



## Effects of manual material handling workload on measures of fall risk

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2

3 **Occupational Applications.** We found, contrary to expectations, that performing a fatiguing  
4 simulated heavy manual material handling (MMH) task did not adversely affect the risk of trip-  
5 induced falls when compared to a less-fatiguing light MMH task. However, when considering these  
6 MMH tasks together rather than in comparison, our results provide evidence for adverse effects of  
7 fatigue on both gait and the ability to recover balance after tripping. The current results provide  
8 additional evidence that physical fatigue increases fall risk, start to clarify the mechanisms by  
9 which this increase occurs, and can help in developing and evaluating fall prevention strategies  
10 targeting these mechanisms.

11

### 12 **Technical Abstract.**

13 Background: Falls are a leading cause of occupational injuries, and the incidence of occupational  
14 falls may be exacerbated by physical fatigue resulting from physically-demanding work. Purpose:  
15 The goal of this study was to evaluate the effects of occupationally-relevant physical fatigue on the  
16 risk of trip-induced falls. Methods: Thirty-six healthy young adults performed two-hours of a  
17 simulated heavy manual material handling (MMH) task (experimental group) or a light MMH task  
18 (control group). Risks of tripping and slipping were evaluated before and after completing the task,  
19 and one laboratory-induced trip while walking was induced after completing the MMH task.

20 Results: Compared to the light MMH task, the heavy MMH task did not adversely affect the risk of  
21 tripping or slipping during gait, reactive balance after tripping, or fall rate after tripping. These  
22 results may have been due to an insufficient difference in fatigue level between groups. When both  
23 groups were considered together, however, the MMH tasks resulted in an unsteady gait, an  
24 increased risk of slipping while walking and carrying a load, and a fall rate that was substantially  
25 higher than reported in other studies. Conclusions: Although the effects of the heavy and light  
26 MMH tasks did not differ, **changes in fall risk measures when considering both MMH tasks as one**

- 27 provide evidence for the biomechanical mechanisms by which physical fatigue may increase the
- 28 risk of occupational falls.
- 29 **Keywords:** slips, trips, and falls; manual material handling; fatigue

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## 30 1. Introduction

31 Slip, trips, and falls are the second leading cause of nonfatal occupational injuries or illnesses  
32 requiring days away from work (U.S. Bureau of Labor Statistics, 2016), and the risk of slips, trips, and  
33 falls may be exacerbated by physically-demanding work. Acute responses to such work can include  
34 neuromuscular fatigue (Gandevia, 2001), increased cardiovascular load (Lunde et al., 2016), and mental  
35 fatigue from prolonged high or low levels of mental simulation (Marek et al., 1988). For the purpose of  
36 the current investigation, the former two will be collectively referred to as *physical fatigue*. Numerous  
37 studies have shown that physical or mental fatigue can have adverse effects on fall risk. For example,  
38 neuromuscular fatigue resulting from localized muscle exertions can cause muscle pain, discomfort, and  
39 alter the perception of effort, increase reaction time and reduce muscle force generating capacity  
40 (Viitasalo & Komi, 1980), increase postural sway during quiet standing (Davidson et al., 2004; Yaggie &  
41 McGregor, 2002), and impair the ability to maintain balance without stepping following an external  
42 perturbation (Davidson et al., 2009). An increased cardiovascular load resulting from walking and  
43 running can impair joint position sense (Skinner et al., 1986) and increase postural sway during quiet  
44 standing (Morrison et al., 2016; Nardone et al., 1997). Mental fatigue resulting from a computer-based  
45 cognitive task can increase gait variability (Behrens et al., 2018), increase the risk of slipping (Lew & Qu,  
46 2014), and impair balance recovery after slipping (Lew & Qu, 2014).

47 Tripping is estimated to cause 23-32% of all occupational falls (Amandus et al., 2012; Lipscomb  
48 et al., 2006). Three studies we are aware of have investigated the effects of physical or mental fatigue on  
49 the risk of trip-induced falls. (Papa et al., 2015) investigated the effects of quadriceps and hip extensor  
50 neuromuscular fatigue on single-step balance recovery following release from a static forward lean  
51 (similar to forward falls due to tripping). They reported a reduction in recovery step velocity, which could  
52 increase trip-and-fall risk given the time-critical nature of the response. Mademli et al. (2008) investigated  
53 the effects of knee flexor and extensor neuromuscular fatigue on single-step balance recovery following  
54 release from a static forward lean. They reported that fatigue altered dynamic balance reactions, including  
55 increased knee flexion following touchdown of the recovery step, which could increase fall risk given the

56 importance of sufficient limb support during balance recovery. Qu et al. (2019) investigated the effects of  
57 physical fatigue resulting from repeated sit-to-stand exercises, or mental fatigue resulting from a  
58 computer task, on trip-induced fall risk. They reported that mental fatigue adversely affected balance  
59 recovery kinematics following a laboratory-induced trip, but that physical fatigue had no effect. While  
60 these studies support the likelihood of adverse effects of fatigue on the risk of trip-induced falls, fatigue  
61 was induced using experimentally-contrived and controlled methods; thus, outcomes from these studies  
62 might differ from fatigue resulting from occupationally-relevant, physically-demanding work.

63 To address this knowledge gap, the goal of this study was to evaluate the effects of  
64 occupationally-relevant physical fatigue on the risk of trip-induced falls. A simulated manual material  
65 handling (MMH) task was employed as a fatiguing activity. One group of participants completed this task  
66 using weighted cartons to induce fatigue, while another group served as a control and completed the task  
67 using empty cartons. Our primary interest was on gait measures associated with the risk of tripping and  
68 fall risk, and reactive balance kinematics and fall rate after a laboratory-induced trip. As a secondary  
69 interest, we also explored gait measures associated with the risk of slipping, given that slipping  
70 contributes to 40-50% of all occupational fall-related injuries (Courtney et al., 2001) and that such  
71 measures were easily accessible from our data. Our first hypothesis was that a heavy MMH task would  
72 increase the risk of tripping more than a light MMH task. Our second hypothesis was that a heavy MMH  
73 task would adversely affect reactive balance and fall rate following a laboratory-induced trip more than a  
74 light MMH task. Our third hypothesis was that a heavy MMH task would increase the risk of slipping  
75 more than a light MMH task. We also investigated walking with and without load carriage in the event  
76 that load carriage exacerbated any effects of fatigue. This study aimed to improve our understanding of  
77 how fatigue-inducing physical work affects fall risk due to tripping or slipping and to identify  
78 biomechanical mechanisms underlying these effects. Such information could inform the development of  
79 targeted interventions to reduce the number of occupational falls.

## 80 2. Methods

### 81 2.1. Participants

82 A total of 36 young adults (19 females) participated in the study, with an age range of 19-35 years and  
83 body mass index (BMI) of 19.1-32.1 kg/m<sup>2</sup>. Participants were recruited from the university and local  
84 community using email announcements, flyers, and newspaper advertisements. Inclusion criteria required  
85 that participants not have any self-reported musculoskeletal or neurological conditions that affect balance  
86 or gait, were able to walk unassisted for 60 minutes, and had not previously experienced a laboratory-  
87 induced slip or trip. The study was approved by the Virginia Tech Institutional Review Board, and all  
88 participants provided written informed consent prior to participation. As a part of the informed consent  
89 process, participants were informed that they “may or may not experience a slip or trip while walking”  
90 during the study.

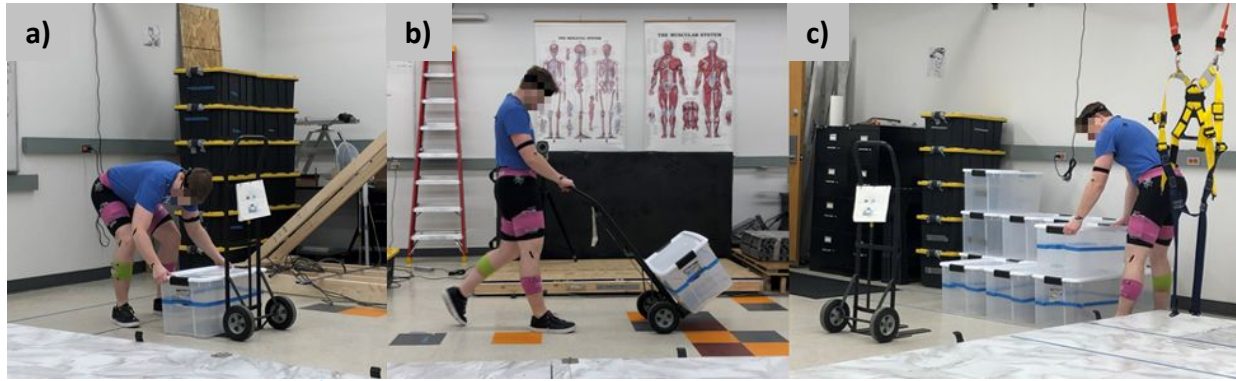
### 91 2.2. Protocol

92 A two-group experimental design was used wherein gait measures related to risk of tripping and  
93 slipping were obtained before and after the MMH task, and reactive balance and fall rate in response to a  
94 laboratory-induced trip were obtained after the MMH task. Participants were pseudo-randomly assigned  
95 to either a heavy or light MMH group using minimization (Taves, 1974). After randomly assigning 10  
96 participants to each group, subsequent group assignment for each participant was based upon not only  
97 balancing group sizes but also minimizing group differences in age (discretized into categories of 18-23,  
98 24-29, and 30-35 years), sex, and BMI (discretized into categories of 18-24.9, 25-29.9, and 30-36 kg/m<sup>2</sup>),  
99 given evidence that these factors can influence trips and falls (Garman et al., 2015; Pavol et al., 1999).  
100 Participants completed two sessions. The first session was for familiarization and involved participants  
101 practicing the MMH task and walking along a laboratory walkway while wearing a safety harness. The  
102 second session was for data collection. During this second session, participants first performed walking  
103 trials, both with and without anterior load carriage, to evaluate the risk of tripping and slipping prior to  
104 performing the MMH task. Next, participants performed their assigned MMH task for two hours.  
105 Following this task, walking trials were repeated to re-evaluate the risk of tripping and slipping during

106 gait. Finally, one laboratory-induced trip was induced to evaluate reactive balance and fall rate. Only one  
107 trip was induced for each participant after their assigned MMH task to avoid unintended learning effects  
108 resulting from repeated exposure to laboratory-induced trips (Rhea & Rietdyk, 2011; Wang et al., 2012).

109 Gait was evaluated before the MMH task. Participants were asked to walk along a 12-meter-long  
110 level walkway at a pace “as if you have somewhere to go” while looking straight ahead. After several  
111 practice trials, participants completed five trials each with and without anterior load carriage. The load  
112 during these trials was a carton set to 15% body weight, and participants were instructed to hold the load  
113 in front of their body with their elbows at approximately 90 degrees. The order of load carriage conditions  
114 was counterbalanced across participants. All participants wore the same model of rubber-soled sneakers  
115 and a safety harness that was connected by a chain to a track on the ceiling. The length of the chain was  
116 adjusted such that the distance between each participant’s knee and the floor, when kneeling and fully  
117 supported by the harness, was approximately 20 cm.

118 Immediately adjacent to the walkway, participants then performed a simulated MMH task (Figure  
119 1) that was adapted from Sedighi Maman et al. (2017). One cycle of the MMH task involved transporting  
120 12 cartons using a two-wheeled dolly, one at a time, to a destination 12 meters away, and stacking the  
121 cartons in an arrangement described on an instruction sheet (Figure 1). When all 12 cartons had been  
122 moved to the destination, the next cycle was completed by moving the cartons back to their original  
123 location. Participants performed six 20-minute cycles of this task, for a total task time of 120 minutes.  
124 During each cycle, a metronome was used to pace participants so that they moved and stacked one box  
125 every 45 seconds. For the heavy MMH task, the cartons consisted of four each having masses of 9, 16,  
126 and 23 kg; for the light MMH task, all cartons had a mass of 2 kg. The maximum mass of 23 kg was  
127 selected to comply with the National Institute for Occupational Safety and Health’s maximum  
128 recommended load to be lifted under ideal conditions (Waters et al., 1993).



129

130 Figure 1. Each cycle of the manual material handling task involved: a) loading cartons, one at a time, onto

131 a two-wheeled dolly; b) transporting the cartons, one at a time, to a destination; and c) stacking them, one

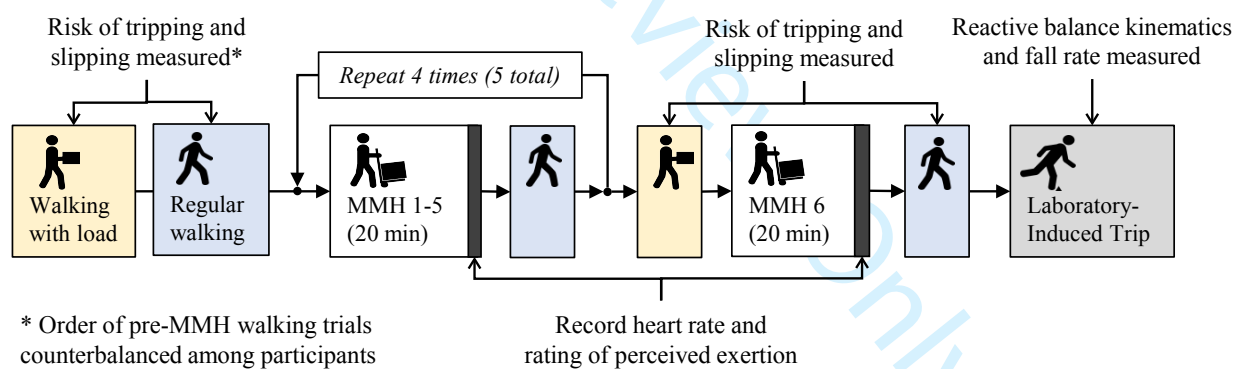
132 at a time, in an arrangement described on an instruction sheet.

133



134 After each 20-minute cycle of the MMH task, participants completed 5-10 walking trials (exact number  
 135 varied pseudo-randomly) along the adjacent walkway as described above, which was done to avoid  
 136 participants anticipating the laboratory-induced trip after the sixth cycle (participants were not aware of  
 137 how many cycles of the task would be completed). To minimize the time (and therefore fatigue recovery)  
 138 between the sixth cycle of the MMH task and the laboratory-induced trip, trials to re-evaluate the risk of  
 139 tripping and slipping during walking with load carriage were completed after the fifth cycle of the MMH  
 140 task. Following the sixth cycle of the MMH task, five walking trials were completed to re-evaluate the  
 141 risk of tripping and slipping without load carriage, followed by a laboratory-induced trip. The trip was  
 142 induced using a pneumatically-actuated tripping obstacle (122 x 8 cm plate) that was initially concealed  
 143 in the walkway. Upon activation, the obstacle quickly raised so that it protruded 8.6 cm above the  
 144 walkway. The obstacle was triggered, without warning, while participants walked along the walkway  
 145 (Figure 2), such that the dominant foot contacted the obstacle near mid-swing.

146



147

148 Figure 2. Schematic showing the protocol for the second session, including walking trials prior to the  
 149 MMH task, six 20-minute cycles of the MMH task, walking trials following the MMH task, and the  
 150 laboratory-induced trip.

151

### 152 2.3. Physiological Workload

153 Exertion level was evaluated using two methods. First, participants provided a subjective rating

154 of perceived exertion (RPE) using the 6-20 Borg scale (Borg, 1982) before and after the MMH task.  
155 Second, cardiovascular load was measured as relative heart rate after completing the MMH task  
156 (Equation 1; Lunde et al., 2016; Jakobsen et al., 2014). Heart rate was recorded using an optical heart rate  
157 monitor (Polar OH1, Polar Electro Inc., Bethpage, NY) on the right upper arm (Scherr et al., 2013).  
158 Resting heart rate was measured after five minutes of sitting prior to the start of the MMH task, while  
159 maximum heart rate was estimated using  $208 - 0.7 \times \text{age}$  (Tanaka et al., 2001).

$$160 \quad \text{Cardiovascular load (\%)} = \frac{\text{heart rate after MMH task} - \text{resting heart rate}}{\text{maximum heart rate} - \text{resting heart rate}} \times 100 \quad (1)$$

161

#### 162 2.4. Data Collection

163 During the walking trials and laboratory-induced trip, lower extremity kinematics were measured  
164 using reflective skin markers sampled at 120 Hz using a motion capture system (Qualisys North America,  
165 Lincolnshire, IL). Raw data were low-pass filtered at 12 Hz using a fourth-order, zero-phase lag  
166 Butterworth filter. Thirty-three reflective markers were placed on anatomical landmarks, and rigid marker  
167 clusters were placed on the sacrum and each thigh and shank. Forces applied to a harness chain were  
168 sampled at 1200 Hz using a uniaxial load cell (Cooper Instruments, Warrenton, VA). Ground reaction  
169 forces were sampled at 1200 Hz using a  $0.9 \times 0.9$ -meter force platform embedded in the walkway. Both  
170 force platform and load cell signals were low-pass filtered at 40 Hz using a fourth-order, zero-phase-lag  
171 Butterworth filter.

#### 172 2.5. Risk of Tripping and Slipping during Gait

173 Before and after the MMH task, several gait measures were obtained over the five walking trials,  
174 with a mean (SD) of 14 (4) steps for each participant and load carriage condition. Gait speed was obtained  
175 from the mean anteroposterior (AP) speed of a marker on the sacrum. Step length was the AP distance  
176 between markers on the calcanei during consecutive steps. Step width variability was used as a measure  
177 of overall gait stability, and was derived as the standard deviation of step width (mediolateral distance  
178 between markers on the calcanei during consecutive steps) across all steps for each participant and load

179 carriage condition. Risk of tripping was evaluated using minimum toe clearance (MTC) and MTC  
180 variability, where a decrease in MTC or an increase in MTC variability are associated with an increased  
181 risk of tripping (Byju et al., 2016). MTC was calculated as the minimum vertical position of the anterior  
182 edge of the shoe during the swing phase of gait, and MTC variability was the standard deviation of MTC.  
183 Due to an inadvertent 7.3 mm difference in height of the 12-meter-long walkway from the highest to  
184 lowest points measured by the motion capture system, a 'zero' level was identified as the minimum  
185 position among virtual markers on the sole of the stance limb shoe at the time at which MTC was  
186 identified. Risk of slipping was evaluated using the required coefficient of friction (RCOF) due to its  
187 association with risk of slipping (Beschoner et al., 2016). RCOF was calculated as the peak ratio of the  
188 resultant shear and normal ground reaction forces during the stance phase of gait (Chang et al., 2011).

#### 189 2.6. Fall rate and Reactive Balance Kinematics

190 Each trip was classified as either a recovery, fall, harness-assist, or miss, using the force applied  
191 to the harness rope and a method described by Yang and Pai (2011). A recovery occurred if a 1-second  
192 moving average of the load cell signal was less than 4.5% body weight  $\times$  second during the trial. A fall  
193 occurred if the harness support exceeded 30% of the participant's body weight. Trips not satisfying either  
194 criteria were deemed harness-assisted, indicating an ambiguous characterization had participants not been  
195 wearing the harness. Missed trips occurred if the leading edge of the swing foot did not contact the  
196 tripping obstacle during mid-to-late swing phase, and typically occurred when the obstacle was not  
197 triggered at an appropriate time by the investigator. These trips resulted from improper triggering of the  
198 obstacle and were excluded from further analysis. Three measures of reactive balance were determined,  
199 based on the importance of controlling trunk flexion and executing a recovery step of sufficient length in  
200 order to avoid falling after tripping (Grabiner et al., 1993). Maximum trunk flexion angle was the most  
201 forward angle from vertical of a line connecting the midpoint between the hip joint centres (Piazza et al.,  
202 2004) to the midpoint between markers on each acromion process, measured after trip onset. Recovery  
203 step time was the time from trip onset to touch-down of the first recovery step over the obstacle.  
204 Recovery step length was the AP distance between lateral malleoli markers at touch-down of the first

205 recovery step over the obstacle. Recovery stepping strategy was classified as either elevating or lowering  
206 (Eng et al., 1994).

### 207 2.7. Statistical Analysis

208 Several statistical analyses were performed. Participant characteristics were compared between  
209 groups using a one-way ANOVA. Gait measures were used in a three-way, mixed-model ANCOVA, to  
210 investigate the effects of group (heavy or light MMH), time (before or after MMH task), load carriage  
211 (without or with), and all interactions, with gait speed as a covariate. Non-significant interactions with the  
212 highest *p*-values were removed one at a time to obtain a final model with only statistically significant  
213 interactions. No three-way interactions were significant. To address our first and third hypotheses, our  
214 primary interest was the group  $\times$  time interaction followed by pairwise comparisons using Tukey's HSD  
215 test. For continuous measures obtained from laboratory-induced trips, a one-way ANCOVA was used to  
216 investigate the effects of group (heavy or light MMH), with gait speed and BMI included as covariates.  
217 For trip outcome, a Fisher's Exact Test was used to investigate the effects of group on fall rate. To  
218 address our second hypothesis, our primary interest was a main effect of group on trip outcome and  
219 reactive balance measures. All statistical analyses were performed using JMP Pro 8 with a significance  
220 level of .05. All summary statistics are reported as means (SD).

### 221 3. Results

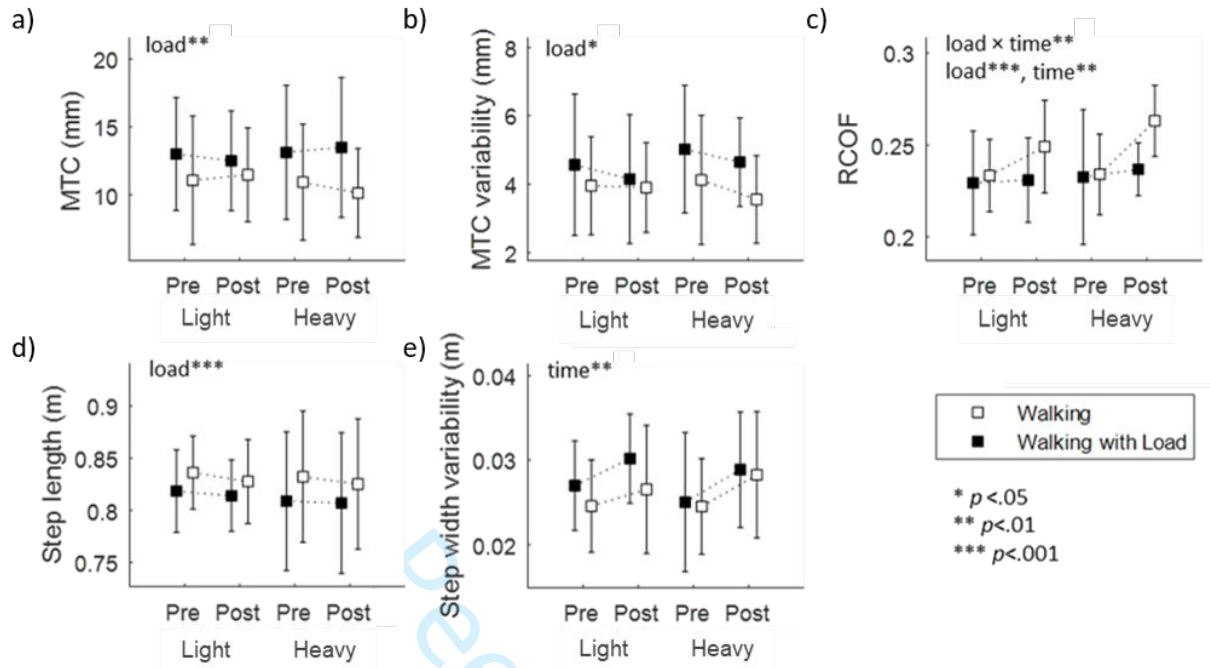
222 There were no differences in sex, age, height, or BMI between participants in the heavy and light  
223 MMH groups (Table 1). At the end of the MMH task, participants in the heavy MMH group exhibited  
224 10% higher cardiovascular loads and RPEs 3.6 higher RPE than that those in the light MMH group. All  
225 but one participant completed all six cycles of the MMH task. One female participant from the heavy  
226 MMH group elected to not perform the final cycle of the MMH task due to excessive lumbar fatigue  
227 (final RPE = 17). Data for this participant were included in the analysis, given that her RPE was higher  
228 than the mean of that group and thus not low for prematurely stopping the task.

229

230 Table 1. Participant characteristics and physiological workload after the MMH task. Note that RPE =  
 231 rating of perceived exertion, and cardiovascular load and RPE were measured at end of the MMH task.

	Light MMH		<i>p</i> -value
	Heavy MMH Group ( <i>n</i> =19)	Group ( <i>n</i> =17)	
Female/Male	11/8	8/9	.739
Age (years)	24.2 (2.5)	26.4 (3.8)	.099
Height (m)	1.67 (0.06)	1.70 (0.05)	.352
BMI (kg/m <sup>2</sup> )	25.1 (3.2)	24.6 (2.2)	.233
RPE (Borg 6-20)	13.8 (2.9)	10.2 (2.4)	<b>&lt;.001</b>
Cardiovascular load (%)	31.8 (12.8)	21.8 (10.0)	<b>.013</b>

232  
 233 Gait speed was 1.70 (0.10) m/s across all participants and conditions, and there were no  
 234 significant interactive effects or main effects of group (*p*=.549), time (*p*=.491), or load carriage (*p*=.105).  
 235 There were no group × time interaction effects for any other gait measure (Figure 3). There was a load  
 236 carriage × time interaction effect on RCOF: after the MMH task, RCOF was 0.003 (1.3%) higher with  
 237 load carriage and 0.023 (9.7%) higher without load carriage (*p*=.006). There were main effects of time in  
 238 that, after the MMH task, step width variability was 3.2 mm (12.7%) higher (*p*=.001) and RCOF was  
 239 0.013 (5.5%) higher (*p*=.001). There were also main effects of load carriage. During load carriage  
 240 compared to walking without load carriage, step length was 0.02 meters (2.2%) shorter (*p*<.001), MTC  
 241 was 2.1 mm (19.7%) higher (*p*=.005), MTC variability was 0.72 mm (18.6%) higher (*p*=.020), and RCOF  
 242 was 0.013 (5.1%) lower (*p*<.001)



243

244 Figure 3. Gait measures for each group (light and heavy MMH) before (Pre) and after (Post) the MMH  
 245 task, while walking with and without a load. Risk of tripping was quantified using a) minimum toe  
 246 clearance (MTC) and b) MTC variability. Risk of slipping was quantified using c) required coefficient of  
 247 friction (RCOF). Other gait measures included d) step length and e) step width variability. Symbols and  
 248 bars respectively indicate means and standard deviations for each group. Significant main effects of load,  
 249 time, and interaction effects of load  $\times$  time are indicated by asterisks.

250 Sixteen participants were successfully tripped in each group. Four trips (three heavy and one  
 251 light MMH) were classified as misses. Fall rate did not differ between groups ( $p = .732$ ; Table 2).  
 252 Maximum trunk angle was 5.3 degrees (10.7%) smaller in the heavy MMH group compared to the  
 253 light MMH group ( $p = .024$ ). No other reactive balance measures differed significantly between  
 254 groups.

255

256 Table 2. Fall rate and reactive balance measures.

	Heavy MMH Group ( $n=16$ )	Light MMH Group ( $n=16$ )	$p$ -value
Fall rate(fall/HA/recovery)	5/2/9	5/4/7	.732
Maximum trunk angle (deg)	44.1 (8.8)	49.4 (9.0)	<b>.024</b>
Recovery step length (m) *	0.90 (0.22)	1.01 (0.16)	.261
Recovery step time (ms)	459 (83)	495 (66)	.203
Strategy (Elevating/Lowering)	10/6	8/8	.722

\* Normalized to body height for statistical analysis. HA = harness assist

257

#### 258 4. Discussion

259 To our knowledge, this was the first study to investigate the effects of occupationally-relevant  
 260 fatigue on the risk of tripping and slipping, or reactive balance after tripping. We hypothesized that a  
 261 heavy MMH task would increase the risk of tripping (and slipping) more than a light MMH task. We  
 262 also hypothesized that a heavy MMH task would adversely affect fall rate and reactive balance after a  
 263 laboratory-induced trip more than a light MMH task. Although both subjective and objective  
 264 measures of exertion level were higher after the heavy MMH task, none of our hypotheses were  
 265 supported because no dependent variables were differentially affected by these two tasks (other than  
 266 maximum trunk angle, which was smaller after the heavy MMH task and thus suggesting a smaller  
 267 risk). Although we did not employ any objective measures of neuromuscular fatigue or any measures

268 of mental fatigue to characterize the effects of our interventions, two measures support that the  
269 resulting fatigue level was similar to actual physically-demanding work. First, mean RPEs (heavy  
270 MMH = 13.8 and light MMH = 10.2) were comparable to values of 8-14 reported by construction  
271 workers and bricklayers (Arias et al., 2015; Roja et al., 2016). Second, mean cardiovascular loads  
272 immediately after the MMH tasks (heavy MMH = 31.8% and light MMH = 21.8%) were comparable  
273 to reports of 18-24% among blue-collar workers who perform heavy lifting tasks (Jakobsen et al.,  
274 2014) and 16.4% among construction workers during their work day (Jakobsen et al., 2014; Lunde et  
275 al., 2016).

276 Multiple potential reasons could explain the lack of differential effects between the heavy and  
277 light MMH tasks. First, our protocol may have resulted in a fatigue difference between the two groups  
278 that was insufficient to reveal differences in our measures of balance and fall risk. We attempted to set  
279 exertion levels such that the heavy MMH task would result in a realistic and meaningful fatigue state,  
280 but not so overly demanding that some participants would not be able to complete the entire task  
281 duration. This approach, though, may have limited the differences in fatigue between groups. Second,  
282 a non-trivial amount of fatigue recovery may have occurred before the laboratory-induced trips. Trips  
283 were induced 2-4 minutes after the end of the MMH tasks, and recovery from fatigue during this time  
284 may have lessens both the effects of fatigue and any differential effect between the heavy and light  
285 tasks. In previous studies, increases in postural sway during quiet standing after neuromuscular  
286 fatigue, as well as an increased cardiovascular load after walking, were found to recover to pre-fatigue  
287 levels in 5-20 minutes (Nardone et al., 1997; Papa et al., 2015; Pline et al., 2006; Schieppati et al.,  
288 2003; Yaggie & McGregor, 2002). While the temporal resolution of these results was limited, and the  
289 time course of fatigue recovery is likely dependent upon the specific muscle groups and fatigue-  
290 inducing protocol involved, this evidence suggests that meaningful recovery may have occurred  
291 during the 2-4 minutes prior to trips. Third, Qu et al. (2019) also reported no effects of physical  
292 fatigue from repeated sit-to-stands on fall rate and reactive balance kinematics after a laboratory-  
293 induced trip. They speculated that participants may have been able to compensate for fatigue through  
294 a neuromuscular adaptation during balance recovery. Fourth, it is possible that physical fatigue does  
295 not adversely affect the measures of fall risk and reactive balance we obtained. However, we are



296 skeptical of this possibility, given broad evidence of adverse effects of physical fatigue on neural and  
297 muscular mechanisms (Gandevia et al., 1995).

298         Although none of the gait measures related to risk of tripping or slipping investigated here  
299 exhibited a group x time interactive effect, some exhibited main effects of time that were consistent  
300 with earlier reports of gait changes after fatigue-inducing activities. Here, both participants in both the  
301 heavy and light MMH groups combined exhibited a mean increase in step width variability of 3.2 mm  
302 after the task, and such an increase is considered an indicator of reduced stability and increased fall  
303 risk (Hausdorff et al., 2001; Maki, 1997). Qu & Yeo (2011) and Nagano et al. (2014) both reported a  
304 3-4 mm increase in step width variability among young adults after running or fast walking on a  
305 treadmill. Also, participants in both MMH groups here had an overall mean 0.013 increase in RCOF  
306 after the MMH task, with an increase considered an indicator of increased slip risk (Beschoner,  
307 Albert & Redfern, 2016). The increase in RCOF here is consistent with Parijat and Lockhart (2008),  
308 who reported an estimated 0.03 increase in RCOF without load carriage after repeated bilateral  
309 contractions of the quadriceps until maximum voluntary contractions were 60% of baseline.  
310 Collectively, these studies suggest that physical fatigue results in less stable gait and an increased slip  
311 risk, and that the effects of fatigue may be dependent upon task-related factors, such as the specific  
312 fatigue pattern induced and/or load carriage.

313         We reviewed eight studies that exposed a total of 109 young healthy-weight adults to  
314 laboratory-induced trips when unfatigued, and from this found that only *one* fall occurred upon first  
315 exposure to a trip, for a fall rate of 0.9% (Qu et al., 2019; Grabiner et al., 1993; Grabiner et al., 1996;  
316 Pijnappels, Bobbert, & van Dieën, 2005; Pijnappels, Bobbert, & van Dieën, 2005; van der Burg et al.,  
317 2007; Garman et al., 2016; Wang et al., 2012). This rate is substantially lower than what was found in  
318 the present study, in which five participants fell in each of the MMH groups, for an overall fall rate of  
319 31%. While methodological differences may explain some of this disparity in fall rate, the magnitude  
320 of the disparity could also suggest both an adverse effect of the MMH tasks used here and an  
321 insufficient difference in fatigue level between MMH groups to reveal any resulting differences in fall  
322 rate or reactive balance.

323         Although the present study focused on physical fatigue, participants in both MMH groups

324 may have also experienced general or cognitive fatigue that contributed to the high rate of trip-  
325 induced falls. Results from prior studies support an adverse effect of mental workload on physical  
326 capacity in terms of fatigability and recovery (Mehta & Agnew, 2012), as well as an adverse effect of  
327 mental fatigue on reactive balance after tripping (Qu et al., 2019). Although the current MMH task  
328 was not cognitively demanding, some participants reported feelings of fatigue due to the monotony  
329 and repetition of the task. Future work that explores the combined effects of mental and physical  
330 fatigue, which are common in occupational tasks, may elucidate how certain occupational tasks  
331 increase the risk of falling.

332         Physical fatigue can adversely affect several aspects of the neuromuscular system that are  
333 important during gait and the time-critical kinematic response to a trip (Lew & Qu, 2014). While the  
334 lack of fatigue effects on our reactive balance measures precludes any conclusions regarding the  
335 biomechanical mechanisms of the higher fall rate here compared to prior studies, several  
336 neuromuscular mechanisms are possible. Neuromuscular fatigue can decrease muscle force  
337 generating capacity (Viitasalo & Komi, 1980), decrease maximal contraction velocity and rate of  
338 force development (Beelen & Sargeant, 1991; Thorlund et al., 2008), increase reaction time (Viitasalo  
339 & Komi, 1980), and alter the peripheral proprioceptive system and central processing of sensory  
340 information (Taylor et al., 2000). These effects can diminish the precision of motor control (Sharpe &  
341 Miles, 1993) and result in a clumsiness (Mademli et al., 2008) that could explain the increase in step  
342 width variability found here. There is also evidence to support that compensatory strategies are used  
343 to offset the adverse effects of fatigue during balance tasks involving standing (Simoneau et al.,  
344 2006). However, the identification of a multi-joint kinetic compensatory strategy during balance tasks  
345 involving stepping has been limited (Mademli et al., 2008). Moreover, the effects of fatigue and the  
346 use of a compensatory strategy to offset these effects may be dependent upon how fatigue is induced  
347 and the musculature involved.

348         Our results, when considering both groups together and in comparing with other studies,  
349 provide some evidence for an increased risk of falls due to fatigue induced by occupationally-relevant  
350 physically-demanding work. A better understanding of the biomechanical mechanisms by which  
351 fatigue increases the risk of falls can inform the development of controls to mitigate this risk. For

352 example, if one concludes that physically-demanding work increases trip-induced fall risk,  
 353 determining the duration of exposure to the work that increases risk could be used to schedule rest  
 354 breaks. Alternatively, neuromuscular training (Bieryla et al., 2007) may be able to modify worker  
 355 walking or balance recovery responses to reduce trip-induced fall risk.

356 In conclusion, results of the present study did not support our hypotheses of differential  
 357 effects of fatigue from heavy and light MMH tasks on the risk of falls. However, our results did  
 358 support that a more unstable gait and a higher risk of slip initiation occurred after both MMH tasks. In  
 359 addition, the higher rate of falls after a laboratory-induced trip found here compared to prior studies  
 360 suggests a potential adverse effect of the fatiguing MMH task on trip-induced fall risk.

361

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