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**THE INVESTIGATION OF THE OPTICAL PROPERTIES OF
POLYTYPIC MINERALS**

by

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(ABSTRACT)

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A new approach to the investigation of the optical properties of polytypic minerals that combines spindle stage techniques, X-ray diffraction methods, electron microprobe analysis, and dielectric tensor calculations has been developed and applied to zinc sulfides and chloritoids.

For the first time, X-ray diffraction studies of natural anisotropic zinc sulfides have documented the simultaneous occurrence of twinning and stacking disorder along more than one of the four symmetry equivalent $\langle 111 \rangle$ directions of sphalerite. Precession photographs of optically anisotropic zinc sulfides are characterized by twin-equivalent diffraction maxima and diffuse diffraction streaking along lattice rows with $(h-k) \neq 3n$ (equivalent hexagonal indices) in one or two $\langle 111 \rangle$ directions. A system of linear equations has been used to calculate the approximate volume fractions of each twin domain and the sphalerite host domain. Dielectric tensor calculations have been performed to illustrate that mixtures of cubic and hexagonal zinc sulfide may be optically biaxial if the intergrowth occurs along more than one of the

symmetry equivalent $\langle 111 \rangle$ directions of sphalerite (cubic).

The dependence of the optical properties upon the chemical variation and polytypic intergrowth in the Mg-Fe chloritoids has been investigated. The effects of the variation in chemical composition of specific polytypic compositions were analyzed first. The refractive indices of 10 approximately pure $2M_2$ Mg-Fe chloritoids show strong correlations ($R^2 \geq 0.094$) to the proportion of Mg cations in the M(1B) site, $Mg/(Mg + Fe + Mn) = MGN$. Correlations between the optical orientation angles and MGN were weaker ($R^2 \leq 0.87$).

The optical orientation is very sensitive to small variations in the polytypic composition, especially orientation angles that have fixed values in $2M_2$ chloritoid. The parameter showing the most sensitivity is $\angle X \wedge b$, which is 0° in an ideal $2M_2$ chloritoid, but increases to about 6° for a chloritoid containing 10% by volume 1Tc polytype. The sum of $\angle Y \wedge c^*$ and $\angle Z \wedge c^*$ for an ideal $2M_2$ chloritoid has a value of 90° , whereas a chloritoid with 10% 1Tc has a sum of 92° . Although not as sensitive as $\angle X \wedge b$, this parameter can be determined with only a spindle stage or universal stage.

The observed dependence of the optical properties on polytypic intergrowth and chemical variation has been modeled using dielectric tensor calculations based on the properties of a 1Tc layer and assuming that the $2M_2$ polytype is derived by twinning the 1Tc polytype about [010] with an (001) composition plane.

PREFACE

This study was inspired by the work of Hauser and Wenk (1976) on the effects of structural intergrowths in minerals upon the optical properties. Their paper only hints at the wider applications and importance of the concept they developed. This dissertation applies the Hauser and Wenk concept to an investigation of the effects of polytypic intergrowths on the optical properties of polytypic minerals. Polytypic minerals were chosen because the geometrical relationships between polytypes are relatively simple and because polytypic materials are relatively common in nature (e.g. sheet silicates) and some are used in industry (e.g. ZnS and SiC). Hauser and Wenk (1976) used the universal stage to collect their optical data, then mounted crystals for X-ray examination. The most important improvement in data acquisition during the present study involves use of spindle stage techniques (Bloss, 1981) which permit the collection of optical, X-ray, and chemical data from one and the same mineral grain. This greatly improves the confidence level in any correlations that may be found among optical properties and crystal chemical data.

Chapter One presents the groundwork for understanding the concepts and techniques used in this study. The first part introduces the working definition of polytypism and the notation used in reference to polytypes. The second part describes the dielectric tensor and the assumptions made when it is applied to minerals. The last part outlines the data collection methods required for a study of this type. These

same methods may be applied to any type of intergrowth in minerals if the geometric relationships can be well established. This is important because transmission electron microscopy studies have illustrated that many crystals show some type of submicroscopic structural intergrowth. The effects of these structural intergrowths on the optical properties must be understood, because a lack of understanding may lead to an incorrect phase identification or compositional estimate based on the optical properties, or it may be decided that a relationship between the optical properties and the chemical composition is too weak to be useful. For example, Halferdahl (1961) reported that there was a general dependence of the refractive indices of chloritoid on magnesium content, but that the relationship could not be used for determinative purposes. All three chloritoid polytypes plus mixtures were plotted on the same diagram versus magnesium composition. As is shown in this study, the refractive indices are dependent upon polytypic intergrowth. If the refractive indices of a set of chloritoids which all have a specific polytype are plotted according to magnesium content a better correlation obtains.

The original purpose of Chapter Two was to test the dielectric tensor program with a simple intergrowth between CCP ZnS (sphalerite) and HCP ZnS (wurtzite) where the close-packed layers are stacked along a single direction. When it was found that the intergrowth was not always simple, I decided to investigate the effects of these more complicated types of intergrowths on the optical properties of zinc sulfides, too. Chapter Two concentrates on the discovery of this new

type of intergrowth, and presents calculations of the potential effects of these newly discovered intergrowths and simpler intergrowths on the optical properties.

Chapter Three presents a complete application of the methods described in Chapter One to the investigation of the dependence of the optical properties on chemical composition and polytypic intergrowth in Mg-Fe chloritoids. This part of the study exemplifies the power of the spindle stage method, especially for the determination of triclinic optical orientations. The first complete optical orientations of triclinic chloritoids were determined during this study. Although more data are required to establish determinative curves relating the optical properties to chemical and polytypic variations, the procedures and a path towards the establishment of determinative curves have been discovered.

This investigation is an application of a new, exciting approach to mineral optics. I want to apply this approach to other mineral groups, and would like to see other investigators apply these techniques to minerals and synthetic materials. With the increasing use of optics in industry there should be some importance in understanding the effects of structural intergrowths on the optical properties of materials.

Brian Jay Cooper

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CHAPTER ONE

THE INVESTIGATION OF THE OPTICAL PROPERTIES OF POLYTYPIC MINERALS

Introduction

A new approach to the analysis of the optical properties of polytypic minerals has been developed. This is important for the characterization of polytypic materials which are often found in nature and are used in industry.

This approach requires the collection of chemical, X-ray, and optical data from the same grain in order to develop models relating the chemical composition, polytypic intergrowth, and optical properties to each other. The spindle stage techniques developed and described by Bloss (1981) and the physical optics theory described by Hauser and Wenk (1976) have been applied to polytypic minerals to obtain the desired models.

Included in this chapter are the working definition of polytypism and polytypic notation used in this study, a review of dielectric tensors and the assumptions involved with their use in minerals, and a generalized outline of the experimental procedures required for this approach. This chapter can be thought of as a manual for the investigation of the effects of polytypic intergrowth in minerals on their optical properties. The dielectric tensor section and experimental procedures apply to the investigation into the effects of any type of submicroscopic intergrowth on the optical properties of minerals.

Polytypism

Working definition

The phenomenon of polytypism is more common than once believed. This is due to the increased number of investigations of minerals formed in different environments using X-ray diffraction and TEM techniques. The term itself has been redefined to allow more flexibility in its application.

Polytypism may be regarded as a special case of crystal polymorphism such that various polymorphs (polytypes) may be regarded as arising through different ways of stacking structurally compatible tabular units or polytype modules. Multidirectional stacking of modules along crystallographically equivalent directions may occur, especially if the crystal structure is based upon a cubic close-packed arrangement because of the occurrence of four symmetry-equivalent close-packed stacking directions.

This is Thompson's (1981) definition, except for the last sentence which was added to cover the present study's findings with regard to the zinc sulfides.

Polytypic notation

A complete discussion of the different notations used to designate polytypes is given in Verma and Krishna (1966). Only those notations found useful in this study are described in this section.

The "ABC" notation is useful for describing the stacking sequences in close-packed structures such as the zinc sulfides. The "A" represents a close-packed layer of ions or atoms with two sets of interstices. The "B" close-packed layer "covers" one set of interstices, and the "C" close-packed layer "covers" the other set of interstices. In a three-dimensional close-packed structure, no two adjacent layers have the same letter. The letters refer to individual close-packed layers of atoms or ions in the original usage of this notation, although some authors use this notation in reference to "polyatomic" layers. For example, each letter may specify a close-packed layer of Zn-S dipoles in the zinc sulfides. Cubic close packing (CCP) is represented by ...ABCABC..., and hexagonal close packing (HCP) by ...ABABAB.... the Ramsdell (1947) zigzag sequence is a pictorial representation of the "ABC" notation (Fig. 2-1, p.22).

The notation used by Pauling (1945), Wyckoff (1948), and Jagodzinski (1949) describes each close-packed layer in terms of the orientation of the adjacent layers. a close-packed layer having identical layers on either side of it is designated by "h" since this

arrangement is characteristic of hexagonal close packing:

...ABABAB...

....hhhh....

A close-packed layer having different layers on either side of it is designated by "c" or "k" (German) because this arrangement is characteristic of cubic (Kubik or kubische) close packing:

...ABCABC...

....kkkk....

This notation was necessary to derive the equations used to calculate the volume fractions in the case of multidirectional stacking disorder, and to understand the relationship between twinning in cubic close-packed domains and the amount of hexagonal close-packed domains produced by the twinning.

The Ramsdell (1947) notation and modified Gard notation (Bailey, 1977) are useful when referring to entire unit cells because the number of unit-modules in the unit cell and the symmetry of the unit cell are stated in the symbol. The Ramsdell notation is useful for the zinc sulfides in referring to the ordered polytypes. For example, cubic close-packed sphalerite is the 3C polytype while 2H is the symbol of wurtzite, the hexagonally close-packed polytype. The chloritoid polytypes are often denoted by the same symbolism used for the micas. Triclinic chloritoid is 1Tc, and the two monoclinic polytypes are 2M₁ and 2M₂. The modified Gard notation for these would be Aabc (A = anorthic = triclinic), Mba2c, and Mab2c, respectively (assuming the 2M chloritoid in Table 1 of Bailey (1977) refers to the most common

polytype, $2M_2$). The modified Gard notation gives one an immediate understanding of the crystallographic relationships between the respective polytypes. The Ramsdell symbols and Gard notations are useful when referring to the basic modules of minerals like chloritoids because the modules are polyatomic layers which are not treated in terms of close packing.

Physical Optics

To model and analyze the optical properties of polytypic minerals requires the use of physical optics. Geometrical optics is limited to describing the interaction of light with domains larger than the wavelength of light, whereas physical optics can be used to describe the interaction of light with domains as small or smaller than a unit cell. The latter case is most appropriate for the treatment of the optical properties of polytypic intergrowths where at least one dimension of the "unit" polytypic module is much less than the wavelength of visible light (approximately two orders of magnitude smaller).

The optical properties to be considered in this study are those characteristic of the optical indicatrix. If submicroscopic module types are uniformly distributed within the composite grain, then a single optical indicatrix will describe the homogeneous optical properties of the composite grain. Unfortunately, the spatial average of the indicatrices is not the spatial average of the parameters of the indicatrix. This is where physical optics is applied. The optical

indicatrix and its orientation relative to the crystal structure can be expressed in terms of a dielectric tensor. If the dielectric tensor of each module type is multiplied by the volume fraction of that module type, then the dielectric tensor representing the composite optical indicatrix will be the sum of these products. Before going any further, it is necessary to review the derivation given in Hauser and Wenk (1976) to illustrate the "hows" and "whys" of this approach.

The derivation first assumes that the material is a dielectric. Even though minerals are not perfect dielectrics, most silicates and even the zinc sulfides have conductivities that are low enough that their properties may be described in terms of dielectrics.

Electric charges do not move freely through dielectrics but may be displaced relative to each other when an electrical field is applied. The displacement produced by the applied electric field is a function of the binding energy between the electrons and the nucleus which in turn depends on the internal structure of the dielectric. For an anisotropic mineral this is expressed as

$$P = \chi E, \quad (1-1)$$

where P is the polarization which is defined as the vector sum of the dipole moments per unit volume, χ is the electric susceptibility tensor of the mineral, and E is the macroscopic electric field strength which is the volume average of the microscopic electric field strengths.

The electric displacement vector, D , is defined as:

$$D = E + 4\pi P, \quad (1-2)$$

assuming the Gaussian system of units.

Substituting (1-1) into (1-2) gives the following expression:

$$D = (I + 4\pi\chi)E, \quad (1-3)$$

where I is the identity tensor. The dielectric tensor, ϵ , is defined as

$$\epsilon = I + 4\pi\chi, \quad (1-4)$$

so that (1-3) can be written as

$$D = \epsilon E. \quad (1-5)$$

Any tensor which describes a physical property has an associated three-dimensional surface called the representation quadric. The representation quadric of the inverse of the dielectric tensor, ϵ^{-1} , is equivalent to the optical indicatrix. Therefore, the eigenvectors of ϵ correspond to the principal directions of the optical indicatrix, and the eigenvalues of ϵ correspond to the principal refractive indices squared.

The derivation of the expression for dielectric tensors of composite grains requires returning to Equation (1-1). A small volume element of a grain consisting of two module types will be considered first. Monochromatic light is assumed so as to eliminate the additional complexities of including dispersion in the derivation. The two module types occur as two lamellae that are thinner than the wavelength of light so that the volume element is optically homogeneous. The lamellae must also be thicker than a unit cell in order to avoid consideration of the individual atomic electric fields.

Light passing through the volume element will produce a macroscopic electric field in each module. The two fields, E_1 and E_2 , differ

because of the surface charge density, σ , induced at the interface.

Therefore,

$$\mathbf{E}_2 = \mathbf{E}_1 + 4\pi\sigma\mathbf{N}, \quad (1-6)$$

where \mathbf{N} is a unit vector normal to the interface. Hauser and Wenk (1976) temporarily ignore the surface charge density so that

$$\mathbf{E}_1 = \mathbf{E}_2 = \mathbf{E}. \quad (1-7)$$

The polarization within the two modules is written as

$$\mathbf{P}_1 = \chi_1\mathbf{E}_1 \quad \text{and} \quad \mathbf{P}_2 = \chi_2\mathbf{E}_2. \quad (1-8)$$

The macroscopic electric field strength and the polarization of the entire volume element are obtained by calculating the volume average:

$$\mathbf{E} = f_1\mathbf{E}_1 + f_2\mathbf{E}_2, \quad (1-9)$$

$$\mathbf{P} = f_1\mathbf{P}_1 + f_2\mathbf{P}_2, \quad (1-10)$$

where $f_1 = \Delta V_1/\Delta V$ and $f_2 = \Delta V_2/\Delta V$, and where ΔV , ΔV_1 and ΔV_2 represent the volumes of the volume element and the two lamellae, respectively.

Note that

$$\Delta V_1 + \Delta V_2 = \Delta V \quad (1-11)$$

so that

$$f_1 + f_2 = 1. \quad (1-12)$$

Considering Equation (1-7) and substituting Equations (1-8) into Equation (1-10) gives

$$\mathbf{P} = (f_1\chi_1 + f_2\chi_2)\mathbf{E}. \quad (1-13)$$

Therefore, the electric susceptibility tensor of the volume element can be written as

$$\chi = f_1\chi_1 + f_2\chi_2, \quad (1-14)$$

and with substitutions based on Equation (1-4), the dielectric tensor of

the volume element is

$$\epsilon = f_1 \epsilon_1 + f_2 \epsilon_2 \quad (1-15)$$

This equation can be generalized for any number of module types n so that

$$\langle \epsilon \rangle = \sum_{i=1}^n f_i \epsilon_i \quad (1-16)$$

where $\langle \epsilon \rangle$ indicates that this sum is an approximate dielectric tensor because the surface charge density has been ignored. However, Hauser and Wenk (1976) describe an extensive derivation of an "error approximation" which indicates that the differences between the values obtained by this approximation and those values obtained by the "exact" tensor are "second order." The derivation of the error approximation was repeated for each of the minerals used in this study, assuming that

$$N = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \quad (1-17)$$

for the basis used in each coordinate system. The equation for the approximation of the error derived for the two-lamellae case is

$$\frac{f_1 f_2 (\epsilon_{331} - \epsilon_{332})^2}{(f_1 \epsilon_{331} + f_2 \epsilon_{332})} \quad (1-18)$$

where ϵ_{331} and ϵ_{332} are the ϵ_{33} components of ϵ_1 and ϵ_2 , respectively. As stated by Hauser and Wenk, the maximum value occurs when f_1 and $f_2 = 0.5$. The maximum value obtained in this study was 0.0002 in the maximum refractive index, well within the precision attained during this study.

Polytypic intergrowths tend to be in good agreement with the assumptions being made about the shape and size of the modules. Each polytypic layer is tabular, and generally thinner than wavelengths of

visible light and larger than a single unit cell. Since the chemical compositions and crystal structures of the different modules are nearly identical, the macroscopic electric fields produced in the modules would be nearly equal. Therefore, it seems that the approximation given by Equation (1-16) is a reasonable one to use in the modeling of the optical properties of polytypic minerals.

Hauser and Wenk (1976) also demonstrated that Equation (1-16) can be used to model some solid solutions. In this case solid solutions are viewed as composite materials where the modules are unit cells having different chemical compositions. The following assumptions are made:

1. "...lattice strain caused by atomic substitution may be negligible..."
2. "...all atoms must have cubic site symmetry."
3. The dielectric tensors of the end members differ only slightly.

The optical properties of the Mg-Fe join of the chloritoids are modeled in this way. The substitution of ions of similar size and chemical properties in the chloritoids is assumed to cause only minor distortions. Chloritoid's approximately close packed structure has nearly cubic site symmetry. The dielectric tensors of the end members differ only by differences in the refractive indices (~ 0.01 for the range of compositions used).

Computer Program and Required Input

The dielectric calculations were performed by a computer program coded by Hauser and Wenk with only minor modification by this author. The listing of the program, a description of the calculations performed by the program, a sample input file, and a sample output file are contained in Appendix A.

The program requires stereographic coordinates and refractive indices for each principal direction of the indicatrices of every module type in the composite grain. These data are used to calculate the dielectric tensors of the module types by forming the eigenvalues and eigenvectors of each module type. The volume fractions are also part of the input data so that Equation (1-16) can be used to calculate the approximate dielectric tensor of the composite grain. The program then calculates the eigenvalues and eigenvectors of the composite dielectric tensor to obtain the coordinates of the principal vibration directions and refractive indices of the composite grain. Other optical properties such as the optic axial angle and birefringence can then be calculated for the composite grain.

Experimental Procedures

The procedures described in this section are generalized, but demonstrate the techniques used to obtain the data required for an analysis of the optical properties of polytypic minerals, or other types

of intergrowths.

Optical procedures

The spindle stage methods developed and described by Bloss (1981) are used to characterize the optical indicatrix of each sample. This includes the location of the principal vibration directions, the determination of the optic axial angle, and the measurement of the principal refractive indices. Since all measurements are made at each of at least three different wavelengths, the effects of dispersion are also characterized. The primary advantage of using the spindle stage techniques developed by Bloss and co-workers is the ability to obtain all the optical, X-ray, and chemical data from a single grain. This ability gives credence to correlations made between optical properties and either the structural parameters or the chemical composition. The determination of the optical orientations of monoclinic and triclinic minerals becomes a comparatively simple task.

Extinction data are collected first for the purpose of locating the principal vibration directions and determining the optic axial angle. The "360° option" was used during this study. Extinction positions were determined with a Nakamura plate, a type of half-shade accessory requiring the use of a cap analyzer. The Nakamura plate works extremely well, with good reproducibility of extinction positions except at positions exhibiting very low birefringence. The Nakamura plate is recommended for measurement of grains containing birefringent inclusions

because these produce erroneous extinction positions when the grain is measured with a photometer. The EXCALIBUR computer program described in Bloss (1981) was used to determine the coordinates of the principal vibration directions and the value of the optic axial angle from the extinction data. These calculations are performed at each wavelength, and subroutine DISPER evaluates the dispersion of the principal directions and optic axial angle.

In preparation for the measurement of the refractive indices, it is necessary to use the calibration and standardization procedures and computer programs described by Su, Bloss, and Gunter (1987).

All of the refractive index oils used in this study were measured with a Bellingham and Stanley "Abbe 60" refractometer, the temperature of which was controlled by circulating water flow. A thermocouple was used to measure the temperature of the oil between the prisms. The measurements were made using spectral lamp light sources having the following wavelengths: 434.84 nm, 546.07 nm, 589.60 nm, and 643.85 nm.

A glass standard was chosen within the range of the refractive indices being measured in order to evaluate observer and system error. The refractive index of the glass standard was previously determined using the minimum deviation method at six wavelengths. These refractive indices were assumed to be correct and were used to calculate the "true" Cauchy constants and linearized Sellmeier constants for the glass standard. Next, the refractive index of the glass standard was determined using the double-variation method and the calibrated oils. The computer program GLASS was used to calculate the linearized

Sellmeier constants of the glass standard based on the double-variation data. Su (personal communication, 1985) now uses the program SOLID for this step.

The refractive indices of the mineral samples can be determined by orienting each principal vibration direction parallel to the polarizer using the spindle stage coordinates determined by EXCALIBUR. At each setting the grain is compared to at least three of the calibrated oils using the double-variation method. A set of temperatures and wavelengths collected for each principal vibration direction is placed in SOLID which then calculates the linearized Sellmeier constants for each direction. The refractive indices at specific wavelengths are included in the output.

X-ray procedures

Upon completion of the optical procedures the entire goniometer-head/sample assemblage is transferred to a precession camera for X-ray study. The grain is examined by X-ray photographic techniques to determine:

1. the location of the crystallographic directions;
2. the geometric relationship and distribution of the polytypic intergrowths; and
3. the approximate volume fraction of each module-type in the composite grain.

The precession method was used because the reciprocal lattice is recorded in an undistorted form, and all the desired orientations could be obtained without remounting grains.

The angle between each crystallographic direction and the dial axis is measured on the X-ray photograph. These angles and the dial axis settings can be converted to spindle stage coordinates, assuming that the correspondence between dial axis settings and spindle axis setting have been determined. The spindle stage coordinates of the crystallographic directions and of the principal vibration direction can be used to calculate the angles between the two sets of directions to specify the optical orientation.

The diffraction patterns are studied carefully to determine what types of intergrowths are present within the grain. The diffraction pattern is usually dominated by diffraction maxima of the host polytype. Fainter diffraction maxima may indicate a different polytype or twinning. Diffuse diffraction streaking along reciprocal lattice rows parallel to specific directions is indicative of stacking disorder along those directions. In some instances two or more unique sets of diffraction maxima and diffuse diffraction streaks have been observed.

Densitometry can be used to obtain approximate intensities required to estimate the volume fractions of each module type. If polytypic intergrowth occurs along only one direction, then there will be some diffraction maxima that are contributed to by all module types. The volume fractions are found by calculating the ratio of the intensity of a reflection due to part of the composite grain to the intensity of a

reflection due to the whole composite grain. Multidirectional stacking of module types is more complex and therefore requires a system of linear equations to determine the volume fractions from the intensity data. Appendix B presents a derivation of the equations for zinc sulfides exhibiting twinning along four symmetry-equivalent directions.

Chemical analyses

Chemical compositional data must be obtained since most mineral groups will have at least some variation in the optical properties due to chemical substitution. The chemical analysis has to be nondestructive. During this study, the samples were analyzed with an automated electron microprobe prior to the final optical and X-ray examination. The samples could have been analyzed after the optical and X-ray data were collected, but this step was considered risky in terms of sample preservation.

The chemical dependence of the optical properties may be difficult to determine, and perhaps impossible at this time if there are large numbers of chemical substituents. As mentioned earlier, the Hauser and Wenk computer program can be used to model the effects of chemical substitution on the optical properties. Such a model would require the assumption of solid solution between end members with previously determined optical properties.

Summary

A new approach capable of giving insight into the effects of polytypic intergrowth on the optical properties of polytypically intergrown minerals has been presented in this chapter. This approach requires knowledge of the optical properties of the individual module types, as well as the observed optical properties of several composite grains having a range of polytypic compositions. This "knowledge" is obtainable using the spindle stage techniques described by Bloss (1981). X-ray and chemical data are also required for this approach. The dielectric tensor calculations described by Hauser and Wenk (1976) are used to aid in the development of models relating the chemical composition, polytypic composition and optical properties to each other. Several assumptions must be made when using the dielectric tensor calculations. Many silicate and oxide systems should be able to satisfy these assumptions. If this new tool is used in conjunction with experimental studies, useful information about the origin of various types of polytypic intergrowths may be obtained.

The procedures presented in this chapter may also be applied to many other types of intergrowths so long as the basic assumptions are satisfied.

CHAPTER TWO

MULTIDIRECTIONAL TWINNING AND STACKING DISORDER IN NATURAL ANISOTROPIC ZINC SULFIDES

Introduction

For the first time, X-ray diffraction studies of natural anisotropic zinc sulfides have documented the simultaneous occurrence of twinning and stacking disorder (TSD) along more than one of the four symmetry equivalent $\langle 111 \rangle$ directions or close-packing directions of sphalerite. To demonstrate the significance of this discovery a brief review of zinc sulfide crystal structures and possible causes of TSD will follow.

Crystal structures of zinc sulfides

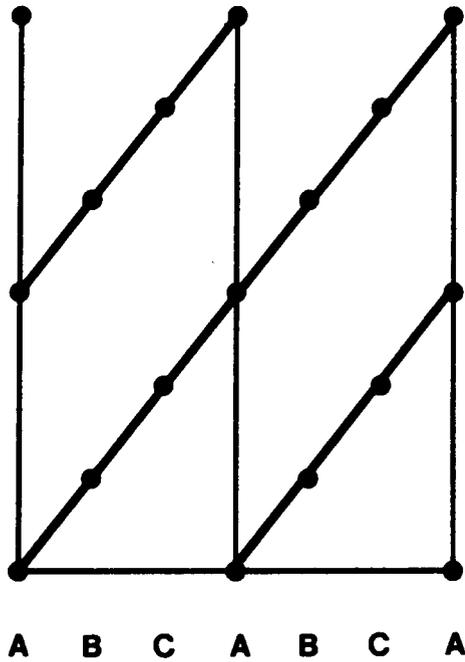
The crystal structures of the ZnS polytypes, sphalerite and wurtzite, are based on interpenetrating close-packed arrays of sulfur and zinc atoms. It is more convenient to view the structures as close-packed arrays of monolayers of Zn-S dipoles, where successive close-packed monolayers are designated by A, B, or C, depending on their relative location in the stacking sequence. Sphalerite has the cubic close-packed 3C (3 layers in the repeat cell; C=cubic) structure symbolized by the stacking sequence ...ABCABCABC..., with close-packed stacking directions parallel to each of the four symmetry-equivalent $\langle 111 \rangle$ directions. Wurtzite has the hexagonal close-packed 2H (2 layers

in the repeat cell; H=hexagonal) structure, ...ABABAB..., with only one close-packed stacking direction parallel to [0001]. The Ramsdell (1947) zigzag representations for each structure are illustrated in Figure 2-1.

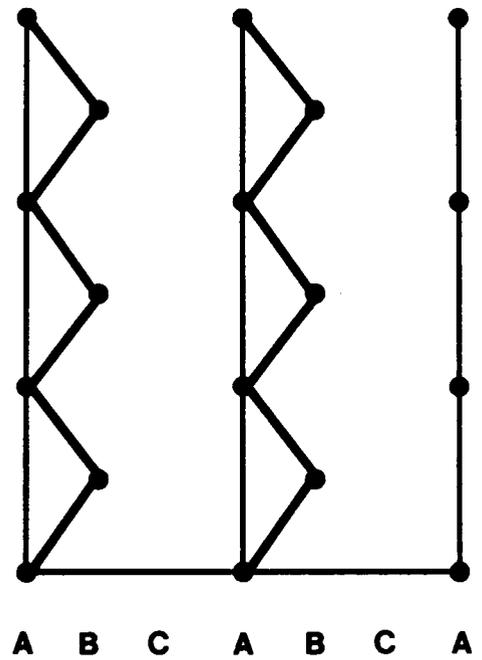
Causes of twinning and stacking disorder

The number of distinct stacking sequences between the 3C and 2H "end members" is unlimited. Some sequences are ordered and thus are valid ZnS polytypes, but most are partially disordered or totally disordered. A variety of stacking sequences may be produced during paragenetic events such as crystal growth and chemical substitution, phase transition upon cooling or heating, and mechanical deformation.

Growth defects in zinc sulfides cause twinning and some stacking disorder (Geilikman, 1982). A structural defect may be introduced by the "accidental" omission of an atom during growth or by an impurity such as Cd or Fe. For example, in ZnS Cd stabilizes the hexagonal structure because pure CdS is hexagonal under upper crustal conditions (Geilikman, 1982). The orientation of TSD depends on the conditions during crystal growth. The TSD may occur along a single [111] direction as observed in the sphalerites of the deposit at Elmwood-Gordonsville, Tennessee (Seal et al., 1985), or it may occur along two, three, or all four of the possible <111> directions. It is likely that the TSD would occur along just one direction within any single submicroscopic domain or "block", but in terms of a composite grain (not a true "single crystal") the stacking vectors of the small domains would be parallel to



3C



2H

Fig. 2-1. Ramsdell (1947) zigzag representations of the stacking sequences in 3C and 2H zinc sulfides. A, B, and C indicate the close-packed stacking positions; the dots represent individual Zn-S dipoles. The hexagonal [0001] or cubic [111] is vertical.

one of the four $\langle 111 \rangle$ directions of the sphalerite host.

Both the 2H \rightarrow 3C and the 3C \rightarrow 2H phase transitions have been investigated by Akizuki (1970, 1981, 1983) and Fleet (1977a, 1977b, 1983). The 2H \rightarrow 3C phase transition produces stacking disorder along a single direction corresponding to the [0001] direction of wurtzite and a $\langle 111 \rangle$ direction in each of the 3C domains. Since the phase transition may have started at several points within the crystal at one time, the 3C domains may occur in two crystallographically distinct orientations. The two twin-related 3C orientations within the 2H host domain are thought to occur in approximately equal proportions (M.E. Fleet, personal communication, 1984), which is logical because of the 50% probability for the occurrence of each orientation. The 3C \rightarrow 2H phase transition produces stacking disorder along one of the $\langle 111 \rangle$ directions of sphalerite by gliding along one of the four {111} planes (Akizuki, 1970). Akizuki (1970) also found that gliding continued until terminated by other {111} "slip" planes, creating a composite crystal made up of many blocks. Akizuki (1970, 1981) states that the orientation of the gliding in each block is dependent on "local thermal stresses" and is independent of "pre-existing stacking faults, dislocations, or other defects". Therefore, the stacking vector of each of the many blocks in the composite crystal could be oriented along any of the four $\langle 111 \rangle$ directions of the sphalerite host.

Mechanical deformation of sphalerite causes twin gliding to occur along the {111} planes within sphalerite, creating submicroscopic 2H domains parallel to the glide plane or deformation fault. This is

illustrated in Figure 2-2. Fleet (1977b) suggested that deformation faults originate the twinning along a single $\langle 111 \rangle$ direction observed in a sphalerite from Austinville, Virginia. It seems reasonable that the twinning and attendant stacking disorder may occur along one, two, three, or four $\langle 111 \rangle$ directions simultaneously, if the gliding begins at several points within the sphalerite and along different $\{111\}$ planes.

Therefore, TSD along one, two, three, or four $\langle 111 \rangle$ directions of a host sphalerite could possibly occur due to growth defects, the $3C \rightarrow 2H$ phase transition, or mechanical deformation. The $2H \rightarrow 3C$ phase transition would probably produce TSD along a single direction parallel to the $[0001]$ direction of the original wurtzite crystal.

Optical anisotropy in zinc sulfides

Twinning and stacking disorder in sphalerite produce optically anisotropic domains such as the $2H$ domains parallel to glide planes created by mechanical deformation. Anisotropy in zinc sulfides has been mentioned in the literature, but not as often as it would have been if transmitted light microscopy was commonly used in the study of sulfide ore deposits (Lindblom, 1980). Textures ranging from alternating anisotropic and isotropic bands to patchy "cross-hatched" patterns and intersecting anisotropic lamellae have been reported (Akizuki, 1970, 1981; Fleet, 1977a, 1977b; Lindblom, 1980; Roedder, 1968a, 1968b; Seal et al., 1985). Previous studies have shown an approximately linear

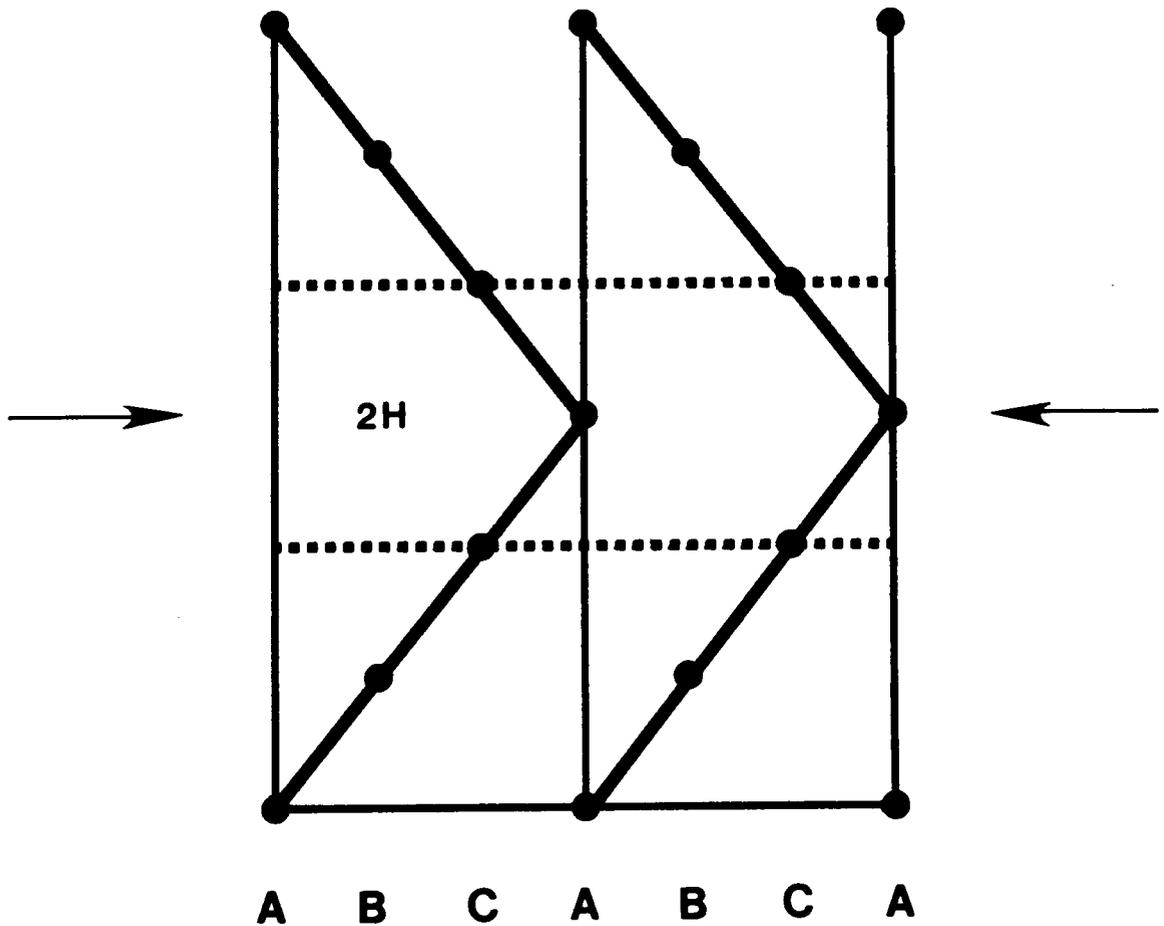


Fig. 2-2. Ramsdell (1947) zigzag representation showing the twinning caused by gliding along a $\{111\}$ plane in sphalerite. The arrows indicate the position of the composition plane. A 2H layer ("CAC") occurs between the two dashed lines. The hexagonal $[0001]$ or cubic $[111]$ is vertical.

relationship between maximum birefringence (δ) and volume fraction of hexagonal close-packed layers (σ),

$$\delta = \delta_{2H} \times \sigma,$$

where δ_{2H} is the maximum birefringence of pure wurtzite (Nelkowski and Pfützten-Reuter, 1971; Fleet, 1977a). This linear relationship is confirmed by dielectric tensor calculations during this study using the computer program coded by Hauser and Wenk (1976)(see Appendix A) and assuming 2H-3C intergrowth along only one direction. Fleet (1977a) suggested that the relationship among σ and δ may be polynomial because δ is often lower than expected based on the linear equation above (Figure 2-3). He attributed this to error in birefringence determination, in that the maximum possible value was not actually measured. Compositional effects were ruled out on the basis that δ is relatively independent of chemical substitution (Fleet, 1977a; Hurlbut, 1957). Another possible explanation involves TSD along one, two, three, or four $\langle 111 \rangle$ directions of a sphalerite host grain. Dielectric tensor calculations predict that such zinc sulfides would be biaxial in some cases and would have lower δ values than would be expected for the given σ values.

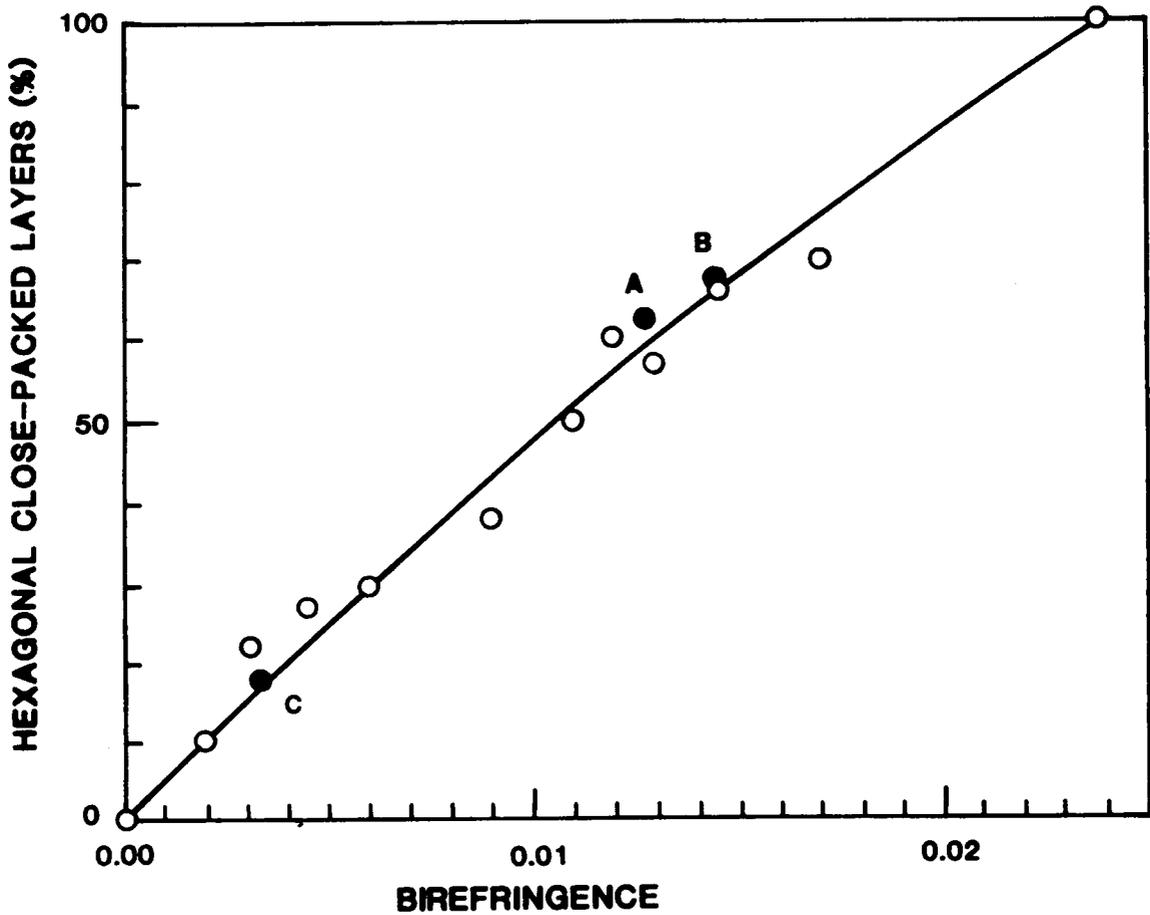


Fig. 2-3. The relationship between the percentage of hexagonal close-packed layers (σ) and the birefringence (δ) for synthetic zinc sulfides (open circles, Nelkowski and Pftuzen-Reuter, 1971) and for natural zinc sulfides (full circles, Fleet, 1977). A and B are disordered 2H wurtzite from Pribram and C is disordered 3C sphalerite from Thomaston Dam (Fleet, 1977). After Fleet (1977).

Purpose

In this chapter, X-ray diffraction methods are used to demonstrate the existence of multidirectional twinning and stacking disorder in zinc sulfides. The potential effects of TSD on the maximum birefringence of anisotropic zinc sulfides are analyzed using dielectric tensor calculations.

Experimental Procedures

Electron microprobe analysis

The zinc sulfides used in this study are listed in Table 2-1. Several grains of each sample were chosen for electron microprobe analysis, their maximum dimension being 0.5 mm or less. Each collection of grains was mounted on a one-inch glass disk using Crystal-Bond as the adhesive. The mounts were ground and polished to produce a smooth surface on as many of the grains as possible without losing any grains; they were then carbon-coated. (Note: later attempts to vacuum-evaporate a carbon-coating on samples mounted in Crystal-Bond resulted in bubbling and partial vaporization of the Crystal-Bond.)

The analyses were performed with an automated, nine-spectrometer ARL-SEM0 electron microprobe at an operating voltage of 20kV. The standards were synthetic compounds, listed below with the elements

Table 2-1. Zinc sulfide analysis numbers, reference numbers, and localities

Analysis number	Reference number	Locality
1	SPH-I	Austinville, Virginia
2	SPH-II	Austinville, Virginia
3	640-3 [*]	Austinville, Virginia
4	11-10-17 [*]	Austinville, Virginia
5	USNM 113943	Casapedia, Quebec
6	G-4 ^{**}	Elmwood-Gordonsville, Tennessee
7	USNM 97342	Franklin, New Jersey
8	USNM C419	Schemnitz, Czechoslovakia
9	USNM B1353	Sonora, Mexico
10	USNM 80746	Pribram, Czechoslovakia
11	USNM 135612	Synthetic
12	ThD [#]	Thomaston Dam, Connecticut

Note: USNM refers to the United States National Museum; samples provided by John S. White.

* Samples provided by N. Foley.

** Sample provided by R. Seal.

Sample provided by W. Henderson.

analyzed for, and X-ray line used for each element:

ZnS	ZnK α	SK α
FeS	FeK α	
CdS	CdL α	
HgS	HgM α	
MnSe	MnK α	SeK α

Multiple analyses were performed on each grain, with the intent of analyzing areas of varying anisotropy. This resulted in a total of 7 to 19 analyses per sample. The data were reduced on-line by the MAGIC IV program (Colby, 1971).

X-ray diffraction techniques

Cleavage fragments were removed from the Crystal-Bond with acetone. These grains were then attached to glass fibers in preparation for single-crystal X-ray precession photography. The fragments were mounted with a [111] or [100] direction parallel to the dial axis, and [110] as precession axis. Fragments mounted parallel to [111] had to be rotated +120° and -120° on the dial from the initial setting in order to obtain three photographs showing all four <111> directions. Those mounted parallel to [100] required two photographs 90° from each other. Exposure times ranged from 41 to 285 hours per photograph, using Ni-filtered CuK α or Zr-filtered MoK α radiation. The long exposure times

were needed in order to observe weak twin reflections and diffraction streaks along reciprocal lattice rows with $h-k \neq 3n$ (equivalent hexagonal indices). The $\text{CuK}\alpha$ photographs were preferred due to greater intensity and resolution.

A Helena Laboratories "Quick Scan" scanning densitometer was used to obtain relative intensity data. Scans were run along white radiation streaks and through diffraction maxima associated with each of the symmetry-equivalent and twin-equivalent 111 reflections. Scans were also run along lattice rows with $h-k \neq 3n$ (equivalent hexagonal indices) parallel to the $\langle 111 \rangle$ directions on each photograph showing evidence of TSD in both directions.

Optical measurements

The goniometer heads and attached samples were subsequently transferred to a spindle stage on an Ortholux polarizing transmitted light microscope (E. Leitz, Wetzlar, W. Germany). The maximum retardations were measured with a Berek compensator (E. Leitz). Grain thickness was measured perpendicular to the orientation of the maximum retardation with a Vicker's Image Splitting Eyepiece. None of the grains were suitable for examination using the extinction methods of Bloss (1981). Three problems were encountered. First, some of the grains were not homogeneous on a microscopic scale. Second, some grains would not go extinct, even though they appeared to be optically homogeneous. Third, a few of the grains were either too darkly colored

or the retardation was too low to obtain reproducible extinction readings.

Results and Discussion

The chemical analyses, cation proportions, the calculated cell-edge based on chemical composition (Scott, 1974), and the number of twin axes are compiled for each specimen in Table 2-2.

The only significant substituents for Zn in the natural samples are Cd (up to 0.6%) and Fe (up to 9.7%). An attempt to determine the effect of Cd substitution on the optical properties was made using the Hauser and Wenk program and assuming the refractive indices given by Hurlbut (1957). The only changes observed were in the fifth decimal place of the calculated value of the maximum birefringence. Necessary data to determine the effects of Fe substitution were not available.

X-ray diffraction data

Precession photographs ranged from an untwinned sphalerite diffraction pattern (Figures 2-4 and 2-5) to patterns displaying twin-equivalent 111 diffraction maxima, and various amounts of diffuse diffraction streaking and peak broadening along reciprocal lattice rows with $h-k \neq 3n$ (equivalent hexagonal indices) in one or two directions (Figures 2-6 through 2-10). Figure 2-6 is an idealized illustration of the twinning relationships. Samples 3, 6, and 14 show twin-equivalent

Table 2-2. Microprobe analyses, cation proportions, and X-ray data for the zinc sulfides listed in Table 2-1

Analysis number	1	2	3	4	5	6
Zn (wt%)	67.2 (4)	67.2 (4)	66.4 (4)	67.7 (4)	67.2 (4)	n.a.
Fe	0.35(3)	0.35(3)	0.36(3)	0.06(2)	0.28(3)	n.a.
Cd	0.25(4)	0.25(4)	0.30(4)	0.29(4)	0.39(4)	n.a.
S	32.3 (3)	32.3 (3)	32.9 (3)	32.0 (3)	32.1 (3)	n.a.
Total	100.1 (8)	100.1 (8)	100.0 (8)	100.1 (8)	100.0 (8)	n.a.
	Cation proportions					
Zn	0.99 (1)	0.99 (1)	0.99 (1)	1.00 (1)	0.99 (1)	0.987*
Fe	0.0060(1)	0.0060(1)	0.0063(1)	0.0019(3)	0.0049(5)	0.009
Cd	0.0021(4)	0.0021(4)	0.0026(4)	0.0025(4)	0.0033(4)	0.004
	X-ray data					
a_c § (Å)	5.410	5.410	5.410	5.410	5.410	5.410**
Twin axes	3	4	2	0	1	1

Note: Values in parentheses represent esd's for last decimal place.

* Cation proportions provided by J.R. Craig § Calculated using the Scott (1974) equation

** Values of 5.411 Å and 5.415 Å were obtained by R. Seal using powder diffraction with an internal standard

Table 2-2. Continued

Analysis number	7	8	9	10	11	12
Zn (wt.%)	66.7 (4)	66.9 (4)	67.7 (4)	64.9 (4)	67.8 (4)	61.7 (4)
Fe	0.26(3)	0.12(2)	0.18(2)	2.15(6)	0.01(2)	5.70(9)
Cd	0.22(4)	0.50(4)	0.30(4)	0.71(5)	---	0.09(4)
S	32.9 (3)	32.5 (3)	31.8 (3)	32.2 (3)	32.2 (3)	32.5 (3)
Total	100.1 (8)	100.0 (8)	100.0 (8)	100.0 (8)	100.0 (8)	100.0 (8)
Cation proportions						
Zn	0.99 (1)	0.99 (1)	0.99 (1)	0.96 (1)	1.00 (1)	0.90 (1)
Fe	0.0044(5)	0.0021(4)	0.0031(4)	0.037 (1)	0.0001(3)	0.097 (2)
Cd	0.0019(3)	0.0043(4)	0.0025(4)	0.0061(5)	---	0.0008(3)
X-ray data						
a_c (Å)	5.410	5.410	5.410	5.412	5.409	5.412
Twin axes	0	1	0	2	1	1

Note: Values in parentheses represent esd's for last decimal place.

§ Calculated using the Scott (1974) equation

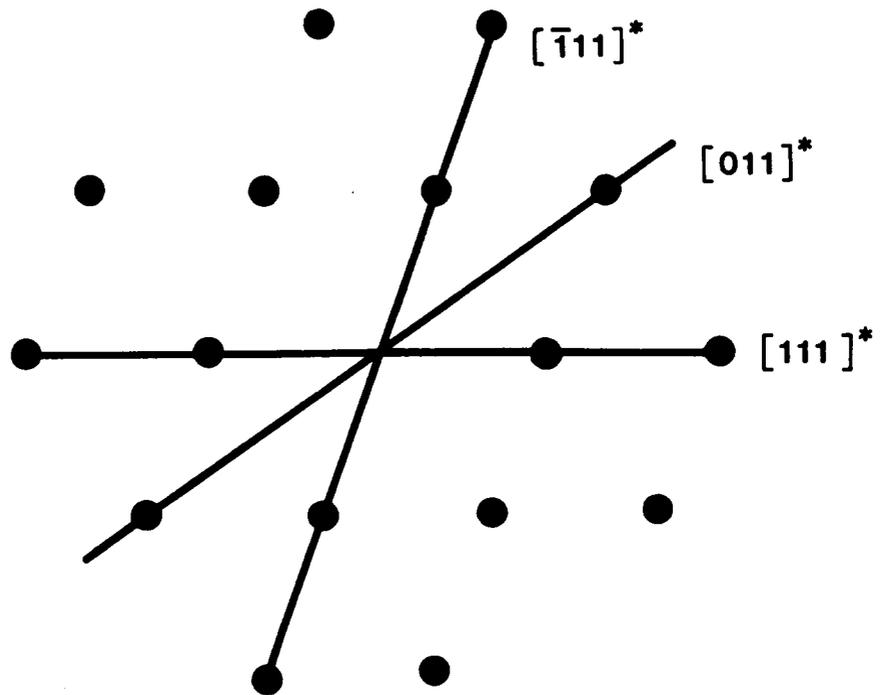


Fig. 2-4. A schematic precession diffraction pattern of an ideal sphalerite showing no sign of twinning. The precession axis is $[011]$.

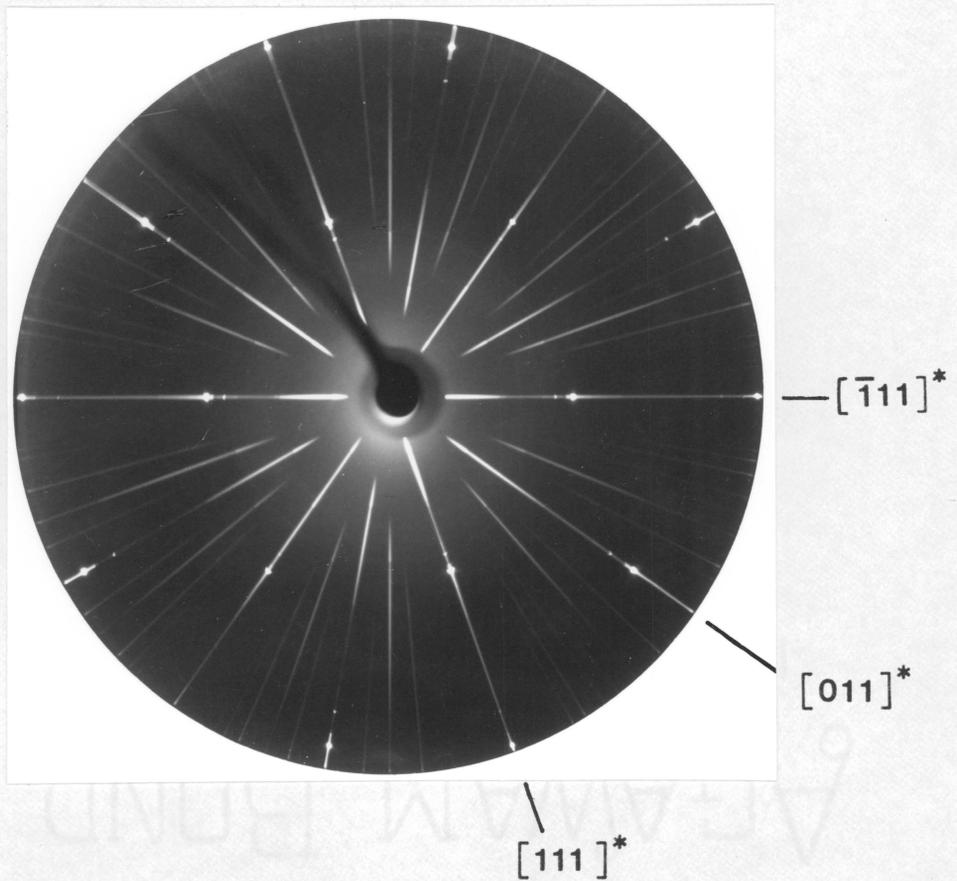


Fig. 2-5. Zero-level, $[110]$ axis precession photograph of sample 5 from Casapedia, Quebec: $\text{CuK}\alpha$, $\mu = 30^\circ$, 72 hours exposure. This is a diffraction pattern of a sphalerite which is not twinned.

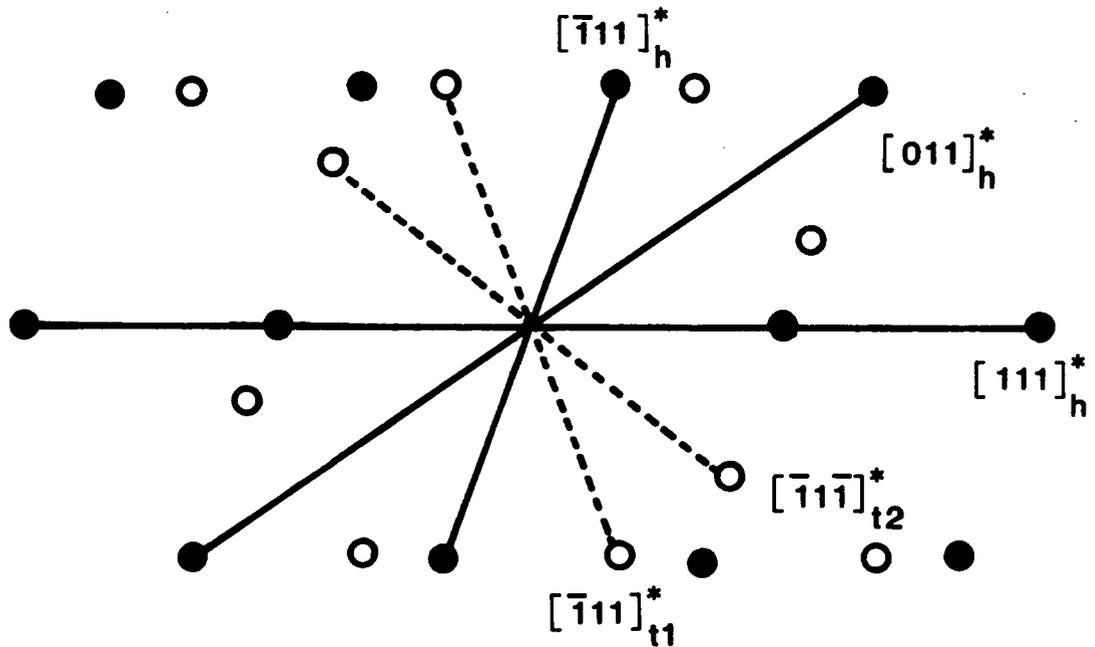


Fig. 2-6. A schematic precession diffraction pattern of a sphalerite twinned along two directions. The directions of the host are represented by the subscript "h", while the directions of the twin domains are indicated by the subscripts "t1" and "t2". The solid circles represent host reflections. The hollow circles represent twin reflections.

*

111 diffraction maxima and diffuse diffraction streaking along a single direction (Figures 2-7, 2-8, and 2-9). In samples 1 and 2 diffuse diffraction streaks along two directions were observed in more than one orientation (Figure 2-10). Some of the photographs also show radially diffuse reflections due to mosaic spread within the grain (Figures 2-9 and 2-10).

The volume fractions of the sphalerite host domain type and the individual twin domain types (Table 2-3) were calculated using a system of linear equations relating the relative intensities to the respective volume fractions (Appendix B). In general, the number of linear equations required is equal to the number of twinned directions observed in the precession photographs. The twin reflections can be shown to be unique using matrix methods or stereographic projections. The volume fractions calculated from the photometer data using the equations in Appendix B were compared with the relative magnitude of spot size and darkness observed on the precession photographs as a check on the "correctness" of the calculations. This is an approximate, semi-quantitative method, so that the figures quoted in Table 2-3 do not have significance past the decimal point other than relative magnitude of the diffraction maxima recorded on the precession photographs. Values less than one are included because twin reflections were indeed observed on the precession photographs along the direction indicated. Estimated standard deviations were not determined because of the semi-quantitative nature of the values.

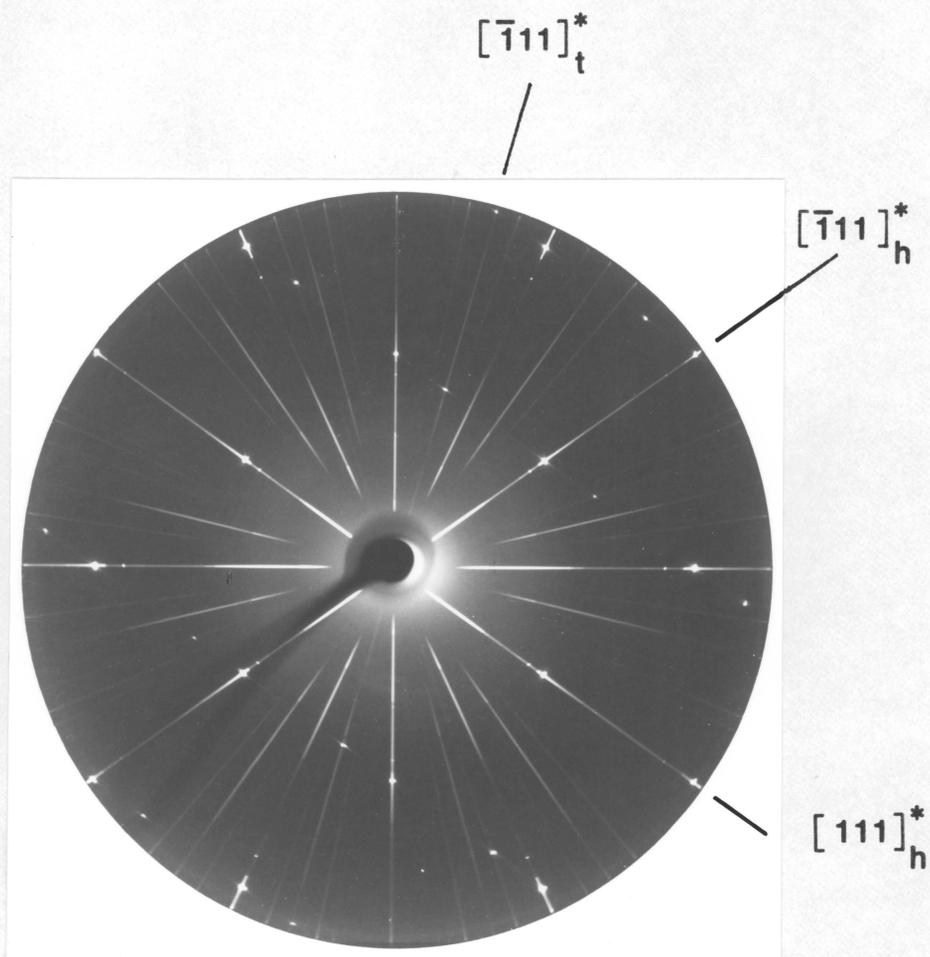


Fig. 2-7. Zero-level, $[110]$ axis precession photograph of sample 6 from Elmwood-Gordonsville, Tennessee: $\text{CuK}\alpha$, $\mu = 30^\circ$, 96 hours exposure. Diffraction maxima of the host sphalerite (h) and the twin domains (t), and diffuse diffraction streaking are present along a single $[111]_h^*$ direction.

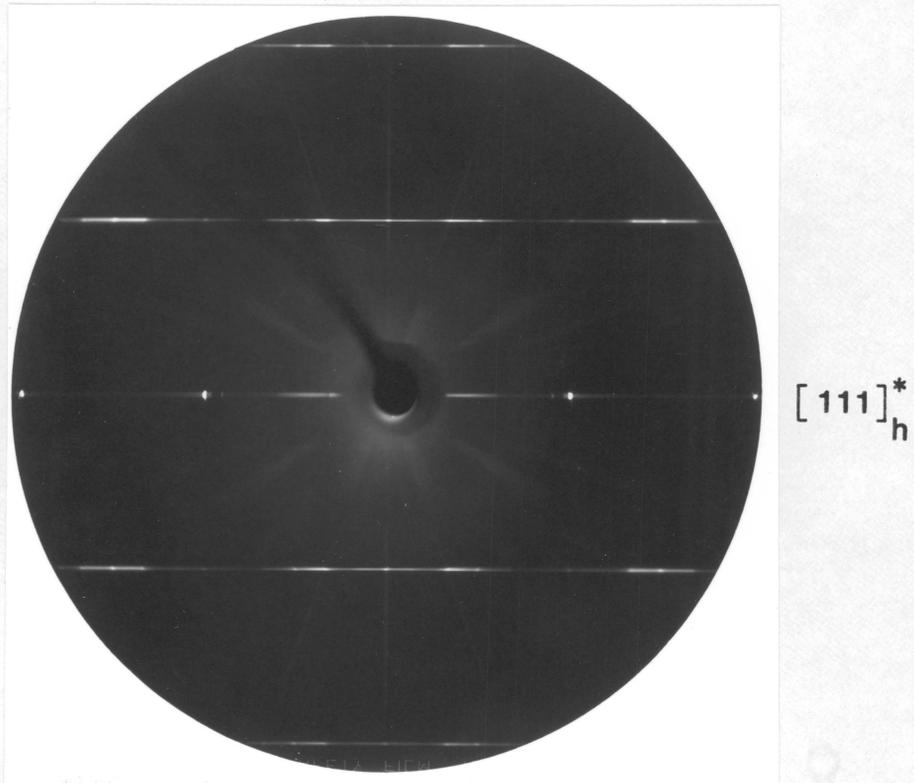


Fig. 2-8. Zero-level, $[110]$ axis precession photograph of sample 14 from Thomaston Dam, Connecticut: $\text{CuK}\alpha$, $\mu = 30^\circ$, 96 hours exposure. Diffuse diffraction streaking along lattice rows with $h + k \neq 3n$ (equivalent hexagonal indices) in one $[111]^*$ direction.

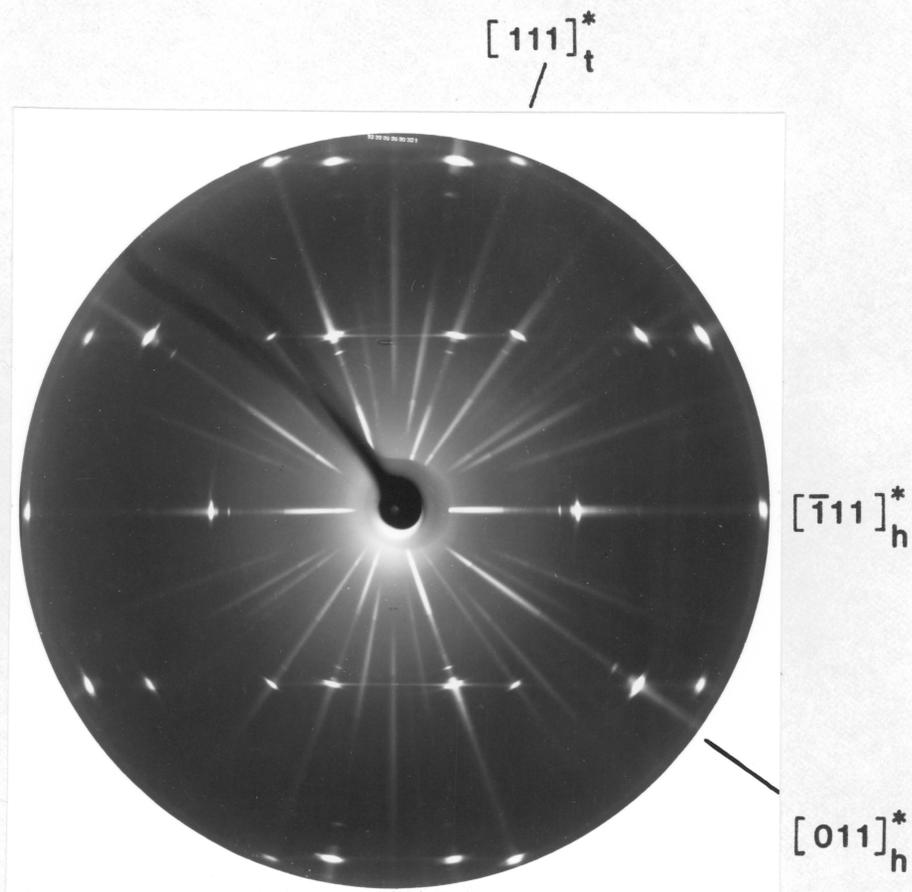


Fig. 2-9. Zero-level, $[I10]$ axis precession photograph of sample 3 from Austinville, Virginia: $\text{CuK}\alpha$, $\mu = 30^\circ$, 72 hours exposure. Radially diffuse diffraction maxima of the host (h) and twin (t) domains are due to mosaic spread. Diffuse diffraction streaking parallel to one $[111]^*$ direction is due to TSD.

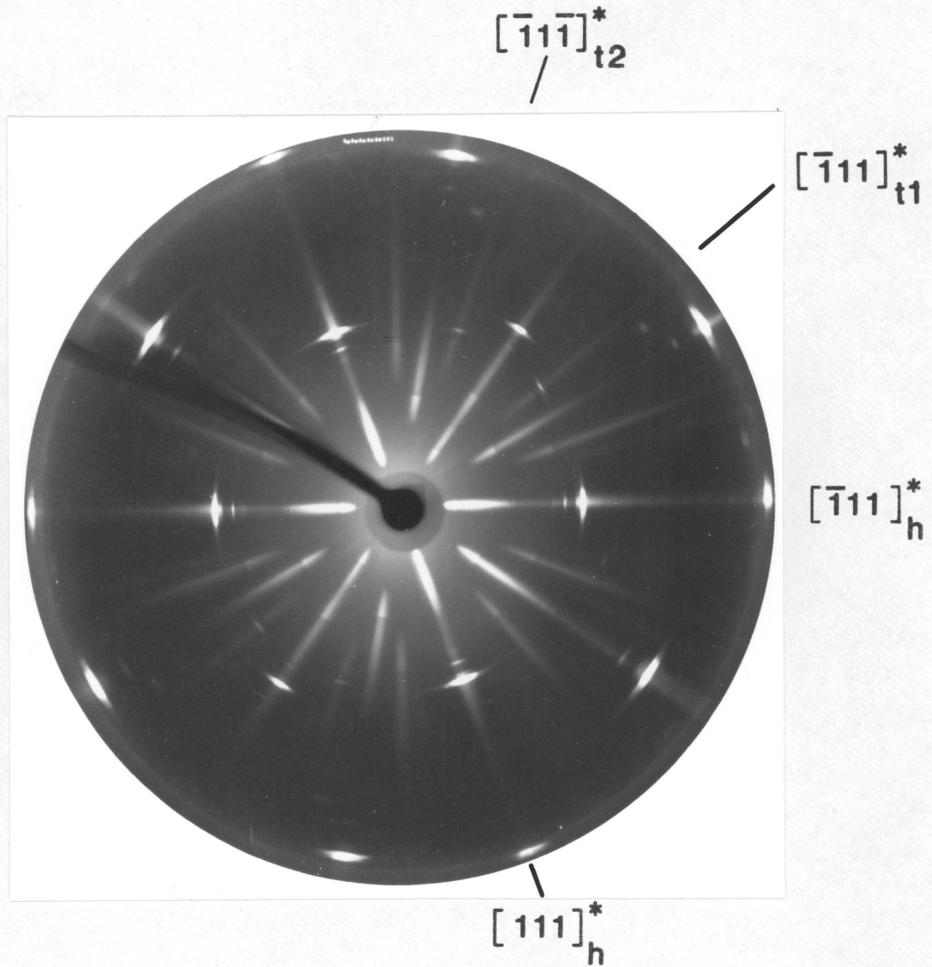


Fig. 2-10. Zero-level, $[110]$ axis precession photograph of sample 2 from Austinville, Virginia: $\text{CuK}\alpha$, $\mu = 30^\circ$, 72 hours exposure. Radially diffuse diffraction maxima of the host (h) and two twin domains (t_1 and t_2) are due to mosaic spread. Twinning is indicated along two $\langle 111 \rangle^*$ directions.

Table 2-3. Volume fractions of host and twin domain types

Analysis number	Host	T ₁	T ₂	T ₃	T ₄
1	69.7	18.5	6.1	5.7	---
2	97.4	1.1	0.8	0.4	0.3
3	86.3	13.5	0.2	---	---
4	100.0	---	---	---	---
5	94.9	5.1	---	---	---
6	85.8	14.2	---	---	---
7	100.0	---	---	---	---
8	92.5	7.5	---	---	---
9	100.0	---	---	---	---
10	89.0	6.2	4.8	---	---
11	50.2	49.8	---	---	---
12	57.0	43.0	---	---	---

Note: Host refers to the volume fraction of the most abundant 3C orientation. T₁, T₂, T₃, and T₄ represent the volume fractions of twinned 3C domains oriented along each of the four symmetry-equivalent <111> directions.

The potential effects of TSD on the maximum birefringence

Precession photographs of optically anisotropic zinc sulfides are characterized by twin-equivalent diffraction maxima and diffuse diffraction streaking along lattice rows with $(h-k) \neq 3n$ (equivalent hexagonal indices) in one or two $\langle 111 \rangle$ directions. This has been observed in one or more photographic orientations as shown in Table 2-3. Unfortunately, the volume fractions of twin domain types cannot be used to predict the maximum birefringence because various average "twin-frequencies" may produce the same twin volume fractions, but may result in different percentages of hexagonal layers.

Therefore, dielectric tensor calculations were used to generate the maximum birefringences of zinc sulfides reported in the literature and several hypothetical zinc sulfide intergrowths based on the observed twin volume fractions. The refractive indices in Table 2-4 are those reported for a synthetic sphalerite and a synthetic wurtzite (Winchell and Winchell, 1964). The synthetic wurtzite has a maximum birefringence of 0.022, which is different from the value of 0.024 used by Fleet (1977a). Refractive indices, required for the calculations, were not reported for the 0.024 wurtzite. The stereographic coordinates refer to the orientations of the principal vibration directions of the five indicatrices, oriented so that an optic axis of a wurtzite indicatrix is parallel to each of the $\langle 111 \rangle$ directions of the sphalerite host. Table 2-5 reports the results for the literature values, although the calculations are based on values of ϵ and ω that were increased and

Table 2-4. Hauser-Wenk program input data: zinc sulfides

Domain type	3C	2H1	2H2	2H3	2H4
ρ_1	90°	90°	90°	90°	90°
ϕ_1	90°	90°	-90°	0°	180°
n_1	2.368	2.356	2.356	2.356	2.356
ρ_2	90°	144.73°	144.73°	144.73°	144.73°
ϕ_2	0°	0°	180°	-90°	90°
n_2	2.368	2.356	2.356	2.356	2.356
ρ_3	0°	54.73°	54.73°	54.73°	54.73°
ϕ_3	0°	0°	180°	-90°	90°
n_3	2.368	2.378	2.378	2.378	2.378

Note: ρ and ϕ values represent the stereographic coordinates of the principal vibration directions of each indicatrix. The refractive indices are indicated by n_1 , n_2 , and n_3 .

Table 2-5. Observed and calculated maximum birefringences (δ_o and δ_c), percentages of hexagonal layers (σ_o and σ_c), and differences between observed and calculated values ($\Delta\delta$ and $\Delta\sigma$) for zinc sulfides reported in the literature

Sample number	δ_o	δ_c^*	$\Delta\delta$	σ_o	σ_c^{**}	$\Delta\sigma$
1	0.024	0.024	0.000	100	100	0
2	0.017	0.017	0.000	70	71	1
3	0.015	0.016	0.001	66	60	6
4	0.012	0.014	0.002	60	50	10
5	0.013	0.014	0.001	57	54	3
6	0.011	0.012	0.001	50	46	4
7	0.009	0.009	0.000	38	38	0
8	0.006	0.007	0.001	30	25	5
9	0.005	0.006	0.001	27	19	8
10	0.002	0.002	0.000	10	8	2
11	0.000	0.000	0.000	0	0	0
12	0.0033	0.0043	0.001	18	14	4
13	0.0126	0.0151	0.0025	63	53	10
14	0.0145	0.0163	0.0018	68	60	8

Note: Samples 1-11 are synthetic zinc sulfides (Nelkowski and Pfitzen-Reuter, 1971). Samples 12-14 are natural zinc sulfides (Fleet, 1977a, 1977b).

* Calculated using dielectric tensors and σ_o

** Calculated assuming $\sigma_c = (\delta_o/0.024) \times 100$

decreased by 0.001, respectively, to obtain a maximum birefringence of 0.024. The calculated maximum birefringence is always greater than or equal to the observed maximum birefringence in Table 2-5. The calculated percentage of hexagonal layers is generally less than or equal to the observed percentage of hexagonal layers. This may be due to an error in birefringence determination as stated by Fleet (1977a). The results for the hypothetical intergrowths are shown in Table 2-6. Note that various intergrowths having the same percentage of hexagonal layers, σ , may have different maximum birefringences and may be biaxial as indicated by the calculated optic axial angle, $2V$. Intergrowth along more than one direction would cause a maximum birefringence less than that expected based on the total percentage of hexagonal layers. This is an alternative explanation for some of the $\Delta\delta$'s in Table 2-5.

Paragenesis

The paragenesis of a sample will determine the sample's optical appearance and distribution of the TSD. Therefore, any paragenetic model that is proposed on the basis of field and other data has to be consistent with the observed optical data and the distribution of TSD seen in the precession photographs.

The effects of crystal growth and chemical substitution were discussed earlier. Some of the samples exhibit X-ray and optical results consistent with this type of origin. Seal et al. (1985) reported twinning and stacking disorder along a single direction in a

Table 2-6. Representative calculated maximum birefringences and 2V's for different distributions of hexagonal layers.

3C	2H1	2H2	2H3	2H4	σ	δ	2V
90	10	0	0	0	10	0.0022	0
90	9	1	0	0	10	0.0020	36
90	8	2	0	0	10	0.0018	54
90	5	5	0	0	10	0.0014	90
90	9	0.4	0.1	0.5	10	0.0019	22
70	30	0	0	0	30	0.0066	0
70	29	1	0	0	30	0.0064	20
70	25	5	0	0	30	0.0056	49
70	29	0.2	0.7	0.1	30	0.0069	15

Note: "3C" refers to the volume fraction of the sphalerite host domain. "2H₁", "2H₂", "2H₃", and "2H₄" refer to the volume fraction of hexagonal layers along each of the four symmetry-equivalent <111> directions. The value " σ " refers to the total percentage of hexagonal layers in the composite grain. The maximum birefringence, δ , and the optic axial angle, 2V, were calculated with the dielectric tensor program.

sphalerite (sample 6 used in this study) and that optical anisotropy is probably the result of growth defects in the sphalerite and the stabilization of hexagonal domains by Cd and Fe. Two of the other samples in the present study, 5 and 8, have diffraction patterns similar to that of sample 6. They differ in that they have lower birefringence and much less diffuse diffraction streaking. All three samples contain more Cd than most the other samples in this study. It is very probable that these samples are twinned due to growth defects and may contain hexagonal domains associated with impurities such as Cd and Fe.

The 2H→3C transition results in a composite grain dominated by hexagonal domains and containing twin-related 3C domains. The X-ray diffraction patterns are characterized by nearly continuous diffuse diffraction streaks and twin-equivalent diffraction maxima along lattice rows with $(h-k) \neq 3n$ (equivalent hexagonal indices) in a single direction. Optically, the grains show relatively high maximum birefringences. The Pribram and Thomaston Dam samples are typical of this type of intergrowth, and their origin has been discussed previously (Akizuki, 1981, 1983; Fleet, 1977a,b, 1983). The Pribram sample examined in this study shows TSD along two $\langle 111 \rangle$ directions of a host sphalerite which may be characteristic of a 3C→2H transition.

Three samples that show multidirectional TSD are from Austinville, Virginia. This area has a documented history of post depositional deformation and recrystallization (Foley, 1982). This would imply that twin gliding and thermal stress produced the multidirectional TSD in these sphalerites. The exact nature of the strain tensor or the thermal

stress tensor is impossible to determine without more data. The frequency of twinning in the various directions could be used, in theory, to describe the orientation of the strain ellipsoid relative to the crystallographic axes for a given grain. It would take an undetermined number of such measurements on oriented samples to develop any kind of stress regime model.

Conclusions

Multidirectional twinning and stacking disorder occur in some natural optically anisotropic zinc sulfides. Most of the samples showing multidirectional TSD were found in an environment of documented post depositional deformation and recrystallization. One sample showing multidirectional TSD may be indicative of a $3C \rightarrow 2H$ transition. Multidirectional TSD could possibly be caused by growth defects, but this has not been confirmed by observation during this study. The samples mentioned above probably had a sphalerite precursor and are made of small slightly misoriented blocks producing the radially diffuse diffraction streaks observed on the precession photographs. Each of these small blocks probably only contains TSD along a single direction, but the composite crystal gives X-ray diffraction patterns that indicate twinning and stacking disorder along more than one direction.

Most of the optically anisotropic grains show intergrowth in only one direction. Dielectric tensor calculations confirm that maximum birefringence does vary linearly with the percentage of hexagonal layers

if the intergrowth occurs along only one direction. Similar calculations using hypothetical multidirectional zinc sulfide intergrowths resulted in biaxial optical indicatrices with maximum birefringences which are less than those predicted by the linear model.

CHAPTER THREE

Mg-Fe CHLORITIDS: OPTICAL PROPERTIES AS A FUNCTION OF POLYTYPIC INTERGROWTH AND CHEMICAL COMPOSITION

Introduction

Optical studies of chloritoid yielded the first information concerning its polymorphism (polytypism). Hietanen (1951) pointed out that the variety of optical orientations cited in the literature and observed in her own studies implied the existence of two monoclinic forms and a triclinic form. The existence of all three forms of chloritoid were eventually confirmed by X-ray studies (Brindley and Harrison, 1952; Harrison and Brindley, 1957; Halferdahl, 1957, 1961; van der Plas et al., 1958; Hanscom, 1975, 1980; Jefferson and Thomas, 1977, 1978; see review by Ribbe, 1982).

Since X-ray diffraction techniques do not give the "exact real-space" relationships between the different forms of chloritoid, Jefferson and Thomas (1978) obtained lattice images by high resolution transmission electron microscopy (HRTEM). Their micrographs indicate various degrees of intergrowths among the different forms and conclusively confirmed the existence of the second monoclinic form and a new trigonal form. Jefferson and Thomas's study has also shown that intergrowths of monoclinic and triclinic chloritoid are the rule rather than the exception.

The present study is an attempt to close the circle by determining the effects of these monoclinic and triclinic intergrowths on the optical properties. The effects of chemical composition on the optical

properties of Mg-Fe chloritoids are presented in a quantitative way for the first time. This is an important first step to determining the effects of structural intergrowth on the optical properties. The first accurate determination of the optical orientation of predominantly triclinic chloritoid is also presented. The optical orientations of the chloritoids are shown to be very sensitive to the structural intergrowth. A physically meaningful model of the compositional and structural effects on the optical properties based on dielectric tensors is developed. Finally, a way to estimate the polytypic composition based on the optical properties is proposed. The "polytypic composition" is defined as being the relative proportions of monoclinic and triclinic chloritoid within a composite grain. The volume-averaged polytypic composition obtained in this manner may be more "meaningful" for some applications than the local polytypic composition obtained by HRTEM studies. For example, the method described in this chapter may allow petrologists to routinely determine polytypic composition because the instrumentation is readily available and inexpensive in comparison to HRTEM.

Chloritoid polytypism

Chloritoids are considered to be polytypic in this study, although there has been some debate on the subject of chloritoid polytypism. The HRTEM studies by Jefferson and Thomas (1978) combined with a versatile definition of polytypism by Thompson (1981) (see Chapter One) should

resolve the debate over whether the various forms of chloritoid are true polytypes and occur as disordered polytypic intergrowths or are simply polymorphs. The micrographs in Jefferson and Thomas (1978) show the polytypic nature of the intergrowths (Fig. 3-1). Slight variations in composition or crystal structure are "allowed" if the chloritoids are thought of as being "different ways of stacking structurally compatible tabular units or polytype modules" following Thompson's definition. Jefferson and Thomas (1978) stated that evidence "for a relationship between chemical composition and polytypism" had not been found "as yet," but that they planned such a study. Such a relationship was not observed during the present investigation. Hanscom (1980) cited the differences between observed monoclinic atomic positions and those calculated by a transformation of triclinic atomic positions to prove that the monoclinic and triclinic forms "cannot be considered polytypes." The differences are all within the maximum 2σ of the triclinic positional parameters.

Those polytypes that have been characterized, plus their lattice parameters, are listed in Table 3-1. The Hanscom (1975, 1980) parameters were obtained during crystal structure refinements of the $2M_2$ and $1Tc$ polytypes. The $2M_1$ parameters were determined from precession photographs (Jefferson and Thomas, 1978). The two monoclinic polytypes are related to the triclinic polytypes by twinning operations (Jefferson and Thomas, 1977). They state that the $2M_2$ polytype is "derived by twinning the $1Tc$ form about $[010]$ with a composition plane" (001) , while the $2M_1$ polytype is derived by twinning the $1Tc$ form about $[110]$ with an

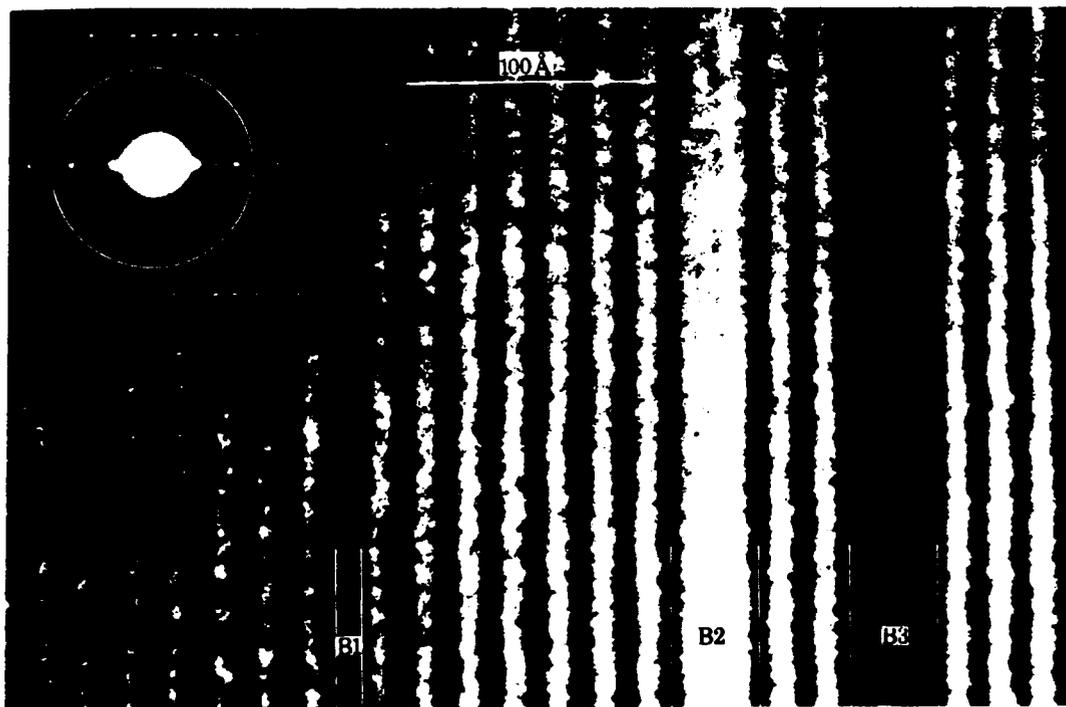


Fig. 3-1. Transmission electron photomicrograph showing a one-dimensional lattice image of a principally $2M_2$ region with triclinic intergrowths at B1 and B3, and a more complex intergrowth at B2. After Jefferson and Thomas (1978).

Table 3-1. Notation and lattice parameters for three chloritoid polytypes

Polytype Reference	1Tc Aabc [1]	2M2 Mab2c [2]	2M1 [*] Mba2c ^{**} [3]
a (Å)	9.46	9.48	5.47
b (Å)	5.50	5.48	9.47
c (Å)	9.15	18.18	17.90
α (°)	97.05	90	90
β (°)	101.56	101.74	97.40
γ (°)	90.10	90	90
Z	4	8	8
Space Group	C $\bar{1}$	C2/c	C2/c

* Notation used by Jefferson and Thomas (1978)

** Modified Gard notation reported in Bailey (1977) and described in Chapter 1.

[1] Hanscom (1980)

[2] Hanscom (1975)

[3] Jefferson and Thomas (1978)

(001) composition plane. As will be discussed later, these relationships between the polytypes were assumed during the dielectric tensor calculations of the optical properties of a range of different intergrowths.

Chemistry and crystal structure

The chemical composition of the pure iron chloritoid end member may be represented by $\text{Fe}_2\text{AlAl}_3\text{Si}_2\text{O}_{10}(\text{OH})_4$. The crystal structure consists of two kinds of octahedral layers, L_1 and L_2 , parallel to (001) and connected by isolated silicate tetrahedra (Fig. 3-2). Chloritoid is an orthosilicate which also has characteristics in common with the phyllosilicates. The trioctahedral L_1 layer consists of two types of octahedral sites, M(1A) and M(1B) (Hanscom, 1975). The two larger symmetry-equivalent M(1B) sites contain ferrous iron and any Mg or Mn substituents (Hanscom, 1975; Tricker et al., 1977; Halenius et al., 1981). The smaller M(1A) site contains Al and may also be occupied by ferric iron (Hanscom, 1975; Tricker et al., 1977; Halenius et al., 1981). The L_2 layer has three-quarters of the possible octahedral sites filled with Al, without any observed substitution (Hanscom, 1975; Halenius et al., 1981). To indicate the chemical substitutions that occur, the formula may be written

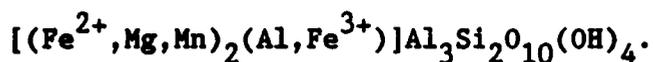


Figure 3-3 shows the approximate relationship of the principal vibration directions to the crystal structure of the $2M_2$ polytype.

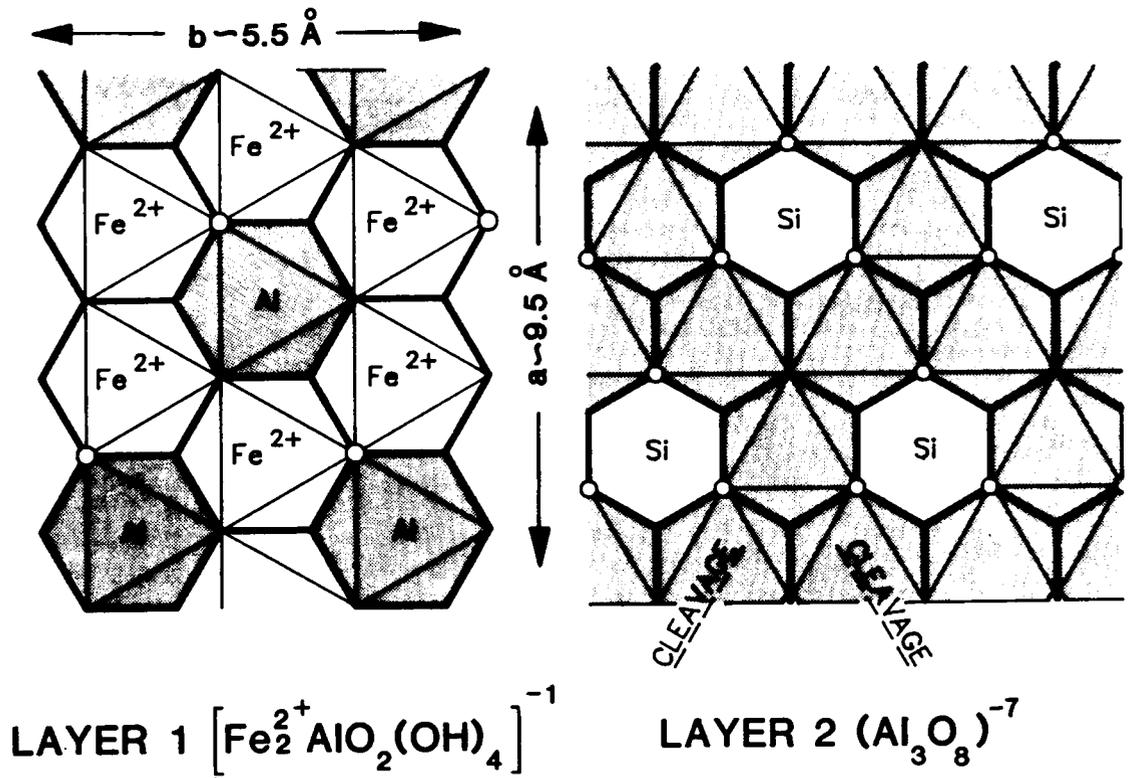


Fig. 3-2. Idealized projections on (001) of the crystal structure of chloritoid. Layer 1: the ordered $\text{Fe}^{2+}/\text{Al}^{3+}$ cation distribution is depicted, with Fe^{2+} in the M(1B) octahedral site and Al^{3+} in the M(1A) octahedral site. Mg and Mn are assumed to substitute for Fe^{2+} in Layer 1. Layer 2: three-quarters of the octahedral sites are occupied by Al. The (110) and (1 $\bar{1}$ 0) cleavage traces are shown. The three open circles at alternate vertices of the open hexagons labeled "Si" are the basal oxygens of the Si tetrahedra which bond layer 2 to layer 1. The vertices of these tetrahedra are the open circles shown in the drawing of layer 1.

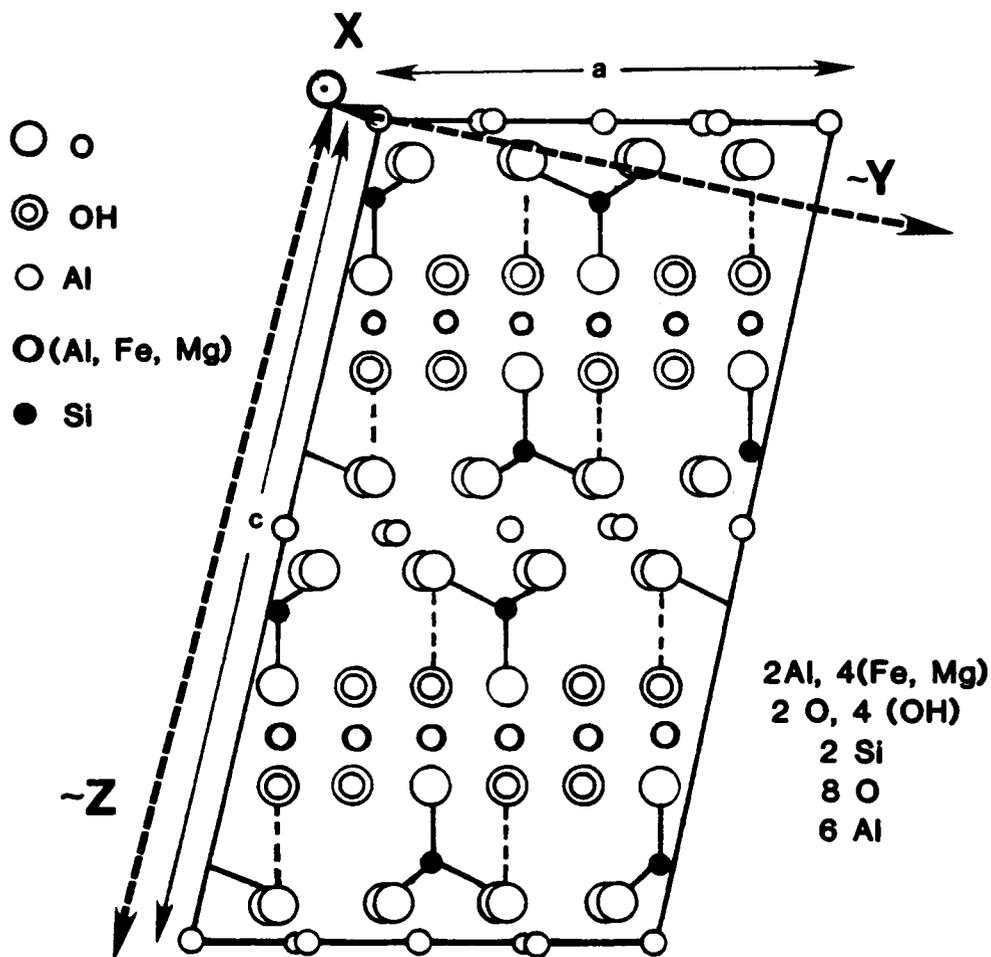


Fig. 3-3. A schematic projection of the $2M_2$ crystal structure viewed along $[010]$ showing the relationship between the principal vibration directions and the crystallographic directions. In an ideal $2M_2$ chloritoid $X = b$, Z and Y lie in the ac -plane with Z nearly parallel to c . The structural projection is after Harrison and Brindley (1957).

Experimental Procedures

All the chloritoids chosen for this study are listed in Table 3-2. Not all of these samples were used in the final correlations, but all the data that were collected are tabulated because they do contribute to the general pool of information about chloritoid.

Electron microprobe analysis

Several grains of each sample were picked for chemical analysis, their maximum dimension being 0.7 mm or less. Each collection of grains was mounted in one of two possible ways; either on a one-inch glass disk using Crystal-Bond as the adhesive, or on a petrographic glass slide pre-coated with a layer of epoxy, and using epoxy as the adhesive. The first way had the advantages of easy preparation and recovery; using acetone to dissolve the Crystal-bond. This method had the disadvantages of the adhesive crazing in cold water during polishing (warm water works well) and vaporization if the vacuum-evaporator generates too much heat during the carbon-coating process. In the second method, small "pits" are dug into the epoxy already hardened on a petrographic slide. The grains are placed in these "pits" and covered with fresh epoxy. The advantage of this method is the stability of the mount during polishing and carbon-coating. The disadvantage is the difficulty of recovering the grain without damaging or losing it. The grain can usually be "popped" out with a sharp point and will not go too far if the mount is

Table 3-2. Chloritoid analysis numbers, localities, and references

Analysis number	Locality	Reference and/or original number
1	Michigamme, Michigan	EH44*
2	Natick, Rhode Island	NMNH C3708
3	Lynchburg, Virginia	TG189 [§]
4	Leoben, Styria, Austria	NMNH R4495
5	Napo-Pastaza, Ecuador	NMNH 123815
6	Champion Iron Mine, Humbolt, Michigan	NMNH 95631
7	Chibougamau, Quebec	H-1 [#]
8	Vielsalm, Belgium	AMNH 25085
9	Echo Lake, Vermont	AMNH 33549
10	Zlatoust, Ural Mtns., USSR	AMNH 26281
11	Pregratten, Tyrol, Austria	AMNH C85691
12	Fetlar, Shetland, England	AMNH 33341
13	Kossoibrod, Ural Mtns., USSR	AMNH 13588
14	Helen Mine, Michipicoten, Ontario, Canada	AMNH 29438
15	Euriowie, New South Wales, Australia	AMNH 30727
16	Harvey Leeds, Quebec, Canada	AMNH C85335
17	Gumach-Dagh, Turkey	AMNH C85330

Note: NMNH specimens were supplied by John S. White of the National Museum of Natural History. AMNH specimens were supplied by Martin Prinz of the American Museum of Natural History.

* Department of Geological Sciences Museum, Virginia Tech; sample supplied by R.E. Guy, Curator at that time.

[§] Department of Energy Project, Virginia Tech; sample was collected by R. Tucker and supplied by F. Wehr.

[#] Supplied by R. Hanscom from material he used for his crystal structure refinement of triclinic chloritoid.

covered by a drop of water. Either way the mount is prepared, it is subsequently ground and polished to produce a smooth surface on as many grains as possible. The polished samples are then carbon-coated.

The analyses were performed with an automated, nine-spectrometer ARL-SEM0 electron microprobe at an operating voltage of 15kV. The method of standardization and data collection used in this study is described by Solberg and Speer (1982). Data reduction was by the method of Bence and Albee (1968). At least three points were analyzed on each grain, resulting in a total of 5 to 19 analyses per sample.

The grains were removed from the mounts as described earlier and were cleaned and prepared for optical and X-ray examination.

X-ray procedures

A grain was examined using the precession method only after being checked for optical homogeneity. Each grain was oriented so that c^* was parallel to the dial axis of the precession camera. With this orientation it is possible to record the six "principal reciprocal lattice sections" ($h0l$), (hhl), ($hh\bar{l}$), ($0kl$), ($3h,h,l$), and ($3h,h,\bar{l}$) at 30° intervals; the indices being those of the $2M_2$ polytype (Jefferson and Thomas, 1978). Most of the X-ray precession photographs were taken with Ni-filtered Cu radiation and a precession angle of 30° , although a few photographs were taken using either Zr-filtered Mo radiation or MnO_2 -filtered Fe radiation. Exposure times on the order of 72 hours were usually needed to observe weak diffraction streaks along reciprocal

lattice rows parallel to c^* , the stacking direction.

A Helena Laboratories "Quick Scan" scanning densitometer was used to obtain relative intensity data. Scans were run along the white radiation streaks of the 021, 022, 02 $\bar{1}$, 02 $\bar{2}$ reflections, the 001 reciprocal lattice row, and along the 021 reciprocal lattice rows.

Optical procedures

Optical measurements were made before and after X-ray examination using the spindle stage methods described by Bloss (1981). Extinction data were collected using the "360° option" and a Nakamura plate at the following wavelengths: 486 nm, 526 nm, and 589 nm. The EXCALIBR computer program described in Bloss (1981) was used to determine the spindle stage coordinates of the principal vibration directions and the value of the optic axial angle from the extinction data.

In preparation for the measurement of the refractive indices, the refractive index oils were calibrated using the procedures described by Su et al. (1987). The refractive index oils used in this study were Cargille oils 1.715, 1.720, 1.725, 1.730, and 1.735; the glass was Corning Glass 8293. The minimum deviation data provided by Corning, the Sellmeier constants calculated from this data, and those calculated from double-variation data are all reported in Table 3-3. The principal vibration directions of each grain were oriented parallel to the polarizer using the spindle stage coordinates calculated by EXCALIBR. The refractive indices were determined using the double-variation method

Table 3-3. Optical glass #8293 calibration data

Linearized Sellmeier Constants

	Minimum Deviation *	Double Variation **
a_0	0.53012(7)	0.5342(2)
a_1	-6607(19)	-8145(67)

Refractive Indices

λ (nm)	Minimum Deviation	Double Variation	Δ
435.800	1.737446	1.7422(4)	0.0048
486.133	1.729575	1.7324(4)	0.0028
546.100	1.723037	1.7242(3)	0.0012
589.290	1.719520	1.7200(3)	0.0005
632.800	1.716658	1.7164(3)	-0.0003
656.300	1.715331	1.7148(3)	-0.0005

* Sellmeier constants based on SAS GLM fit of refractive indices and wavelengths given by Corning Glass Works.

** Sellmeier constants calculated with the computer program GLASS using 100 double variation observations.

and the data reduction procedures described by Su et al. (1987).

Results

Chemical composition

The analyses of the grains examined optically are reported in Table 3-4. Only those components that were statistically significant and could not be attributed to inclusions are listed. Some inclusions that were accidentally analyzed were ilmenite, quartz and possibly magnetite. Halferdahl (1961) discusses the various inclusions that are often present and their effects on the chemical composition. The ferric iron reported in Table 3-4 is an estimate based on aluminum deficiency, where "aluminum deficiency" refers to the difference between the observed formula number of Al and ideal case of 4 Al ($Fe^{3+} \sim 4 - Al$). Data from 11 wet chemical analyses reported by Halferdahl (1961) were used to obtain a correlation between ferric iron content and aluminum deficiency. The correlation equation is

$$Fe^{3+} = 0.69(5) \times [4 - Al], [F = 231.64, r^2 = 0.959]$$

where Fe^{3+} and Al refer to the number of cations based on 12 oxygens. This equation indicates a good correlation between ferric iron content and aluminum deficiency, but is not meant to be used to calculate ferric iron content. If any physical significance was to be placed upon the coefficient of this equation, it would be to suggest that one or both aluminum sites are occasionally vacant.

Table 3-4. Chemical compositions of the chloritoids listed in Table 3-2

Analysis number	Ideal	1	2	3	4	5
SiO ₂ (wt. %)	23.85	24.1 (2)	24.2 (2)	24.4 (2)	24.0 (2)	25.0 (2)
Al ₂ O ₃	40.48	40.4 (7)	40.3 (7)	39.6 (7)	40.4 (7)	42.0 (7)
FeO*	28.52	26.5 (1)	27.7 (1)	25.6 (1)	26.1 (1)	22.2 (1)
MnO	0.00	0.31(3)	0.28(3)	1.2 (1)	0.30(3)	0.28(3)
MgO	0.00	0.93(2)	0.23(1)	1.48(3)	1.12(2)	3.51(7)
H ₂ O**	(7.15)	(7.22)	(7.18)	(7.22)	(7.23)	(7.39)
Total	100.00	99 (1)	100 (1)	99 (1)	99 (1)	100 (1)
Formulas based on 10 O, 4 OH						
Si	2.00	2.02(5)	2.03(5)	2.04(5)	2.01(5)	2.02(2)
Al	4.00	4.0 (1)	4.0 (1)	3.9 (1)	4.0 (1)	4.0 (1)
Fe ³⁺ §	0.00	(0.0 (1))	(0.0 (1))	(0.1 (1))	(0.0 (1))	(0.0 (1))
Fe ²⁺	2.00	1.84(4)	1.91(4)	1.72(4)	1.82(4)	1.50(3)
Mn ²⁺	0.00	0.022(3)	0.020(3)	0.09(1)	0.021(3)	0.019(2)
Mg	0.00	0.116(4)	0.029(1)	0.185(6)	0.140(5)	0.42(1)
Mg/(Mg+Fe ²⁺ +Mn)	0.00	0.058(3)	0.014(1)	0.089(5)	0.070(4)	0.22(1)

* All iron reported as FeO ** Weight percent water calculated from formulas assuming 4 OH

§ Values for Fe³⁺ and Fe²⁺ were determined assuming a total of 4 trivalent cations

Table 3-4. Continued

Analysis number	6	7	8	9	10	11
SiO ₂	24.3 (2)	25.0 (2)	24.8 (2)	24.4 (2)	24.9 (2)	25.1 (2)
Al ₂ O ₃	41.0 (7)	40.7 (7)	40.9 (7)	40.6 (7)	41.1 (7)	41.5 (7)
FeO*	27.1 (1)	27.2 (1)	20.7 (1)	24.6 (1)	25.7 (1)	24.2 (1)
MnO	0.11(1)	0.42(5)	5.7 (6)	0.59(7)	0.15(2)	0.20(2)
MgO	0.68(1)	0.78(2)	1.28(3)	2.36(5)	1.87(4)	2.72(6)
H ₂ O**	(7.22)	(7.20)	(7.25)	(7.27)	(7.26)	(7.31)
Total	100 (1)	101 (1)	101 (1)	100 (1)	101 (1)	101 (1)
Formulas based on 10 O, 4 OH						
Si	2.01(5)	2.05(5)	2.04(5)	2.02(5)	2.04(5)	2.03(5)
Al	4.0 (1)	3.9 (1)	4.0 (1)	4.0 (1)	4.0 (1)	4.0 (1)
Fe ³⁺ §	(0.0 (1))	(0.1 (1))	(0.0 (1))	(0.0 (1))	(0.0 (1))	(0.0 (1))
Fe ²⁺	1.87(4)	1.83(4)	1.39(3)	1.67(3)	1.73(3)	1.62(3)
Mn ²⁺	0.008(1)	0.029(4)	0.40(5)	0.041(5)	0.010(1)	0.014(2)
Mg	0.084(3)	0.095(3)	0.157(6)	0.29(1)	0.228(8)	0.33(1)
Mg/(Mg+Fe ²⁺ +Mn)	0.043(2)	0.048(3)	0.079(7)	0.143(8)	0.114(6)	0.166(9)

* All iron reported as FeO

** Weight percent water calculated from formulas assuming 4 OH

§ Values for Fe³⁺ and Fe²⁺ were determined assuming a total of 4 trivalent cations

Table 3-4. Continued

Analysis number	12	13	14	15	16	17
SiO ₂	24.9 (2)	24.5 (2)	24.5 (2)	24.8 (2)	24.8 (2)	24.6 (2)
Al ₂ O ₃	39.7 (7)	40.5 (7)	40.9 (7)	41.0 (7)	40.4 (7)	40.3 (7)
FeO*	25.2 (1)	26.0 (1)	26.0 (1)	23.2 (1)	27.5 (1)	26.9 (1)
MnO	0.42(5)	0.40(5)	0.21(2)	0.54(6)	0.56(6)	0.46(5)
MgO	2.32(5)	2.19(4)	1.77(4)	2.59(5)	1.34(3)	1.11(2)
H ₂ O**	(7.25)	(7.23)	(7.24)	(7.33)	(7.18)	(7.20)
Total	100 (1)	101 (1)	101 (1)	100 (1)	101 (1)	101 (1)
Formulas based on 10 O, 4 OH						
Si	2.06(5)	2.01(5)	2.02(5)	2.04(5)	2.04(5)	2.04(5)
Al	3.9 (1)	3.9 (1)	4.0 (1)	4.0 (5)	3.9 (1)	3.9 (1)
Fe ³⁺ §	(0.1 (1))	(0.1 (1))	(0.0 (1))	(0.0 (1))	(0.1 (1))	(0.1 (1))
Fe ²⁺	1.66(3)	1.74(3)	1.76(3)	1.58(3)	1.81(4)	1.81(4)
Mn ²⁺	0.029(4)	0.028(4)	0.015(2)	0.038(5)	0.039(5)	0.032(4)
Mg	0.29(1)	0.269(9)	0.217(7)	0.32(1)	0.164(6)	0.137(5)
Mg/(Mg+Fe ²⁺ +Mn)	0.139(8)	0.129(7)	0.107(6)	0.163(9)	0.079(4)	0.067(4)

* All iron reported as FeO

** Weight percent water calculated from formulas assuming 4 OH

§ Values for Fe³⁺ and Fe²⁺ were determined assuming a total of 4 trivalent cations

Precession photographs

A range of polytypic intergrowths is indicated by the diffraction streaking and multiple diffraction patterns observed on the precession photographs (Figs. 3-4, 3-5, and 3-6). The first pair of photographs (Fig. 3-4) are representative of (h0l) and (0kl) diffraction patterns of a $2M_2$ chloritoid with only minor polytypic intergrowth (sharp maxima and faint diffraction streaking). The (h0l) and (0kl) sections of a 1Tc chloritoid show only the 8.9 Å repeat distance in both orientations (Fig. 3-5). Both photographs in Fig. 3-5 contain minor diffraction streaking and the diffraction maxima from a small triclinic domain rotated 60° relative to the host domain. This domain was detected optically under crossed polarized illumination. Relatively high amounts of polytypic intergrowth yield dark, continuous diffraction streaks on the (0kl) section (Fig. 3-6b). The $2M_2$ and 1Tc polytypes have identical (h0l) reciprocal lattice sections, so that a sharp diffraction pattern should be produced even if the grain is polytypically intergrown. If the pattern is not free of diffraction streaks (Fig. 3-6a), there are other triclinic and/or monoclinic orientations present in the composite grain. The volume fractions determined by densitometry are reported in Table 3-5.

Optical parameters

The refractive indices and the orientation angles measured at 589

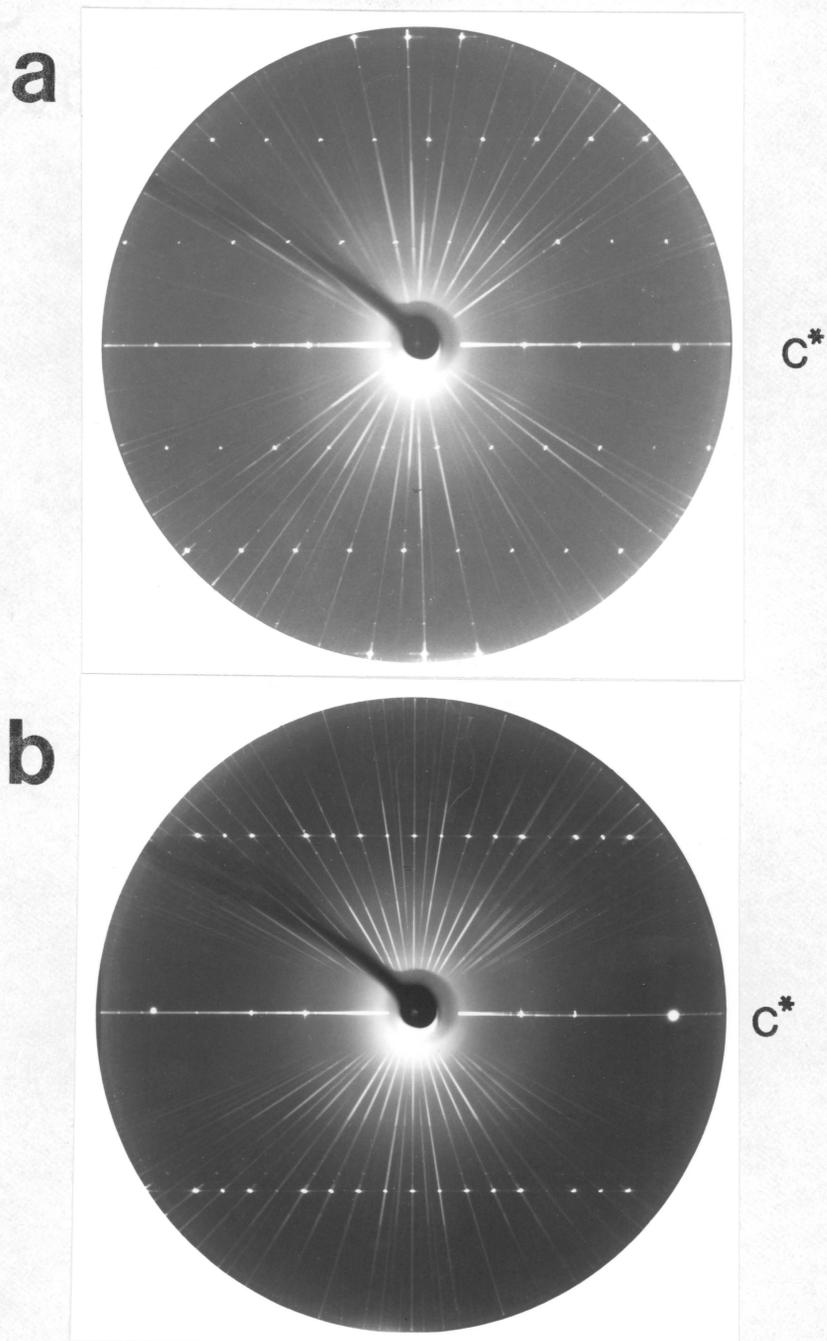


Fig. 3-4. Zero-level precession photographs of sample 5 from Napo-Pastaza, Ecuador, which show the diffraction patterns of relatively pure $2M_2$ chloritoid. (a) $h0l$ orientation, $CuK\alpha$, $\mu = 30^\circ$, 118 hours exposure. (b) $Ok1$ orientation, $CuK\alpha$, $\mu = 30^\circ$, 96 hours exposure.

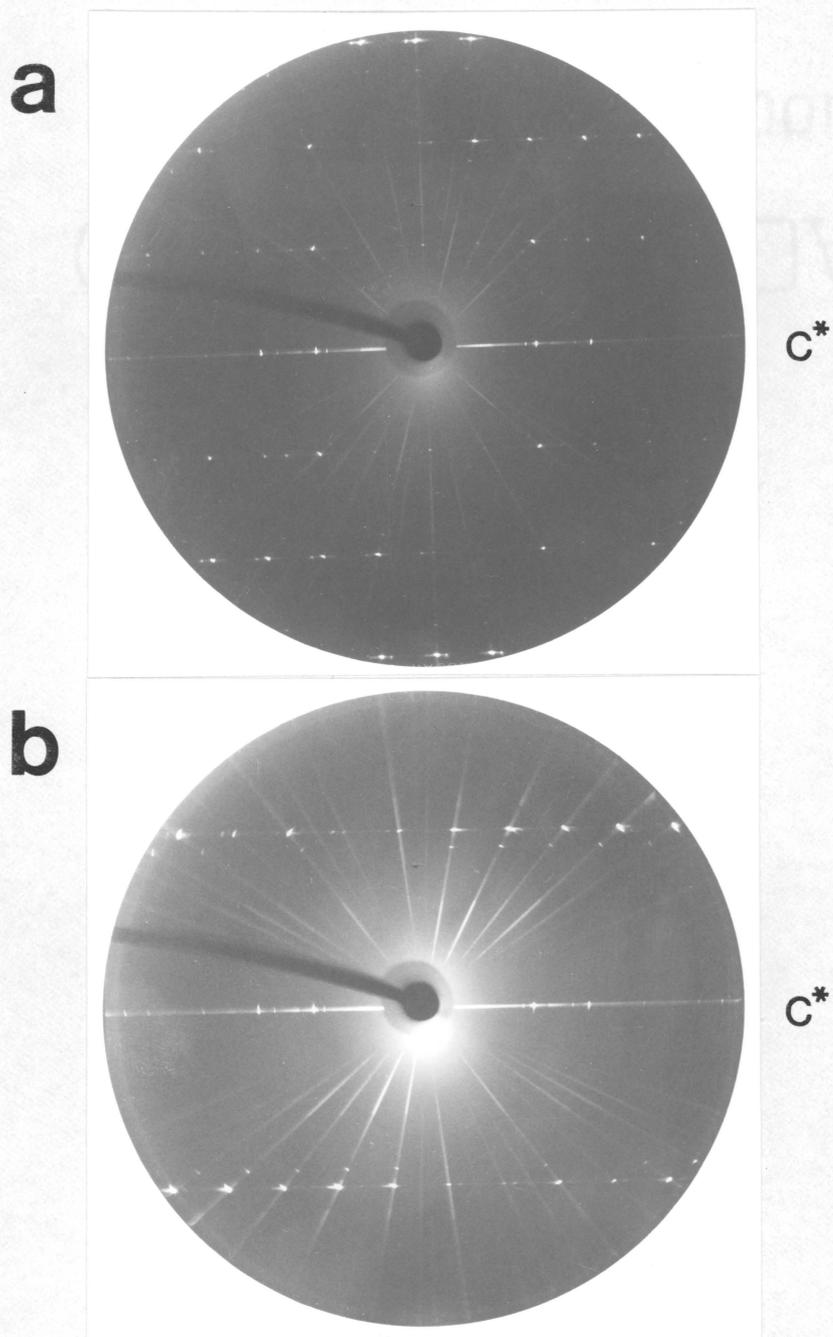


Fig. 3-5. Zero-level precession photographs of sample 7 from Chibougamau, Quebec, which show the diffraction patterns of relatively pure 1Tc chloritoid. (a) $h0l$ orientation, $\text{CuK}\alpha$, $\mu = 30^\circ$, 37 hours exposure. (b) $0kl$ orientation, $\text{CuK}\alpha$, $\mu = 30^\circ$, 48 hours exposure. Some of the faint diffraction maxima along the lattice rows are caused by a small domain of a different orientation.

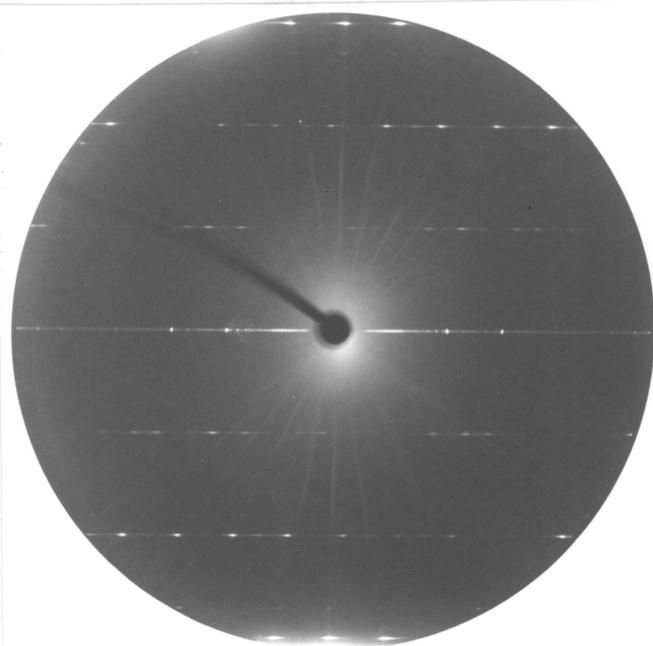
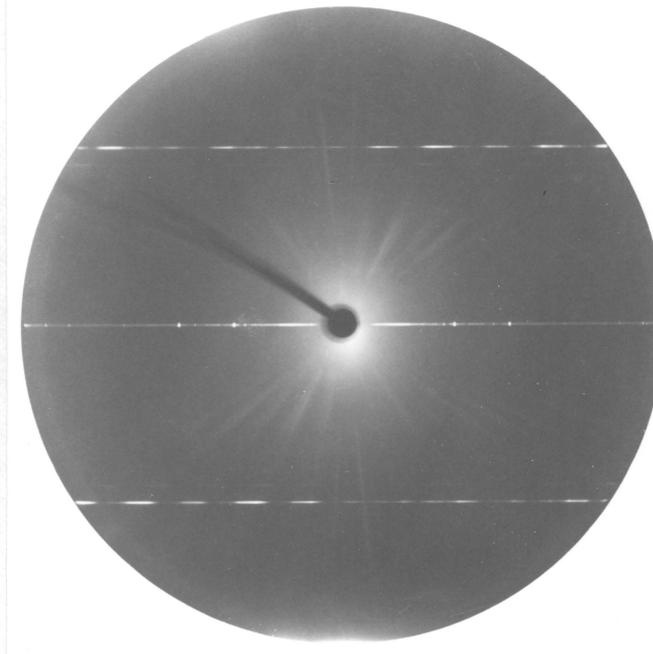
a**c*****b****c***

Fig. 3-6. Zero-level precession photographs of sample 9 from Echo Lake, Vermont, which show the diffraction patterns of intergrown $2M_2$ and $1Tc$ chloritoid. (a) $h0l$ orientation, $CuK\alpha$, $\mu = 30^\circ$, 76 hours exposure. (b) Ok_l orientation, $CuK\alpha$, $\mu = 30^\circ$, 86 hours exposure.

nm are listed in Table 3-5. The estimated standard deviations on the refractive indices are higher than those obtained in most spindle stage studies because chloritoid is darkly colored in some orientations, and the refractive index oils in the range used are volatile relative to those having lower refractive indices.

The optical orientation is specified relative to the a, b, and c^* directions for all polytypic intergrowths. The stacking direction of the polytypic intergrowths is parallel to c^* , so that c^* and the perpendicular to (001) of all the polytypes are parallel. Since γ is 90.1° for the triclinic polytype, the a and b directions of the triclinic polytype approximately correspond to a and b of the monoclinic polytypes. Therefore, the a, b, and c^* directions provide a very useful basis for expressing the optic orientation. The angles between the principal vibration directions and c^* do not satisfactorily describe the optical orientations of the triclinic polytype or submicroscopic polytypic intergrowths. The optical orientations recorded in Table 3-5 represent the first accurate optical orientations reported for the triclinic polytype and polytypic intergrowths.

Data Analysis and Discussion

Optical properties versus chemical composition

Numerous attempts were made during this study to correlate the optical properties of chloritoids to all compositional variables simultaneously. Various Statistical Analysis System (Helwig and

Table 3-5. Optical parameters and volume fractions

Analysis number	1	2	3	4	5	6	7	8
α	1.727(1)	1.728(1)	1.724(1)	1.725(1)	1.721(1)	1.725(1)	1.725(1)	1.724(1)
β	1.728(1)	1.729(1)	1.730(1)	1.726(1)	1.722(1)	1.727(1)	1.730(1)	1.725(1)
γ	1.733(1)	1.732(1)	1.732(1)	1.730(1)	1.726(1)	1.733(1)	1.732(1)	1.730(1)
$2V_z$	54.9(5)	57 (1)	123 (1)	69 (1)	58 (1)	66 (1)	114.0(8)	85 (1)
Angles defining the optic orientation								
$\angle X \cdot a$	87 (1)	84 (2)	89 (1)	84 (2)	85 (1)	81 (2)	54.9(6)	59 (1)
$\angle Y \cdot a$	22.5(9)	27 (1)	22 (1)	18 (1)	15 (1)	28 (1)	39.1(7)	42 (1)
$\angle Z \cdot a$	112.3(6)	116.1(6)	112.3(7)	107.0(6)	104.4(7)	116.3(8)	105.1(8)	118.5(8)
$\angle X \cdot b$	10 (1)	8 (2)	4 (1)	6 (2)	5 (1)	11 (2)	38.8(7)	33 (1)
$\angle Y \cdot b$	96.0(8)	98 (2)	93 (2)	96 (2)	95 (1)	101 (2)	128 (1)	123 (1)
$\angle Z \cdot b$	97.4(6)	91.8(6)	92.9(7)	90.5(6)	90.1(7)	90.4(8)	97 (1)	90.2(8)
$\angle X \cdot c^*$	80.9(9)	84.9(9)	86 (1)	88.7(7)	88.7(9)	85 (1)	75.6(7)	74.8(8)
$\angle Y \cdot c^*$	68 (1)	64.4(9)	67.8(8)	73.0(8)	75.6(9)	64.3(8)	82 (1)	66.5(9)
$\angle Z \cdot c^*$	23.6(8)	26.2(6)	22.5(7)	17.0(6)	14.4(7)	26.3(8)	16.5(8)	28.5(8)
Volume fractions								
$2M_2$ (%)	<100 (5)	90 (5)	n.d.	n.d.	90 (5)	n.d.	>0	50 (5)

Note: Values in parentheses represent esd's for last decimal place.

"n.d." = not determined (see text)

Table 3-5. Continued

Analysis number	9	10	11	12	13	14	16	17
α	1.724(1)	1.724(1)	1.724(1)	1.723(1)	1.726(1)	1.723(1)	1.727(1)	1.726(1)
β	1.728(1)	1.726(1)	1.725(1)	1.725(1)	1.728(1)	1.728(1)	1.730(1)	1.728(1)
γ	1.730(1)	1.730(1)	1.728(1)	1.730(1)	1.733(1)	1.731(1)	1.736(1)	1.732(1)
$2V_z$	108 (2)	45 (2)	58 (1)	60 (2)	34 (2)	103 (1)	54 (1)	54 (2)
Angles defining the optic orientation								
$\angle X \wedge a$	69 (3)	75 (3)	82 (2)	75 (3)	81 (3)	56.7(8)	52 (1)	70 (3)
$\angle Y \wedge a$	21 (3)	27 (3)	19 (2)	22 (3)	9 (3)	38.3(9)	46 (1)	34 (3)
$\angle Z \wedge a$	94 (2)	112 (1)	108 (9)	105 (1)	90 (1)	103 (1)	105.4(6)	116 (1)
$\angle X \wedge b$	21 (3)	16 (3)	8 (2)	16 (3)	9 (3)	38.3(8)	46 (1)	22 (3)
$\angle Y \wedge b$	111 (3)	106 (3)	98 (2)	106 (3)	99 (3)	128 (1)	135 (1)	112 (3)
$\angle Z \wedge b$	92 (2)	91 (1)	91.5(9)	91 (1)	92 (1)	96 (1)	97.9(6)	91 (1)
$\angle X \wedge c^*$	83 (1)	85 (2)	89 (1)	84 (1)	89 (1)	77.4(8)	73.5(9)	82 (2)
$\angle Y \wedge c^*$	80 (1)	73 (1)	73 (1)	76 (1)	89 (1)	84 (1)	85 (1)	71.5(8)
$\angle Z \wedge c^*$	14 (1)	18 (1)	17.2(9)	15 (1)	2 (1)	14.4(9)	17.4(6)	20.1(8)
Volume fractions								
$2M_2 (X)$	16 (5)	n.d.	<100 (5)	<100 (5)	n.d.	>0 (5)	>0 (5)	n.d.

Council, 1979) procedures have been used to test several models of the effects of chemistry on the optical parameters. Models were designed to correlate formula numbers, oxide weight percentages, ratios of formula numbers, or ratios of weight percents with optical properties such as the refractive indices, the optic axial angle, optical orientation angles, and the dispersive power. Other models involved different weighting schemes based on polarizability or the Gladstone-Dale relationship (Lasaga and Cygan, 1982; Mandarino, 1976, 1978, 1979, 1981; Bloss et al., 1983). Weighting schemes generally diminished the correlation they were supposed to enhance because they predetermined the correlation. Using oxide weight percentages as compositional variables is not recommended because they are not independent variables. Correlations based on the dispersion of the refractive indices were attempted but resulted in low correlation parameters. Relatively high correlations were obtained using ratios of formula numbers. The proportion of Mg to other cations in the M(1B) sites shows the highest correlation, which is to be expected because of the polarizability difference between Mg (0.48 \AA^3) and Fe^{2+} (1.66 \AA^3) (Lasaga and Cygan, 1982). A similar correlation was previously suggested by Halferdahl (1961).

The data available during this study do not satisfactorily cover the entire compositional range of the chloritoids. The polytypic composition affects several of the optical parameters, accounting for much of the scatter in Halferdahl's Figure 8 (1961, p. 89). Therefore, a stepwise approach was employed to determine the chemical dependence of

the optical parameters. The first step was a correlation based on the chemical and optical parameters of approximately pure $2M_2$ chloritoids along the Mg-Fe join having less than 4 atomic percent Mn^{2+} in M(1B) and less than 19 atomic percent Fe^{3+} in M(1A). Strong correlations between the refractive indices and $Mg/(Mg + Fe + Mn)$ were obtained (Table 3-6)(Figure 3-7). The correlations between the proportion of Mg and the variable orientation angles (unrestricted by symmetry) were weaker (Table 3-6)(Figure 3-8). The next step was to determine a similar correlation for approximately pure 1Tc chloritoids along the Mg-Fe join which have less than 2 atomic percent Mn in M(1B) and less than 19 atomic percent Fe^{3+} in M(1A). The correlations obtained were very weak due to the limited amount and range of data available. There were not enough samples with intermediate polytypic compositions to determine the chemical effects on the optical properties of varying ratios of polytypic intergrowths.

Optical properties versus polytypic composition

Several statistical models comparing the polytypic composition to individual optical parameters and combinations of optical parameters were examined. None of the models resulted in physically meaningful correlations, although some important trends were observed.

The refractive indices α and β are dependent upon the polytypic composition, because the X and Y principal vibration directions of the triclinic polytypes do not lie in the (001) plane and are in a position

Table 3-6. Regression-derived equations relating optical parameters of $2M_2$ chloritoids to $Mg/(Mg + Fe + Mn)$ (MGN) (10 observations)

Equation number	Equation	R^2	F	PR > F
3-1	$\alpha = 1.7279(4) - 0.034(3)MGN$	0.96	181	0.0001
3-2	$\beta = 1.7290(3) - 0.034(2)MGN$	0.97	292	0.0001
3-3	$\gamma = 1.7339(5) - 0.036(3)MGN$	0.94	125	0.0001
3-4	$YA = 27(2) - 58(16)MGN$	0.76	13	0.0232
3-5	$YC = 65(2) - 55(8)MGN$	0.85	41	0.0004
3-6	$ZA = 116(2) - 57(15)MGN$	0.78	14	0.0193
3-7	$ZC = 26(1) - 57(8)MGN$	0.87	55	0.0001

Note: "YA", "YC", "ZA", and "ZC" refer to optic orientation angles which do not have positions that are fixed due to symmetry restrictions in $2M_2$ chloritoids.

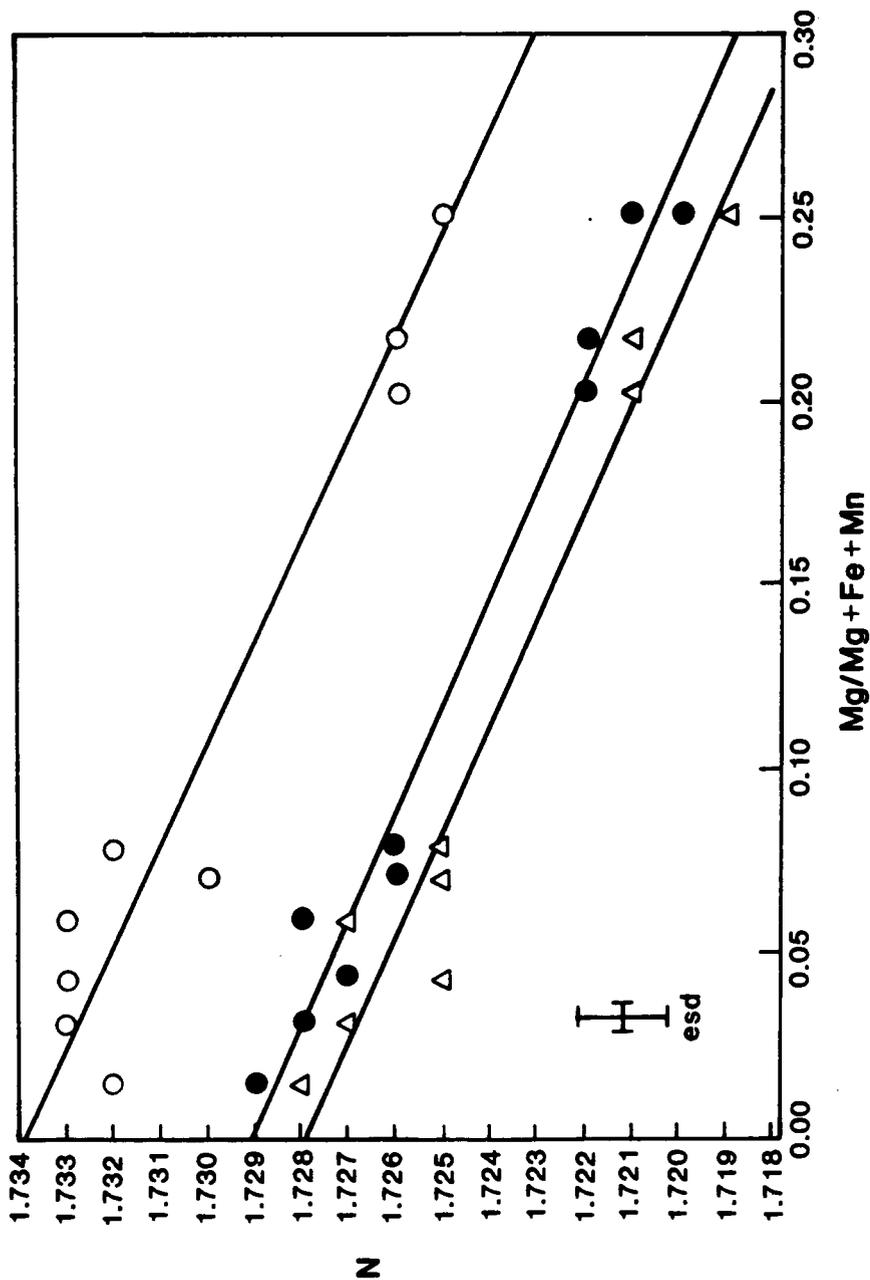


Fig. 3-7. Variation of refractive indices of $2M_2$ chloritoid with the proportion of magnesium, $Mg/(Mg + Fe + Mn)$, in the M(1B) octahedral site. The regression lines correspond to the equations given in Table 3-6. The "esd" symbol represents an estimated standard deviation of 0.001 in the refractive index, and the maximum estimated standard deviation (0.009) determined for the proportion of magnesium in the M(1B) site. Hollow circles = γ , solid circles = β , and triangles = α .

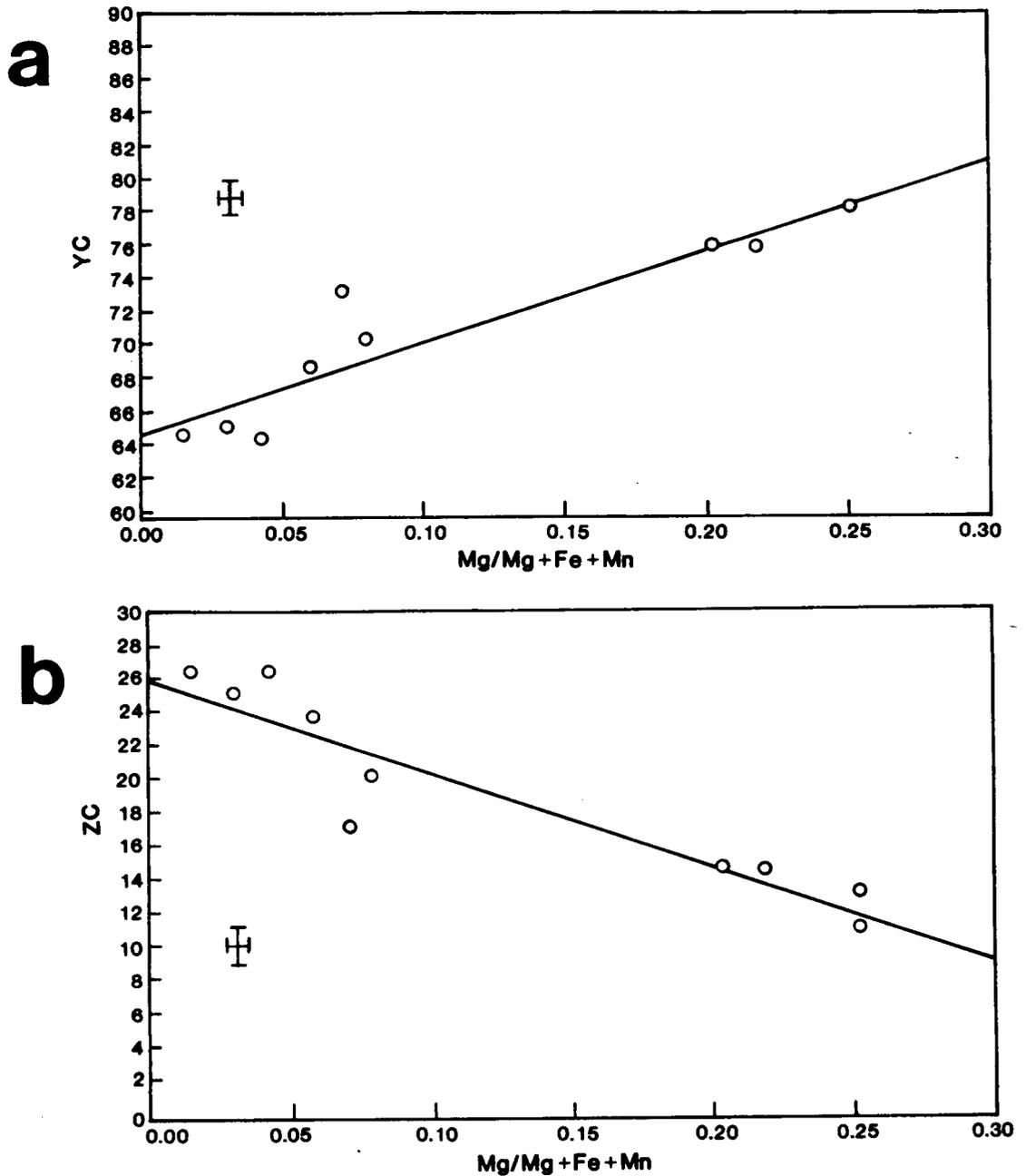


Fig. 3-8. The relationship of optical orientation parameters and the proportion of magnesium in the M(1B) octahedral site of $2M_2$ chloritoid. (a) $\angle Y \hat{c}^*$ versus $Mg/(Mg + Fe + Mn)$, (b) $\angle Z \hat{c}^*$ versus $Mg/(Mg + Fe + Mn)$. The regression lines correspond to the equations given in Table 3-6. The "esd" symbol represents the highest observed esd ($\pm 1^\circ$) for the orientation angles, and the maximum esd (± 0.009) determined for $Mg/(Mg + Fe + Mn)$.

such that α increases and β decreases due to the "averaging" that occurs in intergrowths containing increasing amounts of the $2M_2$ polytype (Figure 3-11). There is also an increase in $(\gamma - \beta)$ with a corresponding decrease in $(\beta - \alpha)$ as the polytypic composition becomes increasingly monoclinic, accounting for the range of observed optic axial angles ($2V_z$: 34° to 123°) and the change in optic sign (monoclinic is positive, triclinic is negative). The refractive index γ is essentially independent of polytypic composition since Z is close to c^* (the stacking direction) and is therefore hardly affected by intergrowth.

Qualitatively, the orientation angles are more sensitive to variation in polytypic intergrowth than are the refractive indices. Those orientation parameters that have fixed values in $2M_2$ chloritoids are the most responsive to the presence of small amounts of 1Tc chloritoid. For example, $X=b$ in $2M_2$ chloritoids, but the angle between X and b increases rapidly with increased amounts of 1Tc chloritoid (Figure 3-9). Another example would be the sum of the orientation angles $\angle Y \wedge c^*$ and $\angle Z \wedge c^*$. In $2M_2$ chloritoids Y , Z , and c^* are co-planar so that the sum of the orientation angles is 90° . As the amount of 1Tc chloritoid in the composite grain increases X rotates away from b , the YZ -plane rotates away from c^* , and the sum of the orientation angles increases (Figure 3-10). This is a very useful parameter for the estimation of the polytypic composition because it is only slightly dependent on chemical composition, and it can be easily measured using a "360°" spindle stage, a detent spindle stage, or a

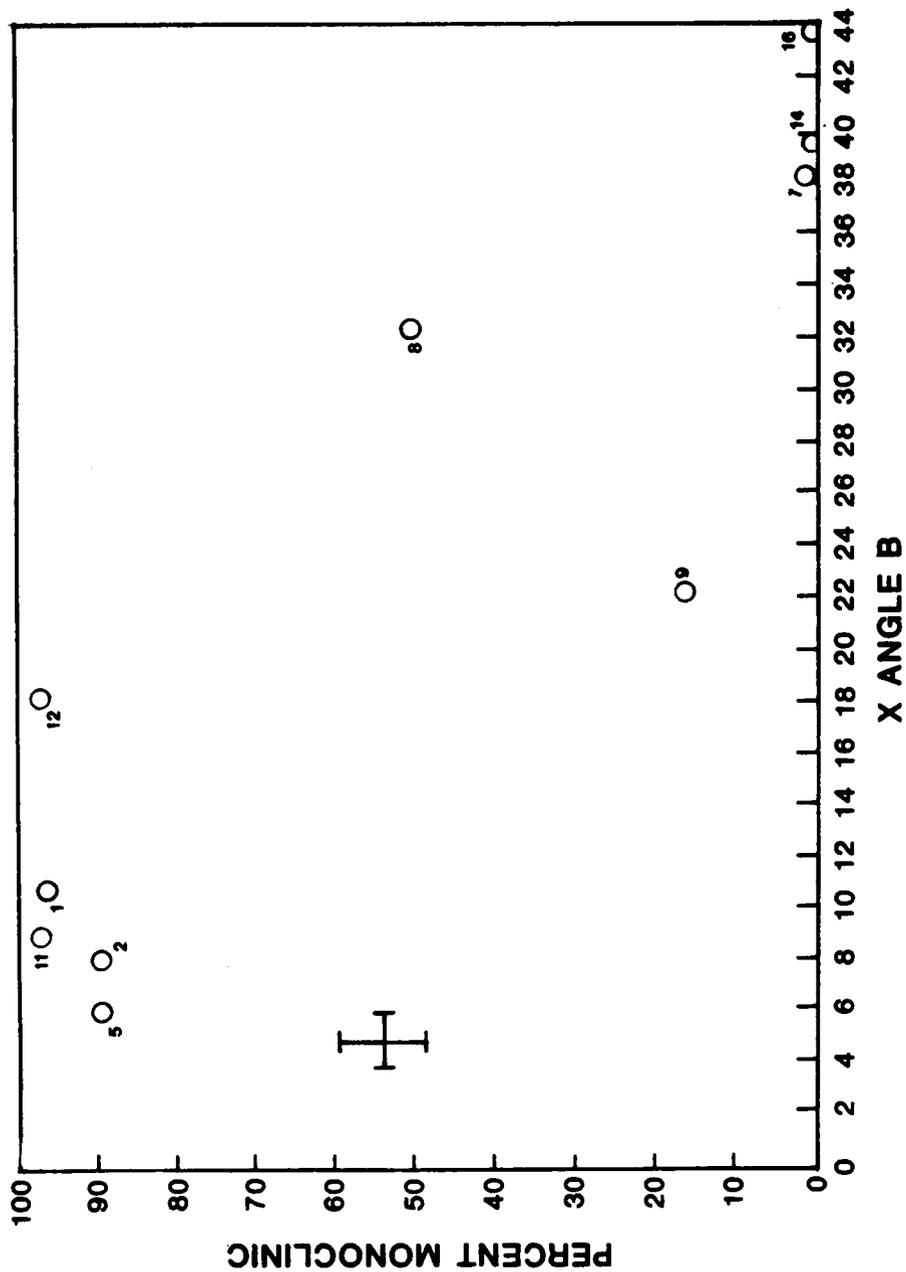


Fig. 3-9. Variation of $\angle X \hat{b}$ with the volume percentage of $2M_2$ chloritoid in the composite grain. The "esd" symbol represents the maximum observed esd for $\angle X \hat{b}$ ($\pm 3^\circ$) and the percentage of monoclinic chloritoid ($\sim \pm 5\%$).

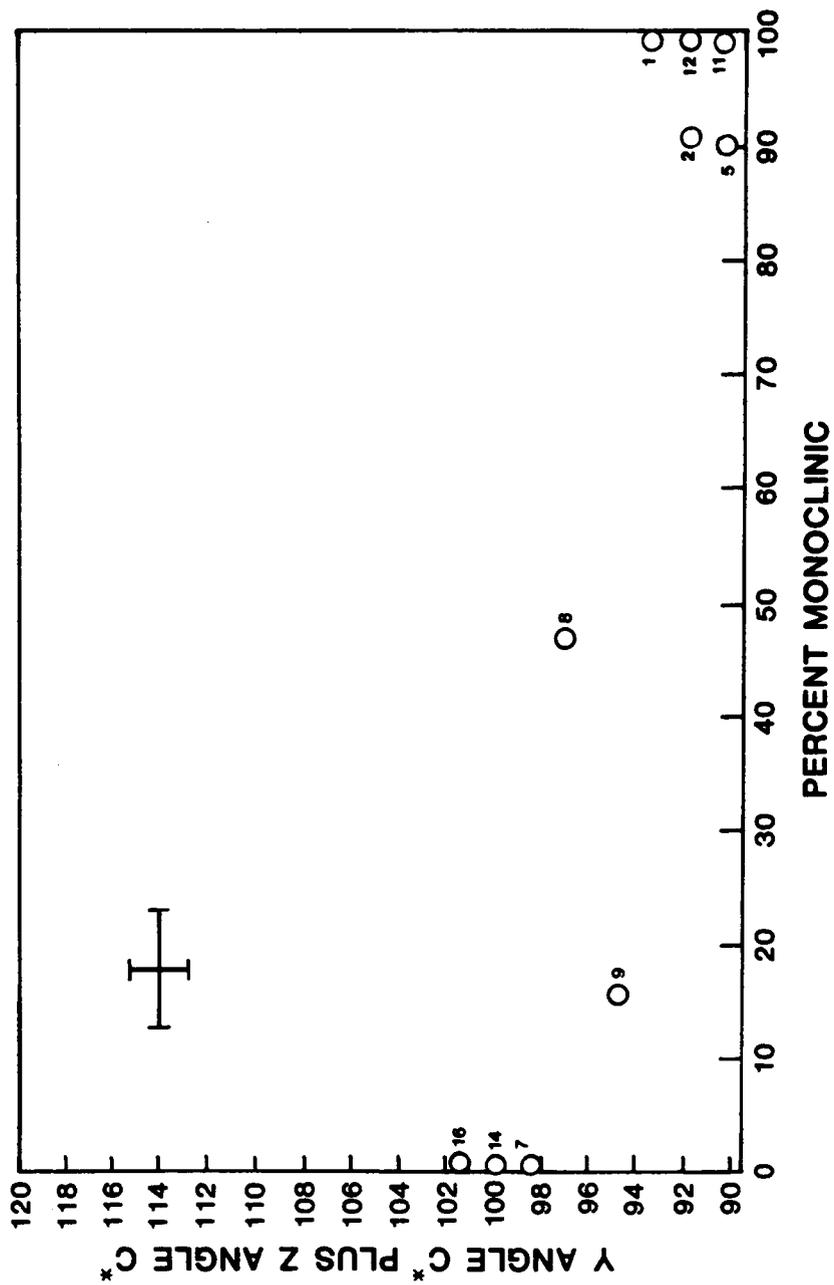


Fig. 3-10. Variation of $(\angle Y \hat{c}^*) + (\angle Z \hat{c}^*)$ with the volume percentage of $2M_2$ chloritoid in the composite grain. The "esd" symbol represents the maximum observed esd for the sum ($\pm 2\sigma$) and the percentage of monoclinic chloritoid ($\sim \pm 5\%$).

universal stage without the use of X-ray diffraction techniques.

The model

To understand the dependence of optical properties on variations in polytypic composition requires the Hauser and Wenk (1976) dielectric tensor calculations described in Chapter One and Appendix A. The only kind of polytypic intergrowth considered in this study is an intergrowth between the 1Tc and $2M_2$ polytypes. The $2M_2$ polytype is assumed to be related to the 1Tc polytype by a 2-fold rotation about [010] with an (001) composition plane. One layer of the 1Tc chloritoid was used as the basic polytype module. The input data consisted of the refractive indices and stereographic coordinates of the principal vibration directions for a 1Tc chloritoid and for its twin-equivalent module (Table 3-7). The optical properties of hypothetical mixtures of 1Tc and $2M_2$ were calculated at intervals of 4% $2M_2$ over the entire range of intergrowth. These calculations were performed using the optical properties of two nearly pure triclinic chloritoids with $Mg/(Mg + Fe + Mn) = 0.05$ and 0.11 . Dominantly monoclinic chloritoids having similar proportions of Mg possess optical properties comparable to those yielded by these calculations (Figure 3-11). Calculations were also performed using the extrapolated optical properties of the pure Fe- $2M_2$ and Fe-1Tc obtained during the compositional correlations mentioned earlier in this discussion.

Graphs illustrating the hypothetical relationships between the

Table 3-7. Dielectric tensor program input parameters for chloritoid.

Domain type	ρ_1	ϕ_1	n_1	ρ_2	ϕ_2	n_2	ρ_3	ϕ_3	n_3
1Tc ₁	75.63	36.44	1.725	82.02	128.44	1.730	16.52	-113.3	1.732
1Tc ₂	75.63	143.56	1.725	82.02	51.56	1.730	16.52	-66.7	1.732

Note: ρ and ϕ are the stereographic coordinates of each principle vibration direction. "n" is the refractive index associated with each principal vibration direction.

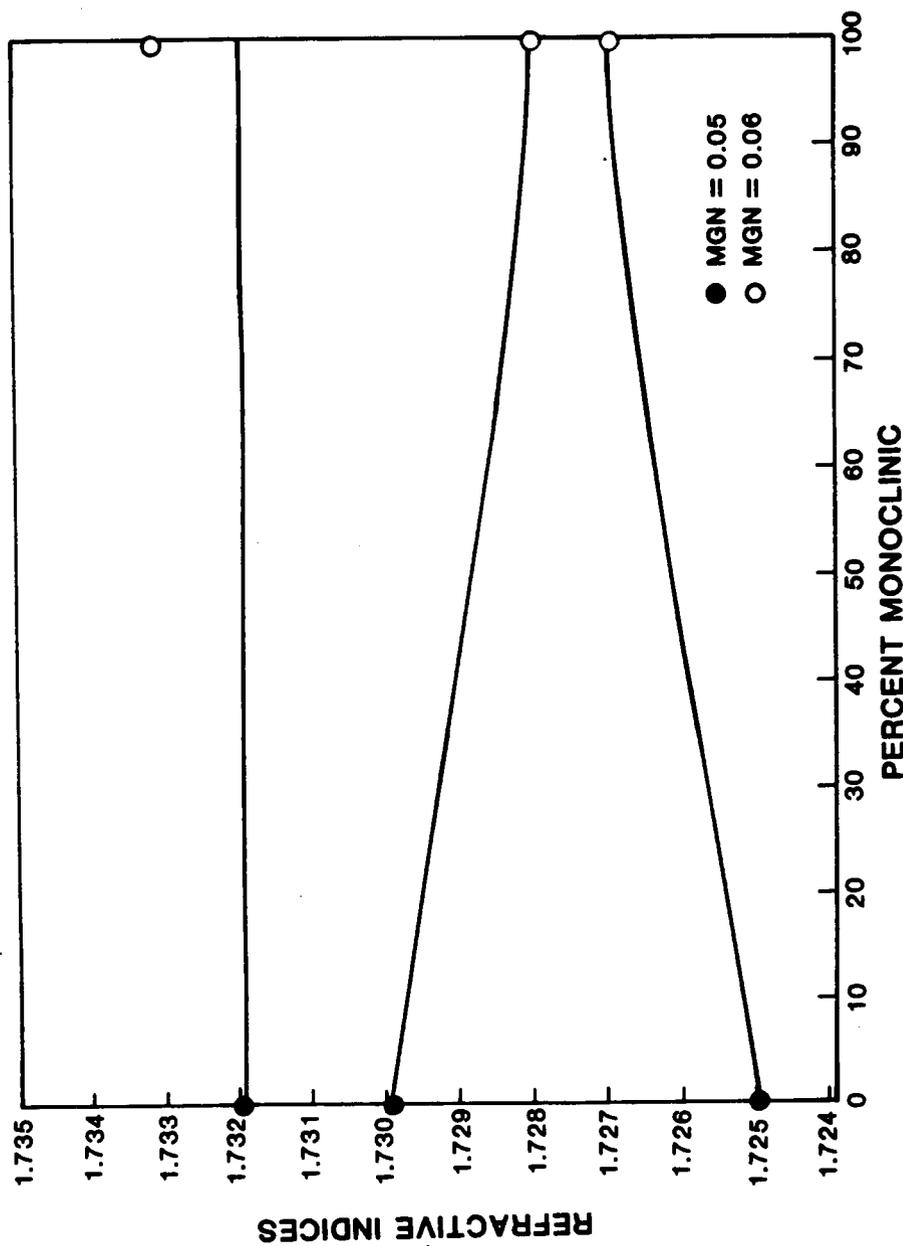


Fig. 3-11. Variation of refractive indices with the volume percentage of $2M_2$ chloritoid in the composite grain. The curves on this plot represent a spline fit to the values calculated with the dielectric tensor program using the data in Table 3-7. The lTc parameters used in this model correspond to those of sample 7 with $Mg/(Mg + Fe + Mn)$ or "MGN" = 0.05 (solid dots). The circles represent the refractive indices of an approximately structurally pure $2M_2$ chloritoid, sample 1, with "MGN" = 0.06.

optical properties and the polytypic composition were produced using the SASGRAPH GPLOT procedure (SAS, 1981) and applying a spline fit to the calculated points. Figures 3-12 and 3-13 show the theoretical curves superimposed upon Figures 3-9 and 3-10. The fit of the theoretical curves to the observed data is not perfect but does suggest that these calculations are on the right track. In particular, the calculations confirm the observed sensitivity of the orientation angles to polytypic intergrowth. More data are required in the area of intermediate polytypic composition.

Estimating polytypic composition

The proposed method of estimating the polytypic composition, assuming that the chemical composition and the optical properties are known, requires the use of the correlation equations and theoretical curves established in the previous two sections. Contoured graphs were produced using the SASGRAPH GCONTOUR procedure (Figs. 3-14 and 3-15). These graphs show the form of the determinative curves that could eventually develop. Figure 3-14 illustrates how $\angle X \wedge b$ is dependent on the polytypic composition and relatively independent of chemical composition. The data points on this plot are located according to their chemical composition and $\angle X \wedge b$. Figure 3-15 illustrates how the sum of the orientation angles $\angle Y \wedge c^*$ and $\angle Z \wedge c^*$ is primarily dependent upon the polytypic composition, although slightly more dependent on the chemical composition than $\angle X \wedge b$. The data points on

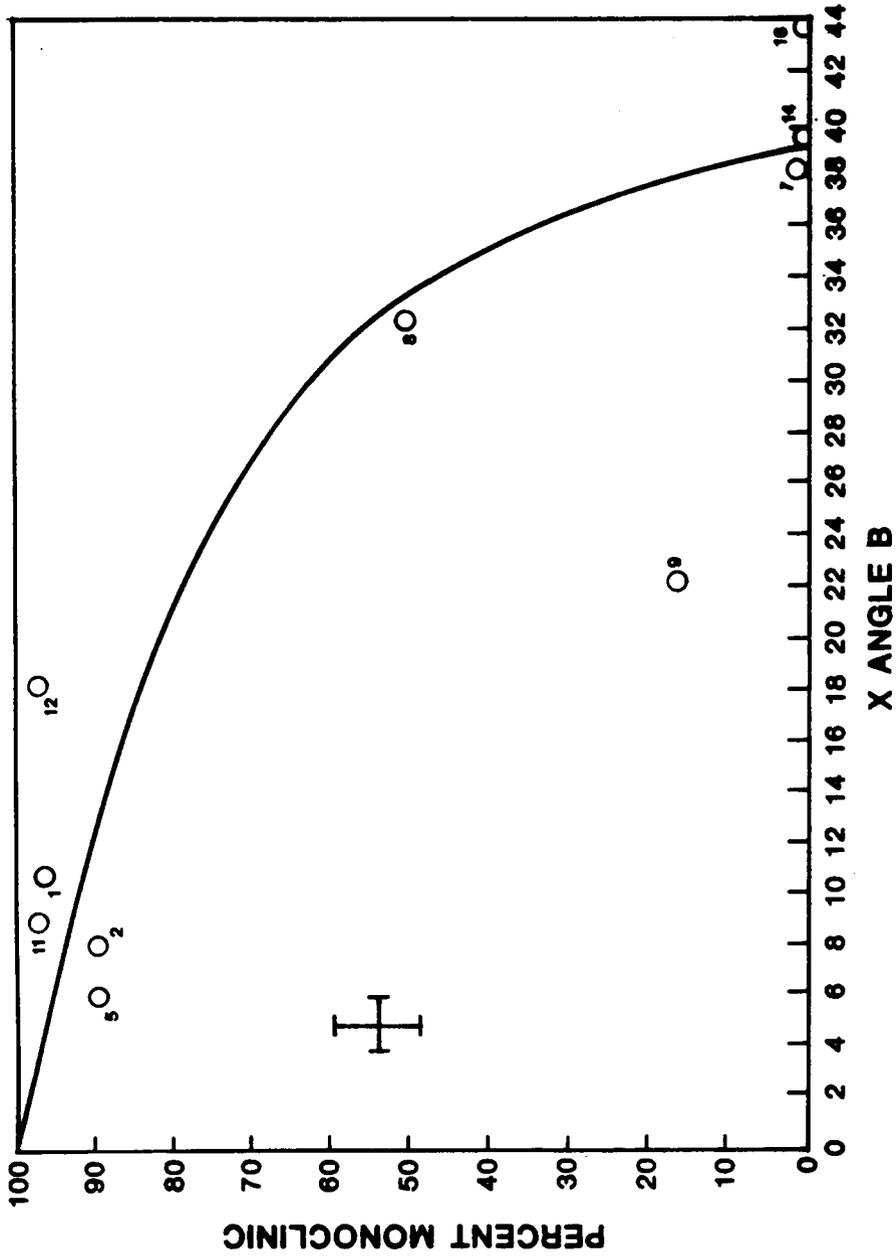


Fig. 3-12. Variation of $\angle X$ with the volume percentage of $2M_2$ chloritoid in the composite grain. The curve is formed by a spline fit to the values calculated with the dielectric tensor program using the data in Table 3-7. The "esd" values are the same as in Fig. 3-9.

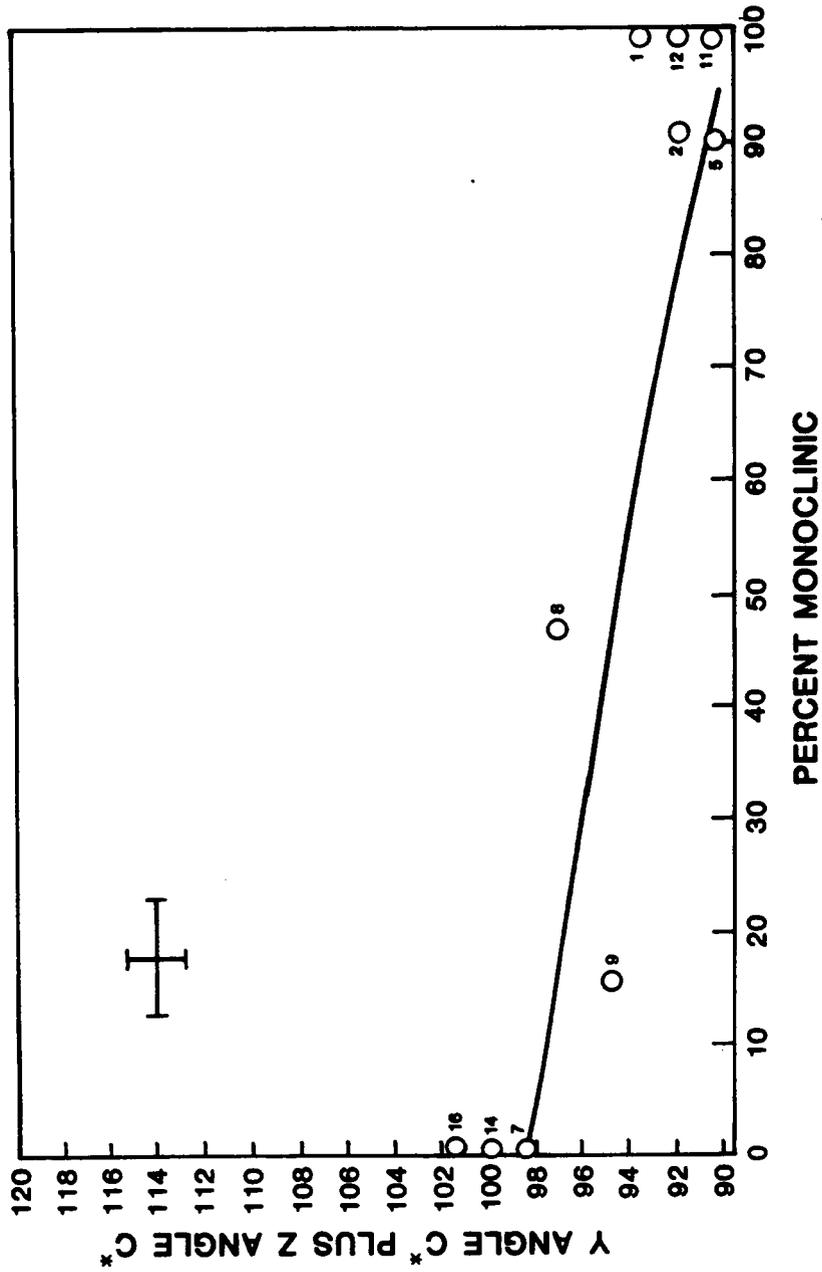


Fig. 3-13. Variation of $(\angle Y \hat{c}^*) + (\angle Z \hat{c}^*)$ with the volume percentage of $2M_2$ chloritoid in the composite grain. The curve is formed by a spline fit to the values calculated with the dielectric tensor program using the data in Table 3-7. The "esd" values are the same as in Fig. 3-10.

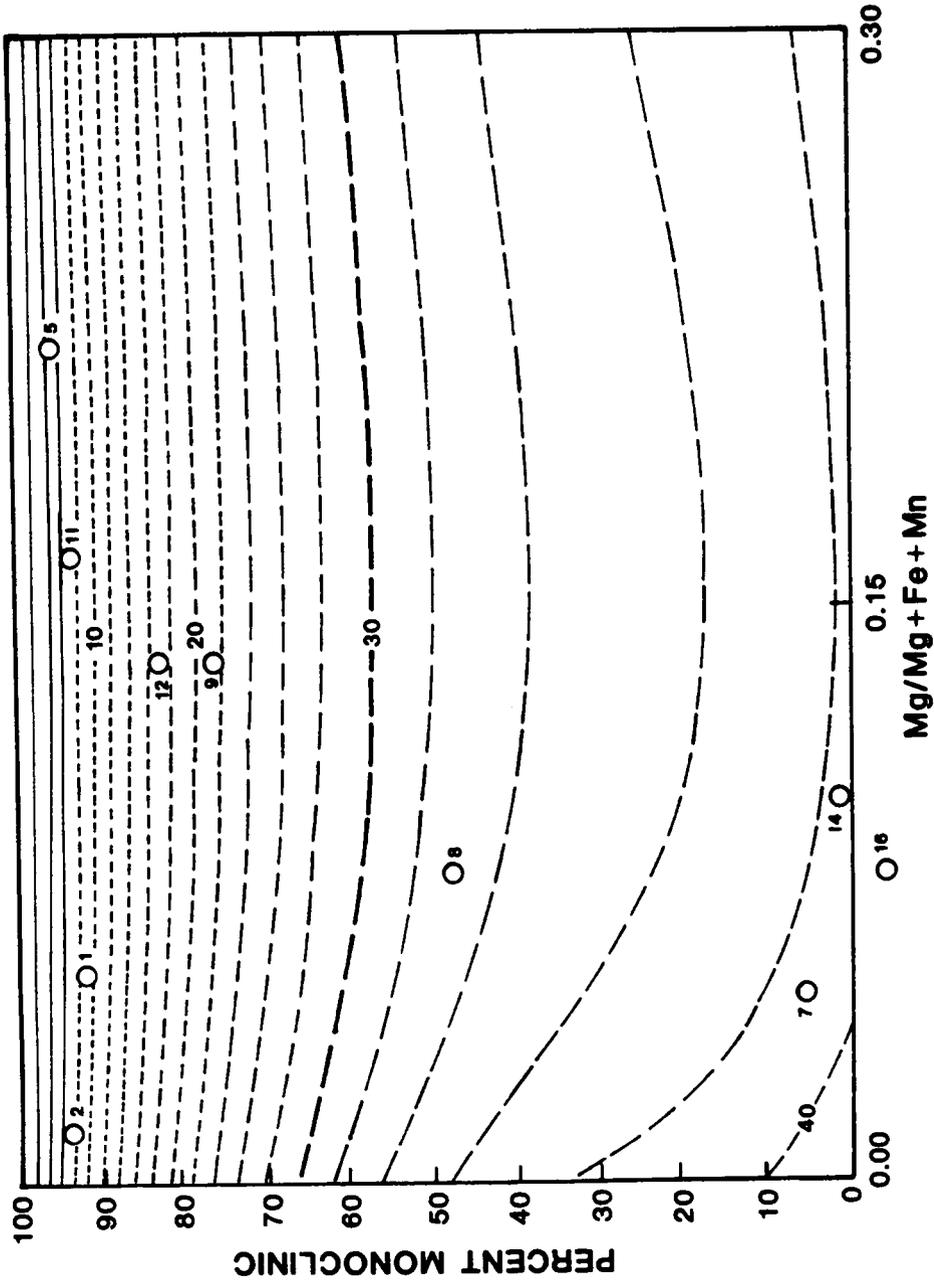


Fig. 3-14. Dependence of $\angle X^{\wedge} b$ on changes in chemical composition and polytypic composition. The samples are plotted according to the observed $\angle X^{\wedge} b$ and $Mg/(Mg + Fe + Mn)$ values. The plot is contoured according to $\angle X^{\wedge} b$, with a contour interval of 2° .

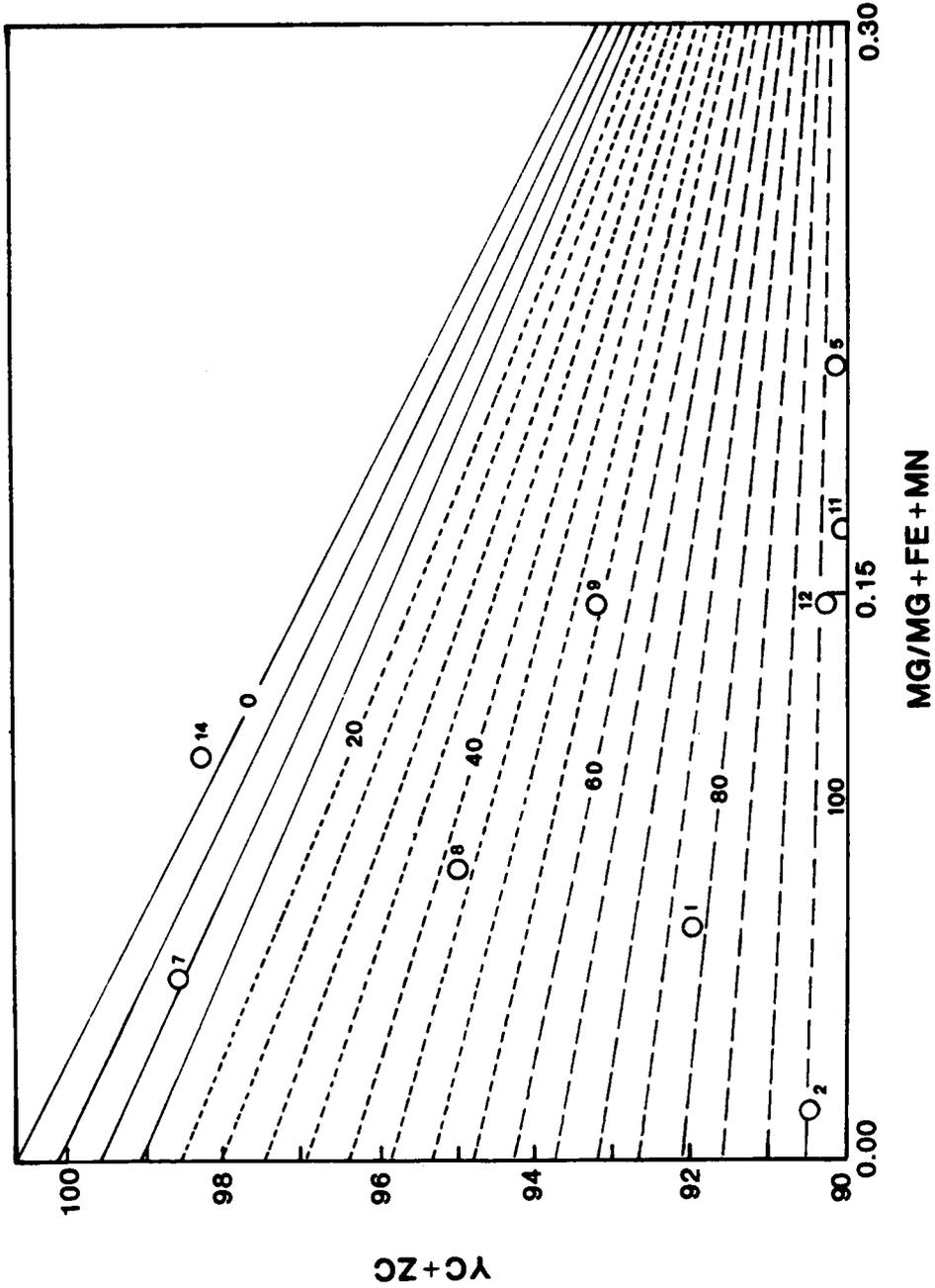


Fig. 3-15. Dependence of $(\angle \hat{Y} \hat{c}^*) + (\angle \hat{Z} \hat{c}^*)$ on changes in chemical composition and polytypic composition. The samples are plotted according to the observed $(\angle \hat{Y} \hat{c}^*) + (\angle \hat{Z} \hat{c}^*)$ and $Mg/(Mg + Fe + Mn)$ values. The plot is contoured according to percentage of $2M_2$ chloritoid, with a contour interval of 5%.

this plot are located according to their chemical composition and the sum of the orientation angles stated above.

The data used to generate these plots are not distributed evenly over the area represented in the plots; therefore, some areas of the plots are extrapolated and are not suitable for determinative purposes. The plots do, however, provide deeper insight into this relationship than has previously been available. They also provide a framework for further investigation of other types of polytypic and compositional effects on the optical properties of the chloritoids.

Summary

This study has developed a stepwise approach to the understanding of the dependence of the optical properties on the variation in both the chemical composition and the polytypic composition. The chloritoids used in the final models are concentrated along the Mg-Fe join and are polytypic intergrowths of the $2M_2$ and $1Tc$ forms.

First, the dependence of the optical properties on the variation in chemical composition can be determined once the polytypic composition is accounted for. This is best demonstrated by the correlation among the refractive indices and $Mg/(Mg + Fe + Mn)$ values of $2M_2$ chloritoids. Halferdahl (1961) and Deer et al. (1981) did not find a quantitative correlation between the chemical composition and the refractive indices due most likely to the lack of consideration of the effects of the polytypic intergrowth.

Second, the optical orientation is very sensitive to small variations in the polytypic composition, especially orientation angles that have fixed values in $2M_2$ chloritoid. The first accurate determinations of the optical orientations of predominantly triclinic chloritoids are necessary for the understanding of the sensitivity of the optical orientations to polytypic intergrowth.

Third, the effects can be modeled using dielectric tensors which provide a physically meaningful interpretation of the observed trends. The model assumed that the $2M_2$ polytype can be formed by twinning the $1Tc$ polytype by a 2-fold rotation about $[100]$ with an (001) composition plane. The general agreement of the observed relationships with the calculated relationships indicates that the model is representative of what is physically occurring. For example, both the observed data and the dielectric tensor calculations demonstrated the sensitivity of the optical orientation to variations in the polytypic composition.

A set of graphs have been constructed and presented that can be used to estimate the polytypic composition if the optical orientation and chemical composition are known. More data are required before the effects of other intergrowths, such as $1Tc$ and $2M_1$, $2M_1$ and $2M_2$, or $1Tc$, $2M_1$, and $2M_2$, on the optical properties can be determined. Chloritoids having chemical compositions beyond the range studied here must be examined to extend the determinative capabilities of the plots shown in this chapter.

Ultimately, these plots will make it possible to estimate the polytypic composition and chemical composition with optical and X-ray

data. The polytypic composition could be determined with optical and chemical data. This approach has the potential of improving our understanding of polytypism in the chloritoids.

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APPENDIX A

A USER'S GUIDE FOR THE HAUSER-WENK PROGRAM

Introduction

This computer program was originally coded by Hauser and Wenk (1976). The program is used to calculate the optical properties of submicroscopically composite minerals using dielectric tensors. The theory behind the program is discussed in Hauser and Wenk (1976) and reviewed in Chapter One. Basically, the program is used to create a composite dielectric tensor, and subsequently decompose that tensor to give the refractive indices and the stereographic coordinates of the principal vibration directions.

The required input data include the refractive indices and stereographic coordinates of the principal vibration directions of each end member domain to be considered in the composite grain, and the volume fraction of each domain type in the composite grain (Table A-1).

The output has the general form shown in Table A-2. The volume fractions that were input, the refractive indices, stereographic coordinates of the principal vibration directions, the birefringence, the $2V$, Euler angles of the indicatrix, and Goldschmidt angles of the optic axes are included in the printout. The last two parameters were used by Hauser and Wenk (1976) but were not used in the present study.

The following is a section-by-section description of the program defining the variables and stating what processes are taking place in

each part of the program. Please refer to the program listing that follows this description.

Section One: lines 1 through 19

This portion of the program defines and initializes the variables and arrays to be used in the program.

A(3,3) = the composite dielectric tensor

EIVU(3) = eigenvalues

EIVR(3) = eigenvectors

AR(3), BR(3), and GR(3) = vectors along the composite principal vibration directions.

E(6,3,3) = the dielectric tensors for up to 6 domains

R(3) = the ρ stereographic coordinate for the principal directions

P(3) = the ϕ stereographic coordinate for the principal directions

AL(3) = the three principal refractive indices

F(6) = the volume fractions of up to 6 domains

DOMTYP = a name for each specific domain type

T = 0.0174532925 = $\pi/180$ (degree to radian conversion)

Section Two: lines 20 through 100

In this portion of the program the indicatrix of each domain is

read into memory and processed (Table A-1). The first line of the input data includes the name of the domain type (columns 1-8), the stereographic coordinates, and refractive indices for each principal vibration direction (nine F7.5 regions). The second line of output for each domain type contains the 2V (columns 11-16). The "-1." at the end of the first part of the input is a flag indicating the end of the optical data.

After the optical data are input the program uses the 2V to decide whether to treat the data as uniaxial or biaxial, and checks the 2V by calculating it from the refractive indices (lines 27-38). The first write statement writes the titles and the second write statement writes the input data (Table A-2). The input data are orthogonalized (lines 47-78) to insure that the stereographic coordinates represent mutually perpendicular principal vibration directions. The third write statement writes the orthogonalized values of the input data immediately beneath the observed values (Table A-2).

This section ends with the calculation of the dielectric tensors of the individual domain types based upon the input data.

Section Three: lines 101 through 132

At this point in the program the volume fractions, and stereographic coordinates of the normal to the interface between the first two domains are read into memory. The normal is often specified as the z Cartesian axis and need not be stated. The volume fraction of

the first domain listed in the first section is typed in columns 1-10, the second domain in columns 11-20, etc. This part of the input is terminated with two flags, the second of which indicates the end of the entire data set. The matrix A is initialized to zero (lines 104-106), then the composite dielectric tensor is created (lines 108-113). The case where the interface is not perpendicular to z is treated in lines 114 through 132.

The Call Statement

The "CALL EA06C" statement on line 133 calls a subroutine package (lines 267-514) taken from the set of IMSL subroutines in the VPI&SU IMSL LIBRARY (original Hauser-Wenk program uses Harwell subroutines). This set of subroutines is used to calculate the eigenvalues and eigenvectors of the composite dielectric tensor.

Section Four: lines 134 through 266

This section takes the eigenvalues and eigenvectors sent back to the main program by the subroutines, and calculates the refractive indices (lines 142-143), determines which is α , β , and γ (lines 144-175), and gives the coordinates of the principal vibration directions associated with each refractive index (lines 199-227). Other calculated parameters include the 2V (lines 181-189), the Euler angles of the indicatrix (lines 233-240), the Goldschmidt angles of the optic axes (lines

244-258), and the Goldschmidt angles of the normal to the interface if that was specified in the input. The output is shown in Table A-2.

Table A-1. Input data for the dielectric tensor program

TR11	76.	30.	1.727	89.00	120.00	1.728	14.00	240.0	1.731
TR12	76.	90.	1.727	89.00	180.00	1.728	14.00	300.0	1.731
TR13	76.	150.	1.727	89.00	240.00	1.728	14.00	0.0	1.731
TR14	76.	210.	1.727	89.00	300.00	1.728	14.00	60.0	1.731
TR15	76.	270.	1.727	89.00	0.00	1.728	14.00	120.0	1.731
TR16	76.	330.	1.727	89.00	60.00	1.728	14.00	180.0	1.731
	60.								
	-1.								
1.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
0.0	1.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
0.0	0.0	1.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
0.0	0.0	0.0	1.0	0.0	0.0	0.0	0.0	0.0	0.0
0.0	0.0	0.0	0.0	1.0	0.0	0.0	0.0	0.0	0.0
0.0	0.0	0.0	0.0	0.0	1.0	0.0	0.0	0.0	0.0
0.5	0.0	0.0	0.0	0.0	0.0	0.5	0.0	0.0	0.0
0.5	0.0	0.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0
0.166666670.	0.166666670.	0.166666670.	0.166666670.	0.166666670.	0.166666670.	0.166666670.	0.166666670.	0.166666670.	0.166666670.
0.333333340.	0.333333340.	0.333333340.	0.333333340.	0.333333340.	0.333333340.	0.333333340.	0.333333340.	0.333333340.	0.333333340.
0.0	0.333333340.	0.0	0.333333340.	0.0	0.333333340.	0.0	0.333333340.	0.0	0.333333340.
0.0	0.5	0.0	0.0	0.5	0.0	0.0	0.0	0.0	0.0
0.0	0.0	0.5	0.0	0.0	0.5	0.0	0.0	0.0	0.0
0.0	0.0	0.0	0.0	0.0	0.0	0.5	0.0	0.0	0.0
0.0	0.0	0.0	0.5	0.0	0.0	0.0	0.5	0.0	0.0
0.5	0.0	0.0	0.0	0.5	0.0	0.0	0.0	0.5	0.0
	-1.								
	-2.								

Note: This is an actual printout of the data file. The first 12 lines are the domain types (first column), ρ and ϕ stereographic coordinates (columns 2, 3, 5, 6, 8, and 9), refractive indices (columns 4, 7, and 10), and the 2V on the second line of each pair of lines. The "-1." on line 13 is a flag indicating the end of the indicatrix data. The six-column lines following this flag are the volume fractions of each domain type in each composite crystal. The last two lines are flags indicating the end of the volume fraction data and the end of all of the data.

Table A-2. Output produced by the dielectric tensor program

DOMAIN		INPUT PARAMETERS						FIRST LINE IS DIRECT INPUT						SECOND LINE IS ORTHOGONALIZED					
		RHO(1)	PHI(1)	N(1)	RHO(2)	PHI(2)	N(2)	RHO(3)	PHI(3)	N(3)	RHO(3)	PHI(3)	N(3)	RHO(3)	PHI(3)	N(3)	2V		
TRI1	76.00	30.00	1.7270	89.00	120.00	120.00	1.7280	14.00	240.00	1.7310	14.00	240.00	1.7310	14.00	240.00	1.7310	60.00		
TRI1	76.00	30.00	1.7270	89.06	120.23	120.23	1.7280	14.03	214.00	1.7310	14.03	214.00	1.7310	14.03	214.00	1.7310	60.09		
TRI2	76.00	90.00	1.7270	89.00	180.00	180.00	1.7280	14.00	300.00	1.7310	14.00	300.00	1.7310	14.00	300.00	1.7310	60.00		
TRI2	76.00	90.00	1.7270	89.06	180.23	180.23	1.7280	14.03	274.00	1.7310	14.03	274.00	1.7310	14.03	274.00	1.7310	60.09		
TRI3	76.00	150.00	1.7270	89.00	240.00	240.00	1.7280	14.00	0.0	1.7310	14.00	0.0	1.7310	14.00	0.0	1.7310	60.00		
TRI3	76.00	150.00	1.7270	89.06	240.23	240.23	1.7280	14.03	334.00	1.7310	14.03	334.00	1.7310	14.03	334.00	1.7310	60.09		
TRI4	76.00	210.00	1.7270	89.00	300.00	300.00	1.7280	14.00	60.00	1.7310	14.00	60.00	1.7310	14.00	60.00	1.7310	60.00		
TRI4	76.00	210.00	1.7270	89.06	300.23	300.23	1.7280	14.03	34.00	1.7310	14.03	34.00	1.7310	14.03	34.00	1.7310	60.09		
TRI5	76.00	270.00	1.7270	89.00	0.0	0.0	1.7280	14.00	120.00	1.7310	14.00	120.00	1.7310	14.00	120.00	1.7310	60.00		
TRI5	76.00	270.00	1.7270	89.06	0.23	0.23	1.7280	14.03	94.00	1.7310	14.03	94.00	1.7310	14.03	94.00	1.7310	60.09		
TRI6	76.00	330.00	1.7270	89.00	60.00	60.00	1.7280	14.00	180.00	1.7310	14.00	180.00	1.7310	14.00	180.00	1.7310	60.00		
TRI6	76.00	330.00	1.7270	89.06	60.23	60.23	1.7280	14.03	154.00	1.7310	14.03	154.00	1.7310	14.03	154.00	1.7310	60.09		

VOLUME FRACTIONS 1.000 0.0 0.0 0.0 0.0 0.0 0.0

RHO PHI N BIREFRINGENCE
A 75.99622 29.99997 1.72700 (B-A) = 0.00100
B 89.05697 120.23514 1.72800 (G-B) = 0.00300
C 14.03680 214.01013 1.73100 (G-A) = 0.00400
2V= 60.08612

EULER ANGLES OF INDICATRIX
THETA= 75.99622 PHI= 209.99997 PSI= 1.33301
GOLDSCHMIDT ANGLES OF OPTIC AXES RHO(1),PHI(1),RHO(2),AND PHI(2)

16.06434 26.95832 44.05679 211.20990

VOLUME FRACTIONS 0.0 1.000 0.0 0.0 0.0 0.0

RHO PHI N BIREFRINGENCE
A 75.99572 90.03073 1.72700 (B-A) = 0.00100
B 89.06658 180.26356 1.72800 (G-B) = 0.00300
C 14.03663 273.99980 1.73100 (G-A) = 0.00400
2V= 60.08613

EULER ANGLES OF INDICATRIX
THETA= 75.99572 PHI= 270.03073 PSI= 1.29408
GOLDSCHMIDT ANGLES OF OPTIC AXES RHO(1),PHI(1),RHO(2),AND PHI(2)

16.06333 87.02007 44.05710 271.22826

Note: This is an actual printout of the output file. The top portion includes the input data before and after orthogonalization. The other two sections shown here represent the kind of information output for each composite grain.

PROGRAM LISTING

```

1      IMPLICIT REAL*8(A-H,O-Z)
2      DIMENSION A(3,3),EIVU(3),EIVR(3,3),U(3),AR(3),BR(3),GR(3)
3      DIMENSION E(6,3,3),R(3),P(3),AL(3),SR(3),SP(3),CR(3),CP(3),D(3),
4      1F(6),AA(3),BB(3),UN(3),Q(3),QQ(3,3)
5      DIMENSION DOMTYP(2),WK(20)
6      REAL*8 LL,LLL
7      DO 5 K=1,20
8      WK(K)=0.0000
9      5 CONTINUE
10     T=0.0174532925
11     IX=3
12     NDIM=3
13     N=3
14     10 NUM=0
15     DO 15 I1=1,6
16     DO 15 I2=1,3
17     DO 15 I3=1,3
18     E(I1,I2,I3)=0.
19     15 CONTINUE
20     20 READ(5,22)DOMTYP,R(1),P(1),AL(1),R(2),P(2),AL(2),R(3),P(3),AL(3)
21     22 FORMAT(2A4,2X,9F7.5)
22     IF(R(1).EQ.-2.) STOP
23     IF(R(1).EQ.-1.) GO TO 90
24     24 READ(5,26)TVOBS
25     26 FORMAT(10X,F6.3)
26     NUM=NUM+1
27     IF(AL(2).EQ.0.) GO TO 40
28     IF(AL(2).EQ.AL(3)) GO TO 35
29     Z=DSQRT((1./AL(1)**2.-1./AL(2)**2.)/(1./AL(2)**2.-1./AL(3)**2.))
30     TV=2.*DATAN(Z)
31     GO TO 45
32     35 TV=0.
33     GO TO 45
34     40 TV=TV*T
35     AL(2)=DSQRT((1.+DTAN(TV/2.)*DTAN(TV/2.))/(1./AL(1)**2.+DTAN(TV/
36     12.)*DTAN(TV/2.)/AL(3)**2.))
37     45 CONTINUE
38     TV=TV/T
39     IF(NUM.EQ.1) WRITE(6,50)
40     50 FORMAT(1H1,24X,'INPUT PARAMETERS      FIRST LINE IS DIRECT INPUT  S
41     1ECOND LINE IS ORTHOGONALIZED'//3X,6HDOMAIN,4X,6HRHO(1),6X,6HPHI(1)
42     2,6X,4HN(1),8X,6HRHO(2),6X,6HPHI(2),6X,4HN(2),8X,6HRHO(3),6X,6HPHI(
43     33),6X,4HN(3),9X,2H2V)
44     WRITE(6,55)DOMTYP,R(1),P(1),AL(1),R(2),P(2),AL(2),R(3),P(3),AL(3)
45     1,TVOBS
46     55 FORMAT(/,1X,2A4,2X,3(2(F7.2,5X),F7.4,5X),F7.2)
47     U1=DSIN(R(1)*T)*DSIN(P(1)*T)
48     U2=DSIN(R(1)*T)*DCOS(P(1)*T)
49     U3=DCOS(R(1)*T)
50     V1=DSIN(R(2)*T)*DSIN(P(2)*T)
51     V2=DSIN(R(2)*T)*DCOS(P(2)*T)

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53      V3=DCOS(R(2)*T)
54      T1=U2*V3-U3*V2
55      T2=U3*V1-U1*V3
56      T3=U1*V2-U2*V1
57      S1=T2*U3-T3*U2
58      S2=T3*U1-T1*U3
59      S3=T1*U2-T2*U1
60      LL=DSQRT(T1*T1+T2*T2+T3*T3)
61      T1=T1/LL
62      T2=T2/LL
63      T3=T3/LL
64      LLL=DSQRT(S1*S1+S2*S2+S3*S3)
65      S1=S1/LLL
66      S2=S2/LLL
67      S3=S3/LLL
68      R(2)=DARCOS(S3)/T
69      P(2)=DATAN2(S1,S2)/T
70      R(3)=DARCOS(T3)/T
71      P(3)=DATAN2(T1,T2)/T
72      DO 60 I=2,3
73      60 IF(P(I).LT.0.) P(I)=360.+P(I)
74      DO 65 I=2,3
75      IF(R(I).EQ.0.) P(I)=0.0
76      IF(R(I).GT.90.) P(I)=180.+P(I)
77      IF(R(I).GT.90.) R(I)=180.-R(I)
78      65 IF(P(I).GT.360.) P(I)=P(I)-360.
79      WRITE(6,70)DOMTYP,R(1),P(1),AL(1),R(2),P(2),AL(2),R(3),P(3),AL(3)
80      1,TV
81      70 FORMAT(1X,2A4,2X,3(2(F7.2,5X),F7.4,5X),F7.2)
82      DO 75 I=1,3
83      SR(I)=DSIN(R(I)*T)
84      CR(I)=DCOS(R(I)*T)
85      SP(I)=DSIN(P(I)*T)
86      CP(I)=DCOS(P(I)*T)
87      75 D(I)=AL(I)**2.
88      DO 80 K=1,3
89      E(NUM,1,1)=E(NUM,1,1)+D(K)*SR(K)*SR(K)*SP(K)*SP(K)
90      E(NUM,1,2)=E(NUM,1,2)+D(K)*SR(K)*SR(K)*SP(K)*CP(K)
91      E(NUM,1,3)=E(NUM,1,3)+D(K)*SR(K)*CR(K)*SP(K)
92      E(NUM,2,1)=E(NUM,1,2)
93      E(NUM,2,2)=E(NUM,2,2)+D(K)*SR(K)*SR(K)*CP(K)*CP(K)
94      E(NUM,2,3)=E(NUM,2,3)+D(K)*SR(K)*CR(K)*CP(K)
95      E(NUM,3,1)=E(NUM,1,3)
96      E(NUM,3,2)=E(NUM,2,3)
97      E(NUM,3,3)=E(NUM,3,3)+D(K)*CR(K)*CR(K)
98      80 CONTINUE
99      GO TO 20
100     90 CONTINUE
101     100 READ (5,101)F(1),F(2),F(3),F(4),F(5),F(6),RN,PN
102     101 FORMAT(8F10.5)
103     IF(F(2).EQ.-1.) GO TO 10
104     DO 105 JJ=1,3
105     DO 105 JJJ=1,3
106     A(JJ,JJJ)=0.
107     105 CONTINUE

```

```

109      DO 110 J1=1,NUM
110      DO 110 J2=1,3
111      DO 110 J3=1,3
112      A(J2,J3)=A(J2,J3)+F(J1)*E(J1,J2,J3)
113      110 CONTINUE
114      IF(RN.EQ.0..AND.PN.EQ.0.) GO TO 150
115      UN(1)=DSIN(RN*T)*DSIN(PN*T)
116      UN(2)=DSIN(RN*T)*DCOS(PN*T)
117      UN(3)=DCOS(RN*T)
118      DO 115 I=1,3
119      115 Q(I)=0.
120      DO 120 I=1,3
121      DO 120 J=1,3
122      120 Q(I)=Q(I)+(E(1,I,J)-E(2,I,J))*UN(J)
123      DO 125 I=1,3
124      DO 125 J=1,3
125      125 QQ(I,J)=Q(I)*Q(J)
126      BO=0.
127      DO 130 I=1,3
128      DO 130 J=1,3
129      130 BO=BO+UN(I)*(F(1)*E(2,I,J)+F(2)*E(1,I,J))*UN(J)
130      DO 135 I=1,3
131      DO 135 J=1,3
132      135 A(I,J)=A(I,J)-F(1)*F(2)*QQ(I,J)/BO
133      150 CALL EAO6C(A,EIVU,EIVR,N,NDIM,IX,WK)
134      DO 166 J=1,3
135      XX=DSQRT(EIVR(1,J)**2+EIVR(2,J)**2+EIVR(3,J)**2)
136      DO 160 I=1,3
137      160 EIVR(I,J)=EIVR(I,J)/XX
138      IF(EIVR(3,J))162,166,166
139      162 DO 164 I=1,3
140      164 EIVR(I,J)=-EIVR(I,J)
141      166 CONTINUE
142      DO 168 I=1,3
143      168 U(I)=DABS(EIVU(I))
144      IF(U(1)-U(2))200,210,210
145      200 IF(U(3)-U(2))220,240,240
146      210 IF(U(3)-U(1))230,250,250
147      220 IF(U(3)-U(1))260,270,270
148      230 IF(U(3)-U(2))280,290,290
149      240 N1=1
150      N2=2
151      N3=3
152      GO TO 300
153      250 N1=2
154      N2=1
155      N3=3
156      GO TO 300
157      260 N1=3
158      N2=1
159      N3=2
160      GO TO 300
161      270 N1=1
162      N2=3
163      N3=2

```

```

165      GO TO 300
166 280 N1=3
167      N2=2
168      N3=1
169      GO TO 300
170 290 N1=2
171      N2=3
172      N3=1
173 300 AS=U(N1)
174      BS=U(N2)
175      GS=U(N3)
176      DO 305 I=1,3
177      AR(I)=EIVR(I,N1)
178      BR(I)=EIVR(I,N2)
179      GR(I)=EIVR(I,N3)
180 305 CONTINUE
181      IF(GS-BS)310,310,312
182 310 W=0.0
183      A1=0.0
184      A2=0.0
185      A3=90.0
186      GO TO 314
187 312 Z=DSQRT((GS*(BS-AS))/(AS*(GS-BS)))
188      VV=2.*DATAN(Z)
189      W=VV/T
190      A1=DATAN2(BR(1),-BR(2))/T
191      A2=DARSIN(BR(3))/T
192      XXX=(-BR(2)*AR(1)+BR(1)*AR(2))/DSQRT(BR(1)**2+BR(2)**2)
193      A3=DARCOS(XXX)/T
194 314 C1=DSQRT(AS)
195      C2=DSQRT(BS)
196      C3=DSQRT(GS)
197      WRITE(6,320)F(1),F(2),F(3),F(4),F(5),F(6)
198 320 FORMAT(//' VOLUME FRACTIONS',5X,6F7.3/)
199      RA=DARCOS(AR(3))/T
200      RB=DARCOS(BR(3))/T
201      RG=DARCOS(GR(3))/T
202      IF(AR(1).EQ.0..AND.AR(2).EQ.0.) GO TO 330
203      IF(BR(1).EQ.0..AND.BR(2).EQ.0.) GO TO 332
204      IF(GR(1).EQ.0..AND.GR(2).EQ.0.) GO TO 334
205      GO TO 336
206 330 PA=0.
207      PB=DATAN2(BR(1),BR(2))/T
208      PC=DATAN2(GR(1),GR(2))/T
209      GO TO 340
210 332 PB=0.
211      PA=DATAN2(AR(1),AR(2))/T
212      PC=DATAN2(GR(1),GR(2))/T
213      GO TO 340
214 334 PC=0.
215      PA=DATAN2(AR(1),AR(2))/T
216      PB=DATAN2(BR(1),BR(2))/T
217      GO TO 340
218 336 PA=DATAN2(AR(1),AR(2))/T
219      PB=DATAN2(BR(1),BR(2))/T

```

```

221      PG=DATAN2(GR(1),GR(2))/T
222 340 IF(PA.LT.0.) PA=360.+PA
223      IF(PB.LT.0.) PB=360.+PB
224      IF(PG.LT.0.) PG=360.+PG
225      PB11=(C2-C1)
226      PB12=(C3-C2)
227      PB13=(C3-C1)
228      WRITE(6,350)
229 350 FORMAT(8X,3HRHO,8X,3HPHI,12X,1HN,6X,13HBIREFRINGENCE)
230      WRITE(6,355)RA,PA,C1,PB11,RB,PB,C2,PB12,PG,C3,PB13,W
231 355 FORMAT(1X,'A',3F12.5,3X,'(B-A) = ',F7.5/,1X,'B',3F12.5,3X,
232 1'(G-B) = ',F7.5/,1X,'G',3F12.5,3X,'(G-A) = ',F7.5/,'2V=',F12.5//)
233      PHI=PA-180.
234      IF(PHI.LT.0.) PHI=360.+PHI
235      THETA=RA
236      PSI=0.
237      IF(RA.EQ.0.) GO TO 360
238      CSP=(DCOS(RB*T)/DSIN(RA*T))/T
239      IF(CSP.GT.1.0) CSP=1.0
240      PSI=DARSIN(CSP)
241 360 WRITE(6,362)THETA,PHI,PSI
242 362 FORMAT(' EULER ANGLES OF INDICATRIX '/,3X,'THETA=',F10.5,'PHI=',
243 1F10.5,'PSI=',F10.5)
244      DO 370 I=1,3
245      AA(I)=AR(I)*DSIN(VV/2.)+GR(I)*DCOS(VV/2.)
246 370 BB(I)=-AR(I)*DSIN(VV/2.)+GR(I)*DCOS(VV/2.)
247      PAA=DATAN2(AA(1),AA(2))/T
248      IF(PAA.LT.0.) PAA=360.+PAA
249      PBB=DATAN2(BB(1),BB(2))/T
250      IF(PBB.LT.0.) PBB=360.+PBB
251      RAA=DARCOS(AA(3))/T
252      RBB=DARCOS(BB(3))/T
253      IF(RAA.GT.90.) PAA=180.+PAA
254      IF(PAA.GT.360.) PAA=PAA-360.
255      IF(RAA.GT.90.) RAA=180.-RAA
256      IF(RBB.GT.90.) PBB=180.+PBB
257      IF(PBB.GT.360.) PBB=PBB-360.
258      IF(RBB.GT.90.) RBB=180.-RBB
259      WRITE(6,380)RAA,PAA,RBB,PBB
260 380 FORMAT(' GOLDSCHMIDT ANGLES OF OPTIC AXES  RHO(1),PHI(1),RHO(2),A
261 1ND PHI(2)',10X,4F10.5)
262      IF(RN.GT.0..AND.PN.GT.0.) WRITE(6,390)RN,PN
263 390 FORMAT(' GOLDSCHMIDT ANGLES OF VECTOR NORMAL TO INTERFACE  RHO A
264 1ND PHI',13X,2F10.5)
265      GO TO 100
266      END
267      SUBROUTINEEA06C(A,VALUE,VECTOR,M,IA,IV,W)
268 C##### 18/05/70 LAST LIBRARY UPDATE
269      REAL*8A(IA,M),VALUE(M),VECTOR(IV,M),W(1)
270      M1=M+1
271      W(1)=A(1,1)
272      IF(M-2)60,10,15
273 10 W(2)=A(2,2)
274      W(4)=A(2,1)
275      GOT060

```

```

277 15  CALLMCO4B(A,W,W(M1),M,IA,W(M+M1))
278 60  CALLEA08C(W,W(M1),VALUE,VECTOR,M,IV,W(M+M1))
279    IF(M.LE.2)RETURN
280    DO56L=1,M
281    DO56I1=3,M
282    I=M-I1+1
283    IF(W(M1+I))57,56,57
284 57  PP=0.
285    I1=I+1
286    DO58K=I1,M
287 58  PP=PP+A(I,K)*VECTOR(K,L)
288    PP=PP/(A(I,I+1)*W(M1+I))
289    DO59K=I1,M
290 59  VECTOR(K,L)=VECTOR(K,L)+PP*A(I,K)
291 56  CONTINUE
292    RETURN
293    END
294  SUBROUTINEMCO4B(A,ALPHA,BETA,M,IA,Q)
295  C##### 27/03/72 LAST LIBRARY UPDATE
296    IMPLICIT REAL*8(A-H,O-Z)
297    DIMENSIONA(IA,1),ALPHA(1),BETA(1),Q(1)
298    ALPHA(1)=A(1,1)
299    DO21J=2,M
300    J1=J-1
301    DO22I=1,J1
302    A(I,J)=A(J,I)
303 22  CONTINUE
304    ALPHA(J)=A(J,J)
305 21  CONTINUE
306    M1=M-1
307    M2=M-2
308    DO11=1,M2
309    PP=0.0
310    I1=I+1
311    DO2J=I1,M
312    PP=PP+A(I,J)**2
313 2  CONTINUE
314    PP1=DSQRT(PP)
315    IF(A(I,I+1))3,5,5
316 5  BETA(I+1)=-PP1
317    GOTO6
318 3  BETA(I+1)=PP1
319 6  IF(PP)1,1,17
320 17  H=PP-BETA(I+1)*A(I,I+1)
321    A(I,I+1)=A(I,I+1)-BETA(I+1)
322    DO7KI=I1,M
323    QJ=0.0
324    DO8KJ=I1,KI
325    QJ=QJ+A(KJ,KI)*A(I,KJ)
326 8  CONTINUE
327    IF(KI-M)19,20,20
328 19  I2=KI+1
329    DO18KJ=I2,M
330    QJ=QJ+A(KI,KJ)*A(I,KJ)
331 18  CONTINUE

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```

333 20  Q(KI)=QJ/H
334 7  CONTINUE
335    BIGK=0.0
336    DO9KJ=11,M
337    BIGK=BIGK+A(I,KJ)*Q(KJ)
338 9  CONTINUE
339    BIGK=BIGK/(2.0*H)
340    DO10KJ=11,M
341    Q(KJ)=Q(KJ)-BIGK*A(I,KJ)
342 10 CONTINUE
343    DO11KI=11,M
344    DO12KJ=KI,M
345    A(KI,KJ)=A(KI,KJ)-Q(KI)*A(I,KJ)-Q(KJ)*A(I,KI)
346 12 CONTINUE
347 11 CONTINUE
348 1  CONTINUE
349    DO23I=2,M
350    H=ALPHA(I)
351    ALPHA(I)=A(I,I)
352    A(I,I)=H
353 23 CONTINUE
354    BETA(M)=A(M-1,M)
355    RETURN
356    END
357  SUBROUTINEEA08C(A,B,VALUE,VEC,M,IV,W)
358  C##### 12/01/76 LAST LIBRARY UPDATE
359    IMPLICIT REAL*8(A-H,O-Z)
360    REAL*8A(M),B(M),VALUE(M),VEC(1),W(1)
361    REALEPS/5.E-7/,A34/0./
362  C  THIS USES QR ITERATION TO FIND THE EIGENVALUES AND EIGENVECTORS
363  C  OF THE SYMMETRIC TRIDIAGONAL MATRIX WHOSE DIAGONAL ELEMENTS ARE
364  C  A(I),I=1,M AND OFF-DIAGONAL ELEMENTS ARE B(I),I=2,M. THE ARRAY
365  C  W IS USED FOR WORKSPACE AND MUST HAVE DIMENSION AT LEAST 2*M.
366  C  WE TREAT VEC AS IF IT HAD DIMENSIONS (IV,M).
367    CALLEA09C(A,B,W(M+1),M,W)
368  C  SET VEC TO THE IDENTITY MATRIX.
369    DO5I=1,M
370    VALUE(I)=A(I)
371    W(I)=B(I)
372    K=(I-1)*IV+1
373    L=K+M-1
374    DO3J=K,L
375 3  VEC(J)=0.
376 5  VEC(K+I-1)=1.
377    K=0
378    IF(M.EQ.1)RETURN
379    DO200N3=2,M
380    N2=M+2-N3
381  C  EACH QR ITERATION IS PERFORMED OF ROWS AND COLUMNS N1 TO N2
382    ROOT=W(M+N2)
383    DO190ITER=1,20
384    BB=(VALUE(N2)-VALUE(N2-1))*0.5
385    CC=W(N2)*W(N2)
386    A22=VALUE(N2)
387    IF(CC.NE.0.0)A22=A22+CC/(BB+(1.0/BB)*BB*DSQR1(BB*BB+CC))

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```

389      DO125 I=1, N2
390      IF (DABS(ROOT-A22) .LE. DABS(W(M+1)-A22)) GOTO125
391      ROOT=W(M+1)
392      W(M+1)=W(M+N2)
393      W(M+N2)=ROOT
394 125   CONTINUE
395      DO130 I=2, N2
396      N1=2+N2-I
397      IF (DABS(W(N1)) .LE. (DABS(VALUE(N1-1))+DABS(VALUE(N1)))*EPS) GOTO140
398 130   CONTINUE
399      N1=1
400 140   IF (N2.EQ.N1) GOTO200
401      N2M1=N2-1
402      IF (ITER.GE.3) ROOT=A22
403      K=K+1
404      A22=VALUE(N1)
405      A12=A22-ROOT
406      A23=W(N1+1)
407      A13=A23
408      DO180 I=N1, N2M1
409      A33=VALUE(I+1)
410      IF (I.NE.N2M1) A34=W(I+2)
411      ZZ=DSQRT(A12*A12+A13*A13)
412      IF (A12.EQ.0.) GO TO 333
413      S=(A12*ZZ)/A12
414      IF (S.NE.0.) GO TO 332
415 333   CONTINUE
416      S=1.0
417 332   SI=A13/S
418      CO=A12/S
419      JK=I*IV+1
420      J1=JK-IV
421      J2=J1+MIN0(M, I+K)-1
422      DO160 J=J1, J2
423      V1=VEC(J1)
424      V2=VEC(JK)
425      VEC(J1)=V1*CO+V2*SI
426      VEC(JK)=V2*CO-V1*SI
427 160   JK=JK+1
428      IF (I.NE.N1) W(I)=S
429      A11=CO*A22+SI*A23
430      A12=CO*A23+SI*A33
431      A13=SI*A34
432      A21=CO*A23-SI*A22
433      A22=CO*A33-SI*A23
434      A23=CO*A34
435      VALUE(I)=A11*CO+A12*SI
436      A12=-A11*SI+A12*CO
437      W(I+1)=A12
438 180   A22=A22*CO-A21*SI
439 190   VALUE(N2)=A22
440      WRITE(6, 195)
441 195   FORMAT(48H1CYCLE DETECTED IN SUBROUTINE EA08 -STOPPING NOW)
442      STOP
443 200   CONTINUE

```

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445 C RAYLEIGH QUOTIENT
446 DO220J=1,M
447 K=(J-1)*IV
448 XX=VEC(K+1)**2
449 XAX=XX*A(1)
450 DO210I=2,M
451 XX=XX+VEC(K+1)**2
452 210 XAX=XAX+VEC(K+1)*(2.*B(I)*VEC(K+1-1)+A(I)*VEC(K+1))
453 220 VALUE(J)=XAX/XX
454 RETURN
455 END
456 SUBROUTINEEA09C(A,B,VALUE,M,OFF)
457 IMPLICIT REAL*8(A-H,O-Z)
458 C##### 16/12/75 LAST LIBRARY UPDATE
459 REAL*8A(M),B(M),VALUE(M),OFF(M)
460 REALA34/O./,EPS/5.0E-7/
461 VALUE(1)=A(1)
462 IF(M.EQ.1)RETURN
463 DO10I=2,M
464 VALUE(I)=A(I)
465 10 OFF(I)=B(I)
466 C EACH QR ITERATION IS PERFORMED OF ROWS AND COLUMNS N1 TO N2
467 MAXIT=10*M
468 DO90ITER=1,MAXIT
469 DO45N3=2,M
470 N2=M+2-N3
471 DO30I1=2,N2
472 N1=2+N2-I1
473 IF(DABS(OFF(N1)).LE.(DABS(VALUE(N1-1))+DABS(VALUE(N1)))*EPS)GOTO40
474 30 CONTINUE
475 N1=1
476 40 IF(N2.NE.N1)GOTO50
477 45 CONTINUE
478 RETURN
479 C ROOT IS THE EIGENVALUE OF THE BOTTOM 2*2 MATRIX THAT IS NEAREST
480 C TO THE LAST MATRIX ELEMENT AND IS USED TO ACCELERATE THE
481 C CONVERGENCE
482 50 BB=(VALUE(N2)-VALUE(N2-1))*0.5
483 CC=OFF(N2)*OFF(N2)
484 SBB=1.
485 IF(BB.LT.0.)SBB=-1.
486 ROOT=VALUE(N2)+CC/(BB+SBB*DSQRT(BB*BB+CC))
487 N2M1=N2-1
488 75 A22=VALUE(N1)
489 A12=A22-ROOT
490 A23=OFF(N1+1)
491 A13=A23
492 DO80I=N1,N2M1
493 A33=VALUE(I+1)
494 IF(I.NE.N2M1)A34=OFF(I+2)
495 S=DSQRT(A12*A12+A13*A13)
496 SI=A13/S
497 CO=A12/S
498 IF(I.NE.N1)OFF(I)=S
499 A11=CO*A22+SI*A23

```

```
501      A12=C0*A23+S1*A33
502      A13=S1*A34
503      A21=C0*A23-S1*A22
504      A22=C0*A33-S1*A23
505      A23=C0*A34
506      VALUE( I )=A11*C0+A12*S1
507      A12=-A11*S1+A12*C0
508      OFF( I+1)=A12
509      80  A22=A22*C0-A21*S1
510      90  VALUE( N2 )=A22
511      WRITE( 6, 100 )
512      100 FORMAT( 39H1LOOPING DETECTED IN EA09-STOPPING NOW )
513      STOP
514      END
```

APPENDIX B

THE DERIVATION OF THE EQUATIONS FOR THE DETERMINATION OF THE VOLUME FRACTIONS OF MULTIDIRECTIONAL INTERGROWTHS

Introduction

The equations to be derived in this appendix are used to determine the volume fraction (percentage of the total volume) of the host domain, H, and of those twin domains, T, that may occur within a composite crystal of zinc sulfide. The twin axes are parallel to <111> directions within the host sphalerite. The basic assumption made in this derivation is that the ratio of the intensities of two diffraction maxima is an approximate measure of the ratio of the volume fractions of the domains contributing to the intensity of the diffraction maxima.

If we let H be the volume fraction of the host domain (domain with the largest volume fraction) and T_i be the volume fraction of the "ith" twin domain, then the ratio of the volume fraction of a twin domain to the sum of the twin volume fraction plus the host volume fraction is:

$$\frac{T_i}{T_i + H} \quad . \quad (B-1)$$

If we let g_i be the intensity of a 111 type reflection which is the result of diffraction from a twin volume fraction, T_i , only; and if h_i represents the intensity of a 111 type reflection along the twin axis which is the result of diffraction from the twin volume fraction and the

host volume fraction; then

$$\frac{g_i}{h_i} = \frac{T_i}{T_i + H} = K_i ,$$

because the domains have essentially the same chemical composition and short range crystal structure.

The sum of the host volume fraction and the twin volume fractions will equal one,

$$H + \Sigma T_i = 1.$$

Therefore,

$$H + T_i = 1 - \Sigma T_{j \neq i} . \quad (B-2)$$

This implies that H can be eliminated from (B-1) by substituting (B-2),

$$\frac{T_i}{T_i + H} = \frac{T_i}{1 - \Sigma T_{j \neq i}} = K_i . \quad (B-3)$$

This implies that

$$T_i = K_i - K_i \Sigma T_{j \neq i} ,$$

which implies

$$T_i + K_i \Sigma T_{j \neq i} = K_i . \quad (B-4)$$

In the case of the zinc sulfides twinning may occur along four symmetry equivalent $\langle 111 \rangle$ directions creating a possibility of four

different twin domains. Applying equation (B-4) to this case:

$$T_1 + K_1 T_2 + K_1 T_3 + K_1 T_4 = K_1 \quad (\text{B-5})$$

$$K_2 T_1 + T_2 + K_2 T_3 + K_2 T_4 = K_2 \quad (\text{B-6})$$

$$K_3 T_1 + K_3 T_2 + T_3 + K_3 T_4 = K_3 \quad (\text{B-7})$$

$$K_4 T_1 + K_4 T_2 + K_4 T_3 + T_4 = K_4, \quad (\text{B-8})$$

four simultaneous equations obtain which can be solved for T_1 , T_2 , T_3 , and T_4 in terms of K_1 , K_2 , K_3 , and K_4 which can be determined from the intensity measurements.

For example, to determine the volume fraction, T_1 , the four simultaneous equations could be solved to obtain

$$T_1 = \frac{K_1 - K_1 K_4}{[(1 - K_1 K_4) - \frac{K_3(1 - K_4)(1 - K_1)}{(K_3 - 1)} - \frac{K_2(1 - K_4)(1 - K_1)}{(K_2 - 1)}]},$$

which is expressed in terms of measureable quantities.

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