

1 **Title**

2 Protoplast Regeneration and Its Use in New Plant Breeding Technologies

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12  
13 **Abstract**

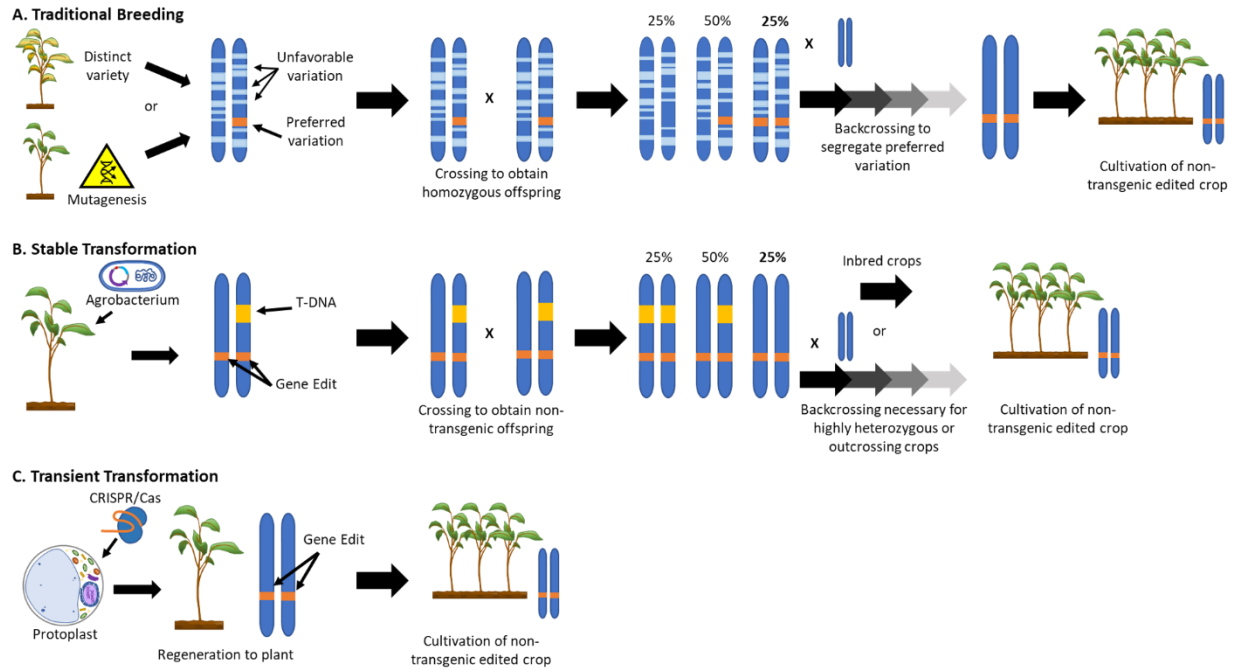
14  
15 The development of gene-editing technology holds tremendous potential for accelerating crop  
16 trait improvement to help us address the need to feed a growing global population. However, the  
17 delivery and access of gene-editing tools to the host genome and subsequent recovery of  
18 successfully edited plants form significant bottlenecks in the application of new plant breeding  
19 technologies. Moreover, the methods most suited to achieve a desired outcome vary  
20 substantially, depending on species' genotype and the targeted genetic changes. Hence, it is of  
21 importance to develop and improve multiple strategies for delivery and regeneration in order to  
22 be able to approach each application from various angles. The use of transient transformation  
23 and regeneration of plant protoplasts is one such strategy that carries unique advantages and  
24 challenges. Here, we will discuss the use of protoplast regeneration in the application of new plant  
25 breeding technologies and review pertinent literature on successful protoplast regeneration.  
26

27 **1. Introduction**

28  
29 Since the advent of CRISPR/Cas9 and related gene-editing technology, direct modification of  
30 crop genomes has become the way of the future for advanced breeding techniques in agriculture  
31 (Zhang et al., 2019). These new plant breeding technologies (NPBT) have opened avenues of  
32 fundamental and translational research that were previously inaccessible. In contrast to  
33 transgenic approaches, NPBT can avoid costly and time-consuming regulatory hurdles and  
34 accelerate the introduction of new crop lines to the ag market (Lassoued et al., 2021).  
35

36 Breeding for the introgression of new traits from a wild relative or mutagenized population into an  
37 elite crop cultivar is a lengthy procedure, requiring numerous rounds of selection to regain the  
38 characteristics of the parental strain (Figure 1A). The ability to efficiently modify crop genes can  
39 save several years over conventional breeding approaches and phenotypic recurrent selection  
40 (Bull et al., 2017). However, the current most commonly used NPBT method of inserting a  
41 transgenic CRISPR/Cas9 construct into the host genome and then crossing it out again to obtain  
42 transgene-free progeny still requires multiple rounds of selection (Figure 1B). This is especially  
43 true for highly heterozygous and/or outcrossing crops.  
44

45 In contrast to conventional breeding or transgenic CRISPR/Cas9 approaches, gene editing  
46 through transient transformation and regeneration of protoplasts can achieve the desired genetic  
47 outcome within a single clonal generation by avoiding the integration of foreign DNA into the host  
48 genome (Figure 1C). Aside from the potential to speed up the application of NPBT, the use of  
49 protoplasts may have numerous other advantages.  
50



51  
 52 **Figure 1. Generational Timelines of Traditional and New Plant Breeding Technologies.**

53  
 54 1.1 Advantages of using protoplasts in NPBT

55  
 56 As stated above, the use of transient transformation of protoplasts can circumvent transgenesis  
 57 (the integration of genetic material from one organism into the genome of another organism). The  
 58 enzymatic removal of the plant cell wall allows for the introduction of foreign DNA, RNA, or protein  
 59 into protoplasts through either polyethylene glycol (PEG) treatment or electroporation. Although  
 60 relatively infrequent, the use of DNA (often in the form of plasmids) does not fully preclude the  
 61 random integration of transgenes (Lin et al., 2018). However, CRISPR/Cas9 can also be  
 62 expressed through transformation with mRNA encoding the Cas9 enzyme along with the desired  
 63 guide RNA (gRNA) (Zhang et al., 2016). Alternatively, protoplasts can be transformed with  
 64 ribonucleoprotein complexes, consisting of Cas9 associated with the gRNA (Svitashev et al.,  
 65 2016). The latter two approaches more effectively preclude the integration of foreign DNA,  
 66 although there have been cases where DNA-template contamination in the *in vitro* transcribed  
 67 mRNA or gRNA has led to insertions, e.g. (Andersson et al., 2018). Particle bombardment is a  
 68 potential alternative for transient delivery method for DNA-free gene-editing tools, e.g. (Liang et  
 69 al., 2018). However, it may suffer from limitations in transformation efficiency and the regeneration  
 70 of chimeric plants (as discussed below).

71  
 72 If the goal of the gene-editing approach goes beyond site-specific insertions and/or deletions for  
 73 the knock-out of gene function but instead aims for specific nucleotide substitutions or insertion  
 74 of a specific sequence through homologous recombination, there is a need for the co-introduction  
 75 of a DNA-repair template (as in oligo directed mutagenesis) or a donor sequence, respectively.  
 76 Prime editing and viral replicons are potential methods to deliver such templates and donors  
 77 transgenically (Čermák et al., 2015; Lin et al., 2020). However, in addition to the potential for a  
 78 non-transgenic outcome, the use of protoplasts allows for more control over the amount of  
 79 template or donor delivered and effect higher precision and efficiency, e.g. (Sauer et al., 2016).

80

81 In many plant species, the lack of host susceptibility to *Agrobacterium* transformation limits the  
82 use of transgenic NPBT approaches. This is seen in particular in monocots (Hwang et al., 2017).  
83 Host-pathogen incompatibility is also expected to be a limiting factor in the applicability of viruses  
84 for the delivery of gene-editing tools (Ma et al., 2020). In such cases, the use of protoplasts (or  
85 particle bombardment) may be a feasible alternative delivery method.  
86

87 Chimerism (where only parts of the regenerated plant are descended from an edited cell) can be  
88 an issue when using conventional, tissue-culture based approaches where a callus intermediate  
89 is used, e.g. (Charrier et al., 2019). This phenomenon occurs because *de novo* shoots or embryos  
90 can be formed from a group of cells rather than a single antecedent. In the case of protoplasts,  
91 regenerated plants are (in most cases) derived from a single cell, thereby avoiding this potential  
92 problem. Chimerism can be a concern especially when non-selectable, non-transgenic  
93 approaches are used together with conventional tissue culture, e.g. transient transformation with  
94 *Agrobacterium* or particle bombardment. Additionally, such non-selectable strategies can suffer  
95 from low editing efficiency in the regenerated plants because only the cells on the surface of the  
96 tissue are potentially edited whereas regeneration can also occur from the numerous non-  
97 transformed cells. In comparison, protoplast transformation efficiencies are much higher and  
98 plants regenerated from protoplasts transiently transformed with editing tools will therefore have  
99 better chance of being successfully edited.

100  
101 However, a glaring limitation in the use of protoplasts for NPBT is the challenges faced in the  
102 regeneration of plants from single cells and there appears to be no universal strategy that applies  
103 to diverse (sub)species. Plant tissue culture in general, and protoplast regeneration in particular,  
104 is often lightheartedly considered more of an artform than a science, requiring an experienced  
105 eye and instinctual decision making, as comprehensive systematic approaches are too vast in  
106 scope to be feasible. In this review, we will discuss a compilation of literature on plant regeneration  
107 from protoplasts. We will deliberate protoplast isolation, protoplast culture, and plant regeneration  
108 from protoplast culture, specifically in the light of the application of NPBT.  
109

## 110 **2. Obtaining Protoplasts**

### 111 112 2.1. Source Tissue

113  
114 The tissue from which protoplasts are derived is very important for obtaining regenerable starting  
115 material. The genotype, organ or tissue, and growth conditions of the plants used can be a  
116 significant determinant in regeneration success.  
117

#### 118 119 *2.1.1. Genotype*

120  
121 Different cultivars or ecotypes can have widely varying success rates in tissue culture and  
122 protoplast regenerative capacity. Depending on the species being worked with and the end goal  
123 of the application, it is recommended to assess the regenerative capacity of multiple genotypes  
124 and select the most suitable for further use.  
125

126 When comparing four different *Arabidopsis* (*Arabidopsis thaliana*) ecotypes (Col-0, Ws-2, No-0,  
127 and HR-10), all gave a similar number of protoplasts with an optimized digestion, but differed  
128 significantly when comparing optimal protoplast division media, callus induction media, and shoot  
129 induction media (Jeong et al., 2021). Ws-2 showed the highest regeneration efficiency, whereas

130 the Col-0, No-0, and HR-10 had relatively ineffective regeneration rates, regardless of efforts to  
131 vary the composition of media and tissue culture methods.

132  
133 Comparison of three different *Cyclamen* species (*C. graecum*, *C. mirabile*, and *C. alpinum*) found  
134 significant differences in protoplast culture and regeneration, including division frequencies (often  
135 referred to as plating efficiency) and morphological appearance of regenerating embryos (Prange  
136 et al., 2010a). Plants were regenerated from protoplasts derived from embryogenic callus in all  
137 three species, but had different efficiencies in microcallus formation and development of somatic  
138 embryos. Interestingly, there was no correlation between the regenerative capacity of the source  
139 embryogenic callus and the ability of the protoplasts to divide and regenerate, with *C. graecum*  
140 performing the worst in regeneration from callus but showing the highest protoplast division rates.

141  
142  
143 *2.1.2. Organ or Tissue*

144  
145 Different source materials for protoplast isolation can affect the number, size, viability, and  
146 regenerative capacity of protoplasts. There are examples of protoplast isolation and regeneration  
147 from numerous tissues, including leaves, cotyledons, roots, petioles, hypocotyls, petals, callus,  
148 and suspension cultures (Table 1).

149  
150 In cabbage (*Brassica oleracea*), it was observed that hypocotyl-derived protoplasts yielded more  
151 regenerated shoots than leaf-derived protoplasts (Kielkowska and Adamus, 2012). In a  
152 comparison on the regeneration capacity of protoplasts derived from leaves, cotyledons, and  
153 callus from coastal medick (*Medicago littoralis*), leaf protoplast-derived callus was found to have  
154 the highest regeneration capacity with a frequency of 20% and cotyledon protoplast-derived callus  
155 had a regeneration frequency of 15% (Zafar et al., 1995). In this study, callus-derived protoplasts  
156 developed only a few microcolonies that were not tested for regeneration. Embryogenic callus  
157 can potentially provide improved regeneration success in cases where somatic tissues fail to  
158 produce regenerable protoplasts, e.g. in grapevine (*Vitis vinifera*) (Bertini et al., 2019).

159  
160 The age of the source tissue can also be of importance, both for protoplast yield and viability as  
161 well as regeneration success. Generally, protoplasts derived from younger tissues perform better  
162 in culture. This has been shown for hypocotyls and leaves in cabbage (Kielkowska and Adamus,  
163 2012) and cell suspension culture in oil palm (*Elaeis guineensis*) (Masani et al., 2013), for  
164 example.

165  
166  
167 *2.1.3. Plant Growth Conditions*

168  
169 The growth conditions of the starting material, including growth media and light, can have a  
170 significant effect on the regenerative capacity of protoplasts. An important consideration is that  
171 the material needs to be sterile (either grown under aseptic conditions or sterilized upon harvest)  
172 in order to be used for further culture of the obtained protoplasts.

173  
174 In Arabidopsis, plants grown on Gamborg B5 medium and harvested three weeks after  
175 germination had a larger rosette with nearly twice as many leaves when compared to plant grown  
176 on Murashige and Skoog (MS) medium, resulting in twice as many protoplasts per harvested  
177 plant. However, during protoplast culture, the plants grown initially on MS media showed two to  
178 three times higher plating efficiency. And when comparing the photoperiod under which plants  
179 were grown, short day (10 h) resulted in a fourfold higher plating efficiency than long day (16 h)  
180 (Masson and Paszkowski, 1992).

181  
182 Examination of cauliflower (*Brassica oleracea*) leaf protoplast quality of shoots grown in various  
183 vessel types found that protoplast yield, viability, division, and shoot regeneration was higher from  
184 tissue of plants grown in containers with vented lids compared to containers with closed lids  
185 (Chikkala et al., 2009).

186  
187

## 188 2.2. Enzymolysis

189  
190 When it comes to isolating protoplasts, it is not only about obtaining a high number of protoplasts,  
191 but also about optimizing their viability and regenerative capacity. Many factors in the enzymolysis  
192 procedure may be of influence, including the utilized pretreatment, buffer composition, cell-wall  
193 digestion enzymes, incubation conditions, and purification methods (Table 1). Although, to our  
194 knowledge, there are not studies on the effect on protoplast regeneration directly for all of the  
195 different factors described here, it seems reasonable to assume that effects on the quality  
196 (viability) of the isolated protoplasts will translate to an influence on regenerative capacity of the  
197 isolated protoplasts.

198  
199

### 200 *2.2.1. Pretreatment*

201  
202 Pretreatment of tissue can be used to augment the number of viable protoplasts isolated by  
203 increasing the access of the used enzymes to the plant cell wall. This can be achieved through  
204 physical disruption of the tissue (e.g. slicing leaf tissue), vacuum infiltration of the enzyme  
205 solution, or a preplasmolysis treatment.

206  
207 Slicing tissue into smaller sections or strips before moving to the enzyme solution allows for more  
208 surface area for the enzymes to work, leading to the release of more protoplasts. With rice (*Oryza*  
209 *sativa*), longitudinal cutting, parallel to the veins, before enzyme digestion resulted in over twice  
210 as many viable protoplast as leaves cut in cross section (Lin et al., 2018). Another example of  
211 physical disruption is the “Tape-Arabidopsis Sandwich” method (Wu et al., 2009). This method  
212 uses tape on both sides of a leaf to add support and allow the removal of the bottom epidermal  
213 layer. This protocol has been successfully applied to other Brassicaceae species, including *B.*  
214 *oleracea*, *B. napus*, *Cleome spinosa*, *C. monophilla*, and *C. gynadra* (Lin et al., 2018).

215  
216 In addition to physical disruption, vacuum infiltration of plant tissue with the enzyme solution can  
217 be used to ensure that the enzymes are able to reach more of the cells, which could increase  
218 protoplast yield. In both apple (*Malus domestica*) and grapevine, vacuum infiltration was a part of  
219 the optimization of the protoplast isolation procedure to obtain the highest number of viable  
220 protoplasts per gram of fresh weight (Osakabe et al., 2018).

221  
222 Preplasmolysis treatment is used to shrink the protoplasts away from the cell wall before  
223 introducing the enzyme solution. This is thought to avoid damage to the cell membrane. When  
224 comparing protoplasts isolated from birdsfoot trefoil (*Lotus corniculatus*) tissue with and without  
225 preplasmolysis, the pretreated protoplasts had roughly five times more cell wall formation than  
226 the nontreated after 3 days of culture. After one week, the viability of the nontreated protoplasts  
227 decreased significantly (Vessabutr and Grant, 1995).

228  
229

### 230 2.2.2. Enzyme Solution Buffer

231

232 The buffer for the enzyme solution is critical for optimal enzyme activity and ensuring a high  
233 number of viable protoplasts. The buffer solution typically includes KCl; CaCl<sub>2</sub>; mannitol, sorbitol,  
234 or salts as osmolytes; MES (2-(N-morpholino)ethanesulfonic acid) as pH buffer; BSA as an  
235 alternate target for proteases that may degrade the enzymes; and β-mercaptoethanol as a  
236 reducing agent (Table 1). Frearson et al. (1973) first formulated a combination of salts that many  
237 still use, called the cell and protoplast washing (CPW) salts. This basal salt solution is often  
238 modified with the addition of mannitol or sorbitol for osmotic pressure and different enzymes for  
239 optimal protoplast isolation (Tomiczak et al., 2015; Jones et al., 2015; Jie et al., 2011).

240

241 Proper osmolality is crucial in order to ensure the survival of the cells and provide an environment  
242 for potential cell wall formation and division, leading to regeneration. Protoplast development has  
243 been shown to be inhibited by excess osmotic pressure during isolation and culture by impairing  
244 metabolism (Ruesink, 1978) as well as division and cell wall regeneration (Pearce and Cocking,  
245 1973).

246

247 Enzyme solutions with the same (or similar) composition as the subsequent protoplast culture  
248 medium have also been used successfully in protoplast regeneration applications. For example  
249 sugar beet (*Beta vulgaris*) callus protoplasts were isolated using Kao and Michayluk salts in the  
250 enzyme solution (Dovzhenko and Koop, 2003); Mango (*Mangifera indica*) pro-embryogenic mass-  
251 derived protoplasts were isolated using an enzyme solution containing Gamborg B5 and  
252 Murashige and Skoog salts (Ara et al., 2000); petunia (*Petunia* spp.) and calibrachoa (*Calibrachoa*  
253 spp.) leaf protoplasts were isolated with Kao and Michayluk and Gamborg B5 salts in the solution  
254 (Meyer et al., 2009).

255

### 256 2.2.3. Enzymes

257

258 Many commercially available cell-wall degrading enzymes (or enzyme mixtures) are used for the  
259 isolation of protoplasts. They differ in their substrates as well as the purity or combination of the  
260 enzymes in the extract. Enzymolysis is generally achieved using both cellulases and  
261 hemicellulases (e.g. beta-glucanases, xylanases, protopectinases, polygalacturonases, pectin  
262 lyases, and pectinesterases). Some of the most commonly used enzymes or enzyme mixtures  
263 are Cellulase R-10, Macerozyme R-10, and Pectolyase Y-23 (Table 1). The manufacturer/supplier  
264 of the enzymes may be a factor in the success rates (personal experience and communication  
265 with others).

266

267 The effect of different enzyme combinations and concentrations were tested on the isolation of  
268 protoplasts from stevia (*Stevia rebaudiana*) leaves (Lopez-Arellano et al., 2014). The optimized  
269 enzyme solution contained 2% Cellulase R-10, 1.5% Macerozyme Onozuka R-10, 0.2%  
270 Driselase, and 0.1% Pectolyase Y-23. When the Cellulase R-10 was decreased to 1% or  
271 increased to 3%, there was a significant drop in both the yield and viability of the protoplasts.  
272 There was also a lower viability when Pectolyase Y-23 was not present. When isolating  
273 protoplasts from tobacco (*Nicotiana tabacum*) leaves, it was found that Pectolyase Y-23 was 20  
274 times more effective than Macerozyme R-10 (Nagata and Ishii, 1979). This was determined to be  
275 due to the Pectolyase Y-23 having 50 times stronger endopolygalacturonase activity.

276

277 As the cost of lab-grade enzymes can be prohibitive, the use of food-grade cell wall degrading  
278 enzymes was investigated as a low-cost alternative for the isolation of switchgrass (*Panicum*  
279 *virgatum*) leaf protoplasts (Burriss et al., 2016). It was determined that using a combination of

280 Rohament CL with Rohapect 10L and Rohapect UF (cellulases and pectinases commonly used  
281 in brewing and juicing) yielded up to  $8.4 \times 10^5$  protoplasts per gram of leaf tissue.  
282

283 Although (to our knowledge) there have been no systematic analyses of whether the combination  
284 of enzymes used may influence the division rates and regenerative capacity of the produced  
285 protoplasts, one can imagine that there could well be an effect. The enzymes themselves, the  
286 crude extracts, as well as the cell-wall degradation products they produce can all be recognized  
287 by plant cells as pathogenic elicitors, to a greater or lesser extent, depending on the sensitivity of  
288 the genotype used to the different enzymes and extracts employed. Protoplast yield and viability  
289 may well be a good measure for protoplast isolation, but it could be the case that an enzyme  
290 combination that does not necessarily give the highest yield and viability could be more suitable  
291 for subsequent regeneration of the protoplasts.  
292  
293

#### 294 2.2.4. Enzymolysis Conditions 295

296 Conditions during protoplast isolation (*i.e.* duration, temperature, light, and agitation) can play a  
297 significant role in the subsequent yield, viability and regenerative capacity of the protoplasts.  
298

299 The length of a digestion period typically ranges from 2 to 18 hours (Table 1). The duration of  
300 digestion needs to be long enough to release sufficient numbers of protoplasts, but not too long  
301 as to decrease the viability due to cell damage or the lack of nutrients and growth regulators in  
302 the enzymolysis solution. For example, when comparing 4, 8, and 12 h digestion duration of crown  
303 imperial (*Fritillaria imperialis*) callus, the yield and viability were highest at 8 h (Chamani and  
304 Tahami, 2016).  
305

306 Temperature also plays an important role in protoplast yield and viability. Room temperature is  
307 the most commonly used, although there are examples of higher temperatures being employed  
308 (Table 1). There could be effects on enzyme activity (and protoplast yield) as well as protoplast  
309 viability and regenerative capacity. Intuitively, it may be preferable to use a temperature that is  
310 close to that used for the growth of the source material and/or subsequent protoplast culture  
311 conditions, in order to minimize temperature fluctuations or shocks. Conversely, perhaps a  
312 particular temperature treatment may actually benefit regenerative capacity.  
313

314 Digestion in a light or dark condition may additionally influence the protoplast isolation, with most  
315 choosing dark conditions (Table 1). This may avoid the production of free radicals and  
316 photoinhibition in cells containing chloroplasts. Although there are also examples where digestion  
317 under light performed better than in the dark. In geranium (*Pelargonium x hortorum*) leaf  
318 protoplast isolation, protoplast yield and viability were increased when the digestion occurred in  
319 light; in the dark, the enzymes were efficient but most of the released protoplasts had burst  
320 (Nassour and Dorion, 2002). The protoplasts isolated from the light condition were regenerated  
321 into plants, but the effect of light or dark condition during digestion on the regeneration capacity  
322 was not investigated.  
323

324 Agitation of the enzymatic solution on a gyratory shaker during the protoplast digestion can  
325 increase the protoplast yields. Typically, speeds range from 0 to 90 rpm, with the average being  
326 around 40 rpm (Table 1). Alternatively, the agitation can be implemented only at the end of the  
327 digestion period to facilitate the release of protoplasts from the cell wall remnants.  
328

329 Again, protoplast yield and viability may well be a good measure, but it could be the case that  
330 digestion conditions that do not necessarily give the highest yield and viability could be more  
331 suitable for subsequent regeneration of the protoplasts.

332

### 333 2.2.5. Purification

334

335 Following enzymolysis, separation of the protoplasts from undigested tissue, cell wall debris, and  
336 dead cells can be an important factor in the culture of the protoplasts. Debris and dead cells may  
337 elicit negative effects in the living protoplasts that will inhibit their division and development, e.g.  
338 in kalanchoe (*Kalanchoe blossfeldiana*) (Castelblanque et al., 2010). Filtration and sucrose  
339 cushions, or floatation through a density gradient, are commonly used techniques.

340

341

## 342 3. Protoplast Culture

343

### 344 3.1. Culture Media

345

346 Protoplast culture media are central to protoplast division and plant regeneration. The appropriate  
347 macro-, micro-nutrients, and additives, such as plant growth regulators, osmotic stabilizers,  
348 medium solidifiers, and supplements, are essential in protoplast culture.

349

350

#### 351 3.1.1. Nutrients

352

353 Optimal protoplast culture media vary widely, depending on the genotype and source tissue used  
354 (Table 2). Common medium formulations (such as MS (Murashige and Skoog, 1962), Gamborg  
355 (B5) (Gamborg et al., 1968), Kao and Michayluk (KM (Kao and Michayluk, 1975)), Y3 (Eeuwens,  
356 1976), or Nitsch (Nitsch and Nitsch, 1969)), or slight modification thereof, are often used in  
357 protoplast culture. Although there are also examples of custom formulations, e.g. TM2G for  
358 tomato (*Solanum lycopersicon*) protoplast culture (Shahin, 1985). This is also a case where the  
359 manufacturer/supplier of the premixed media may be a factor in the success rates (personal  
360 experience and communication with others). When establishing and optimizing a protoplast  
361 culture procedure, it is prudent to assay an array of medium formulations for suitability.

362

363 In a comparison of 14 formulations based on MS, KM, and Y3 media for oil palm cell suspension-  
364 derived protoplast division, Y3-based medium gave the fastest cell wall formation, quickest  
365 division, and highest division frequency (Masani et al., 2013). Amur cork tree (*Phellodendron*  
366 *amurense*) stem protoplasts were cultured in MS, half-strength MS, and Woody Plant Medium  
367 (WPM), and culture in full-strength MS medium resulted in the highest colony formation rate  
368 (Azad, 2012).

369

370 Protoplast cultures also need a carbon source for energy metabolism, typically sucrose or glucose  
371 and to a lesser degree mannitol or sorbitol (Table 2). Comparing the effect of 1% and 2% of either  
372 glucose or sucrose as the carbon source for chrysanthemum (*Chrysanthemum morifolium*) leaf  
373 protoplast culture, 1% sucrose performed best (Adedeji et al., 2020). Although 2% sucrose  
374 resulted in the highest division rate, there was no subsequent colony formation. Only 1% sucrose  
375 and 2% glucose led to microcallus formation, with 1% sucrose more rapidly producing larger  
376 microcalli. For Arabidopsis seedling protoplast culture, three different variations of supplements  
377 with B5 medium and vitamins were tested for protoplast proliferation (Jeong et al., 2021). Myo-

378 inositol as the primary carbon source along with sucrose resulted in the highest proliferation rate  
379 across four the different Arabidopsis ecotypes. A simplification of KM8p medium with the removal  
380 of all of the sugars (fructose, ribose, xylose, mannose, rhamnose, cellobiose, sorbitol and  
381 mannitol) except glucose still resulted in protoplast division that led to callus and embryo formation  
382 from carrot (*Daucus carota*) leaf protoplasts (Grzebelus et al., 2012).  
383

### 384 385 3.1.2. Osmotic Pressure

386 Osmotic pressure is an important aspect of protoplast culture media. Generally, mannitol, sorbitol,  
387 sucrose, glucose, myo-inositol or a combination of these components is used to ensure the proper  
388 osmolarity. Determining the proper solute concentration is critical for the protoplast survival and  
389 division rates. Generally, the concentration of the major osmoticum used in the initial protoplast  
390 culture medium varies from 0.1 to 0.8 M (Table 2). Intuitively, it seems that having a comparable  
391 osmolarity between enzymolysis and initial culture conditions would expose the protoplasts to  
392 less osmotic shock upon transfer to culture medium and benefit their viability and vigor.  
393

394 For cabbage cotyledon protoplasts, myo-inositol was a better osmotic regulator than mannitol (Jie  
395 et al., 2011). It is theorized that myo-inositol may be advantageous to both carbohydrate  
396 metabolism in cell walls and inositol metabolism in cell membranes in protoplast culture. However,  
397 whether these advantages are gained with a small addition of myo-inositol with a different primary  
398 osmoticum or if a large quantity of myo-inositol is needed has yet to be determined.  
399

400 Osmolarity is commonly decreased gradually as the protoplast reform their cell walls and begin  
401 to divide. For example, gradually reducing the osmolarity for oil palm cell suspension protoplast  
402 cultures doubled the number of microcalli (Masani et al., 2013). In gentian (*Gentiana decumbens*)  
403 leaf protoplast culture, the osmolarity of the liquid medium around agarose beads was decreased  
404 by reducing the mannitol concentration from 0.5 M to 0.33 M during the fifth and sixth week of  
405 culture, followed by another decrease to 0.17 M mannitol in the seventh and eighth week, and no  
406 mannitol for the subsequent weeks (Tomiczak et al., 2015). In chrysanthemum protoplast culture,  
407 after the first week in liquid culture medium, myo-inositol was omitted from the refresh medium  
408 and mannitol concentrations were dropped from the initial 0.4 M to 0.32, 0.21, and 0.11 M for  
409 weeks 2, 3, and 4, respectively (Eeckhaut et al., 2020).  
410

### 411 412 413 3.1.3. Plant Growth Regulators

414 Plant growth regulators, particularly cytokinins and auxins, are essential for the growth of  
415 microcalli from protoplasts. Additionally, gibberellic acid (GA<sub>3</sub>) has been shown to be beneficial in  
416 some cases. The most common cytokinins are 6-benzylaminopurine (BAP), zeatin, kinetin,  
417 isopentenyl adenine (2iP), and thidiazuron (TDZ). The most common auxins are indole-3-acetic  
418 acid (IAA), indole-3-butyric acid (IBA), 2,4-dichlorophenoxyacetic acid (2,4-D), and naphthalene  
419 acetic acid (NAA). Optimal concentrations, combinations, and ratios vary widely, depending on  
420 the genotype and source tissue of the protoplasts (Table 2).  
421

422 A ratio of a relatively higher concentration of auxin with a lower concentration of cytokinins was  
423 effective for microcallus formation from populus (*Populus beijingensis*) cell suspension  
424 protoplasts (Cai and Kang, 2014). Conversely, in kalanchoe leaf protoplast culture, a higher  
425 cytokinin to auxin ratio resulted in better proliferation and microcallus formation; having cytokinin  
426 exclusively resulted in slow growth and the microcalli eventually died (Castelblanque et al., 2010).  
427

428  
429 Coconut water is a natural source of plant growth regulators, both auxin (IAA) and cytokinins  
430 (various) as well as other phytohormones, such as gibberellins, and other supplements, such as  
431 vitamins and minerals, that have been found to be beneficial in plant tissue culture (Yong et al.,  
432 2009). As a supplement in corn (*Zea mays*) embryogenic callus protoplast culture, coconut water  
433 led to a high efficiency of microcallus formation, with a 2% coconut water addition producing the  
434 most microcalli (Imbrie-Milligan et al., 1987). Coconut water was also found to increase protoplast  
435 cell division in orchid (*Phalaenopsis* spp.) callus protoplasts (Kobayashi et al., 1993).

436  
437

#### 438 3.1.4. Additional Supplements

439

440 Additional supplements, such as polyvinylpyrrolidone, antioxidants, activated charcoal, silver  
441 nitrate, antibiotics, complex organics, amino acids, polyamines, conditioned medium, and peptide  
442 growth factors, can be added to the media to support protoplast division and microcallus formation  
443 (Table 2).

444

445 Antioxidants, such as ascorbic acid, citric acid, reduced glutathione, and L-cysteine, can be used  
446 to mitigate the inhibitory effects of reactive oxygen species. In oil palm protoplast regeneration, it  
447 was found that 200 mg/L ascorbic acid gave the greatest indication of further cell growth and  
448 development with the microcalli turning yellow and developing into embryogenic calli (Masani et  
449 al., 2013). With this supplementation, two types of embryogenic callus were observed, compact  
450 and friable embryogenic callus, which were both able to further develop into somatic embryos and  
451 regenerate into plantlets.

452

453 Polyvinylpyrrolidone (PVP) is used to adsorb phenolics. While phenolics may be beneficial for  
454 plant defense (Bhattacharya et al., 2010), an accumulation during protoplast culture has been  
455 found to lead to oxidative browning of the culture medium, inhibiting protoplast growth and division  
456 (Reustle and Natter, 1994; Prakash et al., 1997). There has also been reports of PVP suppressing  
457 tissue browning and improving callus formation in peony (*Paeonia lactiflora*) petal explant tissue  
458 culture (Cai et al., 2020). Polyvinylpolypyrrolidone (PVPP), a highly cross-linked version of PVP,  
459 has also been found to inhibit tissue necrosis in Virginia pine (*Pinus virginiana*) callus culture  
460 (Tang et al., 2004), as well as preventing browning better than PVP in guar (*Cyamopsis*  
461 *tetragonoloba*) cotyledon protoplast culture (Saxena and Gill, 1986). When PVP was added to the  
462 PVPP culture of guar cotyledon protoplasts, not only was it found to enhance the necrosis  
463 inhibition, but it also improved the protoplast division frequency. Another compound known to  
464 decrease tissue browning is 2-aminoindane-2-phosphonic acid (AIP), which is a reversible  
465 inhibitor of phenylalanine ammonia lyase (PAL), an enzyme necessary for polyphenol production  
466 (Appert et al., 2003). While the inhibition of PAL was able to increase the cell wall digestibility and  
467 facilitate sustained cell division in American elm (*Ulmus americana*), extended inhibition results  
468 in decreased shoot growth in tissue culture (Jones et al., 2012). This decrease in plant growth  
469 due to PAL inhibition from AIP has also been seen in birch (*Betula pubescens*) (Nybakken et al.,  
470 2007) and St. John's wort (*Hypericum* spp.) (Klejdus et al., 2013). It could be hypothesized that  
471 an early addition of AIP will increase the likelihood of protoplast survival, but it should not be used  
472 for an extended period as to disrupt the callus and shoot growth, as described for American elm  
473 protoplast regeneration (Jones et al., 2015).

474

475 Activated charcoal is a commonly used additive employed for its ability to adsorb inhibitory  
476 elements, such as phenolics and reactive oxygen species, that can impede protoplast division.  
477 Adedeji et al. (2020) found that the ideal concentration of activated charcoal for chrysanthemum  
478 leaf protoplast regeneration was 0.02% (w/v) and adding a higher concentration of 0.1% resulted

479 in agglutination of the protoplasts, causing them to die before entering the microcolony stage. In  
480 primrose (*Primula* spp.) cell suspension-derived protoplast culture, the addition of 0.1% PVP did  
481 not induce callus formation; however, the addition of activated charcoal did (Mizuhiro et al., 2001).  
482

483 Silver nitrate ( $\text{AgNO}_3$ ), an inhibitor of ethylene action, has been shown in some cases to increase  
484 callus formation and regeneration efficiency as well as effect protoplast isolation efficiency. The  
485 culture of hypocotyl protoplasts from several *Brassica* species was markedly improved by the  
486 addition of silver nitrate in the culture medium (Pauk et al., 1991; Hu et al., 1999). With rice (*Oryza*  
487 *sativa*) suspension cultures, the addition of silver nitrate during protoplast isolation reduced  
488 protoplast yield but increased the frequency of colony formation (Ishii, 1988).  
489

490 Antibiotics may be used to avoid endogenous or exogenous contamination, however they can  
491 either inhibit or stimulate explant growth and development with the direct causation not yet  
492 understood (Qin et al., 2011). A study analyzing the effects of three  $\beta$ -lactam antibiotics  
493 (cefotaxime, carbenicillin, and timentin) at different concentrations on carrot seedling protoplasts  
494 found that, while plating efficiencies decreased in all antibiotic concentrations higher than 100  
495 mg/L, cefotaxime and timentin in the range of 100-500 mg/L increased regeneration efficiency  
496 (Grzebelus and Skop, 2014). Timentin was used with *Hydrangea* leaf protoplasts to limit the  
497 endophytes and it was observed that in antibiotic-free medium, the protoplasts rebuilt the cell wall  
498 faster and divided earlier, but callus was only formed in medium with antibiotics (Kästner et al.,  
499 2017).  
500

501 The exact composition of complex organics, such as casein hydrolysate, casamino acids, coconut  
502 water, and yeast extract, is typically undefined and varies depending on the manufacturer/supplier  
503 and potentially the batch. However, the amino acids, hormones, vitamins, fatty acids,  
504 carbohydrates, and other growth supplements they provide may enhance growth and  
505 regeneration of plants (Bhatia, 2015). The addition of casein hydrolysate was initially shown to  
506 give a more consistent high rate of microcallus formation from tobacco (*Nicotiana tabacum*)  
507 protoplasts (Galun and Raveh, 1975), and is currently an addition to protoplast culture media  
508 regularly (Table 2).  
509

510 Polyamines can regulate plant growth and stress responses through many means, including  
511 increasing antioxidant activity and regulating oxidative stresses (Chen et al., 2019). In a  
512 comparison of the exogenous addition of the polyamines putrescine, spermidine, and spermine  
513 on sugar beet (*Beta vulgaris*) cell suspension-derived protoplasts, spermine resulted in the  
514 highest plating efficiency, likely due to its stronger inhibitory effect on ethylene production  
515 (Majewska-Sawka et al., 1997). Polyamines exogenously applied in different concentrations on  
516 cabbage hypocotyl protoplast culture obtained the highest frequency of shoot organogenesis from  
517 protoplasts treated with putrescine (Kielkowska and Adamus, 2021). However, the addition of  
518 putrescine had no effect on the culture or regeneration of Love-in-a-Mist (*Nigella damascena*)  
519 callus protoplasts (Klimek-Chodacka et al., 2020).  
520

521 Conditioned medium (spent liquid medium used for cell-suspension cultures that is filtered and  
522 subsequently used as a supplement for protoplast culture) may contain compounds that  
523 encourage growth and mitotic activity. Fresh conditioned medium from cell-suspension cultures  
524 significantly increased the plating efficiency in chrysanthemum leaf protoplast culture (Zhou et al.,  
525 2005).  
526

527 Phytosulfokine (PSK), specifically PSK- $\alpha$ , is a peptide that was originally detected secreted in  
528 conditioned medium, but was later found in whole plants (Yang et al., 1999). It was found to  
529 promote cell growth, enhance callus growth as well as adventitious root and bud formation, and

530 improve somatic embryogenesis in multiple species, and has also been shown to enhance  
531 protoplast regeneration in carrot (Maćkowska et al., 2014) and cabbage (Kiełkowska and  
532 Adamus, 2019). With carrot leaf protoplasts, application of PSK- $\alpha$  during the initial culture resulted  
533 in a four-fold increase in yield of regenerated plants (Maćkowska et al., 2014). PSK- $\alpha$  was shown  
534 to be both genotype- and dose-dependent and did not require a constant presence to maintain  
535 cell divisions in cabbage leaf protoplasts (Kiełkowska and Adamus, 2019). Not only was the PSK-  
536  $\alpha$  found to promote cell proliferation, but it also increased differentiation and organogenesis in five  
537 of the six cabbage accessions tested.

538  
539

### 540 3.2. Protoplast Culture Conditions

541

542 Protoplast culture conditions, such as the use of liquid or semi-solid medium, temperature and  
543 light, cell density, or the presence of nurse cultures, can have a significant effect on the division  
544 and microcallus formation potential of protoplasts.

545

546

#### 547 *3.2.1. Liquid vs Semi-solid Medium*

548

549 When it comes to determining the solidity of the media to use with protoplast culturing, there are  
550 multiple factors to consider, including imaging potential, media refreshing, toxin accumulation,  
551 and cell aggregation.

552

553 Liquid medium is the most straightforward to make since it requires no agar manipulation.  
554 However, it faces a multitude of challenges. With imaging, unless each cell is in a separate space,  
555 it is impossible to track the growth of an individual cell. There is also the potential for aggregation  
556 of cells to form a non-homogeneous callus, possibly resulting in chimerism of the regenerated  
557 plants. Aggregation can also cause a local accumulation of toxic substances released from dying  
558 cells that may inhibit the growth of neighboring cells (Deryckere et al., 2012).

559

560 To avoid cell agglutination, embedding the protoplasts in semi-solid medium can ensure physical  
561 separation of cells. The embedding medium will typically contain agar, agarose, or alginate as a  
562 solidifier. Alginate is favorable for heat-sensitive protoplasts because the gelling is induced by  
563 exposure to calcium ions rather than the need to heat the agar or agarose solutions above the  
564 melting point.

565

566 In a comparison between thin alginate layers and extra thin alginate films on carrot shoot  
567 protoplast culture, thin alginate layers resulted in nearly a 20% increase in plating efficiency in  
568 every accession tested (Maćkowska et al., 2014). Sterilizing the alginate solution through filter-  
569 sterilization was also found to give over a 10% increase in plating efficiency over autoclave-  
570 sterilization in several of the accessions used.

571

572 The amount of liquid medium surrounding alginate beads can affect the protoplast proliferation  
573 capability. In American elm (*Ulmus americana*) cell suspension-derived protoplast alginate bead  
574 culture, cultures that contained less than 2 mL or more than 3 mL of liquid medium failed to  
575 develop beyond the first cell division; whereas cultures that contained 2 or 3 mL of liquid medium  
576 continued to proliferate (Jones et al., 2015).

577

578

579 3.2.2. *Temperature and Light*

580

581 The temperature and light conditions used during protoplast culture vary widely (Table 2) and  
582 have both been shown to be of effect in regeneration success. Cabbage leaf protoplast cultures  
583 were greatly affected by light and temperature, with very few divisions occurring in cultures moved  
584 from dark at 25 °C to light at 23 °C after seven days of culture, compared to those kept in the dark  
585 conditions for all 15 days (Kaur et al., 2006). Using lettuce (*Lactuca saligna*) leaf protoplasts, dark  
586 culture led to sustained division while light bleached and killed the protoplasts in 3 days (Brown  
587 et al., 1987). However, Arabidopsis cotyledon protoplasts did not show a significant variation in in  
588 either the plating density or growth rates whether cultured in the light or dark (Dovzhenko et al.,  
589 2003).

590

591

592 3.2.3. *Cell Density*

593

594 The protoplast plating density can range from single cells up to a few million protoplasts per  
595 milliliter, but typically range from  $5 \times 10^4$  –  $1 \times 10^6$  protoplast/mL (Table 2). In a comparison of plating  
596 densities of petunia (*Petunia hybrida*) leaf protoplast culture,  $1 \times 10^6$  protoplasts/mL produced a  
597 significantly higher division frequency and number of calli than  $5 \times 10^4$  protoplasts/mL (Kang et  
598 al., 2020). However, the microcolony viability decreased with the plating density increasing to  $1.5$   
599  $\times 10^6$  protoplasts/mL, potentially due to high phenolics accumulation. Over-crowding the  
600 protoplasts can also result in a lower viability due to a lack of available nutrients (Kielkowska and  
601 Adamus, 2012). In contrast, a lower density may also be desired to track an individual protoplast  
602 after transformation or fusion (Bhojwani and Dantu, 2013). However, a lower protoplast density  
603 can be more costly and time consuming. Additionally, protoplasts can release growth factors  
604 which can stimulate mitotic division non-cell-autonomously. This is also the basis for nurse  
605 cultures.

606

607

608 3.2.4. *Nurse Cultures*

609

610 Nurse cultures are the culture of target protoplasts with additional actively dividing protoplasts or  
611 suspension cells, either from the same species (e.g. in crocus (*Crocus cancellatus*) embryogenic  
612 calli-derived protoplast culture (Karamian and Ebrahimzadeh, 2001)) or from another, often  
613 closely related species (e.g. in desert banana (*Musa paradisiaca*) embryonic cell suspension  
614 protoplast culture (Dai et al., 2010) and cauliflower (*Brassica oleracea* var. *botrytis*) hypocotyl  
615 protoplast culture (Sheng et al., 2011)). There are many nurse culture techniques, one example  
616 is feeder layer-cultures, which can be embedding the target protoplasts in agar layers with the  
617 nurse cells in a liquid surrounding the layers (Sheng et al., 2011), or the target protoplasts in liquid  
618 culture with the nurse cells embedded in agarose (Dai et al., 2010). Alginate bead cultures, which  
619 can be performed by embedding the target protoplasts in alginate beads and having the nurse  
620 cells in liquid medium (e.g. with rice (*Oryza sativa*) suspension culture protoplasts (Kyojzuka et  
621 al., 1987)). An alternate method for ensuring a separation of the nurse cells and the target  
622 protoplasts is using a nitrocellulose filter which allows growth factors, signaling molecules, and  
623 nutrients to pass through, but not cells (Dai et al., 2010).

624

625

626

627 **4. Plant Regeneration from Protoplast Culture**

628

629 4.1. Callus Formation

630

631 From microcalli, regeneration could come from organogenesis or embryogenesis.  
632 Organogenesis-oriented microcalli can be moved to a callus proliferation medium to increase the  
633 callus size, whereas embryogenesis-oriented microcalli can be moved to embryo formation  
634 medium; however, either could also proliferate callus or form embryos on the microcallus medium,  
635 depending on the genotype, source tissue, and medium composition.

636

637 Organogenesis typically relies on moving callus to a medium containing both a cytokinin and auxin  
638 or a shooting medium followed by a rooting medium. When it comes to the timeframe for  
639 regeneration, it is difficult to directly compare organogenesis and embryogenesis between  
640 different species and source tissue (Table 3). Intuitively, embryogenesis should take less time  
641 than organogenesis due to the extended time the callus needs to shoot and then root versus an  
642 embryo's ability to grow and differentiate both organs at the same time.

643

644 Embryogenic callus formation can be from somatic protoplasts (somatic embryogenesis) or from  
645 embryogenic callus-derived protoplasts (secondary embryogenesis). Embryogenesis relies on  
646 cells within the microcalli presenting embryogenic properties, *i.e.* isodiametric, cytoplasm-rich  
647 cells (Dai et al., 2010). The embryogenic microcalli can then proliferate into embryogenic callus  
648 or form embryos directly. Embryos that form from the (micro)callus can be moved to media for  
649 germination and plantlet maturation.

650

651

652 4.2. Rooting and Shooting Media

653

654 When it comes to regenerating plants from protoplast-derived callus, either embryogenic or  
655 somatic callus, the media composition can determine the efficiency of the regeneration. A majority  
656 of methods use solid MS media supplemented with auxin and cytokinin (Table 3). Typically,  
657 shooting is the primary goal with rooting coming shortly after, then planting in soil for maturation.  
658 It is generally easier to get roots from shoots than shoots from roots.

659

660 In cabbage leaf protoplast shoot regeneration, MS versus Gamborg B5 based media  
661 supplemented with PSK- $\alpha$  and with or without plant growth regulators was compared (Kiełkowska  
662 and Adamus, 2019). Microcolonies were freed from alginate layers and, after transferring to  
663 regeneration medium, the callus would turn green, remain white, or begin to brown. The browning  
664 callus was considered dead, the white callus grew slightly but did not form shoots, and the green  
665 callus led to shoot regeneration roughly 4-6 weeks after transfer. It was found that the highest  
666 shoot regeneration came from callus placed on MS media with PSK- $\alpha$  and without PGRs across  
667 a majority of the genotypes tested.

668

669 When determining the effect of cytokinin on shoot induction from guava (*Psidium guajava*) leaf  
670 protoplast-derived callus, BAP and kinetin concentrations were investigated (Rezazadeh and  
671 Niedz, 2015). Concentrations of 7.1  $\mu$ M BAP and 11.15  $\mu$ M kinetin were optimal for shoot  
672 production; a higher concentration did not significantly increase the number of shoots. It was also  
673 found that changing the kinetin level was more effective than BAP.

674

675 Some methods involve the addition of other supplements to the regeneration medium to assist  
676 the callus growth and differentiation. Activated charcoal is a common addition, with its ability to  
677 prevent browning of callus by adsorbing growth inhibitors (Prange et al., 2010a; Masani et al.,  
678 2013). Masani et al. (2013) also examined the effects of ascorbic acid to reduce discoloration and

679 promote embryogenesis. They found that ascorbic acid increased the number of embryogenic  
680 calli which subsequently improved the regeneration efficiency of oil palm embryogenic cell  
681 suspension-derived protoplasts.

682  
683

#### 684 4.3. Somaclonal Variation

685

686 Somaclonal variation is the genetic or phenotypic variation that occurs in plants from tissue  
687 culture. A phenotypic change can be explained by either a genetic or epigenetic modification.  
688 Somaclonal variation can influence the fertility of the regenerant as well as the potential for  
689 changing the ploidy level, which is crucial for breeding.

690

691 Somaclonal variation is a potential occurrence in protoplast regeneration that can reveal itself in  
692 morphological or ploidy variation (Grzebelus et al., 2012; Tomiczak et al., 2015; Prange et al.,  
693 2010b; Barceló et al., 2019; Sheng et al., 2011). In strawberry (*Fragaria ananassa*), morphological  
694 differences between the control and regenerated protocloned plants were observed (including plant size  
695 and leaflets per leaf) that were not explained by ploidy level changes but rather genetic variation  
696 detected by microsatellite markers (Barceló et al., 2019). Prange et al. (2010b) and Tomiczak et  
697 al. (2015) both collected regenerated plants that were tetraploid from protoplasts that were initially  
698 diploid. In *Cyclamen coum*, it was observed that a single callus would give rise to both tetraploid  
699 and diploid regenerants which was reasoned could be a result from either the chromosomes  
700 doubling during callus culture or an error in separation of callus during culturing (Prange et al.,  
701 2010b). With *Gentiana decumbens*, there was no morphological difference in the regenerants,  
702 besides wider leaf blades (Tomiczak et al., 2015), yet 100% of the regenerated plants were  
703 tetraploid.

704

705 When considering the culture method's role in this somaclonal variation, one hypothesis is that if  
706 genome duplication occurred during protoplast culturing, it is most likely due to the possibility that  
707 tetraploid protoplasts divide faster than diploid protoplasts, as shown in tobacco (*Nicotiana*  
708 *plumbaginifolia*) (Magnien et al., 1982) and rapeseed (*Brassica napus*) (Magnien et al., 1982;  
709 Chen et al., 1994). If the polyploidization occurred during callus formation, the hypothesis is  
710 endoreduplication (amplification of DNA without mitosis) of callus cells, shown previously in pea  
711 (*Pisum sativum*) (Ochatt et al., 2000) and barrelclover (*Medicago truncatula*) (Elmaghrabi and  
712 Ochatt, 2006), and would explain this increase of DNA content. It has also been shown that plant  
713 growth regulators typically added to protoplast culture media have an effect on endoreduplication  
714 frequency in sugar beet (*Beta vulgaris*) (Lukaszewska et al., 2012).

715

716 Time in tissue culture increases chances of somaclonal variation. Isolating protoplast from plant  
717 tissue may therefore be favorable over isolating from callus tissue in order to avoid somaclonal  
718 variation due to the additional *in vitro* step that is required to obtain callus. This additional step  
719 has the potential to introduce genetic variation and effect the protoplast regeneration efficiency.

720

721 While somaclonal variation is undesirable in commercial crop production, it does have the benefit  
722 of creating phenotypic variability with a large number of regenerants that can be obtained through  
723 protoplast regeneration. This gives the potential for the identification of mutations that could be  
724 beneficial for a variety of uses, such as biotic resistance (Grzebelus et al., 2013), abiotic  
725 resistance (Kielkowska et al., 2019), or create a desirable ornamental property.

726

727

## 728 **5. Protoplast Transformation**

729

730 Electroporation as a method for protoplast transformation is not as popular as PEG-mediated  
731 transformation. With electroporation, there are more factors to consider that potentially have  
732 effects on transfection efficiency and cell survival: pulse voltage, pulse length, pulse number, cell  
733 number, DNA concentration, and electroporation buffer composition (Lee et al., 2020). However,  
734 when optimized, electroporation can be very efficient. Lee et al. (2020) found that when  
735 electroporation transformation was optimized for cabbage protoplasts, the transformation  
736 efficiency was nearly double that of PEG-mediated delivery, although both transformation rates  
737 were low (3.4% and 1.8%, respectively). Wójcik and Rybczyński (2015) studied the effect of  
738 electroporation the culture of embryogenic cell suspension-derived protoplasts from gentian  
739 (*Gentiana kurroo*). A high electric field voltage over 1 kV/cm significantly decreased protoplast  
740 survival and division. A single pulse had nine-fold higher protoplast viability than two pulses.  
741 Comparing the effect of length of the electric pulse on protoplast viability, it was found that 5 ms  
742 completely killed the protoplasts and 40  $\mu$ s was too long and resulted in no division of the  
743 protoplast. A 20  $\mu$ s pulse had the highest protoplast viability and division, 70% and 44.5%  
744 respectively. Significantly higher protoplast viability was obtained with an electroporation buffer  
745 with KCl, higher MgCl<sub>2</sub> and pH, and lower MES (Wójcik and Rybczyński, 2015).

746

747 The more common PEG-mediated transformation requires less materials than electroporation but  
748 does require chemicals that could potentially damage the protoplasts. The main factors to  
749 consider with regards to transformation efficiency and cell survival are PEG concentration,  
750 transfection time, DNA concentration, and cell number (which has previously been shown to  
751 influence the results (Burris et al., 2016)). Transformation with PEG can reach a high  
752 transformation rate, such as 90% in petunia leaf protoplasts (Subburaj et al., 2016) and 80% in  
753 both wheat leaf protoplasts and rice sheath protoplasts (Shan et al., 2013). Although, a high  
754 transformation rate does not translate to a large number of transformed regenerants. For  
755 example, petunia leaf protoplasts transiently transformed with PEG for CRISPR/Cas9 ribonuclear  
756 protein multiplexing of two genes had a 55% transfection efficiency, but only 8 of the 67  
757 regenerated plants (11.9%) had indel mutations (Yu et al., 2020). PEG-mediated transformation  
758 of potato (*Solanum tuberosum*) leaf protoplasts resulted in more callus formation when treated  
759 with 12.5% PEG than 20% PEG; however, even the 12.5% PEG treatment resulted in a ten-fold  
760 decrease in callus formation compared to the untreated control (Craig et al., 2005).

761

762

## 763 **6. Outlooks and Obstacles**

764

765 In our opinion, the use of protoplast regeneration in NPBT has a promising future. It has been  
766 used in numerous applications of gene-editing for crop trait improvement; e.g. the knock-out of  
767 the BRASSINOSTEROID INSENSITIVE 2 (BIN2) gene in lettuce (*Lactuca sativa*) (Woo et al.,  
768 2015) and the granule bound starch synthase (GBSS) gene in potato (Andersson et al., 2018) or  
769 the oligo-directed mutagenesis of the 5-enolpyruvylshikimate-3-phosphate synthase (EPSPS)  
770 gene in flax (*Linum usitatissimum*) (Sauer et al., 2016). We expect to see many more examples  
771 of its successful application in the coming years.

772

773 Nonetheless, there are obstacles that need to be addressed in order to overcome some of the  
774 challenges associated with protoplast regeneration. It is a process that demands specialized  
775 tissue culture expertise, requires complex manipulation, and can be time-consuming. Overall,  
776 current methods for protoplast regeneration are very genotype-specific and need to be made  
777 more universal for increased applicability and success.

778  
779 One potential approach for making protoplast regeneration universally available is to gain  
780 fundamental knowledge of the transcriptional regulation of the regeneration process via  
781 transcriptomic analysis. While transcriptomic analysis of protoplast culture (e.g. in moss  
782 (*Physcomitrella patens*) protonema protoplasts for the initial 72 hours of culture (Xiao et al., 2012))  
783 has previously been investigated, there is a lack of and difficulty in knowing the transcriptional  
784 activity of solely protoplasts destined for regeneration. Single-cell transcriptome profiling has been  
785 demonstrated (Shulze et al., 2019), but the question remains on how to differentiate between  
786 protoplasts with regeneration capability and the larger, doomed protoplast population. Additional  
787 challenges arise when taking the cell-type composition of the source organ as well as the  
788 genotype into account.

789  
790 Another process that can potentially improve universal application of protoplast regeneration  
791 technologies is through ectopic expression of embryogenic or morphogenic factors. Theoretically,  
792 if an ample number of protoplasts can directly develop into embryos, the regeneration frequency  
793 would multiply, resulting in a large number of regenerated plantlets. The direct development of  
794 protoplasts into embryos could also decrease the time in tissue culture, reducing the potential of  
795 somaclonal variation. The embryogenic or morphogenic transcription factors would need to be  
796 transiently expressed in order to avoid any developmental effects that constitutive expression may  
797 cause (e.g. ectopic expression of BABY BOOM causing embryogenic growth on vegetative tissue  
798 (Boutilier et al., 2002)). Identification of appropriate embryogenic or morphogenic transcription  
799 factors, which could function individually or as a collective, as well as the timing of expression  
800 would need to be investigated. Recently, a study using *Arabidopsis* mesophyll protoplasts showed  
801 that timed transcriptional activation of auxin biosynthesis can significantly enhance regeneration  
802 success (Sakamoto et al., 2021). It will be interesting to see whether this approach is applicable  
803 to divergent species.

804  
805

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