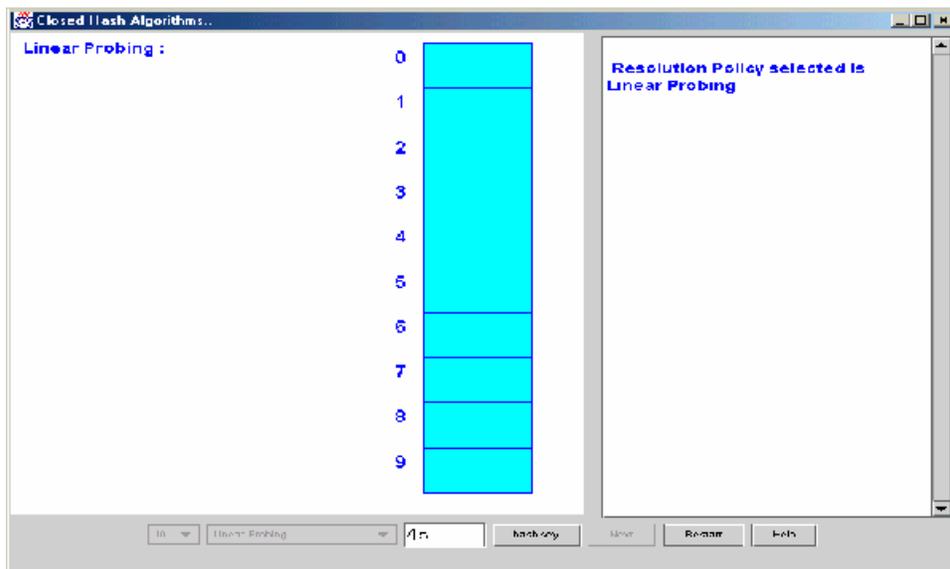


Figure 6.23: Hash Table. The collision resolution policy to be implemented is also displayed.

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The user after entering a number can compute the hash key by pressing the 'hashkey' button. The computed hash key and the function used are displayed on the display area.

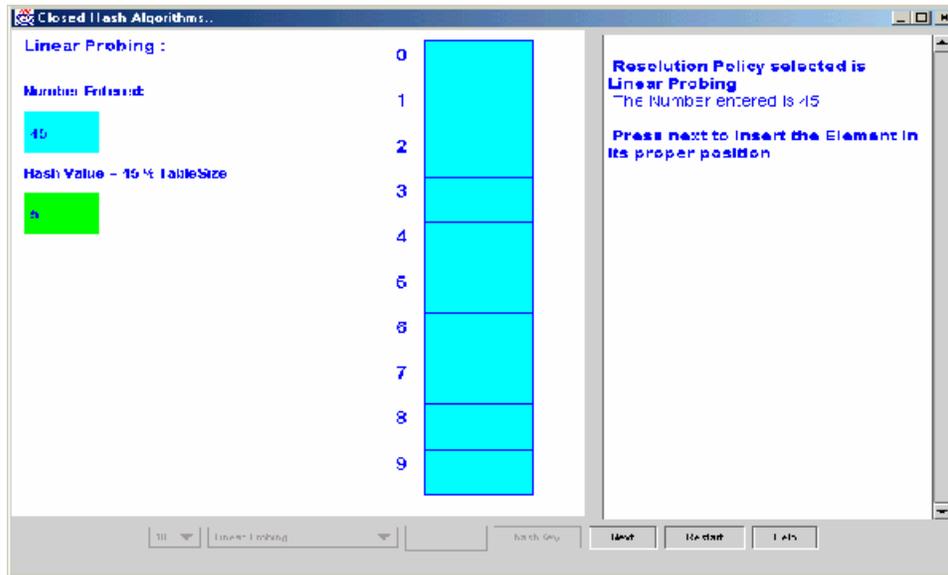


Figure 6.24: The hashkey is displayed on the display area.

The user will step through the algorithm using the next button. The slots in the table corresponding to the calculated hash key are highlighted as shown in the figure below.

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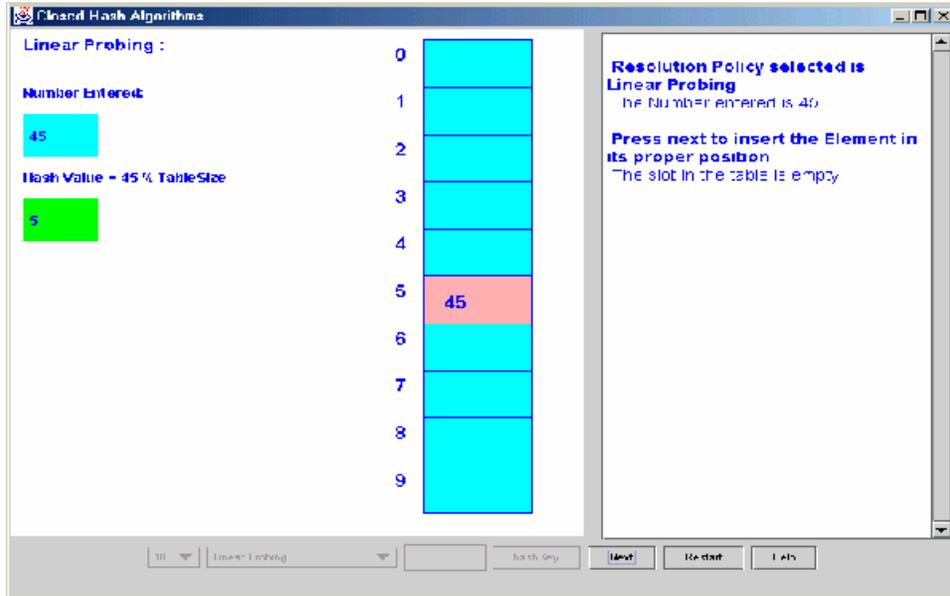


Figure 6.25: The columns are highlighted in the table.

If the slot computed by the hash function is already occupied, the new position and the collision function used are displayed as shown below.

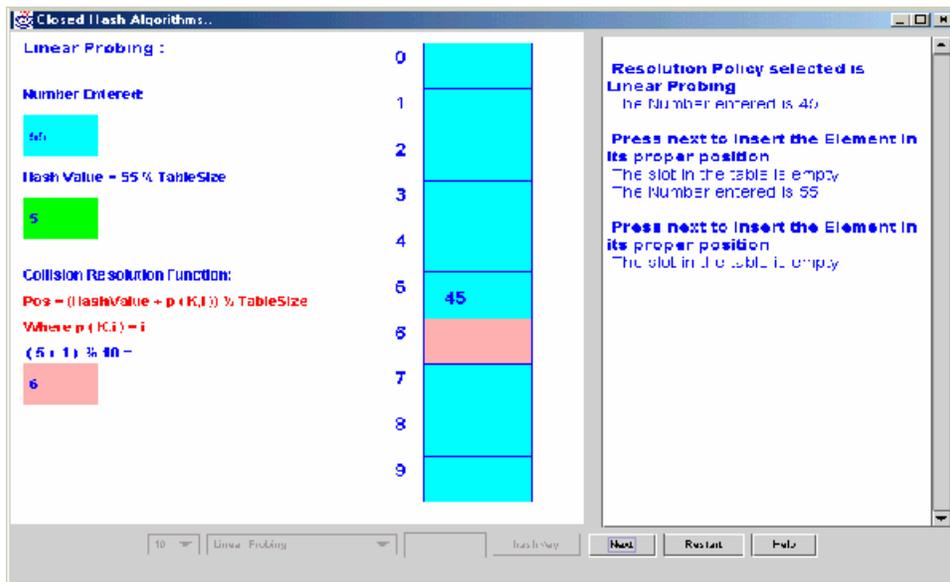


Figure 6.26: The collision resolution policy displayed on the display area.

# Chapter 7

## Conclusions and Future Work

### 7.1 Conclusions

We started our work by reviewing some of the well-known algorithm visualizations that have been developed over many years. The primary focus of this study was to know the system capabilities or features provided to users for effective learning. We also reviewed the current research on algorithm visualizations effectiveness to understand features in these systems that have proved to have positive learning effect on users (Chapter 2). Based on our studies, we hypothesized that certain features when incorporated into an algorithm visualization would make it more effective for users. We then proceeded to compile a list of candidate features that we believed might increase the pedagogical effectiveness of an algorithm visualization (Chapter 3). To measure the effect of each individual feature and identify the most important features from this list, we conducted two experiments using a variety of heapsort algorithm visualizations.

The following is a list of the key findings from our experiments.

- When a user can control the pace of algorithm visualization, it significantly increases the pedagogical value of the visualization.
- Providing students with an example data set that covers all the cases in an algorithm significantly increases the pedagogical value of the visualization.
- Participants having pseudocode display and an activity guide spend substantially more time with the visualization as compared to simple visualizations that do not have these features. Also these participants do not perform better on procedural questions as compared to those participants who do not have these features.

## *Chapter 7: Conclusions and Future Work*

Earlier in our work we had developed a set of features that that we believed should be taken into account while designing an algorithm visualization to increase its pedagogical value. This feature set is presented in Chapter 3.

The results of our experiments suggest that we were correct in some of our assumptions and that certain features do significantly increase the pedagogical effectiveness of an algorithm visualization. From the features that we had mentioned earlier, we found that being able to control all the steps of the algorithm and providing a data example that covers all the significant cases of the algorithm can significantly increase the pedagogical value of an algorithm visualization. But the experiments also indicated that we were wrong about certain features. Features like pseudocode display, an activity guide and using a history mechanism to revert to a previous step in the algorithm did not prove to have significant pedagogical effectiveness. Also, the results seem to indicate that providing users with a data input ability to enter their data sets need not result in a significant pedagogical value. There are other features a visualization should provide; appropriate feedback messages, if applicable a visualization should show both the physical view and the logical view of the data structure that the algorithm assumes, if a visualization uses multiple windows it is necessary to provide an effective window management, a visualization should show state changes in the algorithm and the updates to a data set or a particular data structure clearly) that we have not tested and cannot guarantee that they would have a significant pedagogical value.

### **7.2 Future Work**

On performing the analysis of total scores in the experiment and GPA (Grade Point Average) of participants, we noticed that many students having low GPAs ( $< 2.0/4.0$ ) performed really well on the test as compared to the students who have high GPAs. As future work, it would be interesting to know if there is any correlation between GPA and participants performance in the experiments. If participants who have low GPA consistently perform better than expected, it means that visualizations may help to explain the concepts and working of an algorithm for these students.

## *Chapter 7: Conclusions and Future Work*

As mentioned, our work focused mainly on finding key features that would make an algorithm visualization pedagogically more effective. However, we did not measure the advantage of using an algorithm visualization as compared to the course textbook. We do not know if an algorithm visualization that was mostly similar to Version 2 of Experiment 2 would provide more pedagogical benefit than the normal course textbook. It would also be interesting to know if there is any advantage to using the algorithm visualization together with the course textbook.

For our experiments we focused on 3 features (data example, pseudocode, user control) from the list of features that we created. From the results of Experiment 1 and Experiment 2 we can conclude that providing users with a data example and user control over the speed of algorithm execution can significantly increase the pedagogical effectiveness of an algorithm. It would be interesting to know if other features from the feature list would have any effect in increasing the pedagogical value of the algorithm visualizations.

The activity guide for our experiments was a paper-based question set. Many systems (like HalVis) have an activity guide or some comparative mechanism built in the algorithm visualization. In these systems, students are prompted for answers while they are working with the visualization. It would be interesting to know if a paper guide can be substituted with a guide that is built in to the system or whether a guide that is built in to the visualization increases its pedagogical value more as compared to a paper guide.

Our experiments concentrated on the heapsort algorithm, which is a relatively simple algorithm. For the future work, it would be interesting to know if the results obtained for this algorithm would also remain true for more complex algorithms.

We tested for short-term gain of knowledge. Participants worked with the visualization and answered questions immediately after working with it. It would be interesting to know if algorithm visualizations could help in a long-term gain of knowledge. Is there any advantage to using algorithm visualizations to teach an algorithm to students? Do the students who are taught algorithms in the class using visualizations perform better towards the end of the semester as compared to students

## *Chapter 7: Conclusions and Future Work*

who are taught by conventional teaching methods? It would also be interesting to know if students who have algorithm visualizations to work with for their homework assignments show increased learning as compared to students who do not use visualizations for this purpose.

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# Appendix A

## A.1 Guide 1

**Please read the following before you start the experiment.**

**Aim:** The main reason for performing this experiment is to evaluate our visualization of Heapsort. We want to know how much this applet can teach you about Heapsort.

**Procedure:** Once you are done reading this document, you will be asked to use an applet. You should work with the applet until you believe that you have learned enough to adequately understand how Heapsort works. There is no time limit. If at any time you are confused with the working of the applet, such as its feedback messages or button labels, please talk to the person who is conducting the experiment and he/she will be more than willing to help you. However, he/she will not answer any questions related to the Heapsort algorithm itself.

When you think that you are ready to take the test please tell the person conducting the experiment. You then will be given a set of questions to answer. Please answer these questions to the best of your ability.

**Background Information:** Please read the following material before you start the applet. You may also refer to it while working with the applet.

Heapsort sorts a given array of numbers using the max-heap data structure in ascending order. The algorithm has two stages. The array that is input is first reordered to meet the max-heap criterion (see below). Then we repeatedly remove the maximum value from the heap, restoring the heap property each time that we do so, until the heap is empty. Each time we remove the maximum element from the heap it is placed at the end of the array. Assume that  $n$  elements are stored in array positions 0 through  $n-1$  of the array. After removing the maximum value from the heap and readjusting, the maximum value will now be placed in position  $n-1$  of the array. The heap is now considered to be of size  $n-1$ . Removing the new maximum element value places the second largest value in position of  $n-2$  of the array. At the end of the process the array will be properly sorted from the least to greatest. This is the reason why heapsort algorithm uses a max-heap.

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**Max-heap:** A max-heap is defined by two properties. First it is a complete binary tree. Second, the value of each node is greater than or equal to its children. Hence, the root stores the maximum of all values in the tree.

**Complete binary tree:** A complete binary tree is one in which all the levels except the bottom are filled out completely, and the bottom level has all of its nodes fill from left to right.

### **The relation between the logical heap structure assumed by the heapsort algorithm and the array of the numbers to be sorted**

An array can represent a heap efficiently. Each element in the array corresponds to a node in the complete binary tree.

Label the nodes such that the root is 0 (it is at position 0 of the array), its left child is 1 (it is at position 1 of the array) and the right child is 2 (it is at position 2 of the array), the nodes in the next level of the tree are from 3 to 6 (it is at position 3-6 of the array) and so on.

Consider an index  $r$  in the array, which falls within the range 0 to  $N-1$  (where  $N$  is the size of the array).

The parent node of  $r$  is given by the formula  $\lfloor (r-1)/2 \rfloor$  if  $r \neq 0$ , it represents the element  $A[\lfloor (r-1)/2 \rfloor]$  of the array.

Left child of  $r$  is given by the formula  $2*r+1$  if  $2*r+1 < N$ , it represents the element  $A[2*r+1]$  of the array.

Right child of  $r$  is given by the formula  $2*r+2$  if  $2*r+2 < N$ , it represents the element  $A[2*r+2]$  of the array.

Given the above correspondence between the heap representation and the array elements, it is easy to compute various relationships between the nodes in the tree so no additional information need to be stored to maintain the tree structure.

## A.2 Guide 2

**Please write the answers to the following questions while working with the applet:**

This applet animates the sorting of elements in an array using the heapsort algorithm. The applet shows two different views of the heap. One is the physical view of the heap (the way the array is actually stored in the memory), and the other is the logical view of the heap as a complete binary tree.

Start the algorithm visualization by entering the following numbers 5,10,15,20,25,30,35,40.

Study the relationships between the two views.

- What position in the array corresponds to the root of the tree? What is its value?
- What position in the array corresponds to the leaves in the tree? What are their values? (Leaves are those nodes of the tree that do not have any children)

Notice that even though the numbers are sorted, the algorithm begins by re-arranging them. The first phase is to build heap. As the heap is built try to understand **how and why** does the algorithm rearrange these numbers.

- What is the first pair of numbers that is compared? Why are these numbers compared first? What are their array indices?
- What is the second pair of numbers that is compared? Why are these numbers compared second?
- What is the first pair of numbers that are exchanged? Why are these numbers exchanged?

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- In general, what is the relationship (in terms of tree positions) between any two nodes that are compared?
- In general, what is the relationship (in terms of tree positions) between any two nodes that are exchanged?
- In general, why does the algorithm compare two numbers?
- In general, when does the algorithm exchange two numbers?

At this point, continue using the applet until the Max-heap is constructed, and the sorting phase of the algorithm is ready to begin. You will know that you have reached this point when you see the message "Max-heap has been created" for the first time.

- After the first heap is built, where is the node with the greatest value in the tree? What is its corresponding position in the array?
- Do you think that the greatest value can have any other position in the Max-heap? If yes write down where.
- After the first heap is built, where is the node with the least value in the tree? What is the corresponding position in the array?
- Do you think that the least value can be at any other position in the Max-heap? If yes, write down where?

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Once the sorting phase begins, an element will be removed from the heap.

- Which element was removed from the heap?
- What is the new position of the element that is removed from the heap?
- Why was that element removed? (Hint: Notice the position of the element as compared to its final position in a sorted array)
- Which element replaces the element that is removed? What is its new position in the tree?
- Does the array, at this point, obey the Max-heap ordering property? If not, what elements are not in the heap-order (write their positions in the tree).
- Can you explain why we created the heap before we begin the sorting phase? (Hint: Notice the next step in the algorithm after the heap is created.)

Continue clicking the “Next button” until the Max-heap is re-created.

- Which element is the new root of the tree? What is its value in comparison to the remaining tree elements?

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- Notice that the element that was removed is no longer compared or exchanged with any other elements of the array or the tree can you think a reason for this?
- What is the first operation to be performed after the heap is re-created?
- Can you now explain the reason for re-creating the heap?

Continue to click the next button until all the numbers are sorted.

- What are the two important phases to the heap-sort algorithm?

Enter more sets of numbers and decide whether you can apply your answers to the above questions for all sets of numbers.

At this point, hit the "Restart button" and enter the following set of numbers: 15, 6, 10, 5, 4, 8, 9.

- Notice that for sorting this set of numbers no exchanges are needed to form an initial Max-heap. Explain why.
- Find another set of numbers that require no exchanges to form initial heap, but which are not in strictly decreasing order. What set of numbers did you use? (Hint: try to enter the numbers in an order so that they obey the heap property).

### A.3 Question Set 1

**In each of the following questions circle the choice that you think is correct:**

**Q-1** A heap is \_\_\_\_\_

- a) a full binary tree. (each node has either 0 or 2 children but no 1 child).
- b) a random binary tree (the children are assigned randomly to the nodes).
- c) a complete binary tree. (a tree filled from left to right and top to bottom).
- d) a tertiary tree (each node can have up to 3 children).

**Q-2** What is the relation between a parent node and its children in case of a max-heap?

- a) The value of the parent node has to be less than or equal to all of its children.
- b) The value of the parent node has to be greater than or equal to all of its children.
- c) The value of the parent node has to be greater than or equal to its right-most child.
- d) The value of the parent node has to be greater than or equal to its left-most child.
- e) There is no relationship between the parent node and the children nodes for the heap-sort algorithm.

**Q-3** Where is the node with the greatest value in a tree representing a max-heap?

- a) It is the rightmost leaf of the tree.
- b) It can be any one of the leaves.
- c) It can be any one of the parent nodes.
- d) It is the root of the tree.

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- Q-4** Where is the node with the least value in a tree representing a max-heap?
- a) It is the rightmost leaf of the tree.
  - b) It can be any one of the leaves.
  - c) It is the root of the tree.
  - d) It can be any one of the parent nodes.
- Q-5** Where is the element with the greatest value in an array representing a max-heap?
- a) It is the first element in the array.
  - b) It is the last element in the array.
  - c) The element could be anyone of the last half elements of the array.
  - d) The element could be anyone of the first half elements of the array.
- Q-6** Where is the element with the least value in an array representing a max-heap?
- a) It is the first element in the array.
  - b) It is the last element in the array.
  - c) The element could be anyone of the last half elements of the array.
  - d) The element could be anyone of the first half elements of the array.
- Q-7** In addition to the array that stores the elements to be sorted how much more memory does the heap-sort algorithm require to sort elements?
- a) No extra memory is required.
  - b) Just a few more fixed number of extra variables that is not related to the size of the array.
  - c) As much memory as the size of the array.
  - d) The amount of extra memory required depends on the values being sorted.
  - e) Infinite amount of memory is required for heap-sort algorithm.

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**Q-8** Which out of the following arrays is a max-heap?

a) 

9	1	3	4
---	---	---	---

b) 

9	4	1	3
---	---	---	---

c) 

1	9	3	4
---	---	---	---

d) 

4	1	3	9
---	---	---	---

**Q-9** Which of the following pseudocodes form a max-heap?

a) for each node in the tree that has children {  
    while (the node has children) {  
        int index\_of\_greater\_child = left child;  
  
        if (the node has a right child)  
            index\_of\_greater\_child = greater (left child, right child);  
  
        if ( parent.value < index\_of\_greater\_child.value )  
            break out of the while loop;  
        else{  
            swap (parent, index of greater child);  
            parent = index\_of\_greater\_child;  
        }  
    }  
}

b) for each node in the tree that has children {  
    while (the node has children) {  
        int index\_of\_greater\_child = right child;  
  
        if (the node has a left child)

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```
        index_of_greater_child = greater (left child, right child);

    if ( parent.value > index_of_greater_child.value )
        break out of the while loop;
    else{
        swap (parent, index of greater child);
        parent = index_of_greater_child;
    }
}

c) for each node in the tree that has children {
    while (the node has children) {
        int index_of_greater_child = left child;

        if (the node has a right child)
            index_of_greater_child = greater (left child, right child);

        if ( parent.value > index_of_greater_child.value )
            break out of the while loop;
        else{
            swap (parent, index of greater child);
            parent = index_of_greater_child;
        }
    }
}

d) for each node in the tree that has children {
    while (the node has children) {
        int index_of_greater_child = right child;

        if (the node has a left child)
            index_of_greater_child = greater (left child, right child);

        if ( parent.value > index_of_greater_child.value )
            break out of the for loop;
        else{
            swap (parent, index of greater child);
            parent = index_of_greater_child;
        }
    }
}
```

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**Suppose you have just entered the following array of elements (the elements are in a random order) to sort them using the heap-sort algorithm. The process of re-arranging them in a Max-heap format has just started.**

1	2	3
---	---	---

**Based on the given information answer the following questions.**

**Note that:** In the questions below logical operation means either comparison of two elements or exchange of two elements.

**Q-10** What will be the first logical operation in the algorithm after the elements are entered?

- a) The elements 1 and 2 will be compared.
- b) The elements 2 and 3 will be compared.
- c) The elements 1 and 2 will be exchanged.
- d) The elements 2 and 3 will be exchanged.

**Q-11** What will be the second logical operation in the algorithm?

- a) The elements 1 and 2 will be compared
- b) The elements 1 and 3 will be compared.
- c) The elements 1 and 2 will be exchanged.
- d) The elements 1 and 3 will be exchanged.

**Q-12** What will be the third logical operation in the algorithm?

- a) The elements 1 and 2 will be compared.
- b) The elements 1 and 3 will be compared.

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- c) The elements 1 and 2 will be exchanged.
- d) The elements 1 and 3 will be exchanged.

**Q-13** What will be the fourth logical operation in the algorithm?

- a) The elements 1 and 2 will be compared.
- b) The elements 1 and 2 will be exchanged.
- c) The elements 1 and 3 will be exchanged.
- d) There will be no fourth logical operation

**Q-14** Which of the following can be the pseudo-code for heapsort algorithm?

- a) 

```
While (arraylength >1)
{
    create heap;
    exchange (first element, last element of the array)
    arraylength--;
}
```
- b) 

```
While (arraylength > 1)
{
    create heap;
    exchange (first element, last element of the array)
    arraylength++;
}
```
- c) 

```
While (arraylength < N)
{
    create heap;
    exchange ( first element, last element of the array)
    arraylength--;
}
```

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```
d)   While (arraylength < N)
      {
          create heap;
          exchange (first element, last element of the array)
          arraylength++;
      }
```

**Suppose that the following array of elements is being sorted in ascending order using the heapsort algorithm.**

The first max-heap has been just created by the algorithm (as shown by the order of array elements below) and the sorting phase of the algorithm is about to start.

8	6	5
---	---	---

Based on the given information answer the following questions.

**Note that:** In the questions below logical operation means either comparison of two elements or exchange of two elements.

**Q-15** What will be the first logical operation in the algorithm as after the max-heap is created?

- a) The elements 5 and 8 will be compared.
- b) The elements 6 and 8 will be compared.
- c) The elements 5 and 8 will be exchanged.
- d) The elements 6 and 8 will be exchanged.

**Q-16** What will be the second logical operation in the algorithm?

- a) The elements 5 and 6 will be compared
- b) The elements 5 and 8 will be compared.
- c) The elements 5 and 6 will be exchanged.

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d) The elements 6 and 8 will be exchanged.

**Q-17** What will be the third logical operation in the algorithm?

- a) The elements 5 and 6 will be compared
- b) The elements 6 and 8 will be compared.
- c) The elements 5 and 6 will be exchanged.
- d) The elements 6 and 8 will be exchanged.

**Q-18** What will be the fourth logical operation in the algorithm?

- a) The elements 5 and 6 will be compared.
- b) The elements 6 and 8 will be compared.
- c) The elements 5 and 6 will be exchanged.
- d) The elements 6 and 8 will be exchanged.

**Q-19** What will be the fifth logical operation in the algorithm?

- a) The elements 5 and 6 will be compared.
- b) The elements 6 and 8 will be compared.
- c) The elements 5 and 8 will be exchanged.
- d) There will be no fifth logical operation.

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**A.4 Question Set 2**

**In each of the following questions circle the choice that you think is correct:**

**Q-1** What is the relation between a parent node and its children in case of a max-heap?

- a) The value of the parent node has to be greater than or equal to all of its children.
- b) The value of the parent node has to be greater than or equal to its right-most child.
- c) The value of the parent node has to be greater than or equal to its left-most child.
- d) There is no relationship between the parent node and the children nodes for the heap-sort algorithm.

**Q-2** Where is the node with the greatest value in a tree representing a max-heap?

- a) It is the rightmost leaf of the tree.
- b) It can be any one of the leaves.
- c) It can be any one of the parent nodes.
- d) It is the root of the tree.

**Q-3** Which out of the following arrays is a max-heap?

a) 

4	3	5
---	---	---

b) 

4	5	3
---	---	---

c) 

3	4	5
---	---	---

d) 

5	3	4
---	---	---

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**Q-4** Which out of the following arrays is a max-heap?

a) 

9	1	3	4
---	---	---	---

b) 

9	4	1	3
---	---	---	---

c) 

1	9	3	4
---	---	---	---

d) 

4	1	3	9
---	---	---	---

**Q-5** Which out of the following arrays is a max-heap?

a) 

8	1	7	3	2
---	---	---	---	---

b) 

8	7	1	3	2
---	---	---	---	---

c) 

8	1	7	2	3
---	---	---	---	---

d) 

8	2	7	3	1
---	---	---	---	---

*Appendix A*

**Suppose you have just entered the following array of elements (the elements are in a random order) to sort them using the heap-sort algorithm. The process of re-arranging them in a Max-heap format has just started.**

8	9	10
---	---	----

**Based on the given information answer the following questions.**

**Note that:** In the questions below logical operation means either comparison of two elements or exchange of two elements.

**Q-6** What will be the first logical operation in the algorithm after the elements are entered?

- a) The elements 8 and 10 will be compared.
- b) The elements 9 and 10 will be compared.
- c) The elements 8 and 9 will be exchanged.
- d) The elements 8 and 10 will be exchanged.

**Q-7** What will be the second logical operation in the algorithm?

- a) The elements 8 and 10 will be compared.
- b) The elements 9 and 10 will be compared.
- c) The elements 8 and 9 will be exchanged.
- d) The elements 8 and 10 will be exchanged.

**Q-8** What will be the third logical operation in the algorithm?

- a) The elements 8 and 10 will be compared.
- b) The elements 9 and 10 will be compared.

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- c) The elements 8 and 10 will be exchanged.
- d) The elements 9 and 10 will be exchanged.

**Suppose you have just entered the following array of elements (the elements are in a random order) to sort them using the heap-sort algorithm. The process of re-arranging them in a Max-heap format has just started.**

2	3	8	7
---	---	---	---

**Based on the given information answer the following questions.**

**Note that:** In the questions below logical operation means either comparison of two elements or exchange of two elements.

**Q-9** What will be the first logical operation in the algorithm after the elements are entered?

- a) The elements 3 and 7 will be compared.
- b) The elements 3 and 8 will be compared.
- c) The elements 7 and 8 will be compared.
- d) The elements 2 and 3 will be compared.

**Q-10** What will be the second logical operation in the algorithm after the elements are entered?

- a) The elements 2 and 3 will be compared.
- b) The elements 2 and 8 will be compared.
- c) The elements 3 and 7 will be exchanged.
- d) The elements 3 and 8 will be exchanged.

**Q-11** What will be the third logical operation in the algorithm after the elements are entered?

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- a) The elements 3 and 7 will be compared.
- b) The elements 7 and 8 will be compared.
- c) The elements 2 and 8 will be compared
- d) The elements 2 and 8 will be exchanged.

**Q-12** What will be the fourth logical operation in the algorithm after the elements are entered?

- a) The elements 2 and 7 will be compared.
- b) The elements 2 and 8 will be compared.
- c) The elements 7 and 8 will be compared.
- d) The elements 2 and 8 will be exchanged.

**Q-13** What will be the fifth logical operation in the algorithm after the elements are entered?

- a) The elements 2 and 7 will be compared.
- b) The elements 2 and 8 will be compared.
- c) The elements 7 and 8 will be compared.
- d) The elements 2 and 8 will be exchanged.

**Suppose that the following array of elements is being sorted in ascending order using the heapsort algorithm.**

The first max-heap has been just created by the algorithm. The sorting phase of the algorithm is about to start.

8	5	6
---	---	---

Based on the given information answer the following questions.

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**Note that:** In the questions below logical operation means either comparison of two elements or exchange of two elements.

**Q-14** What will be the first logical operation in the algorithm after the max-heap is created?

- a) The elements 5 and 8 will be compared.
- b) The elements 6 and 8 will be compared.
- c) The elements 5 and 8 will be exchanged.
- d) The elements 6 and 8 will be exchanged.

**Q-15** What will be the second logical operation in the algorithm?

- a) The elements 5 and 6 will be compared
- b) The elements 5 and 8 will be compared.
- c) The elements 5 and 6 will be exchanged.
- d) The elements 6 and 8 will be exchanged.

**Q-16** What will be the third logical operation in the algorithm?

- a) The elements 5 and 6 will be compared
- b) The elements 6 and 8 will be compared.
- c) The elements 5 and 6 will be exchanged.
- d) The elements 6 and 8 will be exchanged.

**Suppose that the following array of elements is being sorted in ascending order using the heapsort algorithm.**

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The first max-heap has been just created by the algorithm. The sorting phase of the algorithm is about to start.

6	3	1	2
---	---	---	---

Based on the given information answer the following questions.

**Note that:** In the questions below logical operation means either comparison of two elements or exchange of two elements.

**Q-17** What will be the first logical operation in the algorithm after the max-heap is created?

- a) The elements 1 and 2 will be compared.
- b) The elements 2 and 6 will be compared.
- c) The elements 2 and 6 will be exchanged.
- d) The elements 1 and 6 will be exchanged.

**Q-18** What will be the second logical operation in the algorithm after the max-heap is created?

- a) The elements 1 and 3 will be compared.
- b) The elements 2 and 3 will be compared.
- c) The elements 1 and 2 will be exchanged.
- d) The elements 1 and 6 will be exchanged.

**Q-19** What will be the third logical operation in the algorithm after the max-heap is created?

- a) The elements 1 and 3 will be compared.
- b) The elements 2 and 3 will be compared.
- c) The elements 1 and 2 will be exchanged.
- d) The elements 1 and 6 will be exchanged.

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**Q-20** What will be the fourth logical operation in the algorithm after the max-heap is created?

- a) The elements 1 and 3 will be compared.
- b) The elements 2 and 3 will be compared.
- c) The elements 1 and 2 will be exchanged.
- d) The elements 2 and 3 will be exchanged.

**Q-21** What will be the fifth logical operation in the algorithm after the max-heap is created?

- a) The elements 1 and 3 will be compared.
- b) The elements 2 and 3 will be compared.
- c) The elements 1 and 3 will be exchanged.
- d) The elements 2 and 3 will be exchanged.

**Q-22** What will be the sixth logical operation in the algorithm after the max-heap is created?

- a) The elements 1 and 2 will be compared.
- b) The elements 2 and 3 will be compared.
- c) The elements 1 and 2 will be exchanged.
- d) The elements 2 and 3 will be exchanged.

**Q-23** What will be the seventh logical operation in the algorithm after the max-heap is created?

- a) The elements 1 and 2 will be compared.
- b) The elements 2 and 3 will be compared.
- c) The elements 1 and 2 will be exchanged.

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d) The elements 2 and 3 will be exchanged.

**Q-24** What will be the eighth logical operation in the algorithm after the max-heap is created?

a) The elements 1 and 2 will be compared.

b) The elements 2 and 3 will be compared.

c) The elements 1 and 2 will be exchanged.

d) The elements 2 and 3 will be exchanged.

## A.5 Anova Analysis For Experiment 1

The following is the Anova analysis on the average GPAs for each group.

Anova: Single Factor

### SUMMARY

<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1	11	32.58	2.96181818	0.5003964
Version 2	14	42.123	3.00878571	0.5192776
Version 3	13	40.02	3.07846154	0.2248308
Version 4	14	41.873	2.99092857	0.5071567
Version 5	12	36.12	3.01	0.3639273

### ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	0.091489597	4	0.0228724	0.0538737	0.99444	2.528
Within Groups	25.04877815	59	0.42455556			
Total	25.14026775	63				

Table A.5.1: Anova analysis on GPA for each group.

The following is the Anova analysis on the total performance of the participants.

Anova: Single Factor

### SUMMARY

<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1	11	161	14.63636	10.45455
Version 2	14	169	12.07143	14.68681
Version 3	13	171	13.15385	19.80769
Version 4	14	186	13.28571	11.75824
Version 5	12	163	13.58333	10.99242

### ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	41.99736	4	10.49934	0.767667	0.550568	2.527905
Within Groups	806.9401	59	13.67695			
Total	848.9375	63				

Table A.5.2: Anova analysis on total score

The following is the Anova analysis on question 2 on the test.

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Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1		11	11	1	0
Version 2		14	12	0.857143	0.131868
Version 3		13	12	0.923077	0.076923
Version 4		14	13	0.928571	0.071429
Version 5		12	12	1	0

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	0.184066	4	0.046016	0.761364	0.554624	2.527905
Within Groups	3.565934	59	0.06044			
Total	3.75	63				

Table A.5.3: Anova analysis on question 2.

The following is the Anova analysis on question 3 on the test.

Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1		11	11	1	0
Version 2		14	12	0.857143	0.131868
Version 3		13	12	0.923077	0.076923
Version 4		14	13	0.928571	0.071429
Version 5		12	12	1	0

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	0.184066	4	0.046016	0.761364	0.554624	2.527905
Within Groups	3.565934	59	0.06044			
Total	3.75	63				

Table A.5.4: Anova analysis on question 3.

The following is the Anova analysis on question 4 on the test.

Appendix A

Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1		11	10	0.909091	0.090909
Version 2		14	10	0.714286	0.21978
Version 3		13	10	0.769231	0.192308
Version 4		14	11	0.785714	0.181319
Version 5		12	10	0.833333	0.151515

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	0.261639	4	0.06541	0.382183	0.8205	2.527905
Within Groups	10.09774	59	0.171148			
Total	10.35938	63				

Table A.5.5: Anova analysis on question 4.

The following is the Anova analysis on question 5 on the test.

Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1		11	8	0.727273	0.218182
Version 2		14	8	0.571429	0.263736
Version 3		13	9	0.692308	0.230769
Version 4		14	9	0.642857	0.247253
Version 5		12	9	0.75	0.204545

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	0.265469	4	0.066367	0.282844	0.88796	2.527905
Within Groups	13.84391	59	0.234642			
Total	14.10938	63				

Table A.5.6: Anova analysis on question 5.

The following is the Anova analysis on question 6 on the test.

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Anova: Single Factor

SUMMARY

<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version1	11	6	0.545455	0.272727
Version 2	14	8	0.571429	0.263736
Version 3	13	8	0.615385	0.25641
Version 4	14	8	0.571429	0.263736
Version 5	12	8	0.666667	0.242424

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	0.109495	4	0.027374	0.105366	0.980206	2.527905
Within Groups	15.32801	59	0.259797			
Total	15.4375	63				

Table A.5.7: Anova analysis on question 6.

The following is the Anova analysis on question 7 on the test.

Anova: Single Factor

SUMMARY

<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1	11	4	0.363636	0.254545
Version 2	14	5	0.357143	0.247253
Version 3	13	10	0.769231	0.192308
Version 4	14	3	0.214286	0.181319
Version 5	12	4	0.333333	0.242424

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	2.346258	4	0.586564	2.643546	0.042362	2.527905
Within Groups	13.09124	59	0.221885			
Total	15.4375	63				

Table A.5.8: Anova analysis on question 7

The following is the Anova analysis on question 8 on the test.

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Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1		11	10	0.909091	0.090909
Version 2		14	7	0.5	0.269231
Version 3		13	10	0.769231	0.192308
Version 4		14	10	0.714286	0.21978
Version 5		12	12	1	0

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	1.910449	4	0.477612	2.943319	0.027567	2.527905
Within Groups	9.573926	59	0.16227			
Total	11.48438	63				

Table A.5.9: Anova analysis on question 8.

The following is the Anova analysis on question 9 on the test.

Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1		11	10	0.909091	0.090909
Version 2		14	10	0.714286	0.21978
Version 3		13	10	0.769231	0.192308
Version 4		14	10	0.714286	0.21978
Version 5		12	8	0.666667	0.242424

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	0.402264	4	0.100566	0.5116	0.727404	2.527905
Within Groups	11.59774	59	0.196572			
Total	12	63				

Table A.5.10: Anova analysis on question 9.

The following is the Anova analysis on question 10-13 on the test.

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Anova: Single Factor

SUMMARY

<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1	44	44	1	0
Version 2	56	52	0.928571	0.067532
Version 3	52	39	0.75	0.191176
Version 4	56	51	0.910714	0.082792
Version 5	48	41	0.854167	0.127216

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>Df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	1.71782	4	0.429455	4.49194	0.0016	2.40761
Within Groups	23.99702	251	0.095606			
Total	25.71484	255				

Table A.5.11: Anova analysis on questions 10 through 13.

The following is the Anova analysis on question 14 on the test.

Anova: Single Factor

SUMMARY

<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1	11	10	0.909091	0.090909
Version 2	14	8	0.571429	0.263736
Version 3	13	9	0.692308	0.230769
Version 4	14	11	0.785714	0.181319
Version 5	12	10	0.833333	0.151515

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>Df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	0.869297	4	0.217324	1.151961	0.341261	2.527905
Within Groups	11.1307	59	0.188656			
Total	12	63				

Table A.5.12: Anova analysis on question 14.

The following is the Anova analysis on question 15-19 on the test.

*Appendix A*

Anova: Single Factor

**SUMMARY**

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1		55	37	0.672727	0.224242
Version 2		70	38	0.542857	0.25176
Version 3		65	41	0.630769	0.236538
Version 4		70	47	0.671429	0.22381
Version 5		60	37	0.616667	0.240395

**ANOVA**

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	0.754829	4	0.188707	0.800628	0.525507455	2.400313
Within Groups	74.24517	315	0.235699			
Total	75	319				

Table A.5.13: Anova analysis on questions 15 through 19.

Appendix A

## A.6 Anova Analysis For Experiment 2

The following is the Anova analysis on the average GPAs for each group.

Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1		11	31.59	2.871818182	0.163416364
Version 2		11	32.9	2.990909091	0.206509091
Version 3		11	32.32	2.938181818	0.192596364
Version 4		11	32	2.909090909	0.194909091

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	0.08331591	3	0.02777197	0.146664042	0.9312236	2.838746127
Within Groups	7.57430909	40	0.189357727			
Total	7.657625	43				

Table A.6.1: Average GPA of participants.

The following is the Anova analysis on the total performance of the participants.

Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1		11	183	16.63636	26.65455
Version 2		11	245	22.27273	15.01818
Version 3		11	207	18.81818	31.16364
Version 4		11	112	10.18182	56.96364

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	854.9773	3	284.9924	8.782509	0.000135	2.838746
Within Groups	1298	40	32.45			
Total	2152.977	43				

Table A.6.2: Anova analysis on the total performance.

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The following is the Anova analysis on the overall performance between participants using Version 1 and Version 2.

Anova: Single Factor

**SUMMARY**

<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1	11	183	16.63636	26.65455
Version 2	11	245	22.27273	15.01818

**ANOVA**

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	174.7273	1	174.7273	8.385689	0.008937	4.35125
Within Groups	416.7273	20	20.83636			
Total	591.4545	21				

Table A.6.3: Anova analysis on the performance between participants using Version 1 and Version 2

The following is the Anova analysis on the overall performance between participants using Version 1 and Version 3.

Anova: Single Factor

**SUMMARY**

<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1	11	183	16.63636	26.65455
Version 3	11	207	18.81818	31.16364

**ANOVA**

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	26.18182	1	26.18182	0.90566	0.352629	4.35125
Within Groups	578.1818	20	28.90909			
Total	604.3636	21				

Table A.6.4: Anova analysis on the performance between participants using version 1 and version 3.

The following is the Anova analysis on the overall performance between participants using Version 1 and Version 4.

Appendix A

Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1		11	183	16.63636	26.65455
Version 4		11	112	10.18182	56.96364

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	229.1364	1	229.1364	5.480539	0.0297	4.35125
Within Groups	836.1818	20	41.80909			
Total	1065.318	21				

Table A.6.5: Anova analysis on the performance between participants using Version 1 and Version 4.

The following is the Anova analysis on the overall performance between participants using Version 2 and Version 3.

Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 2		11	245	22.27273	15.01818
Version 3		11	207	18.81818	31.16364

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	65.63636	1	65.63636	2.84252	0.107339	4.35125
Within Groups	461.8182	20	23.09091			
Total	527.4545	21				

Table A.6.6: Anova analysis on the performance between participants using Version 2 and Version 3.

The following is the Anova analysis on the overall performance between participants using Version 2 and Version 4.

Appendix A

Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 2		11	245	22.27273	15.01818
Version 4		11	112	10.18182	56.96364

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	804.0455	1	804.0455	22.34024	0.000129	4.35125
Within Groups	719.8182	20	35.99091			
Total	1523.864	21				

Table A.6.7: Anova analysis on the performance between participants using Version 2 and Version 4.

The following is the Anova analysis on the overall performance between participants using Version 3 and Version 4.

Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 3		11	207	18.81818	31.16364
Version 4		11	112	10.18182	56.96364

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	410.2273	1	410.2273	9.309882	0.006304	4.35125
Within Groups	881.2727	20	44.06364			
Total	1291.5	21				

Table A.6.8: Anova analysis on the performance between participants using Version 3 and Version 4.

**Conceptual Questions:**

The following is the Anova analysis on conceptual questions i.e. questions 1 through 5 on the test (Appendix A.4).

Appendix A

Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1		11	40	3.636364	2.654545
Version 2		11	51	4.636364	0.454545
Version 3		11	51	4.636364	0.854545
Version 4		11	47	4.272727	1.418182

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	7.340909	3	2.44697	1.818694	0.159267	2.838746
Within Groups	53.81818	40	1.345455			
Total	61.15909	43				

Table A.6.9: Anova analysis on performance of participants on Conceptual questions.

**Procedural questions:**

The following is the Anova analysis on all the procedural questions (Q6-24) on the test.

Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1		11	142	12.909	24.49090909
Version 2		11	194	17.636	13.25454545
Version 3		11	156	14.182	28.96363636
Version 4		11	65	5.9091	49.69090909

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	799.8864	3	266.63	9.162501302	9.71E-05	2.838746
Within Groups	1164	40	29.1			
Total	1963.886	43				

Table A.6.10: Anova analysis on performance of participants on procedural questions (Q6-24).

The following is the Anova analysis on the Questions 6 through 8 on the test.

Appendix A

Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1		11	33	3	0
Version 2		11	27	2.454545	1.472727
Version 3		11	25	2.272727	1.618182
Version 4		11	19	1.727273	2.218182

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	9.090909	3	3.030303	2.283105	0.093713	2.838746
Within Groups	53.09091	40	1.327273			
Total	62.18182	43				

Table A.6.11: Anova analysis on questions 6-8.

The following is the Anova analysis on Questions 9 through 13 on the test.

Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1		11	30	2.727273	6.818182
Version 2		11	55	5	0
Version 3		11	50	4.545455	2.272727
Version 4		11	22	2	6

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	67.88636	3	22.62879	5.997992	0.001786	2.838746
Within Groups	150.9091	40	3.772727			
Total	218.7955	43				

Table A.6.12: Anova analysis on questions 9-13.

The following is the Anova analysis on Questions 14 through 16 on the test.

Appendix A

Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1		11	20	1.818182	1.963636
Version 2		11	32	2.909091	0.090909
Version 3		11	24	2.181818	1.963636
Version 4		11	6	0.545455	1.472727

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	32.27273	3	10.75758	7.836645	0.000313	2.838746
Within Groups	54.90909	40	1.372727			
Total	87.18182	43				

Table A.6.13: Anova analysis on questions 14-16.

The following is the Anova analysis on Questions 17 through 24 on the test.

Anova: Single Factor

SUMMARY

	<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>
Version 1		11	59	5.363636	14.05455
Version 2		11	80	7.272727	5.818182
Version 3		11	48	4.363636	17.45455
Version 4		11	16	1.454545	10.47273

ANOVA

<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	194.4318	3	64.81061	5.423482	0.003167	2.838746
Within Groups	478	40	11.95			
Total	672.4318	43				

Table A.6.14: Anova analysis on questions 17-24.

# Vita

Purvi Saraiya was born on July 29, 1979 in a small village in India. She completed her B.E. from L.D. College of Engineering, Ahmedabad, India in July 2000. To continue her studies she joined the Department of Computer Science at Virginia Polytechnic Institute and State University as a Master's student in August 2000. She graduated in August, 2002 and will be pursuing her Ph.D. in the same department.