



*VT's OPEN ACCESS  
SUBVENTION FUND*



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*\$215,000 available to  
support open access  
articles in FY2022*



UNIVERSITY LIBRARIES  
VIRGINIA TECH.



# *What is “Open Access”?*



- Open Access literature is digital, online, free of charge, and free of most copyright and licensing restrictions. What makes it possible is the internet and the consent of the author or copyright-holder.
- Gold OA: immediate public access at time of publication; sometimes APCs
- Green OA: self-archiving per publisher/journal policies, sometimes temporary embargoes
- Bronze OA: No APC--publisher good will (But will it endure?)
- Platinum/Diamond OA: No APC--library/university press funded journals ([VT Publishing](#))



# *Open Access Benefits*



- Increasing visibility of research ([Wang et al., 2015](#))
- Increasing citations counts ([Gargouri et al., 2010](#))
- Helps build research capacity in developing countries ([Chan, Kirsop & Arunachalam, 2005](#))
- Decreases financial pressure on academic and research libraries ([McGuigan & Russell, 2008](#))
- Increases funders' impacts--higher return on investment ([Tennant et al., 2016](#)), reaching beyond academia to industry and the public
- Reduces duplicative efforts



# *Reputation Economy in Academia*

- Publishing models need to change
- Libraries promoting change
  - Hosting
  - Publishing
    - VT Publishing
    - Open access presses: Knowledge Unlatched, MDPI, TOME, PeerJ
  - Subvention funds



## *VT's Open Access Subvention Fund*

- Article Processing Charges (APCs)
- OA journals
  - All articles are scholarly, peer reviewed
  - All articles are immediately publicly available
- OASF does not support hybrid OA journals = pay for APCs + pay to subscribe/access
- <http://guides.lib.vt.edu/oasf>

## *Where do subvention funds come from?*

- Pilot project FY2013-2014: \$24,000/year
  - Dean of Virginia Tech Libraries
  - Provost
  - Vice President for Research
- FY 2015-2016 \$50,000-~~\$60,000~~ \$86,000
  - Dean of Virginia Tech Libraries
  - Provost
- FY 2017-2021: \$100,000-\$150,000 (\$203,842)
  - Dean of Virginia Tech Libraries
- FY 2022: \$215,000 (as of Oct. 8)
  - Dean of Virginia Tech Libraries

## What has the VT OASF supported?

fiscal year	funds allocated	funds requested	awarded
2013	\$24,000	\$41,000	\$23,609
		28 articles	21 articles, 55 VT authors
2014	\$32,000	\$49,000	\$31,732
		33 articles	30 articles, 78 VT authors
2015	\$50,000	\$130,000	\$49,711
		88 articles	48 articles, 109 VT authors
2016	\$86,000	\$145,000	\$85,140
		83 articles	63 articles, 176 VT authors
2017	\$100,000	\$135,000	\$104,699
		110 articles	80 articles, 171 VT authors
2018	\$150,000	\$235,183	\$123,571
		143 articles	104 articles, 241 VT authors
2019	\$140,000	\$197,854	\$165,234
		171 articles	131 articles, 339 VT authors
2020	\$150,000	\$296,149.00	\$239,350
		203 articles	168 articles, 416 VT authors
2021	\$150,000	\$344,490	\$197,249
		183 articles	156 articles, 322 VT authors





## *How is the OASF allocated in FY2022?*

- Authors have no other source of funding (e.g., no grants active from NIH, NSF, Gates, etc.)
- \$2000.00 per article (as of Oct. 8)
- \$4000 per author maximum per year
  - Prorated among multiple VT co-authors
    - \$2000 distributed equally among the VT co-authors
    - Example: 4 authors of one article subsidized at \$500 each

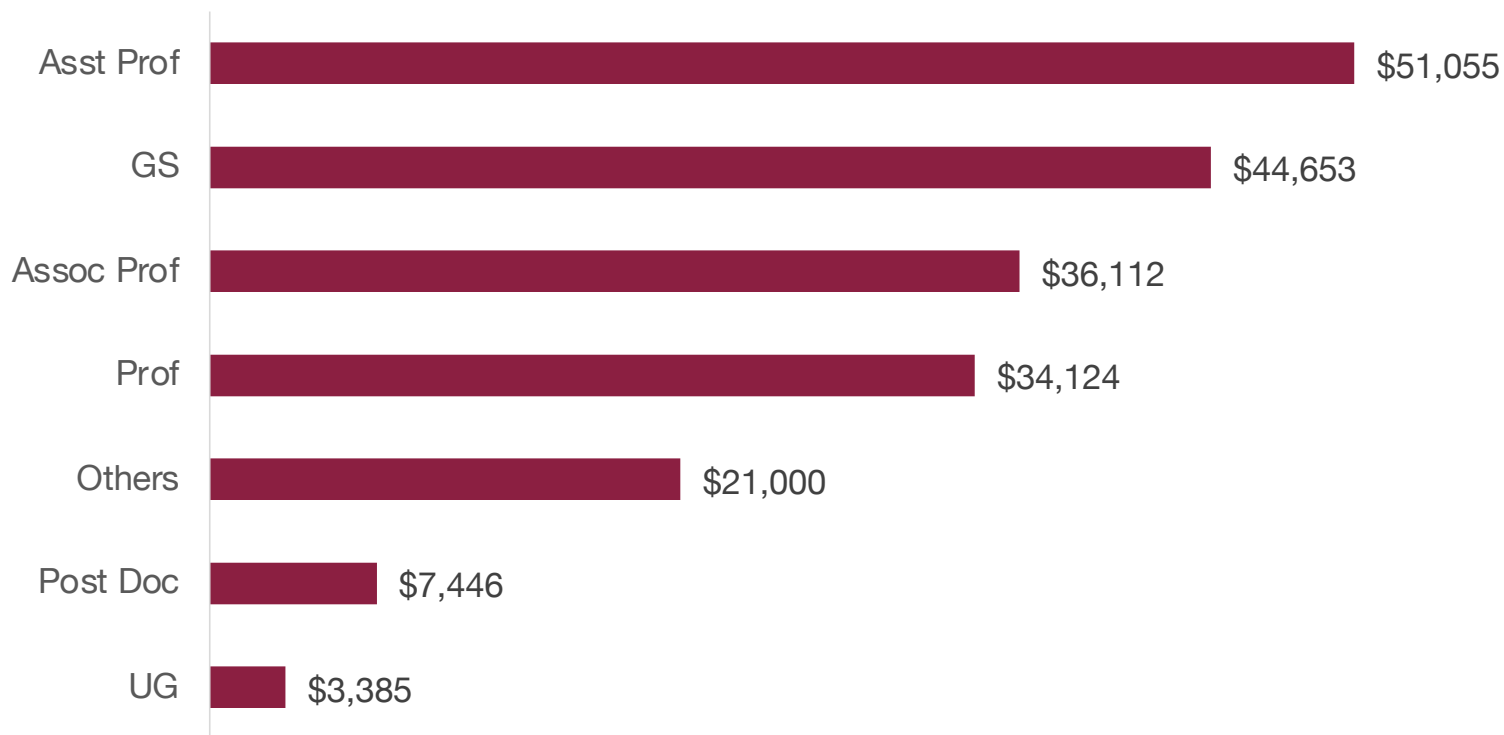


*Who can take advantage of the VT open access subvention fund?*

The entire *current* Virginia Tech community

- Faculty
- Staff
- Graduate Students
- Undergraduate Students

# FY2021 VT OASF Supports OA Authors



# *Requirements for VT OASF Support*

- Author requesting funds is currently working/enrolled at VT
- Peer-reviewed article **accepted** for publication in OA journal
- Journal is registered in a professional directory, such as
  - [Directory of Open Access Journals](#)
  - [SHERPA/RoMEO](#)
- Publisher practices appropriate code of conduct, e.g., [OASPA](#), [COPE](#), [TOP](#)
- Subvention funds are allocated in order of requests received
- Invoice received by library within 45 days of award

# Requesting support from VT OASF

<http://guides.lib.vt.edu/oasf>

- Name
- PID/email address
- Department and college
- [ORCID](#)
- VT category (e.g., faculty, graduate student, etc.)
- Co-authors, affiliations
- Article: title, journal, publisher
- Publisher's fee
- Funding request
- Link to journal's OA policy
- No alternative sources of article support



## *Review, vet, prioritize, notify*

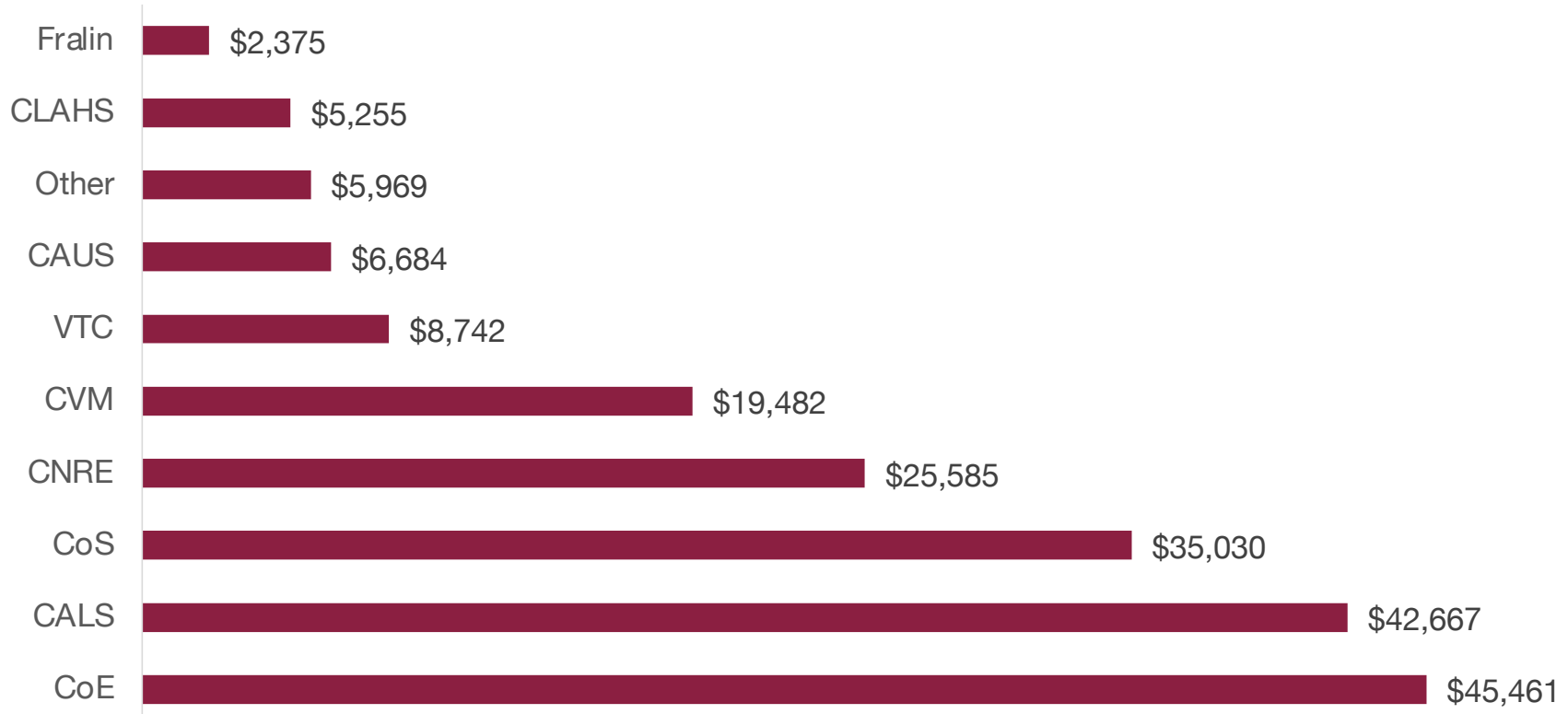
- Applications reviewed by team of librarians
  - Gail McMillan, fund manager
- Funds
- Eligibility–VT directory, OSP
- Guidelines
- Email notification (~10 days max)



## *Last steps*

- How are APCs paid?
  - Award email to corresponding author includes
    - Congratulations!
    - Within 45 days
      - Where to have the invoice sent, or
      - APC >\$2000–Dept. pays, HokieMart PO includes Library Banner fund #
- Acknowledge VT OASF in your published article
- Article added to VTechWorks
  - <http://vtechworks.lib.vt.edu>

## FY2021 VT OASF Supports Colleges/Institutes







# *VT Open Access Subvention Fund*



- <http://guides.lib.vt.edu/oasf>
- Gail McMillan
  - [gailmac@vt.edu](mailto:gailmac@vt.edu)
  - 540-231-9252
  - 421 Newman Library



# *Finding OA Journals and Publishers*

- Open access journal directories
  - [Directory of Open Access Journals](#)
  - [SHERPA/RoMEO](#)
  - [UlrichsWeb](#)
- Publishing Ethics: [Open Access Scholarly Publishers Association](#), [COPE](#)
- [Publisher discounts available to VT authors](#)