The Influence of Corporate Social Responsibility on Lobbying Effectiveness:
Evidence from Effective Tax Rates

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Dissertation submitted to the faculty of the Virginia Polytechnic Institute and State University in partial fulfillment of the requirements for the degree of

Doctor of Philosophy
In
Business, Accounting and Information Systems

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Blacksburg, Virginia

Keywords: Tax avoidance, corporate social responsibility, lobbying

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Permission for Figure 1

From: leaman@pdcnet.org
Sent: Thursday, April 17, 2014 4:30 PM
To: Joanna Garcia
Subject: Re: Request for Permission to Use Content

OK, agreed. Good luck with your work!

George

-----"Joanna Garcia" <jlgarcia@vt.edu> wrote: -----
To: <leaman@pdcnet.org>
From: "Joanna Garcia" <jlgarcia@vt.edu>
Date: 04/17/2014 04:13PM
Subject: Re: Request for Permission to Use Content

George,

That works perfectly for me. Thank you!

Best,
Joanna

From: leaman@pdcnet.org
Sent: Thursday, April 17, 2014 4:06 PM
To: Joanna Garcia
Subject: Re: BEQ: Request for Permission to Use Content

Hi Joanna,

Yes, this would be fine. We can agree to give you free permission for use of this figure (with acknowledgement) in your dissertation and in any journal article. However, for use in any book project or other publication we'll require prior discussion. Will this work for you?

Best,
George

-----"Joanna Garcia" <jlgarcia@vt.edu> wrote: -----
To: <leaman@pdcnet.org>
From: "Joanna Garcia" <jlgarcia@vt.edu>
Date: 04/17/2014 03:05PM
Subject: Re: BEQ: Request for Permission to Use Content

Hi George,

Thank you for your quick reply. The only future publication I foresee would be in a nonprofit journal of the American Accounting Association. Would that be covered under this approval?
Thanks,

Joanna

From: leaman@pdcnet.org
To: Joanna Garcia
Sent: Thursday, April 17, 2014 2:44 PM
Subject: BEQ: Request for Permission to Use Content

Dear Joanna Garcia,

Your message was forwarded to me and I'm writing to follow up.

We're happy to grant permission for the use of this figure from Business Ethics Quarterly in your dissertation without charge. We only require appropriate acknowledgement of the original publication in BEQ.

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Sincerely,

George Leaman

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George Leaman, Director
Philosophy Documentation Center
P.O. Box 7147, Charlottesville, Virginia 22906-7147
Tel: 434-220-3300, Fax: 434-220-3301, www.pdcnet.org

-----"Joanna Garcia" <jlgarcia@vt.edu> wrote: -----
To: <permissions@pdcnet.org>
From: "Joanna Garcia" <jlgarcia@vt.edu>
Date: 04/17/2014 01:58PM
Subject: Request for Permission to Use Content

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Figure 2: The Three-Domain Model of Corporate Social Responsibility

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Sincerely,

Joanna L. Garcia

PhD Candidate
Department of Accounting and Information Systems
3087 Pamplin Hall
Virginia Tech
Blacksburg, VA 24061
jlgarcia@vt.edu
Hi Joanna,

Thank you for reaching out to MSCI ESG Research and congratulations on your dissertation!

You are welcome to cite the STATs methodology document in your appendix as long as MSCI ESG Research is cited appropriately. Please note STATs is a product of MSCI ESG Research, which is owned by MSCI, Inc. (no longer RiskMetrics).

Best,

Whitney

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How to Use KLD STATS & ESG Ratings Definitions

Frequently Asked Questions for KLD STATS

Specifically, I would like to reprint information in an appendix to give readers additional information about the ratings and how they are compiled. This would potentially include a selection of ESG ratings definitions in each qualitative issue area, the research coverage universe chart, and methodology used to compile the ratings.

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Sincerely,

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