

# Multiparameter $BC_n$ -Kostka-Foulkes Polynomials

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(ABSTRACT)

The Kostka-Foulkes polynomials describe the change of basis between Schur polynomials and Hall-Littlewood polynomials. In this paper, we extend this idea to the family of  $BC_n$  Macdonald spherical functions, with multiparameter Kostka-Foulkes polynomials acting as the change of basis from the  $BC_n$  spherical functions to the type  $C_n$  Schur polynomials. We develop a Kato-Lusztig formula that describes the multiparameter  $BC_n$ -Kostka-Foulkes polynomials.

# Multiparameter $BC_n$ -Kostka-Foulkes Polynomials

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(GENERAL AUDIENCE ABSTRACT)

The work done in [11] gives a formula to calculate Kostka-Foulkes polynomials that convert between two other forms of polynomials. However, this only applies in specific instances. In this paper, we generalize those ideas to allow for more parameters, and find that a similar formula still holds.

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# Chapter 1

## Introduction

Classically, the change of basis polynomials between Macdonald spherical functions and Schur functions for reduced irreducible root systems are given by the Kostka-Foulkes polynomials. In [5], Lascoux and Schützenberger give a combinatorial formula for the Kostka-Foulkes polynomials in the type  $A_n$  case, but no such formula has been found for other types. And for reduced root systems of any type, the Kostka-Foulkes polynomials are known to be positive, which was proved first in [8]. Additionally, recent work by Lecouvey and Lenart in [6] contains combinatorial formulas for certain type  $C_n$  Kostka-Foulkes polynomials with equal parameters.

Here we consider a root system that is not reduced, namely the type  $BC_n$  root system, and find a similar result to the Kato-Lusztig formula for reduced root system Kostka-Foulkes polynomials. To reach this equation, we build the necessary structure for the type  $BC_n$  root system, an extended affine Hecke algebra, then the related Macdonald spherical functions and multiparameter  $BC_n$ -Kostka-Foulkes polynomials. We will then give several inner product relations that allow us to construct a Kato-Lusztig formula for the polynomials. In the final chapter, we give some examples using this equation.

In order to prove the formula for  $BC_n$ -Kostka-Foulkes polynomials, we follow the work of Nelsen and Ram in [11] closely. The structure of the proofs given here is similar to their work, though there are some substantial technical differences caused by the non-reduced root system. The majority of these differences are found in the proofs of Chapter 4.

# Chapter 2

## Root Systems & Weyl Groups

In this chapter, we will define the objects necessary to construct the affine Hecke algebra. We will also discuss the type  $B_n$ ,  $C_n$ , and  $BC_n$  root systems which will be the systems used in the remaining chapters. Here we follow the construction of root systems in [3].

### 2.1 Root Systems

Begin with a real vector space  $V$  equipped with a symmetric, nondegenerate, bilinear form  $\langle \cdot, \cdot \rangle$ . Then a reduced root system  $R$  is a subset of  $V$ , whose elements  $\alpha \in R$  are roots. For each root  $\alpha$ , define a reflection  $s_\alpha$  that sends  $\alpha$  to  $-\alpha$  and fixes the hyperplane  $H_\alpha = \{\beta \in V \mid \langle \beta, \alpha \rangle = 0\}$ . This set is a reduced root system if it satisfies the following axioms:

1.  $R$  is finite,  $0 \notin R$ , and  $V = \mathbb{R}\text{-span}(R)$ .
2. If  $\alpha \in R$ , the only multiples of  $\alpha$  contained in  $R$  are  $\pm\alpha$ .
3. If  $\alpha \in R$ , then the set  $R$  is invariant under the reflection  $s_\alpha$ .
4. If  $\alpha, \beta \in R$ , then  $\beta - \frac{2\langle \beta, \alpha \rangle}{\langle \alpha, \alpha \rangle} \alpha \in R$ .

We will also consider root systems that are not reduced, which follow the above axioms excluding 2. Additionally, we have a coroot  $\alpha^\vee$  for each root  $\alpha$ , defined by  $\alpha^\vee = \frac{2\alpha}{\langle \alpha, \alpha \rangle}$ . This gives us the weight lattice  $P$ :

$$P = \{\beta \in V \mid \langle \beta, \alpha^\vee \rangle \in \mathbb{Z} \text{ for all } \alpha \in R\}.$$

And we also get the Weyl group:

$$W = \langle s_\alpha \mid \alpha \in R \rangle.$$

From the axioms, this means that for any root system,  $W$  is finite, and also the action of any  $w \in W$  permutes the roots in  $R$ .

We now find the positive roots  $R^+$  in  $R$ , defined together with the fundamental chamber  $C$ . Choosing one defines the other uniquely:

$$R^+ = \{\alpha \in R \mid \langle \beta, \alpha^\vee \rangle > 0 \text{ for all } \beta \in C\}$$

$$C = \{\beta \in V \mid \langle \beta, \alpha^\vee \rangle > 0 \text{ for all } \alpha \in R^+\}.$$

The simple roots are defined as the roots in  $R^+$  that cannot be written as a sum of two other roots in  $R^+$ . These form a basis for  $V$ . We also define the set of dominant integral weights  $P^+$  given by

$$P^+ = \{\beta \in V \mid \langle \beta, \alpha^\vee \rangle \in \mathbb{Z}_{\geq 0} \text{ for all } \alpha \in R^+\}.$$

There is one dominant integral weight which will appear often in later computations, namely

$$\rho = \frac{1}{2} \sum_{\alpha \in R^+} \alpha.$$

We can now define a partial order on the weight lattice  $P$ , called the dominance order, where

$$\lambda \leq \mu \text{ if } \mu = \lambda + \sum_{i=1}^n \alpha_i \text{ for some } \alpha_i \in R^+.$$

The Weyl group elements can also be given lengths in the following way. Define

$$R^- = \{-\alpha \mid \alpha \in R^+\}.$$

Then from this, if there is a Weyl group element  $w \in W$ , we can define the inversion set of  $w$  and its length respectively to be:

$$\text{Inv}(w) = \{\alpha \in R^+ \mid w\alpha \in R^-\} \quad \ell(w) = |\text{Inv}(w)|.$$

Finally, we can distinguish between the lengths of roots. The length of a root is simply its length as a vector in the underlying vector space  $V$ . In root systems of type  $B_n$  or  $C_n$  as we will discuss later, there are two root lengths, so we will distinguish roots as either “short” or “long” roots.

In the remainder of the paper, we will focus on the type  $B_n$ ,  $C_n$ , and  $BC_n$  root systems, which will be used to define our affine Hecke algebra.

## 2.2 $B_n$ and $C_n$ Root Systems

We begin by defining the type  $B_n$  system. Begin with the vector space  $V = \mathbb{R}^n$ . If the standard basis vectors for  $V$  are written as  $\{e_i\}_{i=1}^n$ , then if we let  $i, j \in \{1, \dots, n\}$ , the root system  $R$  in type  $B_n$  is given by

$$R = \{\pm e_i \pm e_j \mid i < j\} \cup \{\pm e_i\}.$$

Then the canonical choice for the positive roots is

$$R^+ = \{e_i \pm e_j \mid i < j\} \cup \{e_i\}.$$

The roots in this case are of length 1 and  $\sqrt{2}$  in  $\mathbb{R}^n$ , which gives us that

$$\{\pm e_i\} \text{ are short roots, and } \{\pm e_i \pm e_j \mid i < j\} \text{ are long roots.}$$

Our weight lattice and dominant integral weights are then:

$$P = \mathbb{Z}\text{-span} \left\{ \sum_{i=1}^k e_i \mid 1 \leq k < n \right\} \cup \left\{ \frac{1}{2} \sum_{i=1}^n e_i \right\}$$

$$P^+ = \mathbb{Z}_{\geq 0}\text{-span} \left\{ \sum_{i=1}^k e_i \mid 1 \leq k < n \right\} \cup \left\{ \frac{1}{2} \sum_{i=1}^n e_i \right\}.$$

Now we define the type  $C_n$  system, which has a very similar structure to type  $B_n$ . Taking the same vector space  $\mathbb{R}^n$ , and again for  $i, j \in \{1, \dots, n\}$ , we obtain a root system for  $C_n$ :

$$R = \{\pm e_i \pm e_j \mid i < j\} \cup \{\pm 2e_i\}.$$

The choice for the positive roots is again similar,

$$R^+ = \{e_i \pm e_j \mid i < j\} \cup \{2e_i\}.$$

This gives that the roots are of lengths  $\sqrt{2}$  and 2 respectively in the above union, separating our roots into lengths,

$$\{\pm e_i \pm e_j \mid i < j\} \text{ are short roots, and } \{\pm 2e_i\} \text{ are long roots.}$$

Notice that the long roots in type  $B_n$  are exactly the short roots in type  $C_n$ , and that the long roots in  $C_n$  are twice the short roots in type  $B_n$ . The weight lattice in type  $C_n$  is simply  $\mathbb{Z}^n$ , and finally, we get the set of dominant integral weights:

$$P^+ = \mathbb{Z}_{\geq 0}\text{-span} \left\{ \sum_{i=1}^k e_i \mid 1 \leq k \leq n \right\}.$$



## 2.3 The $BC_n$ Root System

The primary root system of interest in this paper is the type  $BC_n$  root system. It is defined as:

$$R = \{\pm e_i \pm e_j \mid i < j\} \cup \{\pm e_i\} \cup \{\pm 2e_i\} \text{ for } i, j \in \{1, \dots, n\}.$$

So  $R$  is the union of the root systems of types  $B_n$  and  $C_n$ . This is not a reduced root system, but still satisfies axioms 1, 3, and 4. The positive roots are:

$$R^+ = \{e_i \pm e_j \mid i < j\} \cup \{e_i\} \cup \{2e_i\}.$$

For clarity, we will write this as  $R^+(BC_n)$  to distinguish from the positive type  $B_n$  or  $C_n$  roots. The weight lattice and set of dominant integral weights coincide with the type  $B_n$  case. Here we will take  $\rho = (n, n-1, \dots, 1)$ , the type  $C_n$  value for  $\rho$ .

The Weyl group is the same in types  $B_n$ ,  $C_n$ , and  $BC_n$ . For an element  $w \in W$ , and for positive type  $B_n$  roots  $R^+$ , the inversions of  $w$  can be written as a disjoint union as follows:

$$\text{Inv}_s(w) = \{\alpha \in R^+ \mid w\alpha = -\alpha \text{ and } \alpha \text{ is a short root}\}$$

$$\text{Inv}_\ell(w) = \{\alpha \in R^+ \mid w\alpha = -\alpha \text{ and } \alpha \text{ is a long root}\}$$

Additionally, the weight lattice of the  $BC_n$  root system is the same as the type  $C_n$  weight lattice. The same is true of the dominant integral weights. We will denote the weight lattice and dominant integral weights as  $P(BC_n)$  and  $P^+(BC_n)$  respectively.

There is a dominance partial order on weights in the type  $BC_n$  weight lattice, which is the same as the order on type  $B_n$  weights. The relation  $\leq$  will refer to the  $BC_n$  dominance partial order unless otherwise noted.

# Chapter 3

## Affine Hecke Algebra

We now construct the affine Hecke algebra that contains the polynomials which form the basis for the remainder of the paper.

### 3.1 Affine Hecke algebra definition

Let the ring  $\mathbb{K}$  be defined by  $\mathbb{K} = \mathbb{Q}[v_l^{\pm 1}, v_d^{\pm 1}, v_s^{\pm 1}]$  for some variable  $v_l, v_d, v_s$ . For roots  $\alpha_i, \alpha_j$  in a given root system, let  $m_{ij}$  be the integer such that  $\pi/m_{ij}$  is the angle between the hyperplanes  $H_{\alpha_i}$  and  $H_{\alpha_j}$ . Then we define the extended affine Hecke algebra of type  $BC_n$ ,  $H$ , as the algebra over  $\mathbb{K}$  with generators  $T_i, i \in \{1, \dots, n\}$ , and  $x^\lambda$  for  $\lambda \in P$ , whose relations are given by:

$$T_i T_j T_i \cdots = T_j T_i T_j \cdots \text{ where each side has } m_{ij} \text{ elements,}$$

$$x^\lambda x^\mu = x^\mu x^\lambda = x^{\lambda+\mu},$$

the quadratic relations,

$$(T_i - v_l)(T_i + v_l^{-1}) = 0 \quad \text{if } 1 \leq i < n,$$

$$(T_n - v_s)(T_n + v_s^{-1}) = 0,$$

and the Bernstein relations,

$$x^\lambda T_i = T_i x^{s_i \lambda} + (v_l - v_l^{-1}) \frac{x^\lambda - x^{s_i \lambda}}{1 - x^{-\alpha_i}} \quad \text{if } 1 \leq i < n,$$

$$x^\lambda T_n = T_n x^{s_n \lambda} + \frac{(v_s - v_s^{-1}) + (v_d - v_d^{-1})x^{-\alpha_n}}{1 - x^{-2\alpha_n}} (x^\lambda - x^{s_n \lambda}),$$

where  $\alpha_n = e_n$ , the simple root of type  $B_n$ . Then from  $H$  we define parameters  $t, a$ , and  $b$ :

$$t = v_l^2 \quad a = v_s v_d \quad b = -v_s / v_d.$$

## 3.2 Elements in the affine Hecke algebra

There are many elements in the affine Hecke algebra that are necessary to define the multi-parameter  $BC_n$ -Kostka-Foulkes polynomials and prove their formula.

First we define the stabilizer of  $\mu \in P$ , denoted  $W_\mu$ , by

$$W_\mu = \{w \in W \mid w\mu = \mu\},$$

and the orbit of  $\mu$ , written  $W\mu$ , is

$$W\mu = \{w\mu \mid w \in W\}.$$

Next, we define the term  $W_\mu(t, a, b)$ ,

$$W_\mu(t, a, b) = \sum_{w \in W_\mu} t^{|\text{Inv}_\ell(w)|} (-ab)^{|\text{Inv}_s(w)|}.$$

We can now define the monomial symmetric polynomials  $m_\lambda$  and alternating polynomials  $a_\lambda$ :

$$m_\lambda = \sum_{\gamma \in W\lambda} x^\gamma \qquad a_\lambda = \sum_{w \in W} (-1)^{\ell(w)} x^{w\lambda}.$$

And from  $a_\lambda$  we construct the Schur polynomials of type  $C_n$ ,  $s_\lambda$ ,

$$s_\lambda = \frac{a_{\lambda+\rho}}{a_\rho},$$

where  $\rho = \frac{1}{2} \sum_{\alpha \in R^+} \alpha$  as defined before. It should be noted that the denominator  $a_\rho$  can also be found via the Weyl denominator formula (see, e.g., [11]), which will be used in later substitutions:

$$a_\rho = x^\rho \prod_{\alpha \in R^+} (1 - x^{-\alpha}).$$

From [11], we also take the following straightening law for Schur functions. If we take  $\mu \in P$  and  $w \in W$ , then:

$$(-1)^{\ell(w)} a_\mu = a_{w(\mu)}.$$

For the elements  $x^\mu$  in the affine Hecke algebra, we will generally write  $x^\mu = x_1^{\mu_1} x_2^{\mu_2} \dots x_k^{\mu_k}$  with to indicate the element  $x^\mu$  where  $\mu = (\mu_1, \mu_2, \dots, \mu_k) \in \mathbb{Z}^n$ . Specifically, then, the values  $\{x^\alpha\}$  where  $\alpha$  are the positive roots in  $C_n$  would be written as

$$\{x_i x_j^{\pm 1} \mid i < j\} \cup \{x_i^2\}, \quad \text{where } i, j \in \{1, \dots, n\}.$$

Additionally, if there is a sum or product of some roots, a power written as  $\pm 1$  indicates the product should be taken twice, once where the term is positive, and once where the term is negative. For example:

$$\prod_{i < j} (1 - tx_i x_j^{\pm 1}) = \prod_{i < j} (1 - tx_i x_j)(1 - tx_i x_j^{-1}).$$

### 3.3 Multiparameter $BC_n$ -Kostka-Foulkes polynomials

We first define a family of polynomials  $P_\lambda(x; t, a, b)$ , the  $BC_n$  Macdonald spherical functions, which will be central to the remainder of the paper. Taking  $W$  to be the Weyl group for a type  $C_n$  root system, for any weight  $\lambda \in P(BC_n)$ , define  $P_\lambda(x; t, a, b)$  as:

$$P_\lambda(x; t, a, b) = \frac{1}{W_\lambda(t, a, b)} \sum_{w \in W} w \left( x^\lambda \prod_{i < j} \frac{1 - tx_i^{-1}x_j^{\pm 1}}{1 - x_i^{-1}x_j^{\pm 1}} \prod_i \frac{(1 - ax_i^{-1})(1 - bx_i^{-1})}{1 - x_i^{-2}} \right).$$

Then for the Schur function  $s_\lambda$  and Macdonald spherical function  $P_\mu(x; t, a, b)$ , for dominant integral weights  $P^+(BC_n)$ , define a change of basis  $K_{\lambda\mu}(t, a, b)$  satisfying:

$$s_\lambda = \sum_{\mu \in P^+} K_{\lambda\mu}(t, a, b) P_\mu(x; t, a, b).$$

We call these  $K_{\lambda\mu}(t, a, b)$  the multiparameter  $BC_n$ -Kostka-Foulkes polynomials. For certain relations between  $t$ ,  $a$ , and  $b$ , we can use the above formulas to recover equal and unequal parameter Macdonald spherical functions and Kostka-Foulkes polynomials in types  $B_n$  and  $C_n$ , which will be discussed in detail in chapter 6.

Where it is possible, we will write  $K_{\lambda\mu}$  and  $P_\lambda$  in place of  $K_{\lambda\mu}(t, a, b)$  and  $P_\lambda(x; t, a, b)$  respectively.

# Chapter 4

## Changes of Basis

### 4.1 Lower triangular expansion

We begin with four lemmas that will be used to show that the expansion of  $P_\lambda(x; t, a, b)$  into  $s_\mu$  is a lower triangular matrix with diagonal entries 1 and each entry in the matrix contained in  $\mathbb{Z}[t, a, b]$ .

**Lemma 4.1.** *If  $\lambda \in P^+$  and  $v \in W$ , then  $\lambda - v^{-1}(\lambda) \geq 0$ .*

*Proof.* See Lemma 1.7 in [12]. ■

**Lemma 4.2.** *For any  $v \in W$ , there exists a bijection  $\varphi : \text{Inv}(v) \rightarrow \text{Inv}(v^{-1})$  given by the map  $\varphi(\alpha) = -v(\alpha)$ .*

*Proof.* Let  $v \in W$ , and let  $\alpha \in \text{Inv}(v)$ . By definition,  $\alpha \in R^+$  and  $v(\alpha) \in R^-$ . Thus  $-v(\alpha) \in R^+$ . Then we get that

$$v^{-1}(-v(\alpha)) = -v^{-1}(v(\alpha)) = -\alpha \in R^-,$$

which means  $-v(\alpha) \in \text{Inv}(v^{-1})$ . The inverse of this map is given by  $\varphi(\alpha) = -v^{-1}(\alpha)$ , which satisfies the same arguments as above by considering  $v^{-1}$  as the original element in  $W$ . ■

**Lemma 4.3.** *For a root system  $R^+$  and any  $v \in W$ , we have the equality:*

$$\rho - v^{-1}(\rho) = \sum_{\alpha \in \text{Inv}(v)} \alpha.$$

*Proof.* Applying the bijection from Lemma 4.2, we have the following equalities:

$$\begin{aligned}
\rho - v^{-1}(\rho) &= \frac{1}{2} \sum_{\alpha \in R^+} \alpha - v^{-1} \left( \frac{1}{2} \sum_{\alpha \in R^+} \alpha \right) \\
&= \frac{1}{2} \sum_{\alpha \in R^+} \alpha - \frac{1}{2} \sum_{\alpha \in R^+} v^{-1}(\alpha) \\
&= \frac{1}{2} \sum_{\alpha \in R^+} \alpha - \frac{1}{2} \left( \sum_{\alpha \in R^+ \setminus \text{Inv}(v^{-1})} v^{-1}(\alpha) + \sum_{\alpha \in \text{Inv}(v^{-1})} v^{-1}(\alpha) \right),
\end{aligned}$$

and since  $v^{-1}$  permutes the positive roots in  $R^+ \setminus \text{Inv}(v^{-1})$ ,

$$\begin{aligned}
&= \frac{1}{2} \sum_{\alpha \in R^+} \alpha - \frac{1}{2} \left( \sum_{\alpha \in R^+ \setminus \text{Inv}(v^{-1})} \alpha + \sum_{\alpha \in \text{Inv}(v^{-1})} v^{-1}(\alpha) \right) \\
&= \frac{1}{2} \sum_{\alpha \in R^+} \alpha - \frac{1}{2} \left( \sum_{\alpha \in R^+} \alpha - \sum_{\alpha \in \text{Inv}(v^{-1})} \alpha + \sum_{\alpha \in \text{Inv}(v^{-1})} v^{-1}(\alpha) \right) \\
&= \frac{1}{2} \left( \sum_{\alpha \in \text{Inv}(v^{-1})} \alpha - \sum_{\alpha \in \text{Inv}(v^{-1})} v^{-1}(\alpha) \right) \\
&= \frac{1}{2} \left( \sum_{\alpha \in \text{Inv}(v^{-1})} -v^{-1}(\alpha) - \sum_{\alpha \in \text{Inv}(v^{-1})} v^{-1}(\alpha) \right) \\
&= \sum_{\alpha \in \text{Inv}(v^{-1})} -v^{-1}(\alpha) \\
&= \sum_{\alpha \in \text{Inv}(v)} \alpha. \quad \blacksquare
\end{aligned}$$

**Lemma 4.4.** *For a subset  $E \subseteq R^+(BC_n)$ , if  $E$  contains at most one of  $\beta$  and  $\beta/2$  for each long type  $C_n$  root  $\beta$ , and  $\sum_{\alpha \in E} \alpha = \sum_{\alpha \in \text{Inv}(v)} \alpha$ , then  $E = \text{Inv}(v)$  where  $\text{Inv}(v)$  is a subset of positive type  $C_n$  roots.*

*Proof.* Macdonald proves in Lemma 2.14 of [9] that the statement is true if  $E$  only contains type  $C_n$  roots. So it is sufficient to show that if the sums are equal,  $E$  cannot contain any short type  $B_n$  roots. We follow a similar process to that in [9] and proceed by induction on  $\ell(v)$ .

Assume  $E \subseteq R^+(BC_n)$  with  $\sum_{\alpha \in E} \alpha = \sum_{\alpha \in \text{Inv}(v)} \alpha$ . If  $\ell(v) = 0$ , then  $v = 1$  and so  $E = \text{Inv}(v) =$

$\emptyset$ . So assume  $\ell(v) > 0$  and that the result holds for all  $w \in W$  where  $\ell(w) = \ell(v) - 1$ . Let  $B \subseteq R^+(BC_n)$  be the set of simple type  $C_n$  roots. We can then write  $v = v's_\beta$  for some  $\beta \in B$ , where  $\ell(v') = \ell(v) - 1$ . Note that  $\beta \notin \text{Inv}(v')$  since otherwise we would not have  $\ell(v') < \ell(v)$ .

Since Macdonald's proof applies when  $\beta \in E$  and when neither  $\beta$  nor  $\beta/2$  is in  $E$  for a long type  $C_n$  root  $\beta$ , we are left to prove the induction holds when  $\beta/2 \in E$ . So assume  $\beta/2 \in E$ , and then by assumption, we have that  $\beta \notin E$ . We also know that:

$$\text{Inv}(v) = s_\beta \text{Inv}(v') \cup \{\beta\}.$$

So if we define a set  $E' = \{\beta/2\} \cup s_\beta(E \setminus \{\beta/2\})$ , this is a subset of  $R^+(BC_n)$ . Denote  $|E| = \sum_{\alpha \in E} \alpha$  for any set  $E \subseteq R^+(BC_n)$ . Then the following holds:

$$\begin{aligned} |E'| &= \frac{\beta}{2} + s_\beta \left( |E| - \frac{\beta}{2} \right) \\ &= \frac{\beta}{2} + s_\beta \left( |\text{Inv}(v)| - \frac{\beta}{2} \right) \\ &= \frac{\beta}{2} + s_\beta \left( |s_\beta \text{Inv}(v') \cup \{\beta\}| - \frac{\beta}{2} \right) \\ &= \frac{\beta}{2} + s_\beta \left( s_\beta |\text{Inv}(v')| + \beta - \frac{\beta}{2} \right) \\ &= \frac{\beta}{2} + |\text{Inv}(v')| - \frac{\beta}{2} \\ &= |\text{Inv}(v')|. \end{aligned}$$

Because  $\ell(v') = \ell(v) - 1$ , the inductive hypothesis gives us that  $E' = \text{Inv}(v')$ . However,  $\beta/2 \in E'$  but  $\beta/2 \notin \text{Inv}(v')$ , and thus we've reached a contradiction. Hence  $\beta/2 \notin E$ , so  $E$  contains only type  $C_n$  roots, and the result follows.  $\blacksquare$

Define commuting variables  $t_{e_i \pm e_j}$ ,  $a_{e_i}$ , and  $b_{e_i}$  indexed by the associated positive roots of type  $B_n$ . Then if  $\lambda \in P^+(BC_n)$ , define the following:

$$R_\lambda(x; t_{e_i \pm e_j}, a_{e_i}, b_{e_i}) = \sum_{w \in W} w \left( x^\lambda \prod_{i < j} \frac{1 - t_{e_i \pm e_j} x_i^{-1} x_j^{\mp 1}}{1 - x_i^{-1} x_j^{\mp 1}} \prod_i \frac{(1 - a_{e_i} x_i^{-1})(1 - b_{e_i} x_i^{-1})}{1 - x_i^{-2}} \right).$$

We will write this simply as  $R_\lambda$ . And for  $\text{Inv}_s(w)$  and  $\text{Inv}_\ell(w)$  as defined in chapter 2.2, define:

$$W_\lambda(t_\alpha, a_\beta, b_\beta) = \sum_{w \in W_\lambda} \left( \prod_{\alpha \in \text{Inv}_\ell(w)} t_\alpha \prod_{\beta \in \text{Inv}_s(w)} (-a_\beta b_\beta) \right).$$

**Theorem 4.1.** *With  $R_\lambda$  as defined above, and for  $\lambda \in P^+(BC_n)$ ,*

- (a)  $R_\lambda = \sum_{\mu \in P^+(BC_n)} u_{\lambda\mu} s_\mu$  with  $u_{\lambda\mu} \in \mathbb{Z}[t_{e_i \pm e_j}, a_{e_i}, b_{e_i}]$ ,  $u_{\lambda\mu} = 0$  except when  $\mu \leq \lambda$ , and  $u_{\lambda\lambda} = W_\lambda(t_\alpha, a_\beta, b_\beta)$ .
- (b)  $P_\lambda = \sum_{\mu \in P^+(BC_n)} c_{\lambda\mu} s_\mu$  where  $c_{\lambda\mu} \in \mathbb{Z}[t, a, b]$ ,  $c_{\lambda\mu} = 0$  except when  $\mu \leq \lambda$ , and  $c_{\lambda\lambda} = 1$ .

*Proof.* (a) First for any  $E \subseteq R^+(BC_n)$ , we define  $\alpha_E = \sum_{\alpha \in E} \alpha$ . Recall that  $\rho$  is the weight from type  $C_n$ . Then we manipulate  $R_\lambda$ :

$$\begin{aligned}
R_\lambda &= \sum_{w \in W} w \left( x^\lambda \prod_{i < j} \frac{1 - t_{e_i \pm e_j} x_i^{-1} x_j^{\mp 1}}{1 - x_i^{-1} x_j^{\mp 1}} \prod_i \frac{(1 - a_{e_i} x_i^{-1})(1 - b_{e_i} x_i^{-1})}{1 - x_i^{-2}} \right) \\
&= \sum_{w \in W} w \left( x^{\lambda + \rho} \frac{1}{x^\rho} \prod_{i < j} \frac{1 - t_{e_i \pm e_j} x_i^{-1} x_j^{\mp 1}}{1 - x_i^{-1} x_j^{\mp 1}} \prod_i \frac{(1 - a_{e_i} x_i^{-1})(1 - b_{e_i} x_i^{-1})}{1 - x_i^{-2}} \right) \\
&= \frac{1}{a_\rho} \sum_{w \in W} (-1)^{\ell(w)} w \left( x^{\lambda + \rho} \prod_{i < j} (1 - t_{e_i \pm e_j} x_i^{-1} x_j^{\mp 1}) \prod_i (1 - a_{e_i} x_i^{-1})(1 - b_{e_i} x_i^{-1}) \right) \\
&= \frac{1}{a_\rho} \sum_{w \in W} (-1)^{\ell(w)} w \left( x^{\lambda + \rho} \prod_{i < j} (1 - t_{e_i \pm e_j} x_i^{-1} x_j^{\mp 1}) \prod_i (1 - (a_{e_i} + b_{e_i}) x_i^{-1} + a_{e_i} b_{e_i} x_i^{-2}) \right) \\
&= \frac{1}{a_\rho} \sum_{w \in W} (-1)^{\ell(w)} w \left( x^{\lambda + \rho} \sum_{E \subseteq R^+(BC_n)} f_E x^{-\alpha_E} \right).
\end{aligned}$$

Here  $f_E \in \mathbb{Z}[t_\alpha, a_\beta, b_\beta]$  is a constant we will calculate explicitly later. Note that in this sum, for any subset  $E \subseteq R^+(BC_n)$ , in the roots of type  $e_i$  and  $2e_i$ , at most one of  $e_i$  and  $2e_i$  can be in  $E$  for any particular  $i$ , since only one of the terms in the product,

$$\prod_i (1 - (a_{e_i} + b_{e_i}) x_i^{-1} + a_{e_i} b_{e_i} x_i^{-2}),$$



can be chosen for any given  $i$ . We rewrite  $R_\lambda$  further:

$$\begin{aligned} R_\lambda &= \frac{1}{a_\rho} \sum_{w \in W} (-1)^{\ell(w)} w \left( x^{\lambda+\rho} \sum_{E \subseteq R^+(BC_n)} f_E x^{-\alpha_E} \right) \\ &= \frac{1}{a_\rho} \sum_{E \subseteq R^+(BC_n)} f_E \sum_{w \in W} (-1)^{\ell(w)} w(x^{\lambda+\rho-\alpha_E}) \\ &= \frac{1}{a_\rho} \sum_{E \subseteq R^+(BC_n)} f_E a_{\lambda+\rho-\alpha_E} \end{aligned}$$

So by the straightening law for  $a_\lambda$  as proved in [11], either  $a_{\lambda+\rho-\alpha_E} = 0$  or  $a_{\lambda+\rho-\alpha_E} = (-1)^{\ell(v)} a_{\mu+\rho}$  for some  $v \in W$  and  $\mu \in P^+$ , which satisfy  $\mu + \rho = v^{-1}(\lambda + \rho - \alpha_E)$ . We now use this fact to show that the only case where a term is nonzero is when  $\mu \leq \lambda$  in the type  $BC_n$  dominance order.

We find that the following inequalities are equivalent to  $\mu \leq \lambda$ :

$$\begin{aligned} \lambda - v^{-1}(\lambda) + \rho - v^{-1}(\rho) + v^{-1}(\alpha_E) &\geq 0 \\ \lambda + \rho - v^{-1}(\lambda + \rho - \alpha_E) &\geq 0 \\ (\lambda + \rho) - (\mu + \rho) &\geq 0 \\ \lambda + \rho &\geq \mu + \rho \end{aligned}$$

Using this equivalence, we will show that  $\lambda - v^{-1}(\lambda) \geq 0$  and  $\rho - v^{-1}(\rho) + v^{-1}(\alpha_E) \geq 0$ , which is sufficient to prove that  $\mu \leq \lambda$ . From Lemma 4.1, we have already that  $\lambda - v^{-1}(\lambda) \geq 0$ , so it remains to show that for any  $E \subseteq R^+(BC_n)$ , the second inequality holds.

Fix a set  $E \subseteq R^+(BC_n)$ . We wish to show for any  $\beta \in E$ , where  $\beta$  is either a type  $C_n$  root or some root of the form  $e_i$ , that if  $v^{-1}(\beta) < 0$ , then there is an associated term in  $\rho - v^{-1}(\rho)$  that will cancel the negative  $v^{-1}(\beta)$ . Note again that at most one of  $e_i$  and  $2e_i$  is contained in  $E$ , and since  $v$  permutes the roots of type  $C_n$ , we can consider the nonnegativity of  $\rho - v^{-1}(\rho) + v^{-1}(\beta)$  separately for each element in  $R^+(BC_n)$ . We then split into two cases.

Case 1: Suppose  $\beta$  is a type  $C_n$  root. Then either  $v^{-1}(\beta) < 0$  or  $v^{-1}(\beta) > 0$ . If the latter holds, we are done. And if  $v^{-1}(\beta) < 0$ , then  $\beta \in \text{Inv}(v^{-1})$ . So by the bijection in Lemma 4.2,  $-v^{-1}(\beta) \in \text{Inv}(v)$ . Recall from Lemma 4.3 that  $\rho - v^{-1}(\rho) = \sum_{\alpha \in \text{Inv}(v)} \alpha$ . So  $-v^{-1}(\beta)$  appears

in a term in the sum  $\sum_{\alpha \in \text{Inv}(v)} \alpha$ , with  $-v^{-1}(\beta) + v^{-1}(\beta) = 0$ .

Case 2: Suppose  $\beta = e_i$ , a short type  $B_n$  root. We know already that  $2e_i \notin E$ . So similarly to case 1, if  $v^{-1}(\beta) > 0$ , then we are done, and if  $v^{-1}(e_i) < 0$ , then  $2e_i \in \text{Inv}(v^{-1})$ , or equivalently,  $-v^{-1}(2e_i) \in \text{Inv}(v)$ . Then the sum  $\rho - v^{-1}(\rho)$  contains the term  $-v^{-1}(2e_i)$  which causes  $-v^{-1}(2e_i) + v^{-1}(e_i) = -v^{-1}(e_i) > 0$ . And because  $2e_i \notin E$ , this positive root is accounted for uniquely in the sum.

Because each term in the sum  $\rho - v^{-1}(\rho) + v^{-1}(\alpha_E)$  is nonnegative, their sum must also be nonnegative. Hence we conclude that in the expansion  $R_\lambda = \sum_{\mu \in P^+(BC_n)} u_{\lambda\mu} s_\mu$ , the coefficients

$u_{\lambda\mu} = 0$  unless  $\mu \leq \lambda$ .

Using the previous inequalities, we can find conditions that occur if  $\mu = \lambda$ , which will allow us to compute  $u_{\lambda\lambda}$  explicitly. First, since  $\lambda - v^{-1}(\lambda) \geq 0$ , equality holds exactly when  $v^{-1}(\lambda) = \lambda$ , in which case  $v \in W_\lambda$ . We also need that  $\rho - v^{-1}(\rho - \alpha_E) = 0$ , which we use to compute the set  $E$  as follows:

$$\begin{aligned} \rho - v^{-1}(\rho - \alpha_E) &= 0 \\ \rho &= v^{-1}(\rho - \alpha_E) \\ v(\rho) &= \rho - \alpha_E \\ \rho - v^{-1}(\rho) &= \alpha_E \\ \sum_{\alpha \in \text{Inv}(v)} \alpha &= \alpha_E. \end{aligned}$$

The final equality holds by Lemma 4.3, where  $\text{Inv}(v)$  is a subset of type  $C_n$  roots since  $\rho$  is the type  $C_n$  constant. And by Lemma 4.4, we get that  $E = \text{Inv}(v)$ . In particular, note that if  $\lambda = \mu$ , the set  $E$  cannot contain any short roots of type  $B_n$ , as the set  $\text{Inv}(v)$  contains only type  $C_n$  inversions.

To compute the coefficients  $u_{\lambda\lambda}$ , we partition the set  $E \subseteq R^+(BC_n)$  into disjoint sets,  $E = A \sqcup B \sqcup C$  in the following way:

$$\begin{aligned} A &\subseteq \{\alpha \in R^+ \mid \alpha = x_i x_j^{\pm 1}, i < j\}, \\ B &\subseteq \{\alpha \in R^+ \mid \alpha = x_i\}, \\ C &\subseteq \{\alpha \in R^+ \mid \alpha = x_i^2\}. \end{aligned}$$

Additionally, define the following constants:

$$\begin{aligned} t_A &= \prod_{\alpha \in A} t_\alpha \\ (a+b)_B &= \prod_{\beta \in B} (a_\beta + b_\beta) \\ (ab)_C &= \prod_{\gamma \in C} (a_{\gamma/2} b_{\gamma/2}). \end{aligned}$$

Then using the previously defined  $f_E$ , and splitting  $E$  into disjoint pieces  $E = A \sqcup B \sqcup C$ :

$$\begin{aligned} \sum_{E \subseteq R^+(BC_n)} f_E x^{-\alpha_E} &= \prod_{i < j} (1 - t_{e_i \pm e_j} x_i^{-1} x_j^{\mp 1}) \prod_i (1 - (a_{e_i} + b_{e_i}) x_i^{-1} + a_{e_i} b_{e_i} x_i^{-2}) \\ &= \sum_{E \subseteq R^+(BC_n)} (-1)^{|A|} t_A (-1)^{|B|} (a+b)_B (ab)_C x^{-\alpha_E} \\ f_E &= (-1)^{|A|} t_A (-1)^{|B|} (a+b)_B (ab)_C. \end{aligned}$$

Since only type  $C_n$  roots appear when  $\lambda = \mu$ , we get that  $E$  contains no roots in the set  $B$ , in which case,

$$\begin{aligned} f_E &= (-1)^{|A|} t_A (ab)_C \\ &= (-1)^{|A|+|C|} t_A (-ab)_C \\ &= (-1)^{\ell(v)} t_A (-ab)_C. \end{aligned}$$

Thus our coefficient for  $s_\lambda$  in the expansion is the sum of the terms  $f_E$  when  $v \in W_\lambda$  and  $E = \text{Inv}(v)$ , so we rewrite  $R_\lambda$  once more:

$$\begin{aligned} R_\lambda &= \frac{1}{a_\rho} \sum_{E \subseteq R^+(BC_n)} f_E a_{\lambda+\rho-\alpha_E} \\ &= \frac{1}{a_\rho} \sum_{E \subseteq R^+(BC_n)} f_E (-1)^{\ell(v)} a_{\mu+\rho} \\ &= \sum_{E \subseteq R^+(BC_n)} f_E (-1)^{\ell(v)} s_\mu. \end{aligned}$$

And using our previous results, if  $\lambda = \mu$ , the  $(-1)^{\ell(v)}$  in the sum cancels with the same term in  $f_E$ , and so the coefficient of  $s_\lambda$  in  $R_\lambda$  is:

$$u_{\lambda\lambda} = \sum_{v \in W_\lambda} t_A (-ab)_C = W_\lambda(t_\alpha, a_\beta, b_\beta).$$

(b) First we have that when  $\lambda = 0$ , we can apply part (a) and find:

$$\begin{aligned} R_0 &= \sum_{w \in W} w \left( \prod_{i < j} \frac{1 - t_{e_i \pm e_j} x_i^{-1} x_j^{\mp 1}}{1 - x_i^{-1} x_j^{\mp 1}} \prod_i \frac{(1 - a_{e_i} x^i)(1 - b_{e_i} x^i)}{1 - x_i^{-2i}} \right) \\ &= W_0(t_\alpha, a_\beta, b_\beta). \end{aligned}$$

Define  $W^\lambda$  to be the set of coset representatives of  $W/W_\lambda$  of minimal length. Every element  $w \in W$  can be written uniquely in the form  $w = uv$  where  $u \in W^\lambda$ ,  $v \in W_\lambda$  (as proved for

example in [1]). Then define the following sets associated with the long and short roots of type  $B_n$ , with  $R$  the type  $B_n$  root system:

$$\begin{aligned} Z_\ell(\lambda) &= \{\alpha \in R^+ \mid \alpha \text{ is a long root and } \langle \lambda, \alpha^\vee \rangle = 0\}, \\ Z_\ell(\lambda)^c &= \{\alpha \in R^+ \mid \alpha \text{ is a long root and } \langle \lambda, \alpha^\vee \rangle \neq 0\}, \\ Z_s(\lambda) &= \{\alpha \in R^+ \mid \alpha \text{ is a short root and } \langle \lambda, \alpha^\vee \rangle = 0\}, \\ Z_s(\lambda)^c &= \{\alpha \in R^+ \mid \alpha \text{ is a short root and } \langle \lambda, \alpha^\vee \rangle \neq 0\}. \end{aligned}$$

Using these, we then define the following products:

$$\begin{aligned} t_\lambda &= \prod_{\alpha \in Z_\ell(\lambda)} \frac{1 - t_\alpha x^{-\alpha}}{1 - x^{-\alpha}} & ab_\lambda &= \prod_{\beta \in Z_s(\lambda)} \frac{(1 - a_\beta x^{-\beta})(1 - b_\beta x^{-\beta})}{1 - x^{-2\beta}} \\ t_\lambda^c &= \prod_{\alpha \in Z_\ell(\lambda)^c} \frac{1 - t_\alpha x^{-\alpha}}{1 - x^{-\alpha}} & ab_\lambda^c &= \prod_{\beta \in Z_s(\lambda)^c} \frac{(1 - a_\beta x^{-\beta})(1 - b_\beta x^{-\beta})}{1 - x^{-2\beta}}. \end{aligned}$$

Then we can rewrite  $R_\lambda$  as:

$$R_\lambda = \sum_{u \in W^\lambda} u \left( x^\lambda \sum_{v \in W_\lambda} v(t_\lambda \cdot t_\lambda^c \cdot ab_\lambda \cdot ab_\lambda^c) \right).$$

Since  $v \in W_\lambda$  will permute the elements in  $Z_s(\lambda)^c$  and the elements in  $Z_\ell(\lambda)^c$ , we obtain:

$$R_\lambda = \sum_{u \in W^\lambda} u \left( x^\lambda \cdot t_\lambda^c \cdot ab_\lambda^c \sum_{v \in W_\lambda} v(t_\lambda \cdot ab_\lambda) \right).$$

The terms in the second sum of this expression can be considered independently as a root system  $Z(\lambda)$  with Weyl group  $W_\lambda$  (see Proposition 1.10 in [4]). Hence we can apply the equation  $R_0 = W_0(t_\alpha, a_\beta, b_\beta)$  from above, where in this case the term corresponding to  $W_0(t_\alpha, a_\beta, b_\beta)$  is actually  $W_\lambda(t_\alpha, a_\beta, b_\beta)$  (since  $W_\lambda$  is the entire Weyl group), and our sum becomes:

$$\begin{aligned} R_\lambda &= \sum_{u \in W^\lambda} u \left( x^\lambda \cdot t_\lambda^c \cdot ab_\lambda^c \sum_{w \in W_\lambda} v(t_\lambda \cdot ab_\lambda) \right) \\ &= \sum_{u \in W^\lambda} u \left( x^\lambda \cdot t_\lambda^c \cdot ab_\lambda^c \cdot W_\lambda(t_\alpha, a_\beta, b_\beta) \right) \\ &= W_\lambda(t_\alpha, a_\beta, b_\beta) \sum_{u \in W^\lambda} u \left( x^\lambda \cdot t_\lambda^c \cdot ab_\lambda^c \cdot \cdot \right). \end{aligned}$$

Then because earlier we found the equality:

$$R_\lambda = \frac{1}{a_\rho} \sum_{E \subseteq R^+(BC_n)} f_E a_{\lambda+\rho-\alpha_E} = \sum_{E \subseteq R^+(BC_n)} f_E s_{\lambda-\alpha_E},$$

we see  $R_\lambda$  is the sum of symmetric polynomials and therefore is itself a symmetric polynomial whose coefficients lie in  $\mathbb{Z}[t_\alpha, a_\beta, b_\beta]$ . If we define  $\mathbb{F}$  to be the field of fractions of  $\mathbb{Z}[t_\alpha, a_\beta, b_\beta]$ , then there exists some  $P_\lambda(x; t_\alpha, a_\beta, b_\beta) \in \mathbb{F}[P]$  such that:

$$R_\lambda = W_\lambda(t_\alpha, a_\beta, b_\beta)P_\lambda(x; t_\alpha, a_\beta, b_\beta).$$

Thus  $P_\lambda(x; t_\alpha, a_\beta, b_\beta)$  must be a symmetric function with coefficients in  $\mathbb{F}[P]$ . And because in its explicit form,

$$P_\lambda(x; t_\alpha, a_\beta, b_\beta) = \sum_{u \in W^\lambda} u \left( x^\lambda \prod_{\alpha \in Z_\ell(\lambda)^c} \frac{1 - t_\alpha x^{-\alpha}}{1 - x^{-\alpha}} \prod_{\beta \in Z_s(\lambda)^c} \frac{(1 - a_\beta x^{-\beta})(1 - b_\beta x^{-\beta})}{1 - x^{-2\beta}} \right),$$

$P_\lambda(x; t_\alpha, a_\beta, b_\beta)$  has terms  $t_\alpha$ ,  $a_\beta$ , and  $b_\beta$  in the numerators, it can be considered as a symmetric polynomial with coefficients in  $\mathbb{Z}[t_\alpha, a_\beta, b_\beta]$ . Thus the coefficients  $u_{\lambda\mu}$  in (a) must be divisible by  $W_\lambda(t_\alpha, a_\beta, b_\beta)$ , and using the result in (a),

$$P_\lambda(x; t_\alpha, a_\beta, b_\beta) = \sum_{\mu \in P^+} \frac{1}{W_\lambda(t_\alpha, a_\beta, b_\beta)} u_{\lambda\mu} s_\mu.$$

If we write  $P_\lambda(x; t_\alpha, a_\beta, b_\beta)$  as

$$P_\lambda(x; t_\alpha, a_\beta, b_\beta) = \sum_{\mu \in P^+} c_{\lambda\mu} s_\mu,$$

this means  $c_{\lambda\mu} = 0$  except when  $\mu \leq \lambda$ , and

$$c_{\lambda\lambda} = \frac{1}{W_\lambda(t_\alpha, a_\beta, b_\beta)} u_{\lambda\lambda} = 1.$$

Then by setting  $t_\alpha = t$ ,  $a_\beta = a$ , and  $b_\beta = b$  for all  $\alpha$  and  $\beta$ , the polynomials  $P_\lambda(x; t_\alpha, a_\beta, b_\beta)$  become the  $BC_n$  Macdonald spherical functions, and we obtain the desired result.  $\blacksquare$

## 4.2 Upper triangular expansion

**Theorem 4.2.** *The expansion of the series given by*

$$P_\mu W_\mu(t, a, b) \prod_{i < j} \frac{1 - x_i^{\pm 1} x_j^{\pm 1}}{1 - t x_i^{\pm 1} x_j^{\pm 1}} \prod_i \frac{1 - x_i^{\pm 2}}{(1 - a x_i^{\pm 1})(1 - b x_i^{\pm 1})}$$

into  $m_\gamma$  is given by a matrix  $B_{\mu\gamma}$  indexed by  $P(BC_n)$ , where  $B_{\mu\gamma}$  is upper triangular with respect to the dominance order of type  $BC_n$  weights, and  $B_{\mu\mu} = |W_\mu|$ .

*Proof.* First we rewrite the given polynomial in explicit terms:

$$\begin{aligned}
P_\mu W_\mu(t, a, b) & \prod_{i < j} \frac{1 - x_i^{\pm 1} x_j^{\pm 1}}{1 - tx_i^{\pm 1} x_j^{\pm 1}} \prod_i \frac{1 - x_i^{\pm 2}}{(1 - ax_i^{\pm 1})(1 - bx_i^{\pm 1})} \\
& = \sum_{w \in W} w \left( x^\mu \prod_{i < j} \frac{(1 - tx_i x_j^{\pm 1})}{(1 - x_i x_j^{\pm 1})} \frac{(1 - x_i^{\pm 1} x_j^{\pm 1})}{(1 - tx_i^{\pm 1} x_j^{\pm 1})} \prod_i \frac{(1 - ax_i^{-1})(1 - bx_i^{-1})}{(1 - x_i^{-2})} \frac{(1 - x_i^{\pm 2})}{(1 - ax_i^{\pm 1})(1 - bx_i^{\pm 1})} \right) \\
& = \sum_{w \in W} w \left( x^\mu \prod_{i < j} \frac{1 - x_i x_j^{\pm 1}}{1 - tx_i x_j^{\pm 1}} \prod_i \frac{1 - x_i^2}{(1 - ax_i)(1 - bx_i)} \right).
\end{aligned}$$

Then we can find identities for each term in the first product,

$$\begin{aligned}
\frac{1 - x_i x_j^{\pm 1}}{1 - tx_i x_j^{\pm 1}} - 1 & = \frac{1 - x_i x_j^{\pm 1} - 1 + tx_i x_j^{\pm 1}}{1 - tx_i x_j^{\pm 1}} \\
& = (x_i x_j^{\pm 1})(t - 1) \frac{1}{1 - tx_i x_j^{\pm 1}} \\
& = \sum_{r \geq 0} (x_i x_j^{\pm 1})(t - 1) t^r (x_i x_j^{\pm 1})^r \\
& = \sum_{r > 0} (t - 1) t^{r-1} (x_i x_j^{\pm 1})^r \\
\frac{1 - x_i x_j^{\pm 1}}{1 - tx_i x_j^{\pm 1}} & = 1 + \sum_{r > 0} (t - 1) t^{r-1} (x_i x_j^{\pm 1})^r,
\end{aligned}$$

and similarly for the terms in the second product,

$$\begin{aligned}
\frac{1 - x_i^2}{(1 - ax_i)(1 - bx_i)} - 1 & = \frac{-x_i^2 + (a + b)x_i - abx_i^2}{(1 - ax_i)(1 - bx_i)} \\
& = x_i(a + b - (ab + 1)x_i) \sum_{r \geq 0} (ax_i)^r \sum_{q \geq 0} (bx_i)^q \\
& = x_i(a + b - (ab + 1)x_i) \sum_{n \geq 0} \sum_{k=0}^n (a^k b^{n-k}) x_i^n \\
& = (a + b - (ab + 1)x_i) \sum_{n > 0} \sum_{k=0}^{n-1} (a^k b^{n-k-1}) x_i^n \\
\frac{1 - x_i^2}{(1 - ax_i)(1 - bx_i)} & = 1 + (a + b - (ab + 1)x_i) \sum_{n > 0} \sum_{k=0}^{n-1} (a^k b^{n-k-1}) x_i^n.
\end{aligned}$$

Define  $Q^+$  to be the set of all nonnegative integral linear combinations of positive roots in  $B_n$ . Then applying these two identities gives the result:

$$\begin{aligned}
P_\mu W_\mu(t) & \prod_{i < j} \frac{1 - x_i^{\pm 1} x_j^{\pm 1}}{1 - t x_i^{\pm 1} x_j^{\pm 1}} \prod_i \frac{1 - x_i^{\pm 2}}{(1 - a x_i^{\pm 1})(1 - b x_i^{\pm 1})} \\
& = \sum_{w \in W} w \left( x^\mu \prod_{i < j} \left( 1 + \sum_{r > 0} (t-1) t^{r-1} (x_i x_j^{\pm 1})^r \right) \right. \\
& \quad \left. \cdot \prod_i \left( 1 + (a+b - (ab+1)x_i) \sum_{n > 0} \sum_{k=0}^{n-1} (a^k b^{n-k-1}) x_i^n \right) \right) \\
& = \sum_{w \in W} w \left( \sum_{\gamma \in Q^+} c_\gamma x^{\mu+\gamma} \right) \\
& = \sum_{\gamma \in Q^+} c_\gamma \left( \sum_{w \in W} w(x^{\mu+\gamma}) \right),
\end{aligned}$$

with  $c_0 = 1$  and  $c_\gamma \in \mathbb{Z}[t, a, b]$  for all  $\gamma \in Q^+$ . From the definition of  $m_\mu$ , and using the fact that  $c_0 = 1$ , we can now rewrite our original series with  $\gamma$  a type  $BC_n$  weight,

$$\begin{aligned}
P_\mu W_\mu(t, a, b) \prod_{i < j} \frac{1 - x_i^{\pm 1} x_j^{\pm 1}}{1 - t x_i^{\pm 1} x_j^{\pm 1}} \prod_i \frac{1 - x_i^{\pm 2}}{(1 - a x_i^{\pm 1})(1 - b x_i^{\pm 1})} & = |W_\mu| m_\mu + \sum_{\gamma > \mu} B_{\mu\gamma} m_\gamma \\
& = \sum_{\gamma \geq \mu} B_{\mu\gamma} m_\gamma,
\end{aligned}$$

such that  $B_{\mu\gamma} \in \mathbb{Z}[t, a, b]$  and  $B_{\mu\mu} = |W_\mu|$ , as desired. ■

### 4.3 Inner products

Let  $\mathbb{K} = \mathbb{Z}[t, a, b]$ , and let  $P(BC_n)$  and  $P^+(BC_n)$  be the type  $BC_n$  weight lattice and set of dominant integral weights respectively. For  $f \in \mathbb{K}[P(BC_n)]$ , if we can write

$$f = \sum_{\lambda \in P(BC_n)} f_\lambda x^\lambda \text{ with } f_\lambda \in \mathbb{K},$$

define  $\bar{f}$  by

$$\bar{f} = \sum_{\lambda \in P(BC_n)} f_\lambda x^{-\lambda}.$$

Then the following gives a symmetric bilinear form:

$$[f]_1 = \text{coefficient of 1 in } f$$

$$\langle f, g \rangle_{t,a,b} = \frac{1}{|W|} \left[ f\bar{g} \prod_{i<j} \frac{1 - x_i^{\pm 1} x_j^{\pm 1}}{1 - tx_i^{\pm 1} x_j^{\pm 1}} \prod_i \frac{1 - x_i^{\pm 2}}{(1 - ax_i^{\pm 1})(1 - bx_i^{\pm 1})} \right]_1.$$

We find that  $\langle m_\lambda, m_\mu \rangle_{1,1,-1}$  coincides with the single-parameter inner product given in [11] where  $t = 1$ , and likewise  $\langle s_\lambda, s_\mu \rangle_{0,0,0}$  coincides with the single-parameter case when  $t = 0$ . We use this and the proofs in [11] to obtain the equalities:

$$\langle m_\lambda, m_\mu \rangle_{1,1,-1} = \frac{1}{|W_\lambda|} \delta_{\lambda\mu} \quad \langle s_\lambda, s_\mu \rangle_{0,0,0} = \delta_{\lambda\mu}.$$

**Theorem 4.3.**  $\langle P_\lambda, P_\mu \rangle_{t,a,b} = \frac{1}{W_\lambda(t, a, b)} \delta_{\lambda\mu}$

*Proof.* Recall that we can write  $P_\lambda = \sum_{\mu \in P^+} K_{\lambda\mu}^{-1} s_\mu$  where  $K^{-1}$  is an upper triangular matrix with  $K_{\lambda\mu}^{-1} \in \mathbb{Z}[t, a, b]$  and  $K_{\lambda\lambda}^{-1} = 1$ . Then note that

$$\begin{aligned} P_\lambda(x; 1, 1, -1) &= \frac{1}{|W_\lambda|} \sum_{w \in W} w \left( x^\lambda \prod_{i<j} \frac{1 - x_i^{-1} x_j^{\pm 1}}{1 - x_i^{-1} x_j^{\pm 1}} \prod_i \frac{(1 - x_i^{-1})(1 + x_i^{-1})}{1 - x_i^{-2}} \right) \\ &= \frac{1}{|W_\lambda|} \sum_{w \in W} w(x^\lambda) \\ &= m_\lambda, \end{aligned}$$

so if we have a matrix  $k_{\lambda\mu}^{-1}$  such that  $m_\lambda = \sum_{\mu \in P^+} k_{\lambda\mu}^{-1} s_\mu$ , then the matrix  $k^{-1}$  is a specialization of  $K_{\lambda\mu}^{-1}$  where  $t = 1, a = 1, b = -1$ . And since  $K_{\lambda\mu}^{-1}$  has entries in  $\mathbb{Z}[t, a, b]$  and is lower triangular with  $K_{\lambda\lambda}^{-1} = 1$ , the same applies to  $k^{-1}$ .

Now if we consider the matrix  $A = K^{-1}k^{-1}$  to give a change of basis between  $R_\lambda$  and the monomial symmetric functions  $m_\mu$ , given by:

$$P_\lambda = \sum_{\nu \leq \lambda} A_{\lambda\nu} m_\nu.$$

The matrix  $A$  retains the properties of  $K^{-1}$  and  $k^{-1}$ , namely that  $A_{\lambda\mu} \in \mathbb{Z}[t, a, b]$ ,  $A_{\lambda\lambda} = 1$ , and  $A$  is lower triangular.

For the following computation, we assume that  $\lambda$  and  $\mu$  are comparable in the dominance



order for type  $BC_n$  weights, and that  $\lambda \leq \mu$  without loss of generality. We then apply the above expansion of  $P_\lambda$  into  $m_\mu$ , along with Theorem 4.2:

$$\begin{aligned}
\langle P_\lambda, P_\mu \rangle_{t,a,b} &= \left\langle P_\lambda, P_\mu \prod_{i < j} \frac{1 - x_i^{\pm 1} x_j^{\pm 1}}{1 - tx_i^{\pm 1} x_j^{\pm 1}} \prod_i \frac{1 - x_i^{\pm 2}}{(1 - ax_i^{\pm 1})(1 - bx_i^{\pm 1})} \right\rangle_{1,1,-1} \\
&= \frac{1}{W_\mu(t, a, b)} \left\langle P_\lambda, P_\mu W_\mu(t, a, b) \prod_{i < j} \frac{1 - x_i^{\pm 1} x_j^{\pm 1}}{1 - tx_i^{\pm 1} x_j^{\pm 1}} \prod_i \frac{1 - x_i^{\pm 2}}{(1 - ax_i^{\pm 1})(1 - bx_i^{\pm 1})} \right\rangle_{1,1,-1} \\
&= \frac{1}{W_\mu(t, a, b)} \left\langle \sum_{\nu \leq \lambda} A_{\lambda\nu} m_\nu, \sum_{\gamma \geq \mu} B_{\mu\gamma} m_\gamma \right\rangle_{1,1,-1}.
\end{aligned}$$

Then using the bilinearity of the inner product, and the fact that  $\langle m_\lambda, m_\mu \rangle_{1,1,-1} = \frac{1}{|W_\lambda|} \delta_{\lambda\mu}$ ,

$$\begin{aligned}
\langle P_\lambda, P_\mu \rangle_{t,a,b} &= \frac{1}{W_\mu(t, a, b)} \langle m_\lambda, |W_\mu| m_\mu \rangle_{1,1,-1} \\
&= \frac{1}{W_\mu(t, a, b)} \frac{|W_\mu|}{|W_\lambda|} \delta_{\lambda\mu} \\
&= \frac{1}{W_\lambda(t, a, b)} \delta_{\lambda\mu}.
\end{aligned}$$

Note that the last equality holds since the multiplication by  $\delta_{\lambda\mu}$  means that  $\lambda = \mu$ . ■

# Chapter 5

## Kato-Lusztig Formula for $K_{\lambda\mu}(t, a, b)$

We have now developed the tools needed to prove a Kato-Lusztig formula that computes the values for  $K_{\lambda\mu}(t, a, b)$  explicitly. To do so, let  $Q^+$  be as defined in Chapter 4.2, the set of nonnegative integral linear combinations of type positive type  $B_n$  roots. Then for a given type  $BC_n$  weight  $\gamma \in P$ , define  $E(\gamma; t, a, b)$  by the following:

$$\sum_{\gamma \in Q^+} E(\gamma; t, a, b) x^\gamma = \prod_{i < j} \frac{1}{1 - tx_i x_j^{\pm 1}} \prod_i \frac{1}{(1 - ax_i)(1 - bx_i)}$$

and  $E(\gamma; t, a, b) = 0$  if  $\gamma \notin Q^+$ .

**Theorem 5.1.**  $K_{\lambda\mu} = \sum_{w \in W} (-1)^{\ell(w)} E(w(\lambda + \rho) - (\mu + \rho); t, a, b)$ .

*Proof.* First, using the fact that  $\langle P_\lambda, P_\mu \rangle_{t,a,b} = \frac{1}{W_\mu(t, a, b)} \delta_{\lambda\mu}$ , we can rewrite  $K_{\lambda\mu}$ :

$$\begin{aligned} W_\mu(t, a, b) \langle s_\lambda, P_\mu \rangle_{t,a,b} &= W_\mu(t, a, b) \left\langle \sum_{\nu \in P^+(BC_n)} K_{\lambda\nu} P_\nu, P_\mu \right\rangle_{t,a,b} \\ &= W_\mu(t, a, b) \langle K_{\lambda\mu} P_\mu, P_\mu \rangle_{t,a,b} \\ &= K_{\lambda\mu}. \end{aligned}$$

Then we can consider the following product:

$$\begin{aligned}
P_\mu W_\mu(t, a, b) & \prod_{i < j} \frac{1}{1 - tx_i^{\pm 1} x_j^{\pm 1}} \prod_i \frac{1}{(1 - ax_i^{\pm 1})(1 - bx_i^{\pm 1})} \\
&= \sum_{w \in W} w \left( x^\mu \prod_{i < j} \frac{1 - tx_i^{-1} x_j^{\pm 1}}{1 - x_i^{-1} x_j^{\pm 1}} \prod_i \frac{(1 - ax_i^{-1})(1 - bx_i^{-1})}{1 - x_i^{-2}} \prod_{i < j} \frac{1}{1 - tx_i^{\pm 1} x_j^{\pm 1}} \prod_i \frac{1}{(1 - ax_i^{\pm 1})(1 - bx_i^{\pm 1})} \right) \\
&= \sum_{w \in W} w \left( x^\mu \prod_{i < j} \frac{1}{1 - x_i^{-1} x_j^{\pm 1}} \prod_i \frac{1}{1 - x_i^{-2}} \prod_{i < j} \frac{1}{1 - tx_i x_j^{\pm 1}} \prod_i \frac{1}{(1 - ax_i)(1 - bx_i)} \right) \\
&= \sum_{w \in W} w \left( x^{\mu+\rho} \frac{1}{x^\rho \prod_{\alpha \in R^+} (1 - x^{-\alpha})} \prod_{i < j} \frac{1}{1 - tx_i x_j^{\pm 1}} \prod_i \frac{1}{(1 - ax_i)(1 - bx_i)} \right) \\
&= \frac{1}{a_\rho} \sum_{w \in W} (-1)^{\ell(w)} w \left( x^{\mu+\rho} \prod_{i < j} \frac{1}{1 - tx_i x_j^{\pm 1}} \prod_i \frac{1}{(1 - ax_i)(1 - bx_i)} \right)
\end{aligned}$$

Combining these identities, we find:

$$\begin{aligned}
K_{\lambda\mu} &= \langle s_\lambda, W_\mu(t, a, b) P_\mu \rangle_{t, a, b} \\
&= \left\langle s_\lambda, W_\mu(t, a, b) P_\mu \prod_{i < j} \frac{1}{1 - tx_i^{\pm 1} x_j^{\pm 1}} \prod_i \frac{1}{(1 - ax_i^{\pm 1})(1 - bx_i^{\pm 1})} \right\rangle_{0, 0, 0} \\
&= \text{coefficient of } s_\lambda \text{ in} \\
&\quad \frac{1}{a_\rho} \sum_{w \in W} (-1)^{\ell(w)} w \left( x^{\mu+\rho} \prod_{i < j} \frac{1}{1 - tx_i x_j^{\pm 1}} \prod_i \frac{1}{(1 - ax_i)(1 - bx_i)} \right) \\
&= \text{coefficient of } a_{\lambda+\rho} \text{ in} \\
&\quad \sum_{w \in W} (-1)^{\ell(w)} w \left( x^{\mu+\rho} \prod_{i < j} \frac{1}{1 - tx_i x_j^{\pm 1}} \prod_i \frac{1}{(1 - ax_i)(1 - bx_i)} \right) \\
&= \text{coefficient of } x^{\lambda+\rho} \text{ in} \\
&\quad \sum_{w \in W} (-1)^{\ell(w)} w \left( x^{\mu+\rho} \sum_{\gamma \in Q^+} E(\gamma; t, a, b) x^\gamma \right).
\end{aligned}$$

The element in  $Q^+$  that gives the coefficient of  $x^{\lambda+\rho}$  will be  $\gamma$  such that  $w(\gamma + \mu + \rho) = \lambda + \rho$ , so explicitly  $\gamma = w^{-1}(\lambda + \rho) - (\mu + \rho)$ . Thus we conclude:

$$\begin{aligned}
K_{\lambda\mu} &= \text{coefficient of } x^{\lambda+\rho} \text{ in } \sum_{w \in W} (-1)^{\ell(w)} w \left( x^{\mu+\rho} \sum_{\gamma \in Q^+} E(\gamma; t, a, b) x^\gamma \right) \\
&= \sum_{w \in W} (-1)^{\ell(w)} E(w^{-1}(\lambda + \rho) - (\mu + \rho); t, a, b) \\
&= \sum_{w \in W} (-1)^{\ell(w^{-1})} E(w^{-1}(\lambda + \rho) - (\mu + \rho); t, a, b) \\
&= \sum_{w^{-1} \in W} (-1)^{\ell(w)} E(w(\lambda + \rho) - (\mu + \rho); t, a, b) \\
&= \sum_{w \in W} (-1)^{\ell(w)} E(w(\lambda + \rho) - (\mu + \rho); t, a, b). \quad \blacksquare
\end{aligned}$$

# Chapter 6

## Examples of $K_{\lambda\mu}(t, a, b)$

To compute the polynomials  $K_{\lambda\mu}(t, a, b)$ , we modified the code from [2] which was originally used to calculate the Kostka-Foulkes polynomials. To do so, we use the rational function,

$$\prod_{i < j} \frac{1}{1 - tx_i x_j^{\pm 1}} \prod_i \frac{1}{(1 - ax_i)(1 - bx_i)}.$$

Expanding these products into geometric sums allows us to find  $E(\gamma; t, a, b)$  for each appropriate  $\gamma$ , and from there  $K_{\lambda\mu}(t, a, b)$  by applying Theorem 5.1. This is then extended to more parameters, with the result that the polynomials are invariant under permutation of the parameters excluding  $t$ .

We will additionally consider reduction of the multiparameter  $BC_n$ -Kostka-Foulkes polynomials to the type  $B_n$  and  $C_n$  Kostka-Foulkes polynomials with unequal parameter affine Hecke algebras as defined in [7]. From there, we further reduce to the standard equal parameter cases.

It follows from Theorem 2.15 in [10] that  $K_{\lambda\mu}(t, a, b) \in \mathbb{Z}_{\geq 0}[t, a, b]$  for any  $\lambda$  and  $\mu$  in  $P^+(BC_n)$ , so the coefficients we compute will be positive. But the unequal parameter type  $C_n$  cases can have negative coefficients, which is demonstrated in Example 2.

Example 1: We look first at the case where there are additional parameters, so we compute  $\overline{K_{\lambda\mu}(t, a, b, c)}$  with  $\lambda = [2, 0]$ ,  $\mu = [0, 0]$ :

$$\begin{aligned} K_{\lambda\mu}(t, a, b, c) &= a^2 t^2 + abt^2 + b^2 t^2 + act^2 + bct^2 + c^2 t^2 + a^2 t + 2abt + \\ &\quad b^2 t + 2act + 2bct + c^2 t + a^2 + ab + b^2 + ac + bc + c^2 \\ K_{\lambda\mu}(t, a, b, 0) &= a^2 t^2 + abt^2 + b^2 t^2 + a^2 t + 2abt + b^2 t + a^2 + ab + b^2 \\ &= K_{\lambda\mu}(t, a, b) \end{aligned}$$

In this example, adding an extra parameter and specializing when  $c = 0$  reduces to the previous case with only  $t, a$ , and  $b$  as parameters.

By imposing relations between the parameters  $v_s$ ,  $v_d$ , and  $v_l$ , the  $BC_n$  Macdonald spherical functions  $P_\lambda(x; t, a, b)$  can be reduced to the equal parameter spherical functions  $P_\lambda(x; t)$  associated with the  $B_n$  and  $C_n$  root systems. Consider  $P_\lambda(x; t, a, b)$  written in the following way, using the relations given in chapter 3:

$$P_\lambda(x; t, a, b) = \frac{1}{W_\lambda(t, a, b)} \sum_{w \in W} w \left( x^\lambda \prod_{i < j} \frac{1 - v_\ell^2 x_i^{-1} x_j^{\pm 1}}{1 - x_i^{-1} x_j^{\pm 1}} \prod_i \frac{(1 - (v_s v_d) x_i^{-1})(1 + (v_s/v_d) x_i^{-1})}{1 - x_i^{-2}} \right).$$

To specify to the  $B_n$  unequal parameter Macdonald spherical functions, we set  $v_s = v_d$  (equivalently  $b = -1$ ). This makes the spherical functions:

$$P_\lambda(x; t, a, b) = \frac{1}{W_\lambda(t, a, b)} \sum_{w \in W} w \left( x^\lambda \prod_{i < j} \frac{1 - v_\ell^2 x_i^{-1} x_j^{\pm 1}}{1 - x_i^{-1} x_j^{\pm 1}} \prod_i \frac{1 - v_s^2 x_i^{-1}}{1 - x_i^{-1}} \right).$$

This has the parameter  $v_s$  associated with the short type  $B_n$  roots and  $v_\ell$  with the long roots. However, reducing this to the  $B_n$  case and applying the Kato-Lusztig formula fails to compute the Kostka-Foulkes polynomials, since  $K_{\lambda\mu}(t, a, b)$  is a change of basis into the type  $C_n$  Schur polynomials.

To reach the type  $C_n$  spherical functions, we set  $v_d = 1$  (equivalently  $a = -b$ ) and obtain:

$$P_\lambda(x; t, a, b) = \frac{1}{W_\lambda(t, a, b)} \sum_{w \in W} w \left( x^\lambda \prod_{i < j} \frac{1 - v_\ell^2 x_i^{-1} x_j^{\pm 1}}{1 - x_i^{-1} x_j^{\pm 1}} \prod_i \frac{1 - v_s^2 x_i^{-2}}{1 - x_i^{-2}} \right)$$

Here  $v_s$  is associated with the long type  $C_n$  roots and  $v_\ell$  with the short roots. Again, setting  $v_s = v_\ell$  (equivalently  $a = \sqrt{t}$ ) brings this to the equal parameter case.

The following example shows how a multiparameter  $BC_n$ -Kostka-Foulkes polynomial reduces in the ways described above to  $C_n$  Kostka-Foulkes polynomials. In this example, the unequal parameter case contains negative coefficients.

Example 2: Let  $\lambda = [2, 2]$  and  $\mu = [0, 0]$ . Then the multiparameter  $BC_n$ -Kostka-Foulkes polynomial for  $\lambda$  and  $\mu$  is:

$$K_{\lambda\mu}(t, a, b) = a^4 t^2 + a^3 b t^2 + a^2 b^2 t^2 + a b^3 t^2 + b^4 t^2 + a^3 b t \\ + a^2 b^2 t + a b^3 t + a^2 b^2 + a^2 t^2 + a b t^2 + b^2 t^2 + a b t + t^2.$$

First we set  $b = -a$  and find:

$$K_{\lambda\mu}(t, a, -a) = a^4 t^2 - a^4 t + a^4 + a^2 t^2 - a^2 t + t^2.$$

This is the unequal parameter type  $C_n$  case with negative coefficients, but if we then set  $a = \sqrt{t}$ :

$$K_{\lambda\mu}(t, \sqrt{t}, -\sqrt{t}) = t^4 + t^2,$$

which matches the type  $C_n$  Kostka-Foulkes polynomial  $K_{\lambda\mu}(t)$  as expected.

# Chapter 7

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