

Article

# Automated Mapping of Typical Cropland Strips in the North China Plain Using Small Unmanned Aircraft Systems (sUAS) Photogrammetry

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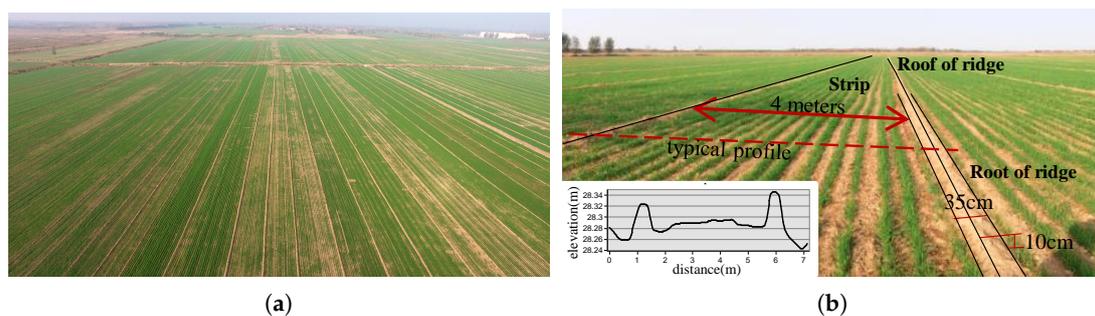
**Abstract:** Accurate mapping of agricultural fields is needed for many purposes, including irrigation decisions and cadastral management. This paper is concerned with the automated mapping of cropland strips that are common in the North China Plain. These strips are commonly 3–8 m in width and 50–300 m in length, and are separated by small ridges that assist with irrigation. Conventional surveying methods are labor-intensive and time-consuming for this application, and only limited performance is possible with very high resolution satellite images. Small Unmanned Aircraft System (sUAS) images could provide an alternative approach to ridge detection and strip mapping. This paper presents a novel method for detecting cropland strips, utilizing centimeter spatial resolution imagery captured by sUAS flying at low altitude (60 m). Using digital surface models (DSM) and ortho-rectified imagery from sUAS data, this method extracts candidate ridge locations by surface roughness segmentation in combination with geometric constraints. This method then exploits vegetation removal and morphological operations to refine candidate ridge elements, leading to polyline-based representations of cropland strip boundaries. This procedure has been tested using sUAS data from four typical cropland plots located approximately 60 km west of Jinan, China. The plots contained early winter wheat. The results indicated an ability to detect ridges with comparatively high recall and precision (96.8% and 95.4%, respectively). Cropland strips were extracted with over 98.9% agreement relative to ground truth, with kappa coefficients over 97.4%. To our knowledge, this method is the first to attempt cropland strip mapping using centimeter spatial resolution sUAS images. These results have demonstrated that sUAS mapping is a viable approach for data collection to assist in agricultural land management in the North China Plain.

**Keywords:** automated extraction; ridge detection; strip mapping; small unmanned aircraft systems (sUAS); surface roughness; North China Plain

## 1. Introduction

Cropland strips are long and narrow agricultural parcels that are common in parts of China and India [1]. In the North China Plain (NCP), these strips are typically 3–8 m in width and 50–300 m in length [2]. As shown in Figure 1, cropland strips are typically separated by ridges that mark ownership or management boundaries and aid in irrigation [3]. The ridges are commonly 30–40 cm in width and 10–20 cm in height. These dimensions aid in efficient use of water during flood irrigation, which has been adopted for more than 90% of cropland in this region [4]. Water is electronically pumped from a nearby well or ditch, and transported to cropland strips by hoses. The elongated shapes of the cropland strips, combined with raised ridges between the strips, guide the flow of irrigation water very efficiently.

Widespread use of cropland strips in the NCP is a result of policies that were instituted in China in 1979 to stimulate agricultural productivity [5]. Now, there is a strong need to develop maps of cropland strips to guide irrigation decisions and to support cadastral management. One reason for this need is the dramatic shift in population from rural to urban locations. According to the World Bank (<https://data.worldbank.org/>), the ratio of urban population in China has increased from 17.9% in 1979 to 56.7% in 2016. Another reason for obtaining maps is the relatively low amount of arable land per capita in China: 0.086 ha per capita in 2016, as compared to 0.471 ha per capita in the United States.



**Figure 1.** Typical cropland landscape of (a) aerial view and (b) field photo of actual strips and ridges.

Sustainable agriculture requires field-specific information to support management decisions, including irrigation planning [6] and fertilization management [7]. Obtaining such information is still a challenge in regions that are dominated by small agricultural parcels. Current mapping methods primarily rely on field surveying and manual digitization using traditional instruments, which is time-consuming. Satellite imagery is constrained by its relative inability to support the identification of small parcels at the desired level of resolution. The emergence of low-altitude small unmanned aircraft system (sUAS) imaging technology offers great potential for mapping and assessing small agricultural parcels.

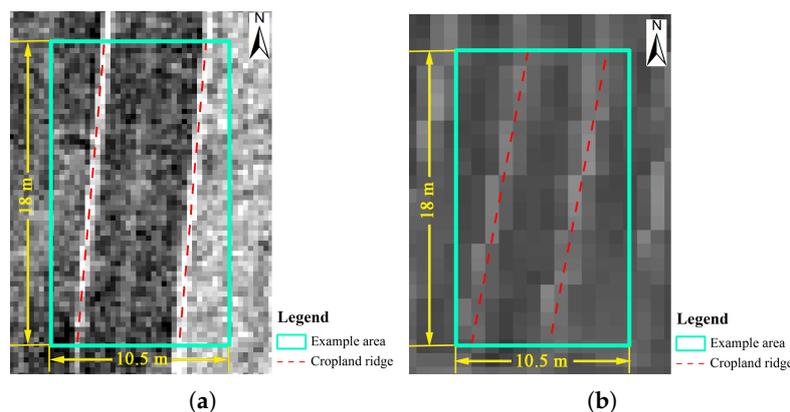
The objective of this paper is to present an automated method for mapping typical cropland strips in the NCP using sUAS photogrammetry. Specifically, this study has investigated the degree to which cropland ridges and strips in the NCP can be identified from centimeter ground sampling distance (GSD) images acquired by a sUAS. An accuracy assessment is presented, and the results are compared with manual measurements. The proposed automated method focuses on detecting small ridge candidates, and linking them automatically to identify enclosed cropland strips. To the best of our knowledge, this is the first research on the topic of cropland strip mapping using centimeter-spatial-resolution sUAS images.

## 2. Background

Cropland strip mapping is essential to strip-specific management and cadastral mapping of farmland in the NCP. A cropland strip is a fundamental cultivated unit with an exclusive right of land

use. Each cropland strip has a couple of adjacent ridges as its boundary. Therefore, ridge detection is particularly important to strip mapping. Moreover, cropland ridges have an elongated structure and obvious elevation differences with their surroundings. The linear nature of cropland ridges is similar to roads, which are easily recognized in images. Thus, road detection methods have strong potential to be used in identifying ridges.

Coarse-scale cropland mapping has been studied using multi-temporal satellite images, such as MODIS [8], Landsat [9,10] and Sentinel [11]. Fine-scale mapping could be supported by different types of earth observation, such as ground-based observation, spaceborne imaging, or airborne imaging [12]. Fine-scale cropland mapping is often done using manual digitization subsequent to field surveys using traditional surveying equipment, such as total stations, real-time kinematic global positioning systems (RTK-GPS), etc. These methods tend to be labor-intensive, time-consuming, and subjective. Adverse weather, such as rainfall or snow, will also extend the surveying period. Although very high resolution (VHR) satellite images have many agricultural applications, they have been used to only limited effect in identifying such small ridges and strips (see Figure 2). Currently, the highest resolution of commercial satellite images is the 0.31 m of WorldView-3, which still does not enable accurate detection of cropland ridges. Moreover, civil satellites acquiring VHR images generally have a long revisit cycle with relatively narrow swaths. Imaging ability is easily blocked on rainy or cloudy days. It is easy to miss the best image acquisition period for identifying ridges. Taken together, it is difficult to precisely extract farm parcels using VHR images. Piloted airborne imaging could provide decimeter GSD products [13], but requires proximate air strips and cumbersome administrative procedures. As such, the non-UAS methods mentioned above have clear limitations for this use case.



**Figure 2.** Limited performance of satellite panchromatic image in cropland ridge identification: (a) WorldView-3 (0.31 m), (b) GF-2 (0.81 m). The bigger the digital number, the brighter the image pixel.

Mapping using sUAS photogrammetry has been implemented in many fields in the past few years. The rapid development of UAV technology, coupled with lightweight centimeter spatial resolution sensors, is enabling the acquisition of extremely high resolution images with flexible acquisition times. For example, the DJI Phantom 4 Pro (Shenzhen, China) carrying a 20.48-million-pixel optical camera is one of the most popular drone-sensor combinations in recent studies [14]. Robust and accessible algorithms have also been continuously improved to provide high-quality image products, including orthophotos, digital surface models (DSMs), and 3D point clouds.

Comparative accuracy has been demonstrated between digital elevation models (DEM) derived by structure from motion (SfM) algorithms and terrestrial laser scanning (TLS) in regions of complicated topography at decimeter-scale vertical accuracy [15,16]. Many studies have illustrated the potential for extracting small objects and detecting subtle spatial heterogeneity. These include applications such as cadastral mapping [17], plant density counts [18], tobacco plant detection [19], vine canopy segmentation [20], landslide scarp recognition [21], water stress detection [22], soil erosion

quantification [23], and characterization of gravel size distributions [24]. Millimeter spatial resolution aerial images were collected to evaluate pavement distress conditions [25]. sUAS imaging technology has thus become an important earth observation technology that is accessible to general users. It also poses great challenges to image processing, analysis, and applications because of large data volumes and immature or poorly applied approaches [26].

Based on the literature review, previous studies on cropland mapping using sUAS images are lacking, and this presents a research gap. Centimeter GSD sUAS images could provide an alternative approach to ridge identification and strip mapping. This study focuses on the utility of centimeter GSD images obtained from a sUAS for automated, fine-scale cropland strip mapping on the NCP.

### 3. Methodology

The method is presented in four parts: data preparation (Section 3.1), ridge detection (Section 3.2) and strip mapping (Section 3.3), followed by accuracy assessment (Section 3.4). The pipeline of the method is displayed as Figure 3. The details of each step are presented in the following subsections.

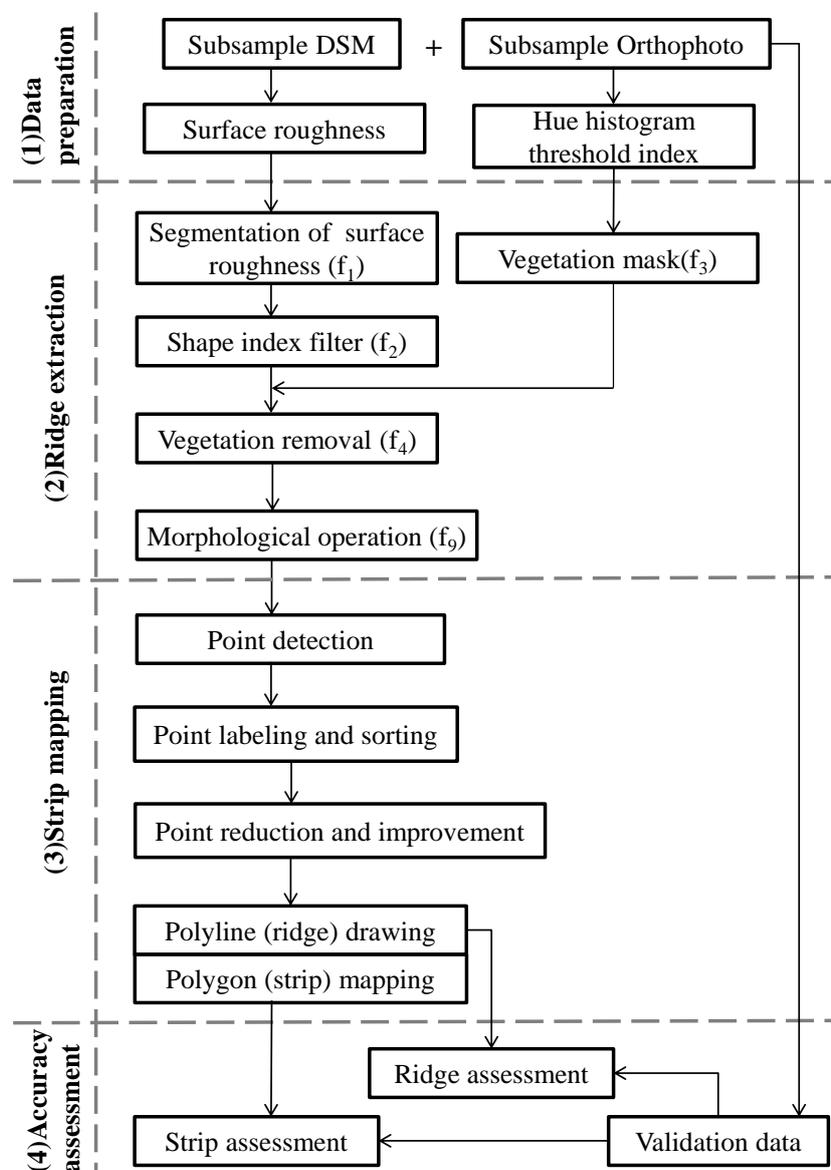
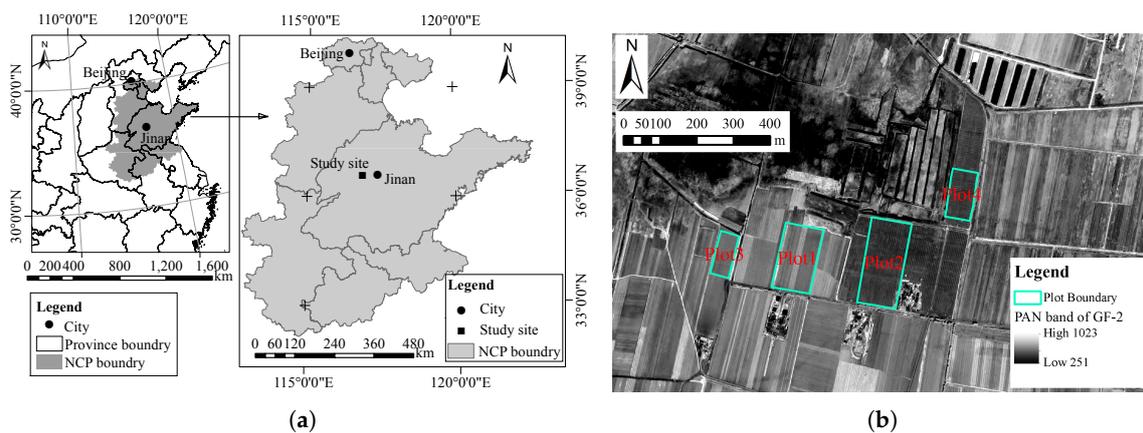


Figure 3. Pipeline of the methods used in this study.

### 3.1. Study Site and Dataset Preparation

#### 3.1.1. Site Description

The site is located in the west of Jinan, Shandong Province ( $112^{\circ}45'0''$ – $122^{\circ}48'0''$ E,  $32^{\circ}0'0''$ – $40^{\circ}24'0''$ N). This region of the NCP has four distinctive seasons and a typical temperate monsoon climate, and is an important agricultural zone in China (Figure 4). It is about 44,000 km<sup>2</sup> and 50 m above sea level on average. The NCP is an alluvial plain developed by the intermittent flooding of the Huang-Huai-Hai rivers, and cultivated farmland accounts for 85% of the area [27]. The main cropping system is winter wheat and summer corn [28]. The NCP produces more than 75% and 32% of Chinese wheat and corn, respectively [29].



**Figure 4.** (a) location of North China Plain (NCP) and study site; (b) location of four plots with a 32-bit panchromatic image from the GF-2 satellite (spatial resolution: 0.83 m) as background.

#### 3.1.2. Small UAS and Image Acquisition

A sUAS kit was deployed during data acquisition in the field. A DJI Matrice 100 with a consumer-grade digital camera (the Zenmuse X3) mounted on a three-axis gimbal was used. The main specifications are listed in Table 1 and detailed information can be found on the official website (<https://www.dji.com/>).

**Table 1.** Specifications of sUAS employed in this study.

No.	UAV Specification	UAV Parameter	Digital Camera Specification	Digital Camera Parameter
1	Diagonal wheelbase	650 mm	Focal length	3.64 mm
2	Maximum takeoff weight	3.6 kg	Weight of camera and gimbal	247 g
3	Maximum payload	1.0 kg	Sensor size	6.17 × 4.55 mm
4	Maximum AGL	500 m	Effective pixels	12.4 megapixel
5	Hovering accuracy (P-mode with GPS)	Vertical: 0.5 m, Horizontal: 2.5 m	Diagonal field of view	94°
6	Capacity of battery (TB48D)	5700 mAh	Pixel size	1.55 μm
7	Hovering time (with TB48D battery)	No payload: 28 min, 500 g payload: 20 min, 1 kg payload: 16 min	Sensor type	complementary metal-oxide-semiconductor (CMOS)

The sUAS data were acquired at the early growing stage of winter wheat (November, 2016). The altitudes above ground level (AGL) of flights were set at 60 m, 100 m and 150 m (see Table 2). The flight trajectory was designed in advance with a front overlap of 80% and a side overlap of 60%. The camera captured an image vertically every three seconds. The camera was set to use shutter speed priority and auto adjustment of ISO applied gains. Sequential images were stored in Joint Photographic

Experts Group (JPEG) format on a memory card. UAV-embedded global navigation satellite system (GNSS) and inertial measurement unit (IMU) equipment provided position and attitude information with relatively low precision [14].

To achieve accurate georeferenced results after 3D construction, 13 ground control points (GCPs) were distributed as evenly as possible on the site and marked as crosses 10 cm wide and 1 m long using lime. The central coordinate of each GCP was obtained using a GNSS receiver (South Survey GALAXY G1; real-time kinematics surveying with a typical accuracy of 0.008 m + 1 ppm horizontally and 0.015 m + 1 ppm vertically). The study site required multiple flights because of the flight time on each battery charge (about 25 min).

**Table 2.** Summary of the sUAS flight parameters for the study site.

Flight Mission	AGL (m)	Date of Flight	Overlap (Front × Side)	Number of GCPs	Resolution (cm)
Mission 1	60	1 November 2016	80% × 60%	13	2.5
Mission 2	100	2 November 2016	80% × 60%	13	4.2
Mission 3	150	2 November 2016	80% × 60%	13	6.5

### 3.1.3. Dataset Preparation

Pix4D mapper (3.0.17, Pix4D, Lausanne, Switzerland) was used to process the sequential images with surveyed GCPs to obtain the DSMs and georeferenced orthophotos. The specific steps and processing parameters can be found in Table A1 of Appendix A.

The first dataset consisted of four plots on which winter wheat was being grown. This dataset was used to develop the automated strip mapping method, and were selected for features such as ridge length, crop coverage, and topographic gradient. These specific parameters and detailed statistics can be seen in Figure 5 and Table 3.

**Table 3.** Specific parameters of four plots.

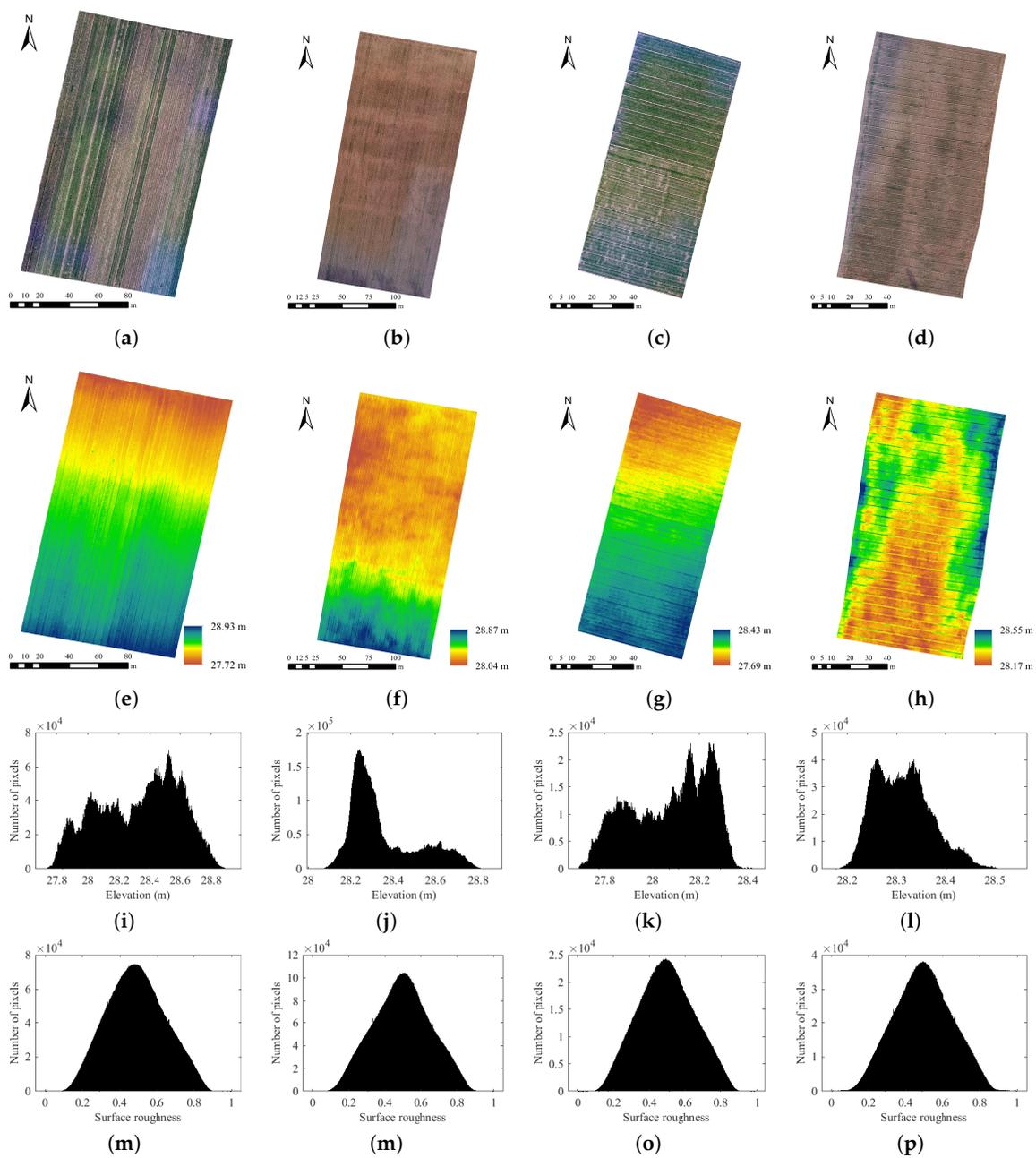
Plot	Plot 1	Plot 2	Plot 3	Plot 4
area (m <sup>2</sup> )	17,466	24,743	6228	9447
number of cropland strips	18	22	18	27
ridge width (m)	0.33	0.41	0.36	0.39
strip, length × width range (m)	181.5 × (3.8–7.5)	237.8 × (4.5–5.3)	52.1 × (5.5–8.0)	72.3 × (4.7–5.0)
elevation, mean(min-max) (m)	28.33(27.72–28.93)	28.35(28.03–28.87)	28.07(27.69–28.43)	28.31(28.17–28.55)
gradient, mean(min-max)	2.797(0,39.103)	2.590(0,35.815)	2.639(0,28.540)	2.387(0,35.963)
surface roughness, mean(min-max)	0.490(0,1)	0.495(0,1)	0.494(0,1)	0.495(0,1)
crop coverage condition	partly	scarcely	partly	scarcely

The second dataset was selected to explore the effects of spatial resolution on strip mapping. The first four plots were resampled into 10 different spatial resolutions ranging from 3 to 12 cm using nearest neighbor sampling, resulting in 40 test images.

The third dataset was prepared as ancillary data to verify the extracted accuracy using the second dataset. It includes the orthophotos and DSMs produced by sUAS images at altitudes of 100 m and 150 m AGL.

### 3.1.4. Validation Data Collection

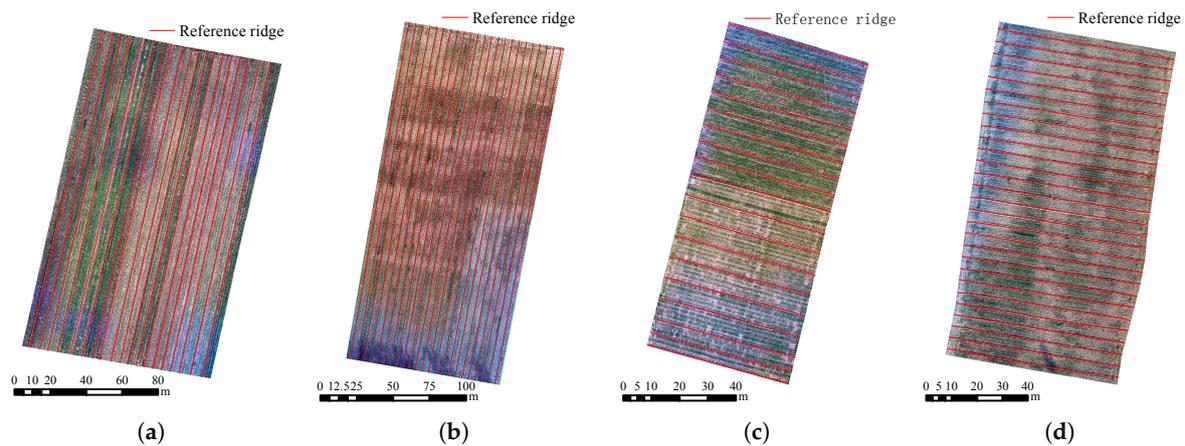
VHR orthophotos enable visual discrimination of inter-strip ridges. Validation data were acquired using heads-up digitizing in geographic information system (GIS) software. All ridges were visually identified as accurately as possible as polylines and then the strip outlines were made by connecting adjacent polylines in each plot. These spatial reference data were used for accuracy assessment, and are shown in Figure 6.



**Figure 5.** Plot images of orthophoto for Plot 1 (a), Plot 2 (b), Plot 3 (c), Plot 4 (d), DSM for Plot 1 (e), Plot 2 (f), Plot 3 (g), Plot 4 (h), elevation histogram for Plot 1 (i), Plot 2 (j), Plot 3 (k), Plot 4 (l), and surface roughness histogram for Plot 1 (m), Plot 2 (n), Plot 3 (o), Plot 4 (p).

### 3.2. Ridge Detection

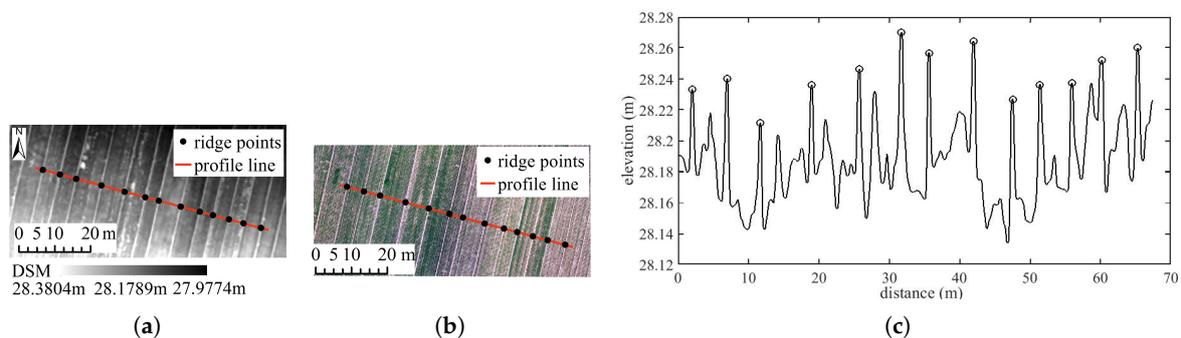
Four steps were conducted to detect cropland ridges, including initial extraction using threshold segmentation of surface roughness (Section 3.2.1), ridge filtering using shape index (Section 3.2.2), ridge cleaning by removing impacts of vegetation coverage (Section 3.2.3), and ridge smoothing using morphological operation (Section 3.2.4).



**Figure 6.** Validation ridges obtained by manual digitization for Plot 1 (a), Plot 2 (b), Plot 3 (c), Plot 4 (d).

### 3.2.1. Initial Extraction Using Threshold Segmentation of Surface Roughness

Elevation profiles of cropland in the plain area have regular peaks along with fluctuating ridges (see Figure 7). The internal strip is relatively flat and the edges of the strip are rougher. Surface roughness, reflecting the irregularity of a topographic surface [30], is comparatively larger for the ridges than for the crop area between two adjacent ridges. This characteristic allows automated ridge detection from VHR sUAS imagery. As illustrated in Figure 5m–p, the surface roughness of cropland has a Gaussian distribution in the plain area. It has advantages over ground elevation or slope.

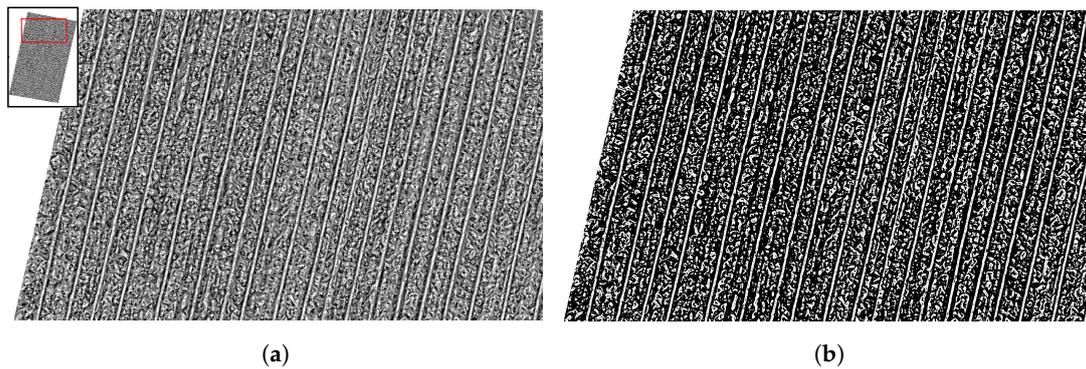


**Figure 7.** Typical profile of DSM after processing sUAS images and field photo of actual strips and ridges. (a) typical DSM data and example profile line; (b) typical orthophoto data and example profile line; (c) typical DSM profile with black circles marking ridge peaks.

Surface roughness is obtained by calculating DSM deviations using a moving rectangle window. The window size is set as the ridge width (0.35 m). In order to automated segmentation, the threshold of surface roughness is determined as the mean of roughness and half of standard deviation. Ridge binarization (Figure 8b) by this threshold keeps each ridge continuous and its edge smoother. The result of the binary image is named as  $f_1$ :

$$f_1 = \begin{cases} 1, & f(x,y) \geq T_1, \text{ridges} \\ 0, & f(x,y) < T_1, \text{non-ridges} \end{cases} \quad (1)$$

where  $f(x,y)$  is the binary value at pixel  $(x,y)$ , and  $T_1$  is the determined threshold.



**Figure 8.** Binary image before (a) and after (b) threshold segmentation. Partial location is displayed in the left upper corner in Figure 8a. Ridge candidates are valued as 1 in white and non-ridge candidates are valued as 0 in black.

### 3.2.2. Ridge Filtering Using Shape Index

Pixel shape index (PSI) [31] is introduced to depict the spatial information around the central pixel. The PSIs are calculated from a binary image of surface roughness. Four PSIs (Table 4) are used as the filter to refine ridges from  $f_1$ , including area, perimeter of minimum enclosing rectangle (MER), major axis length, and area of MER. Image holes are filled to remove the noise by searching connectivity of an eight-connected neighborhood. The results of each step can be seen in Figure 9:

$$\begin{cases} S_1 = S_0 > \text{mean}(\text{shape area of } S_0) \\ S_2 = S_1 > \text{mean}(\text{MER perimeter of } S_1) \\ S_3 = S_2 > \text{mean}(\text{major axis length of } S_2) \\ S_4 = S_3 > \text{mean}(\text{MER area of } S_3) \end{cases} \quad (2)$$

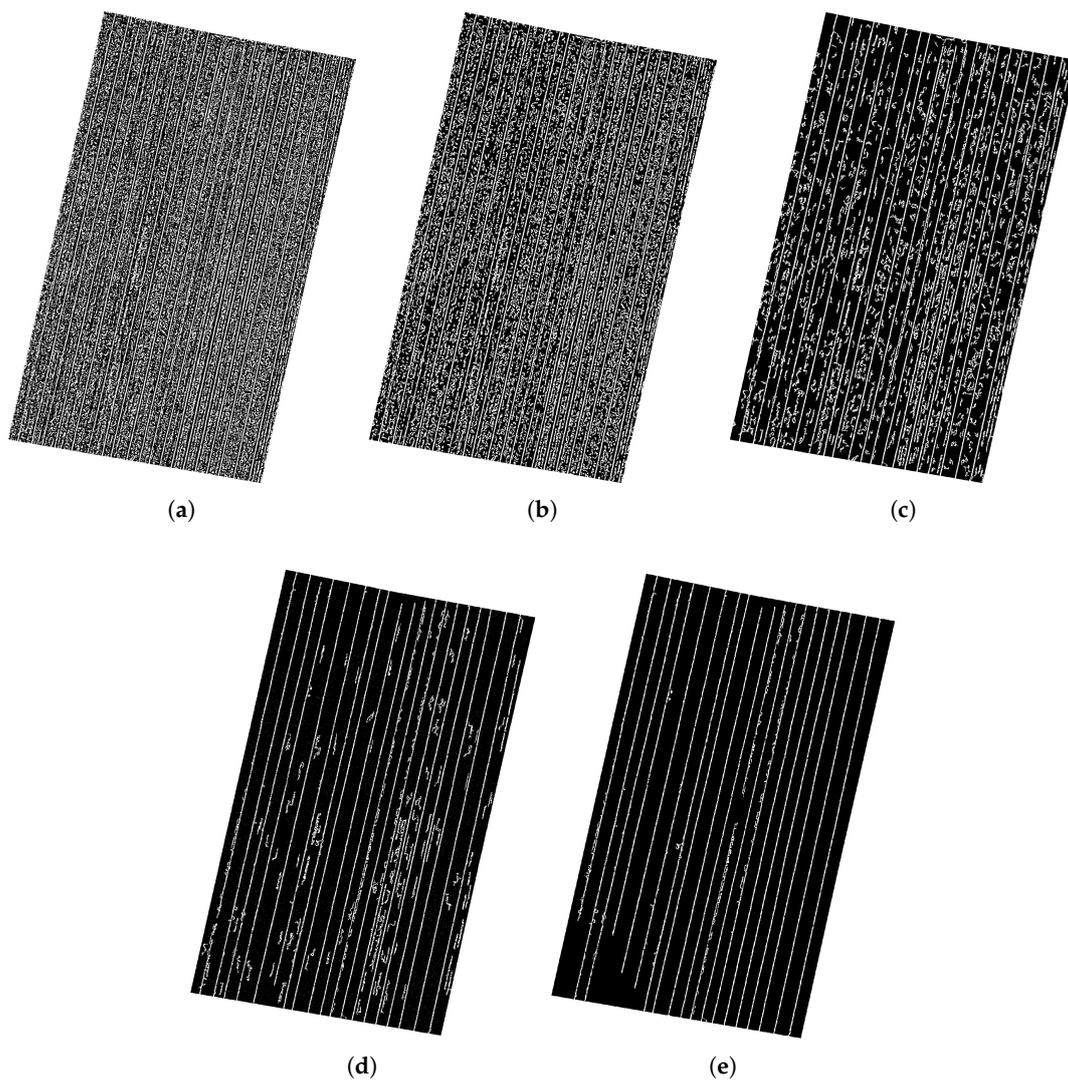
where  $S_0$  indicates binary images after threshold segmentation of surface roughness,  $S_1$  indicates binary images after the first filtering,  $S_2$  indicates binary images after the second filtering,  $S_3$  indicates binary images after the third filtering, and  $S_4$  indicates binary images after the fourth filtering:

$$f_2 = \begin{cases} 1, & S_4 \text{ after filtering, ridges} \\ 0, & \text{otherwise, non-ridges} \end{cases} \quad (3)$$

where  $f_2$  is the outcome from ridge filtering using the four shape indexes.

**Table 4.** Details of pixel shape indexes (PSIs).

Name	Unit	Concept
Area	pixel <sup>2</sup>	Actual number of pixels in the region
Area of MER	pixel <sup>2</sup>	Area of smallest rectangle containing the region
Perimeter of MER	pixel	Perimeter of smallest rectangle containing the region
Major axis length	pixel	Length of the major axis of the ellipse with the same normalized second central moments as the objective region
Minor axis length	pixel	Length of the minor axis of the ellipse with the same normalized second central moments as the objective region
Orientation	degree	Angle between the $x$ -axis and the major axis of the ellipse that has the same second-moments as the region



**Figure 9.** Extracted results using the shape index filter. Ridge candidates are valued as 1 in white and non-ridge candidates are valued as 0 in black. (a) binary image ( $f_1$ ) as  $S_0$  segmented from surface roughness of Plot 1, (b) result ( $S_1$ ) after the first filtering using mean area of  $S_0$ , (c) result ( $S_2$ ) after the second filtering using mean MER perimeter of  $S_1$ , (d) result ( $S_3$ ) after the third filtering using mean major axis length of  $S_2$ , (e) result ( $S_4$ ) after the fourth filtering using MER area of  $S_3$ .

### 3.2.3. Ridge Cleaning by Removing Impacts of Vegetation Coverage

Vegetation prevents precise ridge detection. Removing vegetation allows a better ridge delineation in Figure 10b than the rough border in Figure 10a. Fortunately, an orthophoto is obtained from sUAS photogrammetry, which can be used to mask the vegetation coverage in an image. Vegetation segmentation mainly focuses on determining a segmentation threshold using a statistical histogram of an image color space characteristic or vegetation index [32].

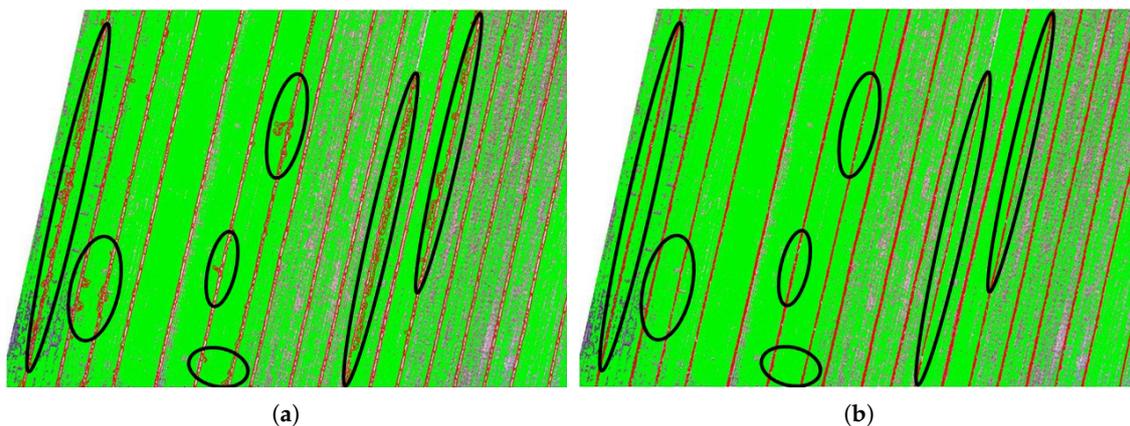
The segmentation method is adopted from [33] for the same camera employed as this paper, as it had better performance than vegetation index segmentation using a global threshold [34]. The Hue histogram is extracted after converting the color space of the image from red-green-blue (RGB) to hue-saturation-value (HSV). Next, the threshold is detected from the fitted graph of the Hue Gaussian based on the filtered Hue histogram. Finally, the binary image is created using the detected threshold. The binary image of the vegetation mask ( $f_3$ ) is divided into vegetation (value 0) and non-vegetation (value 1).

$$f_3 = \begin{cases} 1, & f(x, y) \leq T_2, \text{non-vegetation} \\ 0, & f(x, y) > T_2, \text{vegetation} \end{cases} \quad (4)$$

where  $f(x, y)$  is a binary value at pixel  $(x, y)$ , and  $T_2$  is equal to 0.

The result after the vegetation filter ( $f_4$ ) is obtained via a point operation, pixel-specific multiplication between the ridge candidates ( $f_2$ ) and the vegetation mask ( $f_3$ ). The result can be found in Figure 10:

$$f_4 = f_2 \cdot f_3 \quad (5)$$



**Figure 10.** Ridge extraction before (a) and after (b) removing vegetation impacts in partial Plot 1. Overlaying images are the ridge candidates (red lines) and vegetation coverage (green). Significantly improved ridges are marked with black ellipses.

### 3.2.4. Ridge Smoothing Using Morphological Operation

To refine the delineation of cropland ridges, morphological operations are conducted by selecting adequate structural elements (SE) [35], including image opening using multi-directional structuring elements (MDSE), pixel area filtering to remove tiny objects, image closing using structuring element of line (SEL), and image thinning.

Image opening employs image dilation after erosion, which can diminish local small blocks of bright regions. MDSE ( $g_1$ ), with appropriate values for direction and length, allows for excluding the pixels associated with main ridges. Branches with only four directions and a small window have demonstrated a favorable balance [36] between computational efficiency and precision. SE of  $g_1$  is constructed as the following equation:

$$g_1(x_i, y_i) = \begin{cases} y_i = x_i \tan(\alpha_i), x_i = 0, \pm 1, \dots \\ \quad \pm \frac{(L-1)\cos(\alpha_i)}{2}, \text{ if } |\alpha_i| \leq 45^\circ \\ x_i = y_i \cot(\alpha_i), y_i = 0, \pm 1, \dots \\ \quad \pm \frac{(L-1)\sin(\alpha_i)}{2}, \text{ if } 45^\circ < |\alpha_i| \leq 90^\circ \end{cases} \quad (6)$$

where  $g_1(x_i, y_i)$  is the pixel value of  $g_1$  at pixel  $(x_i, y_i)$ ,  $\alpha_i$  is the  $i$ -th directional angle, interval of  $i$  ranges from  $-90^\circ$  to  $90^\circ$ , and  $L$  denotes length of the window size of the structuring element. The angle was set to  $45^\circ$  and  $L$  to one ridge width (13 pixels for the original image resolution of 2.5 cm):

$$f_5 = f_4 \circ g_1 = (f_4 \ominus g_1) \oplus g_1 \quad (7)$$

where  $g_1$  denotes a multi-directional structuring element.

Small artifacts were removed by filtering by object area:

$$f_6 = \begin{cases} 1, & f_5 \geq T_3, \text{ridges} \\ 0, & f_5 < T_3, \text{non-ridges} \end{cases} \quad (8)$$

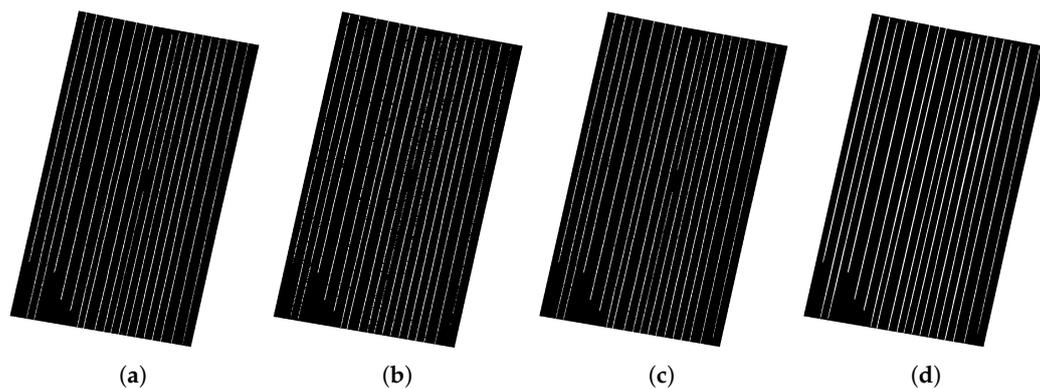
where  $T_3$  is the filtering threshold of the object area, set as 1000 pixels.

Image closing uses image erosion after dilation. SEL ( $g_2$ ) with a proper slope and length is adopted to connect patches in each ridge. In order to automate operation, the median slope was used to avoid the effect of outliers, and the length was set to 0.5 times pixel number of the major axis length acquired from ridges in each plot:

$$f_7 = f_6 \cdot g_2 = (f_6 \oplus g_2) \ominus g_2 \quad (9)$$

where  $g_2$  denotes structuring element of line.

The thinning algorithm mentioned in [37] is adopted in which the iterative thinning algorithm generates the skeleton of objects by iteratively checking and removing the contour pixels in a sequential means. The skeleton of detected ridges is achieved as a binary image ( $f_8$ ). The results of each step can be seen in Figure 11.



**Figure 11.** Final results using morphological operation. Ridge candidates are valued as 1 in white and non-ridge candidates are valued as 0 in black. (a) binary image ( $f_4$ ) after removing vegetation coverage of Plot 1, (b) result ( $f_5$ ) using image opening with MDSE, (c) result ( $f_6$ ) after removing small regions with threshold: 1000 pixels, (d) result ( $f_7$ ) using image closing with SEL.

The parameters of cropland ridge detection are summarized in Table 5.

**Table 5.** Parameter summary of cropland ridge detection.

Step	Substep or Index	Threshold or Method	Section
Ridge segmentation	surface roughness	>mean and half of standard deviation	Section 3.2.1
Ridge filtering	shape area	>mean	Section 3.2.2
	MER perimeter	>mean	Section 3.2.2
	major axis length	>mean	Section 3.2.2
	MER area	>mean	Section 3.2.2
Ridge cleaning	vegetation segmentation	detected value from Hue histogram	Section 3.2.3
	ridge mask	image operation	Section 3.2.3
Ridge smoothing	image opening	MDSE with angle $45^\circ$ and length 13 pixels	Section 3.2.4
	pixel area filtering	>1000 pixels	Section 3.2.4
	image closing	SEL with half of major axis length	Section 3.2.4
	image thinning	infinite	Section 3.2.4

### 3.3. Cropland Strip Mapping

Each cropland strip is bounded by two adjacent ridges and is thus just a polygon with two polyline boundaries. Therefore, the point set of each ridge is first detected (Section 3.3.1) and labeled (Section 3.3.2), then ridges are drawn by connecting points detected one by one after point improvement (Section 3.3.3). Finally, strips are mapped by connecting adjacent ridges (Section 3.3.4).

#### 3.3.1. Point Detection Using Hough Transform

The Hough Transform (HT) can be used to detect linear objects, such as cropland ridges. HT is the classical method to detect straight lines [38], and then was improved to employ polar coordinate space [39]. HT can also be used to detect curves or other shapes [40]. HT transforms the shape from image coordinate space into Hough parameter space. Every straight line in the spatial domain has a corresponding point in Hough space, and the converse situation is also true. Generally, the HT requires three steps: (1) defining a parameter space of HT, (2) voting and identifying peaks in parameter space, and (3) extracting line segments using intersection points ( $\rho$ - $\theta$ ) in HT space [41].

Detected angle ( $\theta$ ) and HT spacing along the angle axis ( $\rho$ ) are the key parameters in HT space. The line angle to be detected is set as the median angle of ridge candidates with a range of  $3^\circ$ , which is a good tradeoff between computational efficiency and the resulting accuracy. Spacing ( $\rho$ ) represents the distance from the origin to the closest point on the line, which is set as 0.25. Then, the parameter space is constructed as a matrix.

Peaks are a crucial factor in parameter space and indicate extrema after accumulation. Every peak, as a curve intersection in HT space, has a corresponding line segment in image space. The number of peaks is 300 in this study. Finally, the peaks in HT space are transformed into line segments in coordinate space, and recorded as a table with several pairs of point pixel coordinates. In addition, point coordinates are then transformed from pixel space to projection space using the following equation. The detected result is shown in Figure 12a:

$$\begin{cases} x = x_0 + n_{px}r_0 \\ y = y_0 - n_{py}r_0 \end{cases} \quad (10)$$

where  $n_{px}$  and  $n_{py}$  denote pixel coordinates in the image,  $r_0$  denotes image resolution,  $x$  and  $y$  denote the corresponding projection coordinates, and  $x_0$  and  $y_0$  denote the projection coordinates of the image at the point of the left upper corner.

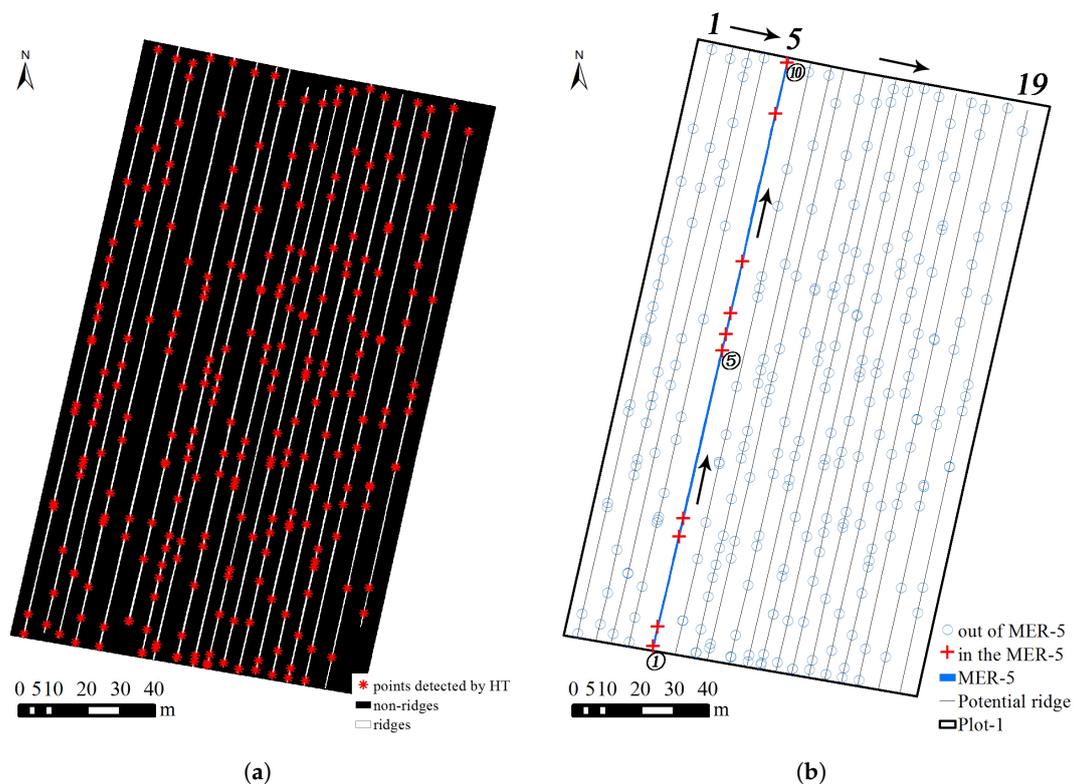
#### 3.3.2. Point Labeling and Sorting

Different point sets are labeled by their relation with the MER of each ridge, and sorted using their coordinates. This step can be seen in Figure 12b.

Coordinate rotation is performed around the centroid point to make the ridge direction from north to south using the following equation:

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} \quad (11)$$

where  $x$  and  $y$  denote the coordinates before transformation,  $x'$  and  $y'$  denote the coordinates after transformation, and  $\theta$  denotes the rotation angle.

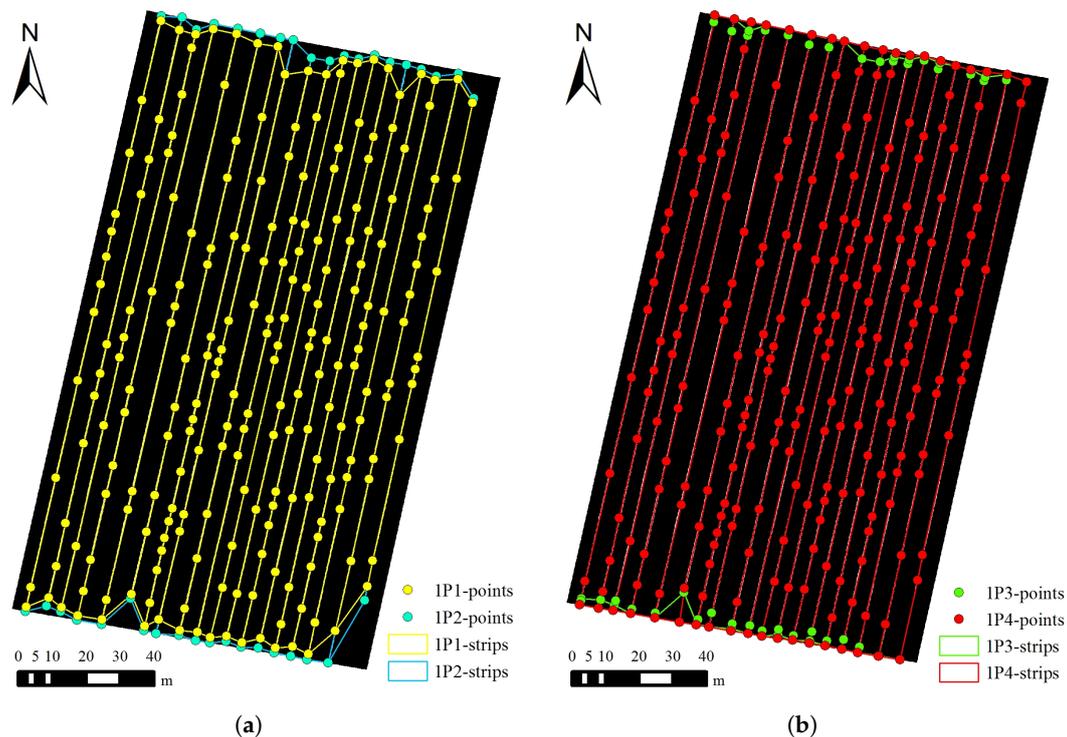


**Figure 12.** Point detection using the Hough Transform and point labeling and sorting. The binary image of the ridge candidates is the background. (a) result of point detection using Hough Transform for Plot 1; (b) point labeling and sorting.

### 3.3.3. Point Reduction and Improvement

Point reduction (named as P1) preserves ridge shape using fewer points considering Euclidean distance, normal angle, and curvature [42]. In this study, a ridge is a straight line consisting of many line segments. The tolerance distance between adjacent points is set as 3% of ridge length. Two adjacent points in given point set are iteratively replaced by their midpoint if the distance between them is smaller than the tolerance distance.

Point improvement includes three intermediate determinations, as follows: the central point of the MER minor axis (P2), the four corner points of each plot (P3), and the outlier of endpoints (P4). The coordinate rotation is conducted to compare these points. The endpoint coordinate is added using the central point of the corresponding MER minor axis if it is close to the centroid. For the top and bottom of a given plot (where ridges begin and end) the median of the ridge endpoints is calculated. Corner points (the endpoints of the outside ridges) are replaced by the median ridge endpoint for a given side. The outlier of endpoints is replaced by the intersection point between the corresponding ridge and the line segment composed by its two adjacent endpoints. The tolerance of endpoint outlier is the ridge width (0.35 m). Subsequently, the point closest to ridge endpoint at its side is removed if their distance is less than the threshold point reduction adopted (3% of ridge length). The result is shown in Figure 13.



**Figure 13.** Results of four steps after point reduction and improvement for Plot 1. The binary image of ridge candidates is the background. (a) overlaying results of point reduction (P1) and comparison with central points of MER minor axis borders (P2); (b) endpoint improvement for corner parts (P3) and other endpoints (P4).

### 3.3.4. Polyline (Ridge) Drawing and Polygon (Strip) Mapping

As discussed above, the point set generates in a simple and optimal order. Each polyline of the ridge is drawn by linking one point to another according to the sorted order and the label of the corresponding ridge. Finally, strips or polygons are finished by connecting adjacent ridges in the counterclockwise direction.

### 3.4. Accuracy Assessment

The automated method and manual digitization were compared at both the ridge- and strip-levels. The evaluation methods are based on those used with road detection [43] for ridges and cadastral standards for strips.

#### 3.4.1. Accuracy Assessment of Ridges

Ridge accuracy is assessed, like road detection, using completeness and correctness [43]. As is shown in Figure 1 of Heipke et al. (1997) [43], completeness is the proportion between the reference data and the extracted data lying around its buffer, which is also called *recall*. Correctness is the percentage correctly extracted from the total region of extracted objects, which is also called *precision*.

Considering the width range of ridges, we determine the buffer width (35 cm) with 17.5 cm on both sides. The buffer zone is generated from ridges both automatically and manually extracted, and an overlay analysis is implemented between buffer zones and extracted ridges. True positive (TP) is the situation if automatically extracted ridges are matched with the buffer of manually extracted ridges, otherwise it is false positive (FP). A false negative (FN) is when the reference data are not in

the buffer around the ridges extracted by the proposed method. Accuracy assessment equations are given below:

$$Recall = \frac{TP}{TP + FN} \quad (12)$$

$$Precision = \frac{TP}{TP + FP} \quad (13)$$

$$Length\ error\ ratio = \frac{L_1 - L_0}{L_0} \quad (14)$$

where  $TP$  denotes the total matched extracted data,  $FP$  denotes the total unmatched extracted data,  $FN$  denotes the total unmatched reference data,  $L_1$  is the extracted length of a single ridge, and  $L_0$  is the reference length of the corresponding ridge.

#### 3.4.2. Accuracy Assessment of Strips

Strip accuracy is estimated by comparing extracted polygons using the true polygon boundaries. Reference data were obtained by manual extraction of strips. Average extraction accuracy (AEA) and Kappa coefficient (KC) are used to assess strip accuracy [44]. AEA is computed by the average ratio of extracted strips using the proposed method to the corresponding reference data for each plot:

$$AEA = \frac{1}{n} \sum_{i=1}^n \frac{A_i}{Ar_i} \quad (15)$$

where  $n$  is the total number of strips,  $A_i$  is the extracted area of the  $i$ -th strip, and  $Ar_i$  is the reference area of the  $i$ -th strip.

KC is determined from the confusion matrix using the following equation:

$$KC = \frac{N \sum_{i=1}^n x_{ii} - \sum_{i=1}^n (x_{i+} x_{+i})}{N^2 - \sum_{i=1}^n (x_{i+} x_{+i})} \quad (16)$$

where  $n$  is the number of rows in the confusion matrix,  $x_{ii}$  is the number of observations in row  $i$  and column  $i$ ,  $x_{i+}$  and  $x_{+i}$  are the marginal totals of row  $i$  and column  $i$ , respectively, and  $N$  is the total number of observations.

## 4. Results

### 4.1. Improvement of Point Quality

Point reduction and improvement are important to improve extraction quality. Four steps were carried out to enhance the quality of ridge identification and strip extraction. The original dataset consists of the points detected by the Hough Transform (as P0). Recall and average extraction ratio were used to assess the accuracy of ridge and strip extraction, respectively. Point reduction and improvement improves the accuracy by which ridges are identified and thus, by extension, improves cropland strip area (Table 6).

### 4.2. Accuracy of Ridge Detection

Ridges were accurately identified, with length error ratios ranging from -1.24% to -0.3%. As seen from Table 7 and Figure 14, detected ridges are in good agreement with the corresponding manual extraction. Recall was over 96.8% and precision over 95.4% for all plots. Similar or lower accuracies were noted in similar cases of linear extraction, such as detection of roads, landslide scarps, and subway tunnel cracks. Road detection recalls across several recent studies are reported as 82% [45], 90% [46] and 93% [47], with precisions of 76% [45], 93% [46] and 95% [47]. Landslide scarp recognition using surface roughness index [21] had a recall of 66% and a precision of 88%. Classification accuracy of subway tunnel crack detection [48] was over 90%.

**Table 6.** Accuracy assessment of point reduction and improvement.

Plot and Step	Detected Points	Mean Length of Ridges (m)	Recall (%)	Total Area of Strips (m <sup>2</sup> )	AEA (%)
1P0	286	172.7	94.1	16,658	95.4
1P1	223	172.4	94.4	16,634	95.2
1P2	261	177.8	97.3	17,161	98.3
1P3	261	179.3	98.1	17,250	98.8
1P4	232	181.2	98.6	17,439	99.9
2P0	275	224.7	92.4	23,447	94.8
2P1	246	224.7	92.4	23,439	94.7
2P2	290	235.2	96.5	24,475	98.9
2P3	290	235.1	96.5	24,472	98.9
2P4	262	236.6	96.8	24,626	99.5
3P0	296	47.1	88.9	5565	90.2
3P1	92	39.9	76.2	4685	75.9
3P2	130	49.5	94.7	5889	95.5
3P3	130	49.8	95.4	5913	95.8
3P4	105	51.5	97.4	6106	99.0
4P0	292	64.7	91.5	8354	92.0
4P1	208	62.0	88.0	8031	88.5
4P2	264	67.6	95.3	8731	96.2
4P3	264	67.9	95.6	8748	96.4
4P4	222	69.6	97.1	8975	98.9

**Table 7.** Accuracy assessment of ridge detection.

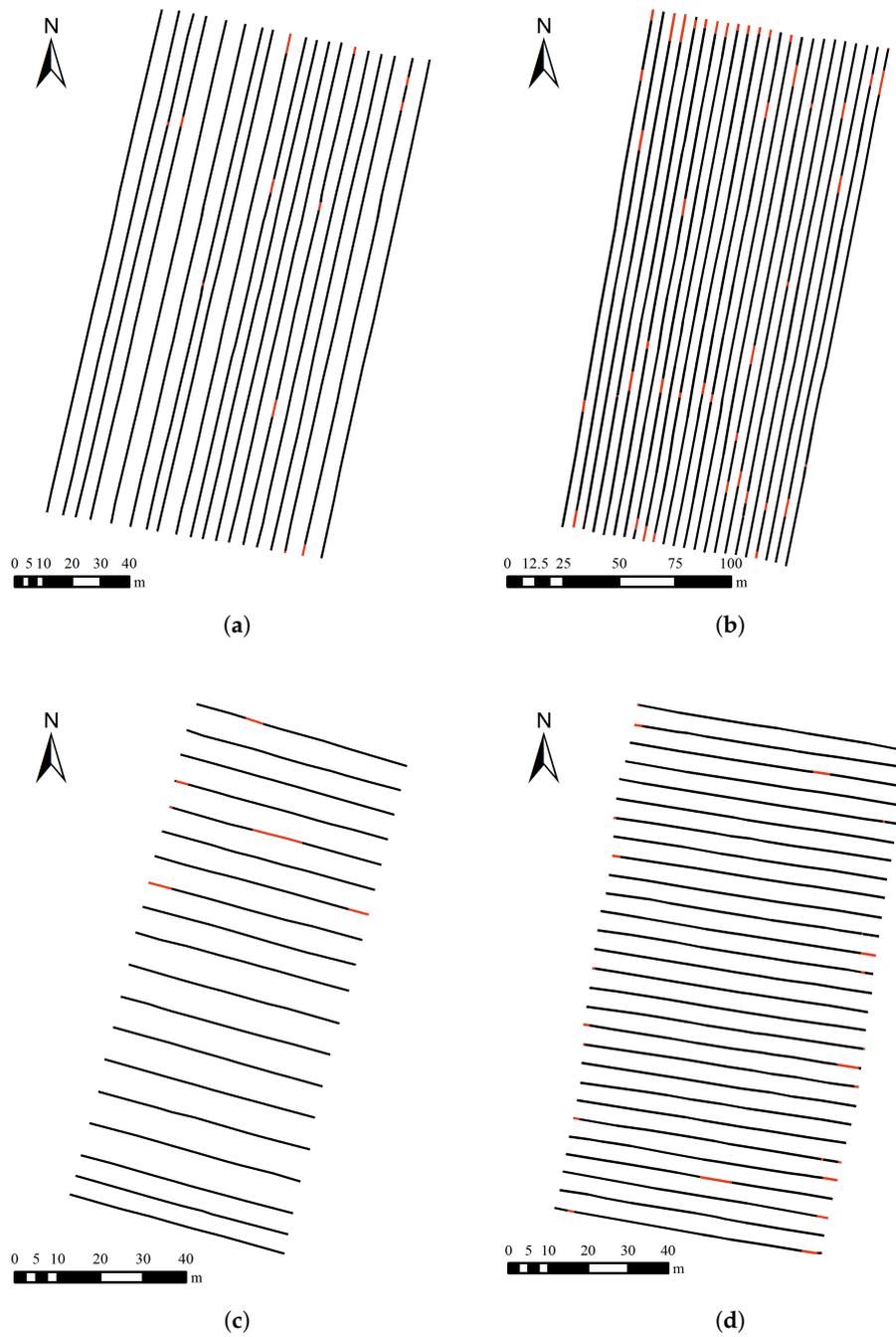
Plot	Average Extracted Length (m)	Average Actual Length (m)	Length Error (m)	Length Error Ratio (%)	Recall (%)	Precision (%)
1	181.2	181.5	−0.30	−0.16	98.6	98.8
2	236.6	237.8	−1.24	−0.52	96.8	95.4
3	51.5	52.0	−0.49	−0.94	97.9	96.9
4	69.4	70.3	−0.95	−1.35	97.1	97.5

#### 4.3. Performance of Strip Extraction

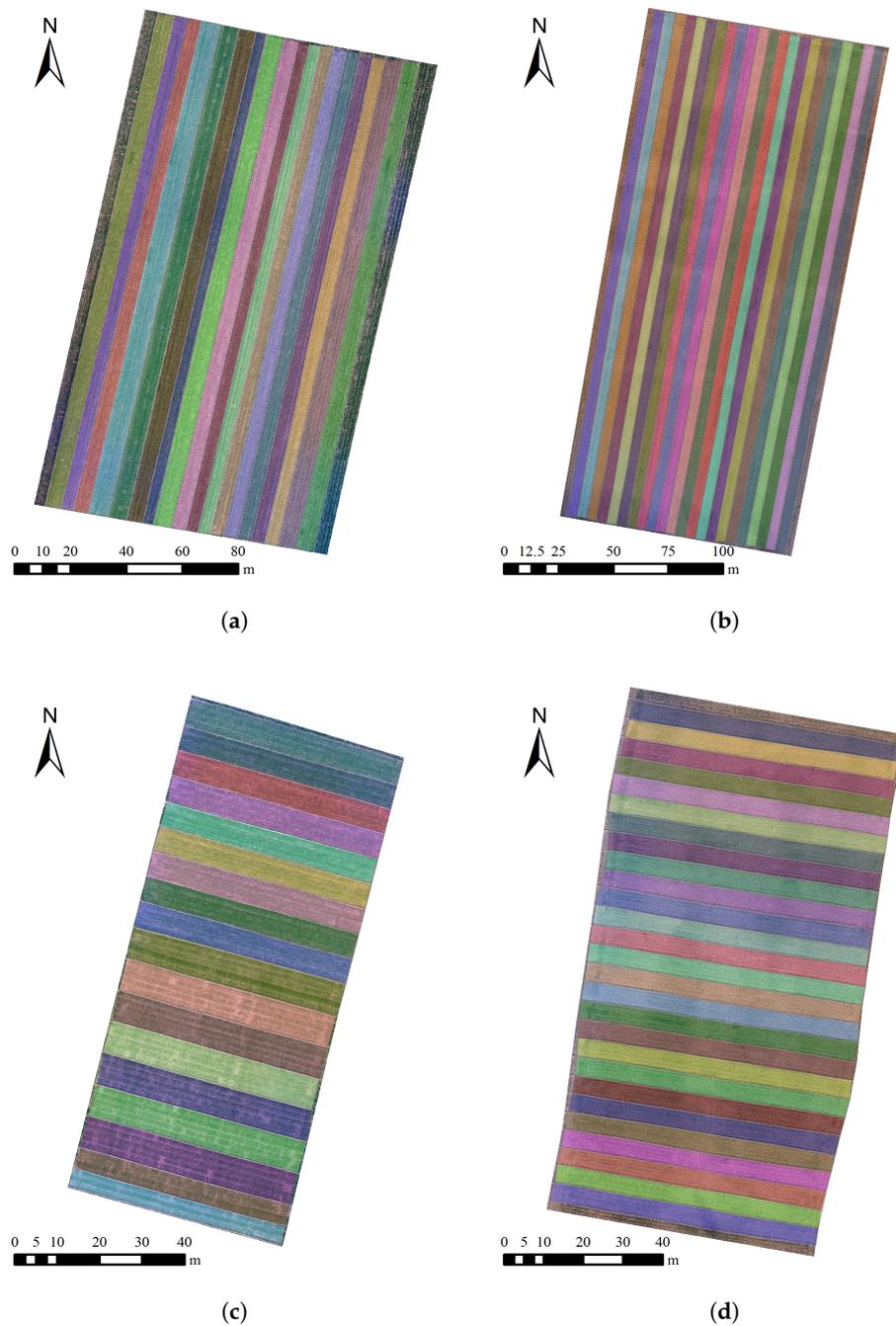
The mapped strips are displayed as Figure 15. As is shown in Table 8, the extraction ratio is high, ranging from 98.9% to 99.9%. The KC range is from 97.4% to 99.9% with an average of 99.1%. There is no distinct difference among KCs from the 85 strips across four plots.

#### 4.4. Effects of Spatial Resolution

The AEA of strips is high with minimal bias (less than 1%). However, ridge detection accuracy worsens as the spatial resolution of surface roughness is decreased. Recall decreases from over 90% to around 60% for all four plots (see Figure 16). A spatial resolution of 4–5 cm enables accurate ridge detection. Significant decreases were observed in the accuracy of cropland ridge detection and strip mapping once spatial resolution exceeded 5 cm (Figure 16a–c). As can be seen, a lower acquisition resolution (as was the case with the 4.2 and 6.5 cm DSMs) is necessary to truly assess the effects of resolution on mapping performance.



**Figure 14.** Ridge detection assessment result. True positives (TP) are black and false positives (FP) are red. (a) Plot 1, (b) Plot 2, (c) Plot 3, (d) Plot 4.



**Figure 15.** Extracted strips of four plots filled with different colors. (a) Plot 1, (b) Plot 2, (c) Plot 3, (d) Plot 4.

**Table 8.** Accuracy assessment of strip extraction.

Plot	Automated Extracted Area (m <sup>2</sup> )	Total Reference Area (m <sup>2</sup> )	Total Area Error (m <sup>2</sup> )	Total Area Extraction Ratio (%)	KC Range (%)
1	17,439	17,466	−26.9	99.9	97.6–99.4
2	24,626	24,743	−117.3	99.5	97.4–99.3
3	6106	6170	−63.8	99.0	98.6–99.8
4	8975	9075	−99.6	98.9	98.5–99.9

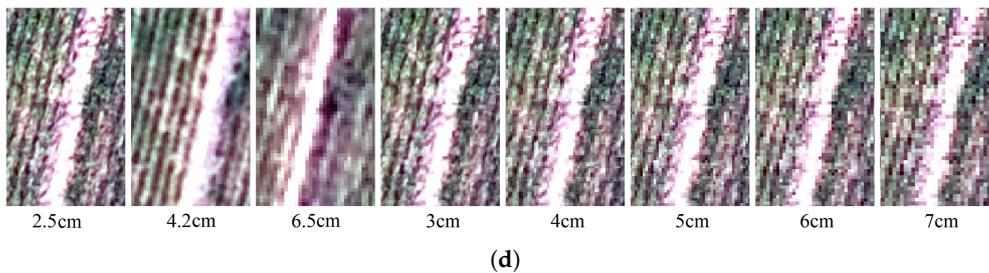
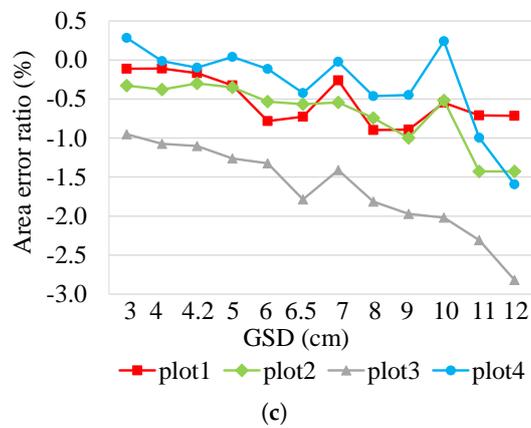
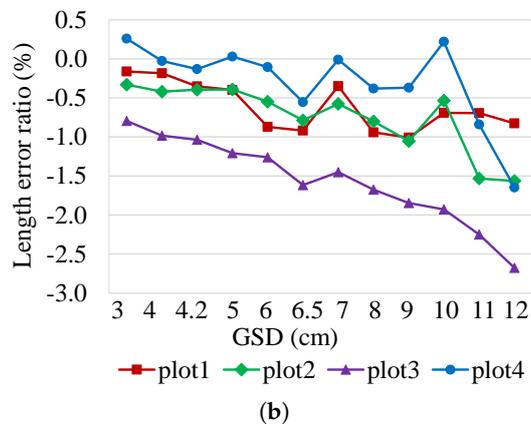
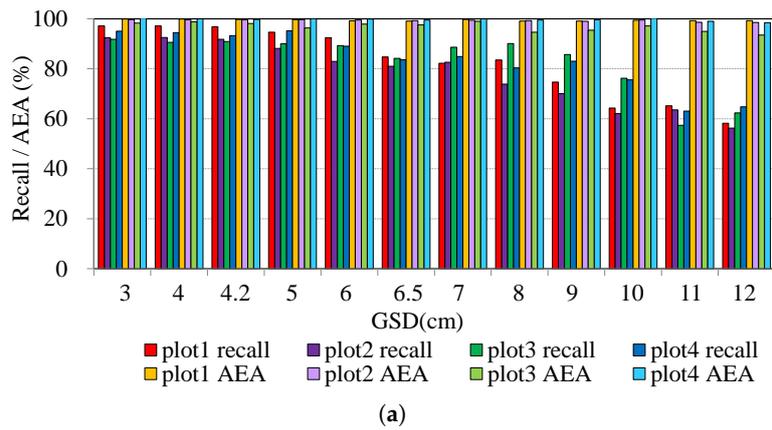
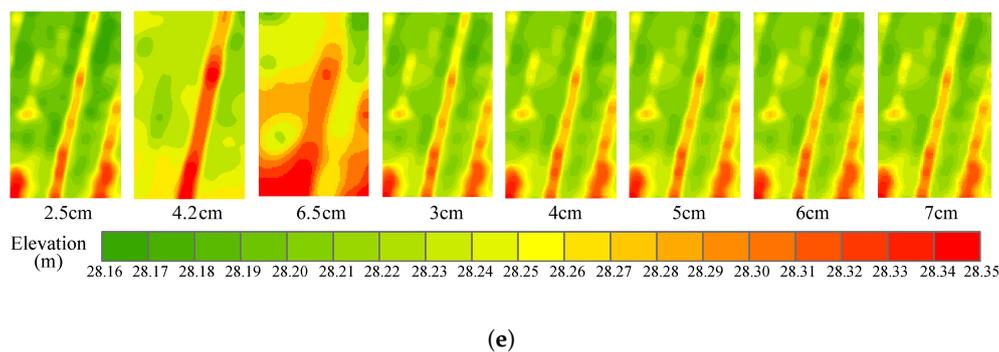


Figure 16. Cont.



**Figure 16.** The impacts on mapping performance of different resolution images: (a) recall and AEA, (b) length ratio error, (c) area ratio error; typical images of cropland ridge: (d) orthophoto and (e) DSM in different GSD with the actual extent:  $2.1 \text{ m} \times 3.5 \text{ m}$ .

## 5. Discussion

### 5.1. Suitable Sites and Data Acquisition

This study is conducted in a simplified area, which is cropped from a complete orthophoto derived from sUAS images into small plots with a few cropland ridges and strips. It is relatively straightforward to extract ridges and map strips under this ideal situation. As such, a priority for subsequent algorithm development is developing the ability to map cropland strips accurately even in more heterogeneous landscapes. In this paper, ridge detection relies primarily on segmentation of surface roughness. Other potentially suitable sites are those with significant differences in terrain or geomorphology indexes amid an otherwise regular cropland distribution, such as rice cultivated land in plain areas (Chiang Mai, Thailand:  $18^{\circ}55'25''\text{N}$ ,  $98^{\circ}57'18''\text{E}$ ) [49] and terrace landscapes in mountainous areas (Yuanyang, China:  $23^{\circ}6'47''\text{N}$ ,  $102^{\circ}44'53.9''\text{E}$ ) [50] and Apline regions, Itlay [51]).

Images should be acquired in the early stages of crop growth, particularly before the elongation stage of wheat or corn. Otherwise, the ridges will be occluded as the crops grow, especially for those crops with large canopy cover, such as summer corn.

### 5.2. Accuracy: Ridges as Line Detection

Line detection from images remains a hot topic in remote sensing, with detected features including roads [36], building edges [52], windthrown trees [53], ground cracks [54], etc. Line detection methods include heuristic reasoning, dynamic programming, statistical inference, and map matching [55–57]. Knowledge- [58] and morphology-based [59] approaches are also extensively used. In croplands, each strip, as a single polygon, is contained by two neighboring ridges, which are not straight lines but polylines. The Hough Transform allows for detecting objects that have regular features or could be represented by mathematical expressions, such as lines, circles, and ellipses. Therefore, the proposed method could in principle be extended to other objects with regular shapes, such as areas under center pivot irrigation (Dalhart, TX, USA:  $36^{\circ}3'5''\text{N}$ ,  $102^{\circ}27'43''\text{W}$ ) [9], vineyards [20] and plastic-mulched farmland [60].

### 5.3. Accuracy: Cropland Strips as Regions vs. Cadastral Requirements

With respect to region mapping, this study performs well with the KC ranging from 97.4% to 99.9% and a total extraction ratio over 98.9%. According to Regulation Practice for the Right of Rural Land Contractual Management of China (NY/T 2537-2014), the point mean error in cadastral surveying should be lower than 0.25 m, 0.5 m, and 1.0 m at scales of 1:500, 1:1000, and 1:2000, respectively. Generally, a scale of 1:500 supports the investigation of residential land. Area error is required to be less than 5%. In this study, the strip extraction ratio ranges from 98.9% to 99.9%. As such, the outlined protocol meets current cadastral mapping accuracy standards.

#### 5.4. Impact of Spatial Resolution

A spatial resolution of 4–5 cm appears optimal (given the study constraints) for detecting the narrow ridges between cropland strips, enabling both high extraction accuracy and high computational efficiency. However, ridge width may vary from field to field and region to region. As such, different spatial resolutions may be necessary even in the NCP.

### 6. Conclusions

This study reports on an effort to automatically extract typical cropland strips from cm spatial resolution imagery captured by a small UAS mounted on a consumer-level digital camera at one point in time. Surface roughness was important for identifying small linear objects with different microtopographies in plain areas. Typical cropland strips were well identified with AEAs over 98.9% and KCs over 97.4%. Ridges were also well detected with favorable recall (over 96.8%) and precision (over 95.4%). A spatial resolution of 4–5 cm worked well for extracting ridges and strips with the presented method. Cropland strips can thus be mapped at high accuracy using VHR images captured from sUAS in similar agricultural landscapes, especially in the North China Plain. In addition, this study also demonstrates the great potential of VHR sUAS imagery in identifying small objects with high accuracy. Other research conducted in small fields could benefit from this flexible sUAS technique.

This automated method was developed and tested in cropped farmland images with elongated ridges, which is a relatively simple use case at the local scale. It could be extended to similar cases, such as plastic mulch farmland. Actual cropland can be more complicated than the experimental plots in this research. Landscape- to regional-scale application (villages or even the whole NCP) will require dealing with more heterogeneity, including road, pond, or forest patches among the strips or different ridge lengths in a given plot. Larger regions could be divided into smaller patches with relatively consistent landscapes. Images acquired by diverse sUAS using different flight altitudes should be further explored for detecting cropland ridges and strips to verify the robustness of the proposed method. Thresholds mentioned in this paper should still be tested in other areas. More complex use cases should also be explored to enable gradual process improvement, with eventual potential contribution to smart farm or automated cadastral mapping.

**Author Contributions:** J.Z. and Y.Z. conceived and designed the experiments; J.Z. developed and tested the programming code with input from A.L.A. and R.H.W.; Y.Z. and S.T. helped with data acquisition and processing; A.L.A. and R.H.W. discussed the methodology and helped write the manuscript; Z.H. and R.H.W. modified the manuscript.

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### Abbreviations

The following abbreviations are used in this manuscript:

sUAS	small unmanned aircraft systems
UAS	unmanned aircraft systems
UAV	unmanned aerial vehicles
DSM	digital surface model
NCP	North China Plain
GSD	ground sampling distance
RTK-GPS	real-time kinematic global positioning systems
VHR	very high resolution

DEM	digital elevation model
SfM	structure from motion
TLS	terrestrial laser scanning
ISO	international standards organization
CMOS	complementary metal–oxide–semiconductor
JPEG	joint photographic experts group
AGL	above ground level
GPS	global positioning systems
IMU	inertial measurement units
GCP	ground control point
GNSS	global navigation satellite system
RMSE	root mean squared error
GIS	geographic information system
PSI	pixel shape index
MER	minimum enclosing rectangle
RGB	red-green-blue
HSV	hue-saturation-value
SE	structural elements
MDSE	multi-directional structural elements
SEL	structuring element of line
HT	Hough transform
TP	true positive
FP	false positive
FN	false negative
AEA	average extraction accuracy
KC	Kappa coefficient
FVC	fractional vegetation coverage

## Appendix A

**Table A1.** Specific steps and parameters of UAS image processing.

Step	Substep	Parameter	Setting
Initial processing	General	Keypoints image scale	Full
	Matching	Matching image pairs	Aerial grid or corridor
	Calibration	Targeted number of keypoints	Automatic
		Calibration method	Standard
	Internal parameters optimization	All	
	External parameters optimization	All	
	Rematch	Automatic	
Point cloud and mesh	Point cloud	Image scale	1/2, half image size
		Point density	Optimal
		Minimum number of matches	3
		Mesh resolution	Medium
DSM, Orthomosaic and index	3D textured mesh DSM and orthomosaic	Resolution	Automatic
		DSM filters-1	Use noise filtering
		DSM filters-2	Use surface smoothing-Sharp

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