

1 **The Impact of Private Autonomous Vehicles on Vehicle Ownership and Unoccupied VMT**
2 **Generation**

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1 ABSTRACT

2
3 With 36 ventures testing autonomous vehicles (AVs) in the State of California, commercial
4 deployment of this disruptive technology is almost around the corner (California, 2017).
5 Different business models of AVs, including Shared AVs (SAVs) and Private AVs (PAVs), will
6 lead to significantly different changes in regional vehicle inventory and Vehicle Miles Travelled
7 (VMT). Most prior studies have already explored the impact of SAVs on vehicle ownership and
8 VMT generation. Limited understanding has been gained regarding vehicle ownership reduction
9 and unoccupied VMT generation potentials in the era of PAVs. Motivated by such research gap,
10 this study develops models to examine how much vehicle ownership reduction can be achieved
11 once private conventional vehicles are replaced by AVs and the spatial distribution of
12 unoccupied VMT accompanied with the vehicle reduction. The models are implemented using
13 travel survey and synthesized trip profile from Atlanta Metropolitan Area. The results show that
14 more than 18% of the households can reduce vehicles, while maintaining the current travel
15 patterns. This can be translated into a 9.5% reduction in private vehicles in the study region.
16 Meanwhile, 29.8 unoccupied VMT will be induced per day per reduced vehicles. A majority of
17 the unoccupied VMT will be loaded on interstate highways and expressways and the largest
18 percentage inflation in VMT will occur on minor local roads. The results can provide
19 implications for evolving trends in household vehicles uses and the location of dedicated AV
20 lanes in the PAV dominated future.

21
22 Keywords: Autonomous Vehicles, Vehicle Ownership, Unoccupied VMT
23

1 INTRODUCTION

2 Many vehicle manufacturers and IT companies have announced plans for deployment of
3 autonomous vehicles by the year 2020. As of June 27th, 2017, 36 ventures have received permits
4 to test prototypes of self-driving vehicles on road in California (California, 2017). This
5 revolutionary transportation technology will undoubtedly alter household vehicle ownership and
6 VMT generation patterns in cities (Fagnant & Kockelman, 2015a; Litman, 2014). The impact of
7 AV on vehicle ownership and VMT generation depends heavily on the business models of the
8 technology, including Shared AVs (SAVs) and Private AVs (PAVs). SAV is an envisioned self-
9 driving taxi system. The operation of the SAV system is centralized to optimize the performance
10 of the system. In the SAV model, consumers pay for mobility service rather than the fleet.
11 Alternatively, the PAV model echoes the current vehicle business model, but replacing
12 conventional vehicles with AVs.

13 Most of the existing studies focused on the impacts of SAVs, which are considered as
14 more environmentally sustainable compared with PAVs. For instance, agent-based simulation
15 models are developed to demonstrate the affordability and feasibility of the SAV system (Burns,
16 Jordan, & Scarborough, 2013; Spieser et al., 2014) and to explore the impacts of SAVs on
17 vehicle ownership, Greenhouse Gas (GHG) emissions, traffic flow, charging stations, and
18 parking demand (Chen, Kockelman, & Hanna, 2016; Fagnant & Kockelman, 2014; Greenblatt &
19 Saxena, 2015; Zhang & Guhathakurta, 2017; Zhang, Guhathakurta, Fang, & Zhang, 2015a,
20 2015b). Based on author's best knowledge, to date, only one report has explored the impact of
21 PAVs on household vehicle ownership reduction potentials, using the 2009 National Household
22 Travel Survey (NHTS) data (Schoettle & Sivak, 2015). The study only considers time conflicts
23 in the household AV scheduling model, while other components such as the origins and
24 destinations of trips are not included. Additionally, the study does not provide implications for
25 unoccupied VMT generation, as the origins and destinations of trips are not provided in NHTS
26 data.

27 Despite SAVs being more heatedly discussed in the existing literature, the privately-
28 owned AVs (PAVs) may turn out to be more preferable to consumers, based on several recent
29 AV preference survey results. Bansal et al. (2016) conducted an opinion survey in Austin.
30 Among the 347 respondents, only 13% indicate they may be willing to give up personal vehicles
31 and rely exclusively on SAVs whose costs are \$1/mile. Additionally, the most optimistic
32 scenario indicates over 35% of the respondents are unlikely to participate into the SAV program,
33 regardless the cost of the service. Another SAVs preference survey suggests that given various
34 trip characteristics profiles, more than 70% respondents choose not to use the SAV system
35 (Krueger, Rashidi, & Rose, 2016). Another stated preference survey reveals that only 5.4% of
36 the 1920 observations in North America are willing to rely exclusively on SAVs for commuting
37 purposes trips and only 40.63% are willing to participate into the SAV program (even at zero
38 membership cost) (Haboucha, Ishaq, & Shifan, 2017). In sum, the majority of consumers may
39 still prefer to own a private AV in the near future. Therefore, it is critical to gain a more
40 comprehensive understanding regarding the impact of PAV on vehicle ownership and VMT
41 generation.

42 Motivated by the limited understanding of the impacts of PAV on household vehicle
43 ownership and unoccupied VMT generation, this study designs and implements a vehicle
44 scheduling algorithm to estimate the vehicle ownership reduction potentials and unoccupied
45 VMT generation in the era of PAV, using the 2011 travel survey data from Atlanta Metropolitan
46 Area. Statistical analyses are then conducted to identify critical factors (such as household travel

1 pattern, socio-economic, demographic, and built environment characteristics) that are associated
2 with the vehicle ownership reduction potentials. Additionally, the study also examines the
3 temporal and spatial distributions of unoccupied VMT using the synthesized trip profiles
4 generated by the Atlanta Activity Based Travel model.

5 The remainder of the article is organized as follows. The subsequent section provides a
6 brief overview regarding the existing studies regarding the impact of AVs on vehicle ownership
7 and VMT generation. Section Three describes the data sources and methodology used to
8 examine vehicle ownership reduction and unoccupied VMT generation potentials under PAV
9 business model. Section Four presents and analyzes the model results. Conclusions and future
10 research directions are discussed in Section Five.

11 **BACKGROUND**

12 With autonomous vehicles technology almost around the corner, the literature regarding the
13 impact of AVs is proliferating. Many studies show this disruptive technology will improve travel
14 experience by reducing crashes (Harper, Hendrickson, & Samaras, 2016), improve fuel
15 efficiency (Fagnant & Kockelman, 2015a; Mersky & Samaras, 2016), and provide more reliable
16 travel time, at a cost that is significantly more affordable than current private sedans (Burns et al.,
17 2013; Litman, 2014). However, AVs, if owned privately, instead of shared among consumers,
18 are also expected to generate several negative externalities, such as excessive VMT generation
19 (Zhang et al., 2015b), Greenhouse Gas (GHG) emissions, and more transportation energy
20 consumptions (Greenblatt & Saxena, 2015), stemming primarily from changes in travel behavior.
21 The following sections summarize the existing studies regarding how AVs (either SAV or PAV)
22 may influence vehicle ownership and VMT generation.

23 Most literature has focused on how SAVs would reduce vehicle ownership, using agent-
24 based simulation models. Results show that one SAV can replace approximately 11-14 private
25 vehicles (i.e. approximately 90% of reduction rate), assuming consumers are willing to give up
26 personal vehicles and rely exclusively on SAVs (Bischoff & Maciejewski, 2016; Boesch, Ciari,
27 & Axhausen, 2016; Fagnant & Kockelman, 2014, 2015b; Martinez & Crist, 2015; Rigole, 2014;
28 Zhang et al., 2015b). The replacement rates vary slightly based on the population and
29 employment density in the studied region. To authors' best knowledge, only one study, to date,
30 explored how PAVs will influence household vehicle ownership. Schoettle and Sivak (2015)
31 found that average household vehicle ownership can be reduced by 43% from 2.1 to 1.2, once
32 households replace conventional vehicles with AVs, using weighted National Household Travel
33 Survey (NHTS) data. However, in this study, the minimum required vehicle is estimated based
34 on the trip starting and ending time. The location of origin and destination is not accounted for in
35 their analyses, as such information is not provided in the NHTS data. While, in some cases, one
36 AV may not be sufficient to serve two non-overlapping trips if the relocation time is too long.
37 Therefore, Schoettle and Sivak's pioneering work only provides an optimistic upper bound for
38 potential vehicle ownership reduction rate. Almost no other study, to date, has developed a
39 model to understand vehicle reduction potential while incorporating the spatial distributions of
40 origins and locations into the model. Additionally, little understanding has been gained regarding
41 what type of household (i.e. socio-demographic, economic, and travel behavior characteristics)
42 are more likely to reduce household vehicle ownership in the era of AVs.

43 The vehicle automation technology will undoubtedly change Vehicle Miles Travelled
44 (VMT) for various reasons. First, several studies suggest that VMT would increase by 10-14%,
45 once the AVs start to serve underserved population, especially those driving capability are
46

1 constrained for various reasons (Harper, Hendrickson, Mangones, & Samaras, 2016). Second,
2 VMT may also change dramatically, given variations in travel behaviors due to reduced travel
3 time costs and parking costs (Childress, Nichols, Charlton, & Coe, 2015; Levin & Boyles, 2015).
4 The changes in VMT may vary significantly based on the assumptions made in the simulations,
5 ranging from -35% to 20%. Childress et al., (2015) suggest that VMT increase the most the
6 perceived travel time costs are reduced by over 50%. Alternatively, VMT may decrease if the per
7 mile based travel cost of AVs surplus the existing sedans. Finally, AVs can also introduce a
8 significant amount of unoccupied VMT, during the relocation process. In the SAV model, 11%-
9 20% of unoccupied VMT are generated, when SAVs relocate to serve clients or balance the
10 spatial distribution of vehicles in the system. The range varies significantly depending on the
11 level of willingness to share rides among consumers and the trip density (Fagnant & Kockelman,
12 2014; Zhang et al., 2015b). However, it remains unclear how much unoccupied VMT will be
13 induced after replacing conventional household vehicles with AVs under the PAV business
14 model. Additionally, it is also critical to understand the spatial and temporal distribution of
15 unoccupied VMT to provide implications for future travel demand and the allocation of
16 infrastructure resources correspondingly. However, few research has contributed to these topics.

17 To fill up the existing gaps, this study aims to examine potentials of vehicle ownership
18 reduction in the era of PAV by incorporating the spatial distribution of origins and destinations
19 into the model using weighted Atlanta travel survey. Additionally, this study also determines the
20 spatial and temporal distribution of unoccupied VMT as a result of reduced household vehicle
21 ownership, using synthesized regional travel profile output from Atlanta activity based model.
22 The results will provide implications for vehicle reduction potentials and spatial and temporal
23 distribution of unoccupied VMT in the region. The model outputs will shine lights on future
24 transportation facility demand in a PAV dominated future.

25

26 **DATA AND METHODOLOGY**

27 **Data**

28 Two data sets are used in this study, including (1) 2011 Atlanta Travel Survey and (2)
29 synthesized Atlanta trip profile from the Atlanta activity based travel model (ABM). Both
30 datasets are generously provided by Atlanta Regional Commission (ARC). The 2011 Atlanta
31 Travel Survey contains 10,278 households, 9,901 of which (96% of the weighted sample) have at
32 least one privately owned vehicles (excluding three households living outside of the region and
33 eleven households with partial members filled out the survey). According to the survey data,
34 each household produces approximately 9.12 vehicle trips per day and owns 1.99 vehicles, on
35 average (ARC, 2011). The origin and destination of the trips have already been geocoded with
36 longitudes and latitudes by ARC.

37 The synthesized trip profile includes characteristics of simulated trips for each
38 synthesized household in the 20-county Atlanta metropolitan area. There are 2,115,034
39 households and 19,235,738 vehicle trips in this dataset. The data contain several trip features,
40 including origin, destination, departure time (in 30 minutes intervals), travel mode, etc. The
41 attributes of trips are simulated using their marginal distributions collected in the 2011 travel
42 survey. Therefore, the 2015 trip profile can be considered as an extrapolated version of 2011
43 Atlanta Travel Survey for the entire 20-county metro area. This dataset occupies 4.2 Gigabytes
44 of space on the disk and, therefore, is computationally challenging to process.

45 We use two datasets to examine different research questions, considering the
46 characteristics/strength of each dataset. The travel survey includes information, such as

1 longitudes and latitudes of trip origins and destinations and a wide range of socio-economic and
2 demographic characteristics at the household and individual level. In other words, the travel
3 survey data are more refined than the synthetic trip profile from ABM. Therefore, we use Atlanta
4 travel survey trip records to determine vehicle reduction potentials of households and identify
5 household features that are correlated with the vehicle reduction potentials. Meanwhile, although
6 ABM trip profile only contains TAZ level trip origin and destination information and a limited
7 number of household characteristics, the data do provide all Origin-Destination (OD) pairs in the
8 study area. Therefore, the synthetic trips from ABM are used to determine the spatial distribution
9 of unoccupied/relocation VMT in the transportation network.

11 **Methodology**

12 The research methodology is three-fold. In step one, a greedy algorithm is designed to determine
13 the minimum number of private AVs needed to fulfill a household's current travel demand. In
14 step two, mixed-integer programming (MIP) problems are formulated for households that can
15 reduce vehicle ownership. The problems are then solved using IBM CPLEX software to obtain
16 optimized vehicle route (i.e. the route that can minimize daily VMT for each household) to
17 determine the origins and destinations of unoccupied trips. The above described two model
18 components are applied to 2011 Atlanta travel survey data to examine vehicle reduction and
19 unoccupied VMT generation potentials. Last, in step three, the models from step one and two are
20 applied to the synthesized 2015 trip profile for the entire region to generate new Origin-
21 Destination (OD) matrices. A trip assignment model is then implemented in CUBE to allocate
22 unoccupied AV trips to the transportation network. The details for each step are described in the
23 following sections.

24 In Step one, a greedy scheduling algorithm is designed to determine the minimum
25 number of autonomous vehicles needed to satisfy the travel demand of all household members in
26 each household. First, the vehicle trips generated in each household are sorted based on the trip
27 departure time and are analyzed sequentially. At the beginning of the day, the vehicle inventory
28 for the household is set as zero. For each incoming household trip, the algorithm will find all the
29 AVs that will be available by the departure time of the trip. An AV is considered as available
30 when two criteria are met: 1) AV is not serving other household member when the current trip
31 departs and 2) There is sufficient time for AV to relocate from its location to the origin of the
32 upcoming trip. The potential relocation time is obtained using Google Maps Distance Matrix
33 Application Programming Interface (API) service. The Distance Matrix API returns Google's
34 estimate of travel time given the provided trip origin, destination, and departure time. Therefore,
35 the congestion factor on relocation is considered in this process. If no AV is available to serve
36 the incoming trip, a new AV will be added to the household vehicle inventory. The location of
37 the AVs will always be updated to the destination of the last served trip. Additionally, the status
38 of AVs will be marked as busy until the end of the last served trip. After scanning all trips made
39 by the household, the number of AV saved in the household vehicle inventory will be the
40 minimum required number of AV to serve the household. Vehicle reduction potential is
41 calculated by subtracting the existing number of operation vehicles (not the total number of
42 owned vehicles) by the number of required AVs. It is assumed that extra vehicles that are not
43 identified as daily operational vehicle in the survey are kept for purposes other than travel and
44 therefore will not be eliminated after the introduction of AVs.

45 The above described greedy algorithm, however, cannot determine the excessive VMT
46 generation of the household, as the vehicle service route is not optimized. Therefore, in step two

1 Mixed-Integer Programming problems are formulated and solved to determine the minimum
 2 amount of unoccupied VMT generated during AV repositioning process for households that can
 3 reduce vehicle ownership. The notation of the problems are as follows:

4
 5 $v \in V$: the set of $|V|$ AVs;

6 $t \in T$: the set of $|T|$ trips made by household members;

7 $e \in E$: the set of $|E|$ potential relocations between household trips with e_{t_i, t_j} indicating
 8 relocation miles generated after serving t_i first and then t_j ;

9 $x_{v, t_i, t_j} \in \{0, 1\}$: if AV v relocates to serve trip t_i and t_j

10
 11 For each household, a weighted directed graph (or network), $G = (T, E)$, is generated.
 12 The nodes (T) in the graph represent vehicle trips generated by the household. The directed
 13 edges (E) indicate the amount of relocation miles incurred if an AV serves both starting and
 14 ending trips/nodes sequentially. If there is no enough relocation time between the trips, then no
 15 edge will be generated, i.e., the two trips cannot be served by one PAV. In other words, if there
 16 is no sufficient time for AVs to relocation from the destination of prior trip to the origin of
 17 current trip before the departure time of the current trip, then the two trip nodes will not be
 18 connected by an edge. Similar to Step One, the relocation time is obtained using Google
 19 Distance Matrix API. The direction of the edge indicates the time sequence of the service. The
 20 objective of this optimization problem is to find $|v|$ disjoint path(s) in this graph, such that the
 21 sum of edge costs (relocation distance) is minimized, see the objective function below:
 22

$$\min \sum_{v=1}^V \sum_{i=1}^T \sum_{j=1}^T x_{v, t_i, t_j} * e_{t_i, t_j} \quad (1)$$

23
 24 Having defined the variables (x_{v, t_i, t_j}), the problem graph $G = (T, E)$, and the set of AVs
 25 V , we are now ready to describe the *constraints* of our MIP problem. First, each trip should be
 26 served by exactly one AV. This suggests that the sum of x variables related to the incoming
 27 edge(s), $deg^-(t_i)$ of node i should be equal to one. Additionally, the sum of x variables related
 28 to the outgoing edge(s), $deg^+(t_j)$ of node j should also be constrained to one.
 29

$$\sum_{v=1}^V \sum_i x_{v, t_i, t_j} = 1, \forall t_i \in deg^-(t_j) \quad (2)$$

$$\sum_{v=1}^V \sum_i x_{v, t_i, t_j} = 1, \forall t_j \in deg^+(t_i) \quad (3)$$

30
 31 Second, the route of each AV should be contiguous, i.e. AVs cannot teleport from one
 32 location to another to serve trips. In other words, the incoming and outgoing edges of one
 33 node/trip should be assigned to one AV.
 34

$$\sum_{t_j \in deg^-(t_i)} x_{v,t_i,t_j} = \sum_{t_j \in deg^+(t_i)} x_{v,t_i,t_j}, \forall t_i \in T, v \in V \quad (4)$$

1
2 Third, the number of AV(s) should not be more than the minimum required number of
3 AV(s). To implement these constraints, hypothetical starting and ending nodes, H_0 and H_1 , are
4 added into the graph to control the number of AVs assigned to put into the network. The starting
5 node has outgoing edges to all the trip nodes and the weight are all assigned to be zero. Similarly,
6 the ending node has zero cost weighted incoming edges from all the trip nodes.
7

$$\sum_{v=1}^V \sum_{t=1}^T x_{v,H_0,t_i} = |v| \quad (5)$$

$$\sum_{v=1}^V \sum_{t=1}^T x_{v,t_i,H_1} = |v| \quad (6)$$

8
9 This optimization algorithm is then applied to households that can potentially reduce
10 vehicle ownership to determine their unoccupied VMT generation. The optimization is
11 implemented in Python 2.7 using IBM CPLEX's Python Application Programming Interface
12 (API) (IBM, 2017). Descriptive statistics of the model outputs are calculated using weighted
13 2011 Atlanta travel survey to examine the overall vehicle reduction and unoccupied VMT
14 generation potentials.

15 Finally, in step three, models from previous steps are applied to the 2015 synthesized trip
16 profile to obtain the origins and destinations of all AV relocation trips on a typical weekday.
17 There are some minor changes in the methodology from Step One and Two, so that the model
18 can be applied to ABM data. ABM only contains TAZ level trip origin and destination
19 information. Therefore, instead of using Google Distance Matrix API, we obtained relocation
20 time using the SKIM matrix from ABM. After applying the revised model to ABM data, we
21 obtained New Origin-Destination (OD) matrix, containing empty relocation AV trips, by time of
22 the day. The vehicle trips (original trip and empty relocation trips) are then assigned to road
23 segments by applying the all or nothing trip assignment process in CUBE voyager. Potential
24 changes in the spatial distribution of traffic volume are then identified through cross-comparing
25 with the current ARC baseline network outputs.
26

27 **Model Assumptions and Scenarios**

28 The assumptions and simplifications of the developed models are summarized as follows:

- 29 1) No change in the travel behaviors, i.e., no induced travel demand and no variations in the
30 travel patterns (origin, destination, departure time);
- 31 2) The estimated VMT changes stems exclusively from the re-routing of unoccupied AVs
32 from prior trip destination generated by another household member to the existing trip
33 origin;
- 34 3) Vehicles are only shared among household members not among households;
- 35 4) It assumes a 100% market penetration rate and heterogeneity in the preferences for AVs
36 is not considered
37

We also examined some other scenarios in the scenario development section. We specifically explored the impact of schedule flexibility, i.e., the tolerance on trip departure and arrival time. We discuss the results of the scenarios analysis in the schedule flexibility scenarios development section.

RESULTS

Vehicle Reduction Potentials

The results show that approximately 18.3% of the households in the weighted survey have the potential to reduce vehicle ownership even if they maintain the current travel schedule. Compared with the weighted vehicle inventory in the region, approximately 9.5% vehicle ownership reduction can be achieved overall. For households that can reduce vehicle ownership, on average, 1.1 vehicles can be eliminated. The majority of the households cannot achieve vehicle reduction given their overlapping trip schedules, especially during peak hours. More vehicle can be reduced if household members start to re-schedule daily trips to accommodate AVs.

We developed a logistic regression model to understand the correlations between socioeconomic and demographic characteristics of households (i.e., explanatory variables) and vehicle ownership reduction potentials (i.e., the dependent variable). The results, as displayed in Table 1, indicate that current vehicle dependency plays an important role in vehicle ownership reduction potential. Households with more operating vehicles are more likely to benefit from the vehicle automation technology. Additionally, the reduction potential is also correlated with the trip generation pattern of the household. The vehicle ownership reduction potential is larger for households with higher trip generation rates and shorter trips. This type of travel pattern leaves more room for PAV relocation in the future. The results also suggest households with different socioeconomic features are more likely to benefit from PAVs. Families with higher income and home owners (rather than renters) have more potential to reduce vehicle ownership. Additionally, households with more workers are also more likely downsize their existing vehicle ownership, once vehicles can relocate from work locations to serve family members in other places in the region. Finally, the results also indicate that built environment features are also correlated with vehicle ownership reduction potentials. The vehicle reduction potential for suburban households is larger, as the estimated coefficients for variables such as log transformed housing unit density and four-way intersection density are negative and significant at 95% level, while the coefficient for log transformed distance to the Central Business District (CBD) is positive and significant. Atlanta is a monocentric city, the model results suggest that households in suburban areas, which are further away from downtown and are less intensively developed, are more likely to be able to reduce vehicle ownership in the future.

TABLE 1 Logistic Regression Results Summary

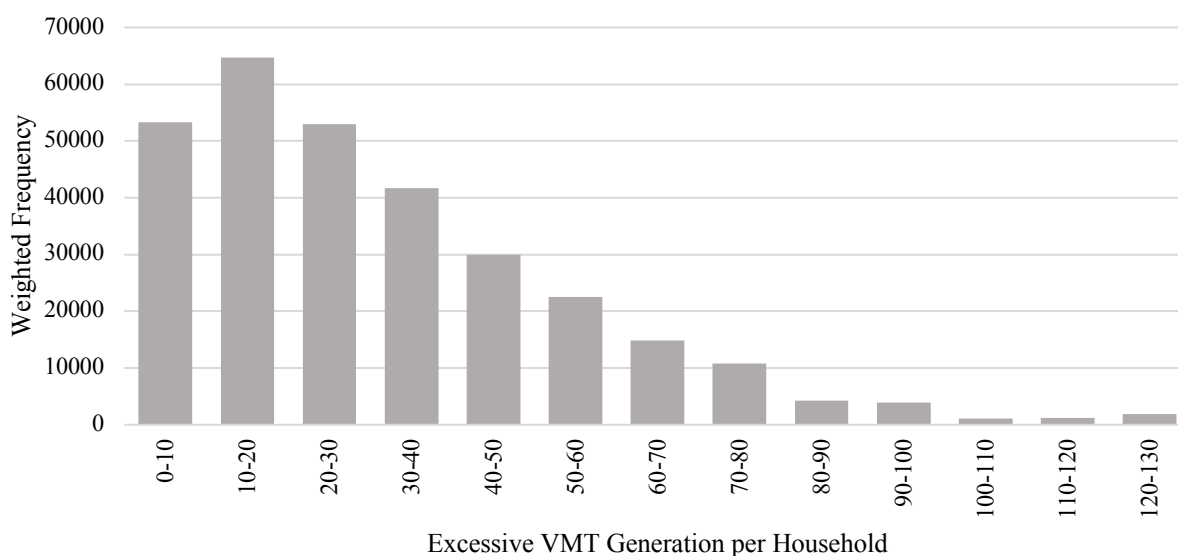
Variables	Coefficients	Std. Err.	Z	P > z
Operating Vehicle Count	2.213	0.069	31.960	0.000
Trip Generation Rate	0.099	0.016	6.242	0.000
Average Trip Distance (Mile)	-0.042	0.007	-6.286	0.000
Low Income Household (annual income < 30,000)	-0.466	0.130	-3.587	0.000
Number of Workers	0.383	0.043	8.952	0.000
Home Renter Dummy	-0.612	0.133	-4.605	0.000
Single Adult Household	-2.154	0.162	-13.333	0.000

Log (distance to CBD)		0.102	0.018	5.776	0.000
Log (Housing Units Density)		-0.124	0.031	-3.997	0.000
Four-way Intersection Density (per Mile ²)		-0.010	0.003	-2.939	0.003
Sample Size (N)	9007				
Pseudo R-square	0.45				
Log-likelihood	3070.0				

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Excessive VMT generation

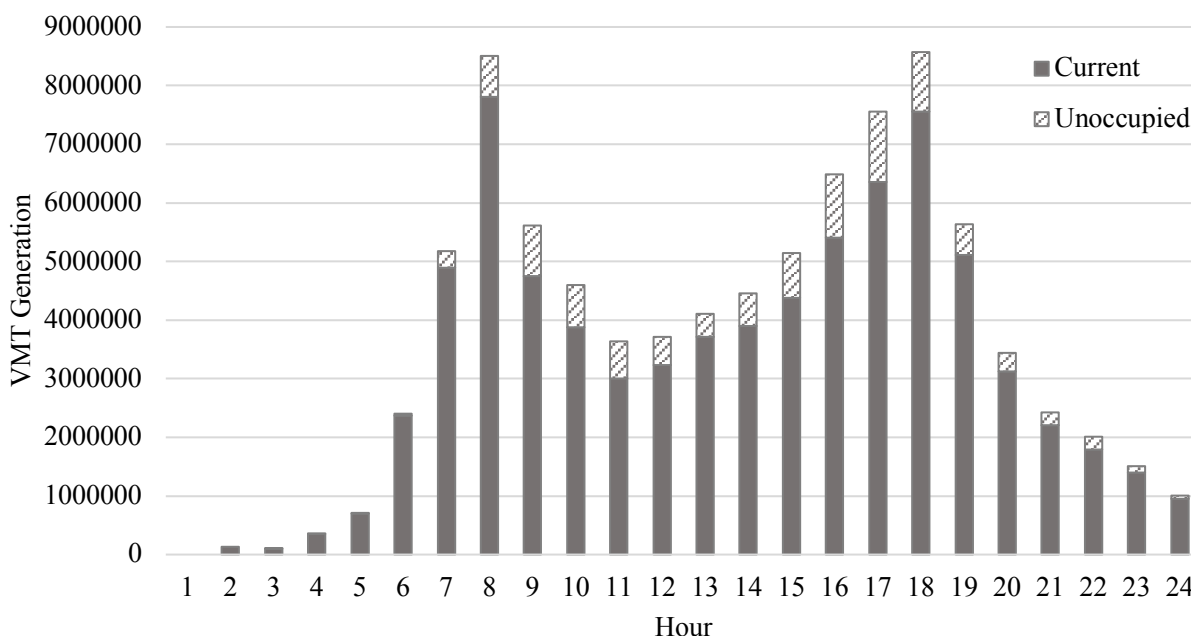
In return for vehicle ownership reduction, the households will generate more unoccupied VMT during the vehicle relocation process. Households, on average, will produce 29.8 more VMT per day per reduced vehicle. The distribution of excessive VMT generation per household suggest that most households increase VMT by around 10-20 miles per day, see Figure 1. The median increase in VMT is 26.5 mile per household. Less than 10% of the households will generate 67 more miles per day.



10
11 **FIGURE 1 Histogram of excessive VMT generation per household (weighted)**

12
13 The VMT generation for households that can reduce vehicle ownership will increase by
14 59.5%, on average, compared with current generation patterns (i.e. 50.1 VMT per household per
15 day). The total VMT generation in the metropolitan area will rise by 13.3%, due to empty private
16 AV relocation. Some other factors, which are not modelled in this study, may inflate the empty
17 VMT generation in the future, including, but not limited to, cruising for less expensive parking
18 spaces, activity rescheduling, and changes in travel patterns.

19 The temporal distribution of the excessive VMT indicate that the increase is the most
20 significant during peak hours and hours during daytime, see Figure 2. The absolute increase in
21 VMT is the highest during 4-6pm, with more than 1.1 million VMT added into the network per
22 hour. During daytime (i.e. from 11 AM to 4 PM) the VMT generation increases by over 20%,
23 which is the largest percentage increase throughout the day.
24



1
2 **FIGURE 2 Temporal distribution of unoccupied VMT**

3
4 **Spatial Distribution of Excessive VMT**

5 The spatial distributions of unoccupied VMT by time of day are estimated by applying the
6 vehicle reduction and AV route optimization algorithm to the synthesized trip profile from
7 ARC's activity based model. OD matrices of all empty AV relocation trips are generated by time
8 periods: early morning (EA), morning peak (AM), midday (MD), evening peak (PM), and night
9 (EV). New OD matrices are then generated by combining the current OD matrices with the
10 relocation OD matrices. Trips assignments are implemented in CUBE, using the new OD
11 matrices and local network. The trip assignment results provide updated traffic volume for each
12 road segment, based on which the Volume-to-Capacity (V/C) Ratio are re-estimated. It is
13 assumed that road capacity will remain unchanged, as the objective of this study is not to explore
14 whether the roads will be more congested or not, but to obtain an understanding of the spatial
15 distribution of unoccupied VMT in the region.

16 The changes in average V/C Ratio before and after the introduction of private AVs by
17 location of road segments are shown in Table 2. In the early morning, the V/C Ratio only
18 increases slightly, due to small travel demand at the beginning of the day. During this time of the
19 day, excessive VMT tend to locate primarily in suburban, exurban, and rural residential
20 neighborhoods. This may be due to the fact that the majority of the urban residents live in car-
21 oriented suburban communities in Atlanta Metropolitan. These communities tend to generate
22 some morning errands that may lead to extra unoccupied VMT generation. During morning and
23 evening peak hours, the V/C Ratio, on average, increases significantly by around 7.99% and 8.44%
24 respectively. The suburban, exurban, and rural neighborhoods, as well as urban commercial
25 zones are more likely to experience the most dramatic increase in the V/C Ratio. This indicates
26 that a large amount of relocation VMT is generated between commercial zones and residential
27 zones after the adoption of PAVs. Therefore, the larger the mismatch between work and
28 residential locations, the larger the overall relocation VMT generation will be in the future.
29 Currently, a majority of commuters live in suburban residential zones and work in the urban core

1 area. Atlanta is a typical monocentric city, rendering a large amount of empty relocation trips
 2 between commercial zones and suburban neighborhoods outside of the perimeter (I-285).
 3 Additionally, the results also indicate that the V/C Ratio increases the most during the midday
 4 period, when more PAV coordination will take place among household members. However, the
 5 midday traffic condition will not be as congested as morning and evening peak hours, as the
 6 overall V/C Ratio is still substantially lower during midday compared to peak hours. During
 7 night time, the V/C Ratio in the region increases by approximately 6.79%. The traffic volume
 8 inflates the most on road segments in suburban residential and exurban areas during night, due to
 9 relocations among non-work-related household activities in the evening.

10 In sum, road segments located in suburban, exurban and rural areas will experience
 11 higher percentage of increments in traffic volume after the coming of PAVs. This result is
 12 consistent with the logistic regression results, indicating suburban households are more likely to
 13 reduce vehicle ownership. However, roads in CBD and urban areas will remain more congested
 14 than other areas in the region, given the higher V/C Ratios throughout the day. Cities with more
 15 segregated land use may experience a higher percentage increase in the V/C Ratios in the future.
 16

17 **TABLE 2 Changes in V/C Ratios before and after AVs by Area Types**

Time Period	Scenarios	CBD	Urban Commercial	Urban Residential	Suburban Commercial	Suburban Residential	Exurban	Rural	Overall
EA	BAU	0.104	0.105	0.104	0.100	0.092	0.075	0.063	0.094
	AV	0.105	0.106	0.105	0.101	0.093	0.076	0.064	0.095
	Changes	0.73%	0.90%	0.86%	0.98%	1.25%	1.24%	1.28%	1.07%
AM	BAU	0.398	0.417	0.411	0.376	0.337	0.234	0.165	0.343
	AV	0.415	0.446	0.439	0.406	0.367	0.253	0.177	0.371
	Changes	4.29%	7.03%	6.87%	8.09%	8.98%	8.18%	7.17%	7.99%
MD	BAU	0.368	0.358	0.334	0.297	0.255	0.166	0.124	0.269
	AV	0.391	0.392	0.362	0.326	0.283	0.183	0.135	0.295
	Changes	6.28%	9.54%	8.35%	9.74%	10.71%	10.41%	9.51%	9.75%
PM	BAU	0.473	0.493	0.484	0.443	0.400	0.281	0.201	0.408
	AV	0.503	0.540	0.523	0.486	0.441	0.307	0.217	0.447
	Changes	6.51%	9.38%	8.07%	9.87%	10.14%	9.20%	8.10%	9.44%
EV	BAU	0.201	0.225	0.220	0.209	0.190	0.129	0.098	0.183
	AV	0.211	0.240	0.233	0.223	0.204	0.139	0.104	0.195
	Changes	4.77%	6.55%	5.76%	6.90%	7.45%	7.50%	6.04%	6.79%

18 * BAU: Business as Usual
 19

20 The changes in V/C ratios by types of road segments are shown in Table 3. The results
 21 from all time periods suggest that the majority of unoccupied traffic volumes are loaded on
 22 minor arterial roads, where the V/C ratios surge dramatically regardless the time of the day.
 23 During morning and evening peak hours, the V/C ratios inflate by 4.99% and 4.39% on
 24 expressways correspondingly, second to minor arterials. While during off peak hours (except for
 25 early morning hours), the V/C ratios rise more on principal arterials rather than expressways.
 26 These suggest that the relocation trips during midday and night time are shorter local trips

1 compared with relocation trips incurred during peak hours. The average length of relocation trips
 2 declined from 18.5 miles during peak hours to 15.6 miles during off peak hours.

3
 4 **TABLE 3 Changes in V/C Ratios before and after AVs by Road Types**

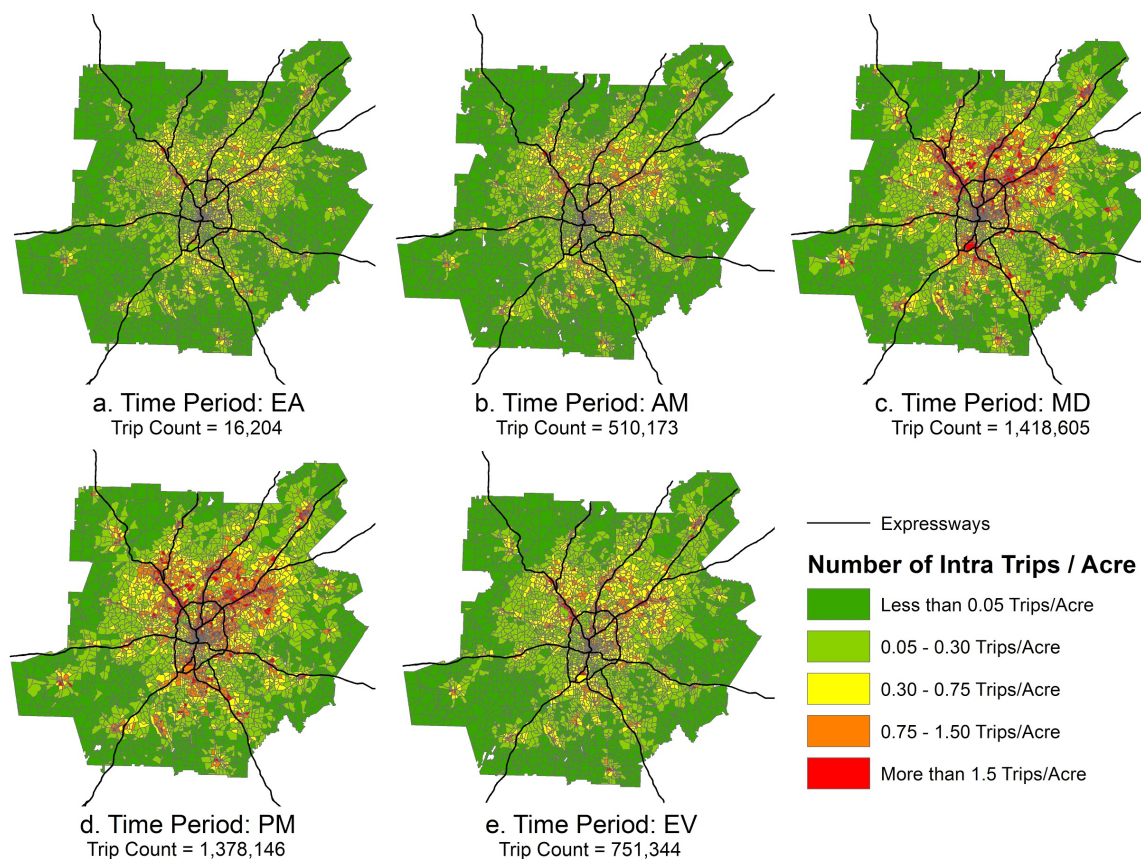
Time Period	Scenarios	Interstate / Freeway	Expressway	Parkway	Principal Arterial	Minor Arterial
EA	BAU	0.297	0.171	0.169	0.124	0.098
	AV	0.298	0.172	0.169	0.125	0.099
	Changes	0.31%	0.68%	0.29%	0.50%	1.00%
AM	BAU	0.650	0.514	0.549	0.468	0.375
	AV	0.669	0.539	0.564	0.486	0.403
	Changes	3.02%	4.99%	2.76%	3.73%	7.53%
MD	BAU	0.503	0.404	0.367	0.353	0.280
	AV	0.516	0.421	0.383	0.37	0.305
	Changes	2.65%	4.13%	4.15%	4.93%	9.16%
PM	BAU	0.692	0.557	0.554	0.547	0.440
	AV	0.709	0.581	0.576	0.573	0.479
	Changes	2.40%	4.39%	4.05%	4.71%	8.68%
EV	BAU	0.441	0.298	0.321	0.239	0.197
	AV	0.449	0.306	0.33	0.249	0.21
	Changes	1.86%	2.66%	2.83%	4.00%	6.83%

5 * BAU: Business as Usual

6
 7 The intra-zonal relocation trips (i.e. trips that start and end in the same traffic analysis
 8 zones) are not loaded on the transportation network, as local roads are not included in the ARC's
 9 activity based model. In this study, we analyzed the impact of relocation trips on local roads by
 10 examining the density of intra-zonal relocation trips at the TAZ level. Figure 3 illustrates the
 11 spatial distribution of intra-zonal relocation trips density by time of the day. The number of intra-
 12 zonal relocation trips peaks during midday at over 1.41 million trips. The amount of intra-zonal
 13 relocation trips is 1.37 million during evening peak hours, which is slightly less than the amount
 14 incurred during midday. However, the spatial distribution of intra-zonal trips varies significantly
 15 during midday and evening peak hours. During midday, most of the intra-zonal trips are located
 16 in commercial zones adjacent to expressways in the region, indicating that the local roads in the
 17 commercial zones may experience a larger percent of increase in the R/C Ratio after the adoption
 18 of PAVs. On the other hand, the majority of intra-zonal relocation trips sprawled into suburban
 19 commercial and residential zones, which are further away from the expressways, during evening
 20 peak hours, suggesting local roads in suburban residential and commercial zones may witness a
 21 larger increase in R/C Ratio.

22 These results indicate that the generation of short (intra-zonal) relocation trips follows the
 23 trip generation patterns and location of work and residential places in the region. During the
 24 noon, more intra-zonal relocation trips are generated in the commercial zones in the region to
 25 serve work-based trips among household members. While, during night, a significant amount of
 26 relocation trips is likely to be generated to support household members to run evening errands.
 27 The results also indicate that zones with more mixed or diversified land use tend to have larger
 28 amount of intra zonal relocation trips. To alleviate future traffic pressure on local roads in these

1 areas, designated dropping off and picking up stations may be considered to promote walking
 2 and reduce empty cruising.
 3



4
 5 **FIGURE 3 Spatial distributions of intra-zonal trips by time of the day**

6
 7 **SCHEDULE FLEXIBILITY SENARIOS DEVELOPMENT**

8 The above experiments are conducted based on the assumption that individuals do not have
 9 flexible activity schedules. In this section, we relaxed such assumption to determine how the
 10 results may vary if household members collaborate closely to reduce vehicle ownership. In the
 11 elasticity tests, we allow individuals to be dropped off 5, 10, and 15 minutes later than the
 12 current arrival time and results are tabulated in Table 4. As expected, more households can
 13 reduce vehicle ownership if delays are allowed. The percent of households that can reduce
 14 vehicle ownership increases from 18.3% to 24.1% when the activity schedules are relaxed by 15
 15 minutes. The overall vehicle reduction rates also inflate from 9.5% to 12.3%. Moreover,
 16 marginal effects of schedule flexibility on vehicle reduction increases, as significantly more
 17 households can reduce vehicle ownership when the delay tolerance increases from 10 to 15
 18 minutes compared with 5 to 10 minutes. The average vehicle ownership reduction, however, is
 19 quite stable across different tests. On average, households can only eliminate one vehicle
 20 regardless of schedule flexibility. The total VMT generation will also increase significantly when
 21 more households share PAVs among members. The results suggest that the schedule flexibility is
 22 also associated with excessive VMT generation at the household level. When no delays are
 23 tolerated the empty VMT per day per reduced vehicle is the lowest across all scenarios. The
 24 empty vehicle relocation VMT increases slightly first when 5 minutes of delays are tolerated and

1 then declines when the schedules become more flexible. This is due to the fact that it is easier to
 2 optimize the PAV daily routes to reduce relocation VMT when larger delays are allowed.
 3 However, overall the relocation VMT still increases significantly due to more households are
 4 able to achieve vehicle ownership reduction and generate empty VMT.

5
 6 **TABLE 4 Flexibility Scenarios Results**

Trip Delay Tolerance	No Delay	5 minutes	10 minutes	15 minutes
% HH Can Reduce Vehicle Ownership	18.3%	20.0%	21.7%	24.1%
Total Vehicle Ownership Reduction	9.5%	10.0%	10.9%	12.3%
Avg. Vehicle Ownership Reduction	1.089	1.099	1.104	1.112
Total Empty VMT Generation	13.3%	14.6%	15.7%	17.3%
Empty VMT per Day per Reduced Vehicle	29.8	30.7	30.6	30.2
Median Relocation Length per HH (Miles)	26.5	27.1	27.0	26.5

7
 8
 9 **CONCLUSIONS**

10 In this study, we developed a greedy algorithm to examine vehicle ownership reduction
 11 potentials after replacing private conventional vehicles by AVs. We also formulated MIP
 12 problems to minimize the AV relocation VMT and optimize AV routes, while fulfilling all
 13 households travel demand. After applying the models to the Atlanta metropolitan area, we found
 14 that even if consumers do not change the existing travel pattern, approximately 18% of the
 15 households can reduce vehicle ownership. If the schedule is relaxed by 15-minute time windows
 16 (i.e. arriving at destination 15 minutes after the current arrival time is allowable) up to 24.1% of
 17 the households are likely to at least eliminate one of the current private vehicles. The logistic
 18 regression model results show that higher income families, who live in suburban neighborhoods
 19 and generate more shorter trips, are more likely to be able to reduce vehicle ownership once
 20 PAVs are adopted.

21 In return for vehicle ownership reduction, a significant amount of unoccupied VMT will
 22 be generated in the region. For households who can reduce vehicle ownership, approximately
 23 29.8 unoccupied VMT are generated per day per reduced vehicle. In the region, total VMT will
 24 increase by at least 13%. Such increase only includes unoccupied VMT generated during the
 25 vehicle relocation process. Other excessive VMT, such as cheaper parking lots cruising VMT,
 26 changes in travel behaviors (destination selection), will inevitably inflate the estimation. The
 27 majority of the occupied VMT occurs during evening peak hours. The spatial distribution
 28 patterns of the excessive VMT indicates that regions with more disaggregated land use patterns,
 29 especially larger mismatch between work and residential zones may experience larger VMT
 30 increases in the future in the PAV dominated future. Finally, most short intra-zonal repositioning
 31 trips take place in midday, which leads to larger percentage increase in the V/C Ratios during
 32 midday.

33 The designed and implemented models can be used as pioneering tools to analyze the
 34 vehicle ownership reduction and unoccupied VMT generation potentials in the era of PAV. The
 35 results of this study can inform policy makers regarding the challenges of PAVs, if widely
 36 adopted in the region, on existing transportation infrastructure, so that adaptation policies can be
 37 drafted to prepare for the coming of AVs. Such policies may include travel demand management

1 tools, such as unoccupied VMT fees during peak hours to alleviate pressures on existing
2 infrastructures and the design of dedicated AVs lanes to improve road capacity on expressways,
3 where most of the unoccupied VMT are loaded.

4 While our results offer new understanding regarding regional light duty vehicle inventory
5 and unoccupied VMT generation after the coming of PAVs, there are several aspects that merit
6 future research efforts. Our models are developed based on the assumptions that travel behaviors,
7 such as the trip generation rates, the choice of destinations, and the travel schedules of household
8 members, will not vary significantly in the future. To gain more understanding regarding VMT
9 generation, future efforts may employ stated preferences survey to examine evolving trends in
10 travel behaviors. There has already been a wealth of literature regarding how different business
11 models of AVs, especially the Shared AVs (SAVs) will influence regional vehicle inventory and
12 VMT generation. It is critical to synthesizing current understandings to draw a comprehensive
13 picture regarding how different market penetration of various business models of AVs will
14 influence travel demand and consequently travel energy consumptions in the future. Last but not
15 least, more regional attitude surveys should be conducted to characterize early adopters' socio-
16 demographics and economic features to understand potential AV adoption trajectories, especially
17 the adoption rates of PAVs, SAVs, and Transit Complementary AVs in the future. This
18 information can provide critical guidance to plan for AVs during the transition period, which is
19 not examined in this study.

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