

1 **Engineered Cleistogamy in *Camelina sativa* for Bioconfinement**

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#### 44 **Summary**

45 *Camelina sativa* is a self-pollinating and facultative outcrossing oilseed crop. Genetic  
46 engineering has been used to improve camelina yield potential for altered fatty acid  
47 composition, modified protein profiles, improved seed and oil yield, and enhanced drought  
48 resistance. The deployment of transgenic camelina in the field posits high risks related to the  
49 introgression of transgenes into non-transgenic camelina and wild relatives. Thus, effective  
50 bioconfinement strategies need to be developed to prevent pollen-mediated gene flow (PMGF)  
51 from transgenic camelina. In the present study, we overexpressed the cleistogamy (i.e. floral  
52 petal non-openness)-inducing *PpJAZ1* gene from peach in transgenic camelina. Transgenic

53 camelina overexpressing *PpJAZ1* showed three levels of cleistogamy, affected pollen  
54 germination rates after anthesis but not during anthesis, and caused a minor silicle abortion  
55 only on the main branches. We also conducted field trials to examine the effects of the  
56 overexpressed *PpJAZ1* on PMGF in the field, and found that the overexpressed *PpJAZ1*  
57 dramatically inhibited PMGF from transgenic camelina to non-transgenic camelina under the  
58 field conditions. Thus, the engineered cleistogamy using the overexpressed *PpJAZ1* is a  
59 highly effective bioconfinement strategy to limit PMGF from transgenic camelina, and could  
60 be used for bioconfinement in other dicot species.

61

62 **Keywords:** *JAZ1* gene, cleistogamy, pollen-mediated gene flow, bioconfinement, transgenic  
63 plants, field trials, *Camelina sativa*

64

## 65 **Introduction**

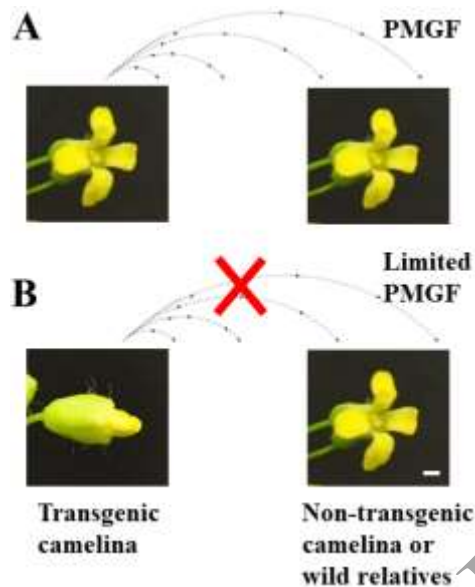
66 *Camelina sativa* (L.) Crantz. (camelina or false flax; allohexaploid;  $2n = 6x = 40$ ) is native to  
67 Europe and Central Asia, and cultivated as a re-emergent oilseed crop in Europe, Asia, and  
68 North America<sup>1</sup>. It has a great potential for the production of biodiesel, jet fuel, beneficial  
69 omega-3 lipids, bioplastics, and animal feed due to its high (36 – 47%) seed oil contents with  
70 high (> 90%) unsaturated fatty acids<sup>1-4</sup>. It possesses valuable agronomic traits such as a short  
71 (85 – 100 days) life cycle, low input requirements, adaptability to adverse environments, and  
72 resistance to the primary disease-causing fungi<sup>1,5,6</sup>, flea beetles<sup>7,8</sup>, and insects<sup>9</sup> infesting  
73 Brassicaceae. These excellent agronomic properties permit camelina to grow as a spring or  
74 winter annual on marginal lands or as part of a multiple crop rotation system.

75 Camelina is present in North America, Europe, and South-Western Asia<sup>6</sup>. Wild  
76 camelina is present in 28 U.S. states and commercial production of camelina varieties in the  
77 U.S. centers on Montana, Colorado, Oregon, Washington, and Wyoming with the majority of

78 the production being sold to the U.S. Air Force under contract<sup>6</sup>. Varietal testing and  
79 evaluation has been conducted in California, Kentucky, Iowa, Florida, North Carolina,  
80 Arizona, Colorado, Washington, Nevada, Montana and Wyoming<sup>6,10-12</sup>. Meanwhile, genetic  
81 engineering has been used to improve camelina's yield potential of altered fatty acid  
82 composition<sup>13-31</sup>, modified protein profiles<sup>32</sup>, increased carotenoid content<sup>33</sup>, improved seed  
83 and oil yield<sup>25,34-36</sup>, and enhanced drought resistance<sup>35,37</sup> and other osmotic stress tolerance<sup>38</sup>.  
84 More importantly, field testing of genetically engineered (or transgenic) camelina has been  
85 conducted in Canada<sup>39,40</sup>, U.K.<sup>41</sup>, and Michigan<sup>42,43</sup>.

86 The inclusion of transgenic camelina into the agricultural landscape carries high risks  
87 related to the introgression of transgenes – especially the drought resistance genes and the  
88 selectable marker genes – into related agricultural and wild relatives. Camelina is a  
89 predominantly self-pollinating species and a facultative outcrossing species<sup>6</sup>. A small-scale  
90 field trial conducted by Walsh *et al.*<sup>39</sup> revealed that pollen-mediated gene flow (PMGF) from  
91 transgenic camelina to non-transgenic camelina was 0.28% at close proximity (up to 0.6 m)  
92 when the pollen donor area was small (0.2 × 7.0 m). A medium-scale field test detected a  
93 maximum PMGF of 0.78% at the minimum sampling distance (0.2 m), which produced 7.8  
94 hybrid seeds per plant<sup>40</sup>. This is apparently higher than the PMGF in soybean, which is 0.52%  
95 average frequency in the non-transgenic soybean plants located at one meter from the  
96 transgenic plants<sup>44</sup>. It is expected that the intraspecific PMGF in camelina will be much  
97 higher at a commercial scale than observed in the small- or medium-scale field studies<sup>39,40</sup>,  
98 partially due to the presence of massive pollen recipients. In addition, up to 29 different  
99 insect species were observed visiting camelina in field trials in Germany<sup>45</sup>, raising a concern  
100 about insect-mediated intraspecific and interspecific PMGF in camelina. Moreover,  
101 hybridization experiments revealed a high, moderate, and low level of interfertility (i.e., the  
102 resulting hybrids are fertile) with camelina's wild relatives *C. alyssum*, *C. microcarpa*, and *C.*

103 *rumelica*, respectively<sup>46</sup>. Considering these *Camelina* species, including camelina itself, are  
104 widely naturalized weeds in the U.S., effective bioconfinement technologies need to be  
105 developed to prevent PMGF from transgenic camelina to non-transgenic camelina and the  
106 wild relatives (Figure 1). Transgene flow and regulatory issues make bioconfinement  
107 necessary for sustainable deployment of transgenic camelina in the field.



108  
109  
110 **Figure 1. Illustration of the effects of the fully opened (A) or cleistogamous**  
111 **(non-opening) (B) flowers on PMGF from transgenic camelina to non-transgenic**  
112 **camelina or wild relatives. Red cross, inhibited PMGF. Bar = 1 mm.**

113  
114 Potential bioconfinement strategies for restricting PMGF include plastid  
115 transformation, male sterility, delayed and decreased flowering, post-zygotic barriers to  
116 transgene introgression, transgene excision and mitigation, creation of selectively terminable  
117 transgenic lines, genetic use restriction technologies, cleistogamy, etc.<sup>47-56</sup>. Among these  
118 methods, the inclusion of cleistogamy is unique in making flowers self-pollinating without  
119 petal opening during pollen shedding (Figure 1B). It can maximally restrain pollen spread out  
120 of the flowers, and transgenes can be well-restrained within the closed petals. This trait is

121 highly attractive for the efforts to maintain genetic purity and to generate transgenic crops  
122 with a low risk of PMGF if the trait does not interfere with other agronomic traits<sup>49,57</sup>. Several  
123 cleistogamous mutants have been identified, including the *cl7(t)*<sup>58</sup>, *d7*<sup>59</sup>, *ld(t)*<sup>60</sup>, and  
124 *superwoman1-cleistogamy (spw1-cl)*<sup>61</sup> mutants in rice, the *cleistogamy 1 (Cly1)* mutant in  
125 barley<sup>57,62,63</sup>, and the *Bn-CLG1A-1D*<sup>64</sup>, *Zhong9-Clg*<sup>65</sup>, and *BnaC03.FBA*<sup>66</sup> mutants in canola  
126 (*Brassica napus*). The rice *cl7(t)* mutant was obtained through ethyl methanesulfonate (EMS)  
127 mutagenesis and has normal floral organs<sup>58</sup>. The rice *d7*<sup>59</sup> and *ld(t)*<sup>60</sup> mutants originated from  
128 spontaneous mutations and have abnormal glumes and missing lodicules, respectively. The  
129 mutated genes in these three mutants have not been identified yet. The rice  
130 *superwoman1-cleistogamy (spw1-cl)* mutant was generated by the random mutagenesis with  
131 N-methyl-N-nitrosourea (MNU) and lacks lodicules or shows lodicule deformity<sup>61</sup>. A single  
132 base change leading to an isoleucine to threonine substitution (I45T) in the MADS-box  
133 domain of the *SPW1* gene reduces the gene's interaction ability with its dimerization partners  
134 MADS2 and MADS4, resulting in the cleistogamous mutant phenotype. In barley, a  
135 spontaneous synonymous nucleotide substitution in the barley *AP2* gene mutated the miR172  
136 target site, leading to the cleistogamous *Cly1* phenotype due to the failure of the lodicules to  
137 develop properly<sup>57,62,63</sup>. In canola, an EMS-induced C-to-T nucleotide transition converted  
138 the amino acid Proline (P) at position 325 to a Leucine (L) (i.e., P325L) in the *Bn-CLG1A*  
139 gene (A stands for the A subgenome), which encodes a RINGv E3 ubiquitin ligase<sup>64</sup>. The  
140 mutation caused a cleistogamous phenotype under the control of its native promoter due to a  
141 significant negative regulation of cutin biosynthesis/loading and thus an inhibition of petal  
142 development<sup>64,67,68</sup>. In addition, *Zhong9-Clg* is another EMS-induced cleistogamous mutant  
143 in canola (the mutated gene has not been identified yet)<sup>65</sup>, while *BnaC03.FBA* in canola  
144 contains a miniature inverted-repeat transposable elements (MITEs)-mediated chromosome  
145 inversion, resulting in a high tissue-specific expression of the *BnaC03.FBA* gene<sup>66</sup>.

146 To date, only the rice *spw1-clt* mutant<sup>69</sup> and the canola *Bn-CLG1A-1D* mutant<sup>64</sup>,  
147 which were generated via random mutagenesis, have been used in field trials to examine their  
148 effects on inhibiting PMGF between pollen donors and recipient lines. The cleistogamy of the  
149 rice *spw1-clt* mutant is an effective tool for bioconfinement of transgenes in rice without  
150 interference with agronomic performance (such as yield) under the field conditions<sup>69</sup>.  
151 However, Leflon *et al.*<sup>64</sup> found that the canola cleistogamy phenotype was not stable in the  
152 field. Thus, cleistogamy-inducing genes are not available for bioconfinement of transgenes in  
153 dicotyledonous plants yet.

154 In our previous report<sup>70</sup>, we identified a *JAZ1* gene, a repressor gene in the jasmonic  
155 acid (JA) signaling pathway, regulates petal openness in the non-showy peach (*Pronus*  
156 *persica* (L.) Batsch) during anthesis. We found that ectopic expression of *PpJAZ1* converted  
157 the opening tobacco flowers into cleistogamous flowers without interference with seed  
158 yield<sup>70</sup>. It was reported that JA is involved in petal expansion and a low level of JA was  
159 detected in Arabidopsis mutants with unopening petals and in Chinese cabbage with  
160 degenerated petals<sup>71-73</sup>. *JAZ1* contains the conserved ZIM and Jas domains, which interact  
161 with different partner proteins in JA signal transduction<sup>74</sup>. In Arabidopsis, overexpression of a  
162 truncated *JAZ1* gene lacking the Jas domain showed irresponsiveness to the JA inhibition of  
163 root growth and a failure in pollen germination<sup>75</sup>.

164 In the present study, we overexpressed the peach cleistogamy-inducing *PpJAZ1* gene  
165 in camelina, and examined the overexpression phenotype in stable transgenic camelina lines  
166 under greenhouse conditions. We also examined the PMGF from transgenic camelina to  
167 non-transgenic camelina under the field conditions, which showed that the engineered  
168 cleistogamy dramatically restricted PMGF from transgenic to non-transgenic camelina in the  
169 field. As a result, cleistogamy engineering using the *PpJAZ1* overexpression is a highly  
170 effective bioconfinement strategy to restrict PMGF for sustainable deployment of transgenic

171 camelina for seed production in the field. This study is the first to examine the effects of the  
172 overexpressed *PpJAZ1* gene in a dicot plant species other than tobacco and use it for a  
173 bioconfinement research under the field conditions.

174

## 175 **Results**

### 176 **Generation of stable transgenic camelina overexpressing *PpJAZ1***

177 To investigate the effect of *PpJAZ1* overexpression on flower petal opening in camelina, the  
178 *PpJAZ1* gene<sup>70</sup> was stably transformed into camelina under the control of the full-length of  
179 CaMV 35S promoter using the floral dip method<sup>22,76</sup> (Figure S1). A total of 83 independent  
180 T<sub>1</sub> overexpression lines were obtained. Seventeen out of the 83 overexpression lines  
181 exhibited a 3:1 segregation ratio on hygromycin-containing media, indicating a single T-DNA  
182 insertion in these lines. These 17 single-copied overexpression lines were advanced to T<sub>3</sub> or  
183 T<sub>4</sub> generations homozygous for the transgene.

184

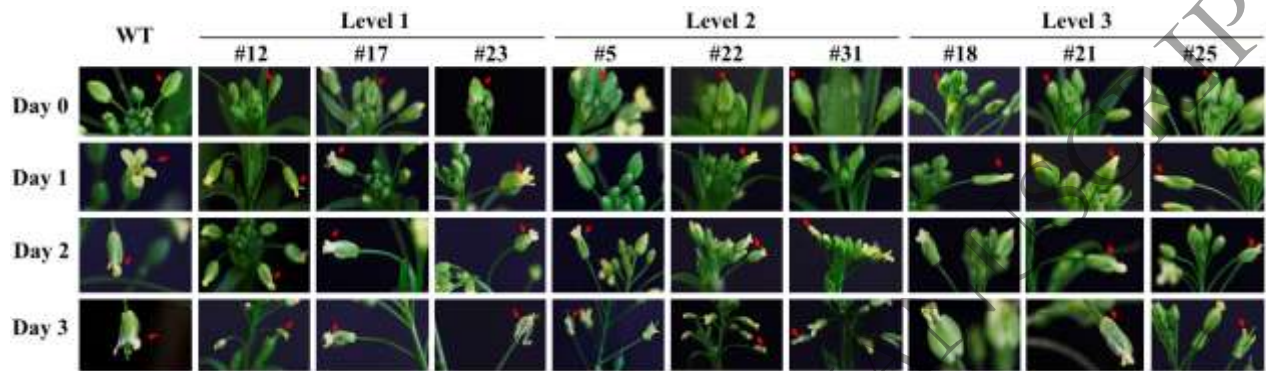
### 185 **Phenotypic analysis of the stable transgenic camelina lines overexpressing *PpJAZ1* at 186 the vegetative and flowering stages under greenhouse conditions**

187 Phenotypic analysis was conducted in the 17 single-copied homozygous overexpression lines,  
188 and phenotypic difference was not observed between the transgenic and non-transgenic  
189 camelina plants before flowering. When plants began to flower, the floral developmental  
190 morphology analysis observed apparent difference in the degrees of flower petal opening  
191 between the transgenic lines and the non-transgenic camelina plants. As shown in Figure 2,  
192 we observed that camelina flowers have four developmental stages, i.e., the flower bud (Day  
193 0), anthesis (Day 1), post-anthesis (Day 2), and fruit formation (Day 3) stages. At the flower  
194 bud stage (Day 0; prior to flowering), all the overexpression lines showed the same  
195 phenotype in their flower buds as the non-transgenic plants with tiny petal tips being barely



196 observable (Figure 2). Hand emasculatation of 20 non-transgenic plants at Day 0 showed that  
197 all the bagged emasculated flowers became abortive, indicating that self-pollination did not  
198 occur in camelina at Day 0 (Figure S2).

199



200

201 **Figure 2. Representative images of the three levels of cleistogamy in the transgenic**  
202 **camelina lines overexpressing the *PpJAZ1* gene from peach.** Day 0, flower bud stage  
203 (prior to flowering). Day 1, anthesis stage. Day 2, post-anthesis stage. Day 3, fruit formation  
204 stage. Red arrows, different flower developmental stages of the same flower of each line. WT,  
205 wild-type (non-transgenic). Bar = 1 mm.

206

207 At the anthesis stage (Day 1) when flowers are fully open, various degrees of flower petal  
208 opening were observed in these overexpression lines, which were grouped into three levels of  
209 cleistogamy (Figure 2). When compared to the non-transgenic flowers, Levels 1, 2, and 3  
210 cleistogamy showed half opening, straight petals, and closed petals, respectively. At the  
211 post-anthesis stage (Day 2), both the transgenic and non-transgenic flowers started to wither,  
212 indicating a one-day-long life of camelina flowers. Losing water and vigor made the petals  
213 distorted and open widely. At the fruit formation stage (Day 3), flower senescence continued  
214 and petals started to fall off as the fertilized ovary started to enlarge in the overexpression  
215 lines and the non-transgenic camelina (Figure 2). As a result, the observed phenotypic  
216 difference between the overexpression lines and the non-transgenic camelina came from the

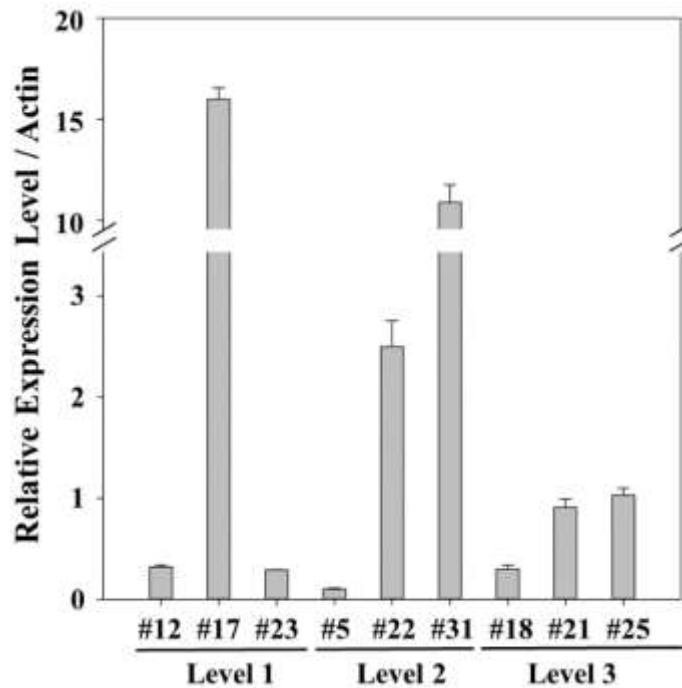
217 degrees of cleistogamy in the overexpression lines at Day 1 only. Thus, three independent  
218 single-copied homozygous overexpression lines were randomly chosen for each of the three  
219 levels of cleistogamy for further analysis. These included lines #12, 17, and 23 for Level 1  
220 cleistogamy, lines #5, 22, and 31 for Level 2 cleistogamy, and lines #18, 21, and 25 for Level  
221 3 cleistogamy (Figure 2).

### 223 **Molecular analysis of the stable transgenic camelina lines overexpressing *PpJAZ1***

224 PCR amplification was used to confirm the presence of the *PpJAZ1* transgene in the nine  
225 overexpression lines by using transgene-specific primers. As shown in Figure S3, all the nine  
226 overexpression lines were PCR positive, indicating the transgene was successfully integrated  
227 into the genome of each overexpression line.

228 Real-time RT-PCR (qPCR) was used to measure the relative expression levels of the  
229 *PpJAZ1* transgene in each of the nine overexpression lines using our newly developed  
230 method<sup>77</sup> and the *Actin* gene (accession #: XM010467690.2) as the internal control gene<sup>78</sup>.  
231 As shown in Figure 3, qPCR analysis of the nine overexpression lines showed up to 20-fold  
232 overexpression in leaves but various levels of relative expression among different lines. The  
233 relative expression levels of the *PpJAZ1* transgene in different lines were not tightly  
234 correlated with the levels of cleistogamy in these lines, possibly because *JAZ1* is a master  
235 gene regulating the expression of multiple genes, which has been observed for the *Myb4* gene  
236 in transgenic switchgrass<sup>79</sup>.

237



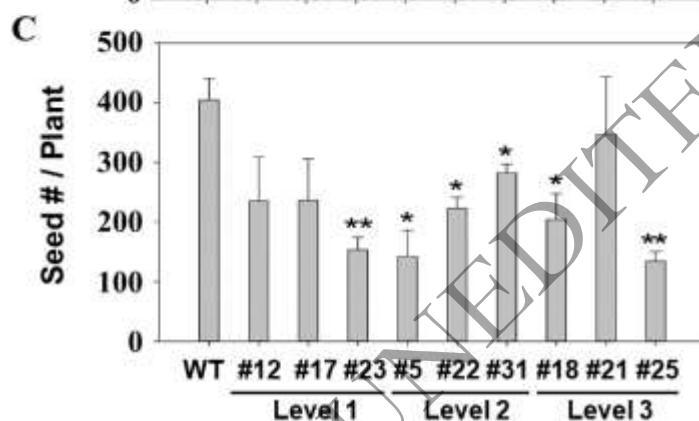
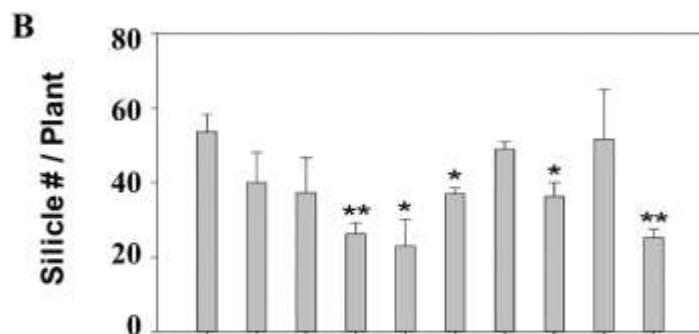
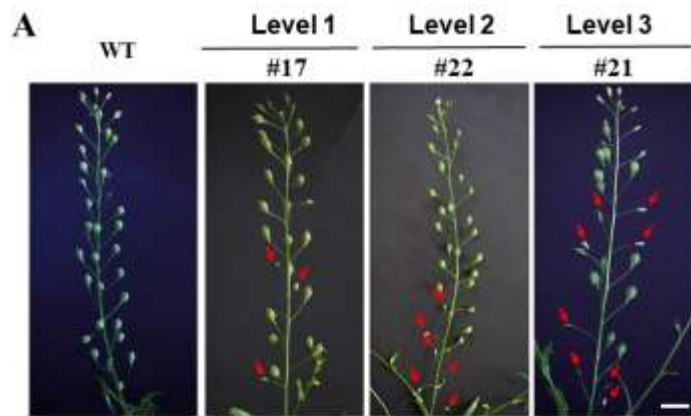
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239 **Figure 3. The relative expression levels of the transgene *PpJAZ1* in the leaves of**  
 240 **transgenic camelina lines overexpressing *PpJAZ1* measured by qPCR.** The camelina  
 241 *Actin* gene was used as the reference gene. qPCR was conducted as described in Zhao *et al.*<sup>77</sup>  
 242 and data analysis was conducted using the  $2^{-\Delta\Delta Ct}$  method. The mean values of three  
 243 independent replicates  $\pm$  standard errors (vertical bars) are displayed.

244

### 245 **The effect of *PpJAZ1* overexpression on seed yield under greenhouse conditions**

246 When compared with the non-transgenic plants, the transgenic lines exhibited a visible  
 247 difference in fruit abortion under greenhouse conditions (Figure 4A). Fruit abortion was only  
 248 observed on the main branches of the transgenic lines and was apparently correlated with the  
 249 levels of cleistogamy. For instance, Level 1 cleistogamy had 2-3 aborted fruit silicles (pods)  
 250 per plant, Level 2 cleistogamy showed 5-6 aborted silicles per plant, while Level 3  
 251 cleistogamy exhibited 8-9 aborted silicles per plant (Figure 4A).



252

253 **Figure 4. The effect of the overexpressed *PpJAZ1* gene on the silicle development on the**  
 254 **main branches (A), silicle number per plant (B), and seed number per plant (C) of the**  
 255 **transgenic camelina lines at Days 1 ~ 3. WT, wild-type (non-transgenic). Red arrows, the**  
 256 **aborted silicles on the main branches. Bar = 1cm.**

257

258 To further evaluate the effects of the overexpressed *PpJAZ1* on seed yield, we counted  
 259 the silicle number per plant and seed number per plant. When compared to the non-transgenic  
 260 plants, up to 20 ~ 50% decrease in silicle number per plant and seed number per plant was  
 261 identified in some transgenic lines (Figures 4B; 4C). Among the nine overexpression lines,

262 we found that only one of the three lines of Level 1 cleistogamy (line #23) exhibited a  
263 significant decrease in silicle number per plant and seed number per plant when compared to  
264 the non-transgenic plants. However, at least two of the three lines of Levels 2 and 3  
265 cleistogamy showed a significantly less silicle number per plant and seed number per plant  
266 than the non-transgenic plants. Interestingly, line #21 from Level 3 cleistogamy did not  
267 exhibit a significant difference in silicle number per plant and seed number per plant from the  
268 non-transgenic plants.

269 We also measured one hundred seed weight and found that there was no significant  
270 difference in the one hundred seed weight between the non-transgenic camelina and the  
271 overexpression lines (Figure S4).

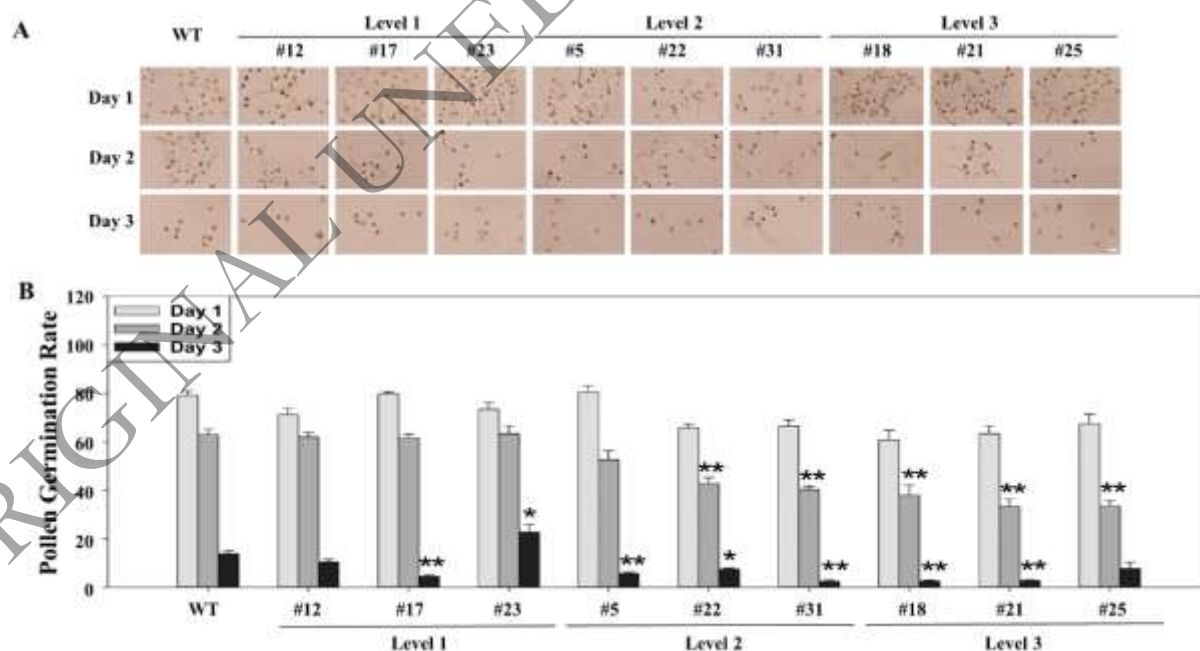
### 273 **The effect of *PpJAZ1* overexpression on pollen viability under greenhouse conditions**

274 To investigate whether the overexpressed *PpJAZ1* affected pollen viability, we examined the  
275 pollen germination rates *in vitro* as a proxy to estimate pollen viability. Since hand  
276 emasculation revealed that self-pollination does not occur in camelina at Day 0 (Figure 3), all  
277 of the pollen samples were collected daily from each of the nine overexpression lines and the  
278 non-transgenic plants at Days 1 ~ 3. There was no apparent difference in the development of  
279 stamens and anther including pollen shape and size between the transgenic and  
280 non-transgenic plants when viewed under microscope (data not shown).

281 When cultured on the optimized pollen germination medium for 24 hours, the pollen  
282 germination rates were 60 ~ 80 % for pollen collected at Day 1, 40 ~ 60 % for pollen  
283 collected at Day 2, and 2 ~ 20% for pollen collected at Day 3 for all the overexpression lines  
284 and the non-transgenic plants (Figure 5). For pollen collected at Day 1, pollen germination  
285 rates were insignificantly different between all the overexpression lines (60% ~ 80%) and the  
286 non-transgenic plants (70% ~ 80%). For pollen collected at Days 2 and 3, however, five and

287 seven out of the nine overexpression lines exhibited significantly lower pollen germination  
 288 rates than the non-transgenic plants, respectively. For example, the pollen germination rate at  
 289 Day 2 was 60% for pollen collected in the non-transgenic plants, while that was 60%, 45%,  
 290 and 40% for pollen collected from each of the Levels 1, 2, and 3 cleistogamous lines,  
 291 respectively. Similarly, the pollen germination rate at Day 3 was 15% for pollen collected in  
 292 the non-transgenic plants, while that was 17%, 10%, and 5% for pollen collected from Levels  
 293 1, 2, and 3 cleistogamous lines, respectively. These results indicate that the overexpressed  
 294 *PpJAZ1* significantly affected pollen viability at Days 2 and 3 when flower finished anthesis  
 295 and petals started to fall off. It is worthwhile to point out that the pollen germination rate  
 296 dropped to 33% and 3% for pollen collected in line #21 at Days 2 and 3 (Figure 5B). Since  
 297 line #21 also showed insignificant difference in pod number per plant and seed number per  
 298 plant when compared to the non-transgenic plants (Figures 4B;4C), it was chosen for field  
 299 trial studies.

300



301

302 **Figure 5. The effect of the overexpressed *PpJAZ1* gene on pollen germination rates of**  
 303 **the transgenic camelina lines at Days 1 ~ 3. (A) Representative images of pollen**

304 germination. (B) Pollen germination rate. WT, wild-type (non-transgenic). Bar = 50  $\mu$ m.

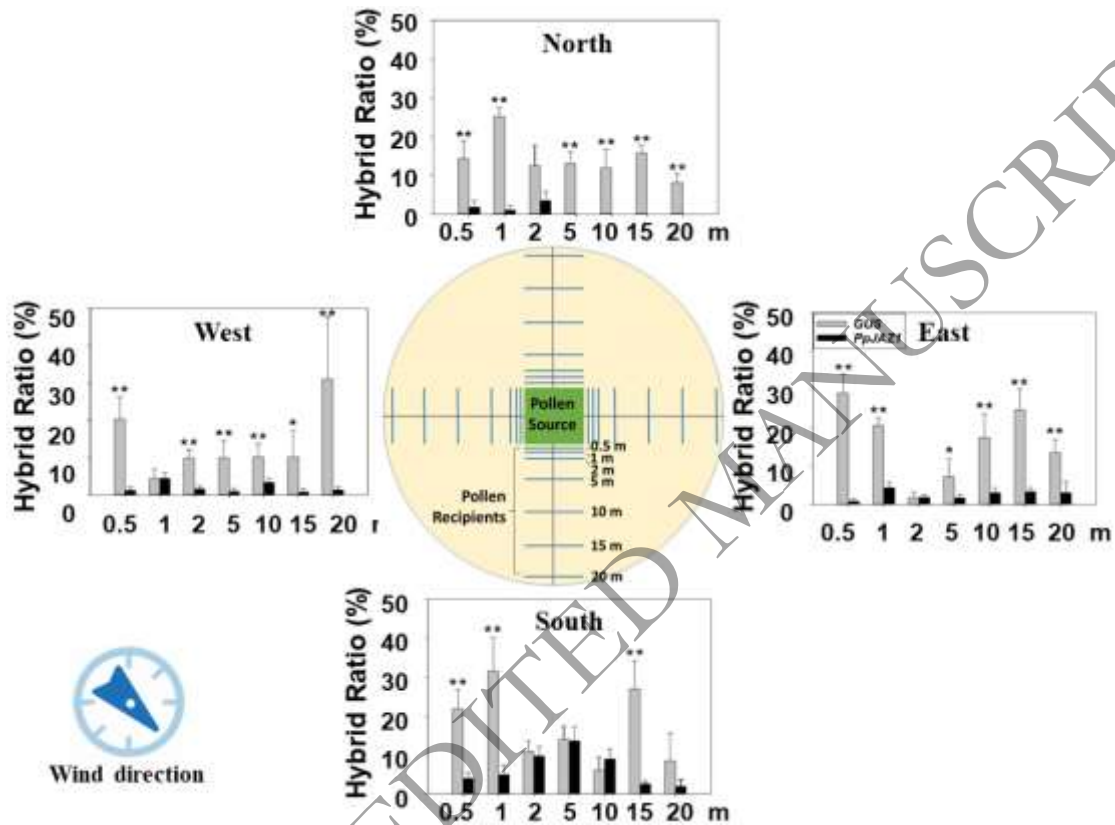
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### 306 **The effect of *PpJAZ1* overexpression on PMGF during field trials**

307 To investigate the effect of the overexpressed *PpJAZ1* on PMGF under open-field conditions,  
308 two field trials were conducted with *PpJAZ1* overexpression line #21 on one field site and a  
309 single-copied homozygous transgenic camelina line overexpressing the *GUSPlus* reporter  
310 gene on a second field site (Figure S5). The field trial of the *GUSPlus* overexpression line  
311 was used as the negative control since the overexpressed *GUSPlus* does not change flower  
312 petal opening and gentamycin was used for transgenic plant selection. Using a modified  
313 Nelder wheel design<sup>80</sup>, the *PpJAZ1* or *GUSPlus* overexpression lines were planted in the  
314 center of each field site and used as the pollen donor. The non-transgenic camelina plants  
315 were planted along each of the four rays (east, south, west, and north) of each field site at set  
316 distances (i.e. 0.2, 1, 2, 5, 10, 15, and 20 m from the edges of the pollen donors<sup>40</sup>) and used as  
317 the pollen recipients (Figures 6; S4). Weekly monitoring and analysis of field plots did not  
318 show apparent difference in agricultural traits including seed germination rate, plant height,  
319 leaf shape, flower opening pattern, flowering date, petal shape, petal number, silicle maturity  
320 date, and disease rating between transgenic and the non-transgenic plants in the field. All the  
321 plants started to flower at the end of March and seeds matured at the end of May.

322 Seeds were collected from all the non-transgenic plants (pollen recipients) at each  
323 distance in each ray of each field site. Three replicates of about 170 seeds per replicate were  
324 randomly chosen from each distance in each ray for each field site to germinate on MS solid  
325 media containing hygromycin (for *PpJAZ1*) or gentamycin (for *GUSPlus*). Hygromycin- or  
326 gentamycin-resistant seedlings should result from the pollination of the transgenic pollen on  
327 the non-transgenic stigmas. PCR amplification was used to confirm the presence of each  
328 transgene in the antibiotics-resistant seedlings. Thus, we used the hybrid ratio, which was

329 calculated by dividing the number of antibiotics-resistant and PCR-positive seedlings by the  
 330 total number of seedlings germinated for each distance in each ray for each field site, as a  
 331 proxy to estimate PMGF from the transgenic plants to the non-transgenic plants.



332  
 333 **Figure 6. The effect of the engineered cleistogamy in the best transgenic camelina line**  
 334 **on PMGF under the field conditions.** One field site per transgene was used for the  
 335 transgenic camelina line overexpressing the *PpJAZ1* or *GUSPlus* reporter genes. In each field  
 336 site, the best transgenic camelina line was planted for each transgene in the center of 5 × 5 m  
 337 as the pollen source, while the non-transgenic camelina plants were planted as the pollen  
 338 recipients in the four directions with distances of 0.5, 1, 2, 5, 10, 15, and 20 m for each  
 339 direction. Seeds were harvested from all of the non-transgenic camelina plants at each  
 340 distance in each direction on each field site, and used for germination on solid media  
 341 containing the proper antibiotics for selection, followed by PCR confirmation of the presence  
 342 of the transgene in the antibiotic-resistant seedlings.

343



344 For the *PpJAZ1* overexpression line, the hybrid ratios in the south were apparently  
345 higher than that in the east and west, while each of the latter two was apparently higher than  
346 that in the north (Figure 6). For example, the highest hybrid ratio in the south was detected at  
347 the distance of 5 m (13.6%), followed by the distances at 2 (10.9%), 10 (8.9%), 1 (5.0%), 0.5  
348 (4.0%), 15 (2.6%) and 20 m (0.9%). However, the highest hybrid ratio in the north was  
349 identified at the distance of 2 m (3.5%), followed by the distances at 0.5 (1.7%) and 1 (1.0%),  
350 and that were 0% at the distances at 5 ~ 20 m. The hybrid ratios in the east were comparable  
351 to that in the west, ranging from 0.7% to 4.4% for all the distances. These data are consistent  
352 with the prevailing southwest wind direction of the growing season in the field (Figure 6).

353 When compared to the *GUSPlus* control line, the hybrid ratio for the *PpJAZ1*  
354 overexpression line was dramatically lower (Figure 6). For the south, the hybrid ratios for the  
355 distances at 0.5, 1, and 15 m for the *PpJAZ1* overexpression line was 4.0%, 5.0%, and 2.6%,  
356 respectively, which was significantly less than their counterparts for the *GUSPlus* control line  
357 (i.e. 21.9%, 31.6%, and 27.1%, respectively). Similarly, a significant difference in the hybrid  
358 ratios was identified for the distances at 0.5, 1, 5, 10, 15, and 20 m for the east, for the  
359 distances at 0.5, 2, 5, 10, 15, and 20 m for the west, and for the distances at 0.5, 1, 5, 10, 15,  
360 and 20 m for the north.

361 As a result, the overexpressed *PpJAZ1* dramatically restricted PMGF under the field  
362 conditions.

363

## 364 Discussion

365 PMGF from transgenic plants to non-transgenic plants and wild relatives results in adverse  
366 effects on the environment. Effective and reliable bioconfinement methods are essential to  
367 prevent PMGF in the field. Here, we developed a highly effective bioconfinement approach  
368 to limit PMGF from transgenic camelina to non-transgenic camelina under the field

369 conditions using the overexpressed *PpJAZ1* gene in transgenic camelina. Substantial PMGF  
370 has been detected in camelina in the field<sup>39,40</sup>, making the development of effective  
371 bioconfinement approach a prerequisite for deploying transgenic camelina in the field. In the  
372 present study, we detected a maximum PMGF of 31.6% for the *GUSPlus* overexpression line  
373 (normal petal opening) at the sampling distance of 1 m (Figure 6), which was much higher  
374 than the detected maximum PMGF of 0.78% in Walsh *et al.*<sup>40</sup>. Thus, our results further  
375 confirmed the high outcrossing rate between camelina plants in the field and indicated that  
376 the outcrossing rate in camelina should be high for commercial field production. The  
377 difference in the PMGF values between both studies may indicate that many factors affect  
378 PMGF in camelina in the field, e.g. the population size of the donor plants and the receptor  
379 plants, weather conditions (temperature, humidity, wind direction, wind speed), and flowering  
380 time. Even though our data were from one-year field trials, we expect that our conclusion of  
381 the effect of the *PpJAZ1*-mediated cleistogamy on PMGF will not change if multiple years of  
382 field trials would be conducted.

383 More importantly, we found that the engineered cleistogamy in camelina dramatically  
384 inhibited PMGF under the field conditions since the hybrid ratios for the *PpJAZ1*  
385 overexpression line ranged from 0.0% to 13.6% under the field conditions, signifying the  
386 efficacy of an efficient bioconfinement approach for transgenic camelina production. Our  
387 approach might be better than previously reported bioconfinement methods such as male  
388 sterility, plastid transformation, and transgene excision since our engineered cleistogamy in  
389 the best-performing line does not interfere with pollen germination rate during anthesis and  
390 the silicle number and seed number per plant, but dramatically decreases pollen germination  
391 rates after anthesis (Figures 5; 6). The significantly decreased pollen germination rates after  
392 anthesis are important since petals start to wither and fall off at Days 2 and 3, which may help  
393 with pollen release. Thus, the present study is the first report of using cleistogamy for

394 bioconfinement in a dicot species under the field conditions, which should be potentially used  
395 for bioconfinement in other self-pollinated dicot species.

396 Ectopic expression of *PpJAZ1* induced cleistogamy in camelina in the present study  
397 and tobacco in Sherif *et al.*<sup>70</sup> indicate a conserved function of *PpJAZ1* in flower opening. The  
398 present study is the first to show that *PpJAZ1* overexpression significantly affected pollen  
399 germination in Days 2 and 3 but not Day 1. This is consistent with a previous report that the  
400 ectopic expression of *AtJAZ1* lacking the Jas domain caused sterile pollen in Arabidopsis  
401 since the Jas domain is important for JA signaling transduction and protein interaction<sup>75</sup>. The  
402 JA biosynthesis gene *ACX1* and *DAD1* also affect the flower development and petal  
403 expansion in Chinese cabbage and Arabidopsis<sup>71,73,75,81</sup>. The function of *PpJAZ1*-mediated  
404 cleistogamy in camelina will shed light on the potential application of the *JAZ1* gene in the  
405 PMGF in plants.

406 We did observe several aborted silicles on the main branches of some transgenic  
407 camelina lines due to the overexpression of *PpJAZ1*, leading to the significantly decreased  
408 silicle number per plant and seed number per plant in some transgenic lines (Figure 4). The  
409 underlying mechanism of the *PpJAZ1* overexpression-induced silicle abortion remains  
410 unknown even though it could be overcome by using flower-specific (e.g. the tomato  
411 MADS-box 6 (TM6) promoter<sup>82</sup>) or inducible promoters<sup>83-85</sup>. It is also worth noting that the  
412 *PpJAZ1* gene could be used together with other bioconfinement strategies, e.g. male sterility,  
413 transgenic mitigation, or maternal inheritance<sup>48,49,86,87</sup> for gene/trait stacking to further limit  
414 PMGF from transgenic plants.

## 415 **Experimental procedures**

### 417 **Plant materials and growth condition**

418 Camelina var. Calena was used for genetic engineering in the present study. The

419 non-transgenic and transgenic camelina plants were grown in growth chambers at 23°C with  
420 a 16:8 hour light/dark photoperiod (500  $\mu\text{E m}^{-2} \text{s}^{-1}$ ). The plants were watered as needed and  
421 fertilized weekly with soluble fertilizer Peters<sup>®</sup> Professional (Everris; Dublin, OH, USA).  
422 Monterey Garden Insect Spray (Lawn and Garden Products; Fresno, CA, USA) and Rose &  
423 Flower Insect Killer (SBM Life Science Corp; Cary, NC, USA) were used to control thrips  
424 and aphids every two weeks or as needed according to the manufacturer's instructions.

425

#### 426 **Vector construct**

427 The *GUSPlus* reporter gene was PCR amplified from the pCAMBIA1305.2 and cloned into  
428 the pZP35S:RFP vector (containing a gentamycin resistance gene for transgenic plant  
429 selection)<sup>88</sup> to replace the RFP reporter gene with the help of *Bam*HI and *Hind*III. The cDNA  
430 sequence of the cleistogamy-inducing *PpJAZ1* gene (Accession #: EMJ03624) from peach  
431 was PCR amplified from the binary vector pGrII-35S-PpJAZ1-eGFP<sup>70</sup>, fused in-frame with a  
432 red fluorescent protein *pporRFP* reporter gene<sup>88-90</sup>, and cloned into the pCR8 vector (Thermo  
433 Fisher; Waltham, MA, USA). Following Sanger sequencing, the *PpJAZ1-pporRFP* was  
434 cloned into the binary vector pMDC32 (containing a hygromycin resistance gene for  
435 transgenic plant selection) under the control of the full-length CaMV 35S promoter using the  
436 LR reaction (Thermo Fisher; Waltham, MA, USA). The resulting destination plasmid was  
437 confirmed using Sanger sequencing, and named as the pMDC35S JAZ1-RFP (Figure S1).  
438 The primers used in PCR amplification were listed in Table S1.

439

#### 440 **Plant transformation**

441 Camelina transformation was performed using the floral dip method as described  
442 previously<sup>22,76</sup> with modifications. The binary vectors pMDC35S JAZ1-RFP and pZP35S  
443 *GUSPlus* were transformed into *Agrobacterium tumefaciens* strain GV3850 individually. The

444 *Agrobacterium* colonies were selected on YEP solid media containing rifampicin (50 mg/L)  
445 and kanamycin (50 mg/L) or spectinomycin (100 mg/L) at 28°C for 2-3 days. The positive  
446 colonies were verified by PCR and Sanger sequencing and restreaked twice and then grown  
447 in 5 mL liquid YEP (5 g/L yeast extract, 10 g/L peptone, 5 g/L NaCl; pH6.8) containing  
448 antibiotics. Overnight culture of the 5 mL *Agrobacterium* was transferred into a 1 L flask  
449 containing 500 ml of the same YEP medium and incubated for 16 h at 28°C. The  
450 *Agrobacterium* suspension was centrifuged at 4,000 rpm for 10 min and re-suspended in  
451 infiltration medium (pH 5.7) consisting of half strength Murashige and Skoog Salt Mixture  
452 powder (MS) basal salts, 50 g/L sucrose, 2 mg/L benzylaminopurine (BAP) in dimethyl  
453 sulfoxide (DMSO), and 0.05% (v/v) Silwet L77 (Lehle Seeds; Round Rock, TX, USA) prior  
454 to plant transformation. Flowering camelina plants were placed in a 65-L capacity vacuum  
455 desiccator (Bel-Art-SP Scienceware; Wayne, NJ, USA) and the inflorescence shoots were  
456 dipped in the *Agrobacterium* suspension culture in a 1,000-mL plastic box. The vacuum  
457 desiccator was slowly brought to a pressure of 80 psi and held for 5 minutes. Then, the plants  
458 were kept under black plastic bags in darkness for 24 h, followed by being transferred to  
459 growth chamber under normal growing conditions for seed harvest (T<sub>1</sub> seeds).

460

#### 461 **Phenotypic and molecular analysis of transgenic plants**

462 T<sub>1</sub> seeds of the transgenic camelina plants overexpressing *PpJAZ1-pporRFP* were harvested  
463 and selected on MSO solid medium with hygromycin (50 mg/L) and Timentin (400 mg/L). T<sub>1</sub>  
464 seeds of the transgenic camelina plants overexpressing *GUSPlus* were harvested and selected  
465 on MSO solid medium with gentamycin (300 mg/L) and Timentin (400 mg/L).

466 Non-transgenic camelina cv. Calena was used as the negative control. Potential transgenic T<sub>1</sub>  
467 lines were selected based on the root length and shoot length when compared with  
468 non-transgenic plants, and transferred to soil for growth to flowering.

469 These potential transgenic lines were subjected to visual phenotypic screening for the  
470 cleistogamous phenotype at the floral stage. The seeds from the lines with cleistogamous  
471 phenotype were screened on the same MSO plates, and the 3:1 antibiotic resistance  
472 segregating (i.e., single-copied) lines were advanced into next generations in greenhouse for  
473 homozygosity. Silicle number per plant and seed number per pod were counted and recorded.  
474 One hundred seed weight were measured using a Mettler-Toledo ME54TE analytical balance  
475 (Mettler-Toledo; Mettler Toledo, Schwerzenbach, Switzerland).

476 The single-copied homozygous lines were subjected to PCR confirmation of the  
477 presence of the transgene using the transgene-specific primers (Table S1). The genomic DNA  
478 were extracted from 100 mg young leaf of each line using the CTAB method<sup>91</sup>. The DNA  
479 concentration was measured by Nanodrop ND-1000 spectrophotometer (NanoDrop  
480 Technologies; Wilmington, DE, USA). PCR reactions were conducted with an initial denature  
481 at 94°C for 3 min, followed by 40 cycles of 94°C 30 s, 58°C 30 s, 72°C 60 s and an extension  
482 of 72°C 10 min. PCR amplicons were analyzed by gel electrophoresis.

#### 484 **RNA isolation and cDNA synthesis**

485 The single-copied homozygous lines were also subjected to real-time RT-PCR analysis of  
486 relative transgene expression. Total RNA was extracted from 100 mg young leaf tissue of  
487 each line using the TRIzol reagent (Molecular Research Center; Cincinnati, OH, USA)  
488 according to manufacturer's instructions. Three biological replicates were used for each line.  
489 DNase I (New England Biolabs; Ipswich, MA, USA) was used to remove the contaminated  
490 genomic DNA, followed by RNA purification using the GeneJET RNA Cleanup and  
491 Concentration Micro Kit (Thermo Fisher; Waltham, MA, USA). RNA concentration and  
492 purity was measured by Nanodrop ND-1000 spectrophotometer followed by gel  
493 electrophoresis.

494 cDNA synthesis was performed from 1 µg of total RNA using the SuperScript III  
495 First-Strand Synthesis System (Thermo Fisher; Waltham, MA, USA), 1 µl random primers  
496 (50 ng/µl), 1 µl 10 mM dNTPs and DEPC-treated water in a final volume of 10 µl. The  
497 mixture was incubated at 65°C for 5 min and then on ice for 1 min. The following cDNA  
498 synthesis mixture was prepared by addition of 2 µl 10 × RT buffer, 4 µl MgCl<sub>2</sub>, 2 µl DTT, 1  
499 µl RNase OUT<sup>TM</sup> and 1 µl SuperScript<sup>TM</sup> III RT. The mixture was incubated at 25°C for 10  
500 min, 50°C for 50 min, and 85°C for 5 min. The synthesized cDNA was stored in -20°C.

501

## 502 **qPCR**

503 qPCR was performed in a CFX96 Touch Real-Time PCR detection system (Bio-Rad  
504 Laboratories; Hercules, CA, USA) using the FastStart Universal SYBR Green Master (Roche  
505 Diagnostics Corporation; Indianapolis, IN, USA) as described in Zhao *et al.*<sup>77</sup> and Duduit *et*  
506 *al.*<sup>92</sup> The optimal annealing temperature, each primer concentration, and appropriate cDNA  
507 concentrations were determined to get the lowest Cycle threshold (Ct) value as the optimal  
508 conditions prior to the qPCR. Temperature gradient PCR was used to test the optimal  
509 annealing temperature under the diluted cDNA concentration (1:10 dilution) and 350 nM  
510 primers. The optimal annealing temperature was set at the lowest Ct value in the gradient  
511 PCR and used for the optimal primer concentration screening. The standard cDNA  
512 concentration curve with a logarithmic scale was determined by serial dilutions of the cDNA  
513 (1:10, 1:20, 1:40, 1:80, 1:160 dilution). The camelina *Actin* gene (accession *Csa19g026200*)  
514 was used as the reference gene. Three biological replicates were used with three technical  
515 replicates to minimize the systematic error.

516

## 517 **Floral emasculation experiment**

518 In order to determine whether selfing occurs in unopened camelina flowers in Day 0, the  
519 filaments of the non-transgenic camelina flowers were completely removed (emasculated)  
520 and bagged in Day 0. Fruit pods were counted and photographed in Day 4.

521

### 522 ***In vitro* pollen germination experiment**

523 Pollen was collected from fully opened flowers of non-transgenic Calena and single-copied  
524 homozygous transgenic lines in Day 1, 2 or 3, and germinated on pollen germination medium  
525 containing 15% sucrose, 5 ppm boric acid, and 0.5% agar at room temperature in the dark for  
526 24 hours. Pollen germination rate was counted under the light microscope (Nikon; Minato  
527 City, Tokyo, Japan).

528

### 529 **Field trials of PMGF**

530 To measure PMGF from transgenic to non-transgenic camelina plants, the field trial  
531 experiments were carried out at Sandhills Research Station, Jackson Springs, NC  
532 (35.18782°N 79.68°W) from March 20, 2020 to July 10, 2020. Two field sites which were  $\geq$   
533 100 m away from each other were conducted in each field trial. Herbicides and fertilizer were  
534 applied to each field prior the field trial. A modified field design was used in the field  
535 experiment<sup>78</sup>. The best homozygous cleistogamous plants overexpressing *PpJAZ1* or  
536 *GUSPlus* were used as the pollen donors and planted in rows by hand in the source square (5  
537  $\times$  5 m) with 50-cm modified row spacing<sup>39</sup>. The non-transgenic pollen recipient plants were  
538 designed at distances of 0.5, 1, 2, 5, 10, 15, and 20 m in the four directions from the pollen  
539 donors<sup>40</sup>. Both transgenic and non-transgenic seeds were planted at a depth of  $\sim$ 1 cm. In each  
540 All seeds were collected and harvested from the pollen recipient plants at each distance in  
541 each direction of each field site. The seeds collected from the recipient plants were  
542 germinated on germination plate with selected antibiotics, and seed germination rate was



543 recorded. PCR amplification was used to confirm the presence of each transgene in the  
544 antibiotics-resistant seedlings.

545

#### 546 **Statistical analysis**

547 Statistical analysis was performed using the software SAS ( $p \leq 0.05$ ; SAS 9.2 for Windows;  
548 SAS Institute, Cary, NC).

549

#### 550 **Author contributions**

551 WL conceived and designed the project. DH, LG, and JA conducted the experiments and  
552 collected the data. DH, LG, and YW performed camelina transformation. DH, JM, FZ, HL, JM,  
553 and WL performed the field trials. DH, HD, and WL analyzed the data and wrote the  
554 manuscript. SS and JS provided the *PpJAZ1* gene. All authors helped with manuscript writing,  
555 and read and approved the final manuscript.

556

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563

#### 564 **Data availability statement**

565 All the data supporting the findings of the present study are available within the paper and its  
566 supplementary data.

567

568 **Conflicts of interest**

569 The authors declare competing interests since they are filing a patent application.

570

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