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The National Surface Transportation Safety
Center for Excellence

Fatigue Analyses

From 16 months of naturalistic
commercial motor vehicle driving data

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Lighting	Technology
Fatigue	Aging

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EXECUTIVE SUMMARY

Under the sponsorship of the National Surface Transportation Safety Center for Excellence, an existing naturalistic data set from the Drowsy Driver Warning System Field Operational Test (DDWS FOT) was expanded and analyzed to gain a greater understanding of the conditions which are associated with fatigue in commercial motor vehicle (CMV) driving. Specifically, this report describes safety-critical events and baseline epochs identified over a period of 16 months of data gathering. Further, two measures of driver fatigue were implemented and odds ratio calculations were performed to determine whether various driving conditions were associated with an increased estimated relative risk of driver fatigue.

The data reduction and analysis process employed a database of classification variables used to compare four basic types of driving events: crashes (including tire strikes as a separate subcategory), near-crashes, crash-relevant conflicts, and baseline (control) epochs. The frequencies of these events in the current data set were as follows:

- Crashes: 14 + 15 tire strikes = 29 total
- Near-crashes: 120
- Crash-relevant conflicts: 1,068
- Total safety-critical events (i.e., the sum of the above): 1,217
- Baseline epochs: 2,053

Many of the analyses for this report involve examining fatigue measures across all of the driving event categories listed above. Specifically, the fatigue ratings/scores were grouped by whether they were above or below fatigue thresholds when making comparisons of various driving conditions. Therefore, the focus of this report is not to describe the estimated relative risk of safety-critical event involvement, per se, but rather to focus on the estimated relative risk of whether experiencing fatigue is more likely given certain driving conditions.

Methods

The data gathering from commercial trucks occurred in a naturalistic driving environment during normal operations. The participant sample included two different long-haul operation types (truckload and less-than-truckload) and was intended to be generally representative of the long-haul commercial vehicle driver population.

Forty-six truck tractors operated by three motor carriers were instrumented with data collection equipment. A Data Acquisition System (DAS) was installed in tractors to collect data continuously whenever the instrumented trucks were on and in motion. The DAS consisted of an encased unit housing a computer and external hard drive, dynamic sensors, interface with the existing vehicle network, an “incident box,” and video cameras. Figure 1 shows an example of the encased unit installed under the passenger seat.



Figure 1. Photo. Encased computer and external hard drive installed under the passenger seat.

Three types of data were collected continuously by the vehicle instrumentation: video, dynamic sensor, and audio. The four video cameras were oriented as follows: (i) forward road scene, (ii) backward from driver's face camera, (iii) rearward from the left side of the tractor, and (iv) rearward from the right side of the tractor. Figure 2 displays the camera views and approximate fields-of-view. Low-level infrared lighting (not visible to the driver) illuminated the vehicle cab so drivers' faces and hands could be viewed via the camera during nighttime driving. No cameras or other sensors were mounted on trailers. Therefore, there was no recorded view directly behind the truck and trailer, although following vehicles could usually be partially seen in the rearward side view cameras. The limited number of cameras, all tractor-mounted, limited the analysis to primarily those events occurring in front and at the sides of the instrumented vehicle.

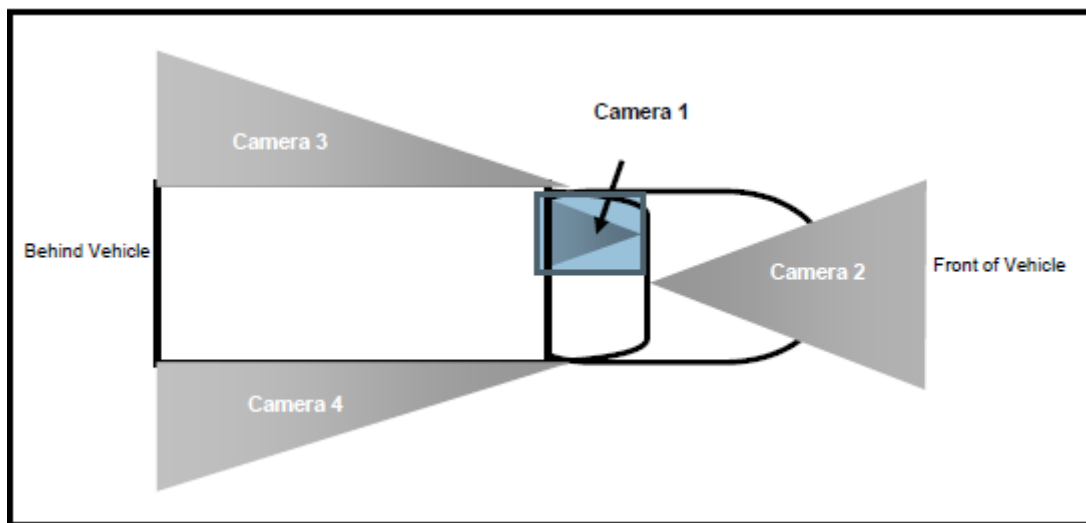


Figure 2. Diagram. Camera directions and approximate fields of view.

As shown in figure 3, the four camera images were multiplexed into a single image. A time-stamp (.mpg frame number) was also included in the .mpg data file but was not displayed on the screen. The frame number was used to time-synchronize the video (in .mpg format) and the truck/performance data (in .dat format).



Figure 3. Photo. Split-screen presentation of the four camera views.

Recorded dynamic data included basic vehicle motion parameters, such as speed, longitudinal acceleration (e.g., indicative of braking levels), and lateral acceleration. Vehicles were also equipped with Global Positioning System (GPS) sensors, lane trackers, and forward-looking radar units. The audio data was from an “incident box” with a push button and microphone for drivers to make verbal comments about traffic incidents. This feature was rarely used by drivers.

There were three primary steps in detecting and classifying safety-critical events: (i) identifying potential events (mostly through the use of an event trigger program), (ii) checking the validity of these triggered events, and (iii) applying a data directory to verified conflict events. To identify events, a software program scanned the dynamic data set to identify notable actions, including hard braking, quick steering maneuvers, and short times-to-collision (close proximity with consideration of both range and range rate). Threshold values of these parameters (or “triggers”) were established to flag events for further review. Events could also be flagged by the driver via the incident button mentioned above. Finally, analysts reviewing the data could fortuitously identify safety-critical events not associated with the above triggers during their general review of the data, but this process was not comprehensive due to the huge size of the data set. Table 1 shows the seven triggers and their event signatures developed for this data.

Table 1. Triggers and trigger values used to identify critical incidents.

Trigger Type	Description
Longitudinal Acceleration	(1) Acceleration or deceleration greater than or equal to $ 0.35g $. Speed greater than or equal to 15 mi/h. (2) Acceleration or deceleration greater than or equal to $ 0.5g $. Speed less than or equal to 15 mi/h.
Time-to-Collision	(3) A forward time-to-collision value of less than or equal to 1.8 s, coupled with a range of less than or equal to 150 ft, a target speed of greater than or equal to 5 mi/h, a yaw rate of less than or equal to $ 4^\circ/s $, and an azimuth of less than or equal to $ 0.8^\circ $. (4) A forward time-to-collision value of less than or equal to 1.8 s, coupled with an acceleration or deceleration greater than or equal to $ 0.35g $, a forward range of less than or equal to 150 ft, a yaw rate of less than or equal to $ 4^\circ/s $, and an azimuth of less than or equal to $ 0.8^\circ $.
Swerve	(5) Swerve value of greater than or equal to 3. Speed greater than or equal to 15 mi/h.
Critical Incident Button	(6) Activated by the driver upon pressing a button, located by the driver's visor, when an incident occurred that he/she deemed critical.
Analyst Identified	(7) Event that was identified by a data reductionist viewing video footage; no other trigger listed above identified the event (i.e., Longitudinal Acceleration, Time-to-Collision, etc.).

Events were then reviewed to ensure that they represented actual safety-significant scenarios. Many events meeting the minimum dynamic trigger criteria were not actual crash threat situations. These were termed “non-conflicts.” Those events judged to be true conflicts, and thus to have safety significance, were classified through the use of a detailed data directory. A detailed and comprehensive data directory of 54 variables and data elements was developed for analyzing events in this data set. This included classification variables relating to each overall event, to the instrumented vehicle or V1 (the truck) and driver, and (to a limited extent) the other involved vehicle/driver (V2) or non-motorist. Most of the variables in the data directory were the same as, or similar to, those used in major national crash databases such as the General Estimates System (GES), the Fatality Analysis Reporting System (FARS), and the Large Truck Crash Causation Study (LTCCS). In some cases, data element choices for some variables were revised to capitalize on the principal advantage of naturalistic driving (i.e., the fact the event could be directly observed as opposed to reconstructed after the fact). These coded data represent the principal content of this report.

By their nature, the configuration of the instrumentation and the event detection routines limited the number of other vehicle encroachments toward instrumented vehicles (i.e., V1) that could be captured. For example, a vehicle (V2) rapidly closing toward the rear of V1's trailer could create a near-crash or other traffic conflict, but this dynamic event would not ordinarily be detected by the instrumented vehicle's sensors or the subsequent data analysis. The study methodology (i.e., instrumentation suite and associated data analysis procedures) differentially detected

instrumented vehicle encroachments toward other vehicles as opposed to other vehicle encroachments toward instrumented vehicles. This differential detection meant that the apportionment of events in the current data set as truck driver-initiated (truck “at fault”) or other driver-initiated (truck “not at fault”) did not represent the universe of such events that occurred in actual driving. However, all events that were detected could be analyzed based on “instant replays” of video data and associated dynamic data recordings of the events. This analysis captured both the observable causal sequences leading to events as well as the conditions and correlates of event occurrence.

Two measures of driver fatigue were employed in this study. The first is a subjective rating whereby trained analysts observed driver faces and behaviors for a 60-second period leading up to each safety-critical event, and for 60 s in baseline epochs. Data analysts coded an Observer Rating of Drowsiness (ORD) on a 100-point scale for each driver using a previously validated methodology. ORD scores ≥ 40 were the criterion for identification of safety-critical events or baseline epochs involving driver drowsiness (Hanowski, Wierwille, Garness, & Dingus, 2000).⁽¹⁾

The second fatigue measure employed was PERCLOS, which is a mathematically defined proportion of a time interval that the eyes are 80 percent to 100 percent closed (Wierwille, Ellsworth, Wreggit, Fairbanks, and Kirn, 1994)⁽²⁾. It is a measure of slow eyelid closure not inclusive of eye blinks. PERCLOS is a valid indicator of fatigue which is significantly correlated with lane departures and lapses of attention, and is considered by some in the transportation safety field to be the “gold standard” of drowsiness measures (Knipling, 1998).⁽³⁾

This study utilized a manual coding scheme for calculating an estimate of PERCLOS, which is referred to in this report as *estimated manual PERCLOS* (EMP). Data analysts would locate an event trigger (or a set point of a baseline epoch), and would rewind the video data by 3 min10 s (1900 syncs; data is gathered at 10Hz, so each sync represents 1/10 of a second). Reductionists would then code EMP sync-by-sync. EMP scores ≥ 12 were the criterion for identification of safety-critical events or baseline epochs involving driver fatigue/drowsiness (Wierwille, Hanowski, Olson et al., 2003).⁽⁴⁾

Using the threshold values for the two fatigue measures, a series of odds ratio calculations were performed to compare the estimated relative risk of drivers experiencing fatigue/drowsiness under particular circumstances (e.g., undivided highways) to the estimated relative risk of the event under other circumstances (e.g., divided highways).

Results

A total of 3,270 safety-critical events and baseline epochs were coded using a data directory (see appendix A) to describe the various driving parameters and were also scored using two measures of driver fatigue when possible. Below is a summary of the fatigue/drowsiness relevant results from this study.

- **Observer Rating of Drowsiness (ORD) Summary:** Drivers were above the ORD fatigue threshold (≥ 40) in 26.4 percent of all the safety-critical events identified in this research. Drivers were above the ORD fatigue threshold in 22.3 percent of the most severe of these safety-critical events (i.e., crashes/near-crashes; $n = 112$). Odds ratio calculations indicated that the estimated relative risk of being involved in a safety-critical event, when compared

to baseline epochs, was 1.93 times greater (LCL = 1.63; UCL = 2.30) when the ORD rating was below the fatigue threshold (i.e., a rating of less than 40).

- **Estimated Manual PERCLOS (EMP) Summary:** Drivers were above the EMP fatigue threshold (≥ 12 percent) in 9.9 percent of all the safety-critical events identified. Drivers were above the EMP fatigue threshold in 16.5 percent of the most severe of these safety-critical events (i.e., crashes/near-crashes; $n = 97$). Odds ratio calculations indicated that the estimated relative risk of being involved in a safety-critical event, when compared to baseline epochs, was 1.70 times greater (LCL = 1.30; UCL = 2.23) when the EMP rating was below the fatigue threshold (i.e., a score of less than 12 percent).
- **DDWS FOT Condition:** The data for this project were leveraged from an on-road evaluation of a DDWS. Drivers were assigned to the experimental group (which received audible warnings when the technology believed they were becoming drowsy) and the control group, (which received no such warning). Perhaps counterintuitively, the odds of a driver in the experimental condition being scored over the fatigue threshold were 1.45 times greater for ORD (LCL = 1.19; UCL = 1.78) and 1.62 times greater for EMP (LCL = 1.17; UCL = 2.25) when compared to control drivers.
- **Day of Week:** When dividing the week into early week (Monday-Wednesday) and late week (Thursday – Sunday), odds ratio calculations revealed no significant differences for having an ORD (OR = 1.13; LCL = 0.93; UCL = 1.36) or EMP (OR = 1.15; LCL = 0.86; UCL = 1.53) score above/below their respective fatigue thresholds when comparing these conditions.
- **Time of Day:** Odds ratio calculations revealed no significant differences for having an ORD score (OR = 1.01; LCL = 0.86; UCL = 1.18) or EMP score (OR = 1.0; LCL = 0.79; UCL = 1.27) above/below their respective fatigue thresholds when comparing a.m. versus p.m. driving. There were also no significant differences for drivers having an ORD (OR = 1.13; LCL = 0.92; UCL = 1.39) or EMP score (OR = 1.14; LCL = 0.83; UCL = 1.55) above/below their respective fatigue thresholds when comparing typical circadian rhythm timeframes with non-circadian rhythm timeframes.
- **Number of Vehicles Involved:** An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 1.79 times greater (LCL = 1.31, UCL = 2.44) when a single vehicle was involved. Similarly, the odds of a driver having an EMP score of 12 or higher were 2.43 times greater (LCL = 1.51, UCL = 3.90) when a single vehicle was involved.
- **Vehicle 2 Position:** There was some discrepancy between the fatigue measures when examining the position of V2 relative to V1 for multiple-vehicle events. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 1.67 times greater (LCL = 1.15, UCL = 2.41) when the Vehicle Position was in front of V1. However, the odds of a driver having an EMP score of 12 or higher were 2.04 times greater (LCL = 1.25, UCL = 3.33) when the Vehicle Position was other than the front of V1.

- **Fault:** An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 2.08 times greater (LCL = 1.39; UCL = 3.13) when Vehicle 1 was at fault. However, an odds ratio calculation showed no significant difference in the odds of a driver having an EMP score being above/below the fatigue threshold when comparing these conditions (OR = 0.63; LCL = 0.37; UCL = 1.06).
- **Safety Belt Use:** An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 1.69 times greater (LCL = 1.35, UCL = 2.11) when the driver was not wearing a safety belt. However, an odds ratio calculation showed no significant difference in the odds of a driver having an EMP score above/below the fatigue threshold when comparing safety belt use (OR = 1.08; LCL = 0.85; UCL = 1.37).
- **Vision Obstructions:** Comparisons were made between when data reductionists noted any obstruction to the driver's vision (e.g., glare) and when no obstruction was noted. Odds ratio calculations revealed no significant difference in the odds of ORD scores (OR = 1.44; LCL = 0.89; UCL = 2.31) or EMP scores (OR = 1.33; LCL = 0.63; UCL = 2.78) being above/below their respective fatigue thresholds when comparing these conditions.
- **Potential Distractions:** Comparisons were made between when data reductionists noted any potential distractions to the driver (e.g., cell phone use) and when no such distractions were noted. Odds ratio calculations revealed no significant difference in the odds of ORD scores (OR = 1.10; LCL = 0.86; UCL = 1.40) or EMP scores (OR = 0.82; LCL = 0.56; UCL = 1.22) being above/below their respective fatigue thresholds when comparing these conditions.
- **Light Condition:** An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 3.89 times greater (LCL = 3.26; UCL = 4.65) when the light condition was dark, as opposed to daylight. Likewise, the odds of a driver having an EMP score of 12 or higher were 2.14 times greater (LCL = 1.67; UCL = 2.76) when the light condition was dark as opposed to daylight. However, when comparisons were made between dark versus dark but lighted conditions, no significant odds ratio differences were found for ORD scores (OR = 1.21; LCL = 0.87; UCL = 1.68) or EMP scores (OR = 1.18; LCL = 0.73; UCL = 1.89) being above/below their respective fatigue thresholds.
- **Weather:** Odds ratio comparisons revealed no significant differences in fatigue above/below threshold for ORD scores (OR = 1.00; LCL = 0.74; UCL = 1.37) or EMP scores (OR = 1.05; LCL = 0.66; UCL = 1.67) when comparing situations where no adverse weather conditions were present to situations where any adverse weather conditions were present.
- **Roadway Surface Conditions:** Odds ratio comparisons revealed no significant differences in fatigue above/below threshold for ORD scores (OR = 1.01; LCL = 0.63; UCL = 1.59) or EMP scores (OR = 1.14; LCL = 0.74; UCL = 1.77) when comparing situations where the road surface was dry to those when the surface was other than dry.
- **Relation to Junction:** Calculations revealed that the odds of a driver having an ORD score of 40 or higher were 7.33 times greater (LCL = 5.66, UCL = 9.49) when the situation was

not junction-related compared to intersection/intersection-related. The odds of a driver having an EMP score of 12 or higher were 1.95 times greater (LCL = 1.26; UCL = 3.02) when the situation was not junction-related compared to intersection/intersection-related. No significant differences were found in fatigue scores being above/below threshold for ORD scores (OR = 1.25; LCL = 0.82; UCL = 1.90) or EMP scores (OR = 1.03; LCL = 0.50; UCL = 2.12) when comparing intersection-related events to those occurring on an entrance/exit ramp.

- **Trafficway Flow:** The odds of a driver having an ORD score of 40 or higher were 1.28 times greater (LCL = 1.04., UCL = 1.58) when the Trafficway Flow was divided compared to undivided. However, an odds ratio calculation revealed no significant difference in the odds of EMP scores (OR = 1.23; LCL = 0.86; UCL = 1.77) being above/below the fatigue threshold when comparing these conditions.
- **Number of Travel Lanes:** Across all road types, the odds of a driver having an ORD score of 40 or higher were 1.78 times greater (LCL = 1.48, UCL = 2.12) when there were 1-2 lanes compared to 3 or more lanes. Similarly, the odds of a driver having an EMP score of 12 or higher were 1.78 times greater (LCL = 1.37, UCL = 2.33) when there were 1-2 lanes, as compared to 3 or more lanes. When looking at undivided highways only, the odds of a driver having an ORD score of 40 or higher were 1.58 times greater (LCL = 1.02, UCL = 2.45) when there were 1-2 lanes compared to 3 or more lanes. However, there was no significant difference in the odds of a driver having an EMP score above/below the fatigue threshold under these conditions (OR = 0.63; LCL = 0.24; UCL = 1.65). When looking at divided highways and one-way traffic, the odds of a driver having an ORD score of 40 or higher were 1.87 times greater (LCL = 1.54, UCL = 2.28) when there were 1-2 lanes compared to 3 or more lanes. However, the odds of a driver having an EMP score of 12 or higher were 1.83 times greater (LCL = 1.38, UCL = 2.43) when there were 3 or more lanes compared to 1-2 lanes.
- **Roadway Alignment:** Odds ratio calculations revealed no significant difference in the odds of ORD scores (OR = 1.10; LCL = 0.82; UCL = 1.49) or EMP scores (OR = 0.68; LCL = 0.46; UCL = 1.01) being above/below their respective fatigue thresholds when comparing straight roadway conditions to curved roadway conditions.
- **Roadway Profile:** The odds of a driver having an ORD score of 40 or higher were 2.66 times greater (LCL = 1.84, UCL = 3.84) when the roadway was level, as compared to graded roadways. However, an odds ratio calculation revealed no significant difference in the odds of EMP scores being above/below the fatigue threshold when comparing these conditions (OR = 0.64; LCL = 0.39; UCL = 1.04).
- **Traffic Density:** An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 2.44 times greater (LCL = 1.73, UCL = 3.43) when the traffic density was in the lower condition (LOS A or B). However, an odds ratio calculation revealed no significant difference in the odds of EMP scores being above/below the fatigue threshold when comparing these conditions (OR = 1.66; LCL = 0.99; UCL = 2.77).

- **Construction Zones:** Odds ratio calculations revealed no significant difference in the odds of ORD scores (OR = 1.16; LCL = 0.71; UCL = 1.90) or EMP scores (OR = 2.50; LCL = 0.90; UCL = 6.92) being above their respective fatigue thresholds when comparing construction zone-related driving to non-construction zone-related driving.
- **Vehicle Pre-Event Speed:** When examining all events and baselines, the odds of a driver having an ORD score of 40 or higher were 1.73 times greater (LCL = 1.47, UCL = 2.05) when the Vehicle Pre-Event Speed was ≥ 55 mi/h when compared to 54 mi/h or less. Similarly, the odds of a driver having an EMP score of 12 or higher were 1.56 times greater (LCL = 1.21, UCL = 2.01) when the Vehicle Pre-Event Speed was ≥ 55 mi/h as compared to 54 mi/h or less. When examining single-vehicle events only, the odds of a driver having an ORD score of 40 or higher were 1.38 times greater (LCL = 1.13, UCL = 1.69), and the odds of having an EMP score of 12 or higher were 1.58 times greater (LCL = 1.19, UCL = 2.08) when the Vehicle Pre-Event Speed was ≥ 55 mi/h. When examining multiple-vehicle events only, the odds of a driver having an ORD score of 40 or higher were 1.43 times greater (LCL = 1.04, UCL = 1.97) when the Vehicle Pre-Event Speed was ≥ 55 mi/h as compared to 54 mi/h or less. However, an odds ratio calculation revealed no significant difference in the odds of EMP scores being above/below the fatigue threshold when comparing these conditions (OR = 1.34; LCL = 0.73; UCL = 2.46).

Discussion

The DDWS FOT is the largest CMV naturalistic driving study ever conducted by the United States Department of Transportation. Forty-six trucks were instrumented and 103 CMV drivers participated in this study, resulting in almost 46,000 driving-data hours covering 2.3 million miles traveled. More than one-quarter million data, video, and ASCII text files were gathered (279,600 files total), which represent approximately 12 TB of data from video and dynamic sensor files. Using in-house computer software, VTTI researchers scanned the data to identify and validate triggers indicative of safety-critical events. A total of 1,217 valid safety-critical events were identified (14 crashes, 15 crash: tire-strikes, 120 near-crashes, and 1,068 crash-relevant conflicts). In addition, 2,053 baseline driving epochs were selected and validated for comparison purposes.

The objective of the present study was to utilize this large data set to explore driving conditions associated with driver fatigue. Two independent measures of fatigue were implemented using video data. The ORD measure is a subjective procedure by which data analysts observed drivers' facial features and behavior for one minute prior to an event trigger (or randomly selected baseline epoch) to rate drowsiness on a scale from 0-100 (with 100 representing "extremely drowsy"). Ratings greater than or equal to 40 were considered indicative of fatigue. EMP is a somewhat more objective measure whereby data analysts manually coded whether the drivers' eyes were open or 80-100 percent closed (non-inclusive of rapid eye blinks) at 1/10 of a second for three minutes prior to an event trigger (or randomly selected baseline epoch). This manual coding would then be used to produce a percentage of time the eyes were 80-100 percent closed for that time interval. EMP scores of greater than or equal to 12 percent were considered indicative of fatigue.

When examining all of the safety-critical events identified in this study for which ORD could be completed, 26.4 percent of them included an ORD score above the fatigue threshold. Examining the most severe of these safety-critical events (i.e., crashes/near-crashes), 22.3 percent were above the fatigue threshold. These results are comparable to those found in previous naturalistic studies. For example, Dingus et al. (2006)⁽⁵⁾ found that fatigue was a contributing factor in 20 percent of 82 crashes and 16 percent of 761 near-crashes captured in the naturalistic “100-Car” study. Also, Hanowski et al. (2000)⁽¹⁾ identified fatigue as a contributing factor in 21 percent of 249 safety-critical incidents identified in a naturalistic study with local/short-haul truck drivers.

When examining all of the safety-critical events identified in this study for which EMP could be completed, 9.9 percent of them included an EMP score above the fatigue threshold. Examining the most severe of these safety-critical events (i.e., crashes/near-crashes), 16.5 percent were above the fatigue threshold. While an EMP value of 12 percent or more was used in the current study as the fatigue threshold based on the findings and recommendations of Wierwille, Hanowski, Olson, et al. (2003)⁽⁴⁾, other research involving the evaluation of DDWS technology has used the PERCLOS value of 8 percent to give drivers an initial advisory tone alert warning them they are approaching a full warning at the PERCLOS fatigue threshold of 12 percent (Wierwille, Hanowski, Olson, et al, 2003⁽⁴⁾; Hanowski, Blanco, Nakata, et al., in press⁽⁶⁾). It is interesting that when looking at total safety-critical events in the current study, those with an EMP score of 8 percent or more represented 20.9 percent of these cases. When examining crashes/near-crashes, those with an EMP score of 8 percent or more represented 23.7 percent of these cases. When using this more liberal EMP fatigue threshold of 8 percent or more, the percentage of those above threshold are again comparable to previous research whereby fatigue is identified as a contributing factor in approximately 20 percent of safety-critical events.

Furthermore, when data reductionists gave their impression of contributing factors to safety-critical incidents in this study, 21.4 percent of crashes and 15.8 percent of near-crashes had fatigue/drowsiness listed as a possible contributing factor. These assessments were made independently of the ORD and EMP scores.

The results of the ORD, EMP, and possible contributing factors measures in this study provide further support for the findings that fatigue/drowsiness is associated with a significant proportion of safety-critical events.

The odds of experiencing a safety-critical event, when compared to baseline epochs, were greater when the ORD and EMP scores were below their respective thresholds. This is expected since a majority of the safety-critical incidents occurred while the driver was alert. One possible explanation for this is that drivers were more likely to be involved in a safety-critical event, when compared to baseline, given higher traffic density. An odds ratio calculation revealed that the odds of a driver experiencing a safety-critical event, when compared to baseline epochs, were 7.16 times greater when the traffic density variable was coded between LOS C-F, as opposed to the lower traffic density of LOS A-B. This makes sense since one would assume a greater safety risk when there are more vehicles on the road. In terms of fatigue, it may be the case that as drivers are in conditions where more traffic is present, their level of alertness is higher given the greater amounts of stimuli. This is supported by the finding that drivers were 2.44 times more likely to have an ORD score above threshold when the traffic density was low (LOS A-B) as

opposed to high (LOS C-F). Also, drivers were at greater relative risk for experiencing fatigue when on 1-2 lane roads as opposed to larger roads, which can accommodate more traffic.

Finally, when considering safety-critical events, the finding that one has greater odds of having a fatigue score over threshold when only a single vehicle was involved supports this line of reasoning.

Some of the other results of this study indicate that lower levels of stimuli in the driving environment may be associated with greater fatigue. For example, the estimated relative risk of fatigue was greater on level roads, non-junction-related road segments, and roads where a driver could travel at greater speeds. CMV drivers often drive long hours on interstates and highways that provide little or no scenery or other stimuli to help keep the driver alert.

The data for this project were leveraged from an on-road evaluation of a DDWS. Drivers were assigned to the experimental group, which received audible warnings when the technology believed they were becoming drowsy, and the control group, which received no such warning. Perhaps counter-intuitively, the odds of a driver in the experimental condition being scored as over the fatigue threshold were 1.45 times greater for ORD and 1.62 times greater for EMP when compared to control drivers. One possible explanation for this finding involves the concept of risk compensation (Peltzman, 1975).⁽⁷⁾ *Risk compensation* is based on the notion that people are presumed to regulate their behavior to compensate for changes in perceived risk. In other words, since the drivers in the experimental condition knew their level of fatigue was being monitored by a machine that would alert them if they were becoming drowsy, they may have felt more comfortable driving while fatigued given this “safety net”.

Another interesting finding was that odds ratio calculations showed no significant differences for having an ORD or EMP score above the fatigue threshold when comparing a.m. versus p.m. driving. There were also no significant differences for having an ORD or EMP score above the fatigue threshold when comparing typical circadian rhythm timeframes with non-circadian rhythm timeframes. A possible explanation for this finding is that the study sample consisted of professional drivers who condition themselves and prepare to be awake and alert while holding somewhat unusual work schedules (e.g., early morning/late evening driving). So, it is possible that the drivers’ rest and sleep schedules differed so much that any differences in fatigue scores for a.m. versus p.m. or circadian rhythm versus non-circadian rhythm time frames were washed out. However, when considering light conditions, drivers had a greater estimated relative risk of being over the fatigue thresholds for ORD and EMP during dark conditions when compared to daylight conditions.

Future directions for NSTSCE fatigue research are described at the end of this report.

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LIST OF ABBREVIATIONS AND SYMBOLS

CDLIS	Commercial Driver's License Information System
CMV	Commercial Motor Vehicle
DART	Data Analysis and Reduction Tool
DAS	Data Acquisition System
DDWS FOT	Drowsy Driver Warning System Field Operational Test
DFM	Driver Fatigue Monitor
DOT	U.S. Department of Transportation
EMP	Estimated Manual PERCLOS
FARS	Fatality Analysis Reporting System
FMCSA	Federal Motor Carrier Safety Administration
GES	General Estimates System
GPS	Global Positioning System
HV	Heavy Vehicle
LA	Longitudinal Acceleration
LCL	Lower Confidence Level
LOS	Level of Service
LTCCS	Large Truck Crash Causation Study
LV	Light Vehicle
MCMIS	Motor Carrier Management Information System
NHTSA	National Highway Traffic Safety Administration
NSTSCE	National Surface Transportation Safety Center for Excellence
ORD	Observer Rating of Drowsiness
PAR	Police Accident Report
PERCLOS	A mathematically defined proportion of a time interval that the eyes are 80 percent to 100 percent closed
TTC	Time-to-collision
UCL	Upper Confidence Level
VTTI	Virginia Tech Transportation Institute

CHAPTER 1. INTRODUCTION*

Crashes involving large trucks constitute a significant risk to the driving public as well as a significant occupational risk to truck drivers. According to the National Highway Traffic Safety Administration's Traffic Safety Facts report (NHTSA, 2007) (8), 385,000 large trucks (weighing over 10,000 lb each) were involved in vehicle crashes in the United States during 2006. Fatalities occurred in 4,732 of these large truck crashes, taking the lives of 4,995 individuals. In addition, a total of 106,000 non-fatal injuries were reported. While there are myriad contributing factors to crashes, research indicates driver fatigue is an important area of focus.

It is important to note that the terms *fatigue* and *drowsiness* are often used interchangeably in the literature. However, a distinction between the terms is made at times, and this distinction is evident by comparing the definitions below.

Fatigue is defined as “a state of reduced physical or mental alertness which impairs performance” (Williamson et al., 1996, p. 709).⁽⁹⁾ Another definition provided by Dinges (1995; p. 42)⁽¹⁰⁾ is “a neurobiological process directly related to the circadian pacemaker in the brain and to the biological sleep need of the individual”. Dinges further states that fatigue is something all humans experience, noting that it cannot be prevented by any “known characteristics of personality, intelligence, education, training, skill, compensation, motivation, physical size, strength, attractiveness, or professionalism” (1995; p. 42).⁽¹⁰⁾

Drowsiness is defined as the “inclination to sleep” (Stutts, Wilkins, & Vaughn, 1999)⁽¹¹⁾ and is also commonly referred to as “sleepiness”. As noted above, fatigue is a reduced state of mental or physical alertness that impairs performance. Fatigue can occur without actually being drowsy; therefore, *fatigue* and *drowsiness* are not exactly synonymous. Where fatigue is the result of physical or mental exertion, drowsiness may result from boredom, lack of sleep, hunger, or other factors.

While the authors of this report understand the distinction between the two terms, in this report, “fatigue” and “drowsiness” will be used interchangeably as is often done in the transportation safety literature. However, the meaning of these terms for the purposes of this report is more concurrent with the formal definition of “drowsiness” (i.e., “sleepiness”).

Fatigue is a major area of concern in ground transportation safety. It is a condition which crosses all driving domains (i.e., heavy and light vehicles; commercial and private use), affects all drivers at some point, and is a contributing factor in a significant number of crashes. For example, the National Sleep Foundation's (2005)⁽¹²⁾ “Omnibus Sleep in America Poll” found that 60 percent of those interviewed (N = 1,455) reported driving while drowsy in the past year, while 37 percent admitted to falling asleep at the wheel in the past year. Other studies, both in the U.S. and abroad, have found similar results (Maycock, 1997; McCartt, Ribner, Pack, & Hammer, 1996; Sagberg, 1998).^(13,14,15)

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Researchers at the Virginia Tech Transportation Institute (VTTI) conducted the “100-Car Study” which recorded naturalistic data on 100 vehicles (241 primary and secondary drivers) over a period of 13 months, covering approximately 2 million vehicle miles of driving behavior (Dingus et al., 2006).⁽⁵⁾ Analyses indicated fatigue was a contributing factor in 20 percent of 82 crashes and 16 percent of 761 near-crashes.

While fatigue is prominent for all types of vehicle operators, the nature of commercial motor vehicle (CMV) operations puts these professional drivers at increased risk. CMV operators may drive up to 11 hours continuously before taking a break, often drive at night, and sometimes have irregular and unpredictable work schedules. Much of their mileage is compiled during long trips on Interstate and other divided highways. Because of their greater mileage exposure and other factors, CMV drivers’ risk of being involved in a fatigue-related crash is far greater than that of non-commercial drivers. For example, in a study of 593 randomly selected long-distance truck drivers, 47.1 percent reported having fallen asleep at the wheel of their truck, while 25.4 percent admitted falling asleep at the wheel in the past year (McCartt, Rohrbaugh, Hammer, & Fuller, 2000).⁽¹⁶⁾

In an investigation of 182 fatal-to-the-driver CMV crashes over a one-year period, researchers at the Transportation Safety Board (1990)⁽¹⁷⁾ determined the most frequently cited probable cause was fatigue (57 crashes or 31 percent). In a naturalistic study of local/short-haul truck drivers, Hanowski et al. (2000)⁽¹⁾ identified fatigue as a contributing factor in 21 percent of 249 critical incidents. These findings suggest driver fatigue is an important area to continue studying, especially among CMV operators.

Understanding the nature of fatigue-related critical safety events requires a systematic approach to evaluate the entire driving situation, including driver characteristics (e.g., age), environmental parameters (e.g., road type, time of day, presence of other vehicles and other drivers’ behavior), vehicle factors (e.g., vibrations); and organizational policies and practices (e.g., hours-of-service regulations). Unfortunately, most fatigue-related studies have investigated the situation post- hoc, or after the fact, which relies heavily on assumptions and (perhaps faulty) memory.

Additionally, many past studies investigating the role of fatigue in crashes are limited in the number and type of variables available for analysis (e.g., no objective measures of speed, steering wheel movement, and driver behavior before the crash). A solution to this problem is to conduct naturalistic studies in which objective data on the driver, vehicle, and driving environment are recorded in real time during regular operations.

By conducting naturalistic studies, researchers can view and code critical safety events, including observable aspects of driver errors and other behaviors which lead to the events. This includes unsafe pre-event behaviors such as speeding or tailgating, as well as specific driver errors resulting in incidents.

VTTI specializes in using technology to conduct naturalistic driving studies. Technicians at VTTI equip vehicles with video cameras and other instrumentation to continuously record various performance data, driver behavior, and the driving environment. By obtaining these data, researchers can view crashes and near-crashes and associated variables/behaviors as they occur in real time, thus eliminating the need to rely on the memory of the driver or other assumptions.

This report describes the analysis of 16 months of CMV naturalistic driving data. Specifically, a total of 1,217 safety-critical incidents and 2,053 baseline epochs were identified and coded in terms of the driving parameters (e.g., time of day, road type, assignment of fault, etc.) and the driver's level of fatigue was measured/rated based on two fatigue scoring methods. This report provides descriptive statistics of each safety-critical event, and the fatigue measurements were used to determine the odds of experiencing fatigue in various conditions. The next two sections of this report describe the database utilized for these analyses, as well as the impetus for the current study.

CHAPTER 2. THE DROWSY DRIVER WARNING SYSTEM FIELD OPERATIONAL TEST (DDWS FOT)

Under the sponsorship of NHTSA, VTTI investigated the safety benefits of a drowsy driver warning system (DDWS) for CMV drivers under naturalistic driving conditions (Hanowski et al., in press).⁽⁶⁾ The primary objective of the DDWS FOT was to determine the safety benefits and operational capabilities, limitations, and characteristics of a DDWS that monitors drivers' drowsiness. The evaluation occurred in a naturalistic driving environment in which data were collected from commercial drivers driving trucks in normal operations. The participant sample included two different long-haul operations types (truckload and less-than-truckload) and was intended to be generally representative of the long-haul commercial vehicle truck driver population.

The DDWS FOT yielded approximately 20 terabytes of continuously recorded data, making it the largest known on-road study ever conducted by the U.S. Department of Transportation (DOT). In addition to data directly related to the DDWS, the project collected extensive normative data on driving conditions and safety-critical traffic events. Several reports describing the results of the first 12 months of driving data from the DDWS FOT are available for further information (Hanowski, Blanco, Nakata, et al., 2005; Hickman, Knipling, Olson, et al., 2005).^(18,19) Given the large amount of data collected for the DDWS FOT, this database is an excellent resource for data mining and exploring various topics in the realm of CMV driving safety. The stakeholders for the National Surface Transportation Safety Center for Excellence (NSTSCE) recognized the usefulness of this large data set and commissioned the present study involving exploration of various environmental variables and their relation to fatigue.

CHAPTER 3. NATIONAL SURFACE TRANSPORTATION SAFETY CENTER FOR EXCELLENCE

The NSTSCE at VTTI was established by the Federal Public Transportation Act of 2005 to develop and disseminate advanced transportation safety techniques and innovations in both rural and urban communities.

The mission of NSTSCE is defined as using state-of-the-art facilities, including the Virginia Smart Road, to develop and test transportation devices and techniques that enhance driver performance, examine advanced roadway delineation and lighting systems, address age-related driving issues, and address fatigued driver issues.

The current report describes the research activities and results of the first year of NSTSCE's fatigue-related efforts, which involved leveraging data from the aforementioned DDWS FOT study. This present study involved: (i) updating the DDWS FOT database to include an additional four months of naturalistic driving data; (ii) identifying and coding the driving parameters for safety-critical incidents and baseline driving epochs within this previously unanalyzed set of data (and providing descriptive statistics to identify the frequency and percentage of various conditions identified in the data); (iii) performing two independent measures of driver fatigue for each safety-critical event and baseline epoch identified in the entire DDWS FOT database (when possible); and (iv) calculating odds ratio calculations for a variety of driver and environmental variables to gain an understanding of variables associated with fatigue in CMV driving.

OVERVIEW OF CMV DRIVER FATIGUE ANALYSIS

The most fundamental analyses in the current study were descriptions and comparisons of instances where driver fatigue ratings were below versus above their relative thresholds. Descriptions of fatigue-related events and baseline epochs provided information on the characteristics and conditions associated with drowsy driving (e.g., wet versus dry, light versus dark, divided versus undivided highways).

The odds ratio is an estimate of relative risk, which is calculated by comparing the odds of some outcome (e.g., fatigue rating above or below threshold) occurring given the presence of some predictor factor, condition, or classification (e.g., daylight versus dark). It is usually a comparison of the presence of a condition to its absence (e.g., fatigued and non-fatigued). Odds ratios of "1" indicate that the outcome is equally likely to occur given the condition. An odds ratio greater than "1" indicates that the outcome is more likely to occur given the condition.

Odds ratios of less than "1" indicate that the outcome is less likely to occur (Pedhazur, 1997).⁽²⁰⁾ The odds ratio figures presented in this report are accompanied by a lower confidence level (LCL) and upper confidence level (UCL). An odds ratio is considered statistically significant if the confidence level range does not include 1.0.

SUMMARY

This report describes data that were leveraged off of the DDWS FOT during 16 months of naturalistic data gathering. The current NSTSCE report assesses: (i) the descriptive analysis of heavy-vehicle safety events and baseline epochs, and (ii) the odds of driver fatigue given various

driving parameters. It should be noted that this report does not represent all variables coded or data collected in the DDWS FOT, but is a specific analysis of the relation of fatigue to various driving parameters.

CHAPTER 4. METHODOLOGY

The DDWS FOT Task 1 report (Preliminary Analysis Plan; Hanowski et al., 2004)⁽²¹⁾ and Task 2 report (Analysis Specification; Knipling et al., 2004)⁽²²⁾ contain extensive information on the project methodology. The information provided below is intended to provide an overview.

PARTICIPANTS AND SETTING

Drivers from all three fleets participating in this study were volunteers selected based on the following qualifications: (i) a significant proportion of their driving was at night, (ii) they did not wear glasses while driving, (iii) they had a low risk of dropping out or leaving the company, and

(iv) they passed vision and hearing tests. These qualifications were important for the original DDWS FOT study because the DDWS device being tested did not work in the daytime or with drivers wearing glasses.

This report includes data from 103 drivers (99 percent male, 1 percent female) who completed the required number of weeks in data collection or withdrew from the study for one reason or another (e.g., terminated from the participating fleet). Each driver had a Class A Commercial driver's license. The mean age of drivers was 39.95 years old (Range = 24–60 years old). Sixty-seven drivers identified themselves as Caucasian (65.1 percent), 30 African-American (29.1 percent), one Asian-American (1 percent), three Native-American (2.9 percent), and one Hispanic American (1 percent). This sample was relatively diverse and similar to that in an

American Trucking Association (2005)⁽²³⁾ sponsored study which reported that 29.1 percent of truck drivers were minorities and 4.6 percent of truck drivers are women. Participants reported driving a CMV for an average of 127.6 months (Range = 16-504 months). Data were collected for a total of 34,230 hours of driving time (Mean hours per driver = 423.6 hours; Range = 14–892 hours). It was estimated that drivers drove a total of 2.5 million miles during those hours.

Drivers were employed at one of three fleets across nine different locations. Fleets A and B were line-haul operations, whereby a driver typically returns to the home base once per 24-hour period (five days per week). For example, these drivers may take their truck out in the evening of Day 1, drive to their delivery location, deliver their load, and return to their home base the morning of Day 2. They would leave again the evening of Day 2 and repeat the process to complete their work week. Fleet C was involved in over-the-road truckload operations. For the over-the-road drivers, a typical schedule may include starting on Sunday evening and returning to their home base the following Friday afternoon.

PROCEDURES

On-Road Methods

Data collection was conducted on-the-job while the drivers drove their instrumented trucks on normal business. All drivers were informed that downloading data from the trucks and Actigraph watches was conducted by a researcher (approximately) once per week at the fleet distribution center, whereby VTTI researchers swapped the hard drive (i.e., removed the current hard drive and replaced it with a new hard drive). To help ensure successful data collection, a researcher from VTTI regularly checked the data acquisition system (DAS). This DAS check included a

frame of the video to help ensure that the cameras were operating properly. Data collection continued until the driver completed the required number of weeks of data collection (after 10-14 weeks of driving).

When data collection was completed, the driver was thanked for his/her participation, and signed a payment sheet. A check was mailed to the driver a few weeks after completing data collection. Drivers received \$20 for completing the screening process, \$30 for completing the Informed Consent form, \$75 for each week driving an instrumented truck, and an additional \$250 for completing the required number of weeks driving an instrumented truck. After payment was complete, the next participant began his/her time in the instrumented truck. This rotation cycle continued until all drivers participated.

DATA COLLECTION PROCESS

There were three forms of data being collected by the DAS: (i) video, (ii) dynamic performance, and (iii) audio. Data were continuously collected at approximately 4 MB/min. Each driver drove for approximately 60 h in a seven-day period. Assuming that all 103 drivers drove for 10- 14 weeks, there was the potential for approximately 20 terabytes of data to be collected in the DDWS FOT. This was likely a high estimate, as the trucks and the DAS experienced occasional breakdowns and were not in service for the entire year-long data collection period.

Forty-six trucks were instrumented with the DAS. Each truck was driven by three to five different drivers for 10-14 weeks each. To ensure that enough hard drive space was available aboard the trucks, each truck had a 60 to 100 GB stationary hard drive capable of storing several weeks of data. A separate removable hard drive was also part of the DAS. The data from the stationary hard drive was periodically copied to the removable hard drive. A researcher periodically removed this hard drive (e.g., weekly) and replaced it with a clean removable hard drive.

Data Acquisition System (DAS)

The DAS consisted of a Pentium-based computer that received and stored data from a network of sensors distributed around the vehicle. Data were stored on the system's external hard drive, which could store several weeks of driving data before it needed to be replaced. The DAS consisted of five major components, including: (i) an encased unit that housed the computer and external hard drive, (ii) dynamic sensors, (iii) a vehicle network, (iv) an incident box, and (v) video cameras. Each component was active when the ignition system of the vehicle was activated. Therefore, the data were collected continuously whenever the truck was on and in motion.

A software program called *Loki* was developed by VTTI to coordinate the data collection from the different sensor components and to integrate the data into a specific DAS output file called the Truck Performance Data file. The encased unit that housed the computer and external hard drive was installed under the passenger seat or in the truck's rear cargo department. Figure 4 and figure 5 show examples of the encased unit installed under the passenger seat and in the truck's rear cargo compartment, respectively. The organization of the DAS components is illustrated in figure 6. More specific details regarding the DAS components are described below.



Figure 4. Photo. Encased computer and external hard drive installed under the passenger seat.



Figure 5. Photo. Encased computer and external hard drive installed in the truck's rear storage compartment.

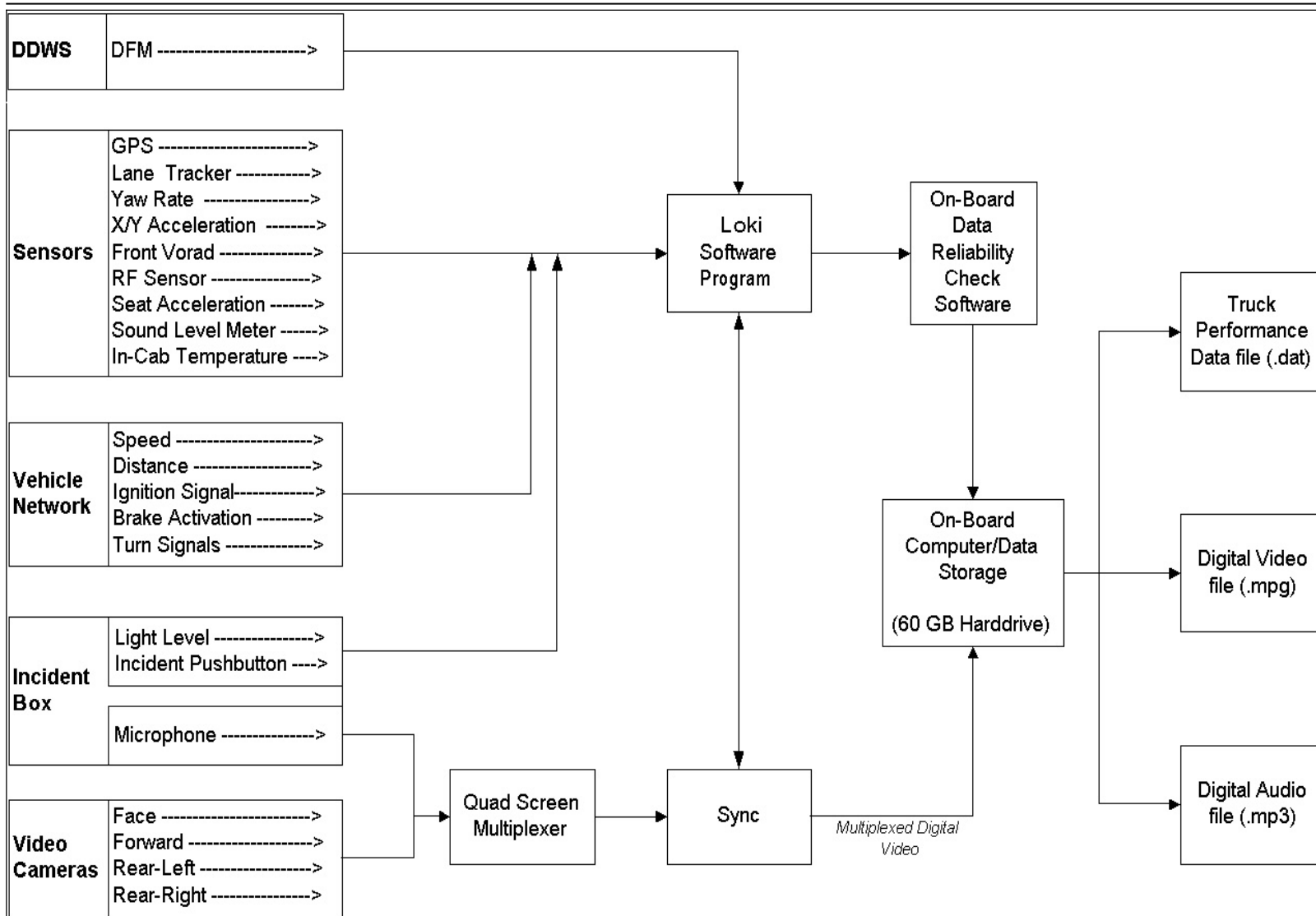


Figure 6. Illustration. Arrangement of the data collection and storage components.

The DAS (including the video cameras, sensor components, and computer and external hard drive) became active when the ignition system of the vehicle was activated. The system remained active and recorded data as long as the engine was on and the vehicle was in motion. The system shut down in an orderly manner when the ignition was turned off. The system paused if the vehicle ceased motion for 15 min or longer.

There were three main DAS output files: (i) truck dynamic performance data file, (ii) digital video, and (iii) audio. These files were stored on the DAS's external hard drive. The truck performance file contained the driver input measures (e.g., lateral and longitudinal acceleration, braking, etc.) and the truck measures (e.g., global positioning system [GPS], light level, etc).

The digital video file contained the continuous video recorded during the run (a sample frame is shown in Figure 10). The audio file resulted from the driver pressing the Critical Incident Button.

Dynamic Sensors

Global Positioning System (GPS)

A GPS device was included in the DAS and was used primarily for tracking the instrumented vehicles. Data output included measures of latitude, longitude, altitude, horizontal and vertical velocity, heading, and status/strength of satellite acquisition.

Yaw Rate

A yaw rate (gyro) sensor was included in the DAS and provided a measure of steering instability (i.e., jerky steering movements).

X/Y Accelerometer

Accelerometers instrumented in the truck were used to measure longitudinal (x) and lateral (y) accelerations.

Front VORAD

A radar-based VORAD forward object detection unit that provided a measure of range to lead vehicles was installed on the front of the truck (see figure 7). From the range measure, range rate and time-to-collision (TTC) were also derived. The VORAD unit was used for passive data collection and did not display information to the driver.



Figure 7. Photo. VORAD unit on the front of the truck.

VEHICLE NETWORK

The Society of Automotive Engineers' J1587 (SAE, 2002)⁽²⁴⁾ defines the format of messages and data that are collected by large truck on-board microprocessors. These microprocessors are installed on the vehicle at the truck manufacturing facility. Thus, the vehicle network refers to a from-the-factory on-board data collection system. Depending upon the truck model, year, and manufacturer, there are several data network protocols or standards that are used with heavy vehicles, including those defined by J1708 (SAE, 1993)⁽²⁵⁾, J1939 (SAE, 2001)⁽²⁶⁾, and J1587 (SAE, 2002)⁽²⁴⁾. An interface was developed to access the data from the network and merge it into the DAS data set. Some of the typical measures found on the vehicle network of most trucks include, but are not limited to: vehicle speed, distance since vehicle start-up, ignition signal, throttle position, and brake pressure. In addition to the truck network measures, other driver input measures that were collected with sensors include right and left turn-signal use and headlight status (on/off).

Incident Box

Light Level

The in-cab ambient illumination level was recorded by a light meter.

Incident Pushbutton

When the driver was involved in a critical incident, he/she was instructed to push a red button on the Incident Box (see figure 8). This button opened an audio channel for 20 s. In this time, the driver provided a verbal report of what occurred.

Microphone

A microphone was instrumented in the Incident Box to record the verbal utterances of the driver when the Incident Pushbutton was activated.



Figure 8. Photo. Incident box used in the DDWS FOT.

Video Cameras

Digital video cameras are used to continuously record the driver and the driving environment. Four video cameras are multiplexed into a single image. The four camera views are: (i) forward, (ii) driver's face, (iii) rear-facing-left, and (iv) rear-facing-right. The forward and rear-facing camera views provide good coverage of the driving environment. The face view provides coverage of the driver's face and will allow researchers to conduct eye glance analysis and *estimated manual PERCLOS* (EMP) assessment. Figure 9 shows the camera direction and approximate fields-of-view for the four cameras.

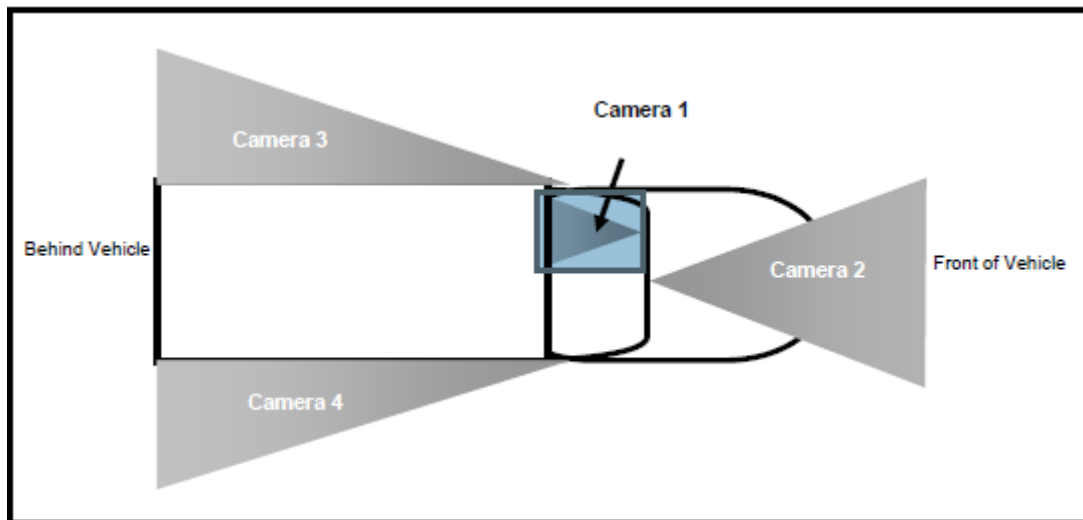


Figure 9. Illustration. Camera directions and approximate fields of view.

As shown in figure 10, the four camera images were multiplexed into a single image. A time-stamp (.mpg frame number) was also included in the .mpg data file but was not displayed on the screen. The frame number was used to time-synchronize the video (in .mpg format) and the truck/performance data (in .dat format).



Figure 10. Photo. Split-screen presentation of the four camera views.

The digital video files did not contain continuous audio. However, as noted previously, the driver can press an Incident Pushbutton and record a verbal comment for 30 s. This audio data is recorded together with the video data.

CHAPTER 5. DATA ANALYSIS AND REDUCTION TOOL SOFTWARE

VTTI programmers developed a data reduction and analysis program to support analyses of VTTI's naturalistic data. The following sections provide details of this software, including screen shots of the user interface.

DATA DIRECTORY

As in the analysis of motor vehicle crashes from police accident reports (PARs), the analysis of other safety-significant events begins with the development and adoption of a data directory listing all variables (e.g., weather) and specific data elements for each variable (e.g., clear, rain, snow, fog, etc.). For the analyses presented in this report, all events were coded based on the data directory and, once coded, comparisons were made on variables or data elements in the directory.

A detailed and comprehensive data directory of variables and data elements can be found in appendix A. The data directory included classification variables relating to each overall event, to the subject vehicle (truck) and driver, and (to a limited extent) to the other involved vehicle/driver or non-motorist. Specification of the data directory was critical since it defined and delimited the possible analyses from the data. The data directory presented in this report was the result of discussions with the Federal Motor Carrier Safety Administration (FMCSA, who sponsored the original data collection for the DDWS FOT) and development by VTTI.

There were three primary steps in performing the data reduction/analysis for the events: (i) running the event trigger program, (ii) checking the validity of the triggered events, and (iii) applying the data directory to the validated events. These steps are described in detail below.

RUNNING THE EVENT TRIGGER PROGRAM

The first step in the data reduction process was to identify events of interest, including crashes, near-crashes, and crash-relevant conflicts. Each of these events may or may not have involved an interaction with another vehicle. To find events of interest, VTTI developed a software program (*Data Analysis and Reduction Tool: DART*) that scanned the data set for notable actions, including hard braking, quick steering maneuvers, short TTCs, and lane deviations. To identify these actions, threshold values ("triggers") were developed. Table 2 displays the seven triggers and their event signatures.

Table 2. Triggers and trigger values used to identify critical incidents.

Trigger Type	Description
Longitudinal Acceleration	(1) Acceleration or deceleration greater than or equal to $ 0.35g $. Speed greater than or equal to 15 mi/h. (2) Acceleration or deceleration greater than or equal to $ 0.5g $. Speed less than or equal to 15 mi/h.
Time-to-Collision (TTC)	(3) A forward TTC value of less than or equal to 1.8 s, coupled with a range of less than or equal to 150 ft, a target speed of greater than or equal to 5 mi/h, a yaw rate of less than or equal to $ 4^\circ/\text{sec} $, and an azimuth of less than or equal to $ 0.8^\circ $. (4) A forward TTC value of less than or equal to 1.8 s, coupled with an acceleration or deceleration greater than or equal to $ 0.35g $, a forward range of less than or equal to 150 ft, a yaw rate of less than or equal to $ 4^\circ/\text{sec} $, and an azimuth of less than or equal to $ 0.8^\circ $.
Swerve	(5) Swerve value of greater than or equal to 3. Speed greater than or equal to 15 mi/h.
Critical Incident Button	(6) Activated by the driver upon pressing a button, located by the driver's visor, when an incident occurred that he/she deemed critical.
Analyst Identified	(7) Event that was identified by a data reductionist viewing video footage; no other trigger listed above identified the event (i.e., Longitudinal Acceleration, TTC, etc.).

These event signatures, or trigger types, were selected based on data collected in the recently completed 100-Car Study (Dingus et al., 2006)⁽⁵⁾ and from examining crash events in the first 12 months of data analyzed for the DDWS FOT study. The first five trigger types are parametric variables but the last two (incident button and analyst-identified) are non-parametric (Yes or No).

CHECKING THE VALIDITY OF THE TRIGGERED EVENTS

The software scanned the data set and potential events of interest were identified for review. A 90-second epoch was created for each identified event; (1 min *prior* to trigger, 30 s *after* trigger). The result of the automatic scan was an event data set that included both valid and invalid events.

Valid events were those events where recorded dynamic-motion values actually occurred and were verifiable in the video and other sensor data from the event (also identified by Critical Incident Button or Analyst Identified). *Invalid* events were those events where sensor readings were spurious due to a transient spike or some other anomaly (false positive). The validity of all events was determined through video review. Events determined to be invalid were not analyzed further. Valid events continued to be analyzed and classified as *conflicts* or *non-conflicts*.

Conflicts were valid events that also represent a traffic conflict (i.e., crash, near-crash, crash-relevant conflict). Non-conflicts were events that did not create safety-significant traffic events, even though their trigger values were valid (“true trigger”). Non-conflicts were analogous to nuisance alarms—where the threshold value for that particular event was set ineffectually. To

reiterate, in non-conflict events, the sensor reading was correct (e.g., the recorded vehicle acceleration occurred), but no actual traffic conflict occurred. Examples of valid events that were non-conflicts include hard braking by a driver in the absence of a specific crash threat or a high swerve value from a lane change not resulting in any loss-of-control, lane departure, or proximity to other vehicles. While such situations sometimes reflected at-risk driving habits and styles, they did not result in a discernible crash-relevant conflict. To determine the validity of the events, data analysts observed the recorded video and data plots of the various sensor measures associated with each 90-second epoch. The vehicle sensor measures, represented in pull-down menus in the software program, are shown in figure 11.

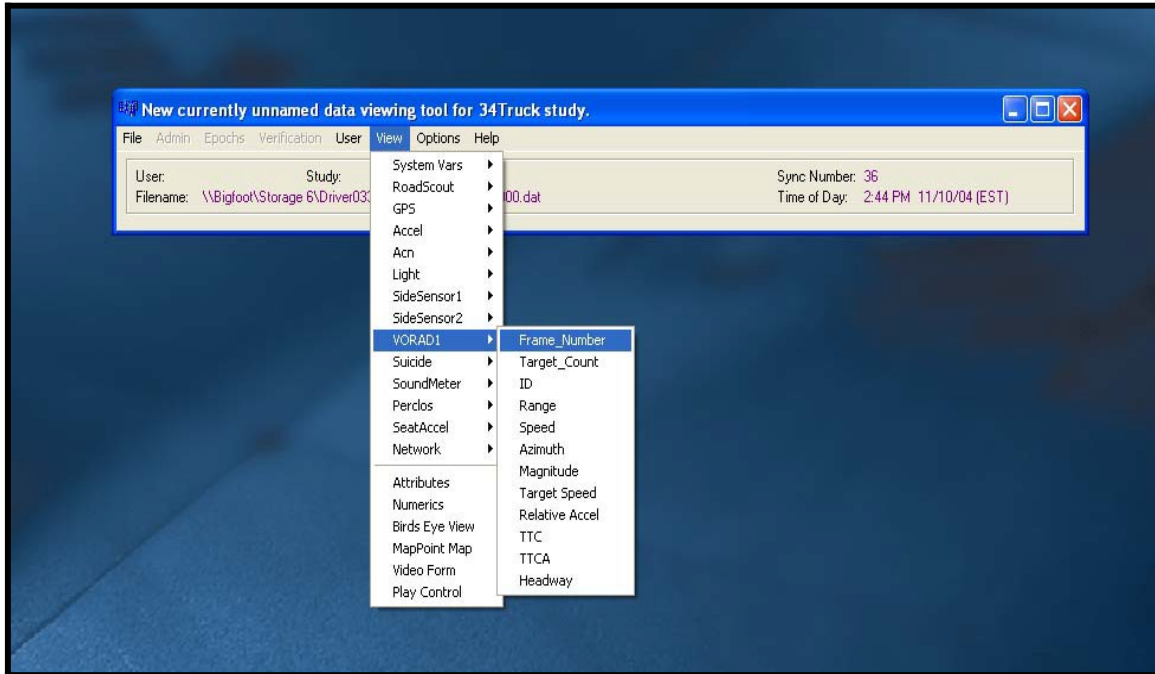


Figure 11. Screen shot. Screen shot of a pull-down menu showing the plots that can be viewed by the analyst to aid in determining the validity of triggered events.

Please note that the lower the trigger values were set, the more false positive events, non-conflict events, and less severe conflicts (i.e., crash-relevant conflicts) occurred. However, setting lower trigger values resulted in relatively few missed events. The goal was to identify all of the most severe events (crashes and near-crashes) without having an unmanageable number of false positive events, non-conflict events, and low-severity conflict events.

Figure 12 shows an example of a valid trigger for Longitudinal Acceleration (LA). In this example, the Trigger Chart shows the trigger at the same point that the Accel_X plot shows the value reached $-0.37g$, indicating a sharp deceleration of the vehicle. For this example, the LA trigger was set at ± 0.35 so anytime the software detected an LA with a magnitude greater than ± 0.35 , a trigger was created. Looking closely at the video in the top right quadrant, a vehicle can be seen in front (and to the right) of the subject vehicle. At this point, a tractor trailer has begun to change lanes directly in the lane in front of the instrumented vehicle, and the driver of the instrumented truck brakes to avoid the truck.

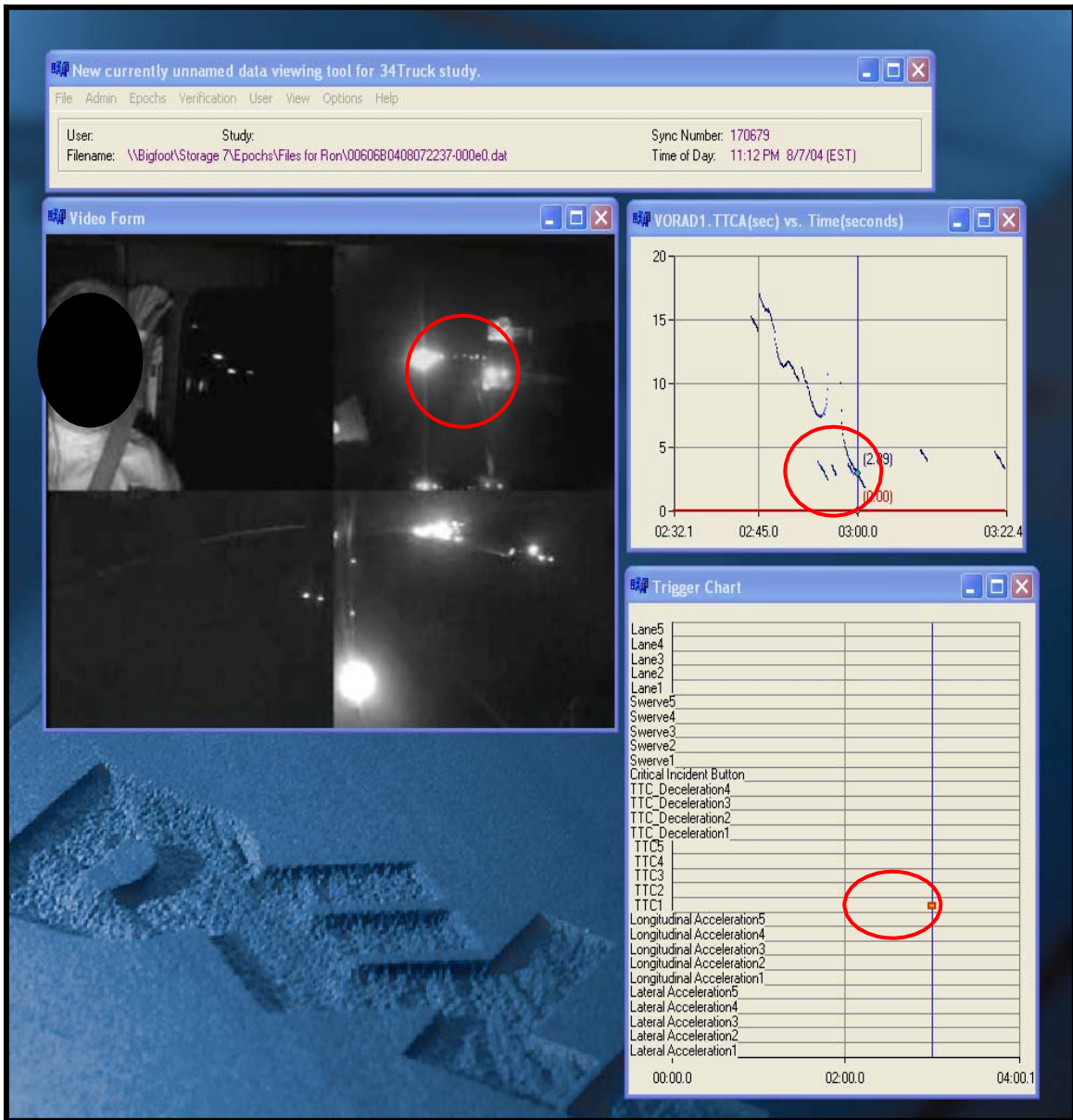


Figure 12. Screen shot. Example of a validated trigger where the LA was of greater magnitude than the pre-set value of $-0.35g$.

Figure 13 shows an example of a non-conflict that had a valid Swerve (quick steering) trigger. During this event, the driver was changing lanes. The Trigger Chart shows that the trigger appeared when the Swerve value reached 3.68 (the value for this trigger was set at ≥ 3.0). After reviewing the video, it was seen that there were no vehicles in front of or to the side of the instrumented vehicle and the driver was simply changing lanes.

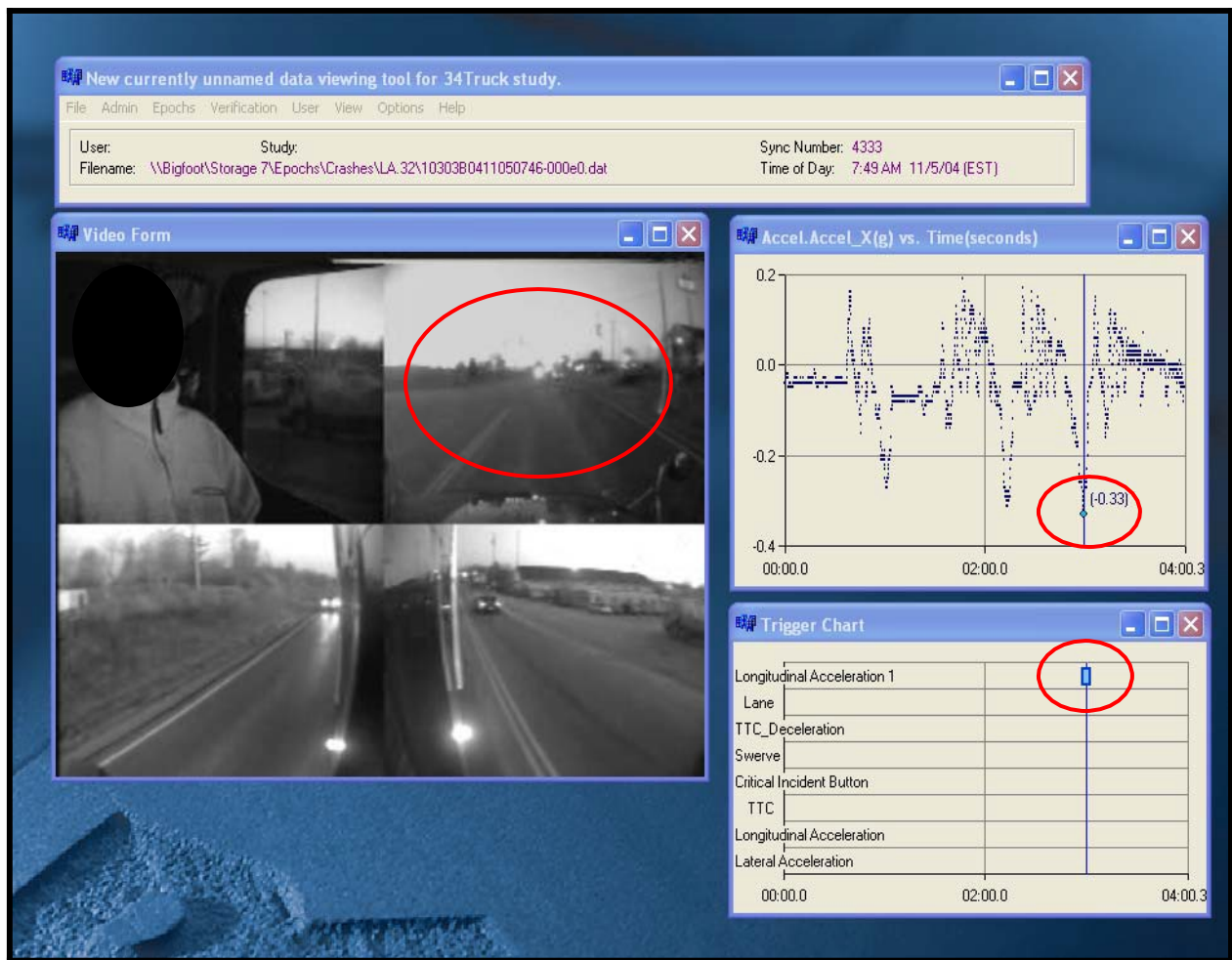


Figure 13. Screen shot. Example of a non-conflict event (with a valid trigger) where the driver's swerve (quick steering) was at 3.68 (trigger set to ≥ 3.0).

APPLYING THE DATA DIRECTORY TO THE VALIDATED EVENTS

As mentioned above, an event coding Data Directory was used to reduce and analyze valid events. The software presented the analyst with a series of variables consisting either of a blank space for entry of specific comments (e.g., Element #52, Event Comments) or provided pull-down menus for the analyst to select the most applicable code (i.e., number corresponding to a data element). Different variables had different coding rules. For most variables, only one code might be selected. For a few variables, however, the analyst could select up to four codes that were applicable. For example, analysts could select multiple Potential Distraction Behaviors (Directory Element 39).

The database software automatically coded many of the variables. These automatically-coded variables reflect data recorded from sensors in the subject vehicle; examples include vehicle number, driver subject number, date, and time. Although these variables were coded automatically, they were listed in the Data Directory to provide readers and reviewers with a full picture of the variables that were available to support analyses of the data.

Baseline Epochs

A random sample of 2,053 baseline epochs, each 60 s in length, was selected for data reduction. Data reductionists used the Data Directory and coded a variety of variables from these 2,053 randomly selected baseline driving epochs or brief driving periods. Ordinarily, one random baseline epoch was selected for each driver-week of data collection. Baseline epochs were described using many of the same variables used to describe safety-critical events. In particular, their conditions of occurrence were recorded. In the current analysis, coded data on the 2,053 baseline epochs were combined with 1,217 safety-critical events.

Drowsiness/Fatigue Measures

In addition to coding events using the data directory, two measures were implemented to assess the driver's level of fatigue based on independent methods. These measures are Observer Rating of Drowsiness (ORD) and EMP, which are described in more detail below.

OBSERVER RATING OF DROWSINESS (ORD)

The procedure for measuring ORD was developed and first used by Wierwille and Ellsworth (1994)⁽²⁷⁾, who demonstrated that ORD could have good intra- and inter-rater reliability.

Data analysts were instructed to watch the driver's face and body language for 1 min prior to the event trigger. As described by Wierwille and Ellsworth (1994)⁽²⁷⁾, signs indicative of drowsiness include rubbing the face or eyes, facial contortions, moving restlessly in the seat, and slow eyelid closures. Data analysts were trained to look for these signs of drowsiness and make a subjective, but specific, assessment of the level of drowsiness. After watching the video data for 1 min prior to an event trigger, data analysts employed a rating scale to record an ORD level (see figure 14). The rating scale used by Wierwille and Ellsworth was printed on paper and analysts in that study marked a point on the horizontal line. In the present study, analysts moved a cursor on a computer monitor to the desired ORD. ORD was recorded using a 100-point continuous rating scale (figure 14) where a number from 0 to 100 was assigned based on the linear position chosen by the analyst. ORD scores of > 40 are considered indicative of fatigue.⁽¹⁾

It should be noted that for the first 12 months of data collected for this project, ORD was scored by a single individual for the 915 safety-critical events and 1,072 baseline epochs. This individual is considered VTTI's resident expert at ORD given her level of experience and the perceived accuracy of her ratings. However, the additional four months of data which were added for the current study followed a somewhat different methodology for arriving at ORD scores for the 302 safety-critical events and 981 baseline epochs identified in this additional portion of data. Three trained raters made independent ORD ratings for each event and baseline epoch, and an average of the three scores was then taken as the ORD score. It is believed that averaging across three raters accounts for some of the inter-rater variability that is common with such subjective measures. Descriptive statistics performed on the three raters' ORD scores showed that they had nearly identical mean ORD ratings across the total number of events/baseline epochs rated (Rater 1 Mean = 38.4, SD = 11.4; Rater 2 Mean = 39.4, SD = 12.2; Rater 3 Mean = 39.1, SD = 12.4).



Figure 14. Screen shot. ORD rating scale used by data analysts (adapted from Wierwille & Ellsworth, 1994).⁽²⁷⁾

00 = Not Drowsy – No signs of being drowsy

25 = Slightly Drowsy – Driver shows minor signs of being drowsy (single yawn, single stretch, droopy eyes for a short period of time); quickly recovers; does not have any apparent impact on vehicle control.

50 = Moderately Drowsy – Driver shows signs of being drowsy (yawns, stretches, moves around in seat, droopy eyes for a slightly longer period of time; minor blinking); takes slightly longer to recover; does not have any apparent impact on vehicle control.

75 = Very Drowsy – Driver shows signs of being drowsy (yawns often, has very heavy/droopy eyes, frequent blinking); duration lasts much longer; does not have any apparent impact on vehicle control.

100 = Extremely Drowsy – Driver shows extreme signs of being drowsy (yawns often, has very heavy/droopy eyes, has trouble keeping eyes open, very frequent blinking); duration lasts much longer; has apparent impact on vehicle control.

EMP

PERCLOS is a mathematically defined proportion of a time interval that the eyes are 80 percent to 100 percent closed (Wierwille et al., 1994).⁽²⁾ It is a measure of slow eyelid closure not inclusive of eye blinks. While an eye blink is typically a very quick closure and re-opening of the eyes, “slow eye closures” are relatively gradual eye movements where the eyelids droop and close slowly. PERCLOS is a valid indicator of fatigue which is significantly correlated with lane departures and lapses of attention.

This study utilized a manual coding scheme for calculating an estimate of PERCLOS, which is referred to in this report as *estimated manual PERCLOS* (EMP).

From the time of the event trigger (or a set point of a baseline epoch), a trained data reductionist would back up the video data by 3 min 10 s (1900 syncs; data is gathered at 10Hz, so each sync

represents 1/10 of a second). Reductionists would then code EMP sync-by-sync using the following operational definitions:

Eyes Open: Eyes are visibly open

Eyes Closed: Eyes are visibly closed or mostly closed (including blinks)

Eyes Not Visible: When the eyes literally cannot be seen (due to obstruction, face out-of-camera view, head turned to monitor mirrors, heavy shadow, etc.)

Rapid eye blinks had to be eliminated from the data when calculating EMP. For the purposes of this analysis, a “blink” was defined as an eye closure of one sync. Anything longer than one sync was considered a slow eye closure and was therefore used in the EMP calculation. Also, if an event had more than 20 percent of the video coded as “Eyes Not Visible”, then an EMP was not performed.

ENSURING DATA CODING ACCURACY AND HIGH INTER-RATERRELIABILITY

To support accurate and consistent coding, a quality control procedure was established for the data coding. A key part of this was the testing of inter-rater reliability among analysts for test cases, with associated refresher training for analysts on difficult or uncertain coding issues. Two “test” events were selected each week and used to assess coding accuracy and inter-rater reliability of the coding. These events included some combination of crashes (if available), near-crashes, and crash-relevant conflicts. Baseline epochs were not used as test events because their codes are a smaller and less problematic subset of the codes for other events. The two “test” events were selected to include a variety of scenarios (e.g., truck-only versus two-vehicle) and potential coding issues (e.g., pre-crash and causation variable coding).

Each of the two test events was coded by three expert analysts (e.g., key project personnel and authors of this report) who came to an agreement on the correct coding for each variable in the Data Directory. Next, each data analyst coded the two events and their codes were compared to those of the expert analysts. The results helped to determine if analysts were correctly coding the events and identified analysts who were making more frequent coding errors. Those analysts received additional training, supervision, and quality control oversight. VTTI used this approach and found that it led to continuous improvement and refinement in the coding rules as well as better quality control of the coding. Analyst judgment always plays a role in the coding of some variables, but the goal is to make all coding guidelines and decision rules as explicit as possible.

These procedures continued throughout the entire data reduction process. Discrepancies in coding by analysts were an indication that either (i) clarifications or revisions were needed in the coding directory or other protocols or (ii) the analyst needed additional training or other corrective guidance regarding coding decisions. Analysts with the highest performance levels were assigned more responsible roles in the study, including quality checking of other analysts. Those with lower performance levels were limited to coding baseline epochs (the easiest coding assignment). Since the coding of some variables is based on analyst judgment (both perception and interpretation of the event), 100 percent agreement and reliability are not realistic goals.

Nevertheless, we believe that the system and procedures employed helped to sustain high coding performance levels during the project.

SUMMARY

The data presented below were based on data analysts' assessments of the video and dynamic sensor data. The data analysts recorded their assessments of the video and dynamic sensor data by using the Data Directory (see appendix A). As described above, the Data Directory contained the list of data variables and elements used to code naturalistic driving events. To a great extent, these variables and data elements were selected to be compatible with major existing crash databases, such as the General Estimates System (GES), the Fatality Analysis Reporting System (FARS), and the Large Truck Crash Causation Study (LTCCS). In addition to established variables from national crash data files, other supplemental variables were included to address particular issues not otherwise addressed. Most of these were also derived from other research sources. For example, a variable on light vehicle - heavy vehicle interaction was from a taxonomy developed by Hanowski, Keisler, and Wierwille (2004).⁽²⁸⁾

Like most crash databases, the Data Directory used for this study was organized into several major categories based on whether the variable applied to the whole event or to one of the drivers/vehicles. For consistency, Vehicle 1 (V1) always refers to the naturalistic driving participant (i.e., the instrumented truck); Vehicle 2 (V2) always refers to the other driver/vehicle/non-motorist. Since much more information was available for V1 than for V2, there are many more variables for V1 than for V2.

CHAPTER 6. RESULTS

The current data were leveraged from the DDWS FOT and include four additional months of data collected under the same study. The current report describes the full DDWS FOT data collection effort from May 2004 to September 2005. Again, this report does not include all the data collected in the DDWS FOT as that study was primarily conducted to evaluate a DDWS. The current data set included a total of 1,217 safety-critical events and 2,053 baseline epochs. Of the 1,217 safety-critical events in the data set, 29 were crashes (15 of these crashes were tire strikes), 120 were near-crashes, and 1,068 were crash-relevant conflicts. Baseline epochs were brief 60-second time periods that were randomly selected from the recorded data set. Baseline epochs were described using many of the same variables and data elements used to describe and classify crashes, near-crashes, and crash-relevant conflicts. As described above, safety-critical events were identified by one of three methods: (i) when dynamic sensor data surpassed a pre-determined criterion, (ii) when the driver pressed the Critical Incident Button, or (iii) Analyst Identified. Table 3 displays the distribution of trigger types in the data set for crashes, tire strikes, near-crashes, and crash-relevant conflicts.

Table 3. Distribution of trigger types.

Trigger Type	Crashes		Crashes: Tire Strike		Near-Crashes		Crash-Relevant Conflicts		Total Safety Critical Events	
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage
Critical Incident Button	0	0.0%	0	0.0%	0	0.0%	1	0.09%	1	0.08%
Longitudinal Acceleration	4	28.6%	6	40.0%	51	42.5%	592	55.3%	653	53.7%
Forward Time-to-Collision	0	0.0%	0	0.0%	2	1.7%	172	16.1%	174	14.3%
Swerve	3	21.4%	2	13.3%	45	37.5%	187	17.5%	237	19.5%
Longitudinal Acceleration & Forward Time-to-Collision	0	0.0%	0	0.0%	4	3.3%	58	5.4%	62	5.1%
Longitudinal Acceleration & Swerve	2	14.3%	0	0.0%	10	8.3%	20	1.9%	32	2.6%
Forward Time-to-Collision & Swerve	0	0.0%	0	0.0%	2	1.7%	29	2.7%	31	2.5%
Longitudinal Acceleration & Swerve & Forward Time-to-Collision	0	0.0%	0	0.0%	2	1.7%	5	0.47%	7	0.58%
Analyst Identified	5	35.7%	7	46.7%	4	3.3%	4	0.37%	20	1.6%
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%

The methodologies employed and analysis of odds ratios will be discussed in greater detail below. To investigate these issues the current study employed a database of classification variables used to compare four basic types of driving events: crashes, near-crashes, crash-relevant conflicts (also termed “incidents”), and baseline (control) epochs. These are defined and discussed below.

Crash. Any contact with an object, either moving or fixed, at any speed. Included other vehicles, roadside barriers, objects on or off of the roadway, pedestrians, pedalcyclists, or animals. The current data set included 29 crashes, of which 15 were tire strikes.

Near-Crash. Any circumstance requiring a rapid, evasive maneuver by the subject vehicle, any other vehicle, pedestrian, pedalcyclist, or animal, to avoid a crash. A rapid, evasive maneuver was defined as a steering, braking, accelerating, or any combination of control inputs that approached the limits of the vehicle capabilities. The current data set included 120 near-crashes.

Crash-Relevant Conflict (“Incident”). Any circumstance that required a crash avoidance response on the part of the subject vehicle, any other vehicle, pedestrian, pedalcyclist, or animal that was less severe than a rapid evasive maneuver (as defined above), but greater in severity than a “normal maneuver” to avoid a crash. A crash avoidance response included braking, steering, accelerating, or any combination of control inputs. During the analysis, the criteria were made more stringent to eliminate false alarms and non-conflict events. The current data set included 1,068 crash-relevant conflicts.

In addition to these three event types, the data reduction included a random sample of short driving time periods (called “epochs”) that functioned much as a control group. This set of events was termed “baseline” epochs and are defined as follows:

Baseline Epochs. Brief 60-second time periods randomly selected from the recorded data set. Baseline epochs were described using many of the same variables and data elements used to describe and classify crashes, near-crashes, and incidents. Examples of such variables include ambient weather, roadway type, and driver distractions. The creation of a baseline data set enabled the study to describe and characterize “normal” driving for the study sample and infer the increased or decreased risk associated with various conditions and driver behaviors and comparisons between the control (baseline) data set and the incident data set. The current data set included 2,053 baseline epochs.

DROWSINESS/FATIGUE

When analyzing video data, data reductionists would first code the driving parameters to describe the driving environment, driver behaviors, etc. This process was completed separately from the ORD and EMP measures. However, when reducing safety-critical events, reductionists would code up to 4 behaviors they believed to be relevant to the occurrence of the event. This reduction question item followed a forced-choice response format, where a list of possible responses was included in a pull-down menu. One of these response options was “Drowsy, sleepy, asleep, fatigued, or other reduced alertness”. Table 4 below shows the number of events in which this behavior/description was chosen as one of the four behaviors.

Table 4. Safety-critical events where the reductionist chose fatigue/drowsiness as a potential contributing factor.

Vehicle 1 Behaviors:	Crashes (n = 14)		Crash: Tire Strike (n = 15)		Near-Crashes (n = 120)		Crash-Relevant Conflicts (n = 1068)		Total Safety-Critical Events (n = 1217)	
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage
Drowsy, sleepy, asleep, fatigued, other reduced alertness	3	21.4%	0	0.0%	19	15.8%	109	10.2%	131	10.8%

Below are descriptive statistics which present an overall picture of the ORD and EMP ratings for this study. It should be noted that, as expected, a portion of events and baseline epochs would not allow for fatigue measurements due to the driver’s eyes and/or facial features being obscured. In general, this was due to the driver wearing sunglasses, poor lighting, or an obstruction such as a CB radio cable. In addition, the EMP calculation required the drivers’ eyes to be visible for at least 80 percent of the calculation period, which resulted in the exclusion of EMP scores for a proportion of the events/baselines. Of the 3,270 safety-critical events and baseline epochs, 582 (17.8 percent) could not be scored for ORD, and 932 (28.5 percent) could not be used to score EMP.

ORD Summary

Appendix B includes a table which shows the descriptive statistics of ORD scores for each driver participant (table 117). This table also includes the number of safety-critical events and baselines analyzed for each driver, and how many of these involved an ORD score ≥ 40 , which is the threshold score for indicating drowsiness (Hanowski, Wierwille, Garness, & Dingus, 2000).⁽¹⁾ Again, ORD is rated on a scale from 0-100, with greater ratings indicating greater subjective ratings of drowsiness as coded by data analysts. Overall (n = 2,338) the ORD mean was 34.8 (SD = 16.0), with a range of 1.4 to 96.

Table 5 shows the frequency and percentage of ORD ratings per event classification, including baseline epochs. *Relative frequency* of safety-critical events is also presented, which is the frequency of safety-critical events for a particular condition when all instances of the condition are taken into account [i.e., total safety-critical events / (total safety-critical events + baselines)]. The highest relative frequencies for safety-critical events occurred when the ORD ratings were below 40, which is considered the threshold for indicating drowsiness (i.e., scores equal to or above 40 indicate the driver is experiencing fatigue; Hickman, Knipling, Olson, et al., 2005)⁽¹⁹⁾. The single highest relative frequency for safety-critical events was for ORD scores of 0 - 9.99 (0.58), while the lowest relative frequency was for ORD scores of 90+ (0.17).

Table 5. Frequency and percentage of ORD scores.

Pre- Event ORD:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq.
0-9.99	5	35.7%	6	40.0%	11	9.2%	41	3.8%	63	5.2%	45	2.2%	0.58
10-19.99	1	7.1%	2	13.3%	20	16.7%	140	13.1%	163	13.4%	202	9.8%	0.45
20-29.99	3	21.4%	1	6.7%	25	20.8%	213	19.9%	242	19.9%	345	16.8%	0.41
30-39.99	1	7.1%	2	13.3%	16	13.3%	214	20.0%	233	19.1%	434	21.1%	0.35
40-49.99	1	7.1%	1	6.7%	14	11.7%	143	13.4%	159	13.1%	385	18.8%	0.29
50-59.99	0	0.0%	0	0.0%	4	3.3%	43	4.0%	47	3.9%	202	9.8%	0.19
60-69.99	1	7.1%	0	0.0%	4	3.3%	20	1.9%	25	2.1%	71	3.5%	0.26
70-79.99	0	0.0%	0	0.0%	0	0.0%	12	1.1%	12	1.0%	29	1.4%	0.29
80-89.99	0	0.0%	0	0.0%	0	0.0%	7	0.7%	7	0.6%	18	0.9%	0.28
90+	0	0.0%	0	0.0%	0	0.0%	1	0.1%	1	0.1%	5	0.2%	0.17
Unknown	2	14.3%	3	20.0%	26	21.7%	234	21.9%	265	21.8%	317	15.4%	0.46
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%	2053	100.0%	

Table 6 below shows descriptive statistics for ORD scores above and below the fatigue threshold of 40 for total safety-critical events and baseline epochs. When only taking into account those events where ORD could be scored (n = 952), drivers were above the ORD fatigue threshold in 26.4 percent of all of the safety-critical events identified.

Table 6. ORD scores above and below fatigue threshold for total safety-critical events and baselines.

ORD	Total Safety-Critical Events		Baseline Epochs		Total
0-39	701	73.6%	1026	59.1%	1727
≥ 40	251	26.4%	710	40.9%	961
Total	952	100.0%	1736	100.0%	2688

Table 7 shows descriptive statistics for ORD scores above and below the fatigue threshold of 40 for the most severe safety-critical events (i.e., crashes/near-crashes) and baseline epochs.

Drivers were above the ORD fatigue threshold in 22.3 percent of the crash/near-crash events where ORD could be scored (n = 112).

Table 7. ORD scores above and below fatigue threshold for crash/near-crash events and baselines.

ORD	Crash/Near-Crash		Baseline Epochs		Total
0-39	87	77.7%	1026	59.1%	1113
≥ 40	25	22.3%	710	40.9%	735
Total	112	100.0%	1736	100.0%	1848

EMP Summary

Appendix B includes a table which shows the descriptive statistics of EMP scores for each driver participant. This table also includes the number of safety-critical events and baselines analyzed for each driver, as well as how many of these involved an EMP score ≥ 12 . Again, PERCLOS is a mathematically defined proportion of a time interval that the eyes are 80 percent to 100 percent closed (Wierwille et al., 1994)⁽²⁾ with greater scores indicating greater fatigue/drowsiness. EMP scores ≥ 12 are considered to be indicative of fatigue (Wierwille, Hanowski, Olson et al., 2003)⁽⁴⁾. Overall, the EMP mean was 5.86 percent (SD = .05), with a range of 0 – 30.6 percent.

Table 8 shows the frequency and percentage of EMP ratings per event classification, including baseline epochs and the relative frequency of safety-critical events. No safety-critical event or baseline epoch indicated that the driver's EMP score was above 30 percent. Of the total instances where EMP scores could be coded, the highest relative frequency occurred for EMP scores of 0 – 3.9 (0.41), which is below the fatigue threshold, while the lowest relative frequency was found for EMP scores of ≥ 12 (0.25).

Table 8. Frequency and percentage of EMP scores.

Pre-Event EMP:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety- Critical Events		Baseline Epochs		Relative Freq
	Count	%	Count	%	Count	%	Count	%	Count	%	Count	%	
0-3.9%	6	66.7%	6	53.3%	47	59.5%	406	57.2%	465	57.5%	668	43.7%	0.41
4-7.9%	1	11.1%	2	13.3%	13	16.5%	158	22.3%	174	21.5%	426	27.8%	0.29
8-11.9%	1	11.1%	0	0.0%	6	7.6%	82	11.5%	89	11.0%	195	12.7%	0.31
$\geq 12\%$	1	11.1%	2	33.3%	13	16.5%	64	9.0%	80	9.9%	241	15.8%	0.25
Total	9	100.0%	10	100.0%	79	100.0%	710	100.0%	808	100.0%	1530	100.0%	

Table 9 below shows descriptive statistics for EMP scores above and below the fatigue threshold of 12 percent for all of the safety-critical events and baseline epochs. When only taking into account those events where EMP could be scored (n = 808), drivers were above the EMP fatigue threshold in 9.9 percent of total safety-critical events.

Table 9. EMP scores above and below fatigue threshold for total safety-critical events and baselines.

EMP	Total Safety Critical Events		Baseline Epochs		Total
0-3.9	465	57.5%	668	43.7%	1133
4-7.9	174	21.5%	426	27.8%	600
8-11.9	89	11.0%	195	12.7%	284
≥ 12	80	9.9%	241	15.8%	321
Total	808	100.0%	1530	100.0%	2338

Table 10 shows descriptive statistics for EMP scores above and below the fatigue threshold of 12 percent for the most severe safety critical events (i.e., crashes/near-crashes) and baseline epochs. Drivers were above the EMP fatigue threshold in 16.5 percent of the crash/near-crash events where EMP could be scored (n = 97).

Table 10. EMP scores above and below fatigue threshold for crash/near-crash events and baselines.

EMP	Crash/Near-Crash		Baseline Epochs		Total
0-3.9	59	60.8%	668	43.7%	727
4-7.9	15	15.5%	426	27.8%	441
8-11.9	7	7.2%	195	12.7%	202
≥ 12	16	16.5%	241	15.8%	257
Total	97	100.0%	1530	100.0%	1627

Odds of Safety-Critical Event Involvement Based on Fatigue Score (All Events)

Table 11 and Table 12 show the frequency and percentage of ORD and EMP scores above and below their respective thresholds for total safety-critical events and baseline epochs. Odds ratio calculations indicated that the estimated relative risk of being involved in a safety-critical event, when compared to baseline epochs, was 1.93 times greater (LCL = 1.63; UCL = 2.30) when the ORD rating was below the fatigue threshold (i.e., a rating of less than 40). Similarly, the odds of being involved in a safety-critical event, when compared to baseline epochs, was 1.70 times greater (LCL = 1.30; UCL = 2.23) when the EMP rating was below the fatigue threshold (i.e., a score of less than 12 percent).

Table 11. Frequency and percentage of ORD scores above/below fatigue threshold (all events).

ORD	Total Safety-Critical Events		Baseline Epochs		Total
0-39	701	73.6%	1026	59.1%	1727
≥ 40	251	26.4%	710	40.9%	961
Total	952	100.0%	1736	100.0%	2688

Table 12. Frequency and percentage of EMP scores above/below fatigue threshold (all events).

Pre-Event EMP:	Total Safety-Critical Events		Baseline Epochs		Total
0-11.9	728	90.1%	1289	84.2%	2017
≥ 12	80	9.9%	241	15.8%	321
Total	808	100.0%	1530	100.0%	2338

Odds of Safety-Critical Event Involvement Based on Fatigue Score (Single-Vehicle Events)

Table 13 and table 14 show the frequency and percentage of ORD and EMP scores above and below their respective thresholds for single-vehicle safety-critical events and baseline epochs. An odds ratio calculation revealed no significant difference in the estimated relative risk of experiencing a safety-critical event between ORD above and below the fatigue threshold (OR = 1.26; LCL = 0.94; UCL = 1.67). Similarly, odds ratio calculations indicated no significant difference in the estimated relative risk of experiencing a single-vehicle safety-critical event between EMP above and below fatigue threshold (OR = 1.01; LCL = 0.66; UCL = 1.54). Table 13 & table 14 show the frequency and percentage of ORD and EMP scores above and below their respective thresholds for single-vehicle safety-critical events and baseline epochs. Odds ratio calculations indicated no significant difference in the estimated relative risk of experiencing a safety-critical event between ORD above and below fatigue threshold (OR = 1.26; LCL = 0.94; UCL = 1.67). Similarly, odds ratio calculations indicated no significant difference in the estimated relative risk of experiencing a single-vehicle safety-critical event between EMP above and below fatigue threshold (OR = 1.01; LCL = 0.66; UCL = 1.54).

Table 13. Frequency and percentage of ORD scores above/below fatigue threshold for single-vehicle events.

Pre-Event ORD:	Single-Vehicle Safety-Critical Events		Baseline Epochs		Total
	Count	Percentage	Count	Percentage	
0-39	149	64.5%	1026	59.1%	1175
≥ 40	82	35.5%	710	40.9%	792
Total	231	100.0%	1736	100.0%	1967

Table 14. Frequency and percentage of EMP scores above/below fatigue threshold for single-vehicle events.

Pre-Event EMP:	Single-Vehicle Safety-Critical Events		Baseline Epochs		Total
	Count	Percentage	Count	Percentage	
0-11.9	151	84.4%	1289	84.2%	1440
≥ 12	28	15.6%	241	15.8%	269
Total	179	100.0%	1530	100.0%	1709

Odds of Safety-Critical Event Involvement Based on Fatigue Score (Multiple-Vehicle Events)

Table 15 & table 16 show the frequency and percentage of ORD and EMP scores above and below their respective thresholds for multiple-vehicle safety-critical events and baseline epochs. Odds ratio calculations indicated that the estimated relative risk of being involved in a multiple-vehicle safety-critical event, when compared to baseline epochs, was 2.32 times greater (LCL = 1.90; UCL = 2.83) when the ORD rating was below the fatigue threshold (i.e., score of less than 40). Similarly, odds ratio calculations indicated that the estimated relative risk of being involved

in a multiple-vehicle safety-critical event, when compared to baseline epochs, was 2.23 times greater (LCL = 1.60; UCL = 3.09) when the EMP rating was below the fatigue threshold (i.e., less than 12).

Table 15. Frequency and percentage of ORD scores above/below fatigue threshold for multiple-vehicle events.

Pre-Event ORD:	Multiple-Vehicle Safety-Critical Events		Baseline Epochs		Total
0-39	536	77.0%	1026	59.1%	1562
≥ 40	160	23.0%	710	40.9%	870
Total	696	100.0%	1736	100.0%	2432

Table 16. Frequency and percentage of EMP scores above/below fatigue threshold for multiple-vehicle events.

Pre-Event EMP:	Multiple-Vehicle Safety-Critical Events		Baseline Epochs		Total
0-11.9	560	92.3%	1289	84.2%	1849
≥ 12	47	7.7%	241	15.8%	288
Total	607	100.0%	1530	100.0%	2137

DDWS FOT Condition

As described above, this report leveraged data from the DDWS FOT, which was conducted to evaluate a DDWS. In the DDWS FOT, there were 79 drivers in the experimental condition. The trucks of these drivers were instrumented with a functional DDWS that would provide an audible warning to the driver when the machine could not detect the eyes for a specified amount of time (thus assuming the driver was fatigued/drowsy). Control drivers (n = 24) received no such warnings. Table 17 & Table 18 show the frequency and percentage of ORD and EMP scores above and below their respective thresholds for the two DDWS FOT conditions. Odds ratio calculations indicated that the estimated relative risk of having an ORD score above the fatigue threshold was 1.45 times greater (LCL = 1.19; UCL = 1.78) for the experimental group.

Similarly, the odds of having an EMP score above the fatigue threshold was 1.62 times greater (LCL = 1.17; UCL = 2.25) for the experimental group.

Table 17. Frequency and percentage of ORD scores above and below threshold (DDWS FOT Control versus Experimental).

Condition	ORD ≥ 40		ORD < 40		Total	
Control	167	17.4%	405	23.4%	572	21.3%
Experimental	793	82.6%	1323	76.6%	2116	78.7%
Total	960	100.0%	1728	100.0%	2688	100.0%

Table 18. Frequency and percentage of EMP scores above and below threshold (DDWS FOT Control versus Experimental).

Condition	PERC ≥ 12		PERC < 12		Total	
Control	47	14.6%	439	21.8%	486	20.8%
Experimental	274	85.4%	1578	78.2%	1852	79.2%
Total	321	100.0%	2017	100.0%	2338	100.0%

The sections below present odds ratio comparisons to determine the estimated relative risk of fatigue given certain aspects of the driving environment.

DAY OF WEEK (ALL EVENTS)

Table 19 displays the frequency and percentage of Day of Week per event classification, including baseline epochs and the relative frequency of safety-critical events. It should be noted that there were difficulties with the GPS system in reliably capturing the date/time. As a result, the results below reflect only those events and baselines whereby the date and time were validated.

While relatively few of the safety-critical events occurred on a Sunday, when exposure was accounted for, Sunday had the highest relative frequency of safety-critical events (0.45), followed by Friday (0.41). The lowest relative frequency occurred on Tuesdays (0.31).

Table 19. Frequency and percentage of Day of Week (all events).

Day of Week:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety- Critical Events		Baseline Epochs		Relative Freq
Sunday	1	10.0%	1	8.3%	5	5.8%	65	8.7%	72	8.4%	88	5.8%	0.45
Monday	2	20.0%	2	16.7%	12	14.0%	111	14.9%	127	14.9%	238	15.7%	0.35
Tuesday	1	10.0%	1	8.3%	13	15.1%	122	16.4%	137	16.0%	303	19.9%	0.31
Wednesday	1	10.0%	3	25.0%	22	25.6%	136	18.2%	162	19.0%	311	20.5%	0.34
Thursday	2	20.0%	4	33.3%	15	17.4%	127	17.0%	148	17.3%	252	16.6%	0.37
Friday	1	10.0%	1	8.3%	14	16.3%	141	18.9%	157	18.4%	226	14.9%	0.41
Saturday	2	20.0%	0	0.0%	5	5.8%	44	5.9%	51	6.0%	102	6.7%	0.33
Total	10	100.0%	12	100.0%	86	100.0%	746	100.0%	854	100.0%	1520	100.0%	

Table 20 & Table 21 display the frequency and percentage of Day of Week (Monday- Wednesday versus Thursday - Sunday) which were above and below the fatigue threshold ORD and EMP scores, respectively, for all events. Odds ratio calculations revealed no significant differences for having an ORD (OR= 1.13; LCL = 0.93; UCL = 1.36) or EMP (OR = 1.15; LCL = 0.86; UCL = 1.53) score above/below their respective fatigue thresholds when comparing these conditions.

Table 20. Frequency and percentage of ORD scores above and below threshold (Monday-Wednesday versus Thursday - Sunday; all events & baselines)

Day of Week:	OR ≥ 40		OR < 40		Total	
Mon-Wed	379	55.7%	672	52.8%	1051	53.8%
Thurs-Sun	301	44.3%	601	47.2%	902	46.2%
Total	680	100.0%	1273	100.0%	1953	100.0%

Table 21. Frequency and percentage of EMP scores above and below threshold (Monday-Wednesday versus Thursday - Sunday; all events and baselines).

Day of Week:	PERC ≥ 12		PERC < 12		Total	
Mon-Wed	126	58.1%	830	54.6%	956	55.1%
Thurs-Sun	91	41.9%	689	45.4%	780	44.9%
Total	217	100.0%	1519	100.0%	1736	100.0%

DAY OF WEEK (SINGLE-VEHICLE EVENTS)

Table 22 shows the frequency and percentage of Day of Week for single-vehicle events per event classification, including baseline epochs and the relative frequency of safety-critical events.

Again, while relatively few of the single-vehicle safety-critical events occurred on a Sunday, this day of the week had the highest relative frequency of safety-critical events (0.15). Tuesday also had a relative frequency of 0.15. Wednesdays and Saturdays represented the lowest relative frequency of safety-critical events, each with a value of 0.10. However, it should be noted that the relative frequencies of single-vehicle events were approximately evenly distributed across days of the week.

Table 22. Frequency and percentage of Day of Week (single-vehicle events).

Day of Week:	Crashes		Crash: Tire Strike		Near- Crashes		Crash-Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
Sunday	0	0.0%	1	8.3%	2	5.7%	13	8.4%	16	1.4%	88	5.8%	0.15
Monday	2	25.0%	2	16.7%	6	17.1%	25	16.2%	35	2.9%	238	15.7%	0.13
Tuesday	1	12.5%	1	8.3%	9	25.7%	44	28.6%	55	1.9%	303	19.9%	0.15
Wednesday	1	12.5%	3	25.0%	6	17.1%	23	14.9%	33	7.7%	311	20.5%	0.10
Thursday	2	25.0%	4	33.3%	5	14.3%	20	13.0%	31	4.8%	252	16.6%	0.11
Friday	1	12.5%	1	8.3%	5	14.3%	21	13.6%	28	4.3%	226	14.9%	0.11
Saturday	1	12.5%	0	0.0%	2	5.7%	8	5.2%	11	1.4%	102	6.7%	0.10
Total	8	100.0%	12	100.0%	35	100.0%	154	100.0%	209	24.4%	1520	100.0%	

Table 23 & table 24 display the frequency and percentage of Day of Week (Monday - Wednesday versus Thursday - Sunday) which were above and below the fatigue threshold ORD and EMP scores, respectively, for single-vehicle events only. Odds ratio calculations revealed no significant differences for having an ORD (OR = 1.15; LCL = 0.92; UCL = 1.44) or EMP (OR = 1.58; LCL = 0.48; UCL = 5.23) score above/below their respective fatigue thresholds when comparing these conditions.

Table 23. Frequency and percentage of ORD scores above and below threshold (Monday-Wednesday versus Thursday - Sunday; single-vehicle events).

Day of Week:	OR ≥ 40		OR < 40		Total	
Mon-Wed	327	62.3%	491	59.0%	818	60.3%
Thurs-Sun	198	37.7%	341	41.0%	539	39.7%
Total	525	100.0%	832	100.0%	1357	100.0%

Table 24. Frequency and percentage of EMP scores above and below threshold (Monday-Wednesday versus Thursday - Sunday; single-vehicle events).

Day of Week:	PERC ≥ 12		PERC < 12		Total	
Mon-Wed	12	75.0%	74	65.5%	86	66.7%
Thurs-Sun	4	25.0%	39	34.5%	43	33.3%
Total	16	100.0%	113	100.0%	129	100.0%

DAY OF WEEK (MULTIPLE-VEHICLE EVENTS)

Table 25 shows the frequency and percentage of Day of Week for multiple-vehicle events per event classification, including baseline epochs and the relative frequency of safety-critical events. The highest relative frequency for multiple-vehicle safety-critical events occurred on Fridays (0.46), while the lowest relative frequency occurred on Tuesdays(0.21).

Table 25. Frequency and percentage of Day of Week (multiple-vehicle events).

Day of Week:	Crashes		Crash: Tire Strike		Near- Crashes		Crash-Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
Sunday	1	50.0%	0	0.0%	3	5.9%	52	8.0%	56	7.9%	88	5.8%	0.39
Monday	0	0.0%	0	0.0%	6	11.8%	86	13.2%	92	13.0%	238	15.7%	0.28
Tuesday	0	0.0%	0	0.0%	4	7.8%	78	12.0%	82	11.6%	303	19.9%	0.21
Wednesday	0	0.0%	0	0.0%	16	31.4%	113	17.3%	129	18.3%	311	20.5%	0.29
Thursday	0	0.0%	0	0.0%	10	19.6%	107	16.4%	117	16.6%	252	16.6%	0.32
Friday	0	0.0%	0	0.0%	9	17.6%	180	27.6%	189	26.8%	226	14.9%	0.46
Saturday	1	50.0%	0	0.0%	3	5.9%	36	5.5%	40	5.7%	102	6.7%	0.28
Total	2	100.0%	0	0.0%	51	100.0%	652	100.0%	705	100.0%	1520	100.0%	

Table 26 & table 27 display the frequency and percentage of Day of Week (Monday -Wednesday versus Thursday - Sunday) which were above and below the fatigue threshold ORD and EMP scores, respectively, for multiple events only. Odds ratio calculations revealed no significant differences for having an ORD (OR = 1.04; LCL = 0.68; UCL = 1.59) or EMP (OR = 1.03; LCL = 0.49; UCL = 2.16) score above/below their respective fatigue thresholds when comparing these conditions.

Table 26. Frequency and percentage of ORD scores above and below threshold (Monday-Wednesday versus Thursday - Sunday; multiple-vehicle events).

Day of Week:	OR ≥ 40		OR < 40		Total	
Mon-Wed	52	46.8%	181	45.8%	233	46.0%
Thurs-Sun	59	53.2%	214	54.2%	273	54.0%
Total	111	100.0%	395	100.0%	506	100.0%

Table 27. Frequency and percentage of EMP scores above and below threshold (Monday-Wednesday versus Thursday - Sunday; multiple-vehicle events).

Day of Week:	PERC ≥ 12		PERC < 12		Total	
Mon-Weds	14	46.7%	198	45.9%	212	46.0%
Thurs-Sun	16	53.3%	233	54.1%	249	54.0%
Total	30	100.0%	431	100.0%	461	100.0%

TIME OF DAY (ALL EVENTS)

Table 28 shows the frequency and percentage of Time of Day for all safety-critical events per event classification, including baseline epochs and the relative frequency of safety-critical events. Again, the data below only reflect those events/baselines whereby the Time of Day was validated, and do not reflect the entirety of the data obtained for this study.

The highest relative frequency for all safety-critical events occurred between 14:00 – 14:59 (0.58), while the lowest relative frequency occurred between 2:00 – 2:59 (0.10).

Table 28. Frequency and percentage of Time of Day (all events and baselines).

Time of Day:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety- Critical Events		Baseline Epochs		Relative Freq
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	
0:00 – 0:59	1	10.0%	0	0.0%	5	5.8%	13	1.7%	19	2.2%	65	4.3%	0.23
1:00 – 1:59	0	0.0%	0	0.0%	2	2.3%	7	0.9%	9	1.1%	42	2.8%	0.18
2:00 – 2:59	1	10.0%	0	0.0%	1	1.2%	4	0.5%	6	0.7%	54	3.6%	0.10
3:00 – 3:59	1	10.0%	0	0.0%	2	2.3%	5	0.7%	8	0.9%	48	3.2%	0.14
4:00 – 4:59	0	0.0%	0	0.0%	2	2.3%	7	0.9%	9	1.1%	49	3.2%	0.16
5:00 – 5:59	0	0.0%	0	0.0%	2	2.3%	4	0.5%	6	0.7%	24	1.6%	0.20
6:00 – 6:59	0	0.0%	1	8.3%	3	3.5%	20	2.7%	24	2.8%	43	2.8%	0.36
7:00 – 7:59	0	0.0%	0	0.0%	0	0.0%	16	2.1%	16	1.9%	51	3.4%	0.24
8:00 – 8:59	0	0.0%	0	0.0%	1	1.2%	39	5.2%	40	4.7%	54	3.6%	0.43
9:00 – 9:59	0	0.0%	1	8.3%	6	7.0%	43	5.8%	50	5.9%	50	3.3%	0.50
10:00 – 10:59	0	0.0%	0	0.0%	7	8.1%	42	5.6%	49	5.7%	81	5.3%	0.38
11:00 – 11:59	0	0.0%	1	8.3%	4	4.7%	57	7.6%	62	7.3%	84	5.5%	0.42
12:00 – 12:59	0	0.0%	0	0.0%	11	12.8%	59	7.9%	70	8.2%	75	4.9%	0.48
13:00 – 13:59	0	0.0%	1	8.3%	6	7.0%	51	6.8%	58	6.8%	73	4.8%	0.44
14:00 – 14:59	3	30.0%	2	16.7%	11	12.8%	67	9.0%	83	9.7%	60	3.9%	0.58
15:00 – 15:59	0	0.0%	2	16.7%	4	4.7%	58	7.8%	64	7.5%	88	5.8%	0.42
16:00 – 16:59	2	20.0%	0	0.0%	3	3.5%	66	8.8%	71	8.3%	87	5.7%	0.45
17:00 – 17:59	0	0.0%	1	8.3%	4	4.7%	49	6.6%	54	6.3%	71	4.7%	0.43
18:00 – 18:59	2	20.0%	0	0.0%	3	3.5%	33	4.4%	38	4.4%	72	4.7%	0.35
19:00 – 19:59	0	0.0%	1	8.3%	0	0.0%	35	4.7%	36	4.2%	76	5.0%	0.32
20:00 – 20:59	0	0.0%	2	16.7%	3	3.5%	25	3.4%	30	3.5%	71	4.7%	0.30
21:00 – 21:59	0	0.0%	0	0.0%	5	5.8%	20	2.7%	25	2.9%	71	4.7%	0.26
22:00 – 22:59	0	0.0%	0	0.0%	1	1.2%	14	1.9%	15	1.8%	66	4.3%	0.19
23:00 – 23:59	0	0.0%	0	0.0%	0	0.0%	12	1.6%	12	1.4%	65	4.3%	0.16
Total	10	100.0%	12	100.0%	86	100.0%	746	100.0%	854	100.0%	1520	100.0%	

Table 29 & table 30 display the frequency and percentage of Time of Day (a.m. versus p.m.) which were above and below the fatigue threshold ORD and EMP scores, respectively, for all events and baselines. Odds ratio calculations revealed no significant differences for having an ORD score (OR = 1.01; LCL = 0.86; UCL = 1.18) or EMP score (OR = 1.0; LCL = 0.79; UCL = 1.27) above/below their respective fatigue thresholds when comparing these conditions.

Table 29. Frequency and percentage of ORD scores above and below threshold (a.m. versus p.m.; all events and baselines).

Time of Day:	ORD ≥ 40		ORD < 40		Total	
a.m.	423	44.1%	757	43.8%	1180	43.9%
p.m.	537	55.9%	971	56.2%	1508	56.1%
Total	960	100.0%	1728	100.0%	2688	100.0%

Table 30. Frequency and percentage of EMP scores above and below threshold (a.m. versus p.m.; all events and baselines).

Time of Day:	EMP ≥ 12		EMP < 12		Total	
a.m.	142	44.2%	890	44.1%	1032	44.1%
p.m.	179	55.8%	1127	55.9%	1306	55.9%
Total	321	100.0%	2017	100%	2338	100.0%

The human body functions on a 24-hour circadian rhythm that is driven by light levels in the environment as well as specific physical states such as body temperature, melatonin levels, etc. During a 24-hour period the human body usually experiences two drowsiness-related lows: first during the middle of the night between approximately 12:00 a.m. and 6:00 a.m. and the second in the afternoon between the hours of 2:00 p.m. and 4:00 p.m. (Dingus et al., 2002; Stutts, Wilkins, & Vaughn, 1999; Williamson et al., 1996).^(29, 11, 9) Based on this, Table 31 & table 32 display the frequency and percentage of Time of Day (Circadian Rhythm versus Non-Circadian Rhythm) which were above and below the fatigue threshold ORD and EMP scores, respectively, for all events and baselines. Odds ratio calculations revealed no significant differences for having an ORD score (OR = 1.13; LCL = 0.92; UCL = 1.39) or EMP score (OR = 1.14; LCL = 0.83; UCL = 1.55) above/below their respective fatigue thresholds when comparing these conditions.

Table 31. Frequency and percentage of ORD scores above and below threshold (Circadian Rhythm versus Non-Circadian Rhythm; all events and baselines).

Time of Day:	ORD ≥ 40		ORD < 40		Total	
Circadian Rhythm	197	36.9%	483	34.0%	680	34.8%
Non-Circadian Rhythm	337	63.1%	936	66.0%	1273	65.2%
Total	534	100.0%	1419	100.0%	1953	100.0%

Table 32. Frequency and percentage of EMP scores above and below threshold (Circadian Rhythm versus Non-Circadian rhythm; all events and baselines).

Time of Day:	EMP ≥ 12		EMP < 12		Total	
Circadian Rhythm	64	29.5%	409	26.9%	473	27.2%
Non-Circadian Rhythm	153	70.5%	1110	73.1%	1263	72.8%
Total	217	100.0%	1519	100.0%	1736	100.0%

TIME OF DAY (SINGLE-VEHICLE EVENTS)

Table 33 shows the frequency and percentage of Time of Day for single-vehicle events per event classification, including baseline epochs and the relative frequency of safety-critical events. The highest relative frequency for single-vehicle safety-critical events occurred between 9:00 – 9:59 and between 12:00 – 12:59 (0.21 for both), while the lowest relative frequency (0.04) occurred between 23:00 – 23:59.

Table 33. Frequency and percentage of Time of Day (single-vehicle events).

Time of Day:	Crashes		Crash: Tire Strike		Near-Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Critical Event Relative Freq
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	
0:00 – 0:59	1	16.7%	0	0.0%	2	7.4%	7	4.6%	10	5.1%	65	4.3%	0.13
1:00 – 1:59	0	0.0%	0	0.0%	1	3.7%	5	3.3%	6	3.1%	42	2.8%	0.13
2:00 – 2:59	0	0.0%	0	0.0%	0	0.0%	3	2.0%	3	1.5%	54	3.6%	0.05
3:00 – 3:59	0	0.0%	0	0.0%	2	7.4%	3	2.0%	5	2.6%	48	3.2%	0.09
4:00 – 4:59	0	0.0%	0	0.0%	1	3.7%	5	3.3%	6	3.1%	49	3.2%	0.11
5:00 – 5:59	0	0.0%	0	0.0%	1	3.7%	0	0.0%	1	0.5%	24	1.6%	0.04
6:00 – 6:59	0	0.0%	1	8.3%	0	0.0%	3	2.0%	4	2.0%	43	2.8%	0.09
7:00 – 7:59	0	0.0%	0	0.0%	0	0.0%	7	4.6%	7	3.6%	51	3.4%	0.12
8:00 – 8:59	0	0.0%	0	0.0%	0	0.0%	6	4.0%	6	3.1%	54	3.6%	0.10
9:00 – 9:59	0	0.0%	1	8.3%	1	3.7%	11	7.3%	13	6.6%	50	3.3%	0.21
10:00 –10:59	0	0.0%	0	0.0%	4	14.8%	13	8.6%	17	8.7%	81	5.3%	0.17
11:00 –11:59	0	0.0%	1	8.3%	2	7.4%	9	6.0%	12	6.1%	84	5.5%	0.13
12:00 –12:59	0	0.0%	0	0.0%	5	18.5%	15	9.9%	20	10.2%	75	4.9%	0.21
13:00 –13:59	0	0.0%	1	8.3%	1	3.7%	6	4.0%	8	4.1%	73	4.8%	0.10
14:00 –14:59	3	50.0%	2	16.7%	3	11.1%	6	4.0%	14	7.1%	60	3.9%	0.19
15:00 –15:59	0	0.0%	2	16.7%	1	3.7%	8	5.3%	11	5.6%	88	5.8%	0.11
16:00 –16:59	1	16.7%	0	0.0%	0	0.0%	8	5.3%	9	4.6%	87	5.7%	0.09
17:00 –17:59	0	0.0%	1	8.3%	0	0.0%	9	6.0%	10	5.1%	71	4.7%	0.12
18:00 –18:59	1	16.7%	0	0.0%	1	3.7%	4	2.6%	6	3.1%	72	4.7%	0.08
19:00 –19:59	0	0.0%	1	8.3%	0	0.0%	5	3.3%	6	3.1%	76	5.0%	0.07
20:00 –20:59	0	0.0%	2	16.7%	1	3.7%	7	4.6%	10	5.1%	71	4.7%	0.12
21:00 –21:59	0	0.0%	0	0.0%	1	3.7%	4	2.6%	5	2.6%	71	4.7%	0.07
22:00 –22:59	0	0.0%	0	0.0%	0	0.0%	4	2.6%	4	2.0%	66	4.3%	0.06
23:00 –23:59	0	0.0%	0	0.0%	0	0.0%	3	2.0%	3	1.5%	65	4.3%	0.04
Total	6	100.0%	12	100.0%	27	100.0%	151	100.0%	196	100.0%	1520	100.0%	

Table 34 & table 35 display the frequency and percentage of Time of Day (a.m. versus p.m.) which were above and below the fatigue threshold ORD and EMP scores, respectively, for single-vehicle events only. Odds ratio calculations revealed no significant differences for having an ORD score (OR = 1.46; LCL = 0.74; UCL = 2.86) or EMP score (OR = 0.95; LCL = 0.33; UCL = 2.72) above/below their respective fatigue thresholds when comparing these conditions.

Table 34. Frequency and percentage of ORD scores above and below threshold (a.m. versus p.m.; single-vehicle events).

Time of Day:	ORD ≥ 40		ORD < 40		Total	
a.m.	26	52.0%	46	42.6%	72	45.6%
p.m.	24	48.0%	62	57.4%	86	54.4%
Total	50	100.0%	108	100.0%	158	100.0%

Table 35. Frequency and percentage of EMP scores above and below threshold (a.m. versus p.m.; single-vehicle events).

Time of Day:	EMP ≥ 12		EMP < 12		Total	
a.m.	7	43.8%	51	45.1%	58	45.0%
p.m.	9	56.3%	62	54.9%	71	55.0%
Total	16	100.0%	113	100.0%	129	100.0%

Table 36 & table 37 display the frequency and percentage of Time of Day (Circadian Rhythm versus Non-Circadian Rhythm) which were above and below the fatigue threshold ORD and EMP scores, respectively, for single-vehicle events and baselines. Odds ratio calculations revealed no significant differences for having an ORD score (OR = 1.58; LCL = 0.79; UCL = 3.19) or EMP score (OR = 1.23; LCL = 0.42; UCL = 3.65) above/below their respective fatigue thresholds when comparing these conditions.

Table 36. Frequency and percentage of ORD scores above and below threshold (Circadian Rhythm versus Non-Circadian Rhythm; single-vehicle events and baselines).

Time of Day:	ORD > 40		ORD < 40		Total	
Circadian Rhythm	20	40.0%	32	29.6%	52	32.9%
Non-Circadian Rhythm	30	60.0%	76	70.4%	106	67.1%
Total	50	100.0%	108	100.0%	158	100.0%

Table 37. Frequency and percentage of EMP scores above and below threshold (Circadian Rhythm versus Non-Circadian Rhythm; single-vehicle events and baselines).

Time of Day:	EMP > 12		EMP < 12		Total	
Circadian Rhythm	6	37.5%	37	32.7%	43	33.3%
Non-Circadian Rhythm	10	62.5%	76	67.3%	86	66.7%
Total	16	100.0%	113	100.0%	129	100.0%

TIME OF DAY (MULTIPLE-VEHICLE EVENTS)

Table 38 shows the frequency and percentage of Time of Day for multiple-vehicle events per event classification, including baseline epochs and the relative frequency of safety-critical events. The highest relative frequency for multiple-vehicle safety-critical events occurred between

14:00 – 14:59 (0.49; meaning there were nearly equal numbers of safety-critical events and baseline epochs observed during this timeframe), while the lowest relative frequency occurred between 2:00 – 2:59 (0.02).

Table 38. Frequency and percentage of Time of Day (multiple-vehicle events).

Time of Day:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety- Critical Events		Baseline Epochs		Critical Event Relative Freq
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	
0:00 – 0:59	0	0.0%	0	0.0%	2	3.9%	6	1.0%	8	1.2%	65	4.3%	0.11
1:00 – 1:59	0	0.0%	0	0.0%	0	0.0%	2	0.3%	2	0.3%	42	2.8%	0.05
2:00 – 2:59	0	0.0%	0	0.0%	0	0.0%	1	0.2%	1	0.2%	54	3.6%	0.02
3:00 – 3:59	0	0.0%	0	0.0%	0	0.0%	2	0.3%	2	0.3%	48	3.2%	0.04
4:00 – 4:59	0	0.0%	0	0.0%	0	0.0%	2	0.3%	4	0.6%	49	3.2%	0.08
5:00 – 5:59	0	0.0%	0	0.0%	1	2.0%	4	0.7%	18	2.8%	24	1.6%	0.43
6:00 – 6:59	0	0.0%	0	0.0%	3	5.9%	17	2.9%	12	1.8%	43	2.8%	0.22
7:00 – 7:59	0	0.0%	0	0.0%	0	0.0%	9	1.5%	33	5.1%	51	3.4%	0.39
8:00 – 8:59	0	0.0%	0	0.0%	1	2.0%	33	5.6%	33	5.1%	54	3.6%	0.38
9:00 – 9:59	0	0.0%	0	0.0%	4	7.8%	32	5.4%	33	5.1%	50	3.3%	0.40
10:00 –10:59	0	0.0%	0	0.0%	3	5.9%	29	4.9%	51	7.8%	81	5.3%	0.39
11:00 –11:59	0	0.0%	0	0.0%	2	3.9%	48	8.1%	46	7.1%	84	5.5%	0.35
12:00 – 12:59	0	0.0%	0	0.0%	6	11.8%	44	7.4%	50	7.7%	75	4.9%	0.40
13:00 –13:59	0	0.0%	0	0.0%	5	9.8%	44	7.4%	66	10.1%	73	4.8%	0.47
14:00 –14:59	0	0.0%	0	0.0%	8	15.7%	61	10.3%	58	8.9%	60	3.9%	0.49
15:00 –15:59	0	0.0%	0	0.0%	3	5.9%	50	8.4%	61	9.4%	88	5.8%	0.41
16:00 –16:59	0	0.0%	0	0.0%	3	5.9%	58	9.8%	43	6.6%	87	5.7%	0.33
17:00 –17:59	0	0.0%	0	0.0%	4	7.8%	40	6.8%	32	4.9%	71	4.7%	0.31
18:00 –18:59	1	100.0%	0	0.0%	2	3.9%	28	4.7%	33	5.1%	72	4.7%	0.31
19:00 –19:59	0	0.0%	0	0.0%	0	0.0%	30	5.1%	18	2.8%	76	5.0%	0.19
20:00 –20:59	0	0.0%	0	0.0%	1	2.0%	18	3.0%	16	2.5%	71	4.7%	0.18
21:00 –21:59	0	0.0%	0	0.0%	2	3.9%	15	2.5%	12	1.8%	71	4.7%	0.14
22:00 –22:59	0	0.0%	0	0.0%	1	2.0%	10	1.7%	11	1.7%	66	4.3%	0.14
23:00 –23:59	0	0.0%	0	0.0%	0	0.0%	9	1.5%	9	1.4%	65	4.3%	0.12
Total	1	100.0%	0	0.0%	51	100.0%	592	100.0%	652	100.0%	1520	100.0%	

Table 39 & table 40 display the frequency and percentage of Time of Day (a.m. versus p.m.) which were above and below the fatigue threshold ORD and EMP scores, respectively, for multiple-vehicle events and baselines. Odds ratio calculations revealed no significant differences for having an ORD score (OR = 0.66; LCL = 0.41; UCL = 1.08) or EMP score (OR = 0.41; LCL = 0.16; UCL = 1.11) above/below their respective fatigue thresholds when comparing these conditions.

Table 39. Frequency and percentage of ORD scores above and below threshold (a.m. versus p.m.; multiple-vehicle events and baselines).

Time of Day:	ORD ≥ 40		ORD < 40		Total	
a.m.	26	23.4%	125	31.6%	151	29.8%
p.m.	85	76.6%	270	68.4%	355	70.2%
Total	111	100.0%	395	100.0%	506	100.0%

Table 40. Frequency and percentage of EMP scores above and below threshold (a.m. versus p.m.; multiple-vehicle events and baselines).

Time of Day:	EMP ≥ 12		EMP < 12		Total	
a.m.	5	16.7%	140	32.6%	145	31.5%
p.m.	25	83.3%	290	67.4%	315	68.5%
Total	30	100.0%	430	100.0%	460	100.0%

Table 41 & table 42 display the frequency and percentage of Time of Day (Circadian Rhythm versus Non-Circadian Rhythm) which were above and below the fatigue threshold ORD and EMP scores, respectively, for multiple-vehicle events and baselines. Odds ratio calculations revealed no significant differences for having an ORD or EMP score above/below their respective fatigue thresholds when comparing these conditions.

Table 41. Frequency and percentage of ORD scores above and below threshold (Circadian Rhythm versus Non-Circadian Rhythm; multiple-vehicle events and baselines).

Time of Day:	ORD ≥ 40		ORD < 40		Total	
Circadian Rhythm	18	16.2%	94	23.8%	112	22.1%
Non-Circadian Rhythm	93	83.8%	301	76.2%	394	77.9%
Total	111	100.0%	395	100.0%	506	100.0%

Table 42. Frequency and percentage of EMP scores above and below threshold (Circadian Rhythm versus Non-Circadian Rhythm; multiple-vehicle events and baselines).

Time of Day:	EMP ≥ 12		EMP < 12		Total	
Circadian Rhythm	10	33.3%	93	21.6%	103	22.3%
Non-Circadian Rhythm	20	66.7%	338	78.4%	358	77.7%
Total	30	100.0%	431	100.0%	461	100.0%

NUMBER OF VEHICLES INVOLVED

Table 43 displays the frequency and percentage of vehicles involved in crashes, tire strikes, near-crashes, and crash-relevant conflicts in the data set. Most of the safety-critical events involved two vehicles (68.9 percent). A smaller percentage was classified as V1 only (23.4 percent).

Most crashes involved V1 only or V1 plus an animal (57.1 percent and 28.6 percent, respectively). All of the tire strike events involved V1 only (100 percent). Most of the near-crashes and crash-relevant conflicts involved two vehicles (53.3 percent and 72.4 percent, respectively) or V1 only (31.7 percent and 21 percent, respectively). While safety-critical events were primarily two-vehicle, note that most crashes and tire strikes involved V1 only.

Table 43. Frequency and percentage of the number of vehicles involved.

Number of Vehicles Involved:	Crashes		Crashes: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events	
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage
1 vehicle (V1)	8	57.1%	15	100.0%	38	31.7%	224	21.0%	285	23.4%
2 vehicles	1	7.1%	0	0.0%	64	53.3%	773	72.4%	838	68.9%
3 vehicles	1	7.1%	0	0.0%	7	5.8%	54	5.1%	62	5.1%
4 vehicles	0	0.0%	0	0.0%	0	0.0%	3	0.3%	3	0.2%
Subject vehicle + pedestrian	0	0.0%	0	0.0%	3	2.5%	2	0.2%	5	0.4%
Subject vehicle + pedalcyclist	0	0.0%	0	0.0%	0	0.0%	1	0.1%	1	0.1%
Subject vehicle + animal	4	28.6%	0	0.0%	8	6.7%	11	1.0%	23	1.9%
Other	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%

Table 44 and table 45 display the frequency and percentage of Number of Vehicles Involved (1 Vehicle versus Multiple Vehicles) which were above and below the fatigue threshold ORD and EMP scores, respectively. The single-vehicle category includes single-vehicle interactions with animals, pedestrians, and pedalcyclists. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 1.79 times greater (LCL = 1.31, UCL = 2.44) when a single vehicle was involved. Similarly, the odds of a driver having an EMP score of 12 or higher were 2.43 times greater (LCL = 1.51, UCL = 3.90) when a single vehicle was involved.

Table 44. Frequency and percentage of ORD scores above and below threshold (single versus multiple vehicles involved).

Number of Vehicles Involved:	ORD ≥ 40		ORD < 40		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
1 vehicle	90	35.9%	167	23.8%	257	27.0%
Multiple vehicles	161	64.1%	534	76.2%	695	73.0%
Total	251	100.0%	701	100.0%	952	100.0%

Table 45. Frequency and percentage of EMP scores above and below threshold (single versus multiple vehicles involved).

Number of Vehicles Involved:	PERC ≥ 12		PERC < 12		Total	
	1 vehicle	34	42.0%	167	23.0%	201
Multiple vehicles	47	58.0%	560	77.0%	607	75.1%
Total	81	100.0%	727	100.0%	808	100.0%

VEHICLE POSITION

Table 46 displays the frequency and percentage of Vehicle Positions. The Vehicle Position refers to the position of V2 in relation to V1 (coded during the time in which the event first created the crash risk) when another vehicle was involved. Vehicles in the adjacent left lane to V1 were coded “J”, “I”, “H”, or “G” depending on position. Vehicles in adjacent right lane to V1 were coded “B”, “C”, “D”, or “E” depending on position. Figure 15 shows a diagram of V1 with the corresponding Vehicle Position codes. Not including all the “not applicable” events, the most frequent Vehicle Positions of V2 in the safety-critical events were the front of V1 (coded “A”, 45.9 percent), the passenger-side front quarter panel of V1’s cab (coded “B”, 17.7 percent), and the driver-side front quarter panel of V1’s cab (coded “J”, 15 percent). However, a large percentage of the tire strikes (33.3 percent) occurred on the passenger-side rear quarter panel of V1’s trailer (i.e., the front set of rear tires on the trailer, coded “D”).

Table 46. Frequency and percentage of vehicle position.

Vehicle Position:	Crashes		Crashes: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events	
	Not applicable (single-vehicle event)	0	0.0%	0	0.0%	10	8.3%	160	15.0%	170
A -- Front	7	50.0%	2	13.3%	43	35.8%	507	47.5%	559	45.9%
B – Right Side, Front	0	0.0%	0	0.0%	25	20.8%	191	17.9%	216	17.7%
C – Right Side	1	7.1%	0	0.0%	2	1.7%	18	1.7%	21	1.7%
D – Right Side	0	0.0%	5	33.3%	3	2.5%	8	0.7%	16	1.3%
E – Right Side, Rear	1	7.1%	2	13.3%	0	0.0%	4	0.4%	7	0.6%
F -- Rear	1	7.1%	0	0.0%	0	0.0%	5	0.5%	6	0.5%
G – Left Side, Rear	1	7.1%	1	6.7%	2	1.7%	3	0.3%	7	0.6%
H – Left Side	1	7.1%	1	6.7%	8	6.7%	9	0.8%	19	1.6%
I – Left Side	0	0.0%	0	0.0%	2	1.7%	10	0.9%	12	1.0%
J – Left Side, Front	1	7.1%	4	26.7%	25	20.8%	153	14.3%	183	15.0%
K -- Top	1	7.1%	0	0.0%	0	0.0%	0	0.0%	1	0.1%
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%

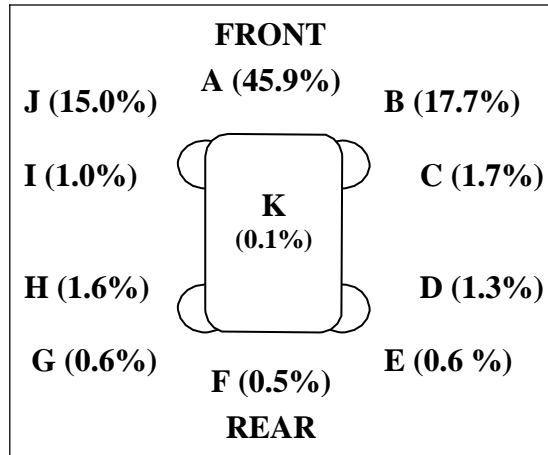


Figure 15. Diagram. Diagram of V1 used to indicate the relative position of V2 (percentages refer to total safety-critical events).

Table 47 & table 48 display the frequency and percentage of Vehicle Position (Front versus All Other Positions) which were above and below the fatigue threshold ORD and EMP scores, respectively. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 1.67 times greater (LCL = 1.15, UCL = 2.41) when the Vehicle Position was in front of V1. However, the odds of a driver having an EMP score of 12 or higher were 2.04 times greater (LCL = 1.25, UCL = 3.33) when the Vehicle Position was other than the front of V1.

Table 47. Frequency and percentage of ORD scores above and below threshold (front of vehicle versus all other vehicle positions).

Vehicle Position:	ORD ≥ 40		ORD < 40		Total	
Front of Vehicle (Position A)	107	66.5%	290	54.3%	397	57.1%
All other positions	54	33.5%	244	45.7%	298	42.9%
Total	161	100.0%	534	100.0%	695	100.0%

Table 48. Frequency and percentage of EMP scores above and below threshold (front of vehicle versus all other vehicle positions).

Vehicle Position:	ORD ≥ 40		ORD < 40		Total	
Front of Vehicle (Position A)	26	32.5%	361	49.6%	387	47.9%
All other positions	54	67.5%	367	50.4%	421	52.1%
Total	80	100.0%	728	100.0%	808	100.0%

DRIVER AT FAULT

Table 49 displays the distribution of Driver “Fault.” Although “fault” has a legal connotation, it is used here to indicate the vehicle/driver that was assigned the Critical Reason. In other words, the critical error precipitating the event was associated with this vehicle and/or driver. Only multi-vehicle events are presented in table 49, all single-vehicle events were excluded. There were a few events in which it was difficult to assign fault to V1 or V2, thus the event was coded as “unknown.” Further, there were some events in which both V1 and V2 were at fault, thus “no fault” was coded. As discussed earlier in the Methodology section of this report, the vehicle-based sensor suite employed in the study is better suited for detecting V1-initiated actions than V2-initiated actions, and thus there is a predominance of V1 at-fault events in this data set. This is especially true for low-severity events. When considering higher severity events, such as crashes and near-crashes, the distribution of assigned fault is split evenly between V1 and V2.

Table 49. Frequency and percentage of Driver-At-Fault designations (all events).

Driver At Fault:	Crashes		Crash: Tire Strike		Near-Crashes		Crash-Relevant Conflicts		Total Safety-Critical events	
Vehicle 1	7	50.0%	6	40.0%	67	55.8%	836	78.3%	916	75.3%
Vehicle 2	5	35.7%	0	0.0%	46	38.3%	208	19.5%	259	21.3%
Unknown	0	0.0%	0	0.0%	0	0.0%	3	0.3%	3	0.2%
No fault	2	14.3%	9	60.0%	7	5.8%	21	2.0%	39	3.2%
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%

Table 50 & table 51 display the frequency and percentage of Driver-At-Fault events (Vehicle 1 versus Vehicle 2) which were above and below the fatigue threshold ORD and EMP scores, respectively, for all events. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 2.08 times greater (LCL = 1.39; UCL = 3.13) when Vehicle 1 was at fault. However, an odds ratio calculation showed no significant difference in the odds of a driver having an EMP score above/below the fatigue threshold when comparing these conditions (OR = 0.63; LCL = 0.37; UCL = 1.06).

Table 50. Frequency and percentage of ORD scores above and below threshold (V1 versus V2 Fault; all events).

Fault:	ORD ≥ 40		ORD < 40		Total	
Vehicle 1	212	86.5%	506	75.5%	718	78.5%
Vehicle 2	33	13.5%	164	24.5%	197	21.5%
Total	245	100.0%	670	100.0%	915	100.0%

Table 51. Frequency and percentage of EMP scores above and below threshold (V1 versus V2 Fault; all events).

Fault:	PERC ≥ 12		PERC < 12		Total	
Vehicle 1	52	69.3%	551	78.3%	603	77.4%
Vehicle 2	23	30.7%	153	21.7%	176	22.6%
Total	75	100.0%	704	100.0%	779	100.0%

Table 52 shows the frequency and percentage of Driver-At-Fault designations for events involving two or more vehicles. A majority (73.1 percent) of these events had fault assigned to V1.

Table 52. Distribution of Driver-At-Fault designations for two or more vehicle events.

Driver At Fault:	Crashes		Crashes: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events	
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage
Vehicle 1	1	50.0%	0	0.0%	36	48.6%	625	75.3%	662	73.1%
Vehicle 2	1	50.0%	0	0.0%	37	50.0%	197	23.7%	235	25.9%
Unknown	0	0.0%	0	0.0%	0	0.0%	2	0.2%	2	0.2%
No fault	0	0.0%	0	0.0%	1	1.4%	6	0.7%	7	0.8%
Total	2	100.0%	0	0.0%	74	100.0%	830	100.0%	906	100.0%

Table 53 & table 54 display the frequency and percentage of Driver-At-Fault events which were above and below the fatigue threshold ORD and EMP scores, respectively, for events involving more than two vehicles. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 2.09 times greater (LCL = 1.30, UCL = 3.36) when V1 was at fault. However, the odds of a driver having an EMP score of 12 or higher were 2.29 times greater (LCL = 1.28, UCL = 4.11) when V2 was judged to be at fault.

Table 53. Frequency and percentage of ORD scores above and below threshold (V1 versus V2 Fault; 2+ vehicle events).

Fault:	ORD ≥ 40		ORD < 40		Total	
Vehicle 1	133	84.7%	392	72.6%	525	75.3%
Vehicle 2	24	15.3%	148	27.4%	172	24.7%
Total	157	100.0%	540	100.0%	697	100.0%

Table 54. Frequency and percentage of EMP scores above and below threshold (V1 versus V2 Fault; 2+ Vehicle events).

Fault:	PERC ≥ 12		PERC < 12		Total	
Vehicle 1	28	54.9%	419	73.6%	447	72.1%
Vehicle 2	23	45.1%	150	26.4%	173	27.9%
Total	51	100.0%	569	100.0%	620	100.0%

DRIVER WEARING SAFETY BELT

Table 55 displays the frequency and percentage of driver safety belt use per event classification, including baseline epochs and the relative frequency of safety-critical events. When safety belt use could be determined, the relative frequencies for safety-critical events were close, with safety belt use at 0.38, and non-use at 0.36.

Table 55. Frequency and percentage of safety belt use.

Seat Belt Worn:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety- Critical Events		Baseline Epochs		Relative Freq
Yes	6	42.9%	4	26.7%	69	57.5%	671	62.8%	750	61.6%	1236	60.2%	0.38
No	8	57.1%	11	73.3%	51	42.5%	395	37.0%	465	38.2%	814	39.6%	0.36
Unknown	0	0.0%	0	0.0%	0	0.0%	2	0.2%	2	0.2%	3	0.1%	0.67
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%	2053	100.0%	

Table 56 & table 57 display the frequency and percentage of Safety Belt Use which were above and below the fatigue threshold ORD and EMP scores, respectively. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 1.69 times greater (LCL = 1.35, UCL = 2.11) when the driver was not wearing a safety belt. However, an odds ratio calculation showed no significant difference in the odds of a driver having an EMP score above/below the fatigue threshold when comparing safety belt use (OR = 1.08; LCL = 0.85; UCL = 1.37).

Table 56. Frequency and percentage of ORD scores above and below threshold by safety belt use.

Seat Belt Worn:	ORD ≥ 40		ORD < 40		Total	
Yes	150	28.5%	443	40.2%	593	36.4%
No	377	71.5%	660	59.8%	1037	63.6%
Total	527	100.0%	1103	100.0%	1630	100.0%

Table 57. Frequency and percentage of EMP scores above and below threshold by safety belt use.

Seat Belt Worn:	PERC ≥ 12		PERC < 12		Total	
Yes	193	60.1%	1247	61.9%	1440	61.6%
No	128	39.9%	768	38.1%	896	38.4%
Total	321	100.0%	2015	100.0%	2336	100.0%

VISION OBSTRUCTIONS

Table 58 shows the frequency and percentage of Vision Obstruction classifications per event classification. The majority of safety-critical events did not involve a visual obstruction. When a visual obstruction was present, it typically involved rain, snow, fog, smoke, sand, dust, or glare.

Table 58. Frequency and percentage of Vision Obscured.

Vision Obscured:	Crashes		Crashes: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events	
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage
No obstruction	10	71.4%	14	93.3%	111	92.5%	963	90.2%	1098	90.2%
Rain, snow, fog, smoke, sand, dust	2	14.3%	0	0.0%	3	2.5%	33	3.1%	38	3.1%
Reflected glare, sunlight, headlights	2	14.3%	0	0.0%	2	1.7%	34	3.2%	38	3.1%
Curve or hill	0	0.0%	1	6.7%	0	0.0%	10	0.9%	11	0.9%
Building, billboard, or other design features (includes signs, embankment)	0	0.0%	0	0.0%	0	0.0%	2	0.2%	2	0.2%
Trees, crops, vegetation	0	0.0%	0	0.0%	0	0.0%	6	0.6%	6	0.5%
Moving vehicle (including load)	0	0.0%	0	0.0%	1	0.8%	5	0.5%	6	0.5%
Parked vehicle	0	0.0%	0	0.0%	2	1.7%	0	0.0%	2	0.2%
Splash or spray of passing vehicle	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Inadequate defrost or defog system	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Inadequate lighting system (includes vehicle/object in dark area)	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Obstruction interior to vehicle	0	0.0%	0	0.0%	0	0.0%	1	0.1%	1	0.1%
Mirrors	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Head restraints	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Broken or improperly cleaned windshield	0	0.0%	0	0.0%	0	0.0%	3	0.3%	3	0.2%
Fog	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Other vehicle or object in blind spot	0	0.0%	0	0.0%	1	0.8%	2	0.2%	3	0.2%
Vision obscured - no details	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Other	0	0.0%	0	0.0%	0	0.0%	1	0.1%	1	0.1%
Unknown whether vision was obstructed	0	0.0%	0	0.0%	0	0.0%	8	0.7%	8	0.7%
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%

Table 59 & table 60 display the frequency and percentage of Vision Obstruction-related events (No Obstruction versus Any Obstruction) which were above and below the fatigue threshold ORD and EMP scores, respectively. Odds ratio calculations revealed no significant difference in the odds of ORD scores (OR = 1.44; LCL = 0.89; UCL = 2.31) or EMP scores (OR = 1.33; LCL = 0.63; UCL = 2.78) being above/below their respective fatigue thresholds when comparing these conditions.

Table 59. Frequency and percentage of ORD scores above and below threshold (No Obstruction versus Any Obstruction to driver’s vision).

Vision Obscured By:	ORD ≥ 40		ORD < 40		Total	
No obstruction	220	88.7%	643	91.9%	863	91.0%
Any obstruction	28	11.3%	57	8.1%	85	9.0%
Total	248	100.0%	700	100.0%	948	100.0%

Table 60. Frequency and percentage of EMP scores above and below threshold (No Obstruction versus Any Obstruction to driver’s vision).

Vision Obscured By:	PERC ≥ 12		PERC < 12		Total	
No obstruction	70	88.6%	660	91.2%	730	90.9%
Any obstruction	9	11.4%	64	8.8%	73	9.1%
Total	79	100.0%	724	100.0%	803	100.0%

POTENTIAL DISTRACTIONS (V1 ONLY)

It should be noted that while the raw frequencies of events and baselines coded for “look at left side mirror/look out left window” were high, the relative frequency for safety-critical events for this variable was rather low (0.34).

Table 61 shows the frequency and percentage of Potential Distractions per event classification, including baseline epochs and the relative frequency of safety-critical events. Data reductionists were instructed to code up to four Potential Distractions observed during the 10 s prior to the max/min trigger value or during the final 10 s of the baseline epoch. Potential Distractions were coded regardless of their apparent relevance to the event. If there are more than four Potential Distractions, data reductionists were instructed to select the ones that occurred closest in time to the trigger. As more than one Potential Distraction could be selected and percentages were based on the number of events, the column totals exceed 100 percent.

The variable codes for “look at construction zone signs, barriers, flagperson, etc.” and “look at outside person” had relative frequencies of 1.0 for safety-critical events, followed by “looked but did not see”, which had a relative frequency of 0.97. The variable codes for “dial hands-free phone”, “eat with utensil”, “brush/floss teeth”, and “remove/adjust jewelry” had the lowest relative frequencies of zero. Again, this means that instances were observed during baseline epochs but not for safety-critical events. It should be noted that while the raw frequencies of events and baselines coded for “look at left side mirror/look out left window” were high, the relative frequency for safety-critical events for this variable was rather low (0.34).

Table 61. Frequency and percentage of potential distractions.

Potential Distractions:	Crashes		Crash: Tire Strike		Near-Crashes		Crash-Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	
None observed	1	7.1%	7	50.0%	13	13.3%	148	18.8%	169	18.5%	1905	177.7%	0.08
Looked but did not see	0	0.0%	0	0.0%	3	3.1%	31	3.9%	34	3.7%	1	0.1%	0.97
Interact with or look at other occupant(s)	0	0.0%	0	0.0%	2	2.0%	9	1.1%	11	1.2%	42	3.9%	0.21
Interact with or look at pet in vehicle	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	N/A
Look at/for object in vehicle	0	0.0%	0	0.0%	9	9.2%	68	8.6%	77	8.4%	169	15.8%	0.31
Reach for object in vehicle	2	14.3%	0	0.0%	12	12.2%	93	11.8%	107	11.7%	145	3.5%	0.42
Talk/listen to hand-held phone	0	0.0%	0	0.0%	3	3.1%	27	3.4%	30	3.3%	78	7.3%	0.28
Talk/listen to hands-free phone	0	0.0%	0	0.0%	2	2.0%	26	3.3%	28	3.1%	108	10.1%	0.21
Talk/listen to CB or other device	1	7.1%	0	0.0%	1	1.0%	14	1.8%	16	1.7%	69	6.4%	0.19
Dial hand-held phone	0	0.0%	0	0.0%	1	1.0%	19	2.4%	20	2.2%	9	0.8%	0.69
Dial hands-free phone	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	4	0.4%	0.00
Operate Personal Data Assistant (PDA) (inputting or reading)	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	N/A
Adjust instrument panel (includes climate control, radio, CD)	0	0.0%	0	0.0%	0	0.0%	14	1.8%	14	1.5%	41	3.8%	0.25
Look at left-side mirror/out left-side window	7	50.0%	1	7.1%	52	53.1%	392	49.7%	452	49.4%	876	81.7%	0.34
Look at right-side mirror/out right-side window	5	35.7%	8	57.1%	37	37.8%	271	34.3%	321	35.1%	449	41.9%	0.42
Look in Sleeper Berth	1	7.1%	0	0.0%	0	0.0%	5	0.6%	6	0.7%	4	0.4%	0.60
Shift gears	0	0.0%	2	14.3%	7	7.1%	55	7.0%	64	7.0%	18	1.7%	0.78
Look down (at lap, floor, etc.)	1	7.1%	0	0.0%	24	24.5%	146	18.5%	171	18.7%	358	33.4%	0.32
Use/reach other device	0	0.0%	0	0.0%	2	2.0%	18	2.3%	20	2.2%	15	1.4%	0.57
Appears drowsy, sleepy, asleep, fatigued	3	21.4%	0	0.0%	19	19.4%	145	18.4%	167	18.3%	256	23.9%	0.39
Look at previous crash or highway incident	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	N/A
Look at construction zone signs, barriers, flagperson, etc.	0	0.0%	0	0.0%	0	0.0%	2	0.3%	2	0.2%	0	0.0%	1.00
Look at outside person	0	0.0%	0	0.0%	0	0.0%	3	0.4%	3	0.3%	0	0.0%	1.00
Look at outside animal, object, store, etc.	0	0.0%	0	0.0%	2	2.0%	18	2.3%	20	2.2%	88	8.2%	0.19

Potential Distractions:	Crashes		Crash: Tire Strike		Near-Crashes		Crash-Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	
Look at undetermined outside event, person, or object	3	21.4%	0	0.0%	5	5.1%	59	7.5%	67	7.3%	9	0.8%	0.88
Eat with utensil	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	2	0.2%	0.00
Eat without utensil (includes chewing, other than gum; e.g., toothpick)	0	0.0%	0	0.0%	7	7.1%	30	3.8%	37	4.0%	82	7.6%	0.31
Drink from covered container (e.g., with straw)	0	0.0%	0	0.0%	1	1.0%	5	0.6%	6	0.7%	12	1.1%	0.33
Drink from open container	0	0.0%	0	0.0%	0	0.0%	3	0.4%	3	0.3%	18	1.7%	0.14
Chewing gum	2	14.3%	1	7.1%	13	13.3%	73	9.3%	89	9.7%	163	15.2%	0.35
Smoking-related behavior - reaching, lighting, extinguishing	1	7.1%	0	0.0%	2	2.0%	7	0.9%	10	1.1%	22	2.1%	0.31
Smoking-related behavior - other (e.g., cigarette in hand/mouth)	1	7.1%	0	0.0%	4	4.1%	43	5.4%	48	5.2%	123	11.5%	0.28
Read book, newspaper, etc.	0	0.0%	0	0.0%	3	3.1%	4	0.5%	7	0.8%	15	1.4%	0.32
Read/look at map	0	0.0%	1	7.1%	3	3.1%	7	0.9%	11	1.2%	6	0.6%	0.65
Write in notebook, etc.	0	0.0%	0	0.0%	1	1.0%	1	0.1%	2	0.2%	3	0.3%	0.40
Talk/sing/dance with no indication of passenger	0	0.0%	0	0.0%	14	14.3%	96	12.2%	110	12.0%	110	10.3%	0.50
Handle/interact with dispatching, electronic recording, or navigational device	0	0.0%	0	0.0%	0	0.0%	1	0.1%	1	0.1%	1	0.1%	0.50
Read/look at dispatching, electronic recording, or navigational device	0	0.0%	0	0.0%	1	1.0%	2	0.3%	3	0.3%	11	1.0%	0.21
Comb/brush/fix hair	0	0.0%	0	0.0%	0	0.0%	2	0.3%	2	0.2%	2	0.2%	0.50
Apply make-up	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	N/A
Shave	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	N/A
Brush/floss teeth	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	1	0.1%	0.00
Bite nails/cuticles	0	0.0%	0	0.0%	1	1.0%	6	0.8%	7	0.8%	23	2.1%	0.23
Remove/adjust jewelry	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	3	0.3%	0.00
Remove/insert contact lenses	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	N/A
Other personal hygiene	1	7.1%	0	0.0%	8	8.2%	96	12.2%	105	11.5%	241	22.5%	0.30
Put on/remove/adjust sunglasses	0	0.0%	0	0.0%	1	1.0%	4	0.5%	5	0.5%	6	0.6%	0.45
Put on/remove/adjust hat	1	7.1%	0	0.0%	0	0.0%	5	0.6%	6	0.7%	9	0.8%	0.40
Put on/remove/adjust safety belt	0	0.0%	1	7.1%	0	0.0%	1	0.1%	2	0.2%	1	0.1%	0.67

Potential Distractions:	Crashes		Crash: Tire Strike		Near-Crashes		Crash-Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
Look at/handle driver fatigue monitor (DFM)	0	0.0%	0	0.0%	0	0.0%	7	0.9%	7	0.8%	13	1.2%	0.35
Look at/handle DAS	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	N/A
Other	0	0.0%	0	0.0%	4	4.1%	14	1.8%	18	2.0%	71	6.6%	0.20
Total	30	214.3%	21	150.0%	257	262.2%	1970	249.7%	2278	249.0%	5582	520.7%	

Table 62 & table 63 display the frequency and percentage of Potential Distractions (None Observed versus Any Distraction) which were above and below the fatigue threshold ORD and EMP scores, respectively. Odds ratio calculations revealed no significant difference in the odds of ORD scores (OR = 1.10; LCL = 0.86; UCL = 1.40) or EMP scores (OR = 0.82; LCL = 0.56; UCL = 1.22) being above/below their respective fatigue thresholds when comparing these conditions.

Table 62. Frequency and percentage of ORD scores above and below threshold (No Distraction Observed versus Any Distraction).

Potential Distractions:	ORD ≥ 40		ORD < 40		Total	
None observed	116	12.1%	193	11.2%	309	11.5%
Any distraction	843	87.9%	1536	88.8%	2379	88.5%
Total	959	100.0%	1729	100.0%	2688	100.0%

Table 63. Frequency and percentage of EMP scores above and below threshold (No Distraction Observed versus Any Distraction).

Potential Distractions:	PERC ≥ 12		PERC < 12		Total	
None observed	32	10.0%	239	11.8%	271	11.6%
Any distraction	289	90.0%	1778	88.2%	2067	88.4%
Total	321	100.0%	2017	100.0%	2338	100.0%

LIGHT CONDITION

Table 64 displays the frequency and percentage of Light Conditions per event classification, including baseline epochs and the relative frequency of safety-critical events. The highest relative frequency for safety-critical events occurred during daylight conditions (0.45), while the lowest relative frequency was equal during dark and dawn conditions (0.21).

Table 64. Frequency and percentage of Light Conditions.

Light Condition:	Crashes		Crash: Tire Strike		Near-Crashes		Crash-Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
Daylight	10	71.4%	11	73.3%	82	68.3%	828	77.5%	931	76.5%	1139	55.5%	0.45
Dark	3	21.4%	3	20.0%	23	19.2%	165	15.4%	194	15.9%	729	35.5%	0.21
Dark but lighted	1	7.1%	1	6.7%	15	12.5%	50	4.7%	67	5.5%	114	5.6%	0.37
Dawn	0	0.0%	0	0.0%	0	0.0%	8	0.7%	8	0.7%	30	1.5%	0.21
Dusk	0	0.0%	0	0.0%	0	0.0%	17	1.6%	17	1.4%	41	2.0%	0.29
Unknown	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	N/A
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%	2053	100.0%	

Table 65 & table 66 display the frequency and percentage of Light Conditions (Daylight versus Dark) which were above and below the fatigue threshold ORD and EMP scores, respectively. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 3.89 times greater (LCL = 3.26, UCL = 4.65) when the Light Condition was Dark. The odds of a driver having an EMP score of 12 or higher were 2.14 times greater (LCL = 1.67, UCL = 2.76) when the Light Condition was Dark.

Table 65. Frequency and percentage of ORD scores above and below threshold (Daylight versus Dark).

Light Condition:	ORD ≥ 40		ORD < 40		Total	
Daylight	357	43.0%	1186	74.6%	1543	63.8%
Dark	473	57.0%	404	25.4%	877	36.2%
Total	830	100.0%	1590	100.0%	2420	100.0%

Table 66. Frequency and percentage of EMP scores above and below threshold (Daylight versus Dark).

Light Condition:	PERC ≥ 12		PERC < 12		Total	
Daylight	133	46.5%	1191	65.1%	1324	62.6%
Dark	153	53.5%	639	34.9%	792	37.4%
Total	286	100.0%	1830	100.0%	2116	100.0%

Table 67 & table 68 display the frequency and percentage of Light Conditions (Dark versus Dark but Lighted) which were above and below the fatigue threshold ORD and EMP scores, respectively. Odds ratio calculations revealed no significant difference in the odds of ORD scores (OR= 1.21; LCL = 0.87; UCL = 1.68) or EMP scores (OR = 1.18; LCL = 0.73; UCL = 1.89) being above/below their respective fatigue thresholds when comparing these conditions.

Table 67. Frequency and percentage of ORD scores above and below threshold (Dark versus Dark but Lighted).

Light Condition:	ORD ≥ 40		ORD < 40		Total	
	Dark	473	84.8%	404	82.1%	877
Dark but lighted	85	15.2%	88	17.9%	173	16.5%
Total	558	100.0%	492	100.0%	1050	100.0%

Table 68. Frequency and percentage of EMP scores above and below threshold (Dark versus Dark but Lighted).

Light Condition:	PERC ≥ 12		PERC < 12		Total	
	Dark	153	86.4%	639	84.4%	792
Dark but lighted	24	13.6%	118	15.6%	142	15.2%
Total	177	100.0%	757	100.0%	934	100.0%

WEATHER CONDITION

Table 69 shows the frequency and percentage of Weather conditions per event classification, including baseline epochs and the relative frequency of safety-critical events. Almost all of the safety-critical events (94.6 percent) occurred when there were no adverse weather conditions. However, when taking exposure into account, the relative frequencies for sleet, snow, and rain and fog conditions were 0.50, meaning an equal number of safety-critical events and baseline epochs were found to occur in these conditions.

Table 69. Frequency and percentage of weather conditions.

Roadway Surface:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
	Dry	11	78.6%	15	100.0%	110	91.7%	991	92.8%	1127	92.6%	1886	
Wet	3	21.4%	0	0.0%	10	8.3%	71	6.6%	84	6.9%	160	7.8%	0.34
Snow or slush	0	0.0%	0	0.0%	0	0.0%	4	0.4%	4	0.3%	5	0.2%	0.44
Ice	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	N/A
Sand, oil, dirt	0	0.0%	0	0.0%	0	0.0%	1	0.1%	1	0.1%	0	0.0%	1.00
Unknown	0	0.0%	0	0.0%	0	0.0%	1	0.1%	1	0.1%	2	0.1%	0.33
Other	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	N/A
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%	2053	100.0%	

Table 70 & table 71 display the frequency and percentage of Weather Conditions (No Adverse Conditions versus Any Adverse Conditions) which were above and below the fatigue threshold ORD and EMP scores, respectively. Odds ratio calculations revealed no significant difference in the odds of ORD scores (OR = 1.00; LCL = 0.74; UCL = 1.37) or EMP scores (OR = 1.05; LCL

= 0.66; UCL = 1.67) being above/below their respective fatigue thresholds when comparing these conditions.

Table 70. Frequency and percentage of ORD scores above and below threshold (No Adverse Weather versus Any Adverse Weather).

Weather Conditions:	ORD ≥ 40		ORD < 40		Total	
	No adverse conditions	892	93.0%	1606	93.0%	2498
Adverse conditions	67	7.0%	121	7.0%	188	7.0%
Total	959	100.0%	1727	100.0%	2686	100.0%

Table 71. Frequency and percentage of EMP scores above and below threshold (No Adverse Weather versus Any Adverse Weather).

Weather Conditions:	PERC ≥ 12		PERC < 12		Total	
	No adverse conditions	299	93.1%	1871	92.9%	2170
Adverse conditions	22	6.9%	144	7.1%	166	7.1%
Total	321	100.0%	2015	100.0%	2336	100.0%

ROADWAY SURFACE CONDITION

Table 72 shows the frequency and percentage of Roadway Surface conditions per event classification, including baseline epochs and the relative frequency of safety-critical events. While nearly all the safety-critical events (92.6 percent) and baseline epochs (91.9 percent) occurred when the roadway was dry, the highest relative frequency of safety-critical events occurred when snow/slush was present (0.44). Also, one crash-relevant conflict occurred when sand, oil, or dirt was on the roadway; however, no baseline epochs were observed occurring under these conditions.

Table 72. Frequency and percentage of Roadway Surface conditions.

Roadway Surface:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
	Dry	11	78.6%	15	100.0%	110	91.7%	991	92.8%	1127	92.6%	1886	
Wet	3	21.4%	0	0.0%	10	8.3%	71	6.6%	84	6.9%	160	7.8%	0.34
Snow or slush	0	0.0%	0	0.0%	0	0.0%	4	0.4%	4	0.3%	5	0.2%	0.44
Ice	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	N/A
Sand, oil, dirt	0	0.0%	0	0.0%	0	0.0%	1	0.1%	1	0.1%	0	0.0%	1.00
Unknown	0	0.0%	0	0.0%	0	0.0%	1	0.1%	1	0.1%	2	0.1%	0.33
Other	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	N/A
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%	2053	100.0%	

Table 73 & table 74 display the frequency and percentage of Roadway Surface conditions (Dry versus Not Dry) which were above and below the fatigue threshold ORD and EMP scores, respectively. Odds ratio calculations revealed no significant difference in the odds of ORD scores

(OR = 1.01; LCL = 0.63; UCL = 1.59) or EMP scores (OR = 1.14; LCL = 0.74; UCL = 1.77) being above/below their respective fatigue thresholds when comparing these conditions.

Table 73. Frequency and percentage of ORD scores above and below threshold (Dry versus Not Dry Roadway Surface).

Roadway Surface:	ORD ≥ 40		ORD < 40		Total	
	Dry	870	96.8%	1581	96.8%	2451
Not Dry	29	3.2%	53	3.2%	82	3.2%
Total	899	100.0%	1634	100.0%	2533	100.0%

Table 74. Frequency and percentage of EMP scores above and below threshold (Dry versus Not Dry Roadway Surface).

Roadway Surface:	PERC ≥ 12		PERC < 12		Total	
	Dry	295	92.2%	1837	91.2%	2132
Not Dry	25	7.8%	178	8.8%	203	8.7%
Total	320	100.0%	2015	100.0%	2335	100.0%

RELATION TO JUNCTION

Table 75 shows the frequency and percentage of Relation to Junction per event classification, including baseline epochs and the relative frequency of safety-critical events. While the greatest raw frequencies of events and baselines were found in non-junction road segments, the relative frequency for a safety-critical event was among the lowest for this variable (0.25). The highest relative frequency for safety-critical events occurred in intersection-related conditions (0.95), while the lowest relative frequency was found for parking lot conditions (0.24).

Table 75. Frequency and percentage of Relation to Junction.

Relation to Junction:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	
Non-Junction	11	78.6%	2	13.3%	65	54.2%	544	50.9%	622	51.1%	1914	93.2%	0.25
Intersection	0	0.0%	9	60.0%	7	5.8%	66	6.2%	82	6.7%	24	1.2%	0.77
Intersection-related	1	7.1%	3	20.0%	19	15.8%	306	28.7%	329	27.0%	16	0.8%	0.95
Driveway	0	0.0%	0	0.0%	0	0.0%	2	0.2%	2	0.2%	1	0.0%	0.67
Parking lot	2	14.3%	1	6.7%	5	4.2%	2	0.2%	10	0.8%	32	1.6%	0.24
Entrance/exit ramp	0	0.0%	0	0.0%	17	14.2%	130	12.2%	147	12.1%	53	2.6%	0.74
Rail grade crossing	0	0.0%	0	0.0%	0	0.0%	5	0.5%	5	0.4%	1	0.0%	0.83
On a bridge	0	0.0%	0	0.0%	2	1.7%	0	0.0%	2	0.2%	4	0.2%	0.33
Crossover-related	0	0.0%	0	0.0%	0	0.0%	4	0.4%	4	0.3%	1	0.0%	0.80
Other	0	0.0%	0	0.0%	5	4.2%	9	0.8%	14	1.2%	6	0.3%	0.70
Unknown	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	1	0.0%	0.00
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%	2053	100.0%	

Table 76 & table 77 display the frequency and percentage of Relation to Junction (Non-Junction versus Intersection or Intersection-Related) which were above and below the fatigue threshold ORD and EMP scores, respectively. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 7.33 times greater (LCL = 5.66, UCL = 9.49) when the situation was not junction-related. The odds of a driver having an EMP score of 12 or higher were 1.95 times greater (LCL = 1.26, UCL = 3.02) when the situation was not junction-related.

Table 76. Frequency and percentage of ORD scores above and below threshold (Non-Junction versus Intersection/Intersection-Related).

Relation to Junction:	ORD ≥ 40		ORD < 40		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
Non-Junction	1092	91.2%	349	58.7%	1441	80.4%
Intersection or intersection-related	105	8.8%	246	41.3%	351	19.6%
Total	1197	100.0%	595	100.0%	1792	100.0%

Table 77. Frequency and percentage of EMP scores above and below threshold (Non-Junction versus Intersection/Intersection-Related).

Relation to Junction:	PERC ≥ 12		PERC < 12		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
Non-Junction	281	92.1%	1582	85.7%	1863	86.6%
Intersection or intersection-related	24	7.9%	264	14.3%	288	13.4%
Total	305	100.0%	1846	100.0%	2151	100.0%

Table 78 & table 79 display the frequency and percentage of Relation to Junction (Intersection or Intersection-Related versus Entrance/Exit Ramp) which were above and below the fatigue threshold ORD and EMP scores, respectively. Odds ratio calculations revealed no significant difference in the odds of ORD scores (OR = 1.25; LCL = 0.82; UCL = 1.90) or EMP scores (OR = 1.03; LCL = 0.50; UCL = 2.12) being above/below their respective fatigue thresholds when comparing these conditions.

Table 78. Frequency and percentage of ORD scores above and below threshold (Intersection/Intersection-Related versus Entrance/Exit Ramp).

Relation to Junction:	ORD ≥ 40		ORD < 40		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
Intersection or intersection-related	105	71.4%	246	66.7%	351	68.0%
Entrance/exit ramp	42	28.6%	123	33.3%	165	32.0%
Total	147	100.0%	369	100.0%	516	100.0%

Table 79. Frequency and percentage of EMP scores above and below threshold (Intersection/Intersection-Related versus Entrance/Exit Ramp)

Relation to Junction:	PERC ≥ 12		PERC < 12		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
Intersection or intersection related	24	66.7%	264	66.0%	288	66.1%
Entrance/exit ramp	12	33.3%	136	34.0%	148	33.9%
Total	36	100.0%	400	100.0%	436	100.0%

TRAFFICWAY FLOW

Table 80 displays the frequency and percentage of Trafficway Flow per event classification, including baseline epochs and the relative frequency of safety-critical events. Most of the safety-critical events occurred on a divided trafficway (63.4 percent), however, when accounting for exposure, the relative frequency was found to be only 0.30. The highest relative frequency for safety-critical events was found for trafficway flows that were not physically divided, even though the raw frequencies of events and baselines for “not physically divided (center 2-way turn lane)” were quite low.

Table 80. Frequency and percentage of Trafficway Flow.

Trafficway Flow:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	
Not physically divided (center 2-way turn lane)	0	0.0%	1	6.7%	3	2.5%	52	4.9%	56	4.6%	29	1.4%	0.66
Not physically divided (2-way trafficway)	5	35.7%	5	33.3%	26	21.7%	299	28.0%	335	27.5%	205	10.0%	0.62
Divided	8	57.1%	4	26.7%	82	68.3%	678	63.5%	772	63.4%	1772	86.3%	0.30
One-way trafficway	1	7.1%	2	13.3%	6	5.0%	37	3.5%	46	3.8%	38	1.9%	0.55
Unknown	0	0.0%	3	20.0%	3	2.5%	2	0.2%	8	0.7%	9	0.4%	0.47
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%	2053	100.0%	

Table 81 & table 82 display the frequency and percentage of Trafficway Flow (Not Physically Divided versus Divided) which were above and below the fatigue threshold ORD and EMP scores, respectively. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 1.28 times greater (LCL = 1.04., UCL = 1.58) when the Trafficway Flow was divided. However, an odds ratio calculation revealed no significant difference in the odds of EMP scores (OR = 1.23; LCL = 0.86; UCL = 1.77) being above/below the fatigue threshold when comparing these conditions.

Table 81. Frequency and percentage of ORD scores above and below threshold (Not Divided versus Divided Trafficway Flow).

Trafficway Flow:	ORD ≥ 40		ORD < 40		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
Not physically divided	155	16.7%	342	20.4%	497	19.1%
Divided	774	83.3%	1336	79.6%	2110	80.9%
Total	929	100.0%	1678	100.0%	2607	100.0%

Table 82. Frequency and percentage of EMP scores above and below threshold (Not Divided versus Divided Trafficway Flow).

Trafficway Flow:	PERC ≥ 12		PERC < 12		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
Not physically divided	38	12.3%	283	14.7%	321	14.4%
Divided	271	87.7%	1638	85.3%	1909	85.6%
Total	309	100.0%	1921	100.0%	2230	100.0%

NUMBER OF TRAVEL LANES (ALL ROADS)

Table 83 shows the frequency and percentage of Number of Travel Lanes for all road types per event classification, including baseline epochs and the relative frequency of safety-critical events.

While the raw frequencies were greatest for two-lane roads, the relative frequency of safety-critical events occurring on these road types was fairly low (0.26). Fifteen safety-critical events occurred on roads with six lanes, while no instances of driving on six-lane roads were observed in the baseline epochs (relative frequency of 1.0). The second highest relative frequency of safety-critical events occurred on roads with five lanes (0.72), while the lowest relative frequency occurred on roads with two lanes (0.26).

Table 83. Frequency and percentage of safety-critical events and baselines by Number of Travel Lanes (All Roads).

Travel Lanes:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
1	12	85.7%	6	40.0%	11	9.2%	34	3.2%	63	5.2%	44	2.1%	0.59
2	1	7.1%	4	26.7%	47	39.2%	458	42.9%	510	41.9%	1443	70.3%	0.26
3	0	0.0%	2	13.3%	29	24.2%	281	26.3%	312	25.6%	363	17.7%	0.46
4	0	0.0%	1	6.7%	20	16.7%	182	17.0%	203	16.7%	153	7.5%	0.57
5	0	0.0%	0	0.0%	6	5.0%	85	8.0%	91	7.5%	36	1.8%	0.72
6	0	0.0%	0	0.0%	0	0.0%	15	1.4%	15	1.2%	0	0.0%	1.00
7+	0	0.0%	0	0.0%	2	1.7%	7	0.7%	9	0.7%	7	0.3%	0.56
Unknown	1	7.1%	2	13.3%	5	4.2%	6	0.6%	14	1.2%	7	0.3%	0.67
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%	2053	100.0%	

Table 84 & table 85 display the frequency and percentage of Number of Travel Lanes (1-2 versus 3 or More) which were above and below the fatigue threshold ORD and EMP scores, respectively. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 1.78 times greater (LCL = 1.48, UCL = 2.12) when there were 1-2 lanes. The odds of a driver having an EMP score of 12 or higher were 1.78 times greater (LCL = 1.37, UCL = 2.33) when there were 1-2 lanes.

Table 84. Frequency and percentage of ORD scores above and below threshold (1-2 Lanes versus 3 or More Lanes; All Road Types).

Travel Lanes:	ORD ≥ 40		ORD < 40		Total	
1-2	625	71.5%	875	58.6%	1500	63.3%
3 or more	249	28.5%	619	41.4%	868	36.7%
Total	874	100.0%	1494	100.0%	2368	100.0%

Table 85. Frequency and percentage of EMP scores above and below threshold (1-2 Lanes versus 3 or More Lanes; All Road Types).

Travel Lanes:	PERC ≥ 12		PERC < 12		Total	
1-2	237	74.1%	1236	61.6%	1473	63.3%
3 or more	83	25.9%	772	38.4%	855	36.7%
Total	320	100.0%	2008	100.0%	2328	100.0%

NUMBER OF TRAVEL LANES (UNDIVIDED HIGHWAY)

Table 86 shows the frequency and percentage of Number of Travel Lanes for undivided highways per event classification, including baseline epochs and the relative frequency of safety-critical events. Seven safety-critical events occurred on undivided highways with six lanes, while no instances of driving on six-lane roads were observed in the baseline epochs (relative frequency of 1.0). The next highest relative frequency for undivided highway safety-critical events occurred on roads with three lanes (0.80). Four baseline epochs were observed on undivided highways with seven or more lanes; however, no safety-critical events occurred under these conditions (relative frequency of zero). The next lowest relative frequency for safety-critical events occurring on undivided highways occurred on single-lane roads (0.08).

Table 86. Frequency and percentage of Number of Travel Lanes (undivided highways).

Travel Lanes:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety- Critical Events		Baseline Epochs		Relative Freq
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	
1	0	0.0%	0	0.0%	0	0.0%	1	0.3%	1	0.3%	11	4.7%	0.08
2	4	80.0%	4	44.4%	19	57.6%	212	60.4%	239	60.1%	171	73.1%	0.58
3	0	0.0%	0	0.0%	6	18.2%	55	15.7%	61	15.3%	15	6.4%	0.80
4	0	0.0%	1	11.1%	0	0.0%	31	8.8%	32	8.0%	15	6.4%	0.68
5	0	0.0%	0	0.0%	3	9.1%	43	12.3%	46	11.6%	17	7.3%	0.73
6	0	0.0%	0	0.0%	0	0.0%	7	2.0%	7	1.8%	0	0.0%	1.00
7+	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	4	1.7%	0.00
Unknown	1	20.0%	4	44.4%	5	15.2%	2	0.6%	12	3.0%	1	0.4%	0.92
Total	5	100.0%	9	100.0%	33	100.0%	351	100.0%	398	100.0%	234	100.0%	

Table 87 & table 88 display the frequency and percentage of Number of Travel Lanes (1-2 versus 3 or More) which were above and below the fatigue threshold ORD and EMP scores, respectively, for undivided highways only. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 1.58 times greater (LCL = 1.02, UCL = 2.45) when there were 1-2 lanes. However, there was no significant difference in the odds of a driver having an ORD score above/below the fatigue threshold under these conditions (OR = 0.63; LCL = 0.24; UCL = 1.65).

Table 87. Frequency and percentage of ORD scores above and below threshold (1-2 Lanes versus 3 or More Lanes; undivided highways).

Travel Lanes:	ORD ≥ 40		ORD < 40		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
1-2	118	76.6%	228	67.5%	346	70.3%
3 or more	36	23.4%	110	32.5%	146	29.7%
Total	154	100.0%	338	100.0%	492	100.0%

Table 88. Frequency and percentage of EMP scores above and below threshold (1-2 Lanes versus 3 or More Lanes; undivided highways).

Travel Lanes:	PERC ≥ 12		PERC < 12		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
1-2	31	83.8%	214	89.2%	245	88.4%
3 or more	6	16.2%	26	10.8%	32	11.6%
Total	37	100.0%	240	100.0%	277	100.0%

NUMBER OF TRAVEL LANES (DIVIDED HIGHWAY AND ONE-WAY TRAFFIC)

Table 89 shows the frequency and percentage of Number of Travel Lanes for divided highways and one-way traffic roads per event classification, including baseline epochs and the relative frequency of safety-critical events. Eight safety-critical events occurred on these roadways with six lanes, while no instances of driving on six-lane roads were observed in the baseline epochs (relative frequency of 1.0). The second highest relative frequency of safety-critical events occurred on roads with seven or more lanes (0.75), while the lowest relative frequency occurred on roads with two lanes (0.19).

Table 89. Frequency and percentage of Number of Travel Lanes (divided highway and one-way traffic).

Travel Lanes:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety- Critical Events		Baseline Epochs		Relative Freq
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	
1	0	0.0%	4	66.7%	4	4.6%	33	4.6%	41	5.0%	30	1.6%	0.58
2	8	88.9%	0	0.0%	35	40.2%	246	34.3%	289	35.3%	1272	69.9%	0.19
3	1	11.1%	2	33.3%	23	26.4%	226	31.5%	252	30.8%	348	19.1%	0.42
4	0	0.0%	0	0.0%	20	23.0%	151	21.1%	171	20.9%	138	7.6%	0.55
5	0	0.0%	0	0.0%	3	3.4%	42	5.9%	45	5.5%	19	1.0%	0.70
6	0	0.0%	0	0.0%	0	0.0%	8	1.1%	8	1.0%	0	0.0%	1.00
7+	0	0.0%	0	0.0%	2	2.3%	7	1.0%	9	1.1%	3	0.2%	0.75
Unknown	0	0.0%	0	0.0%	0	0.0%	4	0.6%	4	0.5%	9	0.5%	0.31
Total	9	100.0%	6	100.0%	87	100.0%	717	100.0%	819	100.0%	1819	100.0%	

Table 90 & table 91 display the frequency and percentage of Number of Travel Lanes (1-2 versus 3 or More) which were above and below the fatigue threshold ORD and EMP scores, respectively, for divided highways and one-way traffic only. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 1.87 times greater (LCL = 1.54, UCL = 2.28) when there were 1-2 lanes. However, the odds of a driver having an EMP score of 12 or higher were 1.83 times greater (LCL = 1.38, UCL = 2.43) when there were three or more lanes.

Table 90. Frequency and percentage of ORD scores above and below threshold (1-2 Lanes versus 3 or More Lanes; divided highway and one-way traffic).

Travel Lanes:	ORD ≥ 40		ORD < 40		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
1-2	507	70.4%	647	56.0%	1154	61.5%
3 or more	213	29.6%	509	44.0%	722	38.5%
Total	720	100.0%	1156	100.0%	1876	100.0%

Table 91. Frequency and percentage of EMP scores above and below threshold (1-2 Lanes versus 3 or More Lanes; divided highway and one-way traffic).

Travel Lanes:	PERC ≥ 12		PERC < 12		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
1-2	205	73.5%	1012	60.2%	1217	62.1%
3 or more	74	26.5%	668	39.8%	742	37.9%
Total	279	100.0%	1680	100.0%	1959	100.0%

ROADWAY ALIGNMENT

Table 92 shows the frequency and percentage of Roadway Alignment per event classification, including baseline epochs and the relative frequency of safety-critical events. Over 90 percent of both safety-critical events and baseline epochs occurred on a straight roadway. However, the highest relative frequency for safety-critical events on these roadways was found for roads curving to the right (0.43).

Table 92. Frequency and percentage of Roadway Alignment.

Roadway Alignment:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	
Straight	12	85.7%	10	66.7%	109	90.8%	982	91.9%	1113	91.5%	1876	91.4%	0.37
Curve right	1	7.1%	3	20.0%	6	5.0%	55	5.1%	65	5.3%	87	4.2%	0.43
Curve left	1	7.1%	1	6.7%	5	4.2%	31	2.9%	38	3.1%	79	3.8%	0.32
Unknown	0	0.0%	1	6.7%	0	0.0%	0	0.0%	1	0.1%	11	0.5%	0.08
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%	2053	100.0%	

Table 93 & table 94 display the frequency and percentage of Roadway Alignment (Straight versus Curved) which were above and below the fatigue threshold ORD and EMP scores, respectively. Odds ratio calculations revealed no significant difference in the odds of ORD scores (OR = 1.10; LCL = 0.82; UCL = 1.49) or EMP scores (OR = 0.68; LCL = 0.46; UCL = 1.01) being above/below their respective fatigue thresholds when comparing these conditions.

Table 93. Frequency and percentage of ORD scores above and below threshold (Straight versus Curved Roadway Alignment).

Roadway Alignment:	ORD ≥ 40		ORD < 40		Total	
	Straight	913	92.7%	1584	92.0%	2497
Curved	72	7.3%	138	8.0%	210	7.8%
Total	985	100.0%	1722	100.0%	2707	100.0%

Table 94. Frequency and percentage of EMP scores above and below threshold (Straight versus Curved Roadway Alignment).

Roadway Alignment:	PERC ≥ 12		PERC < 12		Total	
	Straight	288	89.7%	1869	92.8%	2157
Curved	33	10.3%	146	7.2%	179	7.7%
Total	321	100.0%	2015	100.0%	2336	100.0%

ROADWAY PROFILE

Table 95 displays the frequency and percentage of Roadway Profiles per event classification, including baseline epochs and the relative frequency of safety-critical events. A majority of both safety-critical events and baseline epochs occurred on a level roadway profile when considering raw frequencies (94.2 and 95.6 percent, respectively). However, the highest relative frequency for safety-critical events occurred on roadways with an upward grade (0.57). Sagging roadway profiles were observed in three baseline epochs, however, none of these roadways were observed for safety-critical events (relative frequency of zero). The next lowest relative frequency was found for roads with a downward grade (0.26).

Table 95. Frequency and percentage of Roadway Profiles.

Roadway Profile:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
	Level	14	100.0%	13	86.7%	119	99.2%	1000	93.6%	1146	94.2%	1962	
Grade up	0	0.0%	1	6.7%	1	0.8%	53	5.0%	55	4.5%	42	2.0%	0.57
Grade down	0	0.0%	1	6.7%	0	0.0%	15	1.4%	16	1.3%	45	2.2%	0.26
Hillcrest	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	N/A
Sag	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	3	0.1%	0.00
Unknown	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	1	0.0%	0.00
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%	2053	100.0%	

Table 96 & table 97 display the frequency and percentage of Roadway Profiles (Level versus Graded) which were above and below the fatigue threshold ORD and EMP scores, respectively. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 2.66 times greater (LCL = 1.84, UCL = 3.84) when the roadway was level. However, an odds ratio calculation revealed no significant difference in the odds of EMP scores being above/below the fatigue threshold when comparing these conditions (OR = 0.64; LCL = 0.39; UCL = 1.04).

Table 96. Frequency and percentage of ORD scores above and below threshold (Level versus Graded Roadway Profile).

Roadway Profile:	ORD ≥ 40		ORD < 40		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
Level	924	96.3%	1642	90.6%	2566	92.6%
Grade up/down	36	3.8%	170	9.4%	206	7.4%
Total	960	100.0%	1812	100.0%	2772	100.0%

Table 97. Frequency and percentage of EMP scores above and below threshold (Level versus Graded Roadway Profile).

Roadway Profile:	PERC ≥ 12		PERC < 12		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
Level	300	93.5%	1928	95.7%	2228	95.4%
Grade up/down	21	6.5%	86	4.3%	107	4.6%
Total	321	100.0%	2014	100.0%	2335	100.0%

TRAFFIC DENSITY

Table 98 shows the frequency and percentage of Traffic Density per event classification, including baseline epochs and the relative frequency of safety-critical events. The definition for each level of service (LOS) can be viewed in appendix A. The Traffic Density is listed in increasing order from LOS A to LOS F. Four safety-critical events were observed in LOS F conditions, while none were observed in baseline epochs (relative frequency of 1.0). The second highest relative frequency for safety-critical events was found for LOS D (0.82), while the lowest relative frequency was found for LOS A (0.30), despite the fact that the raw frequencies of events and baselines for this code were quite high.

Table 98. Frequency and percentage of Traffic Density.

Traffic Density:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	
LOS A	13	92.9%	10	66.7%	66	55.0%	567	53.1%	656	53.9%	1533	74.7%	0.30
LOS B	1	7.1%	1	6.7%	27	22.5%	306	28.7%	335	27.5%	454	22.1%	0.42
LOS C	0	0.0%	4	26.7%	20	16.7%	132	12.4%	156	12.8%	47	2.3%	0.77
LOS D	0	0.0%	0	0.0%	2	1.7%	43	4.0%	45	3.7%	10	0.5%	0.82
LOS E	0	0.0%	0	0.0%	3	2.5%	17	1.6%	20	1.6%	6	0.3%	0.77
LOS F	0	0.0%	0	0.0%	2	1.7%	2	0.2%	4	0.3%	0	0.0%	1.00
Unknown	0	0.0%	0	0.0%	0	0.0%	1	0.1%	1	0.1%	3	0.1%	0.25
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%	2053	100.0%	

Table 99 & table 100 display the frequency and percentage of Traffic Density (LOS A or B versus LOS C-F) which were above and below the fatigue threshold ORD and EMP scores, respectively. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 2.44 times greater (LCL = 1.73, UCL = 3.43) when the traffic density was in the lower condition (LOS A or B). However, an odds ratio calculation revealed no significant difference in the odds of EMP scores being above/below the fatigue threshold when comparing these conditions (OR = 1.66; LCL = 0.99; UCL = 2.77).

Table 99. Frequency and percentage of ORD scores above and below threshold (LOS A or B versus LOS C-F Traffic Density).

Traffic Density:	ORD ≥ 40		ORD < 40		Total	
	LOS A & B	916	95.5%	1548	89.7%	2464
LOS C-F	43	4.5%	177	10.3%	220	8.2%
Total	959	100.0%	1725	100.0%	2684	100.0%

Table 100. Frequency and percentage of EMP scores above and below threshold (LOS A or B versus LOS C-F Traffic Density).

Traffic Density:	PERC ≥ 12		PERC < 12		Total	
	LOS A & B	304	94.7%	1843	91.5%	2147
LOS C-F	17	5.3%	171	8.5%	188	8.1%
Total	321	100.0%	2014	100.0%	2335	100.0%

CONSTRUCTION ZONE-RELATED

Table 101 shows the frequency and percentage of Construction Zone codes per event classification, including baseline epochs and the relative frequency of safety-critical events. While most of the safety-critical events and baseline epochs occurred in a non-construction zone (93.9 percent and 99.1 percent, respectively), this represented the lowest relative frequency for safety-critical events (0.36). The highest relative frequency was found for construction-zone-related conditions (0.86).

Table 101. Frequency and percentage of Construction-Zone-Related events.

Construction Zone:	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
	Not construction zone-related	11	78.6%	14	93.3%	106	88.3%	1012	94.8%	1143	93.9%	2034	
Construction zone	3	21.4%	1	6.7%	9	7.5%	41	3.8%	54	4.4%	15	0.7%	0.78
Construction zone -related	0	0.0%	0	0.0%	4	3.3%	14	1.3%	18	1.5%	3	0.1%	0.86
Unknown	0	0.0%	0	0.0%	1	0.8%	1	0.1%	2	0.2%	1	0.0%	0.67
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%	2053	100.0%	

Table 102 & table 103 display the frequency and percentage of Construction Zone Relation (Not Construction Zone-Related versus Construction Zone-Related) which were above and below the fatigue threshold ORD and EMP scores, respectively. Odds ratio calculations revealed no significant difference in the odds of ORD scores (OR = 1.16; LCL = 0.71; UCL = 1.90) or EMP scores (OR = 2.50; LCL = 0.90; UCL = 6.92) being above/below their respective fatigue thresholds when comparing these conditions.

Table 102. Frequency and percentage of ORD scores above and below threshold (Not Construction Zone versus Construction Zone-Related).

Construction Zone:	ORD ≥ 40		ORD < 40		Total	
Not construction zone-related	934	97.5%	1677	97.1%	2611	97.2%
Construction zone or construction zone-related	24	2.5%	50	2.9%	74	2.8%
Total	958	100.0%	1727	100.0%	2685	100.0%

Table 103. Frequency and percentage of EMP scores above and below threshold (Not Construction Zone versus Construction Zone-Related).

Construction Zone:	PERC ≥ 12		PERC < 12		Total	
Not construction zone-related	315	98.7%	1954	96.9%	2269	97.2%
Construction zone or construction zone-related	4	1.3%	62	3.1%	66	2.8%
Total	319	100.0%	2016	100.0%	2335	100.0%

PRE-EVENT SPEED (ALL EVENTS)

Table 104 shows the frequency and percentage of Pre-Event Speed for all events per event classification, including baseline epochs and the relative frequency of safety-critical events. The Pre-Event Speed was coded for the period just prior to the occurrence of the safety-critical event and/or just prior to any avoidance maneuver for V1. For example, when braking was involved, the Pre-Event Speed was the speed just prior to the beginning of braking for V1. For baseline epochs, data reductionists coded the speed at the end of the 60-second baseline interval. While the raw frequencies of events and baselines are greatest for the 51-60 mi/h category, this had a somewhat low relative frequency of safety-critical events (0.28). The highest relative frequency of safety-critical events was found for speeds of 31-40 mi/h (0.69), followed closely by speeds of 0-30 mi/h (0.68). The lowest relative frequency occurred when the pre-event speed was 70+ mi/h (0.12), followed closely by pre-event speeds of 61-70 mi/h (0.13).

Table 104. Frequency and percentage of Pre-Event Speed (all events).

Pre-Event Speed (mi/h):	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
	Count	%	Count	%	Count	%	Count	%	Count	%	Count	%	
0 - 30	7	50.0%	14	93.3%	34	28.3%	270	25.3%	325	26.7%	150	7.3%	0.68
31 -40	2	14.3%	1	6.7%	21	17.5%	202	18.9%	226	18.6%	100	4.9%	0.69
41 -50	0	0.0%	0	0.0%	16	13.3%	195	18.3%	211	17.3%	147	7.2%	0.59
51 -60	3	21.4%	0	0.0%	33	27.5%	304	28.5%	340	27.9%	878	42.8%	0.28
61 -70	2	14.3%	0	0.0%	16	13.3%	94	8.8%	112	9.2%	753	36.7%	0.13
70 +	0	0.0%	0	0.0%	0	0.0%	3	0.3%	3	0.2%	25	1.2%	0.12
Total	14	100.0%	15	100.0%	120	100.0%	1068	100.0%	1217	100.0%	2053	100.0%	

Table 105 & Table 106 display the frequency and percentage of Vehicle Pre-Event Speed (0-54 mi/h versus 55+ mi/h) which were above and below the fatigue threshold ORD and EMP scores, respectively. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 1.73 times greater (LCL = 1.47, UCL = 2.05) when the Vehicle Pre-Event Speed was ≥ 55 mi/h. The odds of a driver having an EMP score of 12 or higher were 1.56 times greater (LCL = 1.21, UCL = 2.01) when the Vehicle Pre-Event Speed was ≥ 55 mi/h.

Table 105. Frequency and percentage of ORD scores above and below threshold (Below and Above 55 mi/h; all events & baselines).

Vehicle 1 Pre-Event Speed:	ORD ≥ 40		ORD < 40		Total	
	Count	%	Count	%	Count	%
0-54 mph	305	31.7%	771	44.6%	1076	40.0%
≥ 55 mph	656	68.3%	956	55.4%	1612	60.0%
Total	961	100.0%	1727	100.0%	2688	100.0%

Table 106. Frequency and percentage of EMP scores above and below threshold (Below and Above 55 mi/h; All Events & Baselines).

Vehicle 1 Pre-Event Speed:	PERC ≥ 12		PERC < 12		Total	
	Count	%	Count	%	Count	%
0-54 mph	98	30.5%	821	40.7%	919	39.3%
≥ 55 mph	223	69.5%	1196	59.3%	1419	60.7%
Total	321	100.0%	2017	100.0%	2338	100.0%

PRE-EVENT SPEED (SINGLE-VEHICLE EVENTS)

Table 107 shows the frequency and percentage of Pre-Event Speeds for single-vehicle events per event classification, including baseline epochs and the relative frequency of safety-critical events. The highest relative frequencies of single-vehicle safety-critical events was found for speeds of 0-40 mi/h, while the lowest relative frequency was found for speeds of 61-70 mi/h (0.04).

Table 107. Frequency and percentage of Pre-Event Speed (single-vehicle events & baselines).

Pre-Event Speed (mi/h):	Crashes		Crash: Tire Strike		Near- Crashes		Crash- Relevant Conflicts		Total Safety- Critical Events		Baseline Epochs		Relative Freq
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	
0 - 30	6	50.0%	14	93.3%	11	23.9%	46	19.5%	77	24.9%	150	7.3%	0.34
31 -40	1	8.3%	1	6.7%	6	13.0%	46	19.5%	54	17.5%	100	4.9%	0.35
41 -50	0	0.0%	0	0.0%	9	19.6%	48	20.3%	57	18.4%	147	7.2%	0.28
51 -60	3	25.0%	0	0.0%	13	28.3%	73	30.9%	89	28.8%	878	42.8%	0.09
61 -70	2	16.7%	0	0.0%	7	15.2%	21	8.9%	30	9.7%	753	36.7%	0.04
70 +	0	0.0%	0	0.0%	0	0.0%	2	0.8%	2	0.6%	25	1.2%	0.07
Total	12	100.0%	15	100.0%	46	100.0%	236	100.0%	309	100.0%	2053	100.0%	

Table 108 & table 109 display the frequency and percentage of Vehicle Pre-Event Speed (0-54 mi/h versus 55+ mi/h) which were above and below the fatigue threshold ORD and EMP scores, respectively, for single-vehicle events and baselines only. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 1.38 times greater (LCL = 1.13, UCL = 1.69) when the Vehicle Pre-Event Speed was ≥ 55 mi/h. The odds of a driver having an EMP score of 12 or higher were 1.58 times greater (LCL = 1.19, UCL = 2.08) when the Vehicle Pre-Event Speed was ≥ 55 mi/h.

Table 108. Frequency and percentage of ORD scores above and below threshold (below and above 55 mi/h; single-vehicle events & baselines).

Vehicle 1 Pre-Event Speed:	OR ≥ 40		OR < 40		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
0-54 mph	204	25.5%	382	32.1%	586	29.4%
≥ 55 mph	597	74.5%	809	67.9%	1406	70.6%
Total	801	100.0%	1191	100.0%	1992	100.0%

Table 109. Frequency and percentage of EMP scores above and below threshold (below and above 55 mi/h; single-vehicle events & baselines).

Vehicle 1 Pre-Event Speed:	PERC ≥ 12		PERC < 12		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
0-54 mph	83	30.9%	570	87.3%	653	39.6%
≥ 55 mph	186	69.1%	811	81.3%	997	60.4%
Total	269	100.0%	1381	168.6%	1650	100.0%

PRE-EVENT SPEED (MULTIPLE-VEHICLE EVENTS)

Table 110 shows the frequency and percentage of Pre-Event Speed for multi-vehicle events per event classification, including baseline epochs and the relative frequency of safety-critical events. The highest relative frequencies of multiple-vehicle safety-critical events were found for speeds of 0-40 mi/h, while the lowest relative frequency was found for speeds of 70+ mi/h (0.04).

Table 110. Frequency and percentage of Pre-Event Speed (multiple-vehicle events & baselines).

Pre-Event Speed (mi/h):	Crashes		Crash: Tire Strike		Near-Crashes		Crash- Relevant Conflicts		Total Safety-Critical Events		Baseline Epochs		Relative Freq
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	
0 - 30	1	50.0%	0	0.0%	23	31.1%	225	27.0%	249	27.4%	150	7.3%	0.62
31 -40	1	50.0%	0	0.0%	15	20.3%	156	18.8%	172	18.9%	100	4.9%	0.63
41 -50	0	0.0%	0	0.0%	7	9.5%	146	17.5%	153	16.9%	147	7.2%	0.51
51 -60	0	0.0%	0	0.0%	22	29.7%	231	27.8%	253	27.9%	878	42.8%	0.22
61 -70	0	0.0%	0	0.0%	7	9.5%	73	8.8%	80	8.8%	753	36.7%	0.10
70 +	0	0.0%	0	0.0%	0	0.0%	1	0.1%	1	0.1%	25	1.2%	0.04
Total	2	100.0%	0	0.0%	74	100.0%	832	100.0%	908	100.0%	2053	100.0%	

Table 111 & table 112 display the frequency and percentage of Vehicle Pre-Event Speed (0-54 mi/h versus ≥ 55 mi/h) which were above and below the fatigue threshold ORD and EMP scores, respectively, for multiple-vehicle events and baselines only. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 1.43 times greater (LCL = 1.04, UCL = 1.97) when the Vehicle Pre-Event Speed was ≥ 55 mi/h. However, an odds ratio calculation revealed no significant difference in the odds of EMP scores being above the fatigue threshold when comparing these conditions (OR = 1.34; LCL = 0.73; UCL = 2.46).

Table 111. Frequency and percentage of ORD scores above and below threshold (below and above 55 mi/h; multiple-vehicle events & baselines).

Vehicle 1 Pre-Event Speed:	OR ≥ 40		OR < 40		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
0-54 mph	87	37.3%	239	46.1%	326	43.4%
≥ 55 mph	146	62.7%	280	53.9%	426	56.6%
Total	233	100.0%	519	100.0%	752	100.0%

Table 112. Frequency and percentage of EMP scores above and below threshold (below and above 55 mi/h; multiple-vehicle events & baselines).

Vehicle 1 Pre-Event Speed:	PERC ≥ 12		PERC < 12		Total	
	Count	Percentage	Count	Percentage	Count	Percentage
0-54 mph	17	34.0%	260	40.9%	277	40.4%
≥ 55 mph	33	66.0%	376	59.1%	409	59.6%
Total	50	100.0%	636	100.0%	686	100.0%

SUMMARY OF RESULTS

Below is a summary of the fatigue/drowsiness relevant results from this study.

- **Observer Rating of Drowsiness Summary:** Drivers were above the ORD fatigue threshold (≥ 40) in 26.4 percent of all the safety-critical events identified in this research. Drivers were above the ORD fatigue threshold in 22.3 percent of the most severe of these safety-critical events (i.e., crashes/near-crashes; $n = 112$). Odds ratio calculations indicated that the estimated relative risk of being involved in a safety-critical event, when compared to baseline epochs, was 1.93 times greater (LCL = 1.63; UCL = 2.30) when the ORD rating was below the fatigue threshold (i.e., a rating of less than 40).
- **EMP Summary:** Drivers were above the EMP fatigue threshold (≥ 12 percent) in 9.9 percent of all the safety-critical events identified. Drivers were above the EMP fatigue threshold in 16.5 percent of the most severe of these safety-critical events (i.e., crashes/near-crashes; $n = 97$). Odds ratio calculations indicated that the estimated relative risk of being involved in a safety-critical event, when compared to baseline epochs, was 1.70 times greater (LCL = 1.30; UCL = 2.23) when the EMP rating was below the fatigue threshold (i.e., a score of less than 12 percent).
- **DDWS FOT Condition:** The data for this project were leveraged from an on-road evaluation of a DDWS. Drivers were assigned to the experimental group, which received audible warnings when the technology believed they were becoming drowsy, and the control group, which received no such warning. Perhaps counterintuitively, the odds of a driver in the experimental condition being scored over the fatigue threshold were 1.45 times greater for ORD (LCL = 1.19; UCL = 1.78) and 1.62 times greater for EMP (LCL = 1.17; UCL = 2.25) when compared to control drivers.
- **Day of Week:** When dividing the week into early week (Monday-Wednesday) and late week (Thursday – Sunday), odds ratio calculations revealed no significant differences for having an ORD (OR = 1.13; LCL = 0.93; UCL = 1.36) or EMP (OR = 1.15; LCL = 0.86; UCL = 1.53) score above/below their respective fatigue thresholds when comparing these conditions.
- **Time of Day:** Odds ratio calculations revealed no significant differences for having an ORD score (OR = 1.01; LCL = 0.86; UCL = 1.18) or EMP score (OR = 1.0; LCL = 0.79; UCL = 1.27) above/below their respective fatigue thresholds when comparing a.m. versus p.m. driving. There were also no significant differences for drivers having an ORD (OR = 1.13; LCL = 0.92; UCL = 1.39) or EMP score (OR = 1.14; LCL = 0.83; UCL = 1.55) above/below their respective fatigue thresholds when comparing typical circadian rhythm timeframes with non-circadian rhythm timeframes.
- **Number of Vehicles Involved:** An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 1.79 times greater (LCL = 1.31, UCL = 2.44) when a single vehicle was involved. Similarly, the odds of a driver having an

EMP score of 12 or higher were 2.43 times greater (LCL = 1.51, UCL = 3.90) when a single vehicle was involved.

- **Vehicle 2 Position:** There was some discrepancy between the fatigue measures when examining the position of V2 relative to V1 for multiple-vehicle events. An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 1.67 times greater (LCL = 1.15, UCL = 2.41) when the Vehicle Position was in front of V1. However, the odds of a driver having an EMP score of 12 or higher were 2.04 times greater (LCL = 1.25, UCL = 3.33) when the Vehicle Position was other than the front of V1.
- **Fault:** An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 2.08 times greater (LCL = 1.39; UCL = 3.13) when Vehicle 1 was at fault. However, an odds ratio calculation showed no significant difference in the odds of a driver having an EMP score being above/below the fatigue threshold when comparing these conditions (OR = 0.63; LCL = 0.37; UCL = 1.06).
- **Safety Belt Use:** An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 1.69 times greater (LCL = 1.35, UCL = 2.11) when the driver was not wearing a safety belt. However, an odds ratio calculation showed no significant difference in the odds of a driver having an EMP score above/below the fatigue threshold when comparing safety belt use (OR = 1.08; LCL = 0.85; UCL = 1.37).
- **Vision Obstructions:** Comparisons were made between when data reductionists noted any obstruction to the driver's vision (e.g., glare) and when no obstruction was noted. Odds ratio calculations revealed no significant difference in the odds of ORD scores (OR = 1.44; LCL = 0.89; UCL = 2.31) or EMP scores (OR = 1.33; LCL = 0.63; UCL = 2.78) being above/below their respective fatigue thresholds when comparing these conditions.
- **Potential Distractions:** Comparisons were made between when data reductionists noted any potential distractions to the driver (e.g., cell phone use) and when no such distractions were noted. Odds ratio calculations revealed no significant difference in the odds of ORD scores (OR = 1.10; LCL = 0.86; UCL = 1.40) or EMP scores (OR = 0.82; LCL = 0.56; UCL = 1.22) being above/below their respective fatigue thresholds when comparing these conditions.
- **Light Condition:** An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 3.89 times greater (LCL = 3.26; UCL = 4.65) when the light condition was dark, as opposed to daylight. Likewise, the odds of a driver having an EMP score of 12 or higher were 2.14 times greater (LCL = 1.67; UCL = 2.76) when the light condition was dark as opposed to daylight. However, when comparisons were made between dark versus dark but lighted conditions, no significant odds ratio differences were found for ORD scores (OR = 1.21; LCL = 0.87; UCL = 1.68) or EMP scores (OR = 1.18; LCL = 0.73; UCL = 1.89) being above/below their respective fatigue thresholds.
- **Weather:** Odds ratio comparisons revealed no significant differences in fatigue above/below threshold for ORD scores (OR = 1.00; LCL = 0.74; UCL = 1.37) or EMP

scores (OR = 1.05; LCL = 0.66; UCL = 1.67) when comparing situations where no adverse weather conditions were present to situations where any adverse weather conditions were present.

- **Roadway Surface Conditions:** Odds ratio comparisons revealed no significant differences in fatigue above/below threshold for ORD scores (OR = 1.01; LCL = 0.63; UCL = 1.59) or EMP scores (OR = 1.14; LCL = 0.74; UCL = 1.77) when comparing situations where the road surface was dry to those when the surface was other than dry.
- **Relation to Junction:** Calculations revealed that the odds of a driver having an ORD score of 40 or higher were 7.33 times greater (LCL = 5.66, UCL = 9.49) when the situation was not junction-related compared to intersection/intersection-related. The odds of a driver having an EMP score of 12 or higher were 1.95 times greater (LCL = 1.26; UCL = 3.02) when the situation was not junction-related compared to intersection/intersection-related. No significant differences were found in fatigue scores being above/below threshold for ORD scores (OR = 1.25; LCL = 0.82; UCL = 1.90) or EMP scores (OR = 1.03; LCL = 0.50; UCL = 2.12) when comparing intersection-related events to those occurring on an entrance/exit ramp.
- **Trafficway Flow:** The odds of a driver having an ORD score of 40 or higher were 1.28 times greater (LCL = 1.04., UCL = 1.58) when the Trafficway Flow was divided compared to undivided. However, an odds ratio calculation revealed no significant difference in the odds of EMP scores (OR = 1.23; LCL = 0.86; UCL = 1.77) being above/below the fatigue threshold when comparing these conditions.
- **Number of Travel Lanes:** Across all road types, the odds of a driver having an ORD score of 40 or higher were 1.78 times greater (LCL = 1.48, UCL = 2.12) when there were 1-2 lanes compared to 3 or more lanes. Similarly, the odds of a driver having an EMP score of 12 or higher were 1.78 times greater (LCL = 1.37, UCL = 2.33) when there were 1-2 lanes, as compared to 3 or more lanes. When looking at undivided highways only, the odds of a driver having an ORD score of 40 or higher were 1.58 times greater (LCL = 1.02, UCL = 2.45) when there were 1-2 lanes compared to 3 or more lanes. However, there was no significant difference in the odds of a driver having an EMP score above/below the fatigue threshold under these conditions (OR = 0.63; LCL = 0.24; UCL = 1.65). When looking at divided highways and one-way traffic, the odds of a driver having an ORD score of 40 or higher were 1.87 times greater (LCL = 1.54, UCL = 2.28) when there were 1-2 lanes compared to 3 or more lanes. However, the odds of a driver having an EMP score of 12 or higher were 1.83 times greater (LCL = 1.38, UCL = 2.43) when there were 3 or more lanes compared to 1-2 lanes.
- **Roadway Alignment:** Odds ratio calculations revealed no significant difference in the odds of ORD scores (OR = 1.10; LCL = 0.82; UCL = 1.49) or EMP scores (OR = 0.68; LCL = 0.46; UCL = 1.01) being above/below their respective fatigue thresholds when comparing straight roadway conditions to curved roadway conditions.
- **Roadway Profile:** The odds of a driver having an ORD score of 40 or higher were 2.66 times greater (LCL = 1.84, UCL = 3.84) when the roadway was level, as compared to

graded roadways. However, an odds ratio calculation revealed no significant difference in the odds of EMP scores being above/below the fatigue threshold when comparing these conditions (OR = 0.64; LCL = 0.39; UCL = 1.04).

- **Traffic Density:** An odds ratio calculation revealed that the odds of a driver having an ORD score of 40 or higher were 2.44 times greater (LCL = 1.73, UCL = 3.43) when the traffic density was in the lower condition (LOS A or B). However, an odds ratio calculation revealed no significant difference in the odds of EMP scores being above/below the fatigue threshold when comparing these conditions (OR = 1.66; LCL = 0.99; UCL = 2.77).
- **Construction Zones:** Odds ratio calculations revealed no significant difference in the odds of ORD scores (OR = 1.16; LCL = 0.71; UCL = 1.90) or EMP scores (OR = 2.50; LCL = 0.90; UCL = 6.92) being above their respective fatigue thresholds when comparing construction zone-related driving to non-construction zone-related driving.
- **Vehicle Pre-Event Speed:** When examining all events and baselines, the odds of a driver having an ORD score of 40 or higher were 1.73 times greater (LCL = 1.47, UCL = 2.05) when the Vehicle Pre-Event Speed was ≥ 55 mi/h when compared to 54 mi/h or less. Similarly, the odds of a driver having an EMP score of 12 or higher were 1.56 times greater (LCL = 1.21, UCL = 2.01) when the Vehicle Pre-Event Speed was ≥ 55 mi/h as compared to 54 mi/h or less. When examining single-vehicle events only, the odds of a driver having an ORD score of 40 or higher were 1.38 times greater (LCL = 1.13, UCL = 1.69), and an EMP score of 12 or higher were 1.58 times greater (LCL = 1.19, UCL = 2.08) when the Vehicle Pre-Event Speed was ≥ 55 mi/h. When examining multiple- vehicle events only, the odds of a driver having an ORD score of 40 or higher were 1.43 times greater (LCL = 1.04, UCL = 1.97) when the Vehicle Pre-Event Speed was ≥ 55 mi/h as compared to 54 mi/h or less. However, an odds ratio calculation revealed no significant difference in the odds of EMP scores being above/below the fatigue threshold when comparing these conditions (OR = 1.34; LCL = 0.73; UCL = 2.46).

CHAPTER 7. DISCUSSION

OVERVIEW

The DDWS FOT is the largest CMV naturalistic driving study ever conducted by the United States DOT. Forty-six trucks were instrumented and 103 CMV drivers participated in this study, resulting in almost 46,000 driving-data hours covering 2.3 million miles traveled. More than one-quarter million data, video, and ASCII text files were gathered (279,600 files total), which represent approximately 12 TB of data from video and dynamic sensor files. Using in-house computer software, VTTI researchers scanned the data to identify and validate triggers indicative of safety-critical events. A total of 1,217 valid safety-critical events were identified (14 crashes, 15 crash: tire-strikes, 120 near-crashes, and 1,068 crash-relevant conflicts). In addition, 2,053 baseline driving epochs were selected and validated for comparison purposes.

FATIGUE MEASURES

The objective of the present study was to utilize this large data set to explore driving conditions associated with driver fatigue. Two independent measures of fatigue were implemented using video data. The ORD measure is a subjective procedure by which data analysts observed drivers' facial features and behavior for 1 min prior to an event trigger (or randomly selected baseline epoch) to rate drowsiness on a scale from 0-100, with 100 representing "extremely drowsy". Ratings greater than or equal to 40 were considered indicative of fatigue.

Of the 2,688 combined safety-critical events and baseline epochs which could be scored for ORD, the mean was 34.8 (SD = 16.0), with a range of 1.4 to 96. The highest relative frequencies for safety-critical events occurred when the ORD ratings were below 40. The single highest relative frequency for safety-critical events was for ORD scores of 0 - 9.99 (0.58), while the lowest relative frequency was for ORD scores of 90+ (0.17).

When examining all of the safety-critical events identified in this study for which ORD could be completed, 26.4 percent of them included an ORD score above the fatigue threshold. Examining the most severe of these safety-critical events (i.e., crashes/near-crashes), 22.3 percent were above the fatigue threshold. These results are comparable to those found in previous naturalistic

studies. For example, Dingus et al. (2006)⁽⁵⁾ found that fatigue was a contributing factor in 20 percent of 82 crashes and 16 percent of 761 near-crashes captured in the naturalistic "100-Car" study. Also, Hanowski et al. (2000)⁽¹⁾ identified fatigue as a contributing factor in 21 percent of 249 safety-critical incidents identified in a naturalistic study with local/short-haul truck drivers.

EMP is a somewhat more objective measure whereby data analysts manually coded whether the drivers' eyes were open or 80-100 percent closed (non-inclusive of rapid eye blinks) at 1/10 of a second for 3 min prior to an event trigger (or randomly selected baseline epoch). This manual coding would then be used to produce a percentage of time the eyes were 80-100 percent closed for that time interval. EMP scores of greater than or equal to 12 percent were considered indicative of fatigue.

Of the 2,338 combined safety-critical events and baseline epochs which could be scored for EMP, the mean was 5.86 percent (SD = .05), with a range of 0 – 30.6 percent.

The highest relative frequency occurred for EMP scores of 0 – 12.49 (0.36), which is below the fatigue threshold, while the lowest relative frequency was found for EMP scores of 25percent+ (0.15).

When examining all of the safety-critical events identified in this study for which EMP could be completed, 9.9 percent of them included an EMP score above the fatigue threshold. Examining the most severe of these safety-critical events (i.e., crashes/near-crashes), 16.5 percent were above the fatigue threshold. While an EMP value of 12 percent or more was used in the current study as the fatigue threshold based on the findings and recommendations of Wierwille, Hanowski, Olson, et al. (2003)⁽⁴⁾, other research involving the evaluation of DDWS technology has used the PERCLOS value of 8 percent to give drivers an initial advisory tone alert indicating to them that they are approaching a full warning at the PERCLOS fatigue threshold of 12 percent (Wierwille, Hanowski, Olson, et al., 2003⁽⁴⁾; Hanowski, Blanco, Nakata, et al., in press⁽⁶⁾). It is interesting that when looking at total safety-critical events in the current study, those with an EMP score of 8 percent or more represented 20.9 percent of these cases. When examining crashes/near-crashes, those with an EMP score of 8 percent or more represented 23.7 percent of these cases. When using this more liberal EMP fatigue threshold of 8 percent or more, the percentage of those above threshold are again comparable to previous research whereby fatigue is identified as a contributing factor in approximately 20 percent of safety-critical events.

Furthermore, when data reductionists gave their impression of contributing factors to safety-critical incidents in this study, 21.4 percent of crashes and 15.8 percent of near-crashes had fatigue/drowsiness listed as a possible contributing factor. These assessments were made independently of the ORD and EMP scores.

The results of the ORD, EMP, and possible contributing factors measures in this study provide further support for the findings that fatigue/drowsiness is associated with a significant proportion of safety-critical events.

ORD versus EMP

Clearly there is a noticeable difference in the fatigue measures. There was much more variability in ORD scores when compared to EMP scores, and the two were weakly correlated ($r = .21$). As described above, this may be attributable to the fact that the measures are based on different information. Specifically, ORD ratings take into account the physical appearance of the driver (e.g., drooping facial features indicative of drowsiness) and behaviors (e.g., yawning), while EMP is based solely on the percentage of a time interval the eyes were 80-100 percent closed, non-inclusive of blinks. This difference in scope of the two fatigue measures may explain why the descriptive statistics of the two are so different. In addition, due to its nature, the ORD rating procedure is very subjective, while the EMP procedure is fairly objective (note: there was some subjectivity as to whether the eyes were mostly closed or open when manually scoring PERCLOS).

The ORD measure is a relatively simple and efficient means for rating driver drowsiness. Each rating took between 1-2 min to complete, in contrast to EMP, which took approximately 15 min to complete a single rating. One limitation to the ORD procedure, however, is the vagueness of the rating protocol, which was developed using simulated data. Data analysts would read fairly broad descriptions of four quadrants in the 0-100 score range (i.e., slightly, moderately, very, and

extremely drowsy) and would use their judgment to assign a rating to a driver once s/he was viewed for 1 min prior to an event or baseline epoch. No training was completed for implementing ORD. Future research should focus on developing a more rigorous measurement and training protocol for use in naturalistic driving studies. By developing, evaluating, and validating an ORD training program and protocol with naturalistic data, rater variability may be reduced, and ORD may become a more rigorous measure of fatigue. Nonetheless, the fatigue ratings completed for the present study are both useful in determining how impaired drivers were, based on two independent methodologies which focus on different aspects of the driver.

Data were analyzed for this report for each fatigue measure and, for the most part, the ratings provided similar results.

ODDS RATIO FINDINGS

The odds of experiencing a safety-critical event, when compared to baseline epochs, were greater when the ORD and EMP scores were below their respective thresholds. This is expected given the findings that most safety-critical incidents occur when the driver is alert. One possible explanation for this is that drivers were more likely to be involved in a safety-critical event, when compared to baseline, given higher traffic density. An odds ratio calculation revealed that the odds of a driver experiencing a safety-critical event, when compared to baseline epochs, were

7.16 times greater when the traffic density variable was coded between LOS C-F, as opposed to lower traffic density of LOS A-B. This makes sense since one would assume a greater safety risk when there are more vehicles on the road. In terms of fatigue, it may be the case that as drivers are in conditions where more traffic is present, their level of alertness is higher given the greater amounts of stimuli. This is supported by the finding that drivers were 2.44 times more likely to have an ORD score above threshold when the traffic density was low (LOS A-B) as opposed to high (LOS C-F). Also, drivers were at greater relative risk for experiencing fatigue when on 1-2 lane roads as opposed to larger roads which can accommodate more traffic. Finally, when considering safety-critical events, the finding that one has greater odds of having a fatigue score over threshold when only a single vehicle was involved supports this line of reasoning.

Some of the other results of this study indicate that lower levels of stimuli in the driving environment may be associated with greater fatigue. For example, the estimated relative risk of fatigue was greater on level roads, non-junction-related road segments, and roads where a driver could travel at greater speeds. CMV drivers often drive long hours on interstates and highways that provide little or no scenery or other stimuli to help keep the driver alert.

The data for this project were leveraged from an on-road evaluation of a DDWS. Drivers were assigned to the experimental group (which received audible warnings when the technology believed they were becoming drowsy) and the control group (which received no such warning). Perhaps counter-intuitively, the odds of a driver in the experimental condition being scored as over the fatigue threshold were 1.45 times greater for ORD and 1.62 times greater for EMP when compared to control drivers. One possible explanation for this finding involves the concept of risk compensation (Peltzman, 1975).⁽⁷⁾ *Risk compensation* is based on the notion that people are presumed to regulate their behavior to compensate for changes in perceived risk. In other words, since the drivers in the experimental condition knew their level of fatigue was being monitored

by a machine that would alert them if they were becoming drowsy, they may have felt more comfortable driving while fatigued given this “safety net”.

Another interesting finding was that odds ratio calculations showed no significant differences for having an ORD or EMP score above the fatigue threshold when comparing a.m. versus p.m. driving. There were also no significant differences for having an ORD or EMP score above the fatigue threshold when comparing typical circadian rhythm time frames with non-circadian rhythm time frames. A possible explanation for this finding is that the study sample consisted of professional drivers who condition themselves and prepare to be awake and alert while holding somewhat unusual work schedules (e.g., early morning/late evening driving). So, it is possible that the drivers’ rest and sleep schedules differed so much that any differences in fatigue scores for a.m. versus p.m. or circadian rhythm versus non-circadian rhythm time frames were washed out. However, when considering light conditions, drivers had a greater estimated relative risk of being over the fatigue thresholds for ORD and EMP during dark conditions when compared to daylight conditions.

FUTURE DIRECTIONS FOR NSTSCE FATIGUE RESEARCH

Development and Evaluation of a Naturalistic ORD

As noted above, the ORD measure is a relatively simple and efficient means for rating driver drowsiness. However, a significant limitation to the ORD procedure is the vagueness of the rating protocol and lack of a systematic training procedure for data analysts. NSTSCE stakeholders have recently funded a study to develop and validate an ORD protocol and training program using naturalistic video data gathered from light and heavy vehicles. The overall objective of this project is to develop a more rigorous measurement and training protocol. If successful, this methodology will not only be the standard for ORD used in VTTI studies investigating fatigue, but can also be published for use by researchers in transportation and other fields.

Health and Fatigue

Another study recently funded by the NSTSCE stakeholders committee will focus on the relation of health and fatigue. A recent analysis of Motor Carrier Management Information System (MCMIS) and Commercial Driver’s License Information System (CDLIS) databases showed a 7 percent increase in the likelihood of experiencing a crash for obese drivers (Lantz, 2007).⁽³⁰⁾ To further explore this issue, a planning study will be conducted to determine the need and potential effectiveness of a diet and exercise guide program to encourage a healthy lifestyle and reduce fatigue among professional truck drivers.

The results and final reports of the above studies will be available in early 2009.

APPENDIX A: DATA CODING DIRECTORY

Event Variables

1. Event Identifier (C-N-I-B)

Comment: Each event will be assigned a file name that is automatically generated by the software.

2. Analyst Identifier (C-N-I-B)

Comment: Analysts/data reductionists will be identified by their log-ins.

3. Trigger Type (C-N-I-B)

00 = Not applicable (baseline epoch)

01 = Lateral acceleration

02 = Longitudinal acceleration

03 = CI button

04 = Lane deviation/bust

05 = Normalized lane position

06 = Forward Time-to-Collision

07 = Forward range

08 = Rear TTC

09 = Rear range

10 = Side object detection

11 = Lane change cut-off

12 = Yaw rate (“swerve”)

13 = ACN

14 = RF sensor

15 = Glare event

16 = Air bag

Comment: These are taken from the 100-Car Study coding, although a number of 100-Car triggers are not being used in the current study. Total will be somewhat greater than the total event N since some events will have more than one trigger. This variable will be automatically generated by the software.

4. Trigger Quantitative Value (C-N-I)

Maximum/minimum value of relevant triggers. For time-to-collision triggers, find the closest point where the two vehicles are still in a path to collision, and enter that number.

5. Event Classification (C-N-I-B)

00 = Invalid trigger. These are events where sensor readings were spurious or otherwise not safety-relevant due to a transient spike or some other anomaly.

00a = No video. One or more of the quadrants of video is out/not visible. It is not possible to obtain enough information to determine the event.

01 = Baseline driving epoch (selected randomly). These are one minute time periods that are randomly selected from the recorded data set. Baseline epochs will be described using many of the same variables and data elements used to describe and classify crashes, near-crashes, and incidents. Examples of such variables include ambient weather, roadway type, and driver behaviors. The creation of a baseline data set will enable the study to: (i) describe and characterize “normal” driving for the study sample and (ii) infer the increased or decreased risk associated with various conditions and driver behaviors by comparisons between the control (baseline) data set and the incident and/or near-crash data sets. For example, if 20 percent of incidents but only 10 percent of baseline epochs occurred during rain, one could infer that rain is associated with an increased incident rate and, therefore, increased risk.

02 = Crash. Any contact with an object, either moving or fixed, at any speed in which kinetic energy is measurably transferred or dissipated. Includes other vehicles, roadside barriers, objects on or off of the roadway, pedestrians, pedalcyclists or animals.

03 = Near-Crash (evasive maneuver). Any circumstance requiring a rapid, evasive maneuver by the subject vehicle, or any other vehicle, pedestrian, pedalcyclist, or animal to avoid a crash. A rapid, evasive maneuver is defined as a steering, braking, accelerating, or any combination of control inputs that approaches the limits of the vehicle capabilities. Any event where the driver swerves off of the side of the road, and any part of the truck leaves the pavement, will automatically be coded as a near-crash.

04 = Near-Crash (no evasive maneuver). Any circumstance that results in extraordinarily close proximity of the subject vehicle to any other vehicle, pedestrian, pedalcyclist, animal, or fixed object where, due to apparent unawareness on the part of the driver(s), pedestrians, pedalcyclists or animals, there is no avoidance maneuver or response. Extraordinarily close proximity is defined as a clear case where the absence of an avoidance maneuver or response is inappropriate for the driving circumstances (including speed, sight distance, etc.). Times-to-collision (TTCs) of less than 2.00 s are reviewed to assess whether they qualify as crash-relevant conflicts (or near-crashes); those with TTCs of less than 1.00 s are always coded as crash-relevant conflicts or near-crashes.

05 = Crash-relevant conflict (evasive maneuver). Any circumstance that requires a crash avoidance response on the part of the subject vehicle, any other vehicle, pedestrian, pedalcyclist, or animal that is less severe than a rapid evasive maneuver (as defined above), but greater in severity than a “normal maneuver” to avoid a crash. A crash avoidance response can include braking, steering, accelerating, or any combination of control inputs. A “normal maneuver” for the subject vehicle is defined as a control input that falls within the 99 percent confidence limit for control inputs for the initial study data sample. Examples of potential crash-relevant conflicts include hard braking by a driver because of a specific crash threat, or proximity to other vehicles. Evasive maneuvers resulting in unsafe and/or illegal maneuvers or situations should be included in this category (or as near-crashes if more severe). Longitudinal decelerations of $-0.35g$ or greater are reviewed to assess whether they

qualify as crash-relevant conflicts (or near-crashes); those with decelerations of -0.50g or greater are always coded as crash-relevant conflicts or near-crashes.

06 = Crash-relevant conflict (no evasive maneuver). Any circumstance that results in close proximity of the subject vehicle to any other vehicle, pedestrian, pedalcyclist, animal, or fixed object where, due to apparent unawareness on the part of the driver(s), pedestrians, pedalcyclists or animals, there is no avoidance maneuver or response. Extraordinarily close proximity is defined as a clear case where the absence of an avoidance maneuver or response is inappropriate for the driving circumstances (including speed, sight distance, etc.).

07 = Non-conflict. Any incident that has an above-threshold trigger, but which does not result in a crash, near-crash, or crash-relevant conflict as defined above. There is no abrupt evasive maneuver and no signs of any other unsafe condition such as a lane break. Driver errors may be observed, but they do not result in a traffic conflict. Examples include hard braking by a driver in the absence of a specific crash threat, or high lateral acceleration on curves not resulting in any loss-of-control, lane departure, or proximity to other vehicles.

Comment: Initial coding step. Invalid triggers and non-conflicts result in no further coding. Identification of two different types of near-crashes (i.e., evasive maneuver and proximity event) permits later disaggregation if desired. Definitions of each type of event are given above.

6. Date (C-N-I-B)

Comment: Raw data from vehicle.

7. Day of Week (C-N-I-B)

Comment: Raw data from vehicle.

8. Time (C-N-I-B)

Comment: Raw data from vehicle. For C-N-I events, time of maximum/minimum trigger value is recorded. For Baseline epochs, the end of the 30-second baseline period is recorded.

Format: Integer.

9. Vehicles/Non-Motorists Involved (C-N-I)

00 = Not applicable (baseline epoch)

01 = 1 vehicle (Subject vehicle only)

02 = 2 vehicles

03 = 3 vehicles

04 = 4 or more vehicles

05 = Subject vehicle + pedestrian

06 = Subject vehicle + pedalcyclist

07 = Subject vehicle + animal

08 = Other

Comment: Events involving the subject vehicle and an object (i.e., struck or potentially struck) are coded 01. For some events (e.g., those involving transient encroachment into an oncoming lane), it will be difficult to decide whether the event should be considered a one- or two-vehicle event. Consider the event a two-vehicle event if the crash resulting from the

incident would likely have involved two vehicles, and/or if either driver's maneuvers were influenced by the presence of the other vehicle (e.g., if DV1 maneuvered to avoid V2). Consider the event a one-vehicle event if the presence of other vehicles presented no immediate threat and had no effect on DV1's maneuvers or behaviors.

10. Which vehicle is considered to be at fault? (C-N-I)

- 00 = Not applicable (baseline epoch)
- 01 = Vehicle 1 (Subject vehicle)
- 02 = Vehicle 2 (Other vehicle; pedalcyclists, or animal)
- 09 = Unknown

Comment: The "at fault" vehicle is defined as the vehicle with the assigned Critical Reason.

11. Light Condition (C-N-I-B)

- 01 = Daylight
- 02 = Dark
- 03 = Dark but lighted
- 04 = Dawn
- 05 = Dusk
- 09 = Unknown

Comment: GES A19.

12. Weather (Atmospheric Condition) (C-N-I-B)

- 01 = No adverse conditions 02 = Rain
- 03 = Sleet
- 04 = Snow
- 05 = Fog
- 06 = Rain & fog 07 = Sleet & fog
- 08 = Other (smog, smoke, sand/dust, crosswind, hail) 09 = Unknown

Comment: GES A20.

13. Roadway Surface Condition (C-N-I-B)

- 01 = Dry
- 02 = Wet
- 03 = Snow or slush
- 04 = Ice
- 05 = Sand, oil, dirt
- 08 = Other
- 09 = Unknown

Comment: GES A15.

14. Relation to Junction (C-N-I-B)

- 00 = Non-Junction
- 01 = Intersection
- 02 = Intersection-related
- 03 = Driveway, alley access, etc.
- 03a = Parking Lot

- 04 = Entrance/exit ramp
- 05 = Rail grade crossing
- 06 = On a bridge
- 07 = Crossover-related
- 08 = Other
- 09 = Unknown

Comment: GES variable A09. GES instructions for coding this variable will be reviewed to ensure consistency of coding approach with GES.

15. Construction Zone-Related (C-N-I-B)

- 00 = Not construction zone-related (or unknown)
- 01 = Construction zone (occurred in zone)
- 02 = Construction zone-related (occurred in approach or otherwise related to zone)

Comment: Default code is 0. For the purposes of the coding, consider any area with multiple traffic cones, barrels, etc. to be a construction zone.

16. Traffic Density (C-N-I-B)

01 = LOS A: Free flow – Individual users are virtually unaffected by the presence of others in the traffic stream. Freedom to select desired speeds and to maneuver within the traffic stream is extremely high. The general level of comfort and convenience provided to the motorist, passenger, or pedestrian is excellent.

02 = LOS B: Flow with some restrictions – In the range of stable traffic flow, but the presence of other users in the traffic stream begins to be noticeable. Freedom to select desired speeds is relatively unaffected, but there is a slight decline in the freedom to maneuver within the traffic stream from Level-of-Service A, because the presence of others in the traffic stream begins to affect individual behavior.

03 = LOS C: Stable flow, maneuverability and speed are more restricted – In the range of stable traffic flow, but marks the beginning of the range of flow in which the operation of individual users becomes significantly affected by the interactions with others in the traffic stream. The selection of speed is now affected by the presence of others, and maneuvering within the traffic stream requires substantial vigilance on the part of the user. The general level of comfort and convenience declines noticeably at this level.

04 = LOS D: Unstable flow: temporary restrictions substantially slow driver – Represents high-density, but stable traffic flow. Speed and freedom to maneuver are severely restricted, and the driver or pedestrian experiences a generally poor level of comfort and convenience. Small increases in traffic flow will generally cause operational problems at this level.

05 = LOS E: Flow is unstable; vehicles are unable to pass, temporary stoppages, etc. – Represents operating conditions at or near the capacity level. All speeds are reduced to a low, but relatively uniform value. Freedom to maneuver within the traffic stream is extremely difficult, and it is generally accomplished by forcing a vehicle or pedestrian to “give way” to accommodate such maneuvers. Comfort and convenience levels are extremely poor, and driver or pedestrian frustration is generally high. Operations at this level are

usually unstable, because small increases in flow or minor perturbations within the traffic stream will cause breakdowns.

06 = LOS F: Forced traffic flow condition with low speeds and traffic volumes that are below capacity. Queues forming in particular locations – This condition exists whenever the amount of traffic approaching a point exceeds the amount which can traverse the point. Queues form behind such locations. Operations within the queue are characterized by stop-and-go waves, and they are extremely unstable. Vehicles may progress at reasonable speeds for several hundred feet or more, and then be required to stop in a cyclic fashion. Level-of-Service F is used to describe the operating conditions within the queue, as well as the point of the breakdown. It should be noted, however, that in many cases operating conditions of vehicles or pedestrians discharged from the queue may be quite good. Nevertheless, it is the point at which arrival flow exceeds discharge slow which causes the queue to form, and Level-of-Service F is an appropriate designation for such points.

09 = Unknown/unable to determine

Driver/Vehicle 1 Variables

Note: Driver/Vehicle 1 (DV-1) is always the study subject driver/vehicle (i.e., the truck or truck driver).

17. Subject Vehicle Number (C-N-I-B)

Format: Integer. Automatically generated.

18. Subject Driver Number (C-N-I-B)

Format: Integer. Automatically generated.

19. Trafficway Flow (C-N-I-B)

00 = Not physically divided (center 2-way left turn lane)

01 = Not physically divided (2-way trafficway)

02 = Divided (median strip or barrier)

03 = One-way trafficway

09 = Unknown

Comment: GES variable V A11. Coded in relation to subject vehicle.

20. Number of Travel Lanes (C-N-I-B)

01 = 1

02 = 2

03 = 3

04 = 4

05 = 5

06 = 6

07 = 7+

09 = Unknown

Comment: GES V A12. Per GES, if road is divided, only lanes in travel direction are counted. If undivided, all lanes are counted. Coded in relation to subject vehicle. Count all

contiguous lanes at the time & location of the incident; e.g., include entrance or exit lanes if contiguous.

21. Truck Pre-Event Speed (C-N-I-B)

Format: integer.

Comment: For C-N-I events, coded for the period just prior to the occurrence of the critical event and/or just prior to any avoidance maneuver. For example, when braking is involved, the pre-event speed is the speed just prior to the beginning of braking. For Baseline events, coded for the end of the 30-second baseline interval. Note that roadway “Speed Limit” cannot currently be determined because most speed limit signs are not legible on the videos.

22. Roadway Alignment (C-N-I-B)

01 = Straight

02a = *Curve right*

02b = *Curve left*

09 = Unknown

Comment: GES V A13, with expansion of curve choices. Coded in relation to subject vehicle.

23. Roadway Profile (C-N-I-B)

01 = Level (or unknown)

02a = *Grade up*

02b = *Grade down*

03 = Hillcrest

04 = Sag

Comment: GES V A14, with expansion of grade choices. Coded in relation to subject vehicle.

24. Driver Safety Belt Worn? (C-N-I-B)

01 = Yes

02 = No

09 = Unknown

Comment: This issue is of current interest to FMCSA and its capture would permit comparisons of driver behavior between drivers wearing and not wearing safety belts. Judged based on whether a shoulder strap is visible; lap belt typically cannot be seen.

25. Does The Driver Cover The Camera/Is The Camera Covered? (C-N-I-B)

00 = Yes

01 = No/not observed

02 = Attempts, but fails

26. Alcohol Use (C-N-I-B)

- 00 = None apparent
 - 01 = Suspected use observed in vehicle without overt effects on driving
 - 02 = Suspected use observed in vehicle with overt effects on driving
 - 03 = Reported by police [applicable only to crashes]
 - 04 = Use not observed or reported, but suspected based on driver behavior.
 - 09 = Unknown
- Comment: Use indicated only if apparent from event review.

Note: The remaining DV-1 Variables are pre-crash and event causation variables. Table 113 lists these variables, indicates sources, and shows the corresponding variable for DV-2.

Table 113. Coded pre-crash and causation variables.

Variable Name	Principal Source(s) (e.g., other databases/studies)	Subject Vehicle (V1) Variable #	Other Vehicle (V2) Variable #
Vehicle Pre-Event Movement	GES, LTCCS	27	44
“Accident” Type [Scenario Role]	GES, LTCCS	28	45
Incident Types	Two recent VTTI studies	29	46
Critical Pre-crash Event	LTCCS	30	47
Critical Reason for the Critical Event	LTCCS	31	48*
Attempted Avoidance Maneuver	GES, LTCCS	32	49
Driver Vision Obscured by	GES	34	Not coded
Average PERCLOS Value (1, 3, 5 minutes)	VTTI and other fatigue research	35-37	
Observer Rating of Drowsiness (1 minute)	Previous VTTI research	38	
Potentially Distracting Driver Behaviors	GES	39	
Driver Actions/Factors Relating to Event	100-Car Study	40	50*
Applicable Functional Countermeasures	Various	41	51

*Abridged due to inability to observe specific Driver 2 behaviors and states.

27. Vehicle Pre-Event Movement (C-N-I-B)

- 00 = No driver present
- 01 = Going straight
- 02 = Decelerating in traffic lane
- 03 = Accelerating in traffic lane
- 04 = Starting in traffic lane
- 05 = Stopped in traffic lane
- 06 = Passing or overtaking another vehicle

07 = Disabled or parked in travel lane
08a = Leaving a parking position, moving forward
08b = Leaving a parking position, backing
09a = Entering a parking position, moving forward
09b = Entering a parking position, backing
10 = Turning right
11 = Turning left
12 = Making a u-turn
13 = Backing up (other than parking)
14 = Negotiating a curve
15 = Changing lanes
16 = Merging
17 = Successful avoidance maneuver to a previous critical event
98 = Other
99 = Unknown

Comment: This is LTCCS Variable #4 with expanded choices for 8 and 9. For Baseline epochs, the primary movement of the vehicle during the epoch is coded.

28. “Accident” Type [Scenario Role] (C-N-I)

00 = Not applicable (baseline epoch)

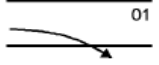
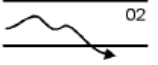
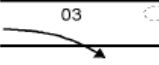
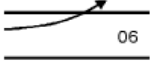
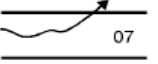
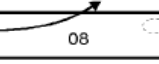
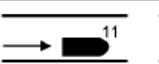
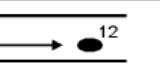

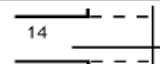
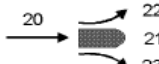
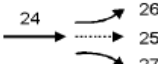
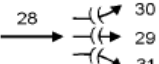
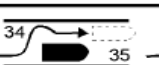



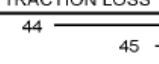
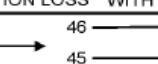
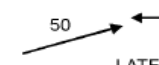
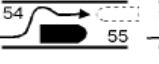
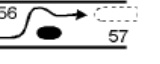
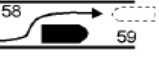
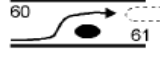



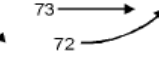
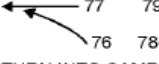
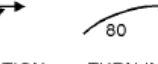
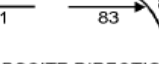

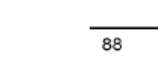


Other Codes: See diagram, next page.

Comment: LTCCS Variable #10 and GES Variable V23. Since this variable “includes intent,” analysts should *project* likely scenario roles for incidents where outcomes are not definite. In other words, if the trigger-related event had resulted in a crash, what would the crash scenario be? When specific scenarios cannot be projected, use the “Specifics Unknown” choices (e.g., 5, 10, 16, 33, etc.). Table 114 illustrates the Accident Types.

Additional clarifications:

- Drive off road codes (e.g., 01 and 06) are used when a vehicle has crossed, or is projected to cross, a roadside delineation such as a lane edge line (going onto the shoulder or median), curb, or the edge of the pavement. This includes scenarios involving parked vehicles and stationary objects if those objects are outside of the roadway delineation (e.g., on an unpaved shoulder).
- Forward impact codes (e.g., 11, 12) are used when the objects are in the travel lane or when there is no lane edge delineation as described above. Thus, a scenario involving a parked vehicle on the pavement where there is no lane edge delineation is coded 12.
- For left-side lane departures into the oncoming traffic lane, code 64/65 if the lateral encroachment is less than a few feet. Code 50/51 only if the lateral encroachment was sufficient to create a significant risk of a head-on crash.
- Hard braking events at intersections in the absence of a specific crash or crash threat are coded 91 (Intersecting straight paths, specifics unknown).

Table 114. Description of the accident types (Thieriez, Radja, & Toth, 2002).⁽³¹⁾

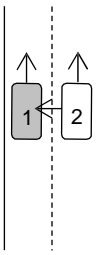
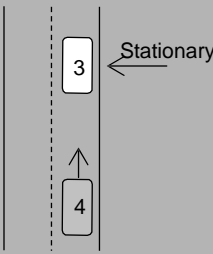
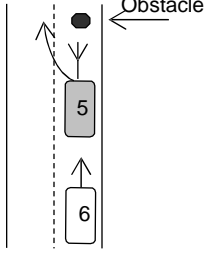
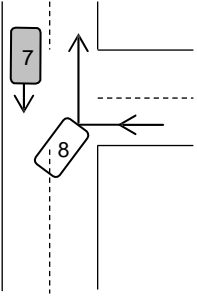
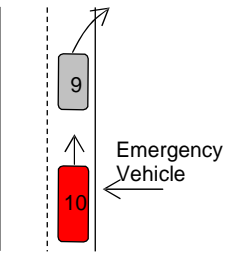
Category	Configuration	ACCIDENT TYPES (Includes Intent)					
I. Single Driver	A. Right Roadside Departure	 01 DRIVE OFF ROAD	 02 CONTROL/ TRACTION LOSS	 03 AVOID COLLISION WITH VEH., PED., ANIM.	04 SPECIFICS OTHER	05 SPECIFICS UNKNOWN	
	B. Left Roadside Departure	 06 DRIVE OFF ROAD	 07 CONTROL/ TRACTION LOSS	 08 AVOID COLLISION WITH VEH., PED., ANIM.	09 SPECIFICS OTHER	10 SPECIFICS UNKNOWN	
	C. Forward Impact	 11 PARKED VEHICLE	 12 STATIONARY OBJECT	 13 PEDESTRIAN/ ANIMAL	 14 END DEPARTURE	15 SPECIFICS OTHER	16 SPECIFICS UNKNOWN
II. Same Trafficway Same Direction	D. Rear-End	 20 STOPPED 21, 22, 23	 24 SLOWER 25, 26, 27	 28 DECELERATING 29, 30, 31	(EACH - 32) SPECIFICS OTHER	(EACH - 33) SPECIFICS UNKNOWN	
	E. Forward Impact	 34 CONTROL/ TRACTION LOSS	 36 CONTROL/ TRACTION LOSS	 38 AVOID COLLISION WITH VEHICLE	 40 AVOID COLLISION WITH OBJECT	(EACH - 42) SPECIFICS OTHER	(EACH - 43) SPECIFICS UNKNOWN
	F. Sideswipe Angle	 44 45	 46 45 47		(EACH - 48) SPECIFICS OTHER	(EACH - 49) SPECIFICS UNKNOWN	
III. Same Trafficway Opposite Direction	G. Head-On	 50 51 LATERAL MOVE			(EACH - 52) SPECIFICS OTHER	(EACH - 53) SPECIFICS UNKNOWN	
	H. Forward Impact	 54 CONTROL/ TRACTION LOSS	 56 CONTROL/ TRACTION LOSS	 58 AVOID COLLISION WITH VEHICLE	 60 AVOID COLLISION WITH OBJECT	(EACH - 62) SPECIFICS OTHER	(EACH - 63) SPECIFICS UNKNOWN
	I. Sideswipe/ Angle	 64 65 LATERAL MOVE			(EACH - 66) SPECIFICS OTHER	(EACH - 67) SPECIFICS UNKNOWN	
IV. Change Trafficway Vehicle Turning	J. Turn Across Path	 68 69 INITIAL OPPOSITE DIRECTIONS	 70 71 INITIAL SAME DIRECTION	 72 73	(EACH - 74) SPECIFICS OTHER	(EACH - 75) SPECIFICS UNKNOWN	
	K. Turn Into Path	 76 77 78 TURN INTO SAME DIRECTION	 79 80 81 TURN INTO OPPOSITE DIRECTIONS	 82 83	(EACH - 84) SPECIFICS OTHER	(EACH - 85) SPECIFICS UNKNOWN	
V. Intersecting Paths (Vehicle Damage)	L. Straight Paths	 86 87	 88 89		(EACH - 90) SPECIFICS OTHER	(EACH - 91) SPECIFICS UNKNOWN	
VI. Miscellaneous	M. Backing Etc.	 92 BACKING VEHICLE	 93 OTHER VEHICLE OR OBJECT		98 OTHER ACCIDENT TYPE 99 UNKNOWN ACCIDENT TYPE 00 NO IMPACT		

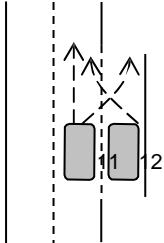
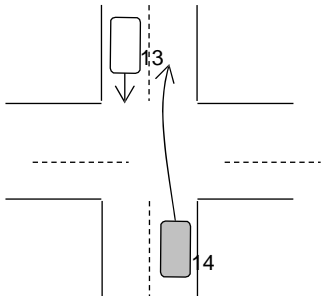
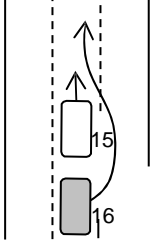
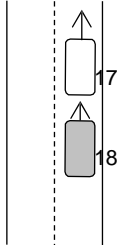
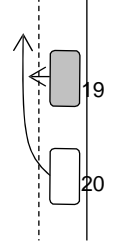
29. Incident Types (C-N-I)

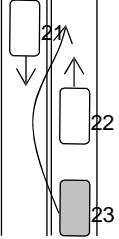
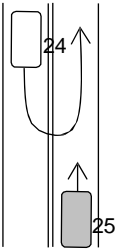
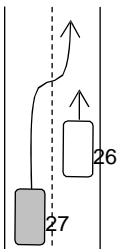
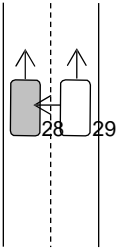
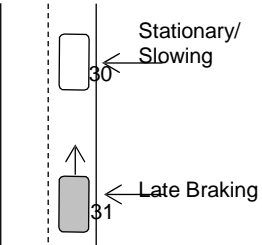
00	= Not applicable (baseline epoch)
01/02	= Aborted lane change
03/04	= Approaches traffic quickly [not used]
05/06/07/08	= Backing in roadway
09/10	= Clear path for emergency vehicle
11/12	= Conflict between merging and existing traffic
13/14	= Conflict with oncoming traffic
15/16	= Exit then re-entrance onto roadway
17/18	= Following too closely
19/20/21	= Improper lane change
22/23	= Improper passing
24/25	= Improper u-turn
26/27	= Lane change without sufficient gap
28/29	= Lane drift
30/31	= Late braking for stopped/stopping traffic
32/33	= Lateral deviation of through vehicle
34/35	= Left turn without clearance
36/37	= Merge out of turn (before lead vehicle)
38/39/40	= Merge without sufficient gap
41/42	= Obstruction in roadway
43/44	= Proceeding through red traffic signal
45/46	= Roadway entrance without clearance
47/48	= Slow speed
49/50	= Slow upon passing
51/52/53	= Sudden braking in roadway
54/55	= Through traffic does not allow lane change
56/57/58	= Through traffic does not allow merge
59/60	= Turn without sufficient warning
61/62	= Turn/exit from incorrect lane
63/64	= Wide turn into adjacent lane
65	= Conflict with object/animal/pedalcyclist in roadway
66	= Conflict with object/animal/pedalcyclist on side of road
67	= Other single-vehicle event
68/69	= Close proximity to turning vehicle
99	= Unknown

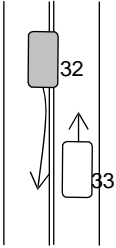
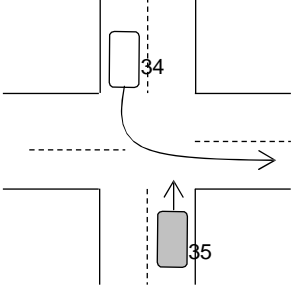
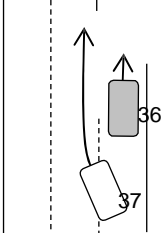
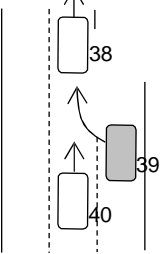
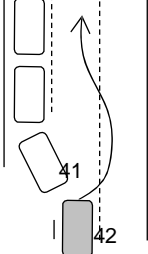
Comment: This scenario classification has been used in Hanowski, Keisler, and Wierwille (2004)⁽²⁸⁾ and Hanowski, Olson, Hickman, and Dingus (2005).⁽³²⁾ Coding this variable will enable comparisons with that study. Diagrams of these scenarios are provided below in Table 115.

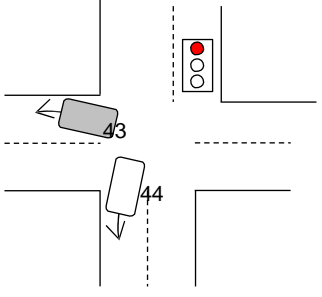
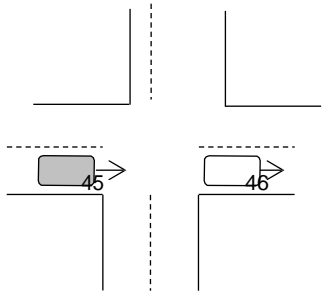
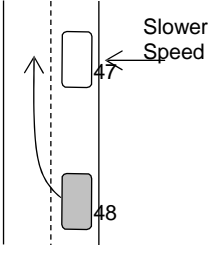
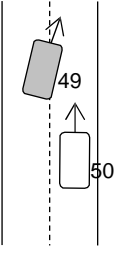
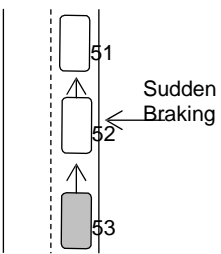
Table 115. Description of the incident types.

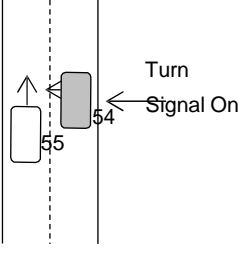
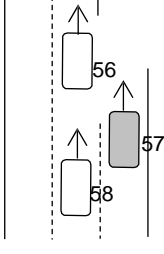
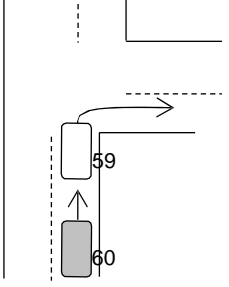
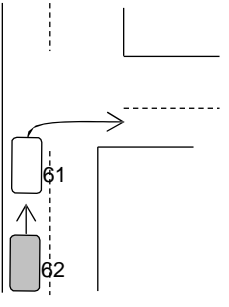
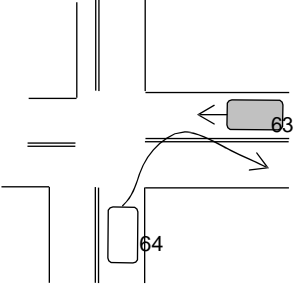
Incident Type	Description	Illustration
Aborted Lane Change	A driver tries to make a lane change into a lane where there is already a vehicle (driver doesn't see vehicle). The driver has to brake and move back into the original lane.	
Approaches Traffic Quickly [not used]	A driver approaches stopped/slowing traffic too quickly and has to brake hard/suddenly to avoid hitting the lead vehicle.	
Backing in Roadway	A driver backs the vehicle while on a roadway in order to maneuver around an obstacle ahead on the roadway.	
		
Clear Path for Emergency Vehicle	A driver is traveling ahead of an emergency vehicle (e.g., ambulance, fire truck) and has to move to the side of the road to let the emergency vehicle pass.	

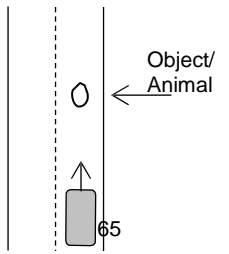
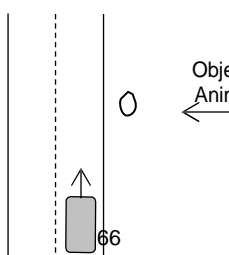
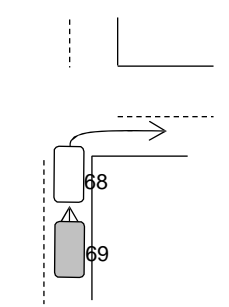
Incident Type	Description	Illustration
Conflict Between Merging and/or Exiting Traffic	Drivers entering and/or exiting a roadway, using a shared weaving section, conflict.	
Conflict With Oncoming Traffic	A driver is approaching oncoming traffic (e.g., through an intersection) and has to maneuver back into the correct lane to avoid an oncoming vehicle.	
Exit Then Re-Entrance Onto Roadway	A driver exits a roadway then crosses a solid white line to re-enter.	
Following Too Closely	A driver does not allow adequate spacing between their vehicle and the lead vehicle (e.g., tailgating).	
Improper Lane Change	A driver makes an improper lane change with regard to another vehicle (e.g., does not use blinker, changes lanes behind another vehicle then does not let vehicle change lanes, changes lanes across multiple lanes, etc.)	

Incident Type	Description	Illustration
Improper Passing	A driver passes another vehicle when it is illegal or unsafe (e.g., passing across a double yellow line or without clearance from oncoming traffic).	
Improper U-turn	A driver makes a u-turn in the middle of the road (over the double yellow line) and blocks traffic in the opposite direction.	
Lane Change Without Sufficient Gap	A driver enters an adjacent lane without allowing adequate space between the driver's vehicle and the vehicle ahead/behind it.	
Lane Drift	A driver drifts into an adjacent lane without intention to make a lane change.	
Late Braking (and/or steering) for Stopped/ Stopping Traffic	A driver fails to slow in advance for stopped or stopping traffic and must brake and/or steer abruptly.	

Incident Type	Description	Illustration
Lateral Deviation of Through Vehicle	A driver has substantial lateral deviation of a through vehicle. Vehicle may or may not deviate from the lane.	
Left Turn Without Clearance	A driver turns left without adequate clearance from either oncoming through traffic or cross traffic from the left. The driver crosses another driver's path while entering an intersecting roadway.	
Merge Out of Turn (Before Lead Vehicle)	A driver merges onto a roadway before the lead vehicle. The lead vehicle must wait for the merged vehicle to pass before it is safe to enter the main highway.	
Merge Without Sufficient Gap	A driver merges into traffic without a sufficient gap to either the front or back of one or more vehicles.	
Obstruction in Roadway	A stationary object blocks through traffic, such as traffic that is backed up or an animal in the roadway.	

Incident Type	Description	Illustration
<p>Proceeding Through Red Traffic Signal</p>	<p>A driver fails to respond to a red traffic signal, conflicting with a vehicle proceeding through the intersection legally.</p>	 <p>The diagram shows a top-down view of a four-way intersection. A traffic light is shown at the top right with a red light illuminated. A grey car labeled '43' is shown in the middle of the intersection, having crossed the stop line. A white car labeled '44' is shown approaching the intersection from the bottom, with a downward arrow indicating its path.</p>
<p>Roadway Entrance Without Clearance</p>	<p>A driver turns onto a roadway without adequate clearance from through traffic.</p>	 <p>The diagram shows a top-down view of a T-junction. A grey car labeled '45' is on the left roadway, moving towards the right. A white car labeled '46' is on the right roadway, moving towards the left. Dashed lines indicate the intended paths of both vehicles, showing they will cross each other's paths.</p>
<p>Slow Speed</p>	<p>A driver is traveling at a much slower speed than the rest of the traffic, causing following traffic to pass the slow vehicle to avoid a conflict.</p>	 <p>The diagram shows a top-down view of a single-lane road. A grey car labeled '48' is moving slowly upwards. A white car labeled '47' is behind it, moving faster. An arrow points to car 47 with the text 'Slower Speed'. A curved arrow indicates car 47 moving around car 48.</p>
<p>Slow Upon Passing</p>	<p>A driver moves in front of another vehicle then slows, causing the second (passed) vehicle to slow as well, or to go around the first vehicle.</p>	 <p>The diagram shows a top-down view of a single-lane road. A grey car labeled '49' is moving upwards. A white car labeled '50' is behind it, also moving upwards. An arrow points to car 49, indicating it is slowing down.</p>
<p>Sudden Braking in Roadway</p>	<p>A driver is traveling ahead of another vehicle and brakes suddenly and improperly in the roadway for traffic, a traffic light, etc., causing the following vehicle to come close to their vehicle or to also brake suddenly.</p>	 <p>The diagram shows a top-down view of a single-lane road. A grey car labeled '53' is at the bottom, moving upwards. A white car labeled '52' is in the middle, moving upwards. A white car labeled '51' is at the top, moving upwards. An arrow points to car 52 with the text 'Sudden Braking'.</p>

Incident Type	Description	Illustration
Through Traffic Does Not Allow Lane Change	A driver is trying to make a lane change (with the turn signal on) but traffic in the adjacent lane will not allow the lane change to be completed.	
Through Traffic Does Not Allow Merge	Through traffic obstructs a driver from entering the roadway.	
Turn Without Sufficient Warning	A driver slows and turns without using a turn signal or without using a turn signal in advance.	
Turn/Exit From Incorrect Lane	A driver turns onto a side road from the incorrect lane (e.g., a driver makes a right turn from the left lane instead of the right lane).	
Wide Turn Into Adjacent Lane	A vehicle partially enters an adjacent lane when turning. Traffic in the adjacent lane may be moving in the same or opposite direction.	

Incident Type	Description	Illustration
Conflict with Object/Animal/Pedalcyclist in Roadway	A vehicle approaches an object/animal/pedalcyclist in the roadway and either makes contact with it, or performs an evasive maneuver in order to avoid it.	
Conflict with Object/Animal/Pedalcyclist on Side of Roadway	A vehicle approaches an object/animal/pedalcyclist on the side of the road and either makes contact with it, or performs an evasive maneuver in order to avoid it.	
Close Proximity to Turning Vehicle	The lead vehicle is making a right/left turn or changing lanes to the right/left, and the following vehicle comes close to the rear of the lead vehicle as it passes.	
Other Single-Vehicle Event	A vehicle is involved in a single-vehicle event. For example, runs off the side of the road without a threat of hitting a fixed object.	67
Unable to Determine	It is not possible to determine which vehicle is at fault, therefore, it is not possible to assign an incident type to the event.	99

30. Critical Precrash Event for Vehicle 1 (C-N-I)

00 = Not applicable (baseline epoch)

THIS VEHICLE (V1) LOSS OF CONTROL DUE TO:

- 01 = Blow out or flat tire
- 02 = Stalled engine
- 03 = Disabling vehicle failure (e.g., wheel fell off)
- 04 = Non-disabling vehicle problem (e.g., hood flew up)

- 05 = Poor road conditions (*wet road*, puddle, pot hole, ice, etc.)
- 06 = Traveling too fast for conditions
- 07 = Jackknife event
- 08 = Cargo shift
- 09 = *Braking*
- 10 = *Steering*
- 18 = Other cause of control loss
- 19 = Unknown cause of control loss

THIS VEHICLE (V1) TRAVELING

- 20 = Toward or over the lane line on left side of travel lane
- 21 = Toward or over the lane line on right side of travel lane
- 22 = Toward or off the edge of the road on the left side
- 23 = Toward or off the edge of the road on the right side
- 24 = End departure
- 25 = Turning left at intersection
- 26 = Turning right at intersection
- 27 = Crossing over (passing through) intersection
- 28 = This vehicle decelerating
- 29 = Unknown travel direction

OTHER MOTOR VEHICLE (V2) IN LANE

- 50 = Other vehicle stopped
- 51 = Traveling in same direction with lower steady speed
- 52 = Traveling in same direction while decelerating
- 53 = Traveling in same direction with higher speed
- 54 = Traveling in opposite direction
- 55 = In crossover
- 56 = Backing
- 59 = Unknown travel direction of other motor vehicle in lane

OTHER MOTOR VEHICLE (V2) ENCROACHING INTO LANE

- 60 = From adjacent lane (same direction) – toward or over left lane line
- 61 = From adjacent lane (same direction) – toward or over right lane line
- 62 = From opposite direction - toward or over left lane line
- 63 = From opposite direction - toward or over right lane line
- 64 = From parking lane
- 65 = From crossing street, turning into same direction
- 66 = From crossing street, across path
- 67 = From crossing street, turning into opposite direction
- 68 = From crossing street, intended path not known
- 70 = From driveway, turning into same direction
- 71 = From driveway, across path
- 72 = From driveway, turning into opposite direction
- 73 = From driveway, intended path not known

74 = From entrance to limited access highway
78 = Encroachment by other vehicle - details unknown

PEDESTRIAN, PEDALCYCLIST, OR OTHER NONMOTORIST

80 = Pedestrian in roadway
81 = Pedestrian approaching roadway
82 = Pedestrian - unknown location
83 = Pedalcyclist or other nonmotorist in roadway
84 = Pedalcyclist or other nonmotorist approaching roadway
85 = Pedalcyclist or other nonmotorist - unknown location

OBJECT OR ANIMAL

87 = Animal in roadway
88 = Animal approaching roadway
89 = Animal - unknown location
90 = Object in roadway
91 = Object approaching roadway
92 = Object - unknown location

OTHER

93 = This vehicle not involved in first harmful event
98 = Other critical pre-crash event
99 = Unknown

Comment: This is LTCCS Variable #5. This variable is coded for both vehicles in a two-vehicle incident. However, the Critical Reason (see below), is coded for only one vehicle. For consistency with the Accident Type variable (28), lane edges between travel lanes and non-travel lanes (e.g., shoulders) are considered road edges; e.g., events involving V1 crossing of these edges are coded 22 or 23. Unlike the Accident Type variable, however, the analyst should code the actual precipitating event and should not project or extrapolate the event. In the above list, note addition of 09 = loss of control due to braking and 10 = steering.

31. DV1 Critical Reason for the Critical Event (C-N-I)

000a = Not applicable (baseline epoch)
000b = Critical reason not coded to this vehicle

DRIVER-RELATED FACTOR

Critical Non-Performance Errors

100 = Sleep, that is, actually asleep
101 = Heart attack or other physical impairment of the ability to act
107 = Drowsiness, fatigue, or other reduced alertness (not asleep)
108 = Other critical non-performance
109 = Unknown critical non-performance

DRIVER-RELATED FACTOR

Recognition Errors

- 110 = Inattention (i.e., daydreaming)
- 111 = Internal distraction
- 112 = External distraction
- 113 = Inadequate surveillance (e.g., failed to look, looked but did not see)
- 118 = Other recognition error
- 119 = Unknown recognition error

Decision Errors

- 120 = Too fast for conditions (e.g., for safe vehicle control or to be able to respond to unexpected actions of other road users)
- 121 = Too slow for traffic stream
- 122 = Misjudgment of gap or other's speed
- 123 = Following too closely to respond to unexpected actions (close proximity for 2 or more seconds)
- 124 = False assumption of other road user's actions
- 125 = Illegal maneuver
- 125a = Apparently intentional sign/signal violation
- 125b = Illegal U-turn
- 125c = Other illegal maneuver
- 126 = Failure to turn on head lamps
- 127 = Inadequate evasive action (e.g., braking only not braking and steering; release accelerator only instead of braking)
- 128a = Aggressive driving behavior: Intimidation: any behavior emitted by a driver while driving that is intended to cause physical or psychological harm to another person.
- 128b = Aggressive driving behavior: Wanton, neglectful or reckless behavior: excessive risky driving behaviors performed without intent to harm others, such as weaving through traffic, maneuvering without signaling, running red lights, frequent lane changing, and tailgating
- 138 = Other decision error 139 = Unknown decision error
- 140 = *Apparent recognition or decision error (unknown which)*

Performance Errors

- 141 = Panic/Freezing
- 142 = Overcompensation
- 143 = Poor directional control, e.g., failing to control vehicle with skill ordinarily expected
- 148 = Other performance error
- 149 = Unknown performance error
- 199 = Type of driver error unknown

VEHICLE RELATED FACTOR

- 200 = Tires/wheels failed
- 201 = Brakes failed
- 202 = Steering failed
- 203 = Cargo shifted
- 204 = Trailer attachment failed

- 205 = Suspension failed
- 206 = Lights failed
- 207 = Vehicle related vision obstructions
- 208 = Body, doors, hood failed
- 209 = Jackknifed
- 298 = Other vehicle failure
- 299 = Unknown vehicle failure

ENVIRONMENT-RELATED FACTOR

Highway Related

- 500 = Signs/signals missing
- 501 = Signs/signals erroneous/defective
- 502 = Signs/signals inadequate
- 503 = View obstructions by roadway design
- 504 = View obstructed by other vehicles crash circumstance
- 505 = Road design - roadway geometry (e.g., ramp curvature)
- 506 = Road design - sight distance
- 507 = Road design - other
- 508 = Maintenance problems (potholes, deteriorated road edges, etc.)
- 509 = Slick roads (low friction road surface due to ice, loose debris, any other cause)
- 518 = Other highway-related condition

Weather-Related

- 521 = Rain, snow [Note: code loss-of-control as 509]
- 522 = Fog
- 523 = Wind gust
- 528 = Other weather-related condition

Other

- 530 = Glare
- 531 = Blowing debris
- 532 = *Animal in roadway (no driver error)*
- 533 = *Pedestrian or pedalcyclist in roadway (no driver error)*
- 538 = Other sudden change in ambience
- 999 = Unknown reason for critical event

Comment: LTCCS Variable #6 with revisions. "This vehicle" will always be used for the vehicle being coded. Note that vehicle-related factors will rarely be apparent to data reductionists.

32. Vehicle 1 Attempted Avoidance Maneuver (C-N-I)

- 00 = No driver present
- 0a = Not applicable (baseline epoch)
- 01 = No avoidance maneuver
- 02 = Braking (no lockup or lockup unknown)
- 03 = Braking (lockup)
- 04 = Braking (lockup unknown)

05 = Releasing brakes
 06 = Steered to left
 07 = Steered to right
 08 = Braked and steered to left
 08a = *Braked and steered to left (no lockup or lockup unknown)*
 08b = *Braked and steered to left (lockup)*
 09 = Braked and steered to right
 09a = *Braked and steered to right (no lockup or lockup unknown)*
 09b = *Braked and steered to right (lockup)*
 10 = Accelerated
 11 = Accelerated and steered to left
 12 = Accelerated and steered to right
 13 = *Released gas pedal without braking*
 14 = *Released gas pedal (without braking) and steered to left*
 15 = *Released gas pedal (without braking) and steered to left*
 98 = Other actions
 99 = Unknown if driver attempted any corrective action
Comment: LTCCS Variable #7 and also GES V27, Corrective Action Attempted. "Released gas pedal" elements added because this evasive maneuver by subject drivers is sometimes observed.

33. Relevant Object (C-N-I)

Analyst chooses the most relevant object; i.e., one that was struck in a crash or which constituted a crash threat for near-crashes and crash-relevant conflicts.

00a = Not applicable (baseline epoch)
 00b = Not applicable (single vehicle event but no critical object; e.g., shoulder only)
 00c = Not applicable (two vehicle event, pedestrian, animal, etc.)
 01 = Parked motor vehicle

Fixed objects:

02 = Building
 03 = Impact attenuator/crash cushion
 04 = Bridge structure (e.g., abutment)
 05 = Guardrail
 06 = Concrete traffic barrier or other longitudinal barrier (e.g., "Jersey Barrier")
 07 = Post, pole, or support (e.g., sign, light)
 08 = Culvert or ditch
 09 = Curb
 10 = Embankment
 11 = Fence
 12 = Wall
 13 = Fire hydrant
 14 = Shrubbery or bush
 15 = Tree [*not overhang – see below*]
 16 = Boulder
 17 = *Loading dock*

18 = Loading equipment (e.g., fork lift, pallets)

19 = Cargo

Overhanging objects [code only if struck or potentially struck by top of truck/trailer]

20 = Tree branch

21 = Overhanging part of sign or post

22 = Bridge/overpass

23 = Building

24 = Telephone wires

Non-fixed objects:

25 = Vehicle parts, including tire parts

26 = Spilled cargo

27 = Dead animal in roadway

28 = Broken tree limbs or other tree/shrub parts

29 = Trash/debris

30 = Construction barrel

31 = Construction cone

98 = Other

99 = Unknown object hit

Comment: Most objects are the same as those used in GES A06, First Harmful Event. Those in italics are not A06 codes.

34. Driver 1 Vision Obscured by (C-N-I)

00 = No obstruction

01 = Rain, snow, fog, smoke, sand, dust

02 = Reflected glare, sunlight, headlights

03 = Curve or hill

04 = Building, billboard, or other design features (includes signs, embankment)

05 = Trees, crops, vegetation

06 = Moving vehicle (including load)

07 = Parked vehicle

08 = Splash or spray of passing vehicle [any other vehicle]

09 = Inadequate defrost or defog system

10 = Inadequate lighting system [includes vehicle/object in dark area]

11 = Obstruction interior to vehicle

12 = Mirrors

13 = Head restraints

14 = Broken or improperly cleaned windshield

15 = Fog

16 = Other vehicle or object in blind spot

50 = Hit & run vehicle

95 = No driver present

96 = Not reported

97 = Vision obscured – no details

98 = Other obstruction

99 = Unknown whether vision was obstructed

Comment: GES Variable D4. Element 16 added because of relevance to large trucks. Elements 50, 95, and 96 are not applicable.

35. DFM Operating Mode (C-N-I-B)

01 = Auto-Manual

02 = Manual

03 = Auto (if Operating Mode = Auto, DFM is automatically non-operative)

36. DFM Sensitivity Level (C-N-I-B)

01 = Low

02 = Medium

03 = High

Rules to follow when trying to determine if DFM is in standby:

- When speed is below 30 mi/h (48.28 kph) and ambient brightness is above 100 the DFM is in standby
- When the speed is above 35 mi/h (56.32 kph) and ambient brightness is less than 50 the DFM is active
- Ambient brightness (0 = dark; 255 = bright):
 - Special note: There will be times when the DFM should be functioning according to the above two rules, but often during dawn and dusk it still does not operate correctly. If it looks light in the video, but the ambient brightness values are within the correct range, you may need to make a judgment call to determine if it is working or not. Please ask if you have any questions.

37. Average PERCLOS over 1 Minute (C-N-I-B)

Comment: Recorded parameter from DFM, averaged over a one-minute period prior to initiating event. Coded when available for time epoch.

Format: Percent; 999 = DFM not operative.

38. Average PERCLOS over 3 Minutes (C-N-I-B)

Comment: Recorded parameter from DFM, averaged over a three-minute period prior to initiating event. Coded when available for time epoch.

Format: Percent; 999 = DFM not operative.

39. Average PERCLOS over 5 Minutes (C-N-I-B)

Comment: Recorded parameter from DFM, averaged over a five-minute period prior to initiating event. Coded when available for time epoch.

Format: Percent; 999 = DFM not operative.

40. Observer Rating of Driver Drowsiness (ORD) (C-N-I-B)

Note: Analysts will use a 100-point scale to code ORD. The analysts can choose any value (i.e., 35, 62, 87) on the following scale. The five given points are to be used as guidelines.

If ORD is 25 or greater, mark “drowsy, sleepy, asleep, fatigued, other reduced alertness” under driver 1 behaviors.

999 = Driver is wearing sunglasses or eyes are otherwise blocked from view

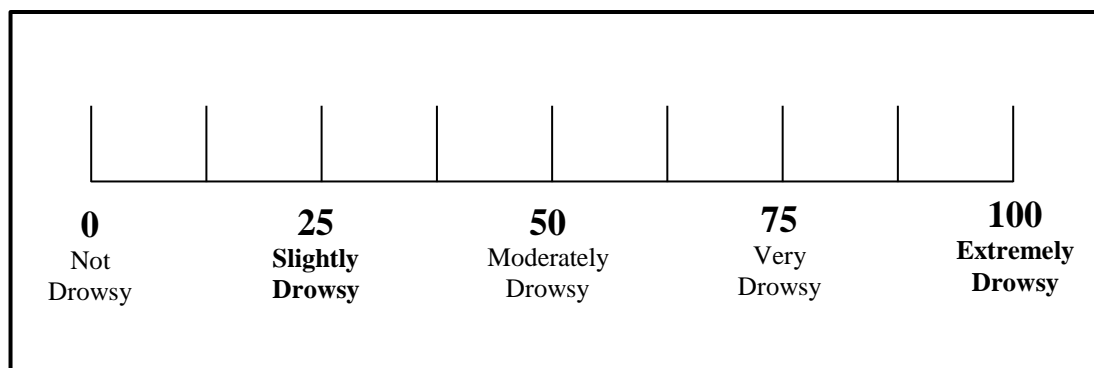


Figure 16. Illustration. Observer Rating of Drowsiness scale.

00 = Not Drowsy – No signs of being drowsy

25 = Slightly Drowsy – Driver shows minor signs of being drowsy (single yawn, single stretch, droopy eyes for a short period of time); quickly recovers; does not have any apparent impact on vehicle control.

50 = Moderately Drowsy – Driver shows signs of being drowsy (yawns, stretches, moves around in seat, droopy eyes for a slightly longer period of time; minor blinking); takes slightly longer to recover; does not have any apparent impact on vehicle control.

75 = Very Drowsy – Driver shows signs of being drowsy (yawns often, has very heavy/droopy eyes, frequent blinking); duration lasts much longer; does not have any apparent impact on vehicle control.

100 = Extremely Drowsy – Driver shows extreme signs of being drowsy (yawns often, has very heavy/droopy eyes, has trouble keeping eyes open, very frequent blinking); duration lasts much longer; has apparent impact on vehicle control.

Comment: An observer rating of drowsiness will be assigned for the 1 minute prior to the event based on review of driver videos. Three, six, and 20-minute ORDs will not be obtained because of the labor required and difficulties in averaging reliably over these periods.

41. Driver 1 Potentially Distracting Driver Behaviors (C-N-I-B)

Analyst codes up to four behaviors observed during 10.0 seconds prior to max/min trigger value or during final 10.0 seconds of 30-second baseline epoch. Code observed behaviors

regardless of their apparent relevance to the incident. Similar to GES, but significantly modified. If there are more than four, select the ones occurring closest in time to the trigger.

00 = None observed

01 = Looked but did not see [ex: Driver looked in direction of crash threat but apparently did not recognize threat. Not applicable to Baseline Epochs.]

02a = Interact with or look at other occupant(s)

02b = Interact with or look at pet in vehicle

03a = Look at, or for, object in vehicle

03b = Reach for object in vehicle (including hand-held cell phone, hands-free cell phone, PDA, CB microphone/other communications device, or other object).

04a = Talk or listen to hand-held phone

04b = Talk or listen to hands-free phone

04c = Talk or listen to CB microphone or other communications device

05a = Dial hand-held phone

05b = Dial hands-free phone

05c = Operate PDA (inputting or reading)

06 = Adjust instrument panel (including climate control, radio, or cassette/CD)

07a = Look at left-side mirror/out left-side window

07b = Look at right-side mirror/out right-side window

07c = Look back in Sleeper Berth

07d = Shift gears

07e = Looks down (at lap, or at something on the floor)

08 = Use or reach for other devices

09 = Appears drowsy, sleepy, asleep, fatigued

10a = Look at previous crash or highway incident

10b = Look at construction zone signs, barriers, flagperson, etc.

10c = Look at outside person

10d = Look at outside animal, object, store, etc.

10e = Look at undetermined outside event, person, or object.

11a = Eat with utensil

11b = Eat without utensil (includes chewing, other than gum; e.g., toothpick)

11c = Drink from covered container (e.g., with straw)

11d = Drink from open container

11e = Chewing gum

12a = Smoking-related behavior – reaching, lighting, extinguishing

12b = Smoking-related behavior – other (e.g., cigarette in hand or mouth)

13a = Read book, newspaper, etc.

13b = Read or look at map

14 = Talk/sing/"dance" with no indication of passenger

15a = Handle or interact with dispatching, electronic recording, or navigational device

15b = Read or look at dispatching, electronic recording, or navigational device

16a = Comb/brush/fix hair

16b = Apply make-up

16c = Shave

16d = Brush/floss teeth

- 16e = Bite nails/cuticles
- 16f = Remove/adjust jewelry
- 16g = Remove/insert contact lenses
- 16h = Other personal hygiene
- 17 = Look at or handle Driver Fatigue Monitor (DFM)
- 18 = Look at or handle Data Acquisition System (DAS) (e.g., in-vehicle camera)
- 19 = Appears inattentive or lost in thought
- 20 = Other potentially distracting behavior

Comment: Similar to GES Variable D7 (Driver Distracted By), with expansions of many elements to capture direct observations. All observed behaviors or conditions occurring within 10.0 seconds prior to the maximum trigger, without regard to apparent relevance to the conflict. For baseline epochs, coded only for activities occurring within the last ten seconds of the 30-second baseline epoch. Hand-held and hands-free phone data coded separately to permit comparisons.

42. Driver 1 Actions/Factors/Behaviors Relating to Event (C-N-I)

Note: Analyst codes up to four factors believed to have relevance to the occurrence of the incident; e.g., as contributing factors. If there are more than four, select the four most important.

- 00a = Not applicable – baseline epoch
- 00b = None coded
- 01 = Apparent excessive speed for conditions or location (regardless of speed limit; does not include tailgating, unless above speed limit)
- 02 = Drowsy, sleepy, asleep, fatigued, other reduced alertness
- 03 = Angry
- 04 = Other emotional state
- 05 = Inattentive or distracted
- 06 = Apparent impairment (e.g., drowsy, drunk, distracted) -- specific type unknown
- 07 = Driving slowly; below speed limit or in relation to other traffic
- 08 = Illegal passing (i.e., across double line)
- 09 = Passing on right
- 10 = Other improper or unsafe passing
- 11a = Cutting in, too close in front of other vehicle
- 11b = Cutting in at safe distance but then decelerated, causing conflict
- 12 = Cutting in, too close behind other vehicle
- 13 = Making turn from wrong lane (e.g., across lanes)
- 14 = Did not see other vehicle during lane change or merge
- 15 = Driving in other vehicle's blind zone
- 16 = Aggressive driving, specific, directed menacing actions
- 17 = Aggressive driving, other; i.e., reckless driving without directed menacing actions
- 18 = Wrong side of road, not overtaking [includes partial or full drift into oncoming lane]
- 19 = Following too close
- 19a = Inadequate evasive action
- 20 = Failed to signal, or improper signal
- 21 = Improper turn: wide right turn

- 22 = Improper turn: cut corner on left turn
- 23 = Other improper turning
- 24 = Improper backing, did not see
- 25 = Improper backing, other
- 26 = Improper start from parked position
- 27 = Disregarded officer or watchman
- 28 = Signal violation, apparently did not see signal
- 29= Signal violation, intentionally ran red light
- 30 = Signal violation, tried to beat signal change
- 31 = Stop sign violation, apparently did not see stop sign
- 32 = Stop sign violation, intentionally ran stop sign at speed
- 33 = Stop sign violation, “rolling stop”
- 34 = Other sign (e.g., Yield) violation, apparently did not see sign
- 35 = Other sign (e.g., Yield) violation, intentionally disregarded
- 36 = Other sign violation
- 37 = Non-signed crossing violation (e.g., driveway entering roadway)
- 38 = Right-of-way error in relation to other vehicle or person, apparent recognition failure (e.g., did not see other vehicle)
- 39 = Right-of-way error in relation to other vehicle or person, apparent decision failure (i.e., did see other vehicle prior to action but misjudged gap)
- 40 = Right-of-way error in relation to other vehicle or person, other or unknown cause
- 41 = Sudden or improper stopping on roadway
- 42 = Parking in improper or dangerous location; e.g., shoulder of Interstate
- 43 = Speeding or other unsafe actions in work zone
- 44 = Failure to dim headlights
- 45 = Driving without lights or insufficient lights
- 46 = Avoiding pedestrian
- 47 = Avoiding other vehicle
- 48 = Avoiding animal
- 48a = Avoiding object
- 49 = Apparent unfamiliarity with roadway
- 50 = Apparent unfamiliarity with vehicle; e.g., displays and controls
- 51 = Use of cruise control contributed to late braking (does not imply malfunction of cruise control system)
- 52 = Excessive braking/deceleration creating potential hazard
- 53 = Loss of control on slippery road surface
- 54 = Loss of control on dry (or unknown) surface
- 55 = Apparent vehicle failure (e.g., brakes)
- 56 = Other

Comment: This variable was used in the 100-Car Naturalistic Driving Study, although some new elements have been added. Also, the coding rule is different; in the 100-Car study, the analyst coded up to three factors for each driver, in descending order of judged importance. In the current study, analysts will code all that apply and in no order of importance. Thus, the data from the two studies are not directly comparable. Note that element 6 is not relevant to Driver 1 since analysts will be able to identify impairment type.

43. Applicable Countermeasures for DV1 (C-N-I)

Based on the above variables relating to the event scenario, pre-event actions and states, and event causation, a senior analyst will identify applicable functional countermeasures. For crashes, an applicable DV1 functional countermeasure is one that would likely have prevented the crash, either by preventing the genesis of the unsafe condition or by improving the driver response to the unsafe condition. Near-crashes and crash-relevant conflicts are analyzed “as if” a crash had occurred. Below is a table of functional countermeasures and coding rules for them (table 116). The coding of functional countermeasures is based both on algorithmic determination from previous coded variables and on analyst judgment. In many cases, particular “Accident” Type, Critical Reason, or other causation-related codes algorithmically determine applicable functional countermeasures. Some countermeasure choices, however, are coded based on senior analyst judgment.

Table 116. Functional countermeasures and coding rules.

#	Functional Countermeasure	Scenario/Driver Error Source(s)	Code DV2?	Comments
0a	Not applicable (baseline epoch)	N/A	Yes	
0b	No countermeasure applicable to this driver/vehicle (no driver error and/or coded to other vehicle only)	N/A	Yes	
0c	No obvious/plausible countermeasure applicable to this driver/vehicle (e.g., insufficient information, due to random occurrence)	N/A	Yes	
0d	Not applicable: single vehicle event	Veh/Non-Motorists Involved = 01, 05-07	Yes	Never coded for V1
1	Increase driver alertness (reduce drowsiness)	CR = 100 or 107 OR Analyst Judgment considering PERCLOS, ORD, Driver Behavior	No	
2	Improve commercial driver Hours-of-Service compliance (i.e., reflective of alertness-related incident during HOS violation period)		No	Not coded during Phase I; potential for Phase II.
3	Prevent “drift” lane departures (e.g., due to fatigue, inattention, misjudgment of lines)	AT = 01 or 06	Yes	No evidence of intention; e.g., lane change.
4	Improve vehicle control/stability on curves	Trigger Type = 1 AND PEM = 14 AND AT = 02, 07, 46, 47, or 50	Yes	Assumes potential rollover or other LOC event; no triggers for V2
5	Improve vehicle control/stability on slippery road surfaces	Road surface = 2-5 AND CPE = 05	Yes	
6	Improve vehicle control/stability during braking	CPE = 09 OR Avoidance Maneuver = 3	Yes	
7	Improve vehicle control/stability during evasive steering	CPE = 10 OR Avoidance Maneuver = 6- 9 with LOC	Yes	
8	Increase driver attention to forward visual scene (e.g., eyes on road)	Analyst Judgment, considering potential distractions coded (V39) and CR (e.g., 110-119, 140)	No	

#	Functional Countermeasure	Scenario/Driver Error Source(s)	Code DV2?	Comments
9	Increase/improve driver use of mirrors or provide better information from mirrors (or from other indirect visibility systems)	AT = 46, 47, 70, 73, 76, 78, or others TBD AND Vision Obscured = 12 or 16	No	
10	Improve general driver situation awareness and/or proactive/defensive driving	Analyst judgment	No	Not coded if 1 and/or 8 are coded.
12	Reduce road/highway travel speed	CR = 120 OR Driver Behavior = 1, 43	Yes	Includes all road configurations and thus is inclusive of 14-16. However, does not include all speeds above speed limit; must be significant factor
13	Reduce speed on down grades	CR = 120 AND Profile = 2b OR Driver B = 1, 43 AND Profile = 2b	No	
14	Reduce speed on curves or turns	CR = 120 AND Alignment = 2a, 2b OR Driver B = 1, 43 AND Alignment = 2a, 2b	No	
15	Reduce speed at or on exits (including ramps)	CR = 120 AND Profile = 2b OR Driver B = 1, 43 AND Profile = 2b	No	
16	Limit top speed to 70 mi/h (except on down grades)	Prevented speed > 70 mi/h; Analyst judgment Evidence: CR = 120; Driver A/F/B = 1	No	
17	Increase driver recognition/appreciation of specific highway crash threats: stopped vehicle(s) in lane ahead, traveling in same direction	AT = 11, 20 AND CR = 107-119	Yes	
18	Increase driver recognition/appreciation of specific highway crash threats: moving/decelerating vehicle(s) in lane ahead, traveling in same direction	AT = 24, 28 AND CR = 107-119	Yes	
19	Increase driver recognition/appreciation of specific highway crash threats: Vehicle in left adjacent lane on highway	AT = 47 AND CR = 107-119	Yes	
20	Increase driver recognition/appreciation of specific highway crash threats: Vehicle in right adjacent lane on highway	AT = 46 AND CR = 107-114	Yes	
21	Increase driver recognition/appreciation of specific highway crash threats: Vehicle in left adjacent lane during merging maneuver	AT = 47, 78 AND PEM = 16 AND CR = 107-119	Yes	
22	Increase driver recognition/appreciation of specific highway crash threats: Vehicle in right adjacent lane during merging maneuver	AT = 46, 76 AND PEM = 16 AND CR = 107-119	Yes	
23	Increase driver recognition of crossing or oncoming traffic at intersections	AT = 76, 78, 80, 82-91 AND CR = 107-119	Yes	

#	Functional Countermeasure	Scenario/Driver Error Source(s)	Code DV2?	Comments
24	Improve driver gap judgment re: crossing or oncoming traffic at intersections	AT = 76, 78, 80, 82-91 AND CR = 122	Yes	
25	Improve driver response execution of crossing or turning maneuver at intersections (performance failure)	AT = 76, 78, 80, 82-91 AND CR = 141-199	Yes	
26	Improve driver recognition/gap judgment/response execution at intersection, (specific cause not determined)	AT = 76, 78, 80, 82-91 AND CR = 140 or 199	Yes	
27	Improve driver compliance with intersection traffic signal (e.g., red light) controls (includes both intentional and unintentional intersection control violations).	Driver A/F/B = 28-30	Yes	
28	Improve driver compliance with intersection traffic sign (e.g., stop or yield sign) controls (includes both intentional and unintentional intersection control violations).	Driver A/F/B = 31-33	Yes	
29	Increase forward headway during vehicle following	AT = 24, 28 AND CR = 123	Yes	Applies to tailgating scenarios, not rapid closing scenarios.
30	Improve driver night vision in the forward field	Light = 2, 3 AND AT = 1-14, 20, 34, 36, 38, 40 AND Analyst judgment	Yes	CM would provide earlier driver recognition of distant object (e.g., pedestrian waling in roadway)
32	Provide warning to prevent rear encroachment or tailgating by <i>other</i> vehicle (i.e., this vehicle is lead vehicle, other vehicle is following)	AT = 21, 22, 23, 25, 26, 27, 29, 30, 31	Yes	Reciprocal relation between 17/18 and 32; i.e., if one vehicle is coded 17 or 18, other vehicle is coded 32.
33	Provide advisory to driver regarding reduced road-tire friction (i.e., associated with slippery roads)	Roadway surface condition = 2-5 AND LOC AND Analyst judgment	No	
34	Prevent vehicle mechanical failure (e.g., brakes, steering, tire blowout)	CR = 200-209, 298-299	Yes	Likely undercounted in instrumented vehicle studies
35	Other, specify	Analyst judgment	Yes	When possible, analyst will specify associated pre- crash/causation algorithm and add to list of CMs.
36	Prevent splash and spray from this vehicle affecting other vehicle(s)	AT = 25-26, 35-41, 45-47 AND Analyst judgment AND Roadway surface condition = 2-3	Yes	
37	Improve driver recognition/gap judgment relating to oncoming vehicle during passing maneuver	PEM = 06 AND AT = 50 or 64 AND CR = 110-119, 120-122, or 128-140	Yes	
38	Prevent animals from crossing roadways	Vehicle/Person 2 Type = 13 or 14	No	Applicable to all animal-related events
39	Navigation system/routing aid	Driver A/F/B = 49	No	
40	Aid to vertical clearance estimation	Object = overhanging object	No	Used when truck hits or has the potential to hit overhanging object (e.g., tree limb).

#	Functional Countermeasure	Scenario/Driver Error Source(s)	Code DV2?	Comments
98	Driver error and/or vehicle failure apparent for this vehicle, but countermeasure(s) to address it unknown.	Vehicle has CR but no other CM specified.	Yes	Not coded if other CMs coded.
99	Unknown		Yes	Not coded if other CMs coded.

KEY: AT = Accident Type / CR = Critical Reason / CM = Countermeasure / PEM = Pre-Event Movement CPE = Critical Pre- Crash Event / A = Actions / B = Behaviors / F = Factors / TBD = To Be Determined / LOC = Loss of Control

Driver/Vehicle 2 **Variables**

44. Vehicle/Person 2 Type (C-N-I)

00a = Not applicable (baseline epoch)

00b = Not applicable (single vehicle event; includes single vehicle + object)

01 = Automobile

02 = Van (minivan or standard van)

03 = Pickup truck

03a = SUV (includes Jeep)

04 = Bus (transit or motor coach)

05 = School bus

06 = Single-unit straight truck (includes panel truck, U-Haul truck)

07 = Tractor-trailer

08 = Motorcycle or moped

09 = Emergency vehicle (police, fire, EMS = in service)

10 = Vehicle pulling trailer (other than tractor-trailer)

11 = Other vehicle type

12 = Pedestrian

13 = Pedalcyclist

14 = Deer

15 = Other animal

99 = Unknown vehicle type

Comment: Highly abridged version of GES V5, Body Type. If “Driver/Vehicle” 2 is a pedestrian, pedalcyclist, animal, or object, most other D/V 1 File variables will be coded “not applicable.”

45. Vehicle 2 Position (in Relation to V1) (C-N-I)

- 00 = Not applicable (baseline epoch)
- 00a = Not applicable (single vehicle event)
- K = Top of vehicle

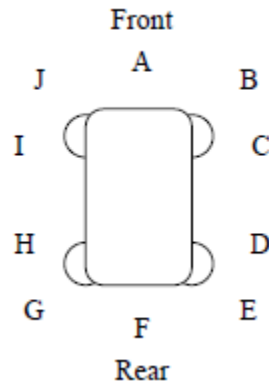


Figure 17. Illustration. Relative position of Vehicle 2 to Vehicle 1.

Comment: The vehicle in the diagram represents the subject vehicle (V1, the truck). The relative position of Vehicle 2 (in relation to Vehicle 1) is coded for the time in which the Critical Event occurs; i.e., the event creating the crash risk. Vehicles in adjacent left lane are coded J, I, H, or G depending on position. Vehicles in adjacent right lane are coded B, C, D, E depending on position. Baseline epochs will be coded “0.”

46. Vehicle 2 Pre-Event Movement (C-N-I)

- 00 = No driver present
- 00a = Not applicable (single vehicle event)
- 01 = Going straight
- 02 = Decelerating in traffic lane
- 03 = Accelerating in traffic lane
- 04 = Starting in traffic lane
- 05 = Stopped in traffic lane
- 06 = Passing or overtaking another vehicle
- 07 = Disabled or parked in travel lane
- 08a = Leaving a parking position, moving forward
- 08b = Leaving a parking position, backing
- 09a = Entering a parking position, moving forward
- 09b = Entering a parking position, backing
- 10 = Turning right
- 11 = Turning left
- 12 = Making a u-turn
- 13 = Backing up (other than parking)
- 14 = Negotiating a curve
- 15 = Changing lanes

16 = Merging

17 = Successful avoidance maneuver to a previous critical event

98 = Other

99 = Unknown

Comment: This is LTCCS Variable #4 with expanded choices for 8 and 9. For Baseline epochs, the primary movement of the vehicle during the epoch is coded.

47. Vehicle 2 “Accident” Type [Scenario Role] (C-N-I)

00 = Not applicable (baseline epoch)

00a = Not applicable (single vehicle event)

Other Codes: See diagram shown earlier for Variable 28.

48. Vehicle 2 Incident Type (C-N-I)

00a = Not applicable (baseline epoch)

00b = Not applicable (single vehicle event; includes those with ped, animal)

01/02 = Aborted lane change

03/04 = Approaches traffic quickly

05/06/07/08 = Backing in roadway

09/10 = Clear path for emergency vehicle

11/12 = Conflict between merging and existing traffic

13/14 = Conflict with oncoming traffic

15/16 = Exit then re-entrance onto roadway

17/18 = Following too closely

19/20/21 = Improper lane change

22/23 = Improper passing

24/25 = Improper u-turn

26/27 = Lane change without sufficient gap

28/29 = Lane drift

30/31 = Late braking for stopped/stopping traffic

32/33 = Lateral deviation of through vehicle

34/35 = Left turn without clearance

36/37 = Merge out of turn (before lead vehicle)

38/39/40 = Merge without sufficient gap

41/42 = Obstruction in roadway

43/44 = Proceeding through red traffic signal

45/46 = Roadway entrance without clearance

47/48 = Slow speed

49/50 = Slow upon passing

51/52/53 = Sudden braking in roadway

54/55 = Through traffic does not allow lane change

56/57/58 = Through traffic does not allow merge

59/60 = Turn without sufficient warning

61/62 = Turn/exit from incorrect lane

63/64 = Wide turn into adjacent lane

68/69 = Close proximity to turning vehicle

99 = Unknown

Comment: This scenario classification has been used in Hanowski, Keisler, and Wierwille (2004) and Hanowski, Olson, Hickman, and Dingus (2005).^(28,32) Coding this variable will enable comparisons with that study. See Variable 29 for diagrams of these scenarios.

49. Vehicle 2 Critical Precrash Event (C-N-I)

00 = Not applicable (baseline epoch)

00a = Not applicable (single vehicle event)

THIS VEHICLE (V2) LOSS OF CONTROL DUE TO:

01 = Blow out or flat tire

02 = Stalled engine

03 = Disabling vehicle failure (e.g., wheel fell off)

04 = Non-disabling vehicle problem (e.g., hood flew up)

05 = Poor road conditions (wet road, puddle, pot hole, ice, etc.)

06 = Traveling too fast for conditions

07 = Jackknife event

08 = Cargo shift

09 = Braking

10 = Steering

18 = Other cause of control loss

19 = Unknown cause of control loss

THIS VEHICLE (V1) TRAVELING

20 = *Toward or* over the lane line on left side of travel lane

21 = *Toward or* over the lane line on right side of travel lane

22 = *Toward or* off the edge of the road on the left side

23 = *Toward or* off the edge of the road on the right side

24 = End departure

25 = Turning left at intersection

26 = Turning right at intersection

27 = Crossing over (passing through) intersection

28 = This vehicle decelerating

29 = Unknown travel direction

OTHER MOTOR VEHICLE (V2) IN LANE

50 = Other vehicle stopped

51 = Traveling in same direction with lower steady speed

52 = Traveling in same direction while decelerating

53 = Traveling in same direction with higher speed

54 = Traveling in opposite direction

55 = In crossover

56 = Backing

59 = Unknown travel direction of other motor vehicle in lane

OTHER MOTOR VEHICLE (V2) ENCROACHING INTO LANE

60 = From adjacent lane (same direction) – *toward or* over left lane line

- 61 = From adjacent lane (same direction) – *toward or over* right lane line
- 62 = From opposite direction - *toward or over* left lane line
- 63 = From opposite direction - *toward or over* right lane line
- 64 = From parking lane
- 65 = From crossing street, turning into same direction
- 66 = From crossing street, across path
- 67 = From crossing street, turning into opposite direction
- 68 = From crossing street, intended path not known
- 70 = From driveway, turning into same direction
- 71 = From driveway, across path
- 72 = From driveway, turning into opposite direction
- 73 = From driveway, intended path not known
- 74 = From entrance to limited access highway
- 78 = Encroachment by other vehicle - details unknown

PEDESTRIAN, PEDALCYCLIST, OR OTHER NONMOTORIST

- 80 = Pedestrian in roadway
- 81 = Pedestrian approaching roadway
- 82 = Pedestrian - unknown location
- 83 = Pedalcyclist or other nonmotorist in roadway
- 84 = Pedalcyclist or other nonmotorist approaching roadway
- 85 = Pedalcyclist or other nonmotorist - unknown location

OBJECT OR ANIMAL

- 87 = Animal in roadway
- 88 = Animal approaching roadway
- 89 = Animal - unknown location
- 90 = Object in roadway
- 91 = Object approaching roadway
- 92 = Object - unknown location

OTHER

- 93 = This vehicle not involved in first harmful event
- 98 = Other critical pre-crash event
- 99 = Unknown

Comment: This is LTCCS Variable #5. Per discussion with Ralph Craft of FMCSA, this variable is coded for both vehicles in a two-vehicle incident. However, the Critical Reason (see below), is coded for only one vehicle. In the above list, note addition of 09 = loss of control due to braking and 10 = steering.

50. DV2 Critical Reason for the Critical Event (C-N-I)

- 000a = Not applicable (baseline epoch)
- 000b = Not applicable (single vehicle event)
- 000c = Critical reason not coded to this vehicle

DRIVER-RELATED FACTOR

Critical Non-Performance Errors

100 = Sleep, that is, actually asleep

101 = Heart attack or other physical impairment of the ability to act

107 = Drowsiness, fatigue, or other reduced alertness (not asleep)

108 = Other critical non-performance

109 = Apparent critical non-performance [includes any apparent driver impairment]

DRIVER-RELATED FACTOR

Recognition Errors

110 = Inattention (i.e., daydreaming)

111 = Internal distraction

112 = External distraction

113 = Inadequate surveillance (e.g., failed to look, looked but did not see)

118 = Other recognition error

119 = Apparent recognition error

Decision Errors

120 = Too fast for conditions (e.g., for safe vehicle control or to be able to respond to unexpected actions of other road users)

121 = Too slow for traffic stream

122 = Misjudgment of gap or other's speed

123 = Following too closely to respond to unexpected actions (close proximity for 2 or more seconds)

124 = False assumption of other road user's actions

125 = Illegal maneuver

125a = Apparently intentional sign/signal violation

125b = Illegal U-turn

125c = Other illegal maneuver

126 = Failure to turn on head lamps

127 = Inadequate evasive action (e.g., braking only not braking and steering; *release accelerator only instead of braking*)

128a = *Aggressive driving behavior: Intimidation: any behavior emitted by a driver while driving that is intended to cause physical or psychological harm to another person.*

128b = *Aggressive driving behavior: Wanton, neglectful or reckless behavior: excessive risky driving behaviors performed without intent to harm others, such as weaving through traffic, maneuvering without signaling, running red lights, frequent lane changing, and tailgating*

138 = Other decision error

139 = Apparent, unknown decision error

140 = *Apparent recognition or decision error (unknown which)*

Performance Errors

141 = Panic/Freezing

142 = Overcompensation

143 = Poor directional control, e.g., failing to control vehicle with skill ordinarily expected

148 = Other performance error

149 = Apparent performance error

199 = Type of driver error unknown

VEHICLE-RELATED FACTOR

200 = Tires/wheels failed

201 = Brakes failed

202 = Steering failed

203 = Cargo shifted

204 = Trailer attachment failed

205 = Suspension failed

206 = Lights failed

207 = Vehicle-related vision obstructions

208 = Body, doors, hood failed

209 = Jackknifed

298 = Apparent other vehicle failure

299 = Unknown vehicle failure

ENVIRONMENT-RELATED FACTOR

Highway Related

500 = Signs/signals missing

501 = Signs/signals erroneous/defective

502 = Signs/signals inadequate

503 = View obstructions by roadway design

504 = View obstructed by other vehicles crash circumstance

505 = Road design - roadway geometry (e.g., ramp curvature)

506 = Road design - sight distance

507 = Road design - other

508 = Maintenance problems (potholes, deteriorated road edges, etc.)

509 = Slick roads (low friction road surface due to ice, loose debris, any other cause)

518 = Other highway-related condition

Weather Related

521 = Rain, snow [Note: code loss-of-control as 509]

522 = Fog

523 = Wind gust

528 = Other weather-related condition

Other

530 = Glare

531 = Blowing debris

532 = *Animal in roadway (no driver error)*

538 = Other sudden change in ambience

999 = Unknown reason for critical event

Comment: LTCCS Variable #6, with revisions reflecting lack of information about Driver 2. Many Critical Reason elements available for DV1 are not allowed for DV2 because they

require observation of pre-crash driver behavior. The remaining elements for DV2 are either maneuvers or conditions visible from outside the vehicle (e.g., most of the decision error choices) or reasonable general inferences (e.g., Codes 109, 119, 139, 140, 149).

51. Attempted Avoidance Maneuver (C-N-I)

00 = No driver present

00a = Not applicable (baseline epoch)

00b = Not applicable (single vehicle event)

01 = No avoidance maneuver

02 = Braking (no lockup *or* lockup unknown)

03 = Braking (lockup)

04 = Braking (lockup unknown)

05 = Releasing brakes

06 = Steered to left 07 = Steered to right

08 = Braked and steered to left

08a = Braked and steered to left (no lockup *or* lockup unknown)

08b = Braked and steered to left (lockup)

09 = Braked and steered to right

09a = Braked and steered to right (no lockup *or* lockup unknown)

09b = Braked and steered to right (lockup)

10 = Accelerated

11 = Accelerated and steered to left

12 = Accelerated and steered to right

98 = Other actions

99 = Unknown if driver attempted any corrective action

Comment: LTCCS Variable #7 and also GES V27, Corrective Action Attempted. The “released gas pedal” elements available for DV1 are not available for DV2 since they would not be observable from outside the vehicle.

52. Driver Behavior: Driver 2 Actions/Factors Relating to Event (C-N-I)

Note: Analyst codes up to four factors believed to have relevance to the occurrence of the incident; e.g., as contributing factors. If there are more than four, select the four most important.

00a = Not applicable (baseline epoch)

00b = Not applicable (single vehicle event)

00 = None coded

01 = Apparent excessive speed for conditions or location (regardless of speed limit; does not include tailgating, unless above speed limit)

02 = Drowsy, sleepy, asleep, fatigued, other reduced alertness

03 = Angry

04 = Other emotional state

05 = Alert but inattentive or distracted

06a = Vehicle “drift” or “slow weave” consistent with possible drowsy/distracted driving

06b = Erratic steering, weaving, lane break, or other vehicle motion consistent with possible alcohol-impaired driving.

07 = Driving slowly; below speed limit or in relation to other traffic

08 = Illegal passing (i.e., across double line)

09 = Passing on right

10 = Other improper or unsafe passing

11a = Cutting in, too close in front of other vehicle

11b = Cutting in at safe distance but then decelerated, causing conflict

12 = Cutting in, too close behind other vehicle

13 = Making turn from wrong lane (e.g., across lanes)

14 = Did not see other vehicle during lane change or merge

15 = Driving in other vehicle's blind zone

16 = Aggressive driving, specific, directed menacing actions

17 = Aggressive driving, other; i.e., reckless driving without directed menacing actions

18 = Wrong side of road, not overtaking [includes partial or full drift into oncoming lane]

19 = Following too close

19a = Inadequate evasive action

20 = Failed to signal, or improper signal

21 = Improper turn: wide right turn

22 = Improper turn: cut corner on left turn

23 = Other improper turning

24 = Improper backing, [apparently] did not see

25 = Improper backing, other

26 = Improper start from parked position

27 = Disregarded officer or watchman

28 = Signal violation

29 = Not used

30 = Signal violation, tried to beat signal change

31 = Stop sign violation

32 = Not used

33 = Stop sign violation, "rolling stop"

34 = Other sign (e.g., Yield) violation

35 = Not used

36 = Other sign violation

37 = Non-signed crossing violation (e.g., driveway entering roadway)

38 = Right-of-way error in relation to other vehicle or person

39 = Not used

40 = Not used

41 = Sudden or improper stopping on roadway

42 = Parking in improper or dangerous location; e.g., shoulder of Interstate

43 = Speeding or other unsafe actions in work zone

44 = Failure to dim headlights

45 = Driving without lights or insufficient lights

46 = Avoiding pedestrian

47 = Avoiding other vehicle

48 = Avoiding animal

- 48a = Avoiding object
- 49 = Apparent unfamiliarity with roadway
- 50 = Apparent unfamiliarity with vehicle; e.g., displays and controls
- 51 = Use of cruise control contributed to late braking
- 52 = Excessive braking/deceleration creating potential hazard
- 53 = Loss of control on slippery road surface
- 54 = Loss of control on dry (or unknown) surface
- 55 = Apparent vehicle failure (e.g., brakes)
- 56 = Other
- 57 = Unknown

Comment: Parallel variable to #40. Note, however, that a number of element choices relating to specific driver behaviors or impairments are disallowed because these will not be observable for Driver 2. Also, for signal, sign, and right-of-way violations, analysts code the violation but do not attempt to ascertain whether the violation was intention or due to recognition failure. Thus, several elements are not used. As noted under #40, this variable was used in the 100-Car Naturalistic Driving Study, although some new elements have been added. Also, the coding rule is different; in the 100-Car study, the analyst coded up to three factors for each driver, in descending order of judged importance. In the current study, analysts will code all that apply and in no order of importance. Thus, the data from the two studies are not directly comparable.

53. Applicable Functional Countermeasures for DV2 (C-N-I)

Based on the above variables relating to the event scenario, pre-event actions and states, and event causation, a senior analyst will identify applicable functional countermeasures. For crashes, an applicable DV2 functional countermeasure is one that would likely have prevented the crash, either by preventing the genesis of the unsafe condition or by improving the driver response to the unsafe condition. Near-crashes and crash-relevant conflicts are analyzed “as if” a crash had occurred. Variable 41 provides a table of functional countermeasures and shows coding rules for them. The coding of functional countermeasures is based both on algorithmic determination from previous coded variables and on analyst judgment. In many cases, particular “Accident” Type, Critical Reason, or other causation-related codes algorithmically determine applicable functional countermeasures. Some countermeasure choices, however, are coded based on senior analyst judgment. Note that most potential functional countermeasures are coded for DV2, but that some are not due to the fact that little information is available to analysts on the specific Driver 2 behaviors and states.

General

54. Event Comments (C-N-I-B)

Comment: This text variable will permit analysts to provide any comments on the event, including information not captured by data variables, assumptions made about the event affecting coding, and coding issues that arose. Ordinarily this will not contain information that is captured by the coded variables.

APPENDIX B: ORD AND EMP DESCRIPTIVE STATISTICS BY INDIVIDUAL DRIVER

Table 117. ORD descriptive statistics by individual driver.

Driver ID	ORD Range	ORD Mean	ORD Std Dev	Number of Safety Critical Events	Number of SCEs with ORD > 40	Number of Baselines	Number of Baselines with ORD > 40
1	4 - 85	44.4	23.3	3	3	20	10
2	24 - 68	46.6	12.6	7	5	23	13
3	10 - 85	23.1	21.2	8	1	6	1
4	15 - 95	41.4	24.3	10	5	5	2
5	8 - 60	32.5	12.4	7	2	17	5
7	17 - 96	45.3	20.8	14	8	14	8
8	4 - 62.83	33.4	15.2	10	2	11	4
9	8 - 79	28.8	17.1	2	0	26	8
10	15 - 63	31.7	17.1	1	0	5	1
11	9 - 71	34.1	25.4	3	0	6	2
12	5 - 36.23	16.8	13.0	12	0	11	0
13	9 - 72	35.8	17.9	10	4	11	5
14	10.2 - 79	10.2	18.0	13	1	23	11
15	15 - 48	48.0	11.1	6	4	15	4
16	9 - 71	31.7	16.2	45	11	21	8
17	5 - 89	28.5	17.4	32	5	29	5
18	9 - 70	34.9	16.1	13	6	26	8
19	12 - 64	37.4	14.5	18	8	26	9
20	5 - 64.97	35.7	17.6	0	0	20	8
21	13 - 90	50.6	22.9	4	1	18	11
22	21 - 85	49.6	21.9	8	0	23	5
23	7 - 61.93	32.7	13.6	34	3	23	9
24	10 - 70.67	35.1	15.4	23	7	27	8
25	17 - 66.53	47.5	21.6	2	0	6	1
26	5 - 55	27.9	13.2	15	3	24	3
27	5 - 85	35.5	17.4	19	7	24	10
28	3 - 48.6	22.7	13.6	29	2	24	3
29	8 - 79	40.0	17.5	6	0	24	14
30	12 - 76	38.2	19.5	2	1	26	7
31	8 - 52.77	21.5	12.5	18	0	27	3
32	8 - 65	27.2	16.6	25	1	23	9
33	11 - 50	32.5	13.5	16	3	26	5
34	20 - 53.33	37.0	11.0	5	1	9	2
35	3.3 - 47.37	22.3	10.7	2	0	21	1
37	7 - 49	27.9	12.4	0	0	23	5
38	10 - 47	28.5	13.2	1	0	20	6
39	10 - 87	37.2	13.8	57	10	24	13
101	8.5 - 72	35.9	15.1	9	1	24	7
102	2.8 - 59	31.5	16.7	5	0	24	8
103	6.9 - 62.17	28.6	15.3	26	3	20	3
104	6 - 53	30.5	14.8	4	3	24	6
105	8 - 42	18.2	9.8	6	1	6	0
106	6 - 69.77	37.0	20.6	6	1	26	17
107	4 - 48	23.6	12.3	25	2	15	4
108	8 - 80	32.5	19.7	9	2	26	10
109	25.57 - 60	40.8	13.0	0	0	8	5
110	14 - 85	43.1	15.7	47	24	24	13
111	4 - 47.37	25.8	14.0	6	0	23	5
112	6 - 54.9	26.8	11.3	36	2	14	2
113	26 - 48	36.1	8.3	1	0	9	2
114	9 - 86	44.4	23.3	24	10	15	4
115	11 - 49.4	34.3	14.8	2	0	12	4
116	8 - 55	28.5	14.0	8	1	27	7
118	27 - 69	48.5	13.5	2	0	23	11
119	6 - 90	30.0	17.9	22	1	20	4

120	18.8 - 58.93	39.2	11.4	18	9	21	12
121	19 - 56	37.4	12.5	6	3	15	6
122	13 - 85	49.9	16.1	2	0	18	15
123	7 - 89	31.8	18.4	19	1	24	10
124	3.8 - 79.03	31.5	18.3	12	0	18	1
125	3 - 67.67	30.7	14.3	33	3	26	11
126	7.1 - 64	39.6	13.1	7	3	23	13
127	1.4 - 61	33.5	16.4	7	0	23	8
128	3 - 70	37.1	14.0	6	2	29	10
129	23 - 51	34.8	8.6	1	1	27	6
130	8 - 56	27.6	16.2	1	0	21	5
131	5.8 - 66.9	35.1	17.3	25	5	23	4
132	18 - 53	35.6	10.4	5	1	23	9
134	30 - 50.77	40.4	14.7	0	0	2	1
135	10 - 75.03	37.4	16.8	4	3	23	7
136	22 - 55.2	37.2	9.2	3	1	26	10
137	20.77 - 59	36.1	9.6	3	1	21	7
138	12 - 71.73	49.9	16.5	3	2	17	14
151	14 - 55.9	34.7	11.9	1	0	24	6
152	10 - 52.4	39.5	10.3	6	0	24	16
153	10 - 49.03	32.9	10.1	12	1	24	7
154	25.5 - 53.6	36.4	9.4	7	1	21	8
201	11 - 48	31.6	10.8	0	0	15	2
202	19 - 65	44.6	12.1	0	0	23	15
203	5.7 - 89	46.9	20.8	16	3	24	7
204	3.9 - 82.23	35.9	12.7	51	9	24	13
205	23.9 - 81	43.2	14.7	20	8	18	8
206	8 - 37.07	25.5	12.2	1	0	8	0
210	26 - 93.57	45.6	18.5	11	1	21	7
212	36.17 - 55	47.4	9.9	0	0	3	2
213	5 - 70	36.4	13.8	16	2	24	12
215	9 - 46	26.6	13.5	6	0	23	2
216	22 - 89	54.2	20.4	6	2	24	14
217	14 - 55	37.9	11.3	2	1	23	9
218	3 - 37.27	18.4	13.7	1	0	5	0
219	11 - 76	30.6	14.1	16	3	23	0
221	2 - 67	29.4	16.4	9	3	21	6
232	23.93 - 44.73	34.4	7.7	4	0	20	5
234	13 - 46	26.9	12.6	3	0	23	3
236	12 - 78	44.7	15.7	4	3	24	13
242	4 - 52.6	31.8	8.4	62	7	21	3
244	22.4 - 51.23	33.6	9.2	8	1	20	3
245	28.93 - 50.5	38.6	5.6	6	1	17	4
246	25.1 - 55.1	37.4	8.2	11	2	23	10
247	26.2 - 48.17	38.2	8.1	9	2	17	4
248	18.47 - 76.83	38.0	13.0	8	1	23	7
249	22.53 - 43	32.9	11.2	26	2	30	13
250	7 - 80	39.8	13.9	49	6	35	16

Table 118. EMP descriptive statistics by individual driver.

Driver ID	Range	Mean	Std. Dev.	Number of Safety Critical Events	Number of SCEs with EMP \geq 12	Number of Baselines	Number of Baselines with EMP \geq 12
1	2.50% - 10.39%	6.38%	0.02	3	0	20	0
2	7.90% - 26.66%	17.00%	0.05	7	3	23	15
3	0.78% - 12.95%	3.33%	0.03	8	1	6	0
4	1.47% - 8.86%	4.26%	0.02	10	0	5	0
5	2.98% - 27.24%	14.90%	0.07	7	1	17	6
7	1.53% - 24.56%	13.10%	0.08	14	8	14	3
8	4.00% - 16.08%	8.71%	0.03	10	1	11	1
9	2.62% - 22.49%	7.33%	0.05	2	0	26	3
10	3.32% - 11.26%	6.97%	0.03	1	0	5	0
11	1.13% - 11.14%	5.14%	0.04	3	0	6	0
12	0.78% - 10.19%	3.86%	0.03	12	0	11	0
13	5.83% - 23.61%	11.60%	0.06	10	0	11	3
14	3.80% - 17.20%	7.59%	0.03	13	0	23	3
15	1.11% - 5.00%	2.70%	0.01	6	0	15	0
16	1.14% - 11.62%	5.58%	0.03	45	0	21	0
17	0.33% - 21.13%	2.67%	0.04	32	1	29	0
18	1.17% - 9.91%	3.73%	0.02	13	0	26	0
19	0.85% - 8.39%	2.72%	0.02	18	0	26	0
20	5.15% - 29.11%	16.70%	0.06	0	0	20	14
21	2.39% - 14.39%	6.66%	0.03	4	0	18	2
22	2.44% - 9.84%	4.96%	0.02	8	0	23	0
23	5.36% - 18.46%	9.65%	0.04	34	2	23	1
24	0.00% - 11.11%	1.35%	0.02	23	0	27	0
25	4.42% - 13.28%	9.71%	0.03	2	0	6	1
26	0.23% - 24.46%	9.67%	0.06	15	0	24	7
27	0.33% - 9.96%	3.15%	0.02	19	0	24	0
28	0.85% - 9.68%	2.66%	0.02	29	0	24	0
29	7.74% - 21.03%	14.84%	0.04	6	0	24	14
30	1.06% - 15.17%	5.83%	0.05	2	0	26	3
31	0.54% - 14.84%	6.15%	0.03	18	0	27	3
32	1.59% - 24.30%	13.27%	0.08	25	11	23	13
33	0.78% - 6.34%	3.60%	0.02	16	0	26	0
34	5.91% - 22.28%	12.13%	0.04	5	3	9	4
35	1.91% - 10.04%	4.82%	0.02	2	0	21	0
37	0.11% - 17.42%	8.38%	0.06	0	0	23	5
38	0.44% - 12.52%	5.75%	0.03	1	0	20	1
39	1.84% - 17.70%	8.72%	0.04	57	1	24	7

Driver ID	Range	Mean	Std. Dev.	Number of Safety Critical Events	Number of SCEs with EMP \geq 12	Number of Baselines	Number of Baselines with EMP \geq 12
101	0.00% - 2.33%	0.96%	0.01	9	0	24	0
102	0.56% - 27.46%	5.85%	0.07	5	0	24	3
103	1.36% - 13.52%	5.03%	0.03	26	1	20	1
104	1.90% - 23.22%	9.51%	0.06	4	2	24	6
105	4.43% - 9.17%	6.30%	0.01	6	0	6	0
106	2.33% - 14.72%	6.04%	0.03	6	1	26	0
107	0.11% - 3.61%	1.06%	0.01	25	0	15	0
108	2.74% - 13.91%	6.29%	0.02	9	0	26	1
109	2.93% - 6.52%	5.13%	0.01	0	0	8	0
110	0.94% - 26.13%	11.42%	0.06	47	14	24	8
111	1.25% - 18.57%	9.62%	0.05	6	0	23	5
112	0.67% - 22.15%	3.85%	0.04	36	0	14	1
113	0.73% - 2.56%	1.64%	0.01	1	0	9	0
114	1.06% - 12.89%	7.08%	0.03	24	0	15	1
115	0.44% - 8.25%	3.29%	0.03	2	0	12	0
116	1.95% - 13.17%	4.70%	0.02	8	1	27	0
118	4.22% - 17.56%	7.78%	0.04	2	0	23	1
119	0.67% - 7.95%	4.15%	0.02	22	0	20	0
120	0.11% - 4.02%	1.19%	0.01	18	0	21	0
121	1.34% - 17.91%	5.05%	0.04	6	0	15	1
122	2.78% - 19.09%	10.08%	0.05	2	1	18	4
123	0.17% - 9.38%	1.90%	0.02	19	0	24	0
124	0.44% - 13.01%	3.04%	0.03	12	0	18	7
125	2.30% - 17.99%	9.37%	0.04	33	4	26	6
126	0.00% - 1.78%	0.46%	0.00	7	0	23	0
127	8.62% - 25.01%	13.80%	0.04	7	3	23	8
128	1.80% - 29.99%	11.44%	0.08	6	2	29	9
129	0.61% - 4.81%	2.04%	0.01	1	0	27	0
130	1.94% - 15.54%	6.67%	0.04	1	0	21	2
131	2.63% - 19.18%	9.92%	0.06	25	0	23	6
132	1.01% - 9.17%	3.50%	0.02	5	0	23	0
134	2.00% - 8.92%	5.46%	0.05	0	0	2	0
135	0.11% - 2.17%	0.71%	0.01	4	0	23	0
136	1.29% - 11.23%	5.68%	0.02	3	0	26	0
137	0.56% - 5.72%	2.13%	0.01	3	0	21	0
138	1.83% - 10.89%	5.62%	0.03	3	0	17	0
151	0.45% - 16.06%	4.83%	0.04	1	0	24	1
152	3.70% - 16.81%	8.13%	0.04	6	0	24	4

Driver ID	Range	Mean	Std. Dev.	Number of Safety Critical Events	Number of SCEs with EMP \geq 12	Number of Baselines	Number of Baselines with EMP \geq 12
153	0.70% - 2.78%	1.42%	0.01	12	0	24	0
154	1.58% - 9.38%	4.60%	0.02	7	0	21	0
201	6.90% - 18.44%	13.40%	0.04	0	0	15	10
202	1.50% - 11.61%	5.26%	0.02	0	0	23	0
203	1.40% - 12.74%	5.72%	0.03	16	0	24	1
204	0.44% - 30.58%	3.41%	0.04	51	0	24	1
205	0.54% - 11.11%	4.82%	0.03	20	0	18	0
206	0.00% - 0.89%	0.44%	0.00	1	0	8	0
210	0.00% - 6.72%	1.71%	0.02	11	0	21	0
212	4.02% - 6.32%	5.13%	0.01	0	0	3	0
213	1.69% - 21.40%	11.89%	0.04	16	7	24	10
215	0.89% - 5.48%	2.14%	0.02	6	0	23	0
216	5.68% - 18.95%	9.79%	0.04	6	0	24	3
217	0.72% - 21.06%	5.02%	0.05	2	0	23	3
218	0.88% - 6.22%	3.40%	0.02	1	0	5	0
219	0.17% - 8.89%	1.50%	0.02	16	0	23	0
221	1.21% - 14.72%	6.85%	0.04	9	0	21	3
232	2.69% - 5.87%	4.16%	0.01	4	0	20	0
234	1.71% - 7.55%	3.43%	0.02	3	0	23	0
236	0.00% - 7.44%	1.89%	0.02	4	0	24	0
242	0.00% - 7.00%	1.24%	0.01	62	0	21	0
244	1.03% - 5.57%	2.59%	0.02	8	0	20	0
245	1.44% - 7.09%	3.69%	0.02	6	0	17	0
246	3.36% - 23.63%	12.01%	0.05	11	5	23	5
247	0.11% - 5.39%	1.60%	0.02	9	0	17	0
248	2.12% - 28.80%	9.24%	0.07	8	1	23	3
249	0.17% -13.71%	1.66%	0.02	26	0	30	1
250	1.17% - 26.25%	8.28%	0.05	49	1	35	4

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