

# Enforcing Interoperability with the Open Archives Initiative Repository Explorer

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# Overview of the OAI

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- ★ What is the Open Archives Initiative?
- ★ What is the Metadata Harvesting Protocol?
- ★ What is an Open Archive?
- ★ History of the OAI
- ★ Development of the OAI Protocol
- ★ Brief description of the OAI Protocol



# Repository Explorer

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- ❖ Technical Testing Tool
- ❖ Simulates use of an archive (aka a Repository) by a typical service provider
- ❖ Allows browsing of an archive's contents using only the OAI protocol (aka Exploring)
- ❖ Checks syntax and encoding of data
- ❖ Interactive and Non-interactive modes of testing

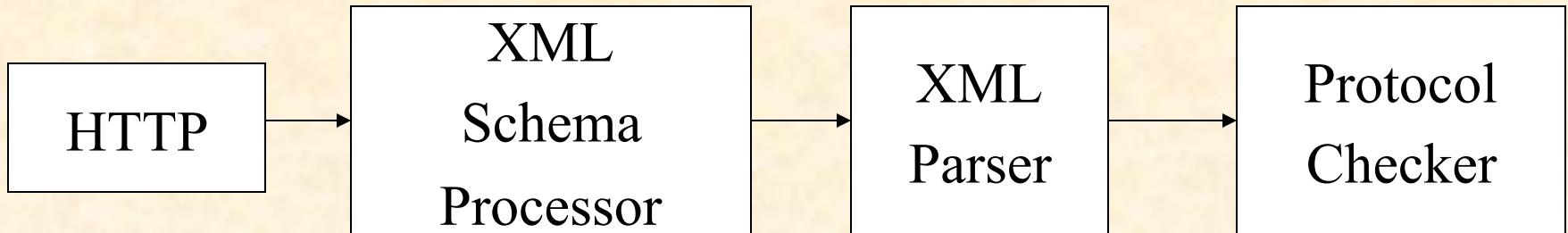


# Motivation

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- ★ Chicken-and-egg problem with protocol
- ★ Lack of service providers
  - ◆ Need for “stub” routines
- ★ Ambiguity in interpretation of protocol
  - ◆ Need for validation of implementations
- ★ Data quality assurances

# Validation Procedure



- ★ HTTP – check for server errors
- ★ Schema – check for conformance to XML Schema Description
- ★ Parser – check for validity of XML structure and encoding
- ★ Protocol – check protocol-specific requirements



# Interactive Browsing

 **Open Archives Initiative - Repository Explorer**

*explorer version - 1.1 : protocol version - 1.0 : April 2001*

This site presents an interface to interactively test archives for compliance with the OAI Protocol for Metadata Harvesting [ [Click here for details](#) ]

JavaScript is required and Netscape Navigator is recommended

Note: To avoid HTTP errors, please wait for each page to finish loading before clicking on any link.

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Please enter the URL to the OAI interface (everything before the ?) or choose a predefined archive from the table

American Memory [LoC]  
Digital Cultural Heritage Community [UIUC]  
Perseus [Open Language Archives]  
RDN [Resource Discovery Network]

[ [View Archive Website](#) ] [ [Test and Add an archive to this list](#) ]



# Parameter Testing

Verbs	Parameters	
<a href="#">Identify</a> <a href="#">List Metadata Formats</a> <a href="#">List Sets</a> <a href="#">List Identifiers</a> <a href="#">List Records</a> <a href="#">Get Record</a>	from (YYYY-MM-DD) : <input type="text"/> until (YYYY-MM-DD) : <input type="text"/> <a href="#">metadataPrefix</a> : <input type="text"/> identifier : <input type="text"/> set : <input type="text"/> resumptionToken : <input type="text"/>	
Language	Display	Schema Validation
English <input type="button" value="▼"/>	<input checked="" type="radio"/> Parsed <input type="radio"/> Raw XML <input type="radio"/> Both	<input type="radio"/> None <input checked="" type="radio"/> Local mirror of schemata <input type="radio"/> Online schemata

[home](#) Send all comments to [hussein@vt.edu](mailto:hussein@vt.edu) --- [Digital Libraries Research Laboratory@Virginia Tech](mailto:Digital Libraries Research Laboratory@Virginia Tech)



# Browsing

## Archive Self-Description

Repository Name	Virginia Tech Electronic Thesis and Dissertation Collection
Base URL	<a href="http://scholar.lib.vt.edu:80/theses/OAI/cgi-bin/VTETD.pl">http://scholar.lib.vt.edu:80/theses/OAI/cgi-bin/VTETD.pl</a>
Protocol Version	1.0
Admin Email	<a href="mailto:webmaster@scholar.lib.vt.edu">mailto:webmaster@scholar.lib.vt.edu</a>
Other Information	<pre>description:     oai-identifier:         scheme: oai         repositoryIdentifier: VTETD         delimiter: :         sampleIdentifier: oai:VTETD:etd-171110282975860 description:     eprints:         content:             text: Theses and Dissertations produced by students at Virginia metadataPolicy:     text: Metadata may be used by commercial and non-commercial user dataPolicy:     text: Full texts are individually tagged and the rights statemer</pre>



# Browsing

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## Open Archives Initiative - Repository Explorer

*explorer version - 1.1 : protocol version - 1.0 : April 2001*

<http://scholar.lib.vt.edu/theses/OAI/cgi-bin/VTETD.pl?verb=ListSets>

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### List of Sets

*Click on the link to list the contents*

[All theses and dissertations](#)

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**Request URL :** <http://scholar.lib.vt.edu:80/theses/OAI/cgi-bin/VTETD.pl?verb=ListSets>  
**Response Date :** 2001-06-14T20:27:00-05:00

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Verbs	Parameters
	from (YYYY-MM-DD) : <input type="text"/>



# Browsing

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## Open Archives Initiative - Repository Explorer

*explorer version - 1.1 : protocol version - 1.0 : April 2001*

<http://scholar.lib.vt.edu/theses/OAI/cgi-bin/VTETD.pl?verb>ListIdentifiers&set>All>

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### List of Record Identifiers

*Select a link to view more information*

[oai:VTETD:etd-3345131939761081 \[display record in Dublin Core\] \[display metadata formats\]](#)  
[oai:VTETD:etd-171110282975860 \[display record in Dublin Core\] \[display metadata formats\]](#)  
[oai:VTETD:etd-05012000-14030054 \[display record in Dublin Core\] \[display metadata formats\]](#)  
[oai:VTETD:etd-3621112139711101 \[display record in Dublin Core\] \[display metadata formats\]](#)  
[oai:VTETD:etd-133422039701091 \[display record in Dublin Core\] \[display metadata formats\]](#)  
[oai:VTETD:etd-23281533974920 \[display record in Dublin Core\] \[display metadata formats\]](#)  
[oai:VTETD:etd-123322282975860 \[display record in Dublin Core\] \[display metadata formats\]](#)  
[oai:VTETD:etd-255314202974780 \[display record in Dublin Core\] \[display metadata formats\]](#)  
[oai:VTETD:etd-335713312971890 \[display record in Dublin Core\] \[display metadata formats\]](#)  
[oai:VTETD:etd-104722369631841 \[display record in Dublin Core\] \[display metadata formats\]](#)



# Browsing

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**Open Archives Initiative - Repository  
Explorer**

*explorer version - 1.1 : protocol version - 1.0 : April 2001*

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<http://scholar.lib.vt.edu/theses/OAI/cgi-bin/VTETD.pl?verb=ListMetadataFormats&identifier=oai:VTE>

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## List of Metadata Formats

*Click on the link to view schema*

Prefix=[oai\_rfc1807]  
NameSpace=[<http://info.internet.isi.edu:80/in-notes/rfc/files/rfc1807.txt>]  
Schema=[<http://oai.dlib.vt.edu/OAI/rfc1807.xsd>]  
  
[\[display record\]](#)

Prefix=[oai\_marc]  
NameSpace=[[http://www.openarchives.org/OAI/oai\\_marc](http://www.openarchives.org/OAI/oai_marc)]  
Schema=[[http://oai.dlib.vt.edu/OAI/oai\\_marc.xsd](http://oai.dlib.vt.edu/OAI/oai_marc.xsd)]



# Browsing

[http://scholar.lib.vt.edu/theses/OAI/cgi-bin/VTETD.pl?verb=GetRecord&metadataPrefix=oai\\_etdms&id=oai%3Avtetd%3A3345131939761081](http://scholar.lib.vt.edu/theses/OAI/cgi-bin/VTETD.pl?verb=GetRecord&metadataPrefix=oai_etdms&id=oai%3Avtetd%3A3345131939761081)

## List of Fields

**header:**

```
identifier : oai:VTETD:etd-3345131939761081
datestamp : 1997-03-31
```

**metadata:****thesis:**

```
title: Conceptual Development and Empirical Testing of an Outdoor Recreation Experience Spectrum
creator: Walker, Gordon James
publisher: Virginia Polytechnic Institute and State University
subject: outdoor recreation
subject: recreation experience preference scales
subject: recreation experience matrix
subject: recreation opportunity spectrum
```

```
description: This dissertation examines four issues, including: (a) whether outdoor recreation experience preference (REP) scales exist; (b) whether these experiences can be explained using a framework called the Recreation Experience Matrix (REM); (c) how well the Recreation Opportunity Spectrum (ROS) variables of activity, setting, and expertise explain the types of experiences outdoor recreationists receive; and (d) how well two new variables--primary mode and mode dependence--explain the types of experiences outdoor recreationists receive. In order to address these issues, a questionnaire was distributed at Mount Rogers National Recreation Area in Virginia during October and November, 1996. A total of 410 people completed this questionnaire. Of these, 336 provided useable addi-
```



# Multiple views of data

I-3345131939761081

	Raw XML Output
31939761081	<?xml version="1.0" encoding="UTF-8"?>  <ListSets xmlns="http://www.openarchives.org/OAI/1.0/OAI_ListSets" xmlns:  <responseDate>2001-06-14T20:32:33-05:00</responseDate> <requestURL>http://scholar.lib.vt.edu:80/theses/OAI/cgi-bin/VTETD.pl?ver  <set> <setSpec>All</setSpec> <setName>All theses and dissertations</setName> </set>  </ListSets>





# Multilingual Support

Open Archives Initiative - 推緝坪霜擬

霜擬 嘴齒 - 1.1 : ?? 嘴齒 - 1.0 : 2001爛血坡

掛桿莫咯哈躁蝠誑宅聆公都志Harvesting 坎均OAI ??紫偶腔賜醱 [ 剥剥載嗣跨滂 莫僻游爵 ]

剥剥莫厥JavaScript 膜祜公斯Netscape 霜擬

詔佛：咯旌轎 HTTP 滅吻， 脹善藩哈咩殂賦阡繖姪莫僻蟀諉

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怀 善OAI賜醱腔URL(?) 坪衄磽 ) 麼植蹈桶箇恁蹇哈躁梧珂隅玲腔紫偶

American Memory [LoC]  
Digital Cultural Heritage Community [UIUC]  
Perseus [Open Language Archives]  
RDN [Resource Discovery Network]

[ 脢艘紫偶匣桴 ][ 聆公甜樓 哈躁紫偶善路桶箇 ]

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雄裸 統杆



# Automatic Test Suite

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**Open Archives Initiative - Repository Explorer**

*explorer version 1.1 : protocol version 1.0 : April 2001*

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```
Open Archives Initiative :: Metadata Harvesting Protocolv1.0
Protocol Tester v1.1 :: Virginia Tech DLRL :: April 2001

Testing : Identify
URL : http://oai.dlib.vt.edu/~hussein/cgi-bin/NDLTD/VTETD.pl?verb=Identify
Test Result : OK
---- [ Repository Name = Virginia Tech Electronic Thesis and Dissertation Collection ]
---- [ Protocol Version = 1.0 ]
---- [ Base URL = http://oai.dlib.vt.edu:80/~hussein/cgi-bin/NDLTD/VTETD.pl ]
---- [ Admin Email = mailto:hussein@vt.edu ]

Testing : ListMetadataFormats
URL : http://oai.dlib.vt.edu/~hussein/cgi-bin/NDLTD/VTETD.pl?verb=ListMetadataFormats
Test Result : OK
---- [ Sample Metadata Format = oai_rfc1807 ]

Testing : ListSets
URL : http://oai.dlib.vt.edu/~hussein/cgi-bin/NDLTD/VTETD.pl?verb=ListSets
Test Result : OK
---- [ Sample Set Name = all ]
```



# Error in Response

## Archive Self-Description

<b>Repository Name</b>	Virginia Tech Electronic Thesis and Dissertation Collection
<b>Base URL</b>	<a href="http://oai.dlib.vt.edu:80/~hussein/cgi-bin/NDLTDErr1/VTETD.pl">http://oai.dlib.vt.edu:80/~hussein/cgi-bin/NDLTDErr1/VTETD.pl</a>
<b>Protocol Version</b>	1.0
Error: Missing field : <Identify>/<adminEmail>	
<b>Other Information</b>	<pre>description:     oai-identifier:         scheme: oai         repositoryIdentifier: VTETD         delimiter: :         sampleIdentifier: oai:VTETD:etd-171110282975860 description:     eprints:         content:             text: Theses and Dissertations produced by students at Virginia         metadataPolicy:             text: Metadata may be used by commercial and non-commercial user         dataPolicy:             text: Full texts are individually tagged and the rights statement             is contained within the full text document.         identifier:             scheme: oai             identifier: oai:vtetd:etd-171110282975860         type:             text: Thesis or Dissertation         date:             text: 2001-02-28         creator:             text: Virginia Tech Electronic Thesis and Dissertation Collection         title:             text: Virginia Tech Electronic Thesis and Dissertation Collection         language:             text: English         publisher:             text: Virginia Tech         contributor:             text: Virginia Tech         subject:             text: Theses and Dissertations         identifier:             scheme: oai             identifier: oai:vtetd:etd-171110282975860         type:             text: Thesis or Dissertation         date:             text: 2001-02-28         creator:             text: Virginia Tech Electronic Thesis and Dissertation Collection         title:             text: Virginia Tech Electronic Thesis and Dissertation Collection         language:             text: English         publisher:             text: Virginia Tech         contributor:             text: Virginia Tech         subject:             text: Theses and Dissertations</pre>



# Error in XML

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 EXPLORER

*explorer version - 1.1 : protocol version - 1.0 : April 2001*

<http://oai.dlib.vt.edu/~hussein/cgi-bin/NDLTDErr1/VTETD.pl?verb=Identify>

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**XSD Schema/Instance Validation Error !**

Errors in XML instance

```
<?xml version='1.0'?>
<xsv docElt='(http://www.openarchives.org/OAI/1.0/OAI_Identify) Identify' instanceAsses
<importAttempt URI='http://oai.dlib.vt.edu/OAI/1.0/OAI_Identify.xsd' namespace='http://
<importAttempt URI='http://oai.dlib.vt.edu/oai-identifier.xsd' namespace='http://
<importAttempt URI='http://oai.dlib.vt.edu/OAI/eprints.xsd' namespace='http://www.open
<invalid char='4' code='cvc-complex-type.1.2.4' line='11' resource='file:///tmp/file2V
<fsm>
<node id='1'>
<edge dest='2' label='(http://www.openarchives.org/OAI/1.0/OAI_Identify):responseDate'
</node>
<node id='2'>
<edge dest='3' label='(http://www.openarchives.org/OAI/1.0/OAI_Identify):requestURL'/>
</node>
<node id='3'>
```

# Conclusions and Future Work

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- ★ Compliance testing is a critical part of protocol development and support in interoperability efforts
  
- ★ Support future versions of OAI protocol
- ★ Generalize to other protocols



That's All Folks !