

**From:** Carmen Green <ctgreen@exchange.vt.edu>  
**To:** <d.medearis@comcast.net>  
**Subject:** FW: Question about Human Subjects  
**Date:** Mon, 14 Aug 2006 13:19:42 +0000

Good Morning,

Thank you for your email. Dr. Moore forwarded it to me.

Response to Q1: Yes, the study will remain under the exempt category. You may go ahead and implement the changes as they have been approved. You will receive your official amendment approval letter shortly. FYI - the IRB has changed its forms and website. If you make an amendment to your study in the future, please use our new form which can be found on our website at <http://www.irb.vt.edu/pages/amendment.htm#AmendSubmit>.

Response to Q2: Yes, per Dr. Moore, you may share the list of names with your committee.

Have a good day, and feel free to contact me with any questions.

Best regards,

Carmen T. Green  
IRB Administrator

Office of Research Compliance  
1880 Pratt Drive, Suite 2006  
Blacksburg, VA 24061  
Phone: (540) 231-4358  
Fax: (540) 231-0959

----- Forwarded Message

From: "David M. Moore, DVM" <moored@vt.edu>  
Date: Mon, 14 Aug 2006 08:19:52 -0400  
To: "Carmen T. Green" <ctgreen@vt.edu>  
Subject: Fwd: Question about Human Subjects

>Date: Sun, 13 Aug 2006 19:40:40 +0000  
>From: d.medearis@comcast.net  
>Subject: Question about Human Subjects  
>To: moored@vt.edu  
>X-Junkmail-Info:  
>  
>DNS\_FROM\_RFC\_POST,DNS\_FROM\_RFC\_WHOIS,HTML\_20\_30,HTML\_MESSAGE,MIME\_BOUND\_NEXTPAR  
T,MIME\_HTML\_NO\_CHARSET,NO\_REAL\_NAME  
>X-Junkmail-Status: score=54/70, host=dagger.cc.vt.edu  
>Original-recipient: rfc822;moored@vt.edu  
>  
>Dear Dr. Moore,  
>  
>Last August you approved my request for "Exemption of Research  
>Involving Human Subject," in the context of my doctoral research in  
>environmental design and planning. The project title is "Diffusion  
>of urban environmental and planning policies from Germany to the

>U.S."  
>  
>Two questions.  
>  
>1) I have revised, slightly, the questions guiding my interviews.  
>The revisions to the questions are in red. May I continue to be  
>"exempted" and pursue my research with the inclusion of the new  
>questions and changes?  
>  
>2) May I share with my committee the attached table of names of  
>subjects who I intend to interview?  
>  
>Thanks for your immediate response to my question.  
>  
>Sincerely,  
>  
>Dale Medearis  
>tel: 703-549-0068  
>  
>  
>  
>

----- End of Forwarded Message

**Attachment 1:** list of Interviews.doc (application/msword)

**Attachment 2:** Key Questions Shaping Discu.doc (application/msword)

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Dear Dr. Moore.

Last August you approved my request for "Exemption of Research Involving Human Subject," in pursuit of my doctoral research in environmental design and planning. The project title is "Diffusion of urban environmental and planning policies from Germany to the U.S."

Two questions.

1) I have revised, slightly, the questions guiding my interviews. The revisions to the questions are in red. May I continue to be "exempted" and pursue my research with the inclusion of the new questions and changes?

2) May I share with my committee the attached table of names of subjects who I intend to interview?

Thanks for your immediate response to my question.

Dale Medearis  
tel: 703-549-0068

### Request for Exemption of Research Involving Human Subjects

[please print or type responses below]

Principal Investigator (Faculty or Faculty Advisor and primary contact): Professor Chris Nelson

Co-Investigators (Faculty or Student) Professor Anne Khademian

Department(s): Environmental Design and Planning

Mail Code: \_\_\_\_\_ E-mail: \_\_\_\_\_ Phone \_\_\_\_\_

Project Title: "Diffusion of Urban Environmental and Planning Policies from Germany to the U.S.  
# of Human Subjects: Approximately 40

Source of Funding Support: Personal

All investigators of this project are qualified through completion of the formal training program or web-based training programs provided by the Virginia Tech Office of Research Compliance.

*Note:* To qualify for Exemption, the research must be (a) of minimal risk to the subjects, (b) must not involve any of the special classes of subjects, and (c) must be in one or more of the following categories. A full description of these categories may be found in the Exempt Research section of the Virginia Tech "IRB Protocol Submission Instructions Document" or in the federal regulations [45 CFR 46.101(b)(1-6)].  
(<http://ohrp.osophs.dhhs.gov/humansubjects/guidance/45cfr46.htm#46.101>)

*Please mark/check the appropriate category or categories below which qualify the proposed project for exemption:*

- 1. Research will be conducted in established or commonly accepted educational settings, involving normal educational practices [see item (1), page 6 of the "Instructions" document].
- 2. Research will involve the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior, **unless** the subjects can be identified directly or through identifiers linked to the subjects **and** disclosure of responses could reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability or reputation [see item (2), page 6 - "Instructions"].
- 3. Research will involve the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures, or observation of public behavior that is not exempt under item 2) above **if** the subjects are elected or appointed public officials or candidates for public office; **or** Federal statute(s) require(s) that the confidentiality or other personally identifiable information will be maintained [see item (3), page 6 of the "Instructions" document].
- 4. Research will involve the collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens if these sources are publicly available or if the information is recorded by the investigator in such a manner that subjects cannot be identified directly or through identifiers linked to the subjects [see item (4), page 7 of the "Instructions" document].
- 5. Research and demonstration projects designed to study, evaluate, or otherwise examine public benefit or service programs, procedures for obtaining benefits or proposed changes in such programs [see item (5), page 7 of the "Instructions" document].
- 6. Taste and food quality evaluation and consumer acceptance studies [see item (6), page 7- "Instructions"].

Dale Medearis		June 7, 2005
Investigator(s)	Print name	Date
Departmental Reviewer	Print name	Date

# Form 3 - EXEMPT

IRB Proposal Review #: \_\_\_\_\_

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Chair, Institutional Review Board

Date