

Dynamic nuclear polarization with an inhomogeneously broadened ESR line. II. Experiment*

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Results of proton dynamic nuclear polarization (DNP), nuclear spin-lattice relaxation, and ESR experiments in six YES:¹⁶⁸Er (¹⁶⁸Er-doped yttrium ethyl sulphate) single crystals at 4.15 K and 9.1 GHz are reported here. This material approximates an inhomogeneously broadened (IHB) ESR line for certain crystal orientations relative to the applied magnetic field \vec{H}_0 . DNP peak enhancements $E_{ss}^{peak} \approx 120$ are obtained for crystals 1–3 having ¹⁶⁸Er dilutions of 0.2%–0.01% (mole%). The steady-state enhancement E_{ss} vs H_0 curves are proportional to the ESR absorption derivative at low powers (≈ 0.3 mW), but change shape in the wings of the ESR line at high microwave powers (≈ 300 mW). Essentially identical E_{ss} data are obtained for all three crystals. Extensive DNP pump time τ_{DNP} and ESR data for crystals 2–4, as well as limited data for the other crystals, are also given. There is no E_{ss} or τ_{DNP} evidence in these experiments (i) for the traditional strict-shell-of-influence model of IHB SE (solid effect) DNP, (ii) for non-negligible CE (cross effect) DNP processes, or (iii) for decreases in E_{ss} due to moderate spectral diffusion in an IHB ESR line, as proposed by Buishvili *et al.* The DNP and ESR data of crystals 1–3 have been fit by the least-squares technique to a muffin-tin model of IHB SE DNP which incorporates rapid nuclear spin diffusion. Moderate agreement with theory is obtained, especially if the data are interpreted with a three-spectral-region model for wide IHB ESR lines. These data have also been analyzed in terms of EDDR (electron dipole-dipole reservoir) DNP theory for an IHB ESR line with fast spectral diffusion throughout the line. There is qualitative and some quantitative agreement between this theory and the data. It is thus difficult to discriminate EDDR DNP theory from IHB SE DNP with the three-spectral-region model in these experiments. The only clearcut evidence for the latter is an order-of-magnitude theoretical calculation of the electron magnetic dipole-dipole contribution to the ESR intrinsic spin-packet width, which gives non-negligible spectral diffusion in the centers of the crystal 1 and 2 ESR lines, and negligible spectral diffusion in the wings of crystals 3 and (perhaps) 2. Conclusive evidence would require further experiments at ¹⁶⁸Er dilutions lower than 0.01% and higher than 0.2%. Experiments are proposed for YES:¹⁶⁸Er to test further the three-spectral-region model, and to find high DNP by IHB SE with possible applications to polarized nuclear targets in other, more hydrogenous materials.

I. INTRODUCTION

Experiments on the dynamic nuclear polarization (DNP) of protons in erbium-doped yttrium ethyl sulphate (YES:Er) at 4.15 K and 9.1 GHz are reported here, and interpreted with the theories developed in the preceding paper¹ (denoted I, hereafter) whose notation is used throughout this paper. Preliminary experimental results on YES:Er have been given elsewhere.^{2–4} This material is useful for inhomogeneously broadened (IHB) DNP studies with unresolved satellites because of its large IHB linewidth⁵ ≥ 20 Oe at $5^\circ \lesssim \theta \lesssim 15^\circ$, where θ is the angle between \vec{H}_0 and the c axis of the axially symmetric YES:Er. In addition, Larson and Jeffries⁶ have measured the T and θ dependence of T_0 in YES:Er at liquid-helium temperatures for the main ESR line and the ¹⁶⁷Er hyperfine lines. In particular, they found no evidence for a phonon bottleneck, which is a complicating factor in DNP analysis (see, e.g., Refs. 4, 5, and 7 in I).

In Sec. II, we summarize the experimental procedure. The experimental results are given and analyzed in terms of IHB solid-effect (SE) theory in Sec. III; this DNP analysis is based solely on Eqs. (14) and (15) of I. In Sec. IV, we discuss the difficulty of discriminating this

IHB SE DNP theory from electron dipole-dipole reservoir (EDDR) DNP theory in an IHB ESR line with fast spectral diffusion, even when considerable E_{ss} , τ_{DNP} , and ESR data are available. The τ_{DNP} and T_n^m data are suggestive of the three-spectral-region model proposed in Sec. V of I. The summary is given in Sec. V.

II. EXPERIMENTAL PROCEDURE

Saturated YES: $x\%$ ¹⁶⁸Er water solutions were prepared by the Erath⁷ method using 99.9999% rare-earth-purity yttrium oxide and electronic-grade barium ethyl sulphate. It is necessary to exclude ¹⁶⁷Er from the samples,⁵ so erbium oxide enriched to 99.987% in ¹⁶⁸Er was obtained from the Oak Ridge National Laboratory. The chemical formula for YES is $Y(C_2H_5SO_4)_3 \cdot 9H_2O$. The 0.2% dilution for crystal 1 was obtained from the ratio of the Y_2O_3 and ¹⁶⁸Er₂O₃ masses mixed together at the beginning of the chemical synthesis of YES:¹⁶⁸Er. Part of the YES:0.2% ¹⁶⁸Er-saturated water solution obtained from this synthesis was diluted twice with a pure YES-saturated solution, to obtain solutions with $x = 0.05\%$ and 0.01% . The volume ratios of the solutions being mixed determined the latter two dilution percentages. The reason for this procedure was the small amount

TABLE I. Summary of experimental parameters for six YES: ^{168}Er crystals. ESR and DNP parameters are for $\theta=6.1^\circ$ and $T=4.15$ K.

Crystal	1	2	3	4	5 ^a	6
Mass (mg)	188	129	233	161
% $^{168}\text{Er}(\text{Sol.})^b$	0.2	0.05	0.01	0.01	0.05	≥ 1.0
% $^{168}\text{Er}(\text{crystal})^c$	0.033	0.011	0.0014
ν_e (GHz)	9.125	9.160	9.085	9.142	9.144	9.085
H_{00} (Oe)	3702	3701	3702.5	3700	3699	3720 ^d
ΔH_{pp} (Oe)	25.0	19.25	24.0	21.0	...	45
T_n^m (sec)	1.45	8.36	193	187
f_e^m	0.91 ^e	0.63 ^e	0.14 ^e

^aCracked crystal; see text.

^bEstimated from chemical preparation and saturated water solution mixture ratios, as in Sec. II.

^cMeasured by ESR in comparison with a standard sample. See text.

^d $\theta=6.0^\circ$ for crystal 6.

^eSee text.

of $^{168}\text{Er}_2\text{O}_3$ available (cost \approx \$5 per mg). All dilutions in this paper are in mole percent. Single crystals were grown from these saturated water solutions at 0°C , and the nomenclature for the crystals labeled 1–6 is given in Table I. Crystal 6 was grown from an earlier batch whose dilution was not well measured, but was probably $x \geq 1\%$. The row labeled % $^{168}\text{Er}(\text{Sol.})$ in Table I gives the ^{168}Er concentrations measured as above. The ^{168}Er dilutions for crystals 1–3 were also measured by an ESR technique; these concentrations are labeled % $^{168}\text{Er}(\text{crystal})$.

Conventional ESR, NMR, and cryogenic techniques were used.^{4,5,8,9} An X-band crystal-video reflection spectrometer was used either to measure ESR spectra or to excite the DNP with variable microwave power up to a maximum ≈ 0.3 W in the cavity. The proton DNP, excited at fixed microwave frequency ν_e and variable H_0 , was measured from the ratio of the proton NMR signal peak-to-peak height when polarized to that at thermal equilibrium. Q-meter^{5,8,9} and lock-in detection were used with magnetic field modulation at $f_m=97$ Hz. Because of the poor thermal-equilibrium proton NMR signal, our DNP measurements have an absolute accuracy of $\pm 20\%$. The relative accuracy of the DNP for $E_{gs} > 10$ is $\pm 5\%$. The relative accuracies of measurements of the proton spin-lattice relaxation time T_n^m and the DNP pump time τ_{DNP} vary from $\pm 3\%$ to $\pm 10\%$.

III. EXPERIMENTAL RESULTS AND ANALYSIS

A. ESR results

Previous work⁵ on YES: Er showed an anomalous DNP effect associated with the overlap of the main erbium ESR line with one of the ^{167}Er hyperfine

lines. This anomaly was observed below $T \approx 2$ K, but not at $T=4.2$ K. Since we wished to avoid the anomaly in these experiments, we took the twin precautions of using YES: ^{168}Er with erbium enriched to 99.987% ^{168}Er and of operating at $T=4.2$ K. Since the ratio T_e/T_{2e}^B is lower at 4.2 K than, e.g., at 2.0 K, this also tends to decrease the probability of EDDR DNP relative to IHB SE DNP.

The main ESR line in YES: Er, due to the even-even Er isotopes, has axial symmetry and principal electron g values^{5,6} $g_{\parallel}=1.503$ and $g_{\perp}=8.770$. The ESR linewidth ΔH_{pp} , defined to be the peak-to-peak width of the ESR absorption derivative, has a maximum of $\Delta H_{pp} \approx 20\text{--}30$ Oe at $\theta \approx 15^\circ$, falling off to $\Delta H_{pp} \approx 5\text{--}8$ Oe as θ goes to 0° and to 90° .⁵ Measurements of ΔH_{pp} as a function of θ for crystal 3 at $T=4.15$ K are shown in Fig. 1. The data have been fit by the least-squares tech-

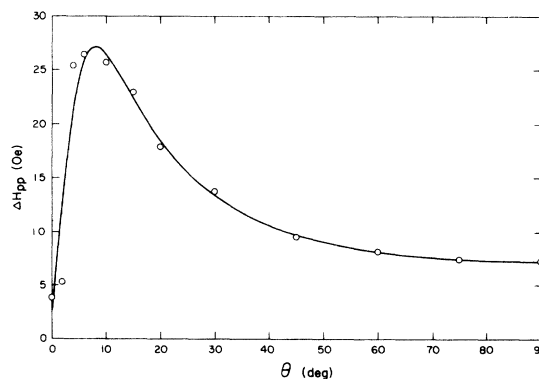


FIG. 1. Measured ESR derivative peak-to-peak line-width ΔH_{pp} vs orientation angle θ for crystal 3 at 4.15 K. Solid line is Eqs. (1) and (2), using $K=4$ and the least-squares fit parameters given in the text.

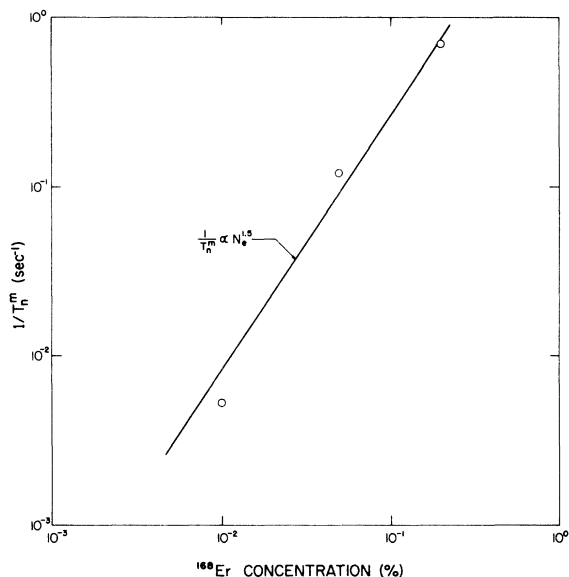


FIG. 2. Measured proton spin-lattice relaxation rate $(T_n^m)^{-1}$ vs ^{168}Er concentration for crystals 1, 2, and 3 at $\theta = 6.1^\circ$, $H_0 = 3715$ Oe, and $T = 4.15$ K. ^{168}Er concentration is $\%^{168}\text{Er}(\text{Sol.})$ given in Table I.

nique to the IHB theoretical linewidth formula of Scott *et al.*¹⁰:

$$\Delta H_{pp} = (K\langle\delta H_d^2\rangle)^{1/2}, \quad (1)$$

where

$$\begin{aligned} \langle\delta H_d^2\rangle = & \langle\delta H_d^2\rangle + [h\nu_e/g_e^3(\theta)\mu_B]^2 [g_{\parallel}^2 \cos^4\theta \langle\delta g_{\parallel}^2\rangle \\ & + g_{\perp}^2 \sin^4\theta \langle\delta g_{\perp}^2\rangle + (g_{\perp}^2 - g_{\parallel}^2)^2 \cos^2\theta \sin^2\theta \langle\delta\theta^2\rangle]. \end{aligned} \quad (2)$$

For a Gaussian line shape $K=4$ holds. The angular-independent term $\langle\delta H_d^2\rangle$ is the square of the local magnetic field at the electron site due to neighboring nuclear magnetic moments. The angular-dependent terms $\langle\delta g_{\parallel}^2\rangle$, $\langle\delta g_{\perp}^2\rangle$, and $\langle\delta\theta^2\rangle$, all assumed independent of one another, are variations of g_{\parallel} , g_{\perp} , and θ at various sites in the crystal due to, e.g., crystal-axis wander, mosaic structure, and lattice strains. The remaining symbols in Eqs. (1) and (2) are defined above or in I, except for Planck's constant h . In Fig. 1 the solid line is Eqs. (1) and (2) using $K=4$ and the best-fit parameters $\langle\delta H_d^2\rangle = 1.46$, $\langle\delta g_{\parallel}^2\rangle = 5.7 \times 10^{-8}$, $\langle\delta g_{\perp}^2\rangle = 1.63 \times 10^{-3}$, and $\langle\delta\theta^2\rangle = 1.65 \times 10^{-6}$. We note that $(K\langle\delta H_d^2\rangle)^{1/2} \approx 2.4$ Oe for $K=4$, so that the peak in ΔH_{pp} near $\theta \approx 10^\circ$ is due to the crystal-axis wander, mosaic-structure, etc., terms and not to $\langle\delta H_d^2\rangle$ or to homogeneous broadening (HB) interactions. Thus it is probable that the electron spin-packet jump time τ satisfies $\tau \gg T_n^m$ in YES: ^{168}Er at $\theta \approx 5^\circ - 10^\circ$. Essentially identical data and fits to Eqs. (1) and (2) were made for crystals 1 and 2 and are not reproduced here.

The ESR absorption derivative peak-to-peak amplitudes of crystals 1–3 at $\theta = 45^\circ$ were used in comparison with those of a phosphorous-doped silicon ESR standard sample¹¹ to measure the ^{168}Er dilutions in those three crystals, taking into account the anisotropy of the electron g factor.¹² Because of possible ESR saturation effects and uncertain numerical factors due to line-shape differences, the ^{168}Er dilutions measured by the ESR calibration [$\%^{168}\text{Er}(\text{crystal})$ in Table I] may be off by a factor $\approx 2-3$. The ratio $\%^{168}\text{Er}(\text{Sol.})/\%^{168}\text{Er}(\text{crystal})$ is found to be 6.0, 4.7, and 7.1 for crystals 1, 2, and 3, respectively. We have arbitrarily deduced N_e for the ^{168}Er ions in crystals 1–3 from the $\%^{168}\text{Er}(\text{Sol.})$ row in Table I, with the understanding that N_e may be high by a factor $\approx 2-6$ as a result.

B. Nuclear spin-lattice relaxation results

No systematic study of T_n^m in YES: ^{168}Er was made. Instead, T_n^m was measured only under the conditions of the DNP experiments (Table I). The rate $1/T_n^m$ is plotted vs ^{168}Er concentration for crystals 1–3 in Fig. 2. We see that $1/T_n^m$ is approximately proportional to $N_e^{1.5}$, where the exact value 1.5 of the exponent may not be significant. This result does tell us that T_n^m does not equal T_n^Z for¹³ $(1/T_n^Z) = (\epsilon^2/\omega_n^2)(1/T_e) \propto N_e$. Hence some electron spin-spin effects are acting on T_n^m , either by cross effect (CE) or by EDDR.

C. DNP results

The principal DNP, T_n^m , and ESR parameters of six YES: ^{168}Er crystals at $T = 4.15$ K are summarized in Table I. The ESR line center H_{00} was set at $H_{00} \approx 3700$ Oe by adjusting the crystal angle to $\theta = 6.1^\circ$, a convenient but arbitrary choice near the peak of the ΔH_{pp} vs θ curve of, e.g., Fig. 1. The idea was to maximize the IHB contribution to the ESR linewidth. The measured values of ΔH_{pp} and T_n^m are given in Table I, as is the measured DNP leakage factor $f_e^m = N_n T_e / N_e T_n^m$, where $T_e (= 8 \times 10^{-5}$ sec) is taken from Ref. 6, and N_e/N_n is obtained from $\%^{168}\text{Er}(\text{Sol.})$. No T_e values were measured for these crystals. Under the conditions of Table I, the ideal enhancement E_i is 577, and the satellite separation $(H_+ - H_-)$ is 12.8 Oe, corresponding to $\omega_n = 6.4$ Oe.

The measured peak enhancements E_{ss}^{peak} for crystals 1–6 at full microwave power are given in Table II as a function of the magnetic field modulation peak-to-peak depth H_m . None of the E_{ss}^{peak} was limited by insufficient microwave power. Within the $\pm 20\%$ absolute accuracy, E_{ss}^{peak} is the same for crystals 1–4 for all H_m values. Crystal 5 was badly cracked, and no further experiments were done on it. Crystal 6 was the first crystal run, at a time when the instrumentation was not per-

TABLE II. Peak enhancements E_{ss}^{peak} measured in six crystals for different values of H_m under the conditions of Table I at full microwave power.

H_m (Oe)	Crystal 1	Crystal 2	Crystal 3	Crystal 4	Crystal 5 ^a	Crystal 6
4.0	117	113	135	129	72 ^a	...
1.5	107	113	125	≈ 19 ^b
0.5	108

^aCracked crystal; see text.

^bData taken at $H_m = 2$ Oe. The absolute accuracy of this measurement is $\approx \pm 50\%$, as discussed in the text.

ected, and we observed a low $E_{ss}^{\text{peak}} \approx 19$ with a large absolute error $\approx \pm 50\%$. The shape of the E_{ss} vs H_0 curve changed with varying microwave power. This was undoubtedly EDDR DNP. Since our main interest was in IHB DNP, new batches of YES: ¹⁶⁸Er crystals were grown at lower ¹⁶⁸Er dilutions.

In all cases, the proton NMR signal growths and decays as a function of time could be least-squares fit to a single exponential, and the measured τ_{DNP} and T_n^m values were determined as the best-fit parameters. No extensive τ_{DNP} or ESR data were taken in crystal 1; a single value of $\tau_{\text{DNP}}^\infty = 0.65$ sec was measured in crystal 1 at $P = 0$ dB at the positive E_{ss} enhancement peak ($H_0 = 3713$ Oe) with $H_m = 4$ Oe. (τ_{DNP}^∞ will be defined below.) In some cases (see Figs. 10 and 11), τ_{DNP} and ESR data were not taken for crystal 3. However, such data were taken for crystal 4 and then used in the crystal 3 least-squares fit, since the N_e , ΔH_{pp} , and T_n^m values of crystals 3 and 4 are essentially the same (see Table I). By adjusting several microwave attenuators in the ESR system, it was possible to vary the microwave cavity power P , while letting the ESR crystal detector power remain constant. Thus we measured the relative ESR signal as a function of P for crystals 2 and 4. Within experimental error, the measured ΔH_{pp} of the ESR lines was a constant for each crystal at all powers measured, indicative of an IHB line, but possibly not telling whether fast spectral diffusion was present. The amplitudes Y_{ESR} from these measurements (Fig. 11) are discussed below.

Extensive E_{ss} , τ_{DNP} , and ESR measurements were made on crystals 1–3, and least-squares fit to the IHB SE DNP theory given in Sec. IIC of I. Because the absolute value of W^* was not measured, Eqs. (14) and (15) in I were transformed to the forms

$$E_{ss} = \frac{P_{1/2} + P(f_e^m/\xi) + S_F(E_1)(P)[G(H_-) - G(H_*)]}{P_{1/2} + P[G(H_*) + G(H_*) + f_e^m/\xi]}, \quad (3)$$

$$\tau_{\text{DNP}} = T_n^m \frac{S_F^*(P_{1/2}) + P(f_e^m/\xi)}{S_F^*(P_{1/2}) + P(f_e^m/\xi) + S_F(P)[G(H_*) + G(H_-)]}. \quad (4)$$

Using a rectangular spin-packet model for a completely IHB ESR line, it is easy to show

$$Y_{\text{ESR}} = Y_{\text{ESR}}^{\text{max}} \frac{P_{1/2}}{P_{1/2} + P/S_{F6}(\xi)}, \quad (5)$$

where Y_{ESR} is the measured ESR absorption derivative peak-to-peak amplitude (in arbitrary units), and $Y_{\text{ESR}}^{\text{max}}$ is the completely unsaturated ($P = 0$) value. In these equations, P is the microwave power in units where $P = 1$ is the maximum power (≈ 300 mW in the cavity). Often the power P is given in dB, e.g., $P = 1 = 0$ dB or $P = 10^{-3} = -30$ dB. Sometimes we shall refer to, e.g., $P = 10^{-3}$ as 30-dB microwave *attenuation*. Since $S = W^* T_n \propto 1/\xi$ for a spin packet in an IHB ESR model, we have transformed S in Eqs. (14) and (15) of I to P/ξ in Eqs. (3)–(5) here. $P_{1/2}$ is the half-power parameter. We use the measured T_n^m and f_e^m from Table I in Eqs. (3) and (4).

Six sets of data (except as noted) for each of crystals 1–3 were simultaneously least-squares fit to Eqs. (3)–(5) as follows: (i) Measurements of E_{ss} vs H_0 at $P = 0$ dB were fit to Eq. (3) with $S_F = 1.0$. (ii) E_{ss} vs H_0 data at $P = -30$ dB were fit to Eq. (3) with S_F redefined to be S_{F1} . (iii) E_{ss} vs P data at the positive enhancement peak were fit to Eq. (3) with S_F redefined to be S_{F2} . (iv) Measurements of τ_{DNP} vs H_0 at $P = 0$ dB were fit to Eq. (4) with $S_F^* = 1.0$ and S_F redefined to be S_{F3} . (v) τ_{DNP} vs P data at the positive enhancement peak were fit to Eq. (4) with S_F redefined to be S_{F4} and S_F^* redefined to be S_{F5} . (vi) Measurements of Y_{ESR} vs P were fit to Eq. (5) with the scale factor S_{F6} as is. The purpose of introducing the scale factors $S_{F1} - S_{F6}$, which we had initially hoped would be $\approx 1.0 \pm 0.20$, is to facilitate comparison of the data points with curves plotted from Eqs. (3)–(5) using the best-fit parameters. Since the measured ESR dG/dH is very close to a Gaussian, we have used a Gaussian $G(H)$ normalized to unity with a width determined by the experimental ESR ΔH_{pp} . Equation (3) gives essentially identical results with $G(H)$ either Gaussian or numerically integrated from the experimental dG/dH , even in the wings of the ESR line.

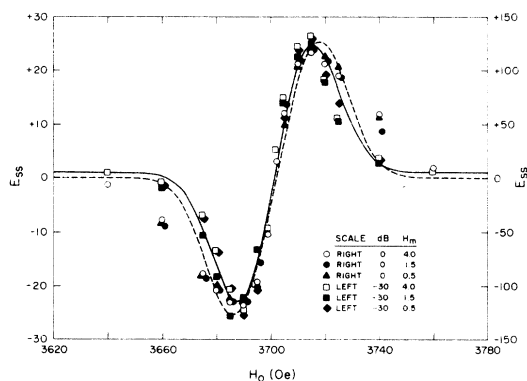


FIG. 3. Enhancement E_{ss} vs H_0 for crystal 1 at two microwave attenuator settings and three H_m values. Solid (broken) line is Eq. (3) with $P=0.001$ (1.0) using the best-fit parameters in Table III. Solid data points were not used in the least-squares fit; relevant scale factors are given in Table IV.

DNP and ESR data points are plotted for crystals 1–4 in Figs. 3–11. The lines in those figures are Eqs. (3)–(5) using the best-fit parameters in Table III. The least-squares fit to Eqs. (3)–(5) used only the open data points in Figs. 3–11. For comparison purposes, other data have been plotted as solid points, but were not used in the fit. Some of the E_{ss} data were scaled as in Table IV before being plotted as solid data points in Figs. 3–7. The scale factors in Table IV all lie between 0.95 and 1.14, well within $\pm 20\%$ of 1.0, except for the 1.49 value.

We now examine the best-fit parameters in Table III. The first point to emphasize is that all six sets of data [except only sets (i)–(iii) for

TABLE III. Best-fit parameters determined from a least-squares fit of the data to Eqs. (3)–(5) in the text for crystals 1, 2, and 3. See text for discussion of the scale factors S_{F1} to S_{F6} .

	Crystal 1	Crystal 2	Crystal 3 ^a
ξ (Oe)	18.91	7.73	3.19
$P_{1/2}$	0.00030	0.00048	0.00048
Y_{ESR}^{\max}	...	215.7	177.8
S_{F1}	0.88	0.96	1.36
S_{F2}	0.93	0.89	0.99
S_{F3}	...	8.36	9.18
S_{F4}	...	1.47	3.63
S_{F5}	...	4.47	8.83
S_{F6}	...	0.54	1.77

^aExperimental data for crystal 4 are used when no equivalent crystal-3 data were taken, i. e., for τ_{DNP} vs P (Fig. 10) and for Y_{ESR} vs P (Fig. 11).

TABLE IV. Scale factors for plotting E_{ss} data points in Figs. 3–7 for comparison purposes. Scale factors of all data points are 1.00 unless given in this table. None of the solid data points scaled using the values below was used in the least-squares fits of Eqs. (3)–(5) in the text.

Fig.	Crystal	H_m (Oe)	Microwave power (dB)	Scale factor
3	1	1.5	0	1.11
3	1	0.5	0	1.10
3	1	1.5	-30	1.49
3	1	0.5	-30	0.99
4	1	1.5	...	1.10
4	1	0.5	...	1.14
5	3	1.5	0	1.07
6	3	1.5	-30	0.95
7	2	1.5	...	1.05

crystal 1] for each crystal were *simultaneously* least-squares fit to Eqs. (3)–(5). Thus, e. g., the parameters in the crystal-2 column of Table III are based on *all* the crystal-2 E_{ss} , τ_{DNP} , and Y_{ESR} open data points in Figs. 5–7 and 9–11. Second, of the three nonscale factor parameters in Table III, only ξ is physically interesting. $P_{1/2}$ would be interesting if the microwave power in the cavity were accurately known, but no attempt was made to measure it. It is only significant that $P_{1/2}$ is the same within 50% for crystals 1–3. Y_{ESR}^{\max} is just the unsaturated ESR amplitude. Thus, excluding S_{F1} – S_{F6} , this least-squares fit is essentially a one-parameter fit as far as physically interesting quantities go. Third, we consider the scale factors S_{F1} – S_{F6} . The first two, S_{F1} and S_{F2} , which relate to E_{ss} data, lie between 0.88 and 0.99 for all three crystals, ex-

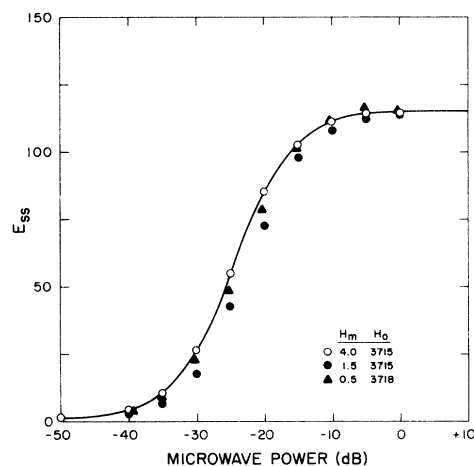


FIG. 4. Enhancement E_{ss} vs microwave power for crystal 1 at $H_0 \approx 3715$ Oe and three H_m values. Solid line is Eq. (3) using the best-fit parameters in Table III. Solid data points were not used in the least-squares fit; relevant scale factors are given in Table IV.

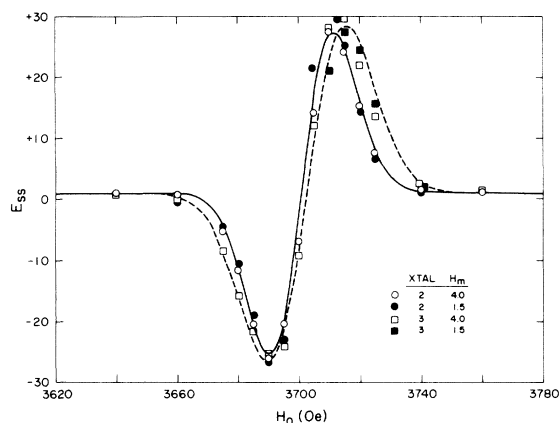


FIG. 5. Enhancement E_{ss} vs H_0 for crystals 2 and 3 at 30-dB microwave attenuation and two H_m values. Solid (broken) line is Eq. (3) for crystal 2 (3) with $P=0.001$ using the best-fit parameters in Table III. Solid data points were not used in the least-squares fit; relevant scale factors are given in Table IV.

cept for the S_{F1} of 1.36 for crystal 3, which is barely significant. The scale factors $S_{F3}-S_{F5} \approx 1.5-9.2$, which relate to τ_{DNP} , are a real puzzle. The least-squares program was run about 30 times, often varying more or fewer parameters than those in Table III. The resulting fits were either worse or essentially the same as those reported here. It was not possible to vary a combination of scale factors and parameters in such a way as to make $S_{F3}-S_{F5}$ all be 1.0 ± 0.2 . The final best fit in Table III was not sensitive to the starting values of the parameters or to the order in which they were varied. The ESR scale factor S_{F6} is within a factor of 2 of unity, but is not

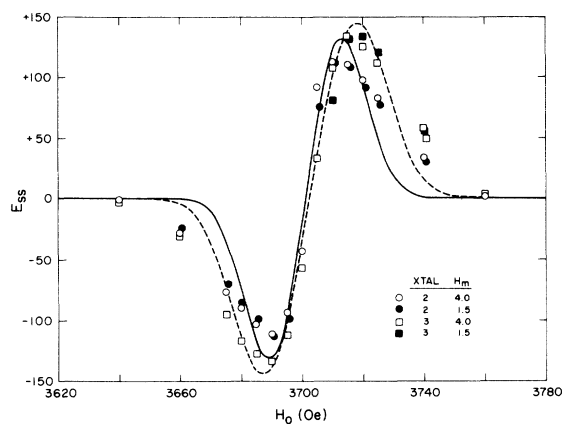


FIG. 6. Enhancement E_{ss} vs H_0 for crystals 2 and 3 at 0-dB microwave attenuation and two H_m values. Solid (broken) line is Eq. (3) for crystal 2 (3) with $P=1.0$ using the best-fit parameters in Table III. Solid data points were not used in the least-squares fit; relevant scale factors are given in Table IV.

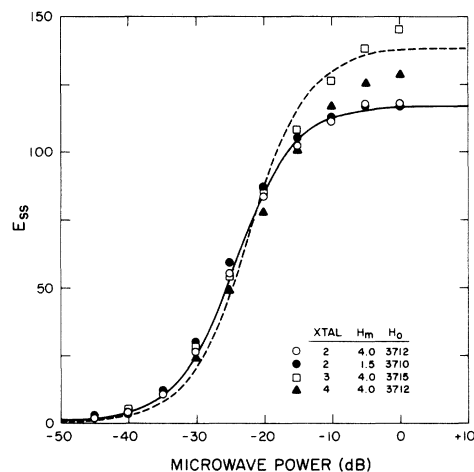


FIG. 7. Enhancement E_{ss} vs microwave power for crystals 2, 3, and 4 at $H_0 \approx 3712$ Oe. Solid (broken) line is Eq. (3) for crystal 2 (3) using the best-fit parameters in Table III. Solid data points were not used in the least-squares fit; relevant scale factors are given in Table IV.

consistently < 1.0 as is expected.⁴

Let us now examine Figs. 3-11 in detail. Except as noted, all the shapes of Eqs. (3)-(5) in the figures fit the data points well. All the data points are independent of H_m in these figures as in Table II. The $P=-30$ -dB E_{ss} data in Figs. 3 and 5 fit Eq. (3) well, thus validating the Gaussian approximation for $G(H)$. However, the $P=0$ -dB E_{ss} data in Figs. 3 and 6 fall off less rapidly in the wings of the line than do the Eq. (3) lines. The change in the shape of E_{ss} vs H_0 for crystal 1 in Fig. 3 as P goes from -30 dB to 0 dB, is far

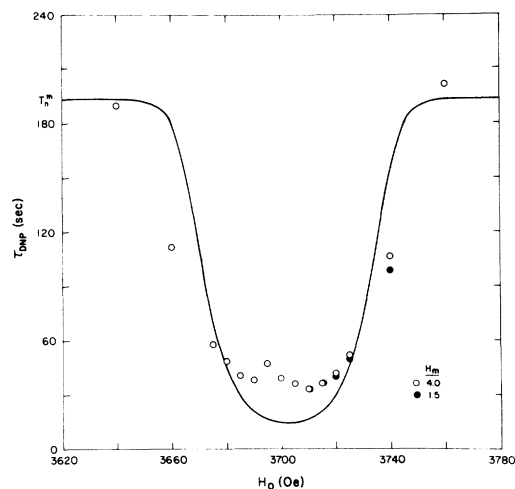


FIG. 8. DNP pump time τ_{DNP} vs H_0 for crystal 3 at 0-dB microwave attenuation and two H_m values. Solid line is Eq. (4) with $P=1.0$ using the best-fit parameters in Table III. Solid data points were not used in the least-squares fit.

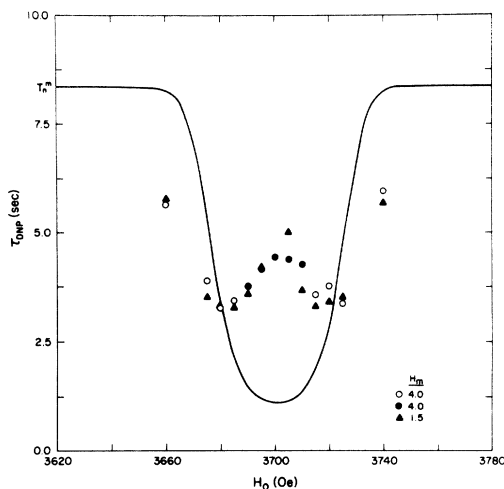


FIG. 9. DNP pump time τ_{DNP} vs H_0 for crystal 2 at 0-dB microwave attenuation and two H_m values. Solid line is Eq. (4) with $P=1.0$ using the best-fit parameters in Table III. Solid data points were not used in the least-squares fit.

greater for the data points than for Eq. (3). A similar effect would be seen if Figs. 5 and 6 were overlaid. The crystal-2 and -3 E_{ss} data at -30 dB in Fig. 5 are essentially identical, aside from the small differences due to differing ΔH_{pp} , and similarly for the 0-dB E_{ss} data in Fig. 6. Adding the crystal-1 data from Fig. 3 to Figs. 5 and 6 would not change this conclusion. Hence, for both

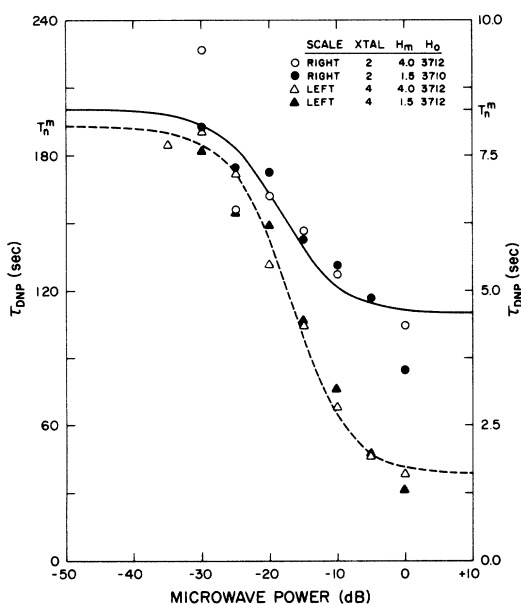


FIG. 10. DNP pump time τ_{DNP} vs microwave power for crystals 2 and 4 at $H_0 \approx 3712$ Oe and two H_m values. Solid (broken) line is Eq. (4) for crystal 2 (3) using the best-fit parameters in Table III. Solid data points were not used in the least-squares fit.

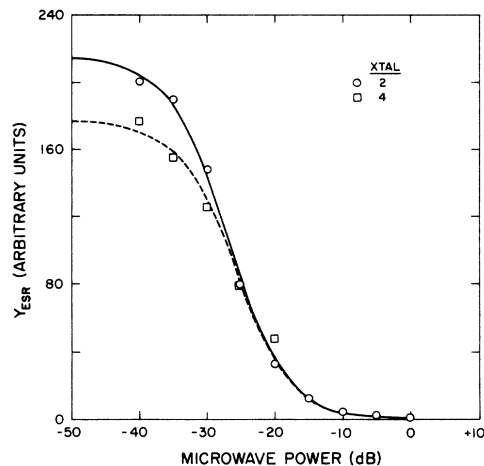


FIG. 11. ESR absorption derivative peak-to-peak signal height Y_{ESR} vs microwave power for crystals 2 and 4. Solid (broken) line is Eq. (5) for crystal 2 (3) using the best-fit parameters in Table III.

absolute E_{ss} values and for E_{ss} vs H_0 line shapes, we obtain essentially the same results for crystals 1-3. The same conclusion is drawn from the E_{ss} vs P data in Figs. 4 and 7. It is only noteworthy that the E_{ss} data points for crystals 3 and 4 in Fig. 7 do not flatten out at $P > -10$ dB as do the data for crystal 2 in this figure and for crystal 1 in Fig. 4.

The τ_{DNP} data points for crystal 3 at $P=0$ dB in Fig. 8 lie above the Eq. (4) curve near $H_0 \approx H_{00} \approx 3700$ Oe, and below Eq. (4) in the wings of the line. This effect is accentuated even more for crystal 2 in Fig. 9, where τ_{DNP} has a local maximum near $H_0 \approx H_{00} \approx 3700$ Oe, and minima near $H_0 \approx H_{00} \pm \frac{1}{2}\Delta H_{\text{pp}}$, returning to T_n^m in the far wings of the line. In an inverted $1/\tau_{\text{DNP}}$ vs H_0 plot, this would appear as a "dip" near H_{00} , and it will be referred to as the Fig. 9 $1/\tau_{\text{DNP}}$ dip, in this sense, henceforth. In Fig. 10 is shown τ_{DNP} vs P data for crystals 2 and 4. Let τ_{DNP}^∞ be the infinite power DNP pump time at the E_{ss} peaks, assuming a constant value for τ_{DNP} for $P \gg P_{1/2}$ at fixed H_0 , as in Eq. (4). We estimate $\tau_{\text{DNP}}^\infty \approx 4.0$ sec (36 sec) for crystals 2(4). That the ratio $T_n^m/\tau_{\text{DNP}}^\infty \approx 2.1$ (5.4) differs for the two crystals 2(4), is related to the $1/\tau_{\text{DNP}}$ dip in Fig. 9.

IV. DISCUSSION

A. Theories in disagreement with DNP measurements

The strict shell-of-influence model of IHB SE DNP (Sec. IIB of I) predicts (a) $E_{\text{ss}} \propto dG/dH$ at all P , (b) with $E_{\text{ss}}^{\text{peak}}$ reduced from E_i by one or more orders of magnitude, and (c) with the NMR response of the polarized nuclei characterized in general by two time constants. In YES: ^{168}Er we found $E_{\text{ss}} \propto dG/dH$ only at $P < P_{1/2}$. The measured

ratio $E_{\text{ss}}^{\text{peak}}/E_i \approx 0.2$ from Table II is to be compared with the strict shell-of-influence model prediction $E_{\text{ss}}^{\text{peak}}/E_i \approx 0.02-0.08$ for crystals 1-3 using Eq. (10) of I with $S \gg 1$ and the ξ and f_e^m values of Table III. Single time constant NMR response was observed under all circumstances (including, e.g., when changing H_0 in times $\ll \tau_{\text{DNP}}$). The strict shell-of-influence model is based on implausible theoretical assumptions, and receives no experimental confirmation in this work on YES: ^{168}Er .

Cross-effect theory (Sec. III B of I) predicts (i) $E_{\text{ss}} \propto [G(H_0)]^2(dG/dH)$, especially in the wings of the ESR line, (ii) with $E_{\text{ss}}^{\text{peak}}/E_i \lesssim 0.1$, and (iii) with $\tau_{\text{DNP}} \approx T_n^m$ for the unresolved CE. The E_{ss} vs H_0 data shown in Figs. 3, 5, and 6 fall off as dG/dH or *slower* in the wings of the line, not faster, as in CE. The experimental ratio $E_{\text{ss}}^{\text{peak}}/E_i \approx 0.2$ is much larger than that obtained from Eq. (48) in I. In Figs. 8-10 we see $\tau_{\text{DNP}} \neq T_n^m$ and, in fact, note $T_n^m/\tau_{\text{DNP}} \approx 2.2, 2.1, \text{ and } 5.4$, for crystals 1, 2, and 3, respectively, which even violates $T_n^m/\tau_{\text{DNP}} \leq 2$ [Eq. (34) of I] for the ideal case of the well-resolved CE. Thus CE processes are completely negligible compared to SE and EDDR DNP in these experiments.

The theoretical results of Buishvili *et al.* (Ref. 40 in I) predict a decrease in E_{ss} for IHB SE DNP with moderate spectral diffusion. The spectral-diffusion coefficient in that theory depends on N_e in a complicated way, but the important point is that it is N_e dependent. If their mechanism were to be effective in YES: ^{168}Er , then E_{ss} would vary as the ^{168}Er dilution changes by a factor ≈ 20 in crystals 1-4. But E_{ss} is independent of N_e (Table II). Hence the mechanism of Buishvili *et al.* finds no confirmation in this work on YES: ^{168}Er .

B. IHB SE DNP and the three-spectral-region model

In order to examine the applicability of the three-spectral-region model to these experiments, we have estimated some limits on ESR spectral diffusion in Appendix A. These estimates show that crystal 3 is IHB throughout most of its ESR spectrum, while crystal 2 is IHB in the wings and HB in the center of its ESR line. This suggests that the sizes of the SE, CE, and EDDR DNP regions in the three-spectral-region model (e.g., Fig. 8 of I) would be different for crystals 1-4, which might lead to different E_{ss} values. However, in Table II and in Figs. 3, 5, and 6, we see essentially identical $E_{\text{ss}}^{\text{peak}}$ values and E_{ss} vs H_0 curves, so that no discrimination can be made with the E_{ss} data.

The τ_{DNP} data are more enlightening. The crystal-3 τ_{DNP} data in Fig. 8 follow the Eq. (4) solid line moderately well. However, the $1/\tau_{\text{DNP}}$ dip in Fig. 9 (crystal 2) is definitely suggestive of

HB behavior near the center of the ESR line, i.e., at $|H_0 - H_{00}| \lesssim \frac{1}{2}\Delta H_{\text{pp}}$. A qualitative explanation for this dip might involve spectral diffusion of energy in the HB region I, leading to a decreased DNP rate, or actual EDDR DNP near H_{00} at a rate $1/\tau_{\text{DNP}}$ less than that of pure IHB SE DNP. We can give no unique quantitative explanation. (Only one τ_{DNP} data point was taken for crystal 1.) The progression from Fig. 8 to Fig. 9 as the ^{168}Er dilution goes from 0.01% to 0.05% is qualitatively consistent both with the three-spectral-region model and with Appendix A. The absence of any evidence for the CE mechanism in these experiments is consistent with a sharp transition from negligible to fast spectral diffusion in these IHB ESR lines, thus wiping out the CE region II. Such a sharp transition might also explain why the Buishvili *et al.* prediction is not verified in these experiments.

Let us summarize our results so far. The E_{ss} , τ_{DNP} , and Y_{ESR} data points in Figs. 3-11 for crystals 1-3 fit the shapes of Eqs. (3)-(5) very well, except as noted. The best-fit parameters for E_{ss} and Y_{ESR} (ξ , S_{F1} , S_{F2} , and S_{F6}) are all physically reasonable (except ξ for crystals 1 and 2). The data are explicable in terms of the three-spectral-region model assuming a negligible CE region II.

What are the difficulties with this analysis? First, the E_{ss} data at $P=0$ dB in Figs. 3 and 6 fall off less rapidly than the theoretical Eq. (3) in the wings of the ESR line. This is crucial since E_{ss} vs H_0 curves for all DNP theories (with unresolved satellites) look essentially the same for $|H_0 - H_{00}| < \Delta H_{\text{pp}}/2$, and therefore only the peak values $E_{\text{ss}}^{\text{peak}}$ and the shape of the E_{ss} curve in the wings of the line are ordinarily useful for discriminating different DNP theories. We saw in Sec. IIIA that YES: ^{168}Er probably satisfies $\tau \gg T_n^m$, so that crystals 1-3 are described by the muffin-tin model. But in the wings of an IHB ESR line, the muffin-tin model breaks down if a spin-diffusion criterion given in Ref. 4 is not satisfied. It appears that this may be the case in YES: ^{168}Er , which complicates the analysis in an unknown way.⁴ While this may help explain the fact of an E_{ss} vs H_0 discrepancy in the wings of the lines, it does not confirm the theoretical predictions of Eq. (3).

Second, the best-fit values of the spin-packet width ξ given in Table III are too large. The crystal-3 value of $\xi = 3.19$ Oe is not outrageous in view of the crude rectangular spin-packet model underlying Eqs. (3)-(5), but $\xi = 7.73$ Oe and $\xi = 18.9$ Oe in crystals 2 and 1 at first seem unreasonable. However, this is explicable in the three-spectral-region model, where the DNP is going from IHB SE in crystal 3 and perhaps the wings of crystal 2, to EDDR DNP at the E_{ss} peaks

of crystal 2 and in crystal 1, as proposed above. Hence, ξ should be reasonable in crystal 3, but not necessarily so in crystals 1 and 2.

Third, the scale factors $S_{F3}-S_{F5}$, which range from 1.47 to 9.18 $\gg 1.0 \pm 0.2$, make no sense. Considerable effort was expended in using different combinations of scale factors, and more or fewer of them, to fit these data, but without success. We have no explanation for this.

Finally, we note that the magnetic field modulation is not expected to be an HB mechanism in these experiments since $f_m = 97 \text{ Hz} \ll T_e^{-1} (\approx 10^4 \text{ sec}^{-1})$, $\nu_e \approx 10^{10} \text{ Hz}$. All our E_{ss} and τ_{DNP} data are independent of H_m , in agreement with Eq. (8) of I.

C. EDDR DNP theory

To show that it is hard to discriminate IHB SE theory from EDDR DNP theory for an IHB ESR line with fast spectral diffusion, we now use the latter theory from Sec. IV of I to interpret the data presented in this paper. We assume $(T_e/T_d)\omega_L^2 \ll \omega_{\text{IHB}}^2$. Then Eqs. (53) and (54) in I predict that for $S^0, S^*, S^- \gg 1$

$$E_{ss}^{\text{peak}} = \pm E_t \omega_n / 2\omega_{\text{IHB}} [1 + (f_e \omega_n^2 / \omega_{\text{IHB}}^2)]^{1/2}, \quad (6)$$

at

$$\Delta = \pm \omega_{\text{IHB}} [1 + (f_e \omega_n^2 / \omega_{\text{IHB}}^2)]^{1/2}. \quad (7)$$

For a Gaussian $G(H)$, we have $\omega_{\text{IHB}} = \Delta H_{pp} / 2$, where $\omega_{\text{IHB}} \approx 12 \text{ Oe}$ applies to these experiments. If $f_e = 0$, Eqs. (6) and (7) then give $E_{ss}^{\text{peak}} \approx \pm 144$ at $\Delta = \pm 12 \text{ Oe}$. The largest possible f_e from Table I is $f_e \approx 1$, in which case $[1 + (f_e \omega_n^2 / \omega_{\text{IHB}}^2)]^{1/2} \approx 1.12$, which changes Eqs. (6) and (7) to the estimates $E_{ss}^{\text{peak}} \approx \pm 129$ at $\Delta \approx \pm 13.4 \text{ Oe}$, in agreement with Table II and Figs. 3 and 6. E_{ss} is independent of N_e in EDDR theory if $(T_e/T_d)\omega_L^2 \ll \omega_{\text{IHB}}^2$, but spectral diffusion remains fast. In this theory the shapes of Figs. 3 and 6 are not Lorentzian [see Eq. (53) in I] because of the falloff of W^0 in the wings of the ESR line.

That the shapes of E_{ss} vs H_0 curves (for unresolved satellites) are similar in both IHB SE DNP and EDDR DNP theories has been shown before,¹⁴ and hence no attempt was made to least-squares fit all the E_{ss} data to EDDR DNP theory. Instead, it was hoped that the τ_{DNP} data would allow the two theories to be discriminated. However, this does not work either. Consider the high-power ($S^0, S^*, S^- \gg 1$) EDDR limit of τ_{DNP} , in particular, the short-dashed and solid lines in Fig. 7 of I, which represent Eqs. (59) and (61) of I. It can be shown that⁴ $S^d f_d C_n \propto N_e$. Thus the τ_{DNP} data in Fig. 8 of this paper may be compared with the short-dashed line of Fig. 7 in I, while the Fig. 9 τ_{DNP} data here may represent an intermediate case between the short-dashed and solid curves in Fig. 7 of I. This progression has

the right direction, since N_e increases from crystal 3 to crystal 2. In this connection, we note that $T_n^m / \tau_{\text{DNP}}^\infty \approx 2.2$ (2.1) for crystals 1 (2) is consistent with Eq. (62) in I, while the value $T_n^m / \tau_{\text{DNP}}^\infty \approx 5.4$ for crystal 3 is not expected to obey Eq. (62) in I.

Thus the E_{ss} and τ_{DNP} data measured in YES: ¹⁶⁸Er do not by themselves lead to a definite conclusion as to whether IHB SE DNP or EDDR DNP (with fast spectral diffusion) is the dominant mechanism. The only clearcut evidence for IHB SE in crystal 3, and perhaps in the wings of crystal 2, is the order-of-magnitude estimate $T_e / (T_{2e}^B)_t < 1$ in Appendix A, which makes IHB SE DNP highly probable there, and lends credence to some version of the three-spectral-region model (with negligible CE). Of course, spectral diffusion may not be significant when $(T_{2e}^B)_t$ is larger than T_e by only a small amount, so IHB SE may be dominant in the wings of crystal 1, too. This latter view is supported by the $1/T_n^m$ data in Fig. 2, which suggest that all three crystals 1-3 have the same size HB region I in the three-spectral-region model. Evidence against this interpretation is the $1/\tau_{\text{DNP}}$ dip in Fig. 9 and the large values of ξ for crystals 1 and 2 in Table III.

To decide conclusively the issues raised in this paper would require further experimental work on YES: ¹⁶⁸Er at ¹⁶⁸Er concentrations below 0.01% and above 0.2%, which would put this system into the pure IHB and pure EDDR regimes, respectively.

The limited T_n^m data in Fig. 2 can be explained qualitatively either by the three-spectral-region model or by EDDR relaxation.⁴ Figure 1 of this paper indicates how application of the three-spectral-region model to T_n^m might be tested. As θ is varied from $\approx 8^\circ$ to 0° , ΔH_{pp} collapses from $\approx 27 \text{ Oe}$ to $\approx 5 \text{ Oe}$, thus enhancing the HB region I in this model. Measurements of T_n^m as a function of H_0 , T , N_e , and θ ($0^\circ < \theta \leq 8^\circ$) could be fit to phenomenological theories for region I. YES: ¹⁶⁸Er near $\theta \approx 0^\circ$ is a useful system for such studies because of its large g_1 and because of the large change in ΔH_{pp} as θ is varied by small amounts near $\theta \approx 0^\circ$ where the anisotropic EDDR theory of I is approximately valid.

V. SUMMARY

Results of DNP and ESR experiments in six YES: ¹⁶⁸Er crystals are reported here. DNP peak enhancements $E_{ss}^{\text{peak}} \approx 120$ are obtained for crystals 1-3 having ¹⁶⁸Er dilutions of 0.2%-0.01%. The E_{ss} vs H_0 curves are proportional to the ESR absorption derivative dG/dH at low powers ($\approx 0.3 \text{ mW}$), but change shape in the wings of the ESR line at high powers ($\approx 300 \text{ mW}$). Essentially identical E_{ss} data are obtained for all three crystals. Extensive DNP pump time τ_{DNP} and ESR data for crystals 2-4, as well as limited data for

the other crystals, are also given. No T_e measurements were made here, as we utilized Larson and Jeffries's⁶ results for YES:Er.

There is no E_{ss} or τ_{DNP} evidence in these experiments (a) for the traditional strict shell-of-influence model of IHB SE DNP, (b) for non-negligible CE DNP processes, or (c) for decreases in E_{ss} due to moderate spectral diffusion in an IHB ESR line, as proposed by Buishvili *et al.* (Ref. 40 of I).

The DNP and ESR data of crystals 1–3 have been fit by the least-squares technique to the muffin-tin-model equations of IHB SE DNP given in I. Moderate agreement with theory is obtained, especially if the data are interpreted with the three-spectral-region model given in I for wide IHB ESR lines. These data have also been analyzed in terms of EDDR DNP theory for an IHB ESR line with fast spectral diffusion throughout the line. There is qualitative and some quantitative agreement between this theory and the data. It is thus difficult to discriminate EDDR DNP theory from IHB SE DNP with the three-spectral-region model in these experiments. The only clearcut evidence for the latter is an order-of-magnitude theoretical calculation of the electron magnetic dipole-dipole contribution to the ESR intrinsic spin-packet width, which gives non-negligible spectral diffusion in the centers of the crystal-1 and -2 ESR lines, and negligible spectral diffusion in the wings of crystals 3 and (perhaps) 2. Conclusive evidence would require further experiments at ^{168}Er dilutions lower than 0.01% and higher than 0.2%. The YES: ^{168}Er system at $\theta \approx 0^\circ$ – 8° is useful for further tests of the three-spectral-region model because of the large change in ΔH_{pp} for small θ variations.

Finally, we note $E_{ss}^{\text{peak}} \approx 460$ – 500 for crystals 1–3 from Eq. (3) if $f_e^m = 0$, but all the remaining parameters from Table III are used. This gives $E_{ss}^{\text{peak}}/E_i \approx 0.80$ – 0.87 . Hence IHB SE DNP may be useful for polarized nuclear targets^{3,8,9} in favorable cases, contrary to the traditional view of the strict shell-of-influence model. Since f_e^m often decreases at higher H_0 , it would be useful to test YES: ^{168}Er at $\nu_e \approx 35$, 50, or 70 GHz for large $E_{ss}^{\text{peak}} \approx E_i$. This has not been done here since only 9.5-GHz equipment is available in this laboratory. YES: ^{168}Er is a poor polarized nuclear target material because of its low hydrogen content, but its ESR properties make it useful for tests of high DNP by IHB SE.

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APPENDIX A: LIMITS ON ESR SPECTRAL DIFFUSION

We estimate theoretically the spin-packet width ξ , and then use it to set some limits on ESR spectral diffusion. As discussed in Sec. IV B, the magnetic field modulation is not an HB mechanism in these experiments. Hence ξ is given by Eq. (8) of I, which we rewrite as

$$\xi \approx [H_{in}^2 + (2H_1)^2]^{1/2}. \quad (\text{A1})$$

The microwave field amplitude H_1 is easily estimated from the rule of thumb¹⁵ that 1 W of X-band power gives $H_1 = 1$ Oe with a cavity $Q \approx 10^3$. The maximum microwave cavity power ≈ 0.3 W used here gives a peak-to-peak amplitude $2H_1 \approx 0.6$ Oe, leading to the relation $0.6 \geq 2H_1 \geq 0$ Oe in these experiments. The two principal contributors to the intrinsic packet width H_{in} are T_e and T_{2e} processes. Using Larson and Jeffries's⁶ value of $T_e = 8 \times 10^{-5}$ sec measured in their YES:Er crystals, we obtain a T_e contribution of $H_{in} \approx 2 \times 10^{-3}$ Oe for the conditions of these experiments.

The T_{2e} and spectral-diffusion calculation is more complicated. Estimates of $2\Delta_B$ and T_{2e}^B based on Appendix A of I are given for crystals 1–3 in Table V for $\theta = 0^\circ$. (The values for $\theta = 6.1^\circ$ would differ only slightly from those in Table V.) Since $2(g_{||}/g_{\perp})^2 \approx 0.06$ for YES:Er, the width $\Delta_{1/2}$ at $\theta \approx 0^\circ$ is dominated by the Δ_B term. The equations for $2\Delta_B$ and T_{2e}^B in the Kittel and Abrahams theory are valid for a completely HB line with no IHB mechanisms, and hence represent only *limits* for a given spin packet in an IHB line. Since $2\Delta_B$ in Table V is up to 100 times smaller than ΔH_{pp} in Table I, YES: ^{168}Er is close to IHB at the lower ^{168}Er dilutions.

For a completely IHB line we can calculate

$$(T_{2e}^B)_i = T_{2e}^B / \xi G(H_i) \quad (\text{A2})$$

TABLE V. Comparison of the empirically estimated spin-packet width ξ with the theoretical linewidth $2\Delta_B$ from the Kittel and Abrahams theory for a purely HB ESR line. Estimates of T_{2e}^B from their theory are also included.

	Crystal 1	Crystal 2	Crystal 3
ξ (Oe) ^a	18.9	7.7	3.2
$2\Delta_B$ (Oe) ^b	5.7	1.4	0.29
T_{2e}^B (sec) ^b	2.7×10^{-8}	1.1×10^{-7}	5.3×10^{-7}

^aFrom Table III.

^bSee text.

for the spin packet at H_i if $T_e \ll (T_{2e}^B)_i$, as in I. This calculation can be done self-consistently, as follows. Estimate an upper limit ξ_{\max} from Eq. (7) or (8) in I, using H_i , T_e , T_{2e}^B , and H_m (if relevant), to check that ξ_{\max} is much smaller than the measured ESR ΔH_{pp} . Then calculate $(T_{2e}^B)_i$ from Eq. (A2) using $\xi = \xi_{\max}$. If the condition $T_e \ll (T_{2e}^B)_i$ holds in this worst case analysis, then Eq. (A2) is valid and one can safely predict that (i) ξ is independent of $(T_{2e}^B)_i$ at H_i , and (ii) spectral diffusion in the ESR line near H_i is negligible. The latter is true because the electrons at H_i are more tightly coupled to the lattice than to each other. Since even order-of-magnitude estimates of spectral-diffusion times are difficult,¹⁵ the condition $(T_{2e}^B)_i > T_e$ does not by itself indicate fast spectral diffusion in an IHB line, although one must be wary in this case.

Let us apply this scheme to the wings of crystal

3, where we define the wings of an ESR line to correspond to $|H_0 - H_{00}| \geq \Delta H_{pp}$ at fixed ν_e . Since $2\Delta_B \approx 0.3$ Oe in crystal 3 (Table V), we take $\xi_{\max} \approx 2H_1 \approx 0.6$ Oe $\ll \Delta H_{pp}$. Using a Gaussian $G(H)$ with $\Delta H_{pp} = 24$ Oe, we calculate $(T_{2e}^B)_i = 2 \times 10^{-4}$ sec $> T_e = 0.8 \times 10^{-4}$ sec at $|H_0 - H_{00}| = \Delta H_{pp}$. Hence we find $H_{in} \approx 2 \times 10^{-3}$ Oe $\propto 1/T_e$ in the wings of crystal 3. If the 0.01% ¹⁶⁸Er dilution used here is in fact high by a factor ≈ 5 , or if T_e is smaller than that measured in Ref. 6, then this result would apply to almost the entire ESR line of crystal 3 and to the wings of crystal 2, which would all be IHB with negligible spectral diffusion. Using $\xi_{\max} \approx 0.6$ Oe, we calculate $(T_{2e}^B)_i < T_e$ for crystal 1 and for the center of the crystal-2 ESR line, so no definite theoretical prediction about fast (or slow) spectral diffusion can be made. Nevertheless, it seems plausible that $H_{in} \propto (T_{2e}^B)_i^{-1}$ may satisfy $H_{in} \ll 2\Delta_B \ll \Delta H_{pp}$ in crystals 1 and 2.

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