

**Discovery of Facultative Parthenogenesis in a New World  
Crocodile**

Journal:	<i>Biology Letters</i>
Manuscript ID	RSBL-2023-0129.R1
Article Type:	Research
Date Submitted by the Author:	n/a
Complete List of Authors:	Booth, Warren; Virginia Polytechnic Institute and State University, Department of Entomology; Chiricahua Desert Museum Levine, Brenna; Kean University Dorothy and George Hennings College of Science Mathematics and Technology, Department of Biology Corush, Joel; University of Illinois Urbana-Champaign Davis, Mark; University of Illinois, Illinois Natural History Survey Dwyer, Quetzal; Reptilandia Reptile Lagoon De Plecker, Roel; Parque Reptilandia Schuett, Gordon; Georgia State University, Biology; Chiricahua Desert Museum
Subject:	Evolution < BIOLOGY
Categories:	Evolutionary Biology
Keywords:	Facultative parthenogenesis, Terminal fusion automixis, Crocodylia, Temperature-dependent sex determination, Archosaur, Dinosauria

**Author-supplied statements**

Relevant information will appear here if provided.

**Ethics**

*Does your article include research that required ethical approval or permits?:*

This article does not present research with ethical considerations

*Statement (if applicable):*

This study utilized skin shed from the mother and tissue extracted from the stillborn fetus. As such, as no animals were harmed no institutional IACUC approval was required.

**Data**

*It is a condition of publication that data, code and materials supporting your paper are made publicly available. Does your paper present new data?:*

Yes

*Statement (if applicable):*

Raw data used in this manuscript can be found online in the Dryad data repository at <https://doi.org/10.5061/dryad.7sqv9s4x1>. Whole genome sequencing was performed by Novogene (Sacramento, CA) on an Illumina platform (NovaSeq 6000 PE150). Raw sequences were mapped by Novogene to the Saltwater crocodile, *Crocodylus porosus*, reference genome with SNPs identified by Novogene using the following the command in SAMtools: `mpileup -m 2 -F 0.002 -d 10`. Raw data files are uploaded in .vcf, .txt, .csv, and STRUCTURE file formats. A full description of how the raw data was analyzed can be found in the Genomic Parthenogenesis Assessment section of the online supplemental material.

**Conflict of interest**

I/We declare we have no competing interests

*Statement (if applicable):*

CUST\_STATE\_CONFLICT :No data available.

Journal: Biology Letters

Article type: Research Article

DISCOVERY OF FACULTATIVE PARTHENOGENESIS IN A NEW WORLD CROCODILE

Warren Booth<sup>1,2,\*</sup>, Brenna A. Levine<sup>2,3</sup>, Joel B. Corush<sup>4</sup>, Mark A. Davis<sup>4</sup>, Quetzal Dwyer<sup>5</sup>,  
Roel De Plecker<sup>6</sup>, and Gordon W. Schuett<sup>2,7</sup>

<sup>1</sup> Department of Entomology, Virginia Polytechnic Institute and State University, Blacksburg, Virginia, USA.

<sup>2</sup> Chiricahua Desert Museum, Rodeo, New Mexico, USA

<sup>3</sup> Department of Biology, Kean University, Union, New Jersey USA

<sup>4</sup> Illinois natural History Survey, Prairie Research Institute, University of Illinois Urbana-Champaign, Illinois USA

<sup>5</sup> Reptilandia Reptile Lagoon, Johnson City, Texas, USA

<sup>6</sup> Parque Reptilandia, Dominical 5000, Puntarenas Province, Costa Rica

<sup>7</sup> Department of Biology | Neuroscience Institute, Georgia State University, Atlanta, Georgia, USA

\* E-mail: warrenbooth@vt.edu

**ORCID:** WB: 0000-0003-2355-0702; BAL: 0000-0002-4326-591X; JBC: 0000-0001-8192-4691 MAD: 0000-0001-9034-9430; GWS: 0000-0002-2133-3723

## 1 **Abstract**

2 Over the past two decades, there has been an astounding growth in the documentation of vertebrate  
3 facultative parthenogenesis (FP). This unusual reproductive mode has been documented in birds,  
4 non-avian reptiles—specifically lizards and snakes—, and elasmobranch fishes. Part of this growth  
5 among vertebrate taxa is attributable to awareness of the phenomenon itself and advances in  
6 molecular genetics/genomics and bioinformatics, and as such our understanding has developed  
7 considerably. Nonetheless, questions remain as to its occurrence outside of these vertebrate  
8 lineages, most notably in Chelonia (turtles) and Crocodylia (crocodiles, alligators, and gharials).  
9 The latter group is particularly interesting because unlike all previously documented cases of FP  
10 in vertebrates, crocodylians lack sex chromosomes and sex determination is controlled by  
11 temperature. Here, using whole-genome sequencing data, we provide the first evidence of FP in a  
12 crocodylian, the American Crocodile, *Crocodylus acutus*. The data support terminal fusion  
13 automixis as the reproductive mechanism; a finding which suggests a common evolutionary origin  
14 of FP across reptiles, crocodylians, and birds. With FP now documented in the two main branches  
15 of extant archosaurs, this discovery offers tantalizing insights into the possible reproductive  
16 capabilities of the extinct archosaurian relatives of crocodylians and birds, notably members of  
17 Pterosauria and Dinosauria.

18

## 19 **Key Words**

20 Facultative parthenogenesis; Terminal fusion automixis; Crocodylia; Temperature-dependent sex  
21 determination; Archosaur; Dinosauria.

22

23

## 24 **Introduction**

25 Once considered rare, the ability of sexually reproducing species to generate offspring without  
26 genetic contributions of males, termed facultative parthenogenesis (hereafter, FP), has been  
27 documented across multiple vertebrate lineages, including both avian [1] and non-avian reptiles  
28 (specifically snakes and lizards) [2], and elasmobranch fishes, with notable examples in sharks,  
29 rays, and sawfish [3-5]. The widespread phylogenetic distribution and increasing frequency with  
30 which FP is reported suggests that the occurrence of this unusual reproductive strategy is less  
31 sporadic than previously suggested [6]. In vertebrates, common FP characteristics include: (i)

32 strict occurrence in lineages lacking genomic imprinting, a developmental mechanism believed  
33 to be restricted to the main mammalian lineages (Eutheria, Marsupialia) [7], (ii) the sex of  
34 parthenogens is constrained by the species' sex chromosome system, where XX females produce  
35 only female (XX) parthenogens [1,3,7] and ZW females produce only male (ZZ) parthenogens  
36 [1,8-13], (iii) the capacity to switch between sexual and parthenogenetic modes of reproduction  
37 [14], (iv) the ability to produce both sexual and parthenogenetic offspring within single  
38 reproductive events [15; Booth pers. observ.], (v) the capacity for consecutive parthenogenetic  
39 events [5,13,16,17], and (vi) the reproductive competence of adult parthenogens, with both  
40 sexual [10, Booth pers. observ.] and secondary parthenogenetic events documented [19, Booth,  
41 pers. observ.]. Furthermore, viable parthenogens have been reported in wild populations [4,20].  
42 Accordingly, these commonalities among taxa suggest that the phyletic distribution and  
43 evolutionary significance of FP have been underestimated.

44 Documentation of FP in vertebrates are increasing for two primary reasons. First, the  
45 contribution of specimens with detailed records from zoological institutions and private keepers  
46 predominate in studies, including samples otherwise difficult to obtain (e.g., California Condor  
47 [21]; Komodo Dragon [12]; King Cobra [22]). Second, the advent of modern molecular analysis,  
48 particularly of genome-scale data, has enabled FP to be robustly disentangled from the  
49 phenomenon of long-term female sperm storage (LTSS) [23,24]. With records of LTSS  
50 exceeding six years [24], it is the main competing hypothesis for the production of offspring after  
51 prolonged periods of isolation from potential mates [23,24].

52 Nonetheless, questions remain as to the occurrence of FP outside of squamates, birds, and  
53 elasmobranchs. For example, FP has not been documented in Chelonia (turtles) or Crocodylia  
54 (crocodiles, alligators, and gharials). The latter group is particularly interesting as, unlike all  
55 previously documented cases of FP in vertebrates, all crocodylians lack sex chromosomes, and  
56 sex determination is controlled by temperature, a mechanism termed temperature-dependent sex  
57 determination (TSD) [25]. Furthermore, crocodylians are members of a larger monophyletic  
58 lineage (Archosauria), a crown group which at its base splits into two groups, one  
59 (Pseudosuchia) comprising crocodylians and their extinct relatives and the other  
60 (Avemetatarsalia) that contains birds and their extinct relatives, including pterosaurs and non-  
61 avian dinosaurs. Here, leveraging whole-genome sequencing and bioinformatic analysis, we  
62 provide the first evidence of FP in a crocodylian, the American Crocodile, *Crocodylus acutus*.

63

64 **Methods**

65 The American Crocodile (Figure 1A) is a large and wide-ranging apex predator. Owing to its  
66 saltwater tolerance, the species occurs from the southern U.S. (Florida) to Northern South  
67 America (Colombian, Venezuela, and Peru). It is IUCN listed as vulnerable and is at risk of  
68 extinction in the wild (<http://www.iucnredlist.org/species/5659/212805700>). On 17 January  
69 2018, a clutch of 14 eggs was discovered in the enclosure of an 18-year-old female American  
70 Crocodile housed on public exhibit at Parque Reptilandia, Costa Rica. This female was obtained  
71 when it was 2 years old (2002) and maintained in isolation from other crocodilians for its entire  
72 life. Of the 14 eggs laid, 7 appeared to be fertile and were artificially incubated. After three  
73 months of incubation the eggs had failed to hatch and were opened to assess their contents. The  
74 contents of six eggs were not discernable, but one egg was found to contain a fully-formed  
75 nonviable fetus that upon dissection was determined via gross gonadal morphology as female  
76 (Figure 1B) (Supplemental information). DNA was extracted from a scute shed by the mother  
77 and cardiac muscle obtained from the fetus using a Qiagen DNeasy Blood & Tissue kit (Qiagen.  
78 Hilden, Germany) was sent to Novogene (Sacramento, CA) for whole genome sequencing on an  
79 Illumina platform (NovaSeq 6000 PE150). Raw sequences were mapped by Novogene to the  
80 Saltwater crocodile, *Crocodylus porosus*, reference genome with single nucleotide  
81 polymorphisms (SNPs) identified by Novogene using the following the command in SAMtools:  
82 `mpileup -m 2 -F 0.002 -d 10`.

83 Three thinned SNP data sets comprising 191,255 SNPs (thinned to one variant per 10 kb),  
84 78,603 SNPs (thinned to one variant per 25 kb), and 39,746 SNPs (thinned to one variant per 50  
85 kb), were analyzed using ParthenoGenius [26] to test for evidence and mode of parthenogenesis.  
86 ParthenoGenius first determines whether paternal alleles are present in the offspring. If the  
87 number of maternally homozygous loci for which the offspring has non-identical genotypes to  
88 the mother is less than that expected due to genotyping error alone (i.e., the offspring is  
89 homozygous at all or nearly all of the mother's homozygous loci), the offspring is identified as a  
90 likely parthenogen. ParthenoGenius then scans maternally heterozygous loci to identify those at  
91 which the offspring has retained heterozygosity. Based on the proportion of retained  
92 heterozygosity and taking into consideration the estimated per-base error rate, the  
93 parthenogenetic mode is identified as either gametic duplication or automixis (Supplemental

94 Information). Automictic parthenogenesis can be subdivided into terminal fusion, where the  
95 terminal meiotic products fuse resulting in near genome-wide homozygosity [22], and central  
96 fusion, where parthenogens exhibit extensive genome-wide heterozygosity at a comparable level  
97 to that of the mother [27]. The latter is not known to occur in vertebrates [28].

98

## 99 **Results**

100 The offspring was found to have identical genotypes to the mother at > 99.9% of her  
101 homozygous loci, demonstrating a lack of paternal alleles. Genome-wide maternal  
102 heterozygosity was 0.349, compared to 0.045 for the offspring, demonstrating a significant  
103 reduction in heterozygosity in the offspring when compared to the mother. Regardless of the data  
104 set evaluated, the offspring retained approximately 3% of the maternal heterozygosity (Table 1),  
105 supporting facultative parthenogenesis through terminal fusion automixis as the mode of  
106 reproduction. Note that in instances of central fusion, retained heterozygosity is expected to  
107 exceed 33% of that of the mother, whereas terminal fusion will be less than 33% [29]. Due to the  
108 lack of a chromosome-scale *C. acutus* genome, it is not possible at this time to identify the actual  
109 genomic regions that retain heterozygosity with any accuracy.

110

## 111 **Discussion**

112 Our results provide both novel and substantive evidence of facultative parthenogenesis in an  
113 extant archosaur, the American Crocodile. Crocodylians diverged from other archosaurs ~240  
114 million years ago [30]. Following this split, Pterosauria and Dinosauria underwent substantive  
115 diversification, eventually giving rise to modern birds circa 65 mya [30,31], the only extant  
116 theropod dinosaur lineage (Figure 2). As such, we now have evidence of FP in the two main  
117 branches of extant archosaurians: crocodylians (Pseudosuchia) and birds (Avemetatarsalia).  
118 Furthermore, the most-recent common ancestor of squamates (snakes, lizards, and  
119 amphisbaenids), lineages for which FP has been widely documented in both snakes and lizards  
120 [1], and crocodylians, diverged ~267.0 – 312.3 mya [32], suggesting that FP may be a primitive  
121 condition. Comparable to FP studies of birds, snakes, and lizards, the evidence presented here  
122 supports terminal fusion automixis as the parthenogenetic developmental mechanism [1,22].  
123 Accordingly, at this time, these commonalities do not suggest independent evolutionary origins  
124 of this trait across these lineages, but instead a trait likely possessed by a distant common

125 ancestor of these lineages. While beyond the scope of the current study, it is clear that more work  
126 is required to fully test the evolutionary distribution and dynamics of FP across deeper  
127 evolutionary time, allowing for a more comprehensive understanding of the ancestral states and  
128 rates of FP across vertebrate lineages.

129 Building on previous studies, the data presented here advance our understanding of the  
130 distribution of FP in vertebrates, particularly in that all previous studies relate to species whose  
131 sex is genetically determined (i.e., XX|XY or ZZ|ZW). In crocodylians, sex chromosomes are  
132 absent and the sex of the offspring is thus temperature-dependent [25]. *Crocodylus acutus*  
133 exhibits a Female-Male-Female TSD pattern, with temperatures below 30°C and above 33°C  
134 producing 100% females, and temperatures around 31.5°C producing a majority of males  
135 [25,33]. Here, eggs were incubated at 29-30°C and the fully formed yet stillborn fetus was  
136 female. Temperature-dependent sex determination is an ancestral trait in crocodylians, being  
137 present also in turtle, lizard and tuatara lineages. Within turtles, recent genomic analyses have  
138 revealed that many genes associated with calcium signaling pathways and neuroactive ligand-  
139 receptor interactions are associated with TSD [32]. If these genes are also involved in the sex  
140 determination process in crocodiles, it appears that the genomic reduction inherent with terminal  
141 fusion automixis has not affected the expected offspring sex; larger sample sizes are required for  
142 confirmation of this finding.

143 While it is disappointing that the crocodile parthenogen produced here failed to hatch, it  
144 is not uncommon to see non-viable fetuses and developmental abnormalities within litters or  
145 clutches of parthenogens [1,22,34], and long-term failure to thrive even for individuals born  
146 outwardly healthy [35]. For example, following a nine-year study of FP in turkeys, it was found  
147 that on average 3.3% of parthenogenetic eggs hatch [34]. That study followed the fate of 2084  
148 parthenogenetic eggs, with 68 hatching. Furthermore, parthenogens have attained adulthood in a  
149 variety of species studied, including Turkeys, *Meleagris gallopavo* [10], California Condors,  
150 *Gymnogyps californianus* [21, Boa constrictors, *Boa imperator* [16], and the whitespotted  
151 bambooshark *Chiloscyllium plagiosum* [19]. Studies following the fitness of parthenogens are  
152 lacking, however substantial negative costs associated with the trait have been demonstrated in  
153 sharks [35]. While fitness costs are evident, it has been shown that turkey parthenogens and both  
154 Boa Constrictors, *Boa imperator*, and Royal Pythons, *Python regius*, that survive to adulthood  
155 are reproductively competent [34, Booth, pers. observ.]. As such, the failure of this crocodile



156 parthenogen to hatch should not be viewed as an indicator that all crocodile parthenogens will be  
157 non-viable.

158 The evidence presented here provides the first documentation of parthenogenesis in the  
159 reptilian lineage Crocodylia. Its discovery was dependent on the attention provided by those that  
160 maintain the animal in captivity. For instance, while it is not uncommon for captive reptiles to  
161 lay clutches of eggs, given the period of isolation from mates, these would normally be  
162 considered non-viable and discarded. These findings therefore suggest that eggs should be  
163 assessed for potential viability when males are absent. Furthermore, given that FP can occur in  
164 the presence of potential mates [4,9,20], instances of FP in crocodylians may be missed when  
165 reproduction occurs in females co-habited with males. The discovery of FP in several snake  
166 species was reliant on the presence of color or pattern variants, where offspring exhibited  
167 variants inconsistent with sexual reproduction by the putative parents [9,16]. Due to the rarity of  
168 widespread color/pattern variants in crocodylians, such anomalies indicative of FP would be  
169 missed, and hence parthenogens produced by females cohabiting with males would be  
170 overlooked without genomic testing. Moreover, it has been hypothesized that FP may be more  
171 common in low-density populations, such as those on the verge of extinction [4]. Accordingly,  
172 genomic studies investigating wild populations should assess for the potential presence of cryptic  
173 parthenogens [4,20].

174 With terminal fusion automictic FP documented in squamates, birds, and now  
175 crocodylians, this new evidence offers tantalizing insights into the possible reproductive  
176 capabilities of extinct archosaurian relatives of crocodylians, notably the Pterosauria and  
177 Dinosauria [36,37].

178

## 179 **Acknowledgements**

180 We would like to thank the workers at Parque Reptilandia, Costa Rica, namely Fredy Arias  
181 Sequeira and Adonay Picado. Additionally, we thank Marcello Carvajal and Marion Moh for  
182 their assistance. We also thank Jordan Hartman in the Department of Natural Resources and  
183 Environmental Sciences, College of Agriculture, Consumer and Environmental Sciences, and the  
184 Illinois Natural History Survey, Prairie Research Institute at the University of Illinois Urbana-  
185 Champaign for graphic design of Figure 2.

186

187 **Funding**

188 This work was supported by start-up funds provided by the College of Agriculture and Life  
189 Sciences at Virginia Polytechnic Institute and State University and the College of Engineering  
190 and Natural Sciences at The University of Tulsa to WB.

191

192 **References**

- 193 1. Booth W, Schuett GW. 2016. The emerging phylogenetic pattern of parthenogenesis in  
194 snakes. *Biol. J. Linn. Soc.* **118**, 172-186.
- 195 2. Ramachandran R, McDaniel C. 2018. Parthenogenesis in birds: a review. *Reproduction.*  
196 **155**, R245-R257.
- 197 3. Chapman DD, Shivji MS, Louis E, Sommer J, Fletcher H, Prodöhl PA. 2007. Virgin birth  
198 in a hammerhead shark. *Biol. Lett.* **3**, 425-427.
- 199 4. Fields AT, Feldheim KA, Poulakis GR, Chapman DD. 2015. Facultative parthenogenesis  
200 in a critically endangered wild vertebrate. *Curr. Biol.* **25**, R446-R447.
- 201 5. Harmon TS, Kamerman TY, Corwin AL, Sellas AB. 2016. Consecutive parthenogenetic  
202 births in a spotted eagle ray *Aetobatus narinari*. *J. Fish Biol.* **88**, 741-745.
- 203 6. Avise JC. 2008. Clonality. The Genetics, Ecology, and Evolution of Sexual Abstinence in  
204 Vertebrate Animals. Oxford University Press, New York: New York.
- 205 7. Renfree MB, Suzuki S, Kaneko-Ishino T. 2013. The origin and evolution of genomic  
206 imprinting and viviparity in mammals. *Philos. Trans. R. Soc. Lond. B Biol. Sci.* **368**,  
207 20120151.
- 208 8. Gamble T, Castoe TA, Nielsen SV, Banks JL, Card DC, Schield DR, Schuett GW, Booth  
209 W. The discovery of XY sex chromosomes in a *Boa* and *Python*. *Curr. Biol.* **27**, 2148-  
210 2153.
- 211 9. Booth W, Schuett GW, Ridgway A, Buxton DW, Castoe TA, Bastone G, Bennett C,  
212 McMahan Wm. 2014. New insights on facultative parthenogenesis in pythons. *Biol. J.*  
213 *Linn. Soc.* **112**, 461-468.
- 214 10. Olsen MW 1966. Segregation and replication of chromosomes in turkey parthenogenesis.  
215 *Nature.* **5060**, 435-436.
- 216 11. Schuett GW, Fernandez PJ, Gergits WF, Casna NJ, Chiszar D, Smith HM, Mitton JB,  
217 Mackessy SP, Odum RA, Demlong MJ. 1997. Production of offspring in the absence of  
218 males: evidence for facultative parthenogenesis in bisexual snakes. *Herpetol. Nat. Hist.* **5**,  
219 1-10.
- 220 12. Watts PC, Buley KR, Sanderson S, Boardman W, Ciofi D, Gibson R. 2006.  
221 Parthenogenesis in Komodo dragons. *Nature.* **444**, 1021-1022.
- 222 13. Reynolds GR, Booth W, Schuett GW, Fitzpatrick BM, Burghardt GM. 2012. Successive  
223 virgin births of viable male progeny in the checkered gartersnake, *Thamnophis*  
224 *marcianus*. *Biol. J. Linn. Soc.* **107**, 566-572.

- 225 14. Dudgeon CL, Coulton L, Bone R, Ovenden JR, Thomas S. 2017. Switch from sexual to  
226 parthenogenetic reproduction in a zebra shark. *Sci. Reports.* **7**, 40537.
- 227 15. Kratochvíl L, Vukić J, Červenka J, Kubička L, Pokorná MJ, Kukačková D, Rovatsos M,  
228 Piálek L. 2020. Mixed-sex offspring produced via cryptic parthenogenesis in a lizard.  
229 *Mol. Ecol.* **29**, 4118-4127.
- 230 16. Booth W, Johnson DH, Moore S, Schal C, Vargo EL. 2012. Evidence for viable, non-  
231 clonal but fatherless Boa constrictors. *Biol. Lett.* **7**, 253-256.
- 232 17. Booth W, Million L, Reynolds RG, Burghardt GM, Vargo EL, Schal C, Tzika AC,  
233 Schuett GW. 2011. Consecutive virgin births in the New World boid snake, the  
234 Colombian Rainbow Boa, *Epicrates maurus*. *J. Hered.* **102**, 759-763.
- 235 18. Robinson DP, Baverstock W, Al-Jaru A, Hyland K, Khazanekhdari KA. 2011. Annually  
236 recurring parthenogenesis in a zebra shark *Stegostoma fasciatum*, *J. Fish. Biol.* **79**, 1376-  
237 1382.
- 238 19. Straube N, Lampert KP, Geiger MF, Weiß JD, Kirchhauser JX. 2016. First record of  
239 second-generation facultative parthenogenesis in a vertebrate species, the whitespotted  
240 bambooshark *Chiloscyllium plagiosum*. *J. Fish Biol.* **88**, 668-675.
- 241 20. Booth W, Smith CF, Eskridge PH, Hoss SK, Mendelson III JR, Schuett GW. 2012.  
242 Facultative parthenogenesis discovered in wild vertebrates. *Biol. Lett.* **8**, 983-985.
- 243 21. Ryder OA, Thomas S, Judson JM, Romanov MN, Dandekar S, Papp JC, Sidak-Loftis LC,  
244 Walker K, Stalis IH, Mace M, Steiner CC, Chemnick LG. 2021. Facultative  
245 parthenogenesis in California condors. *J. Hered.* **112**, 569-574.
- 246 22. Card DC, Vonk FJ, Smalbrugge S, Casewell NR, Wüster W, Castoe TA, Schuett GW,  
247 Booth W. 2021. Genome-wide data implicate terminal fusion automixis in king cobra  
248 facultative parthenogenesis. *Sci. Rep.* **11**, 7271.
- 249 23. Booth W, Schuett GW. 2011. Molecular genetic evidence for alternate reproductive  
250 strategies in North American pitviper (Serpentes, Viperidae): long-term sperm storage  
251 and parthenogenesis. *Biol. J. Linn. Soc.* **104**, 934-942.
- 252 24. Levine BA, Schuett GW, Booth W. 2021. Exceptional long-term sperm storage by a  
253 female vertebrate. *PLoS ONE.* **16(6)**, e0252049.
- 254 25. González EJ, Martínez-López M, Morales-Garduza MA, García-Morales R, Charruau  
255 P, Gallardo-Cruz JA. 2019. The sex determination pattern in crocodylians: a systematic  
256 review of three decades of research. *J. Animal. Ecol.* **88**, 1417-1427.
- 257 26. Levine BA, Booth W. (In prep) ParthenoGenius: A user-friendly heuristic for inferring  
258 presence and mode of facultative parthenogenesis using genomic data sets.  
259 <https://github.com/brenna-levine/Levine-ParthenoGenius>.
- 260 27. Lampert KP. 2008. Facultative parthenogenesis in vertebrates: reproductive error or  
261 chance? *Sex. Dev.* **2**, 290-301.
- 262 28. Svensson O, Smith A, García-Alonso J, Oosterhout Cv. 2016. Hybridization generates a  
263 hopeful monster: a hermaphroditic cichlid. *R. Soc. Open Sci.* **3**, 150684.

- 264 29. Pearcy M, Hardy OJ, Aron S. 2011. Automictic parthenogenesis and rate of transition to  
265 homozygosity. *Heredity*. **107**, 187-188.
- 266 30. Green RE, Braun EL, Armstrong J, Earl D, Nguyen N, Hickey G, Vandeweghe MW, St.  
267 John JA, Capella-Gutiérrez S, Castoe TA, et al. 2014. Three crocodylian genomes reveal  
268 ancestral patterns of evolution among archosaurs. *Science*. **346**, 6215.
- 269 31. Griffin DK, Romanov MN, Larkin DM. 2022. Dinosaurs: Comparative cytogenomics of  
270 the reptile cousins and avian descendants. *Animals*. **13**, 106.
- 271 32. Liu X, Wang Y, Yuan J, Liu F, Hong X, Yu L, Chen C, Li W, Ni W, Liu H, Zhao J, Wei  
272 C, Chen H, Liu Y, Zhu X. 2022. Chromosome-level genome assembly of Asian yellow  
273 pond turtle (*Mauremys mutica*) with temperature dependent sex determination system.  
274 *Sci. Reports*. **12**, 7905.
- 275 33. Charruau P, Martínez DA, Cantón G, Méndez de la Cruz FR. 2017. Additional details on  
276 temperature-dependent sex determination in *Crocodylus acutus*. *Salamandra*. **53**, 304-  
277 308.
- 278 34. Olsen MW. 1960. Nine-year summary of parthenogenesis in turkeys. *Proc. Soc. Exp.*  
279 *Biol. Med.* **105**, 279-281.
- 280 35. Adams L, Lyons K, Monday J, Larkin E, Wyffels J. 2023. Cost of parthenogenesis on  
281 growth and longevity in *ex situ* zebra sharks *Stegostoma tigrinum*. *Endanger. Species*  
282 *Res.* **50**, 81-91.
- 283 36. Paladino FV, Dodson P, Hammond JK, Spotila JR. 1991. Temperature-dependent sex  
284 determination in dinosaurs? Implications for population dynamics and extinction. In:  
285 *Paleobiology of the Dinosaurs*. (ed. Farlow, JO) *Geol. Soc. Am. S.* **238**, 63–70.
- 286 37. Miller D, Summers J, Silber S. 2004. Environmental versus genetic sex determination: a  
287 possible factor in dinosaur extinction? *Fertil. Steril.* **81**, 954– 964.

288

289 **Figure legends**

290

291 **Figure 1. (A)** Adult American Crocodile, *Crocodylus acutus*. Photo courtesy of Q. Dwyer. **(B)**  
292 Stillborn fetus of American Crocodile, *Crocodylus acutus*, Parthenogen. Photo courtesy of Q.  
293 Dwyer.

294

295 **Figure 2.** A simplified phylogeny for the crown group Reptilia with major clades depicted.  
296 Highlighted lineages have records of facultative parthenogenesis via terminal fusion automixis,  
297 with some exemplar species reflecting phylogenetic spread where possible. Note that the  
298 divergence time scale is not linear.

299

300 **Table legends**

301

302 **Table 1.** Summary of statistical analyses of three SNP data sets for the mother American  
303 crocodile and her parthenogen offspring discussed in this study. The SNP data sets were thinned  
304 to one variant per 10,000 base pairs (=thin 10kb), 25,000 bp (=thin 25kb), and 50,000 bp (=thin  
305 50kb), respectively. SNPs = total number of SNP loci in the data set that were genotyped in both  
306 mother and offspring. Initial per-base error = conservative estimate of per-base genotyping error  
307 rate. Maternal homozygous = number of SNP loci in the data set for which the mother was  
308 homozygous. Expected discordant = number of offspring genotypes at maternal homozygous loci  
309 expected to differ from maternal genotype based on initial per-base error rate. Observed  
310 discordant = number of offspring genotypes at maternal homozygous loci that were observed to  
311 differ from the maternal genotype. Updated per-base error = per-base genotyping error rate  
312 assumed for heterozygosity scan analysis based on observed discordance between maternal and  
313 offspring genotypes at maternal homozygous loci. Maternal heterozygous = Number of SNP loci  
314 for which the mother was heterozygous. Expected offspring heterozygous = Number of offspring  
315 genotypes at maternal heterozygous loci that were expected to be heterozygous based on updated  
316 per-base genotyping error rate alone, assuming a null hypothesis of gametic duplication.  
317 Observed offspring heterozygous = number of observed heterozygous offspring genotypes at  
318 maternal heterozygous loci.

Journal: Biology Letters

Article type: Research Article

DISCOVERY OF FACULTATIVE PARTHENOGENESIS IN A NEW WORLD CROCODILE

Warren Booth<sup>1,2,\*</sup>, Brenna A. Levine<sup>2,3</sup>, Joel B. Corush<sup>4</sup>, Mark A. Davis<sup>4</sup>, Quetzal Dwyer<sup>5</sup>,  
Roel De Plecker<sup>6</sup>, and Gordon W. Schuett<sup>2,7</sup>

<sup>1</sup> Department of Entomology, Virginia Polytechnic Institute and State University, Blacksburg, Virginia, USA.

<sup>2</sup> Chiricahua Desert Museum, Rodeo, New Mexico, USA

<sup>3</sup> Department of Biology, Kean University, Union, New Jersey USA

<sup>4</sup> Illinois natural History Survey, Prairie Research Institute, University of Illinois Urbana-Champaign, Illinois USA

<sup>5</sup> Reptilandia Reptile Lagoon, Johnson City, Texas, USA

<sup>6</sup> Parque Reptilandia, Dominical 5000, Puntarenas Province, Costa Rica

<sup>7</sup> Department of Biology | Neuroscience Institute, Georgia State University, Atlanta, Georgia, USA

\* E-mail: warrenbooth@vt.edu

**ORCID:** WB: 0000-0003-2355-0702; BAL: 0000-0002-4326-591X; JBC: 0000-0001-8192-4691 MAD: 0000-0001-9034-9430; GWS: 0000-0002-2133-3723

## 1 **Abstract**

2 Over the past two decades, there has been an astounding growth in the documentation of vertebrate  
3 facultative parthenogenesis (FP). This unusual reproductive mode has been documented in birds,  
4 non-avian reptiles—specifically lizards and snakes—, and elasmobranch fishes. Part of this growth  
5 among vertebrate taxa is attributable to awareness of the phenomenon itself and advances in  
6 molecular genetics/genomics and bioinformatics, and as such our understanding has developed  
7 considerably. Nonetheless, questions remain as to its occurrence outside of these vertebrate  
8 lineages, most notably in Chelonia (turtles) and Crocodylia (crocodiles, alligators, and gharials).  
9 The latter group is particularly interesting because unlike all previously documented cases of FP  
10 in vertebrates, crocodylians lack sex chromosomes and sex determination is controlled by  
11 temperature. Here, using whole-genome sequencing data, we provide the first evidence of FP in a  
12 crocodylian, the American Crocodile, *Crocodylus acutus*. The data support terminal fusion  
13 automixis as the reproductive mechanism; a finding which suggests a common evolutionary origin  
14 of FP across reptiles, crocodylians, and birds. With FP now documented in the two main branches  
15 of extant archosaurs, this discovery offers tantalizing insights into the possible reproductive  
16 capabilities of the extinct archosaurian relatives of crocodylians and birds, notably members of  
17 Pterosauria and Dinosauria.

18

## 19 **Key Words**

20 Facultative parthenogenesis; Terminal fusion automixis; Crocodylia; Temperature-dependent sex  
21 determination; Archosaur; Dinosauria.

22

23

## 24 **Introduction**

25 Once considered rare, the ability of sexually reproducing species to generate offspring without  
26 genetic contributions of males, termed facultative parthenogenesis (hereafter, FP), has been  
27 documented across multiple vertebrate lineages, including both avian [1] and non-avian reptiles  
28 (specifically snakes and lizards) [2], and elasmobranch fishes, with notable examples in sharks,  
29 rays, and sawfish [3-5]. The widespread phylogenetic distribution and increasing frequency with  
30 which FP is reported suggests that the occurrence of this unusual reproductive strategy is less  
31 sporadic than previously suggested [6]. In vertebrates, common FP characteristics include: (i)



32 strict occurrence in lineages lacking genomic imprinting, a developmental mechanism believed  
33 to be restricted to the main mammalian lineages (Eutheria, Marsupialia) [7], (ii) the sex of  
34 parthenogens is constrained by the species' sex chromosome system, where XX females produce  
35 only female (XX) parthenogens [1,3,7] and ZW females produce only male (ZZ) parthenogens  
36 [1,8-13], (iii) the capacity to switch between sexual and parthenogenetic modes of reproduction  
37 [14], (iv) the ability to produce both sexual and parthenogenetic offspring within single  
38 reproductive events [15; Booth pers. observ.], (v) the capacity for consecutive parthenogenetic  
39 events [5,13,16,17], and (vi) the reproductive competence of adult parthenogens, with both  
40 sexual [10, Booth pers. observ.] and secondary parthenogenetic events documented [19, Booth,  
41 pers. observ.]. Furthermore, viable parthenogens have been reported in wild populations [4,20].  
42 Accordingly, these commonalities among taxa suggest ~~that~~ that the phyletic distribution and  
43 evolutionary significance of FP have been underestimated.

44 Documentation of FP in vertebrates are increasing for two primary reasons. First, the  
45 contribution of specimens with detailed records from zoological institutions and private keepers  
46 predominate in studies, including samples otherwise difficult to obtain (e.g., California Condor  
47 [21]; Komodo Dragon [12]; King Cobra [22]). Second, the advent of modern molecular analysis,  
48 particularly of genome-scale data, has enabled FP to be robustly disentangled from the  
49 phenomenon of long-term female sperm storage (LTSS) [23,24]. With records of LTSS  
50 exceeding six years [24], it is the main competing hypothesis for the production of offspring after  
51 prolonged periods of isolation from potential mates [23,24].

52 Nonetheless, questions remain as to the occurrence of FP outside of squamates, birds, and  
53 elasmobranchs. For example, FP has not been documented in Chelonia (turtles) or Crocodylia  
54 (crocodiles, alligators, and gharials). The latter group is particularly interesting as, unlike all  
55 previously documented cases of FP in vertebrates, all crocodylians lack sex chromosomes, and  
56 sex determination is controlled by temperature, a mechanism termed temperature-dependent sex  
57 determination (T~~D~~SD) [25]. Furthermore, crocodylians are members of a larger monophyletic  
58 lineage (Archosauria), a crown group which at its base splits into two groups, one  
59 (Pseudosuchia) comprising crocodylians and their extinct relatives and the other  
60 (Avemetatarsalia) that contains birds and their extinct relatives, including pterosaurs and non-  
61 avian dinosaurs. Here, leveraging whole-genome sequencing and bioinformatic analysis, we  
62 provide the first evidence of FP in a crocodylian, the American Crocodile, *Crocodylus acutus*.



63

64 **Methods**

65 The American Crocodile (Figure 1A) is a large and wide-ranging apex predator. Owing to its  
66 saltwater tolerance, the species occurs from the southern U.S. (Florida) to Northern South  
67 America (Colombian, Venezuela, and Peru). It is IUCN listed as vulnerable and is at risk of  
68 extinction in the wild (<http://www.iucnredlist.org/species/5659/212805700>). On 17 January  
69 2018, a clutch of 14 eggs was discovered in the enclosure of an 18-year-old female American  
70 Crocodile housed on public exhibit at Parque Reptilandia, Costa Rica. This female was obtained  
71 when it was 2 years old (2002) and maintained in isolation from other crocodylians for its entire  
72 life. Of the 14 eggs laid, 7 appeared to be fertile and were artificially incubated. After three  
73 months of incubation the eggs had failed to hatch and were opened to assess their contents. The  
74 contents of six eggs were not discernable, but one egg was found to contain a fully-formed  
75 nonviable fetus that upon dissection was determined via gross gonadal morphology as to be  
76 female (Figure 1B) (Supplemental information). DNA was extracted from a scute shed by the  
77 mother the mother and cardiac muscle obtained from the fetus using a Qiagen DNeasy Blood &  
78 Tissue kit (Qiagen. Hilden, Germany) was sent to Novogene (Sacramento, CA) for whole  
79 genome sequencing on an Illumina platform (NovaSeq 6000 PE150). Raw sequences were  
80 mapped by Novogene to the Saltwater crocodile, *Crocodylus porosus*, reference genome with  
81 single nucleotide polymorphisms (SNPs) identified by Novogene using the following the  
82 command in SAMtools: mpileup -m 2 -F 0.002 -d 10.

83 Three thinned SNP data sets comprising 191,255 SNPs (thinned to one variant per 10 kb),  
84 78,603 SNPs (thinned to one variant per 25 kb), and 39,746 SNPs (thinned to one variant per 50  
85 kb), were analyzed using ParthenoGenius [26] to test for evidence and mode of parthenogenesis.  
86 ParthenoGenius first determines whether paternal alleles are present in the offspring. If the  
87 number of maternally homozygous loci for which the offspring has non-identical genotypes to  
88 the mother is less than that expected due to genotyping error alone (i.e., the offspring is  
89 homozygous at all or nearly all of the mother's homozygous loci), the offspring is identified as a  
90 likely parthenogen. ParthenoGenius then scans maternally heterozygous loci to identify those at  
91 which the offspring has retained heterozygosity. Based on the proportion of retained  
92 heterozygosity and taking into consideration the estimated per-base error rate, the  
93 parthenogenetic mode is identified as either gametic duplication or automixis (Supplemental

94 Information). Automictic parthenogenesis can be subdivided into terminal fusion, where the  
95 terminal meiotic products fuse resulting in near genome-wide homozygosity [22], and central  
96 fusion, where parthenogens exhibit extensive genome-wide heterozygosity at a comparable level  
97 to that of the mother [27]. The latter is not known to occur in vertebrates [28].

98

## 99 **Results**

100 The offspring was found to have identical genotypes to the mother at > 99.9% of her  
101 homozygous loci, demonstrating a lack of paternal alleles. Genome-wide maternal  
102 heterozygosity was 0.349, compared to 0.045 for the offspring, demonstrating a significant  
103 reduction in heterozygosity in the offspring when compared to the mother. Regardless of the data  
104 set evaluated, the offspring retained approximately 3% of the maternal heterozygosity (Table 1),  
105 supporting facultative parthenogenesis through terminal fusion automixis as the mode of  
106 reproduction. Note that in instances of central fusion, retained heterozygosity is expected to  
107 exceed 33% of that of the mother, whereas terminal fusion will be less than 33% [29]. Due to the  
108 lack of a chromosome-scale *C. acutus* genome, it is not possible at this time to identify the actual  
109 genomic regions that retain heterozygosity with any accuracy.

110

## 111 **Discussion**

112 Our results provide both novel and substantive evidence of facultative parthenogenesis in an  
113 extant archosaur, the American Crocodile. Crocodylians diverged from other archosaurs ~240  
114 million years ago [30]. Following this split, Pterosauria and Dinosauria underwent substantive  
115 diversification, eventually giving rise to modern birds circa 65 mya [30,31], the only extant  
116 theropod dinosaur lineage (Figure 2). As such, we now have evidence of FP in the two main  
117 branches of extant archosaurians: crocodylians (Pseudosuchia) and birds (Avemetatarsalia).  
118 Furthermore, the most-recent common ancestor of squamates (snakes, lizards, and  
119 amphisbaenids), lineages for which FP has been widely documented in both snakes and lizards  
120 [1], and crocodylians, diverged ~267.0 – 312.3 mya [32], suggesting that FP may be a primitive  
121 condition. Comparable to FP studies of birds, snakes, and lizards, the evidence presented here  
122 supports terminal fusion automixis as the parthenogenetic developmental mechanism [1,22].  
123 Accordingly, at this time, these commonalities do not suggest independent evolutionary origins  
124 of this trait across these lineages, but instead a trait likely possessed by a distant common

125 ancestor of these lineages. While beyond the scope of the current study, it is clear that more work  
126 is required to fully test the evolutionary distribution and dynamics of FP across deeper  
127 evolutionary time, allowing for a more comprehensive understanding of the ancestral states and  
128 rates of FP across vertebrate lineages.

129 Building on previous studies, the data presented here advance our understanding of the  
130 distribution of FP in vertebrates, particularly in that all previous studies relate to species whose  
131 sex is genetically determined (i.e., XX/XY or ZZ/ZW). In crocodylians, sex chromosomes are  
132 absent and the sex of the offspring is thus temperature-dependent [25]. *Crocodylus acutus*  
133 exhibits a Female-Male-Female TSD pattern, with temperatures below 30°C and above 33°C  
134 at 30°C producing 100% females, whereas and temperatures at  
135 34°C around 31.5°C produce producing a majority of males [25,33]. Here, eggs were incubated at  
136 29-30°C and the fully formed yet stillborn fetus was ~~confirmed via gross gonadal morphology as~~  
137 female. Temperature-dependent sex determination is an ancestral trait in crocodylians, being  
138 present also in both turtle, and lizard and tuatara lineages. Within turtles, recent genomic  
139 analyses have revealed that many genes associated with calcium signaling pathways and  
140 neuroactive ligand-receptor interactions are associated with TSD [32]. If these genes are also  
141 involved in the sex determination process in crocodiles, it appears that the genomic reduction  
142 inherent with terminal fusion automixis has not affected the expected offspring sex; larger  
143 sample sizes are required for confirmation of this finding.

144 While it is disappointing that the crocodile parthenogen produced here failed to hatch, it  
145 is not uncommon to see non-viable fetuses and developmental abnormalities within litters or  
146 clutches of parthenogens [1,22,34], and long-term failure to thrive even for individuals born  
147 outwardly healthy [35]. For example, following a nine-year study of FP in turkeys, it was found  
148 that on average 3.3% of parthenogenetic eggs hatch [34]. That study followed the fate of 2084  
149 parthenogenetic eggs, with 68 hatching. Furthermore, parthenogens have attained adulthood in a  
150 variety of species studied, including Turkeys, *Meleagris gallopavo* [10], California Condors,  
151 *Gymnogyps californianus* [21], ~~Smalltooth Sawfish, *Pristis pectinata* [4], and Zebra Sharks,~~  
152 *Stegostoma tigrinum* [35], Boa constrictors, *Boa imperator* [16], and the whitespotted  
153 bambooshark *Chiloscyllium plagiosum* [19]. Studies following the fitness of parthenogens are  
154 lacking, however substantial negative costs associated with the trait have been demonstrated in  
155 sharks [35]. While fitness costs are evident, it has been shown that turkey parthenogens and both

156 Boa Constrictors, *Boa imperator*, and Royal Pythons, *Python regius*, that survive to adulthood  
157 are reproductively competent [34, Booth, pers. observ.]. As such, the failure of this crocodile  
158 parthenogen to hatch should not be viewed as an indicator that all crocodile parthenogens will be  
159 non-viable.

160 The evidence presented here provides the first documentation of parthenogenesis in the  
161 reptilian lineage Crocodylia. Its discovery was dependent on the attention provided by those that  
162 maintain the animal in captivity. For instance, while it is not uncommon for captive reptiles to  
163 lay clutches of eggs, given the period of isolation from mates, these would normally be  
164 considered non-viable and discarded. These findings therefore suggest that eggs should be  
165 assessed for potential viability when males are absent. Furthermore, given that FP can occur in  
166 the presence of potential mates [4,9,20], instances of FP in crocodylians may be missed when  
167 reproduction occurs in females co-habited with males. The discovery of FP in several snake  
168 species was reliant on the presence of color or pattern variants, where offspring exhibited  
169 variants inconsistent with sexual reproduction by the putative parents [9,16]. Due to the rarity of  
170 widespread color/pattern variants in crocodylians, such anomalies indicative of FP would be  
171 missed, and hence parthenogens produced by females cohabiting with males would be  
172 overlooked without genomic testing. Moreover, it has been hypothesized that FP may be more  
173 common in low-density populations, such as those on the verge of extinction [4]. Accordingly,  
174 genomic studies investigating wild populations should assess for the potential presence of cryptic  
175 parthenogens [4,20].

176 With terminal fusion automictic FP documented in squamates, birds, and now  
177 crocodylians, this new evidence offers tantalizing insights into the possible reproductive  
178 capabilities of extinct archosaurian relatives of crocodylians, notably the Pterosauria and  
179 Dinosauria [36,37].

180

### 181 **Acknowledgements**

182 We would like to thank the workers at Parque Reptilandia, Costa Rica, namely Fredy Arias  
183 Sequeira and Adonay Picado. Additionally, we thank Marcello Carvajal and Marion Moh for  
184 their assistance. We also thank Jordan Hartman in the Department of Natural Resources and  
185 Environmental Sciences, College of Agriculture, Consumer and Environmental Sciences, and the

186 Illinois Natural History Survey, Prairie Research Institute at the University of Illinois Urbana-  
187 Champaign for graphic design of Figure 2.

188

### 189 **Funding**

190 This work was supported by start-up funds provided by [the College of Agriculture and Life](#)  
191 [Sciences at Virginia Polytechnic Institute and State University and the College of Engineering](#)  
192 [and Natural Sciences at](#) The University of Tulsa to WB.

193

### 194 **Supplemental Information**

195 ~~Additional information including experimental procedures, supplemental results, figures and~~  
196 ~~acknowledgments can be found with this article in the online Supplemental Information.~~

197

### 198 **Data Availability**

199 ~~Raw data used in this manuscript can be found online in the Dryad data repository at~~

200

### 201 **References**

- 202 1. Booth W, Schuett GW. 2016. The emerging phylogenetic pattern of parthenogenesis in  
203 snakes. *Biol. J. Linn. Soc.* **118**, 172-186.
- 204 2. Ramachandran R, McDaniel C. 2018. Parthenogenesis in birds: a review. *Reproduction.*  
205 **155**, R245-R257.
- 206 3. Chapman DD, Shivji MS, Louis E, Sommer J, Fletcher H, Prodöhl PA. 2007. Virgin birth  
207 in a hammerhead shark. *Biol. Lett.* **3**, 425-427.
- 208 4. Fields AT, Feldheim KA, Poulakis GR, Chapman DD. 2015. Facultative parthenogenesis  
209 in a critically endangered wild vertebrate. *Curr. Biol.* **25**, R446-R447.
- 210 5. Harmon TS, Kamerman TY, Corwin AL, Sellas AB. 2016. Consecutive parthenogenetic  
211 births in a spotted eagle ray *Aetobatus narinari*. *J. Fish Biol.* **88**, 741-745.
- 212 6. Avise JC. 2008. Clonality. The Genetics, Ecology, and Evolution of Sexual Abstinence in  
213 Vertebrate Animals. Oxford University Press, New York: New York.
- 214 7. Renfree MB, Suzuki S, Kaneko-Ishino T. 2013. The origin and evolution of genomic  
215 imprinting and viviparity in mammals. *Philos. Trans. R. Soc. Lond. B Biol. Sci.* **368**,  
216 20120151.
- 217 8. Gamble T, Castoe TA, Nielsen SV, Banks JL, Card DC, Schield DR, Schuett GW, Booth  
218 W. The discovery of XY sex chromosomes in a *Boa* and *Python*. *Curr. Biol.* **27**, 2148-  
219 2153.

- 220 9. Booth W, Schuett GW, Ridgway A, Buxton DW, Castoe TA, Bastone G, Bennett C,  
221 McMahan Wm. 2014. New insights on facultative parthenogenesis in pythons. *Biol. J.*  
222 *Linn. Soc.* **112**, 461-468.
- 223 10. Olsen MW 1966. Segregation and replication of chromosomes in turkey parthenogenesis.  
224 *Nature.* **5060**, 435-436.
- 225 11. Schuett GW, Fernandez PJ, Gergits WF, Casna NJ, Chiszar D, Smith HM, Mitton JB,  
226 Mackessy SP, Odum RA, Demlong MJ. 1997. Production of offspring in the absence of  
227 males: evidence for facultative parthenogenesis in bisexual snakes. *Herpetol. Nat. Hist.* **5**,  
228 1-10.
- 229 12. Watts PC, Buley KR, Sanderson S, Boardman W, Ciofi D, Gibson R. 2006.  
230 Parthenogenesis in Komodo dragons. *Nature.* **444**, 1021-1022.
- 231 13. Reynolds GR, Booth W, Schuett GW, Fitzpatrick BM, Burghardt GM. 2012. Successive  
232 virgin births of viable male progeny in the checkered gartersnake, *Thamnophis*  
233 *marcianus*. *Biol. J. Linn. Soc.* **107**, 566-572.
- 234 14. Dudgeon CL, Coulton L, Bone R, Ovenden JR, Thomas S. 2017. Switch from sexual to  
235 parthenogenetic reproduction in a zebra shark. *Sci. Reports.* **7**, 40537.
- 236 15. Kratochvíl L, Vukić J, Červenka J, Kubička L, Pokorná MJ, Kukačková D, Rovatsos M,  
237 Piálek L. 2020. Mixed-sex offspring produced via cryptic parthenogenesis in a lizard.  
238 *Mol. Ecol.* **29**, 4118-4127.
- 239 16. Booth W, Johnson DH, Moore S, Schal C, Vargo EL. 2012. Evidence for viable, non-  
240 clonal but fatherless Boa constrictors. *Biol. Lett.* **7**, 253-256.
- 241 17. Booth W, Million L, Reynolds RG, Burghardt GM, Vargo EL, Schal C, Tzika AC,  
242 Schuett GW. 2011. Consecutive virgin births in the New World boid snake, the  
243 Colombian Rainbow Boa, *Epicrates maurus*. *J. Hered.* **102**, 759-763.
- 244 18. Robinson DP, Baverstock W, Al-Jaru A, Hyland K, Khazanekhdari KA. 2011. Annually  
245 recurring parthenogenesis in a zebra shark *Stegostoma fasciatum*, *J. Fish. Biol.* **79**, 1376-  
246 1382.
- 247 19. Straube N, Lampert KP, Geiger MF, Weiß JD, Kirchhauser JX. 2016. First record of  
248 second-generation facultative parthenogenesis in a vertebrate species, the whitespotted  
249 bambooshark *Chiloscyllium plagiosum*. *J. Fish Biol.* **88**, 668-675.
- 250 20. Booth W, Smith CF, Eskridge PH, Hoss SK, Mendelson III JR, Schuett GW. 2012.  
251 Facultative parthenogenesis discovered in wild vertebrates. *Biol. Lett.* **8**, 983-985.
- 252 21. Ryder OA, Thomas S, Judson JM, Romanov MN, Dandekar S, Papp JC, Sidak-Loftis LC,  
253 Walker K, Stalis IH, Mace M, Steiner CC, Chemnick LG. 2021. Facultative  
254 parthenogenesis in California condors. *J. Hered.* **112**, 569-574.
- 255 22. Card DC, Vonk FJ, Smalbrugge S, Casewell NR, Wüster W, Castoe TA, Schuett GW,  
256 Booth W. 2021. Genome-wide data implicate terminal fusion automixis in king cobra  
257 facultative parthenogenesis. *Sci. Rep.* **11**, 7271.



- 258 23. Booth W, Schuett GW. 2011. Molecular genetic evidence for alternate reproductive  
259 strategies in North American pitviper (Serpentes, Viperidae): long-term sperm storage  
260 and parthenogenesis. *Biol. J. Linn. Soc.* **104**, 934-942.
- 261 24. Levine BA, Schuett GW, Booth W. 2021. Exceptional long-term sperm storage by a  
262 female vertebrate. *PLoS ONE*. **16(6)**, e0252049.
- 263 25. González EJ, Martínez-López M, Morales-Garduza MA, García-Morales R, Charruau  
264 P, Gallardo-Cruz JA. 2019. The sex determination pattern in crocodylians: a systematic  
265 review of three decades of research. *J. Animal. Ecol.* **88**, 1417-1427.
- 266 26. Levine BA, Booth W. (In prep) ParthenoGenius: A user-friendly heuristic for inferring  
267 presence and mode of facultative parthenogenesis using genomic data sets.  
268 <https://github.com/brenna-levine/Levine-ParthenoGenius>.
- 269 27. Lampert KP. 2008. Facultative parthenogenesis in vertebrates: reproductive error or  
270 chance? *Sex. Dev.* **2**, 290-301.
- 271 28. Svensson O, Smith A, García-Alonso J, Oosterhout Cv. 2016. Hybridization generates a  
272 hopeful monster: a hermaphroditic cichlid. *R. Soc. Open Sci.* **3**, 150684.
- 273 29. Percy M, Hardy OJ, Aron S. 2011. Automictic parthenogenesis and rate of transition to  
274 homozygosity. *Heredity*. **107**, 187-188.
- 275 30. Green RE, Braun EL, Armstrong J, Earl D, Nguyen N, Hickey G, Vandewege MW, St.  
276 John JA, Capella-Gutiérrez S, Castoe TA, et al. 2014. Three crocodylian genomes reveal  
277 ancestral patterns of evolution among archosaurs. *Science*. **346**, 6215.
- 278 31. Griffin DK, Romanov MN, Larkin DM. 2022. Dinosaurs: Comparative cytogenomics of  
279 the reptile cousins and avian descendants. *Animals*. **13**, 106.
- 280 32. Liu X, Wang Y, Yuan J, Liu F, Hong X, Yu L, Chen C, Li W, Ni W, Liu H, Zhao J, Wei  
281 C, Chen H, Liu Y, Zhu X. 2022. Chromosome-level genome assembly of Asian yellow  
282 pond turtle (*Mauremys mutica*) with temperature dependent sex determination system.  
283 *Sci. Reports*. **12**, 7905.
- 284 33. ~~Woodward DE, Murray JD. 1993. On the effect of temperature-dependent sex~~  
285 ~~determination on sex ratio and survivorship in crocodylians. *Proc. R. Soc. Lond. B.* **252**,~~  
286 ~~149-155~~ Charruau P, Martínez DA, Cantón G, Méndez de la Cruz FR. 2017. Additional  
287 details on temperature-dependent sex determination in *Crocodylus acutus*. *Salamandra*.  
288 **53**, 304-308.-
- 289 34. Olsen MW. 1960. Nine-year summary of parthenogenesis in turkeys. *Proc. Soc. Exp.*  
290 *Biol. Med.* **105**, 279-281.
- 291 35. Adams L, Lyons K, Monday J, Larkin E, Wyffels J. 2023. Cost of parthenogenesis on  
292 growth and longevity in *ex situ* zebra sharks *Stegostoma tigrinum*. *Endanger. Species*  
293 *Res.* **50**, 81-91.
- 294 36. Paladino FV, Dodson P, Hammond JK, Spotila JR. 1991. Temperature-dependent sex  
295 determination in dinosaurs? Implications for population dynamics and extinction. In:  
296 *Paleobiology of the Dinosaurs*. (ed. Farlow, JO) *Geol. Soc. Am. S.* **238**, 63-70.

297 37. Miller D, Summers J, Silber S. 2004. Environmental versus genetic sex determination: a  
298 possible factor in dinosaur extinction? *Fertil. Steril.* **81**, 954– 964.

299

### 300 **Figure legends**

301

302 **Figure 1. (A)** Adult American Crocodile, *Crocodylus acutus*. Photo courtesy of Q. Dwyer. **(B)**  
303 Stillborn fetus of American Crocodile, *Crocodylus acutus*, Parthenogen. Photo courtesy of Q.  
304 Dwyer.

305

306 **Figure 2.** A simplified phylogeny for the crown group Reptilia with major clades depicted.  
307 Highlighted lineages have records of facultative parthenogenesis via terminal fusion automixis,  
308 with some exemplar species reflecting phylogenetic spread where possible. Note that the  
309 divergence time scale is not linear.

310

### 311 **Table legends**

312

313 **Table 1.** Summary of statistical analyses of three SNP data sets for the mother American  
314 crocodile and her parthenogen offspring discussed in this study. The SNP data sets were thinned  
315 to one variant per 10,000 base pairs (=thin 10kb), 25,000 bp (=thin 25kb), and 50,000 bp (=thin  
316 50kb), respectively. SNPs = total number of SNP loci in the data set that were genotyped in both  
317 mother and offspring. Initial per-base error = conservative estimate of per-base genotyping error  
318 rate. Maternal homozygous = number of SNP loci in the data set for which the mother was  
319 homozygous. Expected discordant = number of offspring genotypes at maternal homozygous loci  
320 expected to differ from maternal genotype based on initial per-base error rate. Observed  
321 discordant = number of offspring genotypes at maternal homozygous loci that were observed to  
322 differ from the maternal genotype. Updated per-base error = per-base genotyping error rate  
323 assumed for heterozygosity scan analysis based on observed discordance between maternal and  
324 offspring genotypes at maternal homozygous loci. Maternal heterozygous = Number of SNP loci  
325 for which the mother was heterozygous. Expected offspring heterozygous = Number of offspring



326 genotypes at maternal heterozygous loci that were expected to be heterozygous based on updated  
327 per-base genotyping error rate alone, assuming a null hypothesis of gametic duplication.  
328 Observed offspring heterozygous = number of observed heterozygous offspring genotypes at  
329 maternal heterozygous loci.

For Review Only

Data set	SNPs	Initial per-base error	Maternal homozygous	Expected discordant	Observed discordant	Updated per-base error	Maternal heterozygous	Expected offspring heterozygous	Observed offspring heterozygous
thin 10kb	191,255	0.001	186,240	186.24	83	0.00045	5,015	2.23	152 (3.0%)
thin 25kb	78,603	0.001	76,580	76.58	33	0.00043	2,023	0.87	76 (3.8%)
thin 50kb	39,746	0.001	38,720	38.72	12	0.00031	1,026	0.32	34 (3.3%)

Table 1. Summary of statistical analyses of three SNP data sets for the mother American crocodile and her parthenogen offspring discussed in this study. The SNP data sets were thinned to one variant per 10,000 base pairs (=thin 10kb), 25,000 bp (=thin 25kb), and 50,000 bp (=thin 50kb), respectively. SNPs = total number of SNP loci in the data set that were genotyped in both mother and offspring. Initial per-base error = conservative estimate of per-base genotyping error rate. Maternal homozygous = number of SNP loci in the data set for which the mother was homozygous. Expected discordant = number of offspring genotypes at maternal homozygous loci expected to differ from maternal genotype based on initial per-base error rate. Observed discordant = number of offspring genotypes at maternal homozygous loci that were observed to differ from the maternal genotype. Updated per-base error = per-base genotyping error rate assumed for heterozygosity scan analysis based on observed discordance between maternal and offspring genotypes at maternal homozygous loci. Maternal heterozygous = Number of SNP loci for which the mother was heterozygous. Expected offspring heterozygous = Number of offspring genotypes at maternal heterozygous loci that were expected to be heterozygous based on updated per-base genotyping error rate alone, assuming a null hypothesis of gametic duplication. Observed offspring heterozygous = number of observed heterozygous offspring genotypes at maternal heterozygous loci.

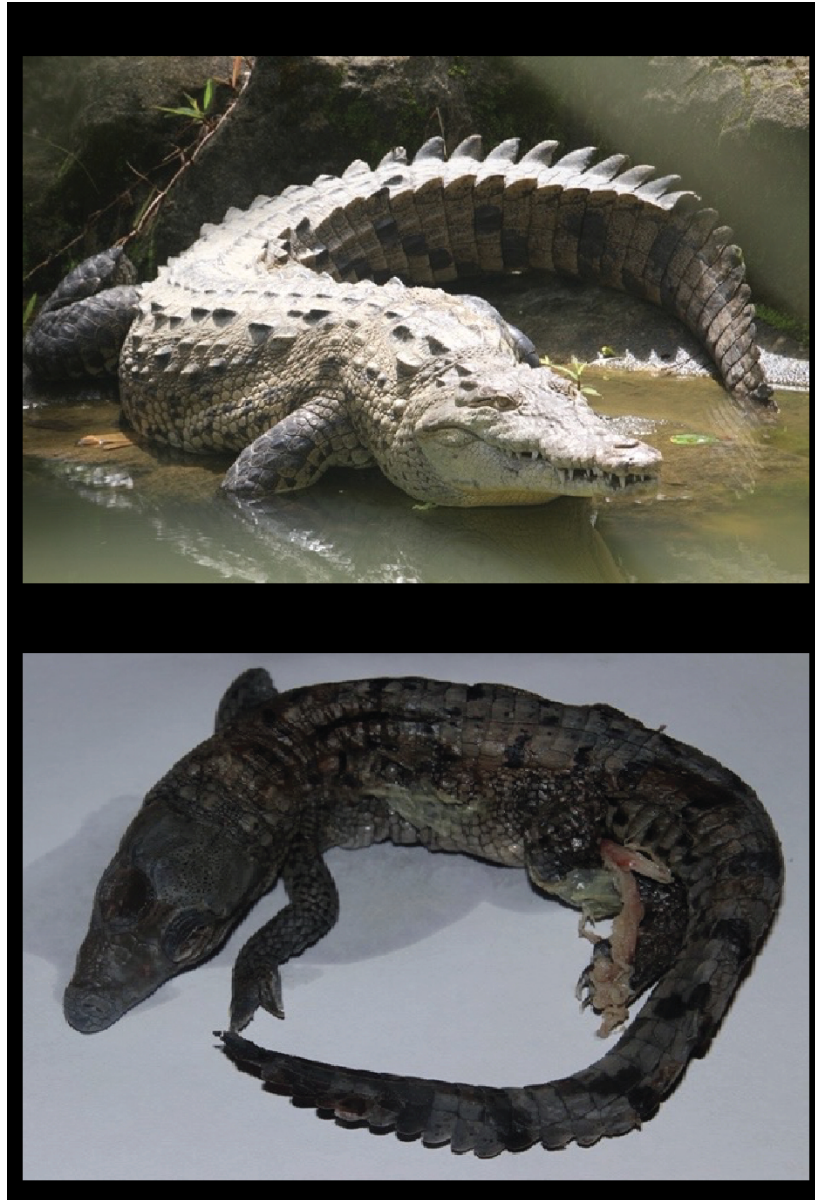


Figure 1. (A) Adult American Crocodile, *Crocodylus acutus*. Photo courtesy of Q. Dwyer. (B) Stillborn fetus of American Crocodile, *Crocodylus acutus*, Parthenogen. Photo courtesy of Q. Dwyer.

79x116mm (300 x 300 DPI)

