Intensification of Biological Nutrient Removal Processes

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Dissertation submitted to the faculty of the Virginia Polytechnic Institute and State University in partial fulfillment of the requirements for the degree of

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ABSTRACT

Intensification refers to utilizing wastewater treatment processes that decrease chemical and energy demands, increase energy recovery, and reduce the process footprint (or increased capacity in an existing footprint) all while providing the same level of nutrient removal as traditional methods. Shortcut nitrogen removal processes; including nitrite shunt, partial nitritation/anammox, and partial denitrification/anammox, as well as low-carbon biological phosphorus removal, were critically-evaluated in this study with an overall objective of intensification of existing infrastructure.

At the beginning of this study, granular sidestream deammonification was becoming well-established in Europe, but there was virtually no experience with startup or operation of these processes in North America. The experience gained from optimization of the sidestream deammonification moving bed biofilm reactor (MBBR) in this study, including the novel pH-based aeration control strategy, has influenced the startup procedure and operation of subsequent full-scale installations in the United States and around the world.

Long startup time remains a barrier to the implementation of sidestream deammonification processes, but this study was the first to show the benefits of utilizing media with an existing nitrifying biofilm to speed up anammox bacteria colonization. Utilizing media with an established biofilm from a mature integrated fixed film activated sludge (IFAS) process resulted in at least five times greater anammox activity rates in one month than virgin media without a preliminary biofilm. This concept has not been testing yet in a full-scale startup, but has the potential to drastically reduce startup time.

False dissolved oxygen readings were observed in batch scale denitrification tests, and it was determined that nitric oxide was interfering with optical DO sensors, a problem of which the sensor manufacturers were not aware. This led to at least one sensor manufacturer reevaluating their sensor design and several laboratories and full-scale process installations were able to understand their observed false DO readings.

There is an industry-wide trend to utilize influent carbon more efficiently and realize the benefits of mainstream shortcut nitrogen removal. The A/B pilot at the HRSD Chesapeake Elizabeth Treatment provides a unique chance to study these strategies in a continuous flow system with real wastewater. For the first time, it was demonstrated that the presence of influent particulate COD can lead to higher competition for nitrite by heterotrophic denitrifying bacteria, resulting in nitrite oxidizing bacteria (NOB) out-selection. TIN removal was affected by both the type and amount of influent COD, with particulate COD (pCOD) having a stronger influence than soluble COD (sCOD). Based
on these findings, an innovative approach to achieving energy efficient biological nitrogen removal was suggested, in which influent carbon fractions are tailored to control specific ammonia and nitrite oxidation rates and thereby achieve energy efficiency in the nitrogen removal goals downstream.

Intermittent and continuous aeration strategies were explored for more conventional BNR processes. The effect of influent carbon fractionation on TIN removal was again considered, this time in the context of simultaneous nitrification/denitrification during continuous aeration. It was concluded that intermittent aeration was able to achieve equal or higher TIN removal than continuous aeration at shorter SRTs, whether or not the goal is nitrite shunt. It is sometimes assumed that converting to continuous aeration ammonia-based aeration control (ABAC) or ammonia vs. NOx (AvN) control will result in an additional nitrogen removal simply by reducing the DO setpoint resulting in simultaneous nitrification/denitrification (SND). This work demonstrated that lower DO did not always improve TIN removal and most importantly that aeration control alone cannot guarantee SND. It was concluded that although lower DO is necessary to achieve SND, there also needs to be sufficient carbon available for denitrification.

While the implementation of full-scale sidestream anammox happened rather quickly, the implementation of anammox in the mainstream has not followed, without any known full-scale implementations. This is almost certainly because maintaining reliable mainstream NOB out-selection seems to be an insurmountable obstacle to full-scale implementation. Partial denitrification/anammox was proven to be easier to maintain than partial nitritation/anammox and still provides significant aeration and carbon savings compared to traditional nitrification/denitrification. There is a long-standing interest in combining shortcut nitrogen removal with biological phosphorus removal, without much success. In this study, biological phosphorus removal was achieved in an A/B process with A-stage WAS fermentation and shortcut nitrogen removal in B-stage via partial denitrification.
GENERAL AUDIENCE ABSTRACT

When the activated sludge process was first implemented at the beginning of the 20th century, the goal was mainly oxygen demand reduction. In the past few decades, treatment goals have expanded to include nutrient (nitrogen and phosphorus) removal, in response to regulations protecting receiving bodies of water. The only practical way to remove nitrogen in municipal wastewater is via biological treatment, utilizing bacteria, and sometimes archaea, to convert the influent ammonium to dinitrogen gas. Orthophosphate on the other hand can either be removed via chemical precipitation using metal salts or by conversion to and storage of polyphosphate by polyphosphate accumulating organisms (PAO) and then removed in the waste sludge.

Nitrification/denitrification and chemical phosphorus removal are well-established practices but utilize more resources than processes without nutrient removal in the form of chemical addition (alkalinity for nitrification, external carbon for denitrification, and metal salts for chemical phosphorus removal), increased reactor volume, and increased aeration energy.

Intensification refers to utilizing wastewater treatment processes that decrease chemical and energy demands, increase energy recovery, and reduce the process footprint (or increased capacity in an existing footprint) all while providing the same level of nutrient removal as traditional methods. Shortcut nitrogen removal processes; including nitrite shunt, partial nitritation/anammox, and partial denitrification/anammox, as well as low-carbon biological phosphorus removal, were critically-evaluated in this study with an overall objective of intensification of existing infrastructure.

Partial nitritation/anammox is a relatively new technology that has been implemented in many full-scale sidestream processes with high ammonia concentrations, but that has proven difficult in more dilute mainstream conditions due to the difficulty in suppressing nitrite oxidizing bacteria (NOB). Even more challenging is integrating biological phosphorus removal with shortcut nitrogen removal, because biological phosphorus removal requires the readily biodegradable carbon that is diverted. Partial denitrification/anammox provides a viable alternation to partial nitritation/anammox, which may be better suited for integration with biological phosphorus removal.
ACKNOWLEDGEMENTS

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# TABLE OF CONTENTS

Abstract ........................................................................................................................................... ii
General Audience Abstract ............................................................................................................ iv
Acknowledgements ......................................................................................................................... v
Table of Contents ........................................................................................................................... vi
Table of Figures ............................................................................................................................. ix
Table of Tables ............................................................................................................................ xiii
Chapter 1: Introduction ................................................................................................................... 1
Chapter 2: Literature Review .......................................................................................................... 5
  Simultaneous Nitrification-Denitrification ................................................................................. 5
  Mainstream Shortcut Nitrogen Removal ..................................................................................... 5
    Carbon Removal ...................................................................................................................... 5
    NOB out-selection and anammox retention ............................................................................ 6
    Process control ......................................................................................................................... 9
  Partial Denitrification/Anammox ............................................................................................ 9
  RAS fermentation for enhanced reliability and stability of Bio-P .............................................. 9
  References ................................................................................................................................. 10
Chapter 3: Startup of a Full-Scale Partial Nitritation-Anammox MBBR for Sidestream Nitrogen
Removal and the Development of a Novel Control System ......................................................... 17
  Abstract ..................................................................................................................................... 17
  Introduction ............................................................................................................................... 17
  Methods and Materials .............................................................................................................. 20
  Results and Discussion .............................................................................................................. 23
  Conclusions ............................................................................................................................... 34
  References ................................................................................................................................. 34
Chapter 4: Methods for Increasing the Rate of Anammox Attachment in a Sidestream Deammonification MBBR ................................................................................................. 37
  Abstract ..................................................................................................................................... 37
  Introduction ............................................................................................................................... 37
  Materials and Methods ............................................................................................................. 39
Introduction ............................................................................................................................. 118
Methods ................................................................................................................................... 120
Results and Discussion ............................................................................................................ 124
Conclusions ............................................................................................................................. 140
References ............................................................................................................................... 140
Appendix C: Supporting Information for Chapter 8 ............................................................... 147
# TABLE OF FIGURES

<table>
<thead>
<tr>
<th>Figure</th>
<th>Description</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>3.1</td>
<td>Ammonium and TIN removal percentages, temperature, nitrite in effluent, and nitrate production ratio. Vertical line indicates end of startup on Day 120.</td>
<td>25</td>
</tr>
<tr>
<td>3.2</td>
<td>Ammonium loading, ammonium removal, and nitrite concentrations in the effluent. Vertical line indicates end of startup on Day 120.</td>
<td>26</td>
</tr>
<tr>
<td>3.3</td>
<td>Biofilm development photos: (A) original seed media prior to placement in reactor; (B) seed media on Day 10; (C) sheared seed media on Day 64; (D) typical seed media on Day 64; (E) new media on Day 64; (F) new media after completion of startup on Day 120; (G) seed media after completion of startup on Day 120.</td>
<td>27</td>
</tr>
<tr>
<td>3.4</td>
<td>Bench-scale new media activity rates. Vertical line indicates end of startup on Day 120.</td>
<td>28</td>
</tr>
<tr>
<td>3.5</td>
<td>Bench-scale seed media activity rates. Vertical line indicates end of startup on Day 120.</td>
<td>29</td>
</tr>
<tr>
<td>3.6</td>
<td>Example of fixed DO control leading to low pH shutoff. In this example, the airflow is programmed to shut off when the pH reaches 6.6 and come back on when pH reaches 6.8.</td>
<td>31</td>
</tr>
<tr>
<td>3.7</td>
<td>Performance of pH-based DO control.</td>
<td>33</td>
</tr>
<tr>
<td>4.1</td>
<td>Performance of the Full-Scale Deammonification MBBR including startup and overall tank conditions during the duration of the attachment experiment. Day 1 to Day 120: Startup Phase, Day 115 to Day 179: Attachment experiment presented in this study</td>
<td>40</td>
</tr>
<tr>
<td>4.2</td>
<td>Time course of influent NH4+ (grey circles, right axis), influent sCOD (white triangles), effluent NH4+ (black circles), effluent sCOD (white squares), effluent NO3-(white circles), and effluent NO2- (black triangles) in full-scale deammonification MBBR. Day 1 to Day 120: Startup Phase, Day 115 to Day 179: Attachment experiment presented in this study</td>
<td>41</td>
</tr>
<tr>
<td>4.3</td>
<td>IFAS media and surface modified media AMX activity test results after one month and two months. AMX NH(_4^+) = AMX activity based on NH4(^+) consumption, AMX NO(_2^-) = AMX activity based on NO2(^-) consumption. Error bars represent the 95% confidence interval.</td>
<td>47</td>
</tr>
<tr>
<td>4.4</td>
<td>IFAS media and surface modified media AMX biomass results after one month and two months.</td>
<td>48</td>
</tr>
<tr>
<td>5.1</td>
<td>Sample A Denitrification Test Nitrogen Measurements and DO Sensor Output. At the beginning of Phase I (time=0) 25 mg/L NO2-N and 400 mg COD/L of sodium acetate was dosed. At the beginning of Phase II once NO2 was depleted, 15 mg/L NO3 was dosed. At the beginning of Phase III 200 mg COD/L of sodium acetate was dosed. There was no aeration during this test.</td>
<td>55</td>
</tr>
<tr>
<td>5.2A</td>
<td>Sensor outputs from Sample B denitrification test. NO (red), N2O (green),</td>
<td>56</td>
</tr>
<tr>
<td>Figure</td>
<td>Description</td>
<td>Page</td>
</tr>
<tr>
<td>--------</td>
<td>-------------</td>
<td>------</td>
</tr>
<tr>
<td>5.2B</td>
<td>Sample B Denitrification Test Nitrogen and sCOD measurements. Phase I: No gas sparging; Phase II: Nitrogen gas sparging; Phase III: Air sparging</td>
<td>57</td>
</tr>
<tr>
<td>5.3</td>
<td>Test in Tap Water DO, NO, and N₂O Sensor Outputs. NO (red), N₂O (green), Insite DO (cyan), YSI DO (dark blue), YSI membrane (grey), Hach LDO (magenta). Phases I and II: Spike of NO gas followed by stripping of NO using N₂ gas. Phase III: Spike of N₂O gas followed by stripping of N₂O using N₂ gas. Phase IV: Sparging air</td>
<td>58</td>
</tr>
<tr>
<td>5.4</td>
<td>pH, DO concentration, and airflow in a sidestream shortcut nitrogen SBR. Republished with permission from Wett and Rauch, 2003.</td>
<td>59</td>
</tr>
<tr>
<td>6.1</td>
<td>Pilot plant configuration. The B-stage was operated with primary clarifier or A-stage effluent. CSTR 1 was anoxic with an internal mixed liquor recycle (IMLR) during MLE configuration.</td>
<td>64</td>
</tr>
<tr>
<td>6.2</td>
<td>% TIN removal vs. tCOD/NH₄-N (A), pCOD/NH₄-N B), and sCOD/NH₄-N (C) in the B-stage influent</td>
<td>68</td>
</tr>
<tr>
<td>6.3</td>
<td>Soluble COD, particulate COD, and nitrite accumulation ratio over time</td>
<td>69</td>
</tr>
<tr>
<td>6.4</td>
<td>Correlation between pCOD and sCOD (mg/L) and nitrite accumulation ratio (NAR%), separated by fully intermittent (FI) (A and B) and MLE configurations (C and D).</td>
<td>70</td>
</tr>
<tr>
<td>6.5</td>
<td>Comparison of NOB divided by AOB rate and NO2 denitrification rate divided by NO3 denitrification rate from ex-situ maximum activity rate testing, and nitrite accumulation ratio (NAR).</td>
<td>72</td>
</tr>
<tr>
<td>6.6</td>
<td>Prevalence of AOB and NOB (Nitrobacter and Nitrospira), and nitrite accumulation ratio (NAR) over time.</td>
<td>73</td>
</tr>
<tr>
<td>7.1</td>
<td>Phase 1 BNR process configuration. A-stage effluent (ASE) fed the B-stage process. In fully aerated (FA) configuration there was no internal mixed liquor recycle (IMLR). In MLE configuration CSTR 1 was unaerated with IMLR at 300% of influent flow.</td>
<td>93</td>
</tr>
<tr>
<td>7.2</td>
<td>B-stage influent C/N (total COD/NH₄⁺-N), TIN removal %, and total COD/TIN removal ratio</td>
<td>93</td>
</tr>
<tr>
<td>7.3</td>
<td>SRT, Aerobic Fraction Setpoint (air on time divided by total cycle time), and DO setpoint. Aerobic Fraction and DO setpoints are determined by the AvN controller.</td>
<td>94</td>
</tr>
<tr>
<td>7.4</td>
<td>B-stage influent and effluent nitrogen concentrations</td>
<td>94</td>
</tr>
<tr>
<td>7.5</td>
<td>Phase 2 BNR process configuration. MLE at 300% IMLR with primary clarifier feed.</td>
<td>96</td>
</tr>
<tr>
<td>7.6</td>
<td>TIN removal 5, COD/TIN removal ratio (total COD removed divided by TIN</td>
<td>97</td>
</tr>
</tbody>
</table>
removed) and influent COD/NH4 (C/N).

Figure 7.7: Profile grab samples to quantify SND measured as TIN loss across aerated reactors

Figure 7.8: Phase 3 process configurations. A/O with 4 CSTRs (top) and A2O with 5 CSTRs and varying IMLR rates (bottom).

Figure 7.9: TIN removal, influent COD/NH3, and total COD/TIN removed ratio during each scenario. Average DO during A2O ABAC was 0.24 mg/L.

Figure 7.10: Aerobic TIN removal (SND) vs. Anoxic TIN removal

Figure 7.11: Aerobic TIN removal (SND) vs. TIN removal %

Figure 7.12: 16S rRNA gene amplicon sequencing results for putative AOB, NOB, PAO and GAO genera.

Figure 7.13: Phase 4 process configuration. In A2O configuration there was an anoxic zone with an IMLR of 400% influent flow. In A/O configuration there were four aerated CSTRs and no IMLR.

Figure 7.14: SND versus anoxic nitrogen removal

Figure 7.15: SND versus ammonia residual in the effluent

Figure 8.1: Pilot Configuration

Figure 8.2: Fermentate VFA Fractionation as percent of total VFA on a COD basis.

Figure 8.3: tCOD, sCOD, and VFA load to the SBPR in mg COD/L divided into the influent flow.

Figure 8.4: Influent OP (combined from influent and fermentate), effluent OP, effluent NO2, and VFA load to the sidestream divided into the influent flow.

Figure 8.5: Nitrogen profile in time on Day 108 during intermittent aeration in CSTR 4. Grey shaded areas are periods of aeration.

Figure 8.6: Maximum anaerobic OP release rate from batch tests (dark green bar), aerobic OP uptake rate from batch tests (light green bar), and influent sCOD/OP ratio (red line). The sCOD/OP ratio was calculated as the five-day moving average of the sCOD added from the fermentate, divided by the sum of the OP in the influent and OP in the fermentate.

Figure 8.7: Total TIN in the influent (A-stage effluent (ASE) + fermentate), TIN only from A-stage effluent (ASE), TIN in B-stage effluent (BSE), and TIN in the anammox MBBR effluent.
| Figure 8.8: TIN removal performance of the MBBR, and glycerol addition (mgCOD/L) to the MBBR. | 133 |
| Figure 8.9: qPCR results for Canonical AOB, Comammox, *Nitrospira*, and *Nitrobacter*. | 134 |
| Figure 8.10: Top 25 genera from 16S amplicon sequencing | 135 |
| Figure 8.11: Putative PAO and GAO from 16S amplicon sequencing | 135 |
| Figure 8.12: External carbon independent denitrification test on Day 116. | 137 |
| Figure 8.13: Rates from external carbon independent denitrification tests over time and VFA load to the SBPR in mg/L as influent flow. Nitrate reduction is positive, nitrite production and OP release are positive. | 137 |
| Figure 8.14: Results from the long term denitrification test. The first period is labeled “anaerobic” even though there is nitrate present because this is the OP release and VFA storage period, followed by the aerobic period, then anoxic. | 138 |
TABLE OF TABLES

<table>
<thead>
<tr>
<th>Table</th>
<th>Description</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.1</td>
<td>NOB out-selection and anammox retention mechanisms for various process</td>
<td>8</td>
</tr>
<tr>
<td></td>
<td>configurations</td>
<td></td>
</tr>
<tr>
<td>3.1</td>
<td>Design parameters</td>
<td>23</td>
</tr>
<tr>
<td>3.2</td>
<td>Average influent characteristics</td>
<td>24</td>
</tr>
<tr>
<td>3.3</td>
<td>Comparison of aeration control strategies.</td>
<td>33</td>
</tr>
<tr>
<td>4.1</td>
<td>Contact Angle and Surface Energy Parameters of the Surface Modified Media</td>
<td>44</td>
</tr>
<tr>
<td></td>
<td>and New Media Control. θ&lt;sub&gt;w&lt;/sub&gt;, θ&lt;sub&gt;D&lt;/sub&gt;, and θ&lt;sub&gt;F&lt;/sub&gt;</td>
<td></td>
</tr>
<tr>
<td></td>
<td>represent the contact angles for water, diiodomethane, and formamide</td>
<td></td>
</tr>
<tr>
<td></td>
<td>respectively.</td>
<td></td>
</tr>
<tr>
<td>5.1</td>
<td>List of sensors used in this study</td>
<td>53</td>
</tr>
<tr>
<td>6.1</td>
<td>Operational parameters for all phases. The aerobic fraction is the</td>
<td>67</td>
</tr>
<tr>
<td></td>
<td>fraction of aerated volume out of the total volume. For the MLE scenarios,</td>
<td></td>
</tr>
<tr>
<td></td>
<td>the aerobic fraction in parentheses is the aerated fraction in just the</td>
<td></td>
</tr>
<tr>
<td></td>
<td>aerated tanks, excluding the anoxic zone.</td>
<td></td>
</tr>
<tr>
<td>7.1</td>
<td>Operating scenarios. All scenarios had a constant influent feed except</td>
<td>90</td>
</tr>
<tr>
<td></td>
<td>A2O_ABAC which had a diurnal flow feed pattern.</td>
<td></td>
</tr>
<tr>
<td>7.2</td>
<td>Summary table for Phases 1 through 3. Phase 4 was not included because the</td>
<td>106</td>
</tr>
<tr>
<td></td>
<td>goal was not to maximize TIN removal in that phase.</td>
<td></td>
</tr>
<tr>
<td>8.1</td>
<td>Sidestream BioP Reactor (SBPR) operation for each phase. sCOD/OP was</td>
<td>125</td>
</tr>
<tr>
<td></td>
<td>calculated as sCOD added from the fermentate, divided by the sum of the</td>
<td></td>
</tr>
<tr>
<td></td>
<td>OP in the influent and OP in the fermentate</td>
<td></td>
</tr>
</tbody>
</table>
CHAPTER 1: INTRODUCTION
This study first focused on making improvements to sidestream partial nitritation/anammox processes before focusing on mainstream shortcut nitrogen and biological phosphorus removal. Results for chapters 3 and 4 were collected at a full-scale sidestream process, while results for chapters 5-8 were collected at a mainstream pilot scale system. Note that sidestream in reference to shortcut nitrogen removal refers to treating the dewatered anaerobic digestate, while sidestream in reference to biological phosphorus removal refers to an anaerobic zone that contains only return activated sludge which is not diluted by the influent flow. Also note that partial nitritation/anammox (PNA) is used interchangeably with deammonification.

CHAPTER 2 is a literature review of shortcut nitrogen removal processes and sidestream biological phosphorus removal.

CHAPTER 3 details the startup of the second full-scale process to utilize partial nitritation/anammox in North America, and the first to utilize a moving bed biofilm reactor. It was hypothesized that preventing inorganic carbon limitation was the most important factor in control of sidestream anammox processes. The objective was to demonstrate that pH-based aeration control optimizes performance in a sidestream deammonification MBBR and to provide detailed information on startup strategy.

- Klaus: Data collection, analysis, and writing
- All other co-authors reviewed the manuscript

CHAPTER 4 addresses the challenge of slow start-up times for full-scale sidestream deammonification moving bed biofilm reactors, which is a significant barrier to implementation of this technology. The objective was to test the hypothesis that rates of anammox biofilm growth on HDPE media could be increased through wet chemical surface treatment and through the transfer of media with a mature biofilm from a full-scale mainstream fully nitrifying IFAS process.

- Klaus: Data collection, analysis, and writing
- McLee performed the contact angle measurements
- Schuler and Bott reviewed the manuscript

CHAPTER 5 describes the surprising discovery that nitric oxide interferes with dissolved oxygen sensors that are utilized in laboratory and full-scale wastewater treatment facilities. False
dissolved oxygen readings were observed in batch scale denitrification tests, leading to the hypothesis that an intermediate in denitrification was causing interference. The objectives of this study were to demonstrate which denitrification intermediate was interfering with the DO probe readings, to demonstrate that the interference occurs at levels of nitrite that are relevant to wastewater treatment processes, and to test a variety of DO sensors for interference.


- Klaus: Data collection, analysis, and writing
- Sadowski and Jimenez: Data collection
- All other co-authors reviewed the manuscript

**CHAPTER 6** examines the mechanisms for mainstream NOB out-selection during intermittent aeration in regard to influent carbon fractionation (A-stage effluent versus primary clarifier effluent) and reactor configuration. It was hypothesized that influent particulate COD was leading to NOB out-selection via heterotrophic competition for nitrite. The objectives were to determine the influence of influent COD/NH$_4^+$-N ratio on TIN removal, and to determine the effect of operating configurations and influent carbon fractionation on nitrite accumulation and NOB out-selection in an intermittently aerated BNR process.


**Target Journal:** *Environmental Science: Water Research and Technology*

- Klaus: Data collection, analysis, and writing
- Sadowski: Data collection
- Chandran: qPCR analysis and manuscript review
- All other co-authors reviewed the manuscript

**CHAPTER 7** compares sensor driven aeration control strategies (both intermittent and continuous) applied to more conventional BNR processes compared to Chapter 6. It was hypothesized that simply reducing the bulk dissolved oxygen concentration would not guarantee increased nitrogen removal in continuously aerated processes. The objective was to test if the same TIN removal and nitrite shunt benefits could be achieved with continuous aeration sensor driven strategies (AvN and ABAC) as with intermittent aeration. This chapter gives insight into how to select an aeration control strategy based on reactor configuration and treatment goals.

Comparison of Sensor Driven Aeration Control Strategies for Optimization of Nitrogen Removal via Denitrification in Aerated Zones. Stephanie A. Klaus and Charles Bott

**Target journal:** *Water Environment Research*
CHAPTER 8 examined the possibility of accumulating nitrite from partial denitrification instead of via partial nitrification. Integrating shortcut nitrogen removal and biological phosphorus removal (bioP) is challenging because of the conflicting influent carbon demands. It was hypothesized that partial denitrification/anammox (PDNA) could be achieved with biological phosphorus removal utilizing an A/B process with a sidestream RAS reactor. The objective was to integrate PDNA and sidestream bioP, utilizing A-stage WAS fermentation as a supplemental carbon source.

Integration of Sidestream Biological Phosphorus Removal and Partial Denitrification/Anammox. Stephanie Klaus, Varun Srinivasan, Dongqi Wang, Chenghua Long, Haydee DeClippeleir, Kartik Chandran, April Gu, Charles B. Bott

Target Journal: *Environmental Science: Water Research and Technology*

- Klaus: Data collection, analysis, and writing
- Srinivasan, Wang, and Long: performed 16S amplicon sequencing, qPCR, and PHA analysis
- DeClippeleir, Chandran, Gu, and Bott reviewed the manuscript

**OTHER PUBLICATIONS**

In addition to the manuscript chapters presented above, the following are additional publications and patents that were completed during the timeframe of this study.


**Patents**

CHAPTER 2: LITERATURE REVIEW

SIMULTANEOUS NITRIFICATION-DENITRIFICATION
The drivers for implementing ammonia based aeration control (ABAC) are to reduce aeration energy, reduce chemical addition, and prevent peaks in effluent ammonia (Åmand et al., 2013; Rieger et al., 2013). With ABAC, there is the possibility for simultaneous nitrification denitrification (SND) if DO setpoints can get low enough (0.2-0.8 mg/L) (Jimenez et al., 2010). SND is defined as nitrogen loss in aerated reactors. It is typically assumed that converting to ABAC will result in an additional nitrogen removal simply by reducing the DO setpoint resulting in SND. However, the mechanisms for achieving controllable SND are not well understood, and SND is difficult to quantify. Converting to ABAC can lead to more denitrification capacity (therefore more TIN removal) due to less oxygen transfer into anoxic zones but this does not classify as SND. SND is commonly observed in oxidation ditches and in low DO suspended growth systems (Daigger et al., 2014).

In order for SND to occur there needs to be rbCOD available for denitrification in the aerobic zones. This rbCOD could come from internal storage products, or the hydrolysis of pCOD and endogenous decay products. Since aerobic heterotrophs have a clear advantage for soluble substrate, potential conditions that allow for denitrification to occur in aerated zones are:

1. A DO gradient within the floc allows for an anoxic zone for denitrification to occur. This is the most common explanation for SND.
2. A DO gradient within the reactor. Incomplete mixing leads to areas of very low or zero DO. This will not be explored in this study since it is not a reliable way to achieve SND.
3. Organisms that are capable of storing carbon internally in an anaerobic zone, can subsequently denitrify under low DO conditions. This can be carried out by denitrifying phosphorus accumulating organisms (dPAO), denitrifying glycogen accumulating organisms (dGAO), or other heterotrophs (Tsuneda et al., 2006; Rubio-Rincón et al., 2017; Van Loosdrecht et al., 1997). Research has shown that dPAO can reduce nitrate and nitrite during SND (Tsuneda et al., 2006; Zeng et al., 2014; Giraldo et al., 2011).

MAINSTREAM SHORTCUT NITROGEN REMOVAL

CARBON REMOVAL
The following statement is frequently cited in the literature when explaining the benefits of mainstream deammonification: “partial nitritation and anammox results in 60% less aeration, 90% less sludge production and 100% reduction of organic carbon addition compared to conventional nitrification-denitrification” (Cao et al., 2017; Jetten et al., 1997; Mulder, 2003). As Daigger (2014) pointed out, this is completely dependent on carbon removal ahead of the nitrogen removal step. Otherwise, the carbon will get oxidized in B-stage, utilizing aeration energy and creating more biomass. In order to realize the benefits of mainstream deammonification, the carbon needs to be diverted, preferably to an anaerobic digester to recover
energy. It should also be noted that in order to remove 100% of the nitrogen, some organic carbon is required to reduce the small amount of nitrate produced by anammox (Daigger et al., 2014). The concept behind the operation of an A/B process for mainstream deammonification is to balance the carbon that is being captured in A-stage with the remaining carbon that is being fed to B-stage. When the mechanism for nitrogen removal is denitrification by OHO (such as in nitrite shunt), it is desirable to have slowly biodegradable COD (sbCOD) in the B-stage influent (Regmi, 2014). As more nitrogen is removed through the anammox pathway, less carbon is required, and more carbon can be diverted.

The removal of carbon can be accomplished physically (with or without the addition of chemicals to enhance coagulation/flocculation), or biologically. Primary sedimentation tanks should remove from 50 to 70% of the TSS, 25 to 40% of the BOD, and 20 to 35% of the COD (Tchobanoglous et al., 2003). A limitation of primary sedimentation is that soluble and colloidal constituents are not removed. Chemically enhanced primary treatment (CEPT) is the addition of coagulants and/or flocculating agents to the primary settling process to improve physical removal of carbon. Removals of 80 to 90% TSS including some colloidal particles, 50 to 80% BOD, and 45 to 80% COD can be achieved (Tchobanoglous et al., 2003). CEPT can also be used for chemical phosphorus removal. The goal of the high-rate A-stage in an adsorption-bio-oxidation (A/B process) is to provide a controlled carbon loading for B-stage, and by separating the SRTs achieve low cost COD removal at reduced aeration tank volume and aeration energy requirements (Miller et al., 2012).

**NOB OUT-SELECTION AND ANAMMOX RETENTION**

Deammonification in sidestream processes, treating dewatered anaerobically digested sludge liquor, is well established with over 100 full-scale installations (Lackner et al., 2014). The main challenges of achieving mainstream deammonification are NOB out-selection and anammox retention. NOB repression is easier in sidestream processes due to high free ammonia (FA) concentrations (Anthonisen et al., 1976) and high temperature (Hellinga et al., 1998). Anammox retention becomes more difficult in mainstream because colder temperatures and lower ammonia concentrations result in slower growth rates (Kartal et al., 2010; Vlaeminck et al., 2012; Ma et al., 2016; Lackner et al., 2015). Strategies developed to give AOB an advantage over NOB in mainstream treatment include: maintaining an ammonia residual in the effluent (Regmi et al., 2014; Pérez et al., 2014; Poot et al., 2016; Welker and Lackner, 2016), transient anoxia (Gilbert et al., 2014a; Kornaros et al., 2010), high DO concentration during intermittent aeration (Regmi et al., 2014; Al-Omari et al., 2015), low DO continuous aeration (for biofilm and granule systems) (Sliekers et al., 2005; Pérez et al., 2014; Poot et al., 2016), seeding of AOB from a sidestream process (Al-Omari et al., 2015), aerobic SRT control (Regmi et al, 2014), and exposure of the mainstream biomass to high levels of nitrous acid (Piculell et al., 2016b; Wang et al., 2014). Transient anoxia can be achieved through intermittent aeration either in time (on/off aeration control) or in space (alternating oxic/anoxic zones). The proposed mechanisms of NOB out-selection from transient anoxia are: enzymatic lag (Kornaros et al., 2010), inhibition by
intermediates (Courtens et al., 2015; Park and Chandran 2016), and substrate availability (Gilbert et al., 2014b) (limiting the amount of NO₂⁻ available aerobically).

Because NOB out-selection is so challenging, it is desirable to combine as many of these strategies as possible. Which strategies can be implemented depends on the type of system that is being operated, and that can be broken down into two categories based on the mechanism for anammox retention. Single SRT systems include: attached growth biofilm systems (Gilbert et al., 2014b; Laureni et al., 2016; Gustavsson et al., 2015; Liu et al., 2018) and fully granular systems (Lotti et al., 2014; Gao et al., 2015; Winkler et al., 2012; Morales et al., 2016). Two-SRT systems include: hybrid systems with AOB/NOB/OHO suspended growth and granular anammox (Wett et al., 2015; Han et al., 2016; Cao et al., 2013), and two-phase systems with AOB/NOB/OHO in a separate suspended growth reactor followed by a completely anoxic anammox moving bed biofilm reactor (MBBR) (Regmi et al., 2015; Ma et al., 2011). Aerobic granular sludge and biofilm systems can take advantage of relative diffusion resistance inside and outside of a granule/biofilm to develop and grow different populations simultaneously in a single reactor. However, NOB out-selection can be more challenging because the SRTs of the different populations cannot be separated. Recently, Anoxkaldnes developed “z-carriers”, plastic media capable of out-selecting NOB spatially by limiting the depth of the biofilm (Piculell et al., 2016a). The thickness of the biofilm can be controlled to different depths depending on if it is used in a single stage system, or in the first stage of a two-stage system.

The common mechanism of NOB out-selection to all configurations, is maintaining an ammonia residual to maintain high AOB rates by keeping substrate well above limiting condition. See Table 1 for a summary of NOB out-selection and anammox retention mechanisms. The challenge of suppressing NOB became even more complicated by the discovery in 2015 that certain NOB are capable of oxidizing ammonia directly to nitrate, disrupting the long accepted understanding of nitrification as a two-step process (Kessel et al., 2015). The term Comammox (complete ammonia oxidation) was coined to describe the process (Kessel et al., 2015). The discovery of this pathway may help to explain why NOB out-selection is so difficult (Daims et al., 2016). Research is ongoing to develop molecular tools to identify these bacteria and quantify their presence in wastewater treatment facilities Pinto et al., 2016).
### Table 2.1: NOB out-selection and anammox retention mechanisms for various process configurations

<table>
<thead>
<tr>
<th>Process Configuration</th>
<th>Anammox Retention Mechanism</th>
<th>NOB Out-selection Mechanisms</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td>Biofilm Process</td>
<td>Attached growth on plastic media</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fully Granular Process</td>
<td>Granules</td>
<td>no, unless spatial out-selection using z-carriers</td>
<td>Lotti et al., 2014; Gao et al., 2015; Winkler et al., 2012; Morales et al., 2016</td>
</tr>
</tbody>
</table>
| Hybrid system (Suspended growth with anammox granules) | 1. External selector (e.g. hydrocyclone or screens)  
2. Bioaugmentation of AMX and AOB | yes                                                                                           | Wett et al., 2015; Han et al., 2016; Cao et al., 2013                                                                                   |
| Two-phase system (Partial nitritation followed by anoxic AMX MBBR) | Biofilm                                | yes in Phase 1                                                                              | Regmi et al., 2015; Ma et al., 2011; Piculell et al., 2016                                                                               |
**PROCESS CONTROL**

Ammonia vs. NOx (AvN) control for nitrite shunt works by controlling the aerobic fraction to meet an NH$_4^+$ to NOx ratio in the effluent. In addition to transient anoxia the controller uses high DO, aerobic SRT control, and high residual ammonia concentration to favor AOB over NOB (Regmi et al., 2014). Although AvN control was developed to achieve nitrite shunt through NOB out-selection, AvN control has the potential to provide more efficient nitrogen removal than ABAC, even if the goal is not nitrite shunt. By setting ammonia and NOx equal in the effluent, or by specifying a ratio of NH$_4^+$/NOx somewhat less than one based on the need to comply with an effluent ammonia limit, AvN control oxidizes only the amount of ammonia that can be denitrified utilizing the influent organic carbon that is made available. This maximizes COD utilization efficiency for heterotrophic denitrification using NO$_3^-$ or NO$_2^-$ without the addition of supplemental carbon. This can be achieved with either continuous or intermittent aeration. Another option for the AvN program is the slope-intercept control concept using the following equation: NH$_4^+$=slope*NOx+intercept where the slope controls the NH$_4^+$/NOx ratio and the intercept controls the ammonia effluent limit. When implemented for mainstream deammonification, the slope will be higher as more nitrogen is removed through the anammox pathway.

**PARTIAL DENITRIFICATION/ANAMMOX**

Since successful NOB out-selection relies on leaving an ammonia residual, and because there will always be some residual NO$_3^-$, some sort of polishing is required to meet a stringent effluent nitrogen limit (Regmi et al., 2015; Le et al., 2019). A completely anoxic MBBR, or combined suspended and granular growth process, can be used to remove additional NO$_3^-$ and NH$_4^+$ through partial denitrification of NO$_3^-$ to NO$_2^-$ and the subsequent oxidation of ammonia with the produced nitrite via anammox. Recent research suggests that partial denitrification/anammox may be a viable alternative to sustaining NOB out-selection for partial nitrification (Ma et al., 2016).

**RAS FERMENTATION FOR ENHANCED RELIABILITY AND STABILITY OF BIO-P**

The fermentation of primary sludge is commonly used to provide volatile fatty acids (VFAs) for biological phosphorus removal (Bio-P) when influent VFA content is insufficient. An alternative approach is to ferment a portion of the return activated sludge (RAS) prior to returning it back to the process, referred to as side-stream Bio-P (Tooker et al., 2016; Andreasen et al., 1997). RAS fermentation was initially investigated as a means to decrease sludge production and nutrient loading to the nutrient removal step, compared to primary sludge fermentation (Andreasen et al., 1997). Also, RAS fermentation is a practical alternative to primary sludge fermentation for facilities that don’t have primary treatment. Another example of a sidestream fermentation process is the Cannibal® process, as a means of solids reduction (Novak et al., 2007). While the mechanisms occurring in sidestream Bio-P reactors are largely unknown, the process seems to include a combination of hydrolysis, fermentation, and enrichment of PAO (Vollertsen et al., 2006; Barnard et al., 2010; Houweling et al., 2010). The enrichment of PAO refers to the competition of PAO over GAO, and recent research suggests the possibility of enrichment of
Tetrasphaera over Accumulibacter in the sidestream reactor (Nguyen et al., 2011). Tetrasphaera are a more recently discovered PAO that have a distinctly different metabolism from the frequently studied Candidatus Accumulibacter phosphates (Maszenan et al., 2000; Kristiansen et al., 2013). Tetrasphaera seem to occupy a different ecological niche compared to Accumulibacter, having the ability to ferment complex organic molecules such as glucose and benefitting from deeper anaerobic conditions (Nguyen et al., 2011; Barnard et al., 2016; Kong et al., 2008). Unlike Accumulibacter, all known Tetrasphaera have the ability to denitrify nitrite and/or nitrate (Kristiansen et al., 2013). The selection of Tetrasphaera over Accumulibacter may help to ensure the stability of the Bio-P process. To date there are approximately 85 facilities that have implemented or piloted sidestream Bio-P with many different configurations (Tooker et al., 2016). The most common practice is to send 5-30% of the RAS to a sidestream reactor with an HRT of 16-48 hours (Tooker et al., 2016). Current Bio-P models are not able to predict the benefits of RAS fermentation, suggesting that sidestream Bio-P processes will perform worse than is observed in practice (Dunlap et al., 2016). Future research is needed on mechanisms and population dynamics in sidestream Bio-P reactors as well as on operation and novel applications.

REFERENCES


CHAPTER 3: STARTUP OF A FULL-SCALE PARTIAL NITRITATION-ANAMMOX MBBR FOR SIDESTREAM NITROGEN REMOVAL AND THE DEVELOPMENT OF A NOVEL CONTROL SYSTEM

Stephanie Klaus, Rick Baumler, Bob Rutherford, Glenn Thesing, Hong Zhao, Charles Bott

ABSTRACT
The single-stage deammonification moving bed biofilm reactor (MBBR) is a process for treating high strength nitrogen waste streams. In this process, partial nitritation and anaerobic ammonia oxidation (anammox) occur simultaneously within a biofilm attached to plastic carriers. An existing tank at the James River Treatment Plant (76 ML/d) in Newport News, Virginia was modified to install a sidestream deammonification MBBR process. This was the second sidestream deammonification process in North America and the first MBBR type installation. After 4 months the process achieved greater than 85% ammonia removal at the design loading rate of 2.4 g NH$_4^+$/m$^2$·d (256 kg NH$_4^+$/d) signaling the end of startup. Based on observations during startup and process optimization phases, a novel pH-based control system was developed that maximizes ammonium removal and results in stable aeration and effluent alkalinity.

INTRODUCTION
Centrate from dewatered anaerobically digested solids can comprise 15 to 25% of the total incoming nitrogen load for a water resource recovery facility, but only represents about 1% of the total incoming flow. By treating the centrate in a sidestream system, the facility can reduce the nitrogen load on the mainstream process and, by doing so, provide more cost-effective and more efficient overall nitrogen removal (Jetten et al., 2001). The combination of partial nitritation and anaerobic ammonia oxidation (anammox), commonly known as deammonification, is an economical option for sidestream treatment because of decreased aeration energy requirements, no required external carbon or alkalinity, and decreased sludge production over traditional nitrification/denitrification (Ahn, 2006).

In the first step of deammonification, aerobic ammonium oxidizing bacteria (AOB) convert approximately 57% of the incoming ammonia to nitrite according to equation 1 (Grady et al., 2011).

\[
\begin{align*}
NH_4^+ + 2.457O_2 + 6.716 HCO_3^- & \rightarrow 0.114C_5H_7O_2N + 2.509NO_2^- + 1.036H_2O + 6.513H_2CO_3
\end{align*}
\]
In the second step, anaerobic ammonium oxidizing bacteria (AMX) convert the remaining ammonium and nitrite to nitrogen gas and a small amount of nitrate according to equation 2 (Strous et al., 1998).

\[ NH_4^+ + 1.32NO_2^- + 0.066HCO_3^- + 0.13H^+ \rightarrow 0.26NO_3^- + 1.02N_2 + 0.066CH_2O_{0.5}N_{0.15} + 2.03H_2O \]

The deammonification reaction requires a net consumption of alkalinity (inorganic carbon). There are two components to the inorganic carbon (IC) demand for deammonification: production/consumption of hydrogen ions by AOB/AMX and incorporation of IC into the biomass of AOB and AMX. pH in a sidestream deammonification reactor is mainly governed by alkalinity consumption by AOB, which is a function of aeration intensity or aerobic fraction. Another factor influencing pH is CO₂ stripping due to aeration. Ammonium oxidizing bacteria consume alkalinity, while AMX produce a small amount for a net consumption of approximately 4.0 g CaCO₃/g NH₄⁺ removed (theoretical according to stoichiometry of eqs 1 and 2). Nitrite oxidizing bacteria (NOB) (if present) do not significantly contribute to alkalinity requirements.

Centrate/filtrate has a theoretical alkalinity to ammonia ratio of 3.57 CaCO₃/NH₄⁺-N (based on an assumed 1:1 molar ratio of HCO₃⁻:NH₄⁺ coming out of the digester) (Metcalf and Eddy, 2014). The alkalinity/NH₄⁺-N ratio in the centrate dictates the percentage of NH₄⁺ that can be removed without the addition of supplemental alkalinity.

Deammonification can take place in a single reactor or in two separate reactors. In a two-reactor configuration, partial nitritation occurs in an aerobic reactor followed by anammox occurring in an anoxic reactor (Van Dongen et al., 2001). A number of full-scale single reactor configurations are in operation including upflow granular sludge reactors (Abma et al., 2007), moving bed biofilm reactors (MBBRs) (Christensson et al., 2013; Rosenwinkel and Cornelius 2005), and sequencing batch reactor with an AMX selection device (Wett, 2007). In a deammonification MBBR, the conversion of ammonium takes place in the biofilm attached to the plastic media in which AOB exist on the exterior of the biofilm, while AMX exist deeper within the biofilm in an anoxic environment. This process is also characterized by temperatures of 25 to 35 °C, continuous flow, continuous aeration, and a hydraulic retention time (HRT) of approximately 24 hours (Lackner et al., 2014).

The biggest concern during startup of a deammonification MBBR is AMX inhibition by nitrite because AMX cannot initially consume all of the nitrite being produced by AOB. While AMX
appear to be inhibited by the nitrite ion itself, AOB and NOB are susceptible to inhibition by nitrous acid (HNO₂) (Lotti et al., 2012; Strous et al., 1999). Once the AMX capacity is equal to or greater than AOB activity, the limiting factor becomes IC limitation of AOB (Wett and Rauch, 2003). In order to maintain a stable pH and avoid alkalinity limitation an aeration control strategy that takes into account alkalinity is critical. Meeting these operating requirements necessitates utilizing an automatic control system to make continuous process adjustments in order to ensure process reliability. For various deammonification reactor configurations there exists a need for reliable control systems that meet the above objectives, utilize robust sensors, and minimize operator input.

NOB repression is key to deammonification systems because NOB compete with AMX for substrate and space within the biofilm. If all of the nitrate production in the reactor is due to AMX activity, then the nitrate production ratio (eq 4) will be around 11% based on stoichiometry (eq 2). If the nitrate production ratio is any higher than 11%, then it can be assumed that the excess nitrate production is due to NOB activity. Strategies for NOB repression in sidestream systems include high free ammonia (FA) concentration (Anthonisen et al., 1976), low dissolved oxygen concentration (Wiesmann, 1994), high temperature (Hellinga et al., 1998), and transient anoxia (Kornaros et al., 2010).

pH-based aeration control is the basis for the DEMON® process, an intermittently aerated deammonification sequencing batch reactor (SBR) in which the length of the aerated and non-aerated phases is controlled by a low and high pH setpoint (Wett, 2007). This process takes advantage of the high accuracy of pH sensors to control within a 0.05 fluctuation in pH based on alkalinity consumption during the aerobic phase and alkalinity production during the anoxic phase. A typical value for the low pH setpoint is 6.8 (Lackner et al., 2014). A deammonification MBBR process can be operated with intermittent aeration (Ling, 2009; Zubrowska-Sudol et al., 2011); however, continuous aeration is preferred due to simplicity of operation, more accurate readings of online signals, and elimination of the need for mechanical mixing during non-aerated phases. Continuous aeration also reduces nitrous oxide (N₂O) emissions (Christensson et al., 2013).

Another control method for a deammonification MBBR described in Christensson et al. (2013) relies on ammonia removal ratio (eq 3) and nitrate production ratio (eq 4) in the reactor to adjust continuous aeration.
\[
NH_4^+ \text{ removal} = \frac{EQ \, NH_4^+ - \text{Reactor} \, NH_4^+}{EQ \, NH_4^+} \times 100 \tag{3}
\]

\[
NO_3^- \text{ production} = \frac{\text{Reactor} \, NO_3^-}{EQ \, NH_4^+ - \text{Reactor} \, NH_4^+} \times 100 \tag{4}
\]

The ratios are calculated from online sensor values and the dissolved oxygen (DO) set-point is incrementally increased or decreased to maintain optimum operating conditions. The optimal operating condition is for the ammonia removal to be in the range of 80 to 90% and for nitrate production to be below 12%.

There are a few publications on operation of full-scale deammonification MBBR systems (Christensson et al., 2013; Lackner et al., 2014; Rosenwinkel and Cornelius, 2005) but none give detailed information on optimization of controls. While it is recognized that pH-based aeration control is essential for operating the DEMON® process (Wett, 2007), this is the first full-scale deammonification MBBR to be operated with pH-based aeration control. The objective of this paper is to demonstrate that pH-based aeration control optimizes performance in a sidestream deammonification MBBR and to provide detailed information on startup strategy.

**METHODS AND MATERIALS**

**Deammonification MBBR Installation.** The James River Treatment Plant is a 76 ML/d facility located in Newport News, Virginia. Anaerobically digested waste activated sludge and primary sludge was dewatered using centrifuges and the centrate was sent to an equalization basin. An existing below-grade tank was modified for the installation of the sidestream deammonification MBBR (ANITA™ Mox, Kruger Inc., Cary, North Carolina). Centrate was pumped from the equalization basin to the deammonification MBBR for treatment and the effluent was recycled back into the primary clarifiers. Airflow rate to the reactor was controlled and measured by a modulating, motor-actuated control valve. Mechanical mixers kept the media in suspension and the tank completely mixed during periods of non-aeration. Centrate pump speed was controlled by a variable frequency drive and was measured by a flow meter to meet a flow setpoint ranging from 75 to 250 L/min. Two deep-tank electric immersion heaters were used during startup to maintain the tank temperature at 30 °C. A blend of trace metals was added based on micronutrient requirements for bacterial growth (Grady et al., 2011) to prevent micronutrient...
deficiencies in both AOB and AMX populations.

**Instrumentation and Control.** Online sensors from YSI Inc. (Yellow Springs, Ohio) were used to monitor NH$_4^+$, NO$_3^-$, pH, DO, specific conductivity, and temperature in the deammonification MBBR. NH$_4^+$ and temperature were also monitored in the equalization basin. Ion selective electrode (ISE) probes were used to monitor NH$_4^+$ and NO$_3^-$ and included an additional sensor for potassium correction of NH$_4^+$.

There were three aeration control modes available: Fixed DO control, ammonia-based floating DO control, and pH-based control. Airflow rate to the deammonification MBBR was controlled and measured by a modulating, motor-actuated control valve. The valve receives a command from the Distributed Control System (DCS) and sends a position signal in return. Airflow can be either operated continuously or intermittently. In both continuous airflow mode and intermittent control mode, the airflow can be controlled to meet an airflow setpoint (measured by a flow meter upstream of the valve) or to meet a DO setpoint (measured by a process probe). A low pH setpoint ranging from 6.3 to 6.6 was programmed to safeguard against running out of alkalinity in the deammonification reactor. When the low pH setpoint was reached, the airflow shut off while centrate feed continued to allow the system to recover. In fixed DO control mode cascading proportional integral derivative (PID) control was used to control airflow to the reactor based on a DO setpoint and then valve position was PID controlled based on the airflow setpoint. In ammonia-based floating DO control, the DO setpoint was adjusted to keep ammonia removal and nitrate production ratios within optimum ranges (Christensson et al., 2013). In pH-based aeration control the DO or airflow setpoint was adjusted to meet a pH setpoint.

**Bench-Scale Activity Tests.** Bench-scale maximum activity tests were performed on a biweekly basis on the seed media, new media, and bulk liquid individually to monitor AMX, AOB, and NOB activity. One liter of seed media and one liter of new media were collected from the deammonification MBBR for each test. Ammonium oxidizing bacteria and NOB activity was measured under aerobic conditions for the seed and new media while AMX activity was measured under anoxic conditions. The bulk liquid test was only performed under aerobic conditions because it was assumed the amount of AMX activity in the bulk was negligible. Temperature was controlled to match the temperature in the full-scale reactor. Dissolved oxygen
was monitored and manually controlled to above 4 mg/L in the aerobic sample to ensure that oxygen was not a limiting factor. For the anoxic test, the reactor was sparged with nitrogen gas to remove as much oxygen as possible and covered with a Styrofoam lid. The nitrogen gas contained 380 ppm (atmospheric concentration) carbon dioxide to prevent a drastic increase in pH. pH was monitored and manually controlled using NaOH and CO₂ to stay within the range of 6.5 to 7.5. Samples were taken at 5- to 30-minute intervals for 5 to 7 samples and analyzed for NH₄⁺, NO₃⁻, and NO₂⁻. Ammonium oxidizing bacteria rates were determined from NOx production, NOB from NO₃⁻ production, and AMX from both NH₄⁺ and NO₂⁻ consumption. NO₂⁻/NH₄⁺ and NO₃⁻/NH₄⁺ ratios were calculated for the AMX rate experiments to be compared to the stoichiometric values of 1.32 and 0.26, respectively (eq 2).

**Biomass Concentration Measurements.** The weight of the biomass per square meter of surface area was measured every 2 weeks for both the seed and new media. For this measurement, nine seed pieces and nine new pieces of media were selected at random from the tank. Media samples were dried at 105° C for 2 hours. The dried samples were weighed and the biomass removed by placing the carriers in a 25 mg/L disodium EDTA (ethylenediaminetetraacetic acid) solution and shaking vigorously. The carriers were then rinsed several times using tap water and then dried for more than 2 hours at 105° C. High-pressure tap water was then applied to each media individually to ensure that no dry biofilm remained. The difference in initial and final weight was used to calculate the biomass on the carriers.

**Performance Monitoring.** Samples for on-site monitoring were collected daily from the deammonification MBBR and equalization basin, immediately filtered through 0.45 micron filter membranes, and analyzed using HACH (Loveland, Colorado) TNT kits and a HACH DR-2800 spectrophotometer. The equalization basin samples were analyzed on-site for NH₄⁺ only as NO₃⁻ and NO₂⁻ were assumed to be close to zero. Samples from the deammonification MBBR were analyzed on-site for NH₄⁺, NO₃⁻, and NO₂⁻. NH₄⁺ and NO₃⁻ values were used to calibrate the ISE probes as necessary. Grab samples from the two locations were also analyzed off-site for the following parameters using standard methods: Total Kjeldahl Nitrogen (TKN), total suspended solids (TSS), volatile suspended solids (VSS), chemical oxygen demand (COD), soluble chemical oxygen demand (sCOD), total phosphorus (TP), orthophosphate (OP), and alkalinity.
RESULTS AND DISCUSSION

Startup Summary. Design parameters (Table 3.1) were based on the average influent (centrate) characteristics shown in Table 3.2. The design flow to the tank, based on centrate production was 284 m³/d. The total volume of the tank was approximately 393 m³ for an HRT of 33 hours at the design flow rate. Because this installation was a retrofit, the HRT was determined by the volume of the existing tank. The expected NH₄⁺ removal was 204 kg N/d based on 80% removal at the design loading rate of 256 kg N/d. To achieve this removal, 133 m³ of media was required in the deammonification reactor, which equated to a fill percentage of 32.2%, 10% of which was pre-colonized (seed) media from an established sidestream deammonification MBBR process in Sjölunda Wastewater Treatment Plant (WWTP), Malmö, Sweden. The percentage of seed media was based on previous startups that have used anywhere in the range of 2 to 15% (Christensson et al., 2013). Seeding with 10% pre-colonized media from the Sjölunda WWTP was chosen to reduce startup time of the deammonification reactor. There are contradicting views on the importance of seeding reactors during startup. According to Christensson et al. (2013) and Lemaire et al. (2011), seeding decreases startup time, while Kanders et al. (2014) and Ling (2009) argue that seeding is not necessary. Regardless of seeding influence on startup time, seeding provides the benefit of immediate nitrite consumption by AMX, which allows for a higher initial ammonia load and reduced risk of nitrite inhibition (Kanders et al., 2014).

Table 3.1: Design parameters.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Units</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Centrate flow</td>
<td>m³/d</td>
<td>284</td>
</tr>
<tr>
<td>Centrate NH₄⁺ load</td>
<td>kg N/d</td>
<td>256</td>
</tr>
<tr>
<td>Expected NH₄⁺ removal (80%)</td>
<td>kg N/d</td>
<td>204</td>
</tr>
<tr>
<td>Tank volume</td>
<td>m³</td>
<td>393</td>
</tr>
<tr>
<td>Total media fill</td>
<td>%</td>
<td>32.2%</td>
</tr>
<tr>
<td>Seed media</td>
<td>%</td>
<td>10%</td>
</tr>
<tr>
<td>Design NH₄⁺ surface area load</td>
<td>g N/m²·d</td>
<td>2.37</td>
</tr>
<tr>
<td>Design NH₄⁺ volumetric load</td>
<td>kg N/m³·d</td>
<td>0.64</td>
</tr>
</tbody>
</table>
Table 3.2: Average influent characteristics.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Units</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>NH$_4^+$-N</td>
<td>mg NH$_4^+$-N/L</td>
<td>890 ± 89</td>
</tr>
<tr>
<td>Alkalinity</td>
<td>mg CaCO$_3$/L</td>
<td>3400 ± 287</td>
</tr>
<tr>
<td>Alk/NH$_4^+$-N</td>
<td>mg CaCO$_3$/mg NH$_4^+$-N</td>
<td>3.83 ± 0.14</td>
</tr>
<tr>
<td>COD</td>
<td>mg COD/L</td>
<td>407 ± 74</td>
</tr>
<tr>
<td>sCOD</td>
<td>mg COD/L</td>
<td>283 ± 65</td>
</tr>
</tbody>
</table>

Startup of the deammonification MBBR was limited by AMX due to their slow growth rate and sensitivity to nitrite. The objective of the startup was to reach the design ammonia loading rate as quickly as possible without allowing ammonia, pH, or nitrite to reach inhibitory levels. Therefore, to achieve faster startup, the process must be closely monitored for ammonia, nitrite, nitrate, and pH. Additionally, aeration and ammonia loading, the main control variables, must be monitored. Aeration in the deammonification MBBR can be either continuous or intermittent. Intermittent aeration is typically utilized during startup, transitioning to continuous aeration for long-term operation. This is because during startup AMX rates are too low to meet loading provided by continuous feed, however once anammox activity rates increase, the system can transition to continuous feed. Typical DO values range from 0.5 to 1.5 mg/L (Christensson et al., 2013). Because ammonia concentrations in the centrate were dependent on digester performance, the only way to control ammonia loading was through the influent flow rate. During startup, nitrite was controlled below 40 mg NO$_2$-N/L using intermittent aeration. There is a large amount of variation in reported inhibitory concentrations of nitrite to anammox ranging from 30 to 50 mg NO$_2$-N/L reported by Fux et al. (2004) to 275 mg NO$_2$-N/L reported by Kimura et al. (2010). The goal was to maintain alkalinity above 150 mg CaCO$_3$/L; however, because alkalinity data were collected on a weekly basis, alkalinity would sometimes drop as low as 80 mg CaCO$_3$/L causing suspected limitations for AOB. One hundred fifty milligrams of CaCO$_3$/L corresponded to an approximate effluent NH$_4^+$-N concentration of 50 to 100 mg/L, which was desirable to prevent substrate limitation to both AOB and AMX. During startup, NH$_4^+$ loading to the deammonification reactor was controlled to keep the NH$_4^+$ concentration below 350 mg NH$_4^+$-N/L to prevent inhibition by FA. At an ammonium concentration of 300 mg NH$_4^+$-N/L, pH of 8.0 and temperature of 30 °C, the FA concentration was 25 mg NH$_3$-N/L. Anthonisen
et al. (1976) demonstrated that AOB were inhibited by FA concentrations in the range of 10 to 150 mg NH$_3$-N/L while NOB were inhibited at a range of 0.1 to 1 mg NH$_3$-N/L. Although high pH and ammonia concentrations are undesirable for optimal reactor performance, these conditions provide an effective strategy for temporarily controlling NOB proliferation though NOB adaptation to FA has been reported (Turk and Mavinic 1989; Wong-Chong and Loehr 1978). It is known that AOB are inhibited at a pH around 6.3 however the IC concentration will most likely be the limiting factor so the recommended pH setpoint is in the range of 6.6 to 6.8 (Wett and Rauch, 2003).

**Performance During Startup.** The deammonification reactor performance for 241 days in terms ammonium and total inorganic nitrogen (TIN) removal, temperature, effluent nitrite concentration and nitrate production ratio are shown in Figure 3.1.

![Figure 3.1: Ammonium and TIN removal percentages, temperature, nitrite in effluent, and nitrate production ratio. Vertical line indicates end of startup on Day 120.](image-url)
Startup was considered complete after 120 days when the reactor was treating all of the available centrate at the design loading rate. For the first week following seeding, the influent centrate was fed intermittently and then transitioned to continuous feed. An immediate reduction in ammonia of above 60% was realized resulting from AMX activity on the seed media. During the 120 days of startup, the ammonia loading rate was limited by AMX activity and was gradually increased as ammonia and nitrite removal increased (Figure 3.2).

![Figure 3.2: Ammonium loading, ammonium removal, and nitrite concentrations in the effluent. Vertical line indicates end of startup on Day 120.](image)

For the first 2 months during startup, the aeration control strategy was intermittent aeration using either an airflow or DO setpoint. This encouraged the growth of AMX by providing a distinct anoxic period and gave more control over the production of nitrite. During intermittent DO control the setpoint ranged from 1.0 to 1.3 mg/L. As AMX activity in the reactor increased, the length of the anoxic period was gradually reduced from 100 to 30 minutes with the intent of transitioning to continuous aeration. During the first 2 months of startup, biomass concentration measurements and visual inspection of the media indicated that a large amount of biomass had been sheared off of the seed media (Figure 3.3B compared to Figure 3.3A) and activity measurements indicated that there was no AMX activity on the new carriers (Figure 3.4). This may have been due in part to high shear forces from the mechanical mixers used during anoxic
periods. For about 25% of the seed media (based on visual inspection), the AMX biomass was sheared almost completely off the media (Figure 3.3C). Soon after the aeration control strategy was switched from intermittent to continuous, biomass on the media increased and AMX was detected on the new carriers (Figure 3.3F). During startup, NO$_2^-$-N levels were as high as 35 mg/L and then stayed below 3 mg/L after startup was complete (Figure 3.1). NH$_4^+$ removal ranged from 60 to 85% and TIN removal ranged from 50 to 80% (Figure 3.1).

Figure 3.3: Biofilm development photos: (A) original seed media prior to placement in reactor; (B) seed media on Day 10; (C) sheared seed media on Day 64; (D) typical seed media on Day 64; (E) new media on Day 64; (F) new media after completion of startup on Day 120; (G) seed media after completion of startup on Day 120.
Activity Tests. Bench-scale activity tests were used to monitor the progress of AMX development on the new and seed media throughout startup as well as determine the presence of NOB in the deammonification MBBR. Results of the activity tests are shown in Figure 3.4 and Figure 3.5. Initially, the AMX rates and biomass density on the seed media were low due to shearing of the biofilm upon placement in the reactor as discussed previously (Figure 3.5). Ammonium oxidizing bacteria activity was first detected on the new media 93 days after seeding (Figure 3.4) as indicated by anoxic nitrite consumption.
On Day 114, anoxic ammonia consumption was detected in addition to nitrite consumption in a ratio indicative of AMX stoichiometry (equation 2). Throughout startup, no NOB activity was detected on the new or seed media. Ammonium oxidizing bacteria activity remained fairly constant on both the new and seed media. According to AMX stoichiometry (equation 2), for every one mole of NH$_4^+$ consumed, 1.32 mole of NO$_2^-$ is consumed and 0.26 mol of NO$_3^-$ is produced. The new media AMX ratios for NO$_2^-$/NH$_4^+$ and NO$_3^-$/NH$_4^+$ were 1.17 ± 0.37 and 0.16 ± 0.03, respectively. The seed media AMX ratios for NO$_2^-$/NH$_4^+$ and NO$_3^-$/NH$_4^+$ were 1.14 ± 0.13 and 0.15 ± 0.05, respectively. The ratios in the activity tests were lower than the stoichiometric ratios most likely due to heterotrophic denitrification, as was evident in the full-scale deammonification MBBR by NO$_3^-$ production ratios less than 11% (Figure 3.1).

It should be noted that as the biofilm became thicker on both the seed and new media, it became increasingly difficult to inhibit AMX activity during the aerobic activity tests. Even at DO concentrations above 6 mg/L, the biofilm thickness limited diffusion of oxygen into the inner layers of the biofilm, thus never completely inhibiting AMX activity. This was evident in the tests as NH$_4^+$ was being removed that did not end up as NO$_x$ and could not be explained by assimilation. To compensate, the AOB rates were adjusted assuming no NOB activity, which is acceptable because there was no evidence of NOB activity in the full-scale reactor and the small
amount of nitrate produced in the bench scale tests could be explained by AMX. It was also assumed that all excess ammonia removal was due to AMX and so NO\textsubscript{x} production by AOB was less than it appeared because NO\textsubscript{2}\textsuperscript{−} was being consumed by AMX.

**Performance After Startup.** Four months (Day 120) after seeding the reactor, all of the available centrate was being treated at greater than 85% NH\textsubscript{4}\textsuperscript{+} removal at the design loading rate of 2.4 g N/m\textsuperscript{2}·d, signaling the end of startup (Figure 3.1 and Figure 3.2). After startup was complete, the ammonia loading to the reactor was determined by the centrate production and NH\textsubscript{4}\textsuperscript{+} concentration. The maximum removal rate was 3.5 g N/m\textsuperscript{2}·d (Figure 3.2) and the maximum NH\textsubscript{4}\textsuperscript{+} removal was 92% (87% TIN removal). Similar deammonification processes have achieved 80 to 90% ammonia removal within 2 to 4 months at the design loading rate at three locations in Europe (Christensson et al., 2013). When comparing startup times from different water resource recovery facilities, it should be noted that startup time depends on the centrate production and ammonia concentration (i.e., loading rate) and this will vary from facility to facility. Once startup was complete, the goal became maximizing ammonia removal.

Following startup, it was observed that a constant dissolved oxygen setpoint did not protect against running out of alkalinity in the reactor, which resulted in sporadic and dramatic decreases in pH. A low pH setpoint (air shutoff) was set up to safeguard against running out of alkalinity. However, this scenario resulted in the air frequently switching on and off because the system did not naturally maintain a constant pH at a constant DO setpoint as shown in Figure 3.6. A similar observation was made at a pilot-scale demonstration in which the low pH air shutoff condition was repeatedly triggered as a result of aerating at a constant airflow (Hollowed et al. 2013). As a result of these observations, an aeration control method was added in which airflow was controlled by a constant pH setpoint.
Wett and Rauch (2003) developed a model from full-scale sidestream nitrification data and found that nitrification rates started to slow below 400 mg CaCO$_3$/L and rates reached close to zero at 150 mg/L CaCO$_3$. Guisasola et al. (2007) determined that AOB activities were limited at IC concentrations lower than 150 mg/L CaCO$_3$, while NOB were not limited even at a concentration of 5 mg/L CaCO$_3$. Chen et al. (2012) found that IC limitation of AOB and AMX occurred at 200 mg/L as CaCO$_3$ in a bench-scale deammonification reactor and that AOB activity was more affected than NOB activity. Kimura et al. (2011) concluded that AMX was affected by IC limitation at 5 mg CaCO$_3$/L and, therefore, more sensitive to IC limitation than NOB but not as much as AOB. A review of full-scale sidestream deammonification processes by Lackner et al. (2014) stated that alkalinity was not an important consideration; however, results from this study indicate that alkalinity is the most important consideration for long-term operation of a sidestream deammonification MBBR, which agrees with the work of Wett and Rauch (2003) and Wett (2007).

**Comparison of Aeration Control Strategies.** In the deammonification MBBR, the ammonium concentration in the effluent corresponded to a given pH and specific conductivity so the three signals can be used interchangeably. It was desirable to maintain a constant pH (i.e., ammonium
and specific conductivity) in the effluent to maintain near-complete use of influent alkalinity and the lowest possible ammonium concentration in the effluent. It is known that in order to maximize NH$_4^+$ removal in a sidestream deammonification process, it is necessary to maximize the utilization of available alkalinity (Wett, 2007). It was difficult to achieve this using DO control alone due to changes in influent ammonium concentration, alkalinity, and oxygen demand in the reactor. Although any of the three signals (pH, specific conductivity, and ammonia concentration) could have been used for aeration control, the pH signal was chosen because it was the most robust sensor (followed by specific conductivity and then ammonia), and it was the best indicator of residual alkalinity. Specific conductivity is an acceptable substitute for control as it is indicative of the alkalinity and ammonia concentration. The ammonia ISE probe is not as reliable and does not account for changes in alkalinity.

The airflow control valve could be controlled by an airflow or DO setpoint. In both of these methods, if the pH feedback was less than the pH setpoint (indicating that too much alkalinity was being consumed) the airflow decreased, and if the pH feedback was greater than the pH setpoint, the airflow or DO setpoint increased. The airflow control was accomplished with an appropriately tuned PID controller or logic-based algorithm. If NOB growth occurred, resulting in an increase in the effluent nitrate concentration, the pH setpoint was increased (decreasing the airflow rate) at the expense of ammonia removal until the nitrate production ratio was less than the value that would be expected to be produced by AMX alone (11%). Nitrate production typically increased over the course of days as opposed to hours. Although the pH setpoint adjustment could be automated based on nitrate production ratio, this calculation did not need to be made at the same frequency as DO setpoint.

By controlling aeration based on pH, the alkalinity consumed in the reactor was equal to the alkalinity in the influent, maintaining enough residual alkalinity to avoid IC limitation. pH-based aeration control maximized NH$_4^+$ removal and resulted in more consistent effluent characteristics (Figure 3.7) with less operator input than fixed DO control. Fixed DO control required that DO setpoint be manually adjusted to maximize ammonia removal and avoid alkalinity limitation. pH-control also maintained an NH$_4^+$ residual which prevents AOB or AMX activity limitations, and the subsequent induction of NOB growth. The main advantage of using pH-based DO control is that the controller will maintain a high NH$_4^+$ removal rate while protecting against running out of alkalinity even with changes in loading. Figure 3.7 demonstrates that over the course of 2 months, the controller was able to respond to disturbances caused by changes in centrate flow while maintaining an ammonia removal rate in the range of 83 to 92%.
Figure 3.7: Performance of pH-based DO control.

As previously mentioned, DO control is required in order to prevent over-aeration, which inhibits AMX and encourages NOB growth. Floating ammonia-based aeration control maximizes ammonia removal but does not take into account residual alkalinity. pH-based aeration control maximizes ammonia removal and prevents alkalinity limitation using a robust and accurate sensor and is, therefore, the preferred aeration method for sidestream deammonification MBBRs. These observations are summarized in Table 3.3.

Table 3.3: Comparison of aeration control strategies.

<table>
<thead>
<tr>
<th>Control Method</th>
<th>Prevents over-aeration?</th>
<th>Maximizes ammonia removal?</th>
<th>Prevents running out of alkalinity?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Air flow control</td>
<td>no</td>
<td>no</td>
<td>no</td>
</tr>
<tr>
<td>Fixed DO control</td>
<td>yes</td>
<td>no</td>
<td>no</td>
</tr>
<tr>
<td>Ammonia-based floating DO control</td>
<td>yes</td>
<td>yes</td>
<td>no</td>
</tr>
<tr>
<td>pH-based aeration control (airflow or DO)</td>
<td>yes</td>
<td>yes</td>
<td>yes</td>
</tr>
</tbody>
</table>
CONCLUSIONS
The objective of this study was to demonstrate that pH-based aeration control optimizes performance in a sidestream deammonification MBBR and to provide detailed information on startup strategy. The system reached full design capacity after four months and was consistently achieving 80 to 90% NH$_4^+$ removal at the design loading rate 2.4 g NH$_4^+$-N/m$^2$·d. Anammox bacteria were not detected on the new media in bench-scale testing until 120 days after seeding and no NOB activity was detected during startup. Upset periods have occurred since startup and all were characterized by a short-term increase in nitrate production and NOB activity, and resulted in a period of decreased NH$_4^+$ removal, until corrective action could be taken. Startup time could potentially have been shorter if continuous aeration had been used earlier by reducing shear in the reactor that resulted from mechanical mixing. pH-based aeration control proved to be an effective, simple, and stable method that was preferred over DO-based aeration control. pH-based control is crucial in a sidestream deammonification MBBR to maximize ammonia removal while protecting against alkalinity (IC) limitations.

REFERENCES


CHAPTER 4: METHODS FOR INCREASING THE RATE OF ANAMMOX ATTACHMENT IN A SIDESTREAM DEAMMONIFICATION MBBR

Stephanie Klaus, Patrick McLee, Andrew J. Schuler, Charles Bott

ABSTRACT
Deammonification (partial nitritation-anammox) is a proven process for the treatment of high-nitrogen waste streams, but long startup time is a known drawback of this technology. In a deammonification moving bed biofilm reactor (MBBR), startup time could potentially be decreased by increasing the attachment rate of anammox bacteria (AMX) on virgin plastic media. Previous studies have shown that bacterial adhesion rates can be increased by surface modification or by the development of a preliminary biofilm. This is the first study on increasing AMX attachment rates in a deammonification MBBR using these methods. Experimental media consisted of three different wet-chemical surface treatments, and also media transferred from a full-scale mainstream fully nitrifying integrated fixed-film activated sludge (IFAS) reactor. Following startup of a full-scale deammonification reactor, the experimental media was placed in the full-scale reactor and removed for activity rate measurements and biomass testing after one and two months. The media transferred from the IFAS process exhibited a rapid increase in AMX activity rates (1.1 g/m²/day NH₄⁺ removal and 1.4 g/m²/day NO₂⁻ removal) as compared to the control (0.2 g/m²/day NH₄⁺ removal and 0.1 g/m²/day NO₂⁻ removal) after one month. Two out of three of the surface modifications resulted in significantly higher AMX activity than the control at one and two months. No NOB activity was detected in either the surface modified media or IFAS media batch tests. The results indicate that startup time of a deammonification MBBR could potentially be decreased through surface modification of the plastic media or through the transfer of media from a mature IFAS process.

INTRODUCTION
The combination of partial nitritation and anaerobic ammonia oxidation (anammox), commonly known as deammonification, is an economical option for sidestream treatment because of decreased aeration energy requirements, no required external carbon or alkalinity, and decreased sludge production over traditional nitrification/denitrification. The ANITA™ Mox process consists of a single-stage deammonification moving bed biofilm reactor (MBBR) for treating high nitrogen waste streams. In this process ammonia oxidizing bacteria (AOB) and anammox bacteria (AMX) exist simultaneously within a biofilm attached to plastic carriers. A key component of the process is controlling dissolved oxygen to limit the growth of nitrite oxidizing bacteria (NOB) which compete with AMX for substrate and to limit oxygen penetration into the biofilm thereby maintaining an anoxic environment for AMX to grow.

Deammonification systems must be closely monitored and controlled during startup to prevent growth of NOB and irreversible nitrite inhibition of AMX. Reducing startup time would be
extremely beneficial as it would require less attention from operators and reduce the risk of irreversibly inhibiting the process. Seeding a reactor with 2-10% pre-colonized media has been shown to reduce startup times from 1 year down to 2-4 months (Lemaire et al. 2011; Christensson et al. 2013). Contrary to reports that seeding decreases startup time, some argue that seeding is not necessary (Ling 2009; Kanders et al. 2014). The debate is whether the origin of the AMX biomass on the virgin media is from the seed media or the wastewater itself. Regardless of the origin of AMX biomass, several months of operation are required for AMX to establish on virgin media, and this could be a barrier to widespread adoption of deammonification technology.

Media in MBBR and integrated fixed-film activated sludge (IFAS) processes is typically made from high density polyethylene (HDPE) due to low cost. However, HDPE has a low surface energy and is hydrophobic making it unfavorable for bacterial growth (Bergbreiter 1994, Goddard and Hotchkiss 2007). Although interactions between surfaces and bacteria are complex and not completely understood, it is well known that bacterial adhesion is affected by surface energy, hydrophobicity, and roughness (An and Friedman 1998, Goddard and Hotchkiss 2007). Bacterial adhesion to a surface occurs in two phases: The initial attachment of a bacterial cell to the substratum, which is governed by physicochemical interactions, followed by biological cell growth and extra cellular polymer production (An and Friedman 1998, Hermansson 1999). The study of bacterial adhesion is broad and typically the goal is to prevent biofilms on surfaces such as ship hulls, food processing equipment, and biomedical devices. However the promotion of biofilms is desirable for biodegradation of plastics (Roy et al. 2011) and biological wastewater treatment (Hadjiev et al. 2007).

Plastic surfaces can be modified using chemical, plasma, or thermal processes to have increased hydrophilicity thereby increasing the rate of bacterial attachment and growth (Bergbreiter 1994). Studies on adhesion of nitrifying bacteria have typically demonstrated that surfaces with higher energy and hydrophilicity correlate with higher nitrification rates, increased biomass accumulation, and increased shear resistance (Khan et al. 2013, Khan et al. 2011, Kim et al. 1997, Lackner et al. 2009, Terada et al. 2004), although one report did conclude that the most hydrophobic surface had the most nitrifying biomass formation (Sousa et al. 1997). Although Chen et al. (2012) examined AMX attachment to surface modified carbon fibers in a deammonification reactor, no studies have been published on increasing AMX attachment to surface modified plastic.

Another potential method of increasing rates of AMX attachment is through the development of a preliminary biofilm composed of nitrifiers and/or heterotrophs. The hypothesis is that the existing biofilm creates a preferential environment for AMX by providing protection from oxygen and NO2⁻ in the bulk liquid. While it is known that development of a preliminary biofilm may encourage the attachment of AMX, the transfer of media from a mature mainstream fully nitrifying IFAS process to a sidestream deammonification reactor with the intent of decreasing startup time is a novel approach. Zekker et al. (2012) showed that AMX developed faster in an
anoxic reactor containing media pre-colonized with a nitrifying biomass than in an anoxic reactor containing virgin media. The concern with using media pre-colonized with nitrifying biomass in an aerobic deammonification process versus an anoxic anammox process is the potential proliferation of NOB.

The current study represents the first work on increasing the rates of AMX attachment through surface modification of plastic biofilm carriers. The objectives of this study were to test whether rates of AMX biofilm growth and ammonia/nitrite removal on HDPE media could be increased through wet chemical surface treatment and through the transfer of media with a mature biofilm from a full-scale mainstream fully nitrifying IFAS process.

**MATERIALS AND METHODS**

*Full-Scale Deammonification Reactor Startup*

An existing 100,000 gallon tank at the 20 MGD James River Wastewater Treatment Plant (JRWWTP) in Newport News, VA was modified to install the ANITA™ Mox process. This was the first full-scale installation of a sidestream deammonification MBBR process in North America. The mainstream process at JRWWTP utilizes IFAS operated in a MLE configuration. Anaerobically digested waste activated and primary sludge is dewatered using centrifuges and the centrate is sent to an equalization (EQ) basin which is then treated in the deammonification MBBR. The average centrate characteristics throughout the study period (Day 115-Day 197) were 950 mg/L NH₄⁺-N, 1,020 mg/L TKN, 3,450 mg/L as CaCO₃ Alkalinity, 3.63 Alkalinity/NH₄⁺-N ratio, and 500 mg/L COD.

The reactor was seeded with 10% pre-colonized Anox™ K5 carriers (AnoxKaldnes, Sweden) from an established sidestream deammonification MBBR process (Sjölunda WWTP Malmö, Sweden). The total media fill in the reactor is 32% to meet a design NH₄⁺-N removal rate of 2 g/m²/day. By Day 120 the sidestream deammonification MBBR was achieving greater than 85% NH₄⁺ removal at the design loading rate signaling the end of startup (Figure 4.1). Variations in removal percentages during startup up are due to frequent changes in loading rate (Figure 4.1). After startup was complete the removal loading rate and removal percentages were more consistent. Nitrate production ratio was calculated as NO₃⁻ produced over NH₄⁺ removed. A nitrate production ratio of less than 12% indicates that NO₃⁻ produced is solely from AMX while a ratio greater than 12% indicated the presence of NOB. Figure 4.2 shows the time course of influent and effluent NH₄⁺-N, NO₃⁻-N, NO₂⁻-N, and sCOD. The temperature was maintained at 30°C using supplemental heating as needed. AMX activity on the virgin carriers was first detected in bench scale activity tests after 3 months of operation. After two months it was determined, by calculating the theoretical biomass production based on AMX yield, that production of AMX biomass was not the limiting factor in biofilm development leading to the hypothesis that attachment of the bacteria to the new virgin media must have been limiting.
Figure 4.1: Performance of the Full-Scale Deammonification MBBR including startup and overall tank conditions during the duration of the attachment experiment. Day 1 to Day 120: Startup Phase, Day 115 to Day 179: Attachment experiment presented in this study.
Figure 4.2: Time course of influent NH4+ (grey circles, right axis), influent sCOD (white triangles), effluent NH4+ (black circles), effluent sCOD (white squares), effluent NO3- (white circles), and effluent NO2- (black triangles) in full-scale deammonification MBBR. Day 1 to Day 120: Startup Phase, Day 115 to Day 179: Attachment experiment presented in this study

**Experimental Media**

Wet chemical (as opposed to plasma or thermal) methods of surface modification were explored for this study due to considerations over what would be feasible in a full-scale process. Three-one liter batches of K5 carriers were treated with potassium permanganate, Fenton’s Reagent (FeSO4 plus H2O2), and ozone with the intent of oxidizing the surface functional groups thereby increasing the hydrophilicity. Media with biofilm from the existing deammonification MBBR served as a positive control while virgin K5 carriers served as a negative control. All of the media that was chemically oxidized (and the virgin control) was wetted in an aerated container of tap water for 1 week prior to treatment. A small piece of an HPDE sheet was treated along with each batch of media in order to measure contact angle. The experimental media along with the positive and negative control was placed in a perforated aluminum box with individual compartments for each batch of media and placed in the existing sidestream deammonification MBBR on Day 115. Dimensions of the box were approximately 12 inches high x 18 inches wide x 6 inches deep. The box allowed for bulk liquid to flow through the media while allowing the media to be removed from the tank for testing. The box had six individual compartments each
with a volume of three liters. The media fill in each compartment was 30% to reflect conditions in the overall tank. The experimental media was removed once after 30 days and again after 60 days to measure AMX, AOB and NOB activity as well as biomass concentration.

**Fenton’s Reagent Treatment:** The media was placed in deionized water and the pH was adjusted to 3 with H\textsubscript{2}SO\textsubscript{4}. Next 30% H\textsubscript{2}O\textsubscript{2} was added to reach a concentration of 5000 mg/L H\textsubscript{2}O\textsubscript{2}. Then 6.95 g of FeSO\textsubscript{4} was added to reach a H\textsubscript{2}O\textsubscript{2}/Fe\textsuperscript{2+} molar ratio of 4. The reaction took place for 24 hours. A sample was periodically tested for peroxide to ensure that a residual was being maintained. The final residual was approximately 15 ppm H\textsubscript{2}O\textsubscript{2}.

**Ozone Treatment:** An ozone generator (Ozonology, Inc., Model L-100) was connected to a reactor containing the media in deionized water. Ozone was produced using ambient air at room temperature and was bubbled through the water at the maximum concentration that the generator could provide for 24 hours. A sample was periodically tested to ensure a residual of greater than 0.5 ppm was maintained.

**Potassium Permanganate Treatment:** Two grams of KMnO\textsubscript{4} was added to 3 liters of deionized water with the media in a glass beaker and continuously stirred for 48 hours at room temperature. A residual was assumed to be maintained as indicated by the purple color of the solution.

**IFAS Media:** One liter of media (Anox™ K3, AnoxKaldnes, Sweden) with fully nitrifying biofilm was removed from the existing full-scale mainstream IFAS process at JRWWTP on Day 115. The IFAS process has been in operation for approximately 2.5 years.

A batch test was performed to evaluate the effect of short term exposure of the IFAS media to sidestream conditions on NOB activity. Two one liter samples of media were collected from the IFAS process and drained. One sample was placed in bulk from the IFAS process while the other was placed in bulk from the sidestream process. Bench scale activity tests were performed after 4 hours at 20°C to measure AOB and NOB rates. Nearly all NOB activity was assumed to be on the media as demonstrated by Regmi et al. (2011) at JRWWTP.

**Contact angle measurements**

The pieces of HDPE sheet were analyzed for each surface treatment. The Fenton’s reagent and KMnO\textsubscript{4} pieces were cleaned with a 1M H\textsubscript{2}SO\textsubscript{4} solution prior to measurement to remove residual metal oxide. The contact angles were determined by goniometry for the three probe liquids ultrapure water, diiodomethane (99%, Sigma-Aldrich, USA), and formamide (>99.5%, Sigma-Aldrich, USA). The sessile drop technique was used (Ramé-Hart Instrument Co., Goniometer Model# 400-22-300 with DROPimage Standard, NJ) as previously described in Khan et al. (2011). At least five 1.5 mL droplets were measured with each liquid.

**Surface Energy Calculations**

Surface energies were calculated from the contact angle measurements as described in Liu et al. (2008) and Khan et al. (2013) according to the van Oss method (Van Oss et al. 1986). In
summary the total surface energy ($\gamma_{total}$) is the sum of the Lifshitz-van der Waals (LW) and Lewis acid-base (AB) components (EQ 1).

$$\gamma_{total} = \gamma_{LW} + \gamma_{AB} \quad EQ\ 1$$

The acid-base component ($\gamma_{AB}$) is related to the electron-acceptor ($\gamma^+$) and electron-donor ($\gamma^-$) parameters for the given liquid or substrata by EQ 2.

$$\gamma_{AB} = 2\sqrt{\gamma^+ \cdot \gamma^-} \quad EQ\ 2$$

The Young-Dupré equation (EQ 3) describes the relationship between $\gamma_{LW}$, $\gamma^+$, $\gamma^-$, and contact angle ($\theta$) for a surface (S) and a drop of liquid (L).

$$\gamma_L (\cos \theta_L + 1) = 2\sqrt{\gamma_{LW}^+ \cdot \gamma_{LW}^-} + 2\sqrt{\gamma_{LS}^+ \cdot \gamma_{LS}^-} + 2\sqrt{\gamma_{SL}^+ \cdot \gamma_{SL}^-} \quad EQ\ 3$$

If $\gamma_{LW}^+$, $\gamma_{L}^+$, and $\gamma_{L}^-$ are known then $\gamma_{LS}^+$, $\gamma_{LS}^+$, and $\gamma_{SL}^-$ can be calculated from contact angles using three different liquids. Reference values for $\gamma_{L}^+$, $\gamma_{L}^+$, and $\gamma_{L}^-$ were from Good and van Oss (1992) and were confirmed with the supplier.

**Bench Scale Maximum Activity Testing**

Bench scale maximum activity tests were performed once at 30 days and once at 60 days. AOB and NOB activity was measured under aerobic conditions while AMX activity was measured under anoxic conditions. For all of the samples the biofilm was thin enough that AMX activity was inhibited in the aerobic test. The bulk liquid test was only performed under aerobic conditions since the amount of AMX activity in the bulk was negligible. The bulk liquid AOB activity rates were subtracted from the rates that included media and bulk liquid in order to obtain AOB rates on the media alone. In order to mimic conditions in the full scale reactor the bench scale reactors were filled with 30% media (1 liter) by volume and bulk liquid from the full-scale reactor to reach a total volume of 3 liters. All reactors were fully mixed and dissolved oxygen was monitored and manually controlled to above 4 mg/L in the aerobic test. For the anoxic test the reactor was covered and sparged with a blend of nitrogen gas with 380 ppm of CO$_2$. pH was monitored and manually controlled to stay within the range of 6.5-7.5. Temperature was controlled using a water bath to match the temperature in the full-scale reactor (30°C). Samples were taken at regular intervals, immediately filtered through 0.45 micron filter membranes, and analyzed for NH$_4^+$, NO$_3^-$, and NO$_2^-$. The maximum activity rates were evaluated by linear regression of the change in nitrogen species over the experimental period. AOB rates were determined from NO$_x$ production, NOB from NO$_3^-$ production, and AMX from both NH$_4^+$ and NO$_2^-$ consumption.

**Performance Monitoring of Full-Scale Reactor**

Samples for on-site monitoring of the full-scale reactor were immediately filtered through 0.45 micron filter membranes following collection, and analyzed using HACH TNT kits and a HACH DR2800 spectrophotometer. The influent sample was analyzed for NH$_4^+$ and the process
(effluent) sample was analyzed for \( \text{NH}_4^+ \), \( \text{NO}_3^- \), and \( \text{NO}_2^- \). sCOD was measured using standard methods.

**Biomass Concentration Measurements**

The weight of the biomass per square meter of surface area was measured at 30 and 60 days. For this measurement nine pieces of media were removed from each compartment. Measurements were made according to Regmi et al. (2011) with the exception that a 25 mg/L disodium EDTA solution was used to remove biofilm instead of \( \text{H}_2\text{SO}_4 \).

**Statistics**

Statistical analysis to test if maximum activity on the experimental media was significantly different from the control was generated using SAS software. The slope of the linear regression from each experimental batch test was tested against the control to determine p values.

**RESULTS AND DISCUSSION**

**Contact Angle and Surface Energy Measurements**

The ozone treated HDPE had the lowest water contact angle indicating that it was the most hydrophilic with the new media control being the most hydrophobic (Table 4.1). As expected all three of the surface treatments produced a more hydrophilic surface than the new media control. The surface energy results did not correlate with water contact angle as both the ozone and Fenton’s reagent treatment produced a similar surface energy higher than the control, while the potassium permanganate treatment produce a lower surface energy than the control (Table 4.1).

**Table 4.1: Contact Angle and Surface Energy Parameters of the Surface Modified Media and New Media Control.** \( \theta_w, \theta_D, \) and \( \theta_F \) represent the contact angles for water, diiodomethane, and formamide respectively.

<table>
<thead>
<tr>
<th></th>
<th>Contact Angle (degrees)</th>
<th>Surface Energy Parameters (mJ/m2)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>( \theta_w )</td>
<td>( \theta_D )</td>
</tr>
<tr>
<td><strong>New Media Control</strong></td>
<td>89.5±1.1</td>
<td>51.4±2.0</td>
</tr>
<tr>
<td><strong>Fenton’s Reagent</strong></td>
<td>85.4±3.2</td>
<td>42.3±1.0</td>
</tr>
<tr>
<td><strong>KMnO\textsubscript{4}</strong></td>
<td>83.4±2.2</td>
<td>53.0±2.5</td>
</tr>
<tr>
<td><strong>Ozone</strong></td>
<td>76.0±3.1</td>
<td>36.2±1.1</td>
</tr>
</tbody>
</table>

**Short-Term Sidestream IFAS Media Experiment**

When media from the IFAS tank was placed in bulk liquid from the sidestream deammonification MBBR, NOB activity was reduced by 55% within 4 hours (1.6 g/m\textsuperscript{2}/day to 0.9 g/m\textsuperscript{2}/day). These results suggested that NOB activity would be quickly inhibited, most likely via free ammonia, in sidestream conditions eliminating or reducing the competition with AMX for substrate and space within the biofilm.

**Activity and Biomass Results for Experimental Media**
There was measurable AMX activity on the new media control after one month (compared to 3 months it took for original new media during startup) implying that shear conditions inside the perforated box did not match the overall tank conditions, or the larger background AMX population in the tank accelerated attachment. After one month the positive control seed media had slightly higher AMX activity (7.6 g/m²/day NH₄⁺ removal and 6.9 g/m²/day NO₂⁻ removal) and higher biomass (47.9 g/m²) than the original seed media in the full scale reactor (5.5 g/m²/day NH₄⁺ removal, 6.9 g/m²/day NO₂⁻ removal, and 42.0 g/m² biomass). This also supports that the experimental media was exposed to lower shear conditions than the overall reactor. After two months the control seed media had slightly less AMX activity (4.5 g/m²/day NH₄⁺ removal and 4.9 g/m²/day NO₂⁻ removal) and about the same biomass (43.6 g/m²) as the original seed media in the full scale reactor (5.5 g/m²/day NH₄⁺ removal, 6.9 g/m²/day NO₂⁻ removal, and 41.7 g/m² biomass) indicating that perhaps the experimental conditions were closer to that of the overall reactor. Regardless of the difference between the experimental conditions and the conditions in the overall tank, the experimental media can still be compared to the new media control.

After one month there was significantly more AMX activity on the IFAS media (1.1 g/m²/day NH₄⁺ removal and 1.4 g/m²/day NO₂⁻ removal) as compared to the control (0.2 g/m²/day NH₄⁺ removal and 0.1 g/m²/day NO₂⁻ removal) (Figure 4.3). Initial biomass on the IFAS media prior to placement in the deammonification reactor was 18.7 g/m². Biomass increased after 1 month and was much higher than on the other experimental media due to the preliminary biofilm (Figure 4.4). At the same time in the full-scale reactor, the maximum AMX rates (from bench scale tests) on the original new media were 1.4 g/m²/day NH₄⁺ removal and 2.4 g/m²/day NO₂⁻ removal (after 5 months of operation). AMX activity and biomass on the IFAS media after two months was again much higher than the control and the surface modified media (Figure 4.3 and 4.4). IFAS AMX activity was 2.0 g/m²/day NH₄⁺ removal and 3.2 g/m²/day NO₂⁻ removal while the new media control was 0.3 g/m²/day NH₄⁺ removal and 1.1 g/m²/day NO₂⁻ removal. After two months AMX activity on the IFAS media was comparable to that on the original new media in the overall reactor (3.2 g/m²/day NH₄⁺ removal and 3.6 g/m²/day NO₂⁻ removal) which had been in the reactor for 6 months. The IFAS media biomass decreased from 1 month to 2 months (Figure 4.4) but was higher than the initial biomass from the IFAS reactor (18.7 g/m²). One explanation for decreased biomass while AMX activity increased could be that more AMX biomass was colonizing the biofilm while inactive biofilm was sloughing off of the media. AOB activity on the IFAS media was slightly higher than the control at both one and two months (approximately 1.5 g/m²/day compared to 1.3 g/m²/day). No NOB activity was detected at either one or two months as indicated by nitrate production ratio less than 12%. These results suggest that media from a mature IFAS process could be used to achieve immediate AMX growth in a sidestream process without risk of NOB proliferation. The ammonia removal rate for the new media control was unusually low for the test at 2 months (Figure 4.3). It is frequently seen in the activity tests that the NO₂⁻ removal results are more consistent than the NH₄⁺ results. Another
common observation is that nitrite removal develops first before NH$_4^+$ removal as AMX is establishing on the new media.

All three batches of surface-treated media had higher attached biomass than the new media control (Figure 4.4) at both one and two months. Statistical analysis was performed to determine if the AMX activity (based on NO$_2^-$ removal) for the surface modified media was significantly higher ($p \leq 0.05$) than the new media control. Results after one month showed that the AMX activity for both Fenton’s reagent treated media and KMnO$_4$ treated media was significantly higher than the control ($p=0.0037$ and $p<0.0001$ respectively) while the ozone treated media was not significantly higher ($p=0.14$) (Figure 4.3). After two months the AMX activity for Fenton’s reagent treated media and KMnO$_4$ treated media was again significantly higher than the control ($p<0.0001$) while the ozone treated media was not. Although ozone treated media had the lowest water contact angle (Table 4.1) it did not have the highest biomass density or AMX activity. In fact, the ozone treatment seemed to be the least successful of the surface modifications and had lower AMX activity (based on NO$_2^-$ removal) than the control after two months. Surface energy did not appear to correlate with biomass density or AMX activity (Table 4.1, Figure 4.3, Figure 4.4). AOB activity was approximately the same for all of the surface modified media as compared to the control at both one and two months. No NOB activity was detected on any of the experimental media.
Figure 4.3: IFAS media and surface modified media AMX activity test results after one month and two months. AMX NH$_4^+$ = AMX activity based on NH$_4^+$ consumption, AMX NO$_2^-$ = AMX activity based on NO$_2^-$ consumption. Error bars represent the 95% confidence interval.
CONCLUSIONS
The surface modified media had higher biomass density and hydrophilicity than the control new media. AMX activity rates were significantly higher for the Fenton’s reagent and potassium permanganate treated media than the new media control after one month and two months while ozone treatment did not result in increased AMX activity. Media from the IFAS tank provided a preliminary biofilm that led to a rapid increase in AMX activity and NOB were inhibited. The large amount of AMX activity in the tank (plus reduced mixing) makes it difficult to make a direct comparison to the original new media during startup. While the results clearly demonstrate that the surface modification and preliminary biofilm led to higher rates of AMX biofilm development compared to the control, further study such as a pilot operation is warranted in order to provide conclusive evidence of reduced startup time.

Acknowledgements
The authors wish to thank the HRSD James River Wastewater Treatment Plant staff for their role in monitoring the full-scale process as well as for construction of the box to house the experimental media. We also thank Virginia Tech's Laboratory for Interdisciplinary Statistical
Analysis (LISA), specifically Jon Atwood for performing the statistical analysis. Patrick McLee was supported by the National Science Foundation, Grant 1337077.

REFERENCES


CHAPTER 5: NITRIC OXIDE PRODUCTION INTERFERES WITH AQUEOUS DISSOLVED OXYGEN SENSORS

Stephanie Klaus, Michael Sadowski, Jose Jimenez, Bernhard Wett, Kartik Chandran, Sudhir Murthy, Charles B. Bott

ABSTRACT
It was observed in previous studies that optical dissolved oxygen (DO) sensors were measuring values up to 1 mg O2/L in anoxic mixed liquor samples containing nitrite (NO2−). Based on these observations of false DO measurements it was hypothesized that NO2−, N2O, or NO was interfering with the DO sensors. A variety of DO probes were tested for interference while measuring NO2−, N2O and NO. It was concluded that NO causes a positive inference with some models of optical DO probes. In bench-scale denitrification tests, 25 mg/L of NO2− led to the production of enough NO to cause a DO sensor reading of 1 mgO2/L. These findings are important for any wastewater treatment process that is utilizing online DO measurements in the presence of NO such as shortcut nitrogen removal processes.

INTRODUCTION
Nitrous oxide (N2O) and nitric oxide (NO) production has been widely studied in wastewater treatment due to the greenhouse gas potential of N2O and both gasses as indicators of process performance. NO and N2O are produced either as a byproduct of ammonia oxidation by ammonia oxidizing bacteria (AOB) or as a result of incomplete denitrification by heterotrophic bacteria. There are three main biological pathways for the production of NO and N2O: The NH2OH oxidation pathway, AOB denitrification pathway, and heterotrophic denitrification pathway (Ni and Yuan, 2015). Process parameters that increase production of NO and N2O include high NO2− concentration, intermittent aeration, low influent COD/N ratio, and low DO concentration (Kampschreur et al., 2009; Wunderlin et al., 2012). Shortcut nitrogen processes such as nitrite shunt and deammonification (partial nitritation/anammox) usually include one or more of these process conditions and are therefore more likely to produce NO and N2O than conventional nitrogen removal processes (Kampschreur et al., 2008).

N2O and NO can be measured by Clark-type electrochemical sensors, in which a sensing anode and a reference electrode are placed in an internal electrolyte which is contained in a gas-permeable membrane (Schreiber et al., 2008). Oxygen interference is eliminated in the N2O sensor through the inclusion of an oxygen-reducing guard cathode (Andersen et al., 2001). There are two main types of dissolved oxygen sensors available for liquid phase measurements: Electrochemical sensors (galvanic or polarographic) and optical sensors with luminescent or fluorescent techniques. Galvanic and polarographic cells operate through the use of an anode and a cathode contained in an electrolyte and isolated from the process medium by an oxygen-permeable membrane (Lee and Tsao, 1979). All optical DO probes operate under the same principle which is the interaction of molecular oxygen with a fluorescing compound. Oxygen quenches the fluorescence which can be measured and related to the concentration of dissolved oxygen.
oxygen present via the Stern-Volmer equations. The sensor can either measure the fluorescence intensity or the excited state lifetime of the fluorophore (McDonagh et al., 2001).

It was observed in bench scale denitrification rate tests that optical DO probes were registering high readings even though no oxygen was being supplied to the reactor. This was occurring with mixed liquor samples from a full scale nitrite shunt process and from the B-stage of a pilot-scale Adsorption/Bio-Oxidation (A/B) process. The spike in DO probe readings corresponded to the addition of NO2- at the beginning of the batch test. This led to the hypothesis that nitrite itself or an intermediate in the denitrification pathway was creating a positive interference with the DO sensor reading. Personal communications by the authors revealed that it is common to observe false DO readings during anoxic denitrification tests but the mechanism has never been studied. The objectives of this study were to determine which intermediate (NO2-, N2O, or NO) was interfering with the DO probe readings, to demonstrate that the interference occurs at levels of NO2- that are relevant to wastewater treatment processes, and to test a variety of DO sensors for interference.

MATERIALS AND METHODS

Probe calibration

All DO probes were calibrated in water saturated air prior to measurements. When applicable a two point calibration was performed using a sodium sulfite solution to prepare a zero DO solution. In order to calibrate the nitric oxide and nitrous oxide sensors, a standard stock solution of nitric oxide or nitrous oxide saturated water was prepared. To prepare the nitric oxide stock solution nitric oxide gas (99.9%, Airgas) was bubbled through two washing bottles in series filled with 5 M NaOH. A septum bottle was filled with 10 mL of DI water. The output of the second washing bottle led to the septum vial, piercing the septum with a long needle attached to a diffuser. Nitric oxide was flushed through the setup for 10 min. To make the nitrous oxide stock solution nitrous oxide gas (99.99%, Airgas) was bubbled through a diffuser into a beaker of DI water. The temperature of the saturated solution was measured and was used to calculate the concentration of nitric or nitrous oxide in the saturated solution. The sensor was placed in a covered glass beaker. The beaker was sparged with nitrogen gas (99.999%, Airgas) for five minutes to remove oxygen. A syringe was used to withdraw the stock solution. A three point calibration was performed by spiking two known concentrations of NO or N2O plus a zero point. The range of the calibration matched the manufacturer’s recommended range of each sensor which was 0-42 ug/L-N for NO and 0-14 mg/L-N for N2O.

Tests in mixed liquor

Sample A was eight liters of return activated sludge (RAS) collected from a full-scale plant performing nitrite shunt. The sample initially contained negligible concentrations of NO2- and NO3-. At the start of the test, acetate was dosed to an initial concentration of 400 mgCOD/L. Nitrite was dosed at the start of the test to target 25 mgN/L. Once NO2- was depleted, NO3- was dosed to a target concentration of 15 mgN/L to obtain the specific nitrite and nitrate removal
rates. During the testing, a stand mixer provided adequate mixing to both reactors. The mixing speed was adjusted so that the liquid was adequately mixed while avoiding the creation of a vortex that could entrain air into the liquid. The liquid surface was covered with a floating Styrofoam sheet to further limit the surface transfer of oxygen. Approximately every 20 minutes over the duration of the test, a 15 mL aliquot was removed, filtered and analyzed for NO₃⁻-N, NO₂⁻-N, NH₄⁺-N. DO was monitored using a Hach LDO 101 probe.

Sample B was four liters of mixed liquor collected from the B-stage of a pilot-scale A/B process operating at 20°C, hydraulic retention time (HRT) of 4 hours and solids retention time (SRT) of approximately 10 days. In this test nitrite and acetate were spiked in the sample while monitoring NO and N₂O as well as DO measurements from the probes listed in Table 5.1. When the DO concentration reached below 0.10 mg/L the reactor was spiked with approximately 25 mg N/L of sodium nitrite and 150 mg COD/L as sodium acetate. The reactor was operated for 2.25 hours and samples were collected at 10 or 15 minute intervals. After 2 hours nitrogen gas was bubbled into the reactor to strip nitric and nitrous oxide and then aerated to compare DO probe readings. All collected samples were filtered through 1.5 μm glass fiber filters and analyzed for NO₃⁻-N, NO₂⁻-N, NH₄⁺-N, and sCOD. The reactor was continuously mixed through use of a magnetic stir plate. Temperature was controlled by submersion in a water bath to 20°C. The reactor was covered to prevent oxygen transfer. The pH stayed between 6.7 and 7.5.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Type of Sensor</th>
<th>Model</th>
<th>Manufacturer</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nitric Oxide (NO)</td>
<td>Electrochemical, polarographic</td>
<td>NO-500</td>
<td>Unisense</td>
<td>Aarhus, Denmark</td>
</tr>
<tr>
<td>Nitrous Oxide (N₂O)</td>
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<td>N₂O-R</td>
<td>Unisense</td>
<td>Aarhus, Denmark</td>
</tr>
<tr>
<td>Dissolved Oxygen (DO)</td>
<td>Electrochemical, polarographic</td>
<td>5178</td>
<td>YSI</td>
<td>Yellow Springs, OH, USA</td>
</tr>
<tr>
<td>Dissolved Oxygen (DO)</td>
<td>Optical, luminescent</td>
<td>LDO101</td>
<td>Hach</td>
<td>Loveland, CO, USA</td>
</tr>
<tr>
<td>Dissolved Oxygen (DO)</td>
<td>Optical, fluorescent</td>
<td>FDO® 70x IQ (SW)</td>
<td>YSI</td>
<td>Yellow Springs, OH, USA</td>
</tr>
<tr>
<td>Dissolved Oxygen (DO)</td>
<td>Optical, fluorescent</td>
<td>10</td>
<td>Insite</td>
<td>Slidell, LA, USA</td>
</tr>
</tbody>
</table>
Test in tap water

In this test nitric oxide and nitrous oxide gas were added to tap water to measure the effect of the pure gas on the DO probe measurements. The probes listed in Table 5.1 were placed in a 5L covered reactor with 4L of tap water. The reactor was continuously mixed by a magnetic stir plate. Temperature was controlled by submersion in a water bath to 20°C. First nitric oxide gas (99.9%, Airgas) was bubbled in to the reactor through a diffuser. Then nitrogen gas (99.999%, Airgas) was bubbled through a diffuser to strip the nitric oxide gas. This was repeated again and then nitrous oxide gas (99.99%, Airgas) was bubbled through a diffuser and then stripped using nitrogen gas. The reactor was then aerated to compare DO probe readings in the presence of oxygen.

RESULTS AND DISCUSSION
DO probe interference during denitrification tests

During the denitrification test for Sample A, it appears that the DO readings were affected during the presence of nitrite. Figure 5.1 shows the decreasing nitrite concentration from time zero to approximately 240 minutes and the measured DO response. The probe showed significant DO values over this period despite the reactor not being aerated. Also the DO readings trend with the NO₂⁻ measurement. During this period the LDO probe was removed several times, washed, and placed in sodium sulfite solution. Each time, the DO would drop rapidly to about 0.1 mg/L. But when the probe was returned to the reactor it exhibited the unexpected high DO response. It is also clear from Figure 5.1 that the presence of NO₃⁻ does not impact that DO measurement.
During the denitrification test for Sample B the NO and N₂O concentrations were measured by online sensors in addition to DO sensor readings. The initial spike of nitrite to the reactor caused an immediate increase in liquid phase NO concentration followed by a slower increase in N₂O concentration (Figure 5.2a). It should be noted that the NO concentration was outside of the range of the calibration (0-42 ug/L-N). However, the important observation is the presence of NO and its effect on the DO sensor. The increase in NO concentration corresponds to an increase in the DO readings of the Hach LDO and YSI FDO probes (Figure 5.2a). When nitrogen gas was sparged in the reactor, the NO was stripped and the DO readings decreased as the NO concentration decreased. NO₃⁻ levels were decreasing in the reactor during the duration of the test however NO₂⁻ and NO were staying constant and N₂O was increasing (Figures 5.2a and 5.2b), so it can be assumed that the denitrification pathway was stopping at N₂O and partial denitrification was occurring (Schulthess et al., 1995). At the end of the test, oxygen was provided to the reactor to demonstrate that all of the probes were functioning properly and DO readings were approximately in agreement. Although both NO and N₂O are listed by the
manufacturer as interfering with the YSI membrane DO probe, the YSI membrane probe did not exhibit the same interference as the Hach LDO and YSI FDO probes. This observation is not necessarily true for all membrane DO probes. Surprisingly the Insite probe did not respond to the high levels of NO even though it uses fluorescent technology similar to the YSI FDO and Hach LDO which did respond to NO. While the cause of this discrepancy is unknown, some potential explanations are differences in: quenching compounds, selectivity of covering membranes, or types of host matrices. Further studies will need to take place to understand, and potentially eliminate, the cause of the interference.

Figure 5.2A: Sensor outputs from Sample B denitrification test. NO (red), N2O (green), Insite DO (cyan), YSI DO (dark blue), YSI membrane (grey), Hach LDO (magenta)
DO probe interference in tap water with NO and N₂O gas present

The DO readings during the denitrification test appeared to be trending with NO however there was also N₂O present, so an experiment was performed in tap water with just NO or N₂O gas present to demonstrate that NO is the interfering gas and not N₂O. From the results of the test in tap water, it is clear that spikes in the DO readings of the Hach LDO and YSI DO probes occurred with the addition of NO gas and not with the addition of N₂O gas (Figure 5.3). The NO gas also appears to register slightly on the N₂O probe. Again the Insite fluorescent probe and YSI membrane probe were not affected by the presence of NO.
Significance of NO interference on DO measurements in biological nitrogen removal processes

When performing bench-scale denitrification tests, it is important to maintain a completely anoxic sample. If nitric oxide in the sample is causing a falsely high DO reading, the researcher performing the test may come to the incorrect conclusion that either oxygen is getting into the sample, or the DO sensor needs to be replaced. Nitrite concentrations above 25 mg/L (concentration used in this study) are common in sidestream shortcut nitrogen removal processes (Wett and Rauch, 2003; Lackner et al., 2014), and thus DO probe interference may be a concern for full-scale shortcut nitrogen removal processes. In mainstream shortcut nitrogen processes NO₂⁻ levels would not be as high however if operating at low DO (0.2-0.5 mg/L) NO production could potentially have a large effect on DO measurement.

In addition to denitrification bench tests, this phenomenon has also been observed in sidestream shortcut nitrogen removal processes (Wett and Rauch, 2003). The interference with the DO probe was assumed to be associated with high levels of nitrite but the exact mechanism was unknown. These are two examples of DO probe interference in biological nutrient removal processes but there could be other applications in which this interference is occurring such as low DO nitrite shunt processes. Since shortcut nitrogen processes are becoming more popular...
and DO probes are crucial to process control, it is critical that any inferences associated with NO$_2^-$ accumulation are understood.

In an earlier study by Wett and Rauch (2003), it was observed in a full-scale intermittently aerated sidestream SBR performing nitrite shunt that DO probe readings appear to read artificially high immediately after aeration stops (Figure 5.4). This is when NO production would be expected to increase due to transient anoxia and average NO$_2^-$ concentrations of 100 mgN/L (Wett and Rauch, 2003). According to the authors, the increase in DO concentration in the absence of aeration around 1100 minutes was explained as “oxygen sensor was interfered by high NOx-level during the anoxic settling period” (Wett and Rauch, 2003). A YSI membrane type DO probe was used in this study. In light of data from the present study it seems most likely that the production of NO was the cause of the false DO measurement.

**CONCLUSIONS**

Based on previous studies, it was determined that NO$_2^-$ accumulation was causing optical DO probes to measure unrealistically high values. This study proves that this interference exists with some optical DO probes but not all. It was also demonstrated that NO$_2^-$ is not directly causing the interference but rather NO is responsible for causing the false DO measurements. The amount of NO produced by a NO$_2^-$ concentration of 25mg/L was enough to cause some DO sensors to read as high as 1 mg/L, even though there was no oxygen present in the sample. This NO$_2^-$ concentration could reasonably be produced in sidestream shortcut nitrogen processes, demonstrating that nitric oxide interference of DO sensor readings is a concern in full-scale processes as well as in bench scale denitrification tests. This phenomenon is not exclusive to the wastewater treatment field and would occur in any aqueous sample when NO is present. Future studies should include quantifying the relationship between NO concentration and DO interference, and determining the mechanism of the interference.
REFERENCES


CHAPTER 6: EFFECT OF INFLUENT CARBON FRACTIONATION AND REACTOR CONFIGURATION ON MAINSTREAM NITROGEN REMOVAL AND NOB OUT-SELECTION

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ABSTRACT
An intermittently aerated, pilot scale biological nitrogen removal process was operated in Modified Lüdzack Ettinger (MLE) and fully intermittent aeration (all reactors aerated) configurations. The process was fed both A-stage effluent (ASE), and primary clarifier effluent (PCE), which differ in chemical oxygen demand (COD) composition. The objective was to determine the effects of influent carbon fractionation and reactor configuration on nitrite oxidizing bacteria (NOB) out-selection and total inorganic nitrogen (TIN) removal during intermittent aeration. TIN removal was affected by both the type and amount of influent COD, with particulate COD (pCOD) having a stronger influence than soluble COD (sCOD). NOB out-selection was lowest in the MLE configuration, regardless of the feed, and highest in fully intermittent configuration with A-stage feed. During fully intermittent operation with A-stage effluent feed, nitrite accumulation correlated positively with influent particulate COD concentration, and correlated negatively with \textit{ex situ} NOB activity rates. In addition, \textit{ex situ} denitrification batch tests showed that nitrite was consumed faster than nitrate when NOB rates were low. These observations suggested that pCOD improved heterotrophic competition for nitrite, leading to ammonia oxidation rates higher than nitrite oxidation rates. Therefore, the influent COD fractions should be tailored to achieve the desired nitrogen removal goals downstream.

INTRODUCTION
Relative to the practice of conventional full-nitrification (ammonia to nitrate oxidation) followed by denitrification (nitrate reduction to dinitrogen gas, $N_2$), achieving nitrite shunt (ammonia to nitrite oxidation) is desirable both for decreasing the COD required for denitrification, and for producing an effluent that can be treated by anaerobic ammonia oxidation (anammox). The key to achieving nitrite shunt is the out-selection of nitrite oxidizing bacteria (NOB) while keeping aerobic ammonia oxidizing bacteria (AOB) rates high. NOB out-selection is easier in processes treating internally produced sidestreams, such as post-anerobic digestion centrate or filtrate, due to the high free ammonia (FA) concentrations in these streams (Anthonisen et al., 1976) as well as their intrinsically high temperature (Hellinga et al., 1998). On the other hand, such factors do not apply to mainstream wastewater such as raw or clarified sewage, owing to the much lower ammonia concentrations and near-ambient temperatures. In mainstream biological nitrogen removal (BNR) processes relying on nitrite-shunt, strategies developed to give AOB an
advantage over NOB in mainstream treatment include: maintaining an ammonia residual in the effluent (Regmi et al., 2014; Pérez et al., 2014; Poot et al., 2016; Welker et al., 2016), transient anoxia (Gilbert et al., 2014; Kornaros et al., 2010), high DO concentration during intermittent aeration (Regmi et al., 2014; Al-Omari et al., 2015), low DO continuous aeration (for biofilm and granule systems) (Pérez et al., 2014; Poot et al., 2016; Sliekers et al., 2005), seeding of AOB from a sidestream process (Al-Omari et al., 2015), stringent aerobic solids retention time (SRT) control (Regmi et al., 2014), and exposure of the mainstream biomass to high levels of nitrous acid (Piculell et al., 2016; Wang et al., 2014). Transient anoxia can be achieved through intermittent aeration either in time (on/off aeration control) or in space (alternating oxic/anoxic zones). The proposed mechanisms of NOB out-selection from transient anoxia include: enzymatic lag (Kornaros et al., 2010), inhibition by intermediates (including nitric oxide and hydroxylamine) (Courtens et al., 2015; Park et al., 2016), and substrate availability (limiting the amount of nitrite available aerobically) (Al-Omari et al., 2015; Malovanyy et al., 2015).

Ammonia vs. NOx (AvN) control was developed to adapt some of these fundamental concepts into an operational control algorithm, to operationally achieve nitrite shunt (Regmi et al., 2014). AvN control works by controlling the aerobic fraction to meet an NH$_4^+$-N to NO$_x$-N (NO$_2^-$-N + NO$_3^-$-N) concentration ratio in the effluent. In addition to transient anoxia the controller uses non-limiting DO, aerobic SRT control, and high residual ammonia concentration to favor AOB activity over NOB. Although AvN control was developed to achieve nitrite shunt through NOB out-selection, AvN control maximizes nitrogen removal efficiency by design, even if the goal is not nitrite shunt. By setting NH$_4^+$-N and NO$_x$-N equal in the effluent, or by specifying a ratio of NH$_4^+$-N/NO$_x$-N somewhat less than 1:1 based on the need to comply with an effluent ammonia limit, AvN control oxidizes only the amount of ammonia that can be denitrified utilizing the influent organic carbon that is made available. This maximizes COD utilization efficiency for heterotrophic denitrification using nitrate or nitrite, without the addition of supplemental carbon (Batchelor et al., 1983).

As more nitrogen is removed through shortcut nitrogen removal pathways, less carbon is required for chemoorganoheterotrophic denitrification, and more carbon can be diverted, preferably to an anaerobic digester for energy recovery. The removal of carbon can be accomplished physically or biologically. Primary sedimentation tanks should remove from 50 to 70% of the TSS and 20 to 35% of the COD (Tchobanoglous et al., 2003). Another option for carbon removal is a high-rate A-stage biological process. The A-stage process is high-rate activated sludge (HRAS) operated at a low hydraulic retention time (HRT) of about 30 minutes, a low dissolved oxygen (DO) concentration of less or equal to 0.5 mg/L and a low SRT of less than 1 day (Boehnke and Diering, 1997). The goal of the high-rate A-stage is to provide a controlled carbon loading for B-stage, while achieving low cost COD removal at reduced aeration tank volume and aeration energy requirements (Miller et al., 2017). The A-stage process removes both readily biodegradable COD (rbCOD) and slowly biodegradable COD (sbCOD) primarily through sedimentation of primary particles, oxidation of rbCOD, bioflocculation, and
possibly some intracellular storage (Miller et al., 2012; Kinyua et al., 2017; Rahman et al., 2014; Jimenez et al., 2015) while primary clarification removes COD through gravitational settling based on particle size and density. These processes will create effluents with different compositions since A-stage is able to remove rbCOD, while a primary clarifier does not remove soluble and colloidal constituents (Jimenez et al., 2015; de Graaff et al., 2016).

While the effect of aeration (Regmi et al., 2014; Pérez et al., 2014; Poot et al., 2016; Al-Omari et al., 2015; Sliekers et al., 2005), residual ammonia (Regmi et al., 2014; Pérez et al., 2014; Poot et al., 2016; Welker et al., 2016), nitrite concentration (Al-Omari et al., 2015; Malovanyy et al., 2015), and SRT (Regmi et al., 2014; Al-Omari et al., 2015) on NOB out-selection have been extensively studied, the effects of influent carbon fractionation and reactor configuration (i.e. presence of a pre-anoxic zone) have not been examined. It is hypothesized that sbCOD is more desirable in B-stage influent than rbCOD, because it can be utilized for denitrification further downstream in the B-stage reactor. Accordingly, this should lead to increased total inorganic nitrogen (TIN) removal, and potentially increased NOB out-selection through heterotrophic competition for nitrite. The objectives of this study were to: determine the influence of influent carbon fractionation and reactor configuration (i.e. presence of a pre-anoxic zone) on NOB out-selection, and to determine the effect of operating configurations and influent carbon fractionation on nitrite accumulation and NOB out-selection in an intermittently aerated BNR process.

MATERIALS AND METHODS

Pilot Setup

The pilot plant consisted of an HRAS A-stage process, or a primary clarifier, followed by the B-stage process (Figure 6.1). The pilot was fed screened (2.4 mm openings) and degritted municipal wastewater that was first adjusted to 20 degrees C. The A-stage consisted of three bioreactors in series (Vtotal = 511 L) followed by an intermediate clarifier. A single modulating valve controlled airflow to the A-stage. A clarifier, separate from the one used in the A-stage process, was operated when the B-stage was fed primary clarify effluent (PCE). Primary clarification was performed in a cone bottom clarifier with a volume of 1170 L at a surface overflow rate (SOR) of 33.2 m³/m²/day and HRT of 1.3 hours. The B-stage consisted of four bioreactors in series (Vtotal = 606 L) each with independent aeration control and mechanical mixing. The B-stage system was maintained at a 5-hour HRT. The pilot system was started up without seed, and was operating for three years prior to the beginning of this study.

Operating conditions

B-stage was operated in the following two configurations: 1) a fully intermittent (FI) configuration in which all CSTRs were intermittently aerated for the same interval and duration and 2) a Modified Lüdzack Ettinger (MLE) configuration in which the first reactor was anoxic, and downstream reactors were intermittently aerated for the same interval and duration (Figure 6.1). The internal mixed liquor recycle (IMLR) rate was constant at 300% of the influent flow.
rate. The study compared each aeration strategy with both A-stage effluent (ASE) and primary clarifier effluent (PCE) as influent to the B-stage. The AvN \( (\text{NH}_4^+ - \text{N}/\text{NO}_x - \text{N}) \) ratio setpoint was 1 for the duration of the study. The phases are abbreviated as follows, and were operated in the following order: FI_ASE (87 days), MLE_ASE (45 days), MLE_PCE (39 days), and FI_PCE (20 days).

**Automated Process Control**

Process automation was achieved using various online sensors and a programmable logic controller (PLC). A-stage aeration control was achieved using a cascade proportional–integral (PI) DO controller, DO sensor (Hach LDO) located in the last bioreactor, mass gas flowmeter, and modulating valve. The A-stage was operated at low DO concentrations (≤0.5 mg/L), and an HRT of 30 minutes. The A-stage waste activated sludge (WAS) flow rate was maintained constant and manually changed as needed to obtain the desired COD removal. In the B-stage, the AvN controller, as described in detail by Regmi et al., (2014), consisted of an aeration duration controller that used on-line in situ DO, ammonia, nitrite and nitrate sensors (Hach LDO; WTW VARiON® Plus; s::can spectro::lyser™). In AvN control, the aerobic fraction (air on time divided by total cycle time) was controlled via a proportional–integral–derivative (PID) controller to meet the \( (\text{NH}_4^+ - \text{N}/\text{NO}_x - \text{N}) \) ratio setpoint of 1. During periods of aeration, a DO controller maintained a DO setpoint of 1.6 mg-O_2/L. Each reactor had a modulating airflow valve and a DO sensor using proportional integral (PI) control to maintain the same DO concentration across all aerated reactors. AvN control was utilized in all phases of the study. pH
was measured in the 3rd CSTR using a ISE Foxboro pH probe (Invensys, London, UK). A stock solution of sodium bicarbonate (80 g NaHCO₃/L) was fed to the third CSTR in order to maintain effluent pH at 6.8.

**AOB and NOB Activity Measurements**

To measure maximum AOB and NOB activity, once per week a 4 L sample was collected from the 4th CSTR and aerated for 30 minutes to oxidize excess COD. The sample was then spiked with 10,000 mgN/L NH₄Cl and 10,000 mgN/L NaNO₂ stock solutions so that initial concentrations were 20-30 mg NH₄⁺-N/L and 2-4 mg NO₂⁻-N/L respectively. Temperature was controlled at 20°C via submersion in a water bath. The DO concentration was manually maintained between 2.5 and 4 mg/L using diffused compressed air, and DO was measured using a handheld luminescent DO sensor (HACH Loveland, CO). The pH was manually maintained at approximately 7.5 through the addition of sodium bicarbonate. The activity tests were conducted for 1 hour with sample collection every 15 minutes. Samples were analyzed for NH₄⁺-N, NO₂⁻-N, and NO₃⁻-N as described below. The AOB rates were calculated as the slope of the NOx-N production and NOB rates were calculated as the slope of the NO₃⁻-N production. Calculations explaining how the rates were calculated are located in the Supplementary Information.

**Denitrification Rate Measurements**

To measure the denitrification rate, batch testing was performed once per week by mixing 2L of RAS from the B-stage secondary clarifier with 2L of either ASE or PCE, which provided the carbon source for denitrification. The mixture was spiked with 20-30 mg-N/L from a dissolved 10,000 mgN/L potassium nitrate solution and 1-3 mg-N/L from a dissolved 10,000 mgN/L sodium nitrite solution. Sampling began when the reactor went anoxic, as measured by a handheld luminescent DO sensor (HACH Loveland, CO). The batch reactor was operated for 1 hour and samples were collected at 15 minute intervals. All collected samples were filtered through 1.5 μm glass fiber filters and analyzed for NO₃⁻-N, NO₂⁻-N, NH₄⁺-N, and sCOD. The batch reactor was continuously mixed through use of a magnetic stir plate. Temperature was controlled by submersion in a water bath. The reactor was covered with a styrofoam lid to minimize oxygen transfer. The pH was manually maintained between 7.0 and 7.5 with the addition of diluted hydrochloric acid or sodium bicarbonate. Just as an imbalance in the AOB and NOB rates creates nitrite accumulation or depletion in the nitrification batch activity test, an imbalance in the denitrification rate (NO₃⁻ to NO₂⁻) and denitrification rate (NO₂⁻ to N₂ gas) does the same in the denitrification rate batch test. If denitrification and denitrification rates are equal, then the slope of nitrite in the batch test is zero, indicating only full denitrification, no partial denitrification. The nitrate to nitrite (denitrification) rate was calculated as the slope of the NO₃⁻-N consumption, and the nitrite to gaseous N (denitrification) rate was calculated as the slope of the NO₂⁻-N consumption. It was assumed in these assays that dinitrogen gas was the main product.
Calculations explaining how the rates were calculated are located in the Supplementary Information and Figure A1.

**Microbial Quantification**

The abundance of AOB and NOB was quantified using TaqMan quantitative polymerase chain reaction (qPCR). AOB were targeted using the ammonia mono-oxygenase subunit A (*amoA*) gene (Rotthauwe et al., 1997) while NOB were targeted using the *Nitrobacter* 16S rRNA gene (Graham et al., 2007) and *Nitrospira* 16S rRNA gene (Kindaichi et al., 2006). Total bacterial abundance was quantified using eubacterial 16S rRNA gene targeted primers (Ferris et al., 1996). Primer sequences are listed in Table A1. qPCR assays were conducted on a iQ5 real-time PCR thermal cycler (BioRad Laboratories, Hercules, CA). Standard curves for qPCR were generated via serial decimal dilutions of plasmid DNA containing specific target gene inserts. qPCR for standard plasmid DNA and sample DNA were conducted in duplicate and triplicate, respectively. DNA-grade deionized distilled water (Fisher Scientific, MA) was used for non-template controls. Primer specificity and the absence of primer-dimers were confirmed via melt curve analysis of each qPCR profile (Ma et al., 2015; Park et al., 2015).

**Analytical Monitoring**

Performance was monitored through the collection of 24-hour composite samples using automated samplers. The samplers extracted 250 mL at one-hour intervals allowing average daily influent and effluent characteristics to be measured. Total and volatile suspended solids (TSS and VSS) were analyzed using standard methods 2540D and 2540E respectively (APHA, 2012). MLSS and MLVSS are the TSS and VSS of the mixed liquor suspended solids. Total and soluble COD, orthophosphate (OP), total ammonia nitrogen (NH$_4^+$-N + NH$_3$-N), NO$_2^-$-N, and NO$_3^-$-N were measured with HACH TNTplus kits and a HACH DR2800 spectrophotometer (HACH Loveland, CO). Nutrient and soluble COD samples were filtered through 0.45 μm and 1.5 μm filters respectively. Particulate COD (pCOD) was calculated as the difference between total COD and sCOD (1.5 μm filtered). The sCOD measurement includes readily biodegradable and colloidal COD fractions. Daily pH and temperature readings of the reactors were recorded using a handheld pH and temperature meter (Beckman Coulter, Brea, CA). Readings for DO were recorded using a handheld luminescent DO sensor (HACH Loveland, CO).

**RESULTS AND DISCUSSION**

**Overall operation**

The A-stage SRT ranged from 1 to 7 hours with an average of 3.1 ± 1.1 hours, and was controlled by manually adjusting the wasting rate throughout the ASE feed scenarios, to vary the total COD/NH$_4^+$-N ratio. The variation in A-stage SRT produced a range of effluent tCOD/NH$_4^+$-N values from 7 to 15 g/g with an average of 10.7±1.8. During the PCE scenarios, the total COD varied due to changes in raw influent total COD, but the effluent characteristics...
cannot be controlled in the same way as with an A-stage process (Miller et al., 2012; Haider et al., 2003). Throughout the entire study period, the raw influent characteristics (influent for either A-stage or the primary clarifier) were tCOD of 600±109 mg/L, sCOD of 208±28, and NH₄⁺-N of 32.9±3.6. For ASE, both the pCOD and sCOD fraction varied, while for PCE only the pCOD fraction varied since sCOD is minimally removed (Figure A2). The average tCOD/NH₄⁺-N ratio for PCE was higher than ASE (11.3±1.1 and 10.7±1.8 respectively; \( p=0.02 \)), as was the magnitude of tCOD and NH₄⁺-N. Total COD and NH₄⁺-N for PCE was 418±32 mgCOD/L and 37.1±1.8 mgN/L, while it was 311±58 mgCOD/L and 29.1±2.2 mgN/L for ASE. NH₄⁺-N was lower in the ASE due to higher heterotrophic assimilation, which is a benefit of an A-stage over a primary clarifier (Miller et al., 2012). Because of the higher COD in the PCE, the B-stage total SRT had to be lower to keep the MLSS within the target range of 2000 to 4000 mg/L (Table 6.1). The AvN ratio (NH₄⁺-N/NOₓ-N) setpoint throughout the study was 1, and the average AvN ratio from composite samples of the effluent was 0.97 ± 0.3. The highest nitrite concentrations occurred during the fully intermittent aeration phases (Figure A3). It has been previously suggested that in general, for a given total bioreactor volume, there is an optimum aerated fraction for which total nitrogen removal can be maximized (Batchelor et al., 1983). In this study, for the fully intermittent scenarios, the minimum effluent TIN occurred between an aerobic fraction of 0.4 and 0.5 (Figure A4), which agreed with the results from Batchelor (1983) (minimum effluent TIN at an aerobic fraction of 0.47). Throughout all phases the aerobic SRT remained in the range of 3 to 4 days (Table 6.1). This is within the aerobic SRT range that some NOB out-selection would be expected at 20°C².

Table 6.1: Operational parameters for all phases. The aerobic fraction is the fraction of aerated volume out of the total volume. For the MLE scenarios, the aerobic fraction in parentheses is the aerated fraction in just the aerated tanks, excluding the anoxic zone.

<table>
<thead>
<tr>
<th>Control Strategy</th>
<th>A-stage Effluent (ASE)</th>
<th>Primary Clarifier Effluent (PCE)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total SRT (days)</td>
<td>7.1±1.9</td>
<td>5.7±1.2</td>
</tr>
<tr>
<td>Aerobic SRT (days)</td>
<td>3.0±0.8</td>
<td>3.0±1.0</td>
</tr>
<tr>
<td>Aerobic Fraction (-)</td>
<td>0.43±0.04</td>
<td>0.52±0.09</td>
</tr>
<tr>
<td></td>
<td>(0.56±0.10)</td>
<td>(0.70±0.12)</td>
</tr>
<tr>
<td>MLSS (mg/L)</td>
<td>2967±377</td>
<td>4232±279</td>
</tr>
<tr>
<td>MLVSS/MLSS ratio (g/g)</td>
<td>0.84±0.03</td>
<td>0.81±0.01</td>
</tr>
</tbody>
</table>
Effect of influent C/N ratio on TIN removal

There was not an obvious relationship between TIN removal percentages and operating scenarios, rather there appeared to be an overall trend between influent COD/ NH₄⁺-N and TIN removal across all scenarios (Figure 6.2A and 6.2B). Figure 6.2A shows an increase in TIN removal, for an increasing tCOD/ NH₄⁺-N ratio, up to a certain point (around 10 g/g), after which the COD stops providing additional nitrogen removal benefits. The trend is clearer when plotting just the pCOD/ NH₄⁺-N (Figure 6.2B), and there is no trend with sCOD/ NH₄⁺-N (Figure 6.2C), suggesting that influent pCOD is the COD fraction contributing most to downstream TIN removal. The FL_ASE scenario was capable of achieving higher TIN removal than the MLE scenarios. This is further evidence of the importance of pCOD since the anoxic periods of intermittent aeration were able to achieve the same amount of denitrification as the anoxic zone at 300% internal recycle. The MLE_ASE scenario did appear to have higher TIN removal than FL_ASE at the lower total COD/ NH₄⁺-N values (Figure 6.2A), but by looking at Figure 6.2B it appears that is a function of the influent pCOD/N.

Figure 6.2: % TIN removal vs. tCOD/NH₄-N (A), pCOD/NH₄-N B), and sCOD/NH₄-N (C) in the B-stage influent

This could be due to sCOD being utilized first (for denitrification or oxidized aerobically), while pCOD is used further down the process during anoxic periods for denitrification after it is hydrolyzed (Drewnowski and Makinia, 2011). While this has been previously hypothesized, and the influent tCOD/NH₄⁺-N ratio has been shown to correlate positively with TIN removal during intermittent aeration (Regmi et al., 2015), this study directly demonstrates this relationship (Figures 6.2A-C). These trends should hold true for any intermittently aerated process and highlight an opportunity to capture this excess COD upstream and redirect it to an energy recovery process, like anaerobic digestion. These results strongly emphasize that primary effluent COD fractionation, not just the total COD load, should be considered in design and operation of the carbon removal stage. A-stage provides an advantage in that it can be easily controlled to achieve a desired effluent tCOD/NH₄⁺-N ratio, while also removing sCOD.
Effect of influent COD fractionation on nitrite accumulation

Nitrite accumulation ratio (NAR) was calculated as \( \text{NO}_2^-/\text{NO}_x \) in B-stage effluent and is an indicator of NOB out-selection (Regmi et al., 2014; Pérez et al., 2014; Kornaros et al., 2010). The MLE scenarios had the lowest nitrite accumulation (maximum of 25%), regardless of influent characteristics (Figure 6.3). There was clear nitrite accumulation in the FI_ASE scenario (maximum of 70%), but not as much during the FI_PCE scenario (maximum of 35%). Although it appears that NAR was trending up when the FI_PCE scenario was ended (Figure 6.3), the FI_PCE scenario was too short to draw a clear conclusion between FI_ASE and FI_PCE. Higher nitrite accumulation could also be due to the difference in ASE vs. PCE influent feed characteristics. As discussed previously, the amount of pCOD in the influent to B-stage determined the magnitude of TIN removal (Figure 6.2B), and also seemed to correlate positively with nitrite accumulation during the FI_ASE phase (Figure 6.3).

Correlations between sCOD and pCOD and NAR were examined to further elucidate the relationship between influent COD fractions and NAR (Figures 6.4A-D). Fully intermittent scenarios are shown in 4A and 4B and MLE scenarios in 4C and 4D. During the FI_ASE scenario, the influent pCOD fraction correlated positively \((R^2=0.75, p=3.6\times10^{-15})\) with NAR (Figure 6.4A). There was not a correlation between NAR and pCOD for the FI_PCE phase, possibly because it was not operated long enough. The increased pCOD fraction likely helped to favor heterotrophic competition for nitrite with NOB during transition to non-aerated periods. There was no significant correlation between sCOD and NAR \((p=0.82)\) for the FI scenarios.
(Figure 6.4B), or between pCOD or sCOD and NAR ($p=0.55$ and $p=0.75$) for the MLE scenarios (Figures 6.4C and 6.4D).

Figure 6.4: Correlation between pCOD and sCOD (mg/L) and nitrite accumulation ratio (NAR%), separated by fully intermittent (FI) (A and B) and MLE configurations (C and D).

It is challenging to explain why the FI aeration scenarios resulted in more NOB out-selection than the MLE scenarios. Three possible reasons include:

1. **Utilization of COD in an upfront anoxic versus intermittently aerated zone.** The COD that could help provide heterotrophic competition in the intermittently aerated zones, may
have been utilized in the anoxic zone for denitrification. Since the hydrolysis rate and hydrolysable COD were not quantified, the fate of the influent COD in the anoxic zone can be inferred from the amount of denitrification taking place. During the MLE scenarios, the IMLR rate was held constant at 300% of the influent flow. Due to lower influent COD during MLE_ASE, the anoxic reactor was typically COD limited (i.e. there was measurable NOx in the effluent), while during MLE_PCE, the anoxic zone was mostly NOx limited (i.e. low or non-detect NOx). Average NOx grab samples from the effluent of the anoxic zone were 0.21±0.05 mgN/L during MLE_PCE and 0.65±0.89 mgN/L (range 0.11-3.36 mgN/L) during MLE_ASE. While these results could suggest that during the MLE_ASE scenario, there was not enough COD persisting through to provide heterotrophic competition in the intermittently aerated reactors, the same cannot be argued for the MLE_PCE scenario. Also, if it is assumed that it was mostly the sCOD fraction used for denitrification in the anoxic zone, then this should not affect the amount of pCOD making it to the intermittently aerated zones.

2. **Difference in aerobic fraction in the aerated reactors between scenarios.** The aerobic fraction in the last three reactors had to be higher in the MLE configurations in order to maintain the NH4+–N/NOx-N ratio (Table 6.1). The higher aerobic fraction may not have provided an anoxic period long enough to permit effective heterotrophic competition for nitrite with NOB or to initiate a lag in NOB response (due to a lag in the activity of the nitrite oxidoreductase enzyme in NOB) once the aerobic period returned (Gilbert et al., 2014; Malovanyy et al., 2015). A longer aerobic period could also allow more time to oxidize nitrite to nitrate.

3. **Competitive advantage of NOB over AOB in anoxic zone due to diverse metabolism.** NOB could have an advantage over AOB in the anoxic zone due to their mixotrophic metabolism, allowing them to utilize both organic and inorganic substrates for growth (Steinmüller et al., 1976; Smith and Hoare 1968). This could make it more difficult to out-select NOB when an anoxic zone with sCOD is present, such as in the MLE scenarios.

**Relationship between Nitrite Accumulation, NOB rates, and nitrite reduction rates**

In addition to nitrite accumulation, another indicator of NOB out-selection was AOB rates higher than NOB rates in bench scale maximum activity tests. NOB/AOB maximum activity rate ratios are shown in Figure 6.5, with lower values indicating more NOB out-selection. NAR correlated negatively with the NOB/AOB rate ratio (R²=0.75, p=2.4x10⁻⁸) as expected. Also shown on Figure 6.5 are the (NO₂⁻-N denitrification rates)/(NO₃⁻-N denitrification rates) from bench scale maximum activity tests. NO₃⁻-N denitrification refers to the conversion of NO₃⁻-N to NO₂⁻-N (denitrification), and NO₂⁻-N denitrification refers to the conversion of NO₂⁻-N to gaseous N (denitrification). When the (NO₂⁻-N denitrification rate)/(NO₃⁻-N denitrification rate) is greater than one, it means that the conversion of nitrite to gaseous N is faster than conversion the nitrate to nitrite. Interestingly, the (NO₂⁻-N denitrification rate)/(NO₃⁻-N denitrification rate) ratio correlated negatively with the NOB/AOB rate ratio (Figure 6.5). In other words, when there was...
nitrite accumulation in the batch nitrification tests, there was nitrite depletion during the
denitrification tests, and vice versa. Also of note is that the specific NO₂⁻-N denitrification rate
was greater than the NOB specific rate during the period of high NAR (Figure A5). This
observation correlates with the increase in pCOD during that time of operation and thus supports
the theory of competition for nitrite by chemoorganoheterotrophic denitrification leading to NOB
SBR, that NOB out-selection was established by gradual reduction of the amount of nitrite that is
available to provide energy for the growth of NOB. They hypothesized that NOB were out-
selected due to the denitrification of nitrite, rather than due to the inhibition of NOB growth
kinetics. Dold et al., (2015) modeled nitrite shunt in an SBR and came to a similar conclusion:
that nitrite removed by heterotrophic denitrification means less nitrite is available for NOB,
reducing the growth of NOB. This would create a cycle over time, where more nitrite is
accumulating while NOB population is declining. Ge et al., 2014 had a similar hypothesis for
observing NOB out-selection in an alternating aerobic/anoxic step-feed process.

![Figure 6.5: Comparison of NOB divided by AOB rate and NO2 denitrification rate divided by NO3
denitrification rate from ex-situ maximum activity rate testing, and nitrite accumulation ratio (NAR).]
Microbial Ecology

qPCR results showed that the decrease in *Nitrospira* population occurred during periods of nitrite accumulation (Figure 6.6). However, *Nitrospira* population was at least an order of magnitude less than *Nitrobacter*, which stayed high even during periods of nitrite accumulation. Regmi et al. (2014) observed *Nitrospira* concentration was greater than *Nitrobacter* under similar influent characteristics and reactor configuration to this study. During the period of highest nitrite accumulation, the NOB population was greater than the AOB population, even though NOB activity rates were lower than AOB activity rates. These results show that biomass concentrations alone cannot always explain process behavior. In this case, *ex-situ* activity measurements showed that there was an imbalance in process rates causing nitrite accumulation. Multiple reactions need to be taken into account since nitrite is impacted by both nitrification and denitrification processes (biomass concentrations and specific rates). The occurrence of Comammox (Daims et al., 2015) (complete ammonia oxidation to nitrate) by some *Nitrospira* was not included in the targeted microbial analysis and it is unclear how Comammox would influence maximum NOB/AOB activity rate tests.

Figure 6.6: Prevalence of AOB and NOB (*Nitrobacter* and *Nitrospira*), and nitrite accumulation ratio (NAR) over time.
IMPLICATIONS FOR IMPLEMENTATION

This work is significant for its primary elucidation of the link between specific COD fractions and implications on both autotrophic nitrogen oxidation and heterotrophic nitrogen reduction, conducted using real wastewater. From these results it appears that fully intermittent aeration without a pre-anoxic zone was a prerequisite to NOB out-selection, and then a higher pCOD increases nitrite accumulation. The effluent nitrite could then be removed, along with effluent ammonia, by an anammox process. The claim that higher COD is beneficial for NOB out-selection may seem contradictory, as it could potentially negate the carbon saving benefit of achieving nitrite shunt. However, the NOB out-selection was correlated specifically with the influx and utilization of particulate COD by nitrite reducing denitrifying bacteria. Therefore, by utilizing an A-stage process, the magnitude of pCOD reaching the B-stage process can be carefully controlled, while still diverting carbon for energy and B-stage capacity savings. By this logic, a step-feed process should enhance the controlled distribution of pCOD even further, especially if it could be fed solely during the anoxic periods of intermittent aeration. By the same logic, chemically enhanced primary treatment would be the least desirable, as it would remove more particulate COD while leaving behind the soluble fraction. These results also point to the difference between achieving nitrite shunt in mainstream versus sidestream processes. Fully autotrophic sidestream processes rely on high free ammonia concentrations and temperature to favor AOB growth over NOB. Mainstream processes don’t have the advantage of typical sidestream conditions, so while AOB rates must still be kept high, perhaps the main mechanism for NOB out-selection, in the absence of a large inventory of anammox activity, becomes consumption of nitrite by ordinary heterotrophs for denitrification.

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REFERENCES


### Table A1: Summary of primers utilized for qPCR analysis

<table>
<thead>
<tr>
<th>Target Gene</th>
<th>qPCR Primer</th>
<th>Nucleotide Sequence (5'-3')</th>
<th>Base Pairs</th>
<th>Reference</th>
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</thead>
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<tr>
<td>Universal 16S rRNA</td>
<td>1055F</td>
<td>ATGGCTGTGTCAGCT</td>
<td>353</td>
<td>Ferris et al., 1996</td>
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<td></td>
<td>1392R</td>
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<td></td>
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</tr>
<tr>
<td>amoA</td>
<td>amoA-1F</td>
<td>GGGGTTTTCTACTGGTGGT</td>
<td>491</td>
<td>Rotthauwe et al., 1997</td>
</tr>
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<td></td>
<td>amoA-2R</td>
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<td></td>
<td>Kindaichi et al., 2006</td>
</tr>
<tr>
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<td>67</td>
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<td>Nspra-723Taq</td>
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<tr>
<td>Nitrobaeter 16S rRNA</td>
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</tr>
<tr>
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<td></td>
<td>Nitro-1374Taq</td>
<td>AACCCGCAAGGGAGGCAGCGACC</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**Explanation of Nitrification and Denitrification Rate Test Calculations**

During nitrification, all NO₃⁻ produced was once NO₂⁻. Similarly, during denitrification, all NO₃⁻ must first be reduced to NO₂⁻ before N₂ gas. So it is assumed that all NO₃⁻ reduced to N₂ gas (full denitrification), is at some point NO₂⁻. In the AOB/NOB test if nitrite is accumulating then AOB rate is faster than NOB rate. Similarly, in the denitrification batch test, if nitrite is accumulating then the denitrification rate (NO₃⁻ to NO₂⁻) is faster than the denitrification rate (NO₂⁻ to N₂ gas). See figure A1 for a graphical representation of the potential batch test results.

**Nitrification Rate Test Calculations:**

AOB (nitrification) and NOB (nitrification) rates are measured simultaneously under aerobic conditions, without substrate limitation. NOB rates are measured as the change in NO₃⁻ over time and AOB rates are measured as the change in NOₓ (NO₃⁻+NO₂⁻) over time.

Measured Rates:

\[
\begin{align*}
\text{rNO}_2^- & = \text{nitrite rate measured in batch test} \\
\text{rNO}_3^- & = \text{nitrate rate measured in batch test} \\
\text{rNO}_x & = \text{rNO}_2^- + \text{rNO}_3^-
\end{align*}
\]

Unknown Rates:

\[
\begin{align*}
\text{rNO}_2^-_{NOB} & = \text{rate of nitrite consumed by NOB} \\
\text{rNO}_2^-_{AOB} & = \text{rate of nitrite produced by AOB} \\
\text{rNO}_3^-_{NOB} & = \text{rate of nitrate produced by NOB}
\end{align*}
\]

Assume:

- NO₃⁻ can only be produced by NOB, so \(rNO_3^- = rNO_{3\,NOB}\)
- NO₂⁻ is produced by AOB and consumed by NOB
  \(rNO_3^-_{NOB} = rNO_2^-_{NOB}\)

Calculations:

From equations above: \(rNO_3^- = rNO_3^-_{NOB} = -rNO_2^-_{NOB}\)

Therefore \(rNO_3^- = -rNO_2^-_{NOB}\) (EQ 1)

\[
\begin{align*}
\text{rNO}_2^- & = \text{rNO}_2^-_{AOB} + \text{rNO}_2^-_{NOB} \quad \text{(EQ 2)}
\end{align*}
\]

By substituting EQ 1 into EQ 2: \(rNO_2^-_{AOB} = rNO_2^- + rNO_3^-\)

Therefore: \(rNO_2^-_{AOB} = rNO_x\)
Denitrification Rate Test Calculations:

The denitrification (NO$_3^-$ to NO$_2^-$) rates and denitrification (NO$_2^-$ to N2 gas) rates are measured simultaneously under anoxic conditions, without substrate limitation. Denitrification rates are measured as the change in NO$_3^-$ over time and denitrification rates are measured as the change in NO$_x$ (NO$_3^-$ + NO$_2^-$) over time.

Measured Rates:

\[
\begin{align*}
    rNO_2^- &= \text{nitrite rate measured in batch test} \\
    rNO_3^- &= \text{nitrate rate measured in batch test} \\
    rNO_x &= rNO_2^- + rNO_3^-
\end{align*}
\]

Unknown Rates:

\[
\begin{align*}
    rNO_2^-_{\text{denNO2-N2}} &= \text{rate of nitrite consumed by denitrification} \\
    rNO_2^-_{\text{denNO3-NO2}} &= \text{rate of nitrite produced by denitrification} \\
    rNO_3^-_{\text{denNO3-NO2}} &= \text{rate of nitrate consumed by denitrification}
\end{align*}
\]

Assume:

- NO$_3^-$ can only be consumed by denitrification, so \( rNO_3^- = rNO_3^-_{\text{denNO3-NO2}} \)
- NO$_2^-$ is produced by denitrification and consumed by denitrification

\[
\begin{align*}
    rNO_3^-_{\text{denNO3-NO2}} &= rNO_2^-_{\text{denNO3-NO2}}
\end{align*}
\]

Calculations:

From equations above:

\[
\begin{align*}
    -rNO_3^- &= rNO_3^-_{\text{denNO3-NO2}} = rNO_2^-_{\text{denNO3-NO2}} \\
    \text{Therefore } -rNO_3^- &= rNO_2^-_{\text{denNO3-NO2}} \quad \text{(EQ 1)}
\end{align*}
\]

\[
\begin{align*}
    rNO_2^- &= rNO_2^-_{\text{denNO3-NO2}} + rNO_2^-_{\text{denNO2-N2}} \quad \text{(EQ 2)}
\end{align*}
\]

By substituting EQ 1 into EQ 2:

\[
\begin{align*}
    rNO_2^-_{\text{denNO2-N2}} &= -rNO_3^- + rNO_2^- \\
    \text{Therefore: } rNO_2^-_{\text{denNO2-N2}} &= -rNO_x
\end{align*}
\]
Figure A1: Examples of theoretical batch tests with varying AOB/NOB and NO₃⁻/NO₂⁻ reduction rates
Figure A2: Particulate COD (pCOD) and soluble COD (sCOD, 1.5μm filtered) vs. total COD (tCOD) for A-stage effluent and primary clarifier effluent.

Figure A3: Concentration of influent and effluent ammonia, effluent nitrite, and effluent nitrate over time
Figure A4: Effluent TIN vs. aerobic fraction for the fully intermittent scenarios (FI_ASE and FI_PCE).

Figure A5: NOB rate and NO$_2^-$ specific denitrification rates from ex-situ maximum activity rate tests in mg/MLSS/hr and nitrite accumulation ratio (NAR).
ABSTRACT
A pilot scale process was operated with A-stage effluent feed, and primary clarifier effluent feed in MLE, all tanks aerated, A/O, and A2O configurations. Continuous DO control at high DO (2 mg/L), low DO (0.2 mg/L), ammonia based aeration control (ABAC), and ammonia vs. NOx (AvN) control (both continuous and intermittent operation) were compared on the basis of total inorganic nitrogen (TIN) removal. The highly loaded A/B process configuration (4 hour HRT) with intermittent aeration was capable of achieving a maximum TIN removal of 80%, while the A2O process with PCE feed, an 11 hour HRT, and 0.2-0.3 mg/L continuous aeration achieved a maximum of 88% TIN removal. ABAC and AvN control did not always result in DO setpoints low enough to achieve SND, and even if setpoints were low enough to achieve SND, that did not always result in increased overall TIN removal over continuous DO control of 2 mg/L. While there are other benefits to transitioning to sensor driven aeration control strategies such as ABAC and AvN, increased TIN removal during continuous aeration is not guaranteed.

INTRODUCTION
There is a growing interest in implementing sensor driven aeration control strategies such as ammonia based aeration control (ABAC) and ammonia vs. NOx (AvN) control. In these control strategies, airflow is controlled to meet either an effluent ammonia setpoint (ABAC) or an ammonia/(nitrate+nitrite) setpoint (AvN). The drivers are to reduce aeration energy and chemical addition (alkalinity and carbon), while preventing peaks in effluent ammonia (Rieger et al., 2014; Vrečko et al., 2006). The advantage of AvN control over ABAC is that it oxidizes only the amount of ammonia that can be denitrified utilizing the influent organic carbon that is made available. This maximizes COD utilization efficiency for denitrification without the addition of supplemental carbon (Regmi et al., 2014; Al-Omari et al., 2015).

Although AvN control was initially developed as an intermittent aeration strategy for a nitrite shunt process (Regmi et al., 2014), it should be noted that both ABAC and AvN can be implemented either with intermittent aeration or continuous aeration. Also, both intermittent aeration ABAC or AvN can facilitate nitrite shunt by leaving an ammonia residual greater than one, providing transient anoxia, and keeping SRT low to facilitate NOB washout (Cao et al., 2017; Regmi et al., 2015). However, if followed by a mainstream anammox process, AvN aeration control is crucial to maintain the balance between NH4 and NOx to meet anammox stoichiometry.

Due to limitations on how aeration blowers can be operated, it is considered easier and more practical to utilize continuous aeration than intermittent aeration. Although they achieve the
same effluent goal, it is important to distinguish between continuous and intermittent aeration strategies for nitrogen removal. Intermittent aeration has the advantage of distinct anoxic periods for denitrification, while continuous aeration relies on simultaneous nitrification/denitrification (SND) to achieve nitrogen removal in the aerated zone. Intermittent aeration is not classified as SND, because nitrification and denitrification are taking place at different times. Also, intermittent aeration allows for the aerobic SRT to be adjusted quickly in response to influent ammonia loading, as opposed to only having control over the total SRT in a continuously aerated process.

While increasing denitrification capacity via limiting oxygen transfer into unaerated zones is undisputed, increased denitrification via SND is not guaranteed by implementing ABAC or AvN control. TIN removal can only occur in aerated zones if the DO setpoint can get low enough for SND (0.3-0.7 mg/L) (Jimenez et al., 2010). In ABAC or AvN control, the DO setpoint or aerobic fraction is no longer a user input, and instead the DO setpoint is determined by the SRT (Batchelor, 1983; Schraa et al., 2019). The SRT has to be sufficiently long so that the DO setpoint required to meet the ammonia oxidation requirement, is low enough for SND. This means that the SRT either needs to be increased, and/or that the nitrifying bacteria population needs to be adapted to achieve higher ammonia oxidation rates at lower DO concentrations (Park et al., 2001; Giraldo et al., 2011; Keene et al., 2017).

In order for SND to occur there needs to be an electron donor available for denitrification in the aerobic zones. This can come from internal storage polymers (Van Van Loosdrecht et al., 1997), or the hydrolysis of slowly biodegradable COD (sCOD) and endogenous decay products to readily biodegradable COD (rCOD) (Mino et al., 1995; Van Loosdrecht and Henze, 1999). Short-chain fatty acids that have been stored as intracellular polymers (such as PHB and glycogen) under anaerobic conditions, can be used for denitrification by polyphosphate accumulating organisms (PAO), glycogen accumulating organisms (GAO), or other heterotrophic organisms (Tsuneda et al., 2006; Rubio-Rincón et al., 2017; Van Loosdrecht et al., 1997). Studies have shown that denitrification using internally stored polymers can take place in an aerated reactor (SND) (Third et al., 2003; Zeng et al., 2003; Bernat and Wojnowska-Baryła, 2007), or in a dedicated post-anoxic zone (also implied would be anoxic times of intermittent aeration) (Winkler et al., 2011; Vocks et al., 2005; Alleman and Irvine, 1980).

Since aerobic heterotrophs have a clear advantage for soluble substrate in a continuously aerated zone, there needs to be a DO gradient providing an anoxic zone, allowing for denitrification to occur. The DO gradient can be within the floc, or within the reactor due to incomplete mixing (Daigger and Littleton, 2014). Pochana and Keller, 1999 showed that larger floc size resulted in more SND, while Zhu et al., 2007 demonstrated that SND could occur even in very small flocs. There are also novel pathways that allow for denitrification to take place aerobically (Littleton et al., 2003). While there are some guidelines on required influent COD/NH3 ratio required (greater than 10-11) for SND (Jimenez et al., 2010; Chiu et al., 2007), these studies were performed with acetate as the carbon source, so the effect of real wastewater composition is not known.
While there are many studies on SND in extended aeration processes and oxidation ditches (Liu et al., 2010; Daigger and Littleton, 2000; Bertanza, 1997), membrane bioreactors (MBRs) (Giraldo et al., 2011; Hocaoglu et al., 2011), biofilms (Matsumoto et al., 2007; Randall and Sen, 1996) and granular sludge (Kreuk et al., 2005, Basin et al., 2012), studies of SND in conventional plug-flow type reactors are lacking. This distinction is important because extended aeration processes and MBRs carry high mixed liquor suspended solids (MLSS) and long solids retention times (SRTs) allowing for an endogenous carbon source and the ability to nitrify at very low DO. Oxidation ditches are not typically plug flow, do not have dedicated aerobic zones, and surface aeration is common allowing for oxygen gradients with the reactor. Biofilm and granular sludge processes can more easily provide a distinct anoxic environment (compared to a floc) by limiting the diffusion of oxygen from the bulk liquid. Despite all of the research on SND, it is still not well understood how much SND will occur in well-mixed plug flow systems with defined aerobic and unaerated zones. It is still unknown how to design for SND because it is difficult for current process models to predict. Many studies on SND have been performed in SBRs with synthetic feed (Münch et al., 1996; Oh and Silverstein 1999; Pochana and Keller, 1999) which are difficult to translate to full-scale continuous flow processes.

One driver for implementing nitrite shunt and/or anammox is intensification, meaning removing more nitrogen, in the same (or less) reactor volume, with less chemicals and energy (Stinson et al., 2013). In order to take advantage of these benefits, carbon needs to be removed ahead of the nitrogen removal step, for example by a high rate activated sludge (HRAS) A-stage process (Böhnke and Diering, 1997; Miller et al., 2017). By the above definition of intensification, it is logical to assume that SND during continuous aeration via sensor driven aeration control could be part of an intensified process. While previous research has shown that intermittent aeration (both ABAC and AvN) can be part of an intensified process (Regmi et al., 2015; Al-Omari et al. 2015), one goal of this paper is to determine under what conditions continuous ABAC and AvN aeration control may be part of an intensified process by encouraging SND and/or nitrite shunt.

Since continuous aeration is typically more practical for full-scale plants to implement than intermittent aeration, it is necessary to understand the amount of nitrogen removal that can be achieved via SND during continuous aeration in various configurations with real wastewater. This is the first paper to report on performance of the continuous aeration AvN strategy compared to other advanced aeration control strategies. This pilot offers the unique opportunity to study these aeration controls and SND in a continuous flow process, with many different reactor configurations.

MATERIALS AND METHODS

Pilot Configuration

The pilot plant consisted of an HRAS A-stage process, or a primary clarifier, followed by the B-stage process (Figure B1). The pilot was fed screened (2.4 mm openings) and degrittered municipal wastewater that was first adjusted to 20° C. The A-stage consisted of three bioreactors
in series ($V_{total} = 511 \text{ L}$) followed by an intermediate clarifier. A single modulating valve controlled airflow to the A-stage. Primary clarification was performed in a cone bottom clarifier with a volume of 1170 L at a surface overflow rate (SOR) of 33.2 m$^3$/m$^2$/day and HRT of 1.3 hours.

B-stage was operated in 4 different configurations: Fully aerobic (all CSTRs aerated), Modified Ludzack Ettinger (MLE), A/O process, and A2O process. The fully aerobic and MLE scenarios utilized 4 equally sized completely mixed reactors (CSTR 1 through CSTR 4 in Figure B1) for a total working volume of 606 liters. AO scenarios were operated first with 4 CSTRs in which CSTR 1 was the anaerobic zone, and then 5 CSTRS in which CSTR 0 was the anaerobic zone (Figure B1). For the AO and A2O scenarios with 5 CSTRs, an additional 53 liter anaerobic selector (CSTR 0) was operated upstream of CSTR 1. The anaerobic selector was covered using ping pong balls to minimize oxygen transfer from the atmosphere. During the MLE and A2O scenarios, CSTR 1 was made anoxic and mixed liquor was recycled from CSTR 4 to CSTR 1. Mixing was achieved using variable speed mixers (Caframo 1850, Ontario, Canada), one in each CSTR. Mixing intensity was high enough that solids would not settle when reactors were unaerated, and mixers were kept on at a constant speed even in continuously aerated reactors.

**Automated Process Control**

Sensors included dissolved oxygen (Insite Model 10, LA, USA), pH (Foxboro/Invensys, UK), ammonium (WTW VARiON, Germany), nitrate and nitrite (s::can Spectro::lyser, Austria). Locations of sensors are shown in Figure B2. pH was measured in the 3rd CSTR using a ISE Foxboro pH probe (Invensys, London, UK). A stock solution of sodium bicarbonate (80 g NaHCO$_3$/L) was fed to the third CSTR in order to maintain effluent pH at 6.8.

Four different aeration control modes were available: continuous aeration DO control, continuous aeration ammonia based aeration control (ABAC), continuous aeration ammonia vs. NOx control (Cont AvN) and intermittent aeration AvN (Int AvN). The sensors were connected to a programmable logic controller (PLC) and then used for proportion-integral-derivative (PID) or PI control. DO control utilized a PI controller in which DO in each reactor controls air valve position via motor operated valves (MOVs). ABAC utilized a cascading PID loop on top of the DO controller, so that DO setpoint was manipulated to meet an ammonia setpoint in the last CSTR. Continuous AvN aeration was similarly controlled except that the DO setpoint was manipulated to meet a NOx/NH4 ratio in the last CSTR instead of ammonia setpoint. Intermittent AvN aeration is similar to continuous AvN control, except that the aerobic fraction (air on time divided by total cycle length) was controlled via PID control as opposed to the DO setpoint. During intermittent AvN control, the DO control PI loop was utilized to meet a constant setpoint of 1.5 mg/L when air was on. A solenoid upstream of the MOVs was opened or closed to meet the aerobic fraction setpoint. For ABAC and AvN control the DO setpoint and/or aerobic fraction was the same in each aerated reactor.
**Phases**

Operation was divided into four phases, the details of which are shown in Table 7.1. The shaded area indicates that scenario was operated, and the naming code for that phase is shown. The influent flow was constant during each phase except during Phase 3 when there was a diurnal flow variation, shown in Table B1. Scenarios with ASE feed were operated at a 4 hour HRT and scenarios with PCE feed were operated at an 11 hour HRT.

Table 7.1: Operating scenarios. All scenarios had a constant influent feed except A2O_ABAC which had a diurnal flow feed pattern.

<table>
<thead>
<tr>
<th>Phase</th>
<th>Configuration</th>
<th>CSTRs</th>
<th>Feed</th>
<th>Int AvN</th>
<th>Cont AvN</th>
<th>ABAC</th>
<th>DO set High (2 mg/L)</th>
<th>ABAC Diurnal</th>
<th>DO set low (0.2 mg/L)</th>
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</thead>
<tbody>
<tr>
<td>1</td>
<td>Fully aerated (FA)</td>
<td>4</td>
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</tr>
<tr>
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<td>MLE</td>
<td>4</td>
<td>ASE</td>
<td>MLE_Int_AvN</td>
<td>MLE_Cont_AvN</td>
<td>MLE_DO_High</td>
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<td></td>
</tr>
<tr>
<td>2</td>
<td>MLE</td>
<td>4</td>
<td>PCE</td>
<td>MLE_Int_AvN</td>
<td>MLE_Cont_AvN</td>
<td>MLE_ABAC</td>
<td></td>
<td>MLE_DO_High</td>
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</tr>
<tr>
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<td>4</td>
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</tr>
<tr>
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<td>5</td>
<td>PCE</td>
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<td></td>
<td>A2O_DO_Low</td>
</tr>
</tbody>
</table>

**Analysis**

Performance was monitored through the collection of 24-hour composite samples using automated samplers. The samplers extracted 250 mL at one-hour intervals allowing average daily influent and effluent characteristics to be measured. Total and volatile suspended solids (TSS and VSS) were analyzed using standard methods 2540D and 2540E respectively (APHA, 2012). Total and soluble COD, OP, total ammonia nitrogen (NH4\(^+\)-N + NH3-N), NO2\(^-\)-N, and NO3\(^-\)-N were measured with HACH TNTplus kits and a HACH DR2800 spectrophotometer (HACH Loveland, CO). Nutrient and soluble COD samples were filtered through 0.45 \(\mu\)m and 1.5 \(\mu\)m filters respectively. Particulate COD (pCOD) was calculated as the difference between total COD and sCOD (1.5 \(\mu\)m filtered). The sCOD measurement includes readily biodegradable and colloidal COD fractions. Daily pH and temperature readings of the reactors were recorded using a handheld pH and temperature meter (Beckman Coulter, Brea, CA). Readings for DO were recorded using a handheld DO sensor (Insite Model 10, LA, USA). Profile grabs from each CSTR were analyzed for NH4-N, NOx-N, and NO2-N in order to quantify SND from the first to last CSTR.
**SND Calculations**

While incomplete mixing in aeration tanks at full-scale facilities may contribute significantly to SND, incomplete mixing was not explored in this study since it is not a reliable way to achieve SND, and cannot be easily replicated at pilot scale. SND was calculated as total inorganic nitrogen (TIN) removed across the aerobic zone. This measurement excludes ammonia generation from ammonification of organic nitrogen (which then gets oxidized to NOx), and includes ammonia that was removed due to assimilation. Influent organic N that gets ammonified would under predict SND, and NH4 removed due to assimilation will over predict SND. Example calculations are located in the Supplementary Information. Anoxic nitrogen removal was calculated as NOx that was denitrified in the anoxic and/or anaerobic zones. A mass balance check was performed by comparing the calculated anoxic nitrogen removal, with the total TIN removal minus the aerobic nitrogen removal. Data points with greater than 20% difference were rejected.

Aerobic TIN removal and anoxic TIN removal were calculated as referenced to the influent flow, for ease of comparison to total TIN removal. Aerobic TIN removal was calculated as TIN in to the aerobic zone (i.e. TIN in the anoxic/anaerobic zone), minus the TIN out (i.e. TIN in the last aerobic reactor). This value was multiplied by the total forward flow (including RAS and IMLR flow), divided by the influent flow. Detailed calculations are shown in the supplementary information.

**Mixed Liquor Composite System**

During Phase 4 of operation, a system was devised to take composite samples from CSTR1 and CSTR4 while excluding the mixed liquor from the sample. Once every 2 hours, one liter of mixed liquor was pumped into a one liter graduated cylinder. The pump was stopped and the solids were settled for 15 minutes. Then another pump decanted 100mL of the supernatant from the surface of the graduated cylinder. Then the remainder of the mixed liquor was pumped back to the process so the graduated cylinder was emptied for the next cycle. The pumps were controlled by an electronic programmable timer (ChronTrol, San Diego, CA).

**DNA Extraction and Amplicon Sequencing**

DNA was extracted using the DNeasy Blood & Tissue Mini Kit and the Qiacube robotic workstation (Qiagen). The Ion Torrent Personal Genome Machine (PGM) platform (Thermo Fisher Scientific) was used for amplicon sequencing of the 16S rRNA gene (fusion method with forward primer 1055f (5’ ATGGCTGTCGTCAGCT 3’) and reverse primer 1392r (5’ ACGGGCCGTTGTGCAGCT 3’) linked to multiplex barcodes). DNA libraries were quantified with the Bioanalyzer® instrument analysis (Agilent). Libraries were combined, enriched with the Ion PGM™ Hi-Q™ OT2 Kit, and sequenced with the Ion PGM™ Hi-Q™ View Sequencing Kit and Ion 318 Chip v2 (Thermo Fisher Scientific). Sequence reads were trimmed, aligned, and classified using the SILVA (Quast et al., 2013) database through analysis tools available in Mothur (Schloss et al., 2009).
**PAO Activity Measurements**

Eight liters of mixed liquor were collected in a batch reactor from the last CSTR. The reactor was covered and anaerobic conditions were established. Sodium acetate stock solution (10,000 mgCOD/L) was added to reach 300 mg COD/L in the reactor. Samples were collected every 15 minutes and measured for OP and sCOD. After the anaerobic release phase, sample was then split into two four liter reactor, one for aerobic OP uptake, and one for anoxic OP uptake. The aerobic reactor was aerated using diffused aeration to maintain a DO concentration between 2 and 4 mg/L. Samples were collected every 15 minutes and analyzed for OP. The anoxic reactor was covered and spiked with potassium nitrate stock solution (10,000 mgN/L) to a concentration of 15 mgN/L in the reactor. Samples were collected every 15 minutes and analyzed for OP, sCOD, NO3, and NO2. All samples were immediately filtered through 0.45μm cellulose membrane filters and analysis was performed with Test in Tubes (TNT) (HACH Company, Loveland, Colorado).

**AOB and NOB Activity Measurements**

To measure maximum AOB and NOB activity, a 4 L sample was collected from the 4th CSTR and aerated for 30 minutes to oxidize excess COD. The sample was then spiked with NH4Cl and NaNO2 so that initial concentrations were 20-30 mg NH4+-N/L and 2-4 mg NO2--N/L respectively. Temperature was controlled at 20°C via submersion in a water bath. The DO concentration was manually maintained between 2.5 and 4 mg/L using diffused compressed air. The pH was manually maintained at approximately 7.5 through the addition of sodium bicarbonate. The activity tests were conducted for 1 hour with sample collection every 15 minutes. Samples were analyzed for NH4+-N, NO2--N, and NO3--N as described above. The AOB rates were calculated as the slope of the NOx-N production and NOB rates were calculated as the slope of the NO3--N production.

**Statistical Analysis**

Statistical analysis was performed using SigmaPlot (Systat Software, San Jose, CA). the t-test (for a normally distributed data set) and Mann–Whitney rank sum test (for a not normally distributed data set).

**RESULTS AND DISCUSSION**

**Phase 1**

In this phase, intermittent AvN and continuous AvN control were compared with A-stage effluent feeding the B-stage nitrogen removal process. The process was operated in both fully aerated (FA) configuration, and with a pre-anoxic zone in MLE configuration (Figure 7.1). TIN removal was highest during MLE_Int_AvN (60.1%±14.1%), followed by FA_Int_AvN (54.4%±13.7%), MLE_Cont_AvN (39.8±11.4), and lastly FA_Cont_AvN (20.5±14.1). TIN removal during MLE DO control was low (25.2%±7.2%) since denitrification can only occur in
the anoxic zone, and the ASE had variable and relatively low sCOD (78.3±9.7 mg COD/L) (Figure 7.2). TIN removal was higher during MLE_Int_AvN (60.1%±14.1%), which could be due in part to higher influent sCOD (103±17 mg COD/L) leading to more denitrification in the anoxic zone, but the majority of the improvement was more likely from sbCOD being used for denitrification during anoxic periods. In all three of the MLE scenarios, the anoxic zone was always carbon limited as indicated by grab samples in the anoxic zone always containing some NOx (average value 4.7±3.2). DO control represented the baseline in terms of TIN removal in the aerated reactors. In other words, TIN removal in the other scenarios should not be less than in the high DO period, because at least some denitrification in the aerobic zone was expected.

Figure 7.1: Phase 1 BNR process configuration. A-stage effluent (ASE) fed the B-stage process. In fully aerated (FA) configuration there was no internal mixed liquor recycle (IMLR). In MLE configuration CSTR 1 was unaerated with IMLR at 300% of influent flow.

Figure 7.2: B-stage influent C/N (total COD/NH₄⁺-N), TIN removal %, and total COD/TIN removal ratio.
Figure 7.3: SRT, Aerobic Fraction Setpoint (air on time divided by total cycle time), and DO setpoint. Aerobic Fraction and DO setpoints are determined by the AvN controller.

Figure 7.4: B-stage influent and effluent nitrogen concentrations
In both fully aerated and MLE scenarios, a gradual loss of TIN removal was observed when switching from intermittent AvN to continuous AVN (Figure 7.2). In the fully aerated scenarios, this can only mean that SND was not taking place to the same extent that denitrification was taking place during the anoxic periods of intermittent aeration. In the MLE scenarios, the decrease in TIN removal can also be due a decrease in influent sCOD, which would decrease the amount of NOx reduction occurring in the anoxic zone. However, the influent COD was fairly constant during the transition, so it can be assumed that the change from intermittent to continuous aeration was mostly responsible for the loss of TIN removal (Figure 7.2).

TIN removal was low (20.5%±14.1%) in the fully aerated continuous AvN operation, which was most likely due to the DO setpoint required to meet the AvN ratio being above 1 mg/L, so little SND could occur (Figures 7.2 and 7.3). With a DO setpoint that high, nitrogen loss was most likely occurring through assimilation of ammonia into biomass, and through denitrification in the clarifier. The SRT was increased to try and bring the DO setpoint down, but the setpoint remained high (Figure 7.3). After the transition from MLE_Int_AvN to MLE_Cont_AvN led to a decrease in TIN removal, the SRT was increased even more than during FA_Cont_AvN (Figure 7.3). This time the DO setpoint trended down (as low as 0.42 mg/L, Figure 7.3), and the TIN removal trended up (as high as 58.2%, Figure 7.2). However, although the TIN removal in MLE_Cont_AvN was approaching the values achieved in MLE_Int_AvN at a similar influent C/N (Figure 7.5), the SRT was close to double (approximately 10 days in MLE_Int_AvN vs 20 days in MLE_Cont_AvN).

During the intermittently aerated scenarios, TIN removal trended with influent C/N (Figure 7.2). This trend was also apparent by the COD/TIN removal ratio which was lower and less variable (13.6±3.0 g/g during FA_Int_AvN and 13.7±4.2 g/g during MLE_Int_AvN) than during continuous aeration (49.6±42.7 g/g during FA_Int_AvN and 19.6±6.5 g/g during MLE_Cont_AvN). COD/TIN removal ratio was calculated as total COD removed divided by TIN removed. In the fully aerated configuration, this was a direct comparison of how efficiently the COD was used for denitrification across the aerobic zone. This was not the case in MLE configuration, because COD was also being utilized for denitrification in the pre-anoxic zone, but the COD/TIN removal ratio can still be used to compare overall efficiency of COD utilization. COD/TIN removal ratios indicated that influent carbon was not used as efficiently in the continuous AvN operation (higher ratio means worse efficiency) because the DO setpoint was frequently too high to achieve the same level of denitrification in the aerated zones as intermittent AvN.

There was a transient spike in nitrite (maximum value of 6.5 mg N/L) during the transition from intermittent aeration to continuous aeration with all tanks aerated that was not able to be sustained (Figure 7.5). Excluding the temporarily high values, the average effluent NO₂⁻ was 1.4±0.5 mg N/L during FA_Cont_AvN operation, which was similar to the value during FA_Int_AvN (1.3±0.4 mg N/L). Effluent NO₂⁻ was 0.9±0.5 mg/L during MLE_Int_AvN, and was lowest during MLE_Cont_AvN (0.3 mg N/L). The fully aerated scenarios had higher
effluent NO₂⁻ than the MLE scenarios, which was observed previously (Kinyua et al., 2018). There was not a clear difference in maximum AOB and NOB activity rates between operation periods (Figure B3). For the entire phase, average AOB rates were 5.5±1.4 mgN/gVSS/hr, and the average NOB rate was 4.8±1.0 mgN/gVSS/hr. The NOB/AOB rates throughout the test did not indicate NOB out-selection, with an average ratio of 0.9±0.2 g/g, which is above the expected value (Dold et al., 2015).

The intermittent aeration scenarios were able to achieve higher levels of TIN removal at a shorter total process SRT. By controlling the A-stage effluent C/N in the range of 8-10 it would be possible for TIN removal in B-stage remain high (70-80% removal), which is consistent with previous studies at this pilot (Miller et al., 2012; Regmi et al., 2014). TIN removal during continuous AvN operation was poor because the loading was high (NH₄ and or HRT), causing the DO setpoint to be too high for SND. Since the goal of the A/B process is intensification, it would not be practical to operate continuous aeration if it required a >20 day SRT to achieve the effluent nitrogen goals. These results clearly demonstrate that although the two control strategies use similar logic to meet the same effluent goal, they are not equal in terms of performance.

**Phase 2**

In Phase 1, the MLE results were influenced by influent C/N variations, and by the DO setpoints being too high to achieve reliable SND. In Phase 2, to make the scenarios more applicable to conventional BNR facilities, primary clarifier effluent was used for B-stage influent feed, and the process was operated in an MLE configuration at an 11 hour HRT and 16 day SRT (Figure 7.5). Profile grab samples were taken to be able to quantify the amount of denitrification taking place in the aerated zones (SND). After a change in aeration control strategy, the system reached steady state in 1-2 HRTs, so each strategy was operated for 3-5 days, with one day transition in between. This allowed for influent characteristics to remain relatively constant in order to better compare scenarios. The hypothesis was that by increasing the HRT and SRT, the DO setpoint required to meet the ammonia setpoint in the effluent will be low enough to achieve more reliable SND, so that continuous ABAC and AvN had the opportunity to remove the same or greater TIN than intermittent AvN.

![Figure 7.5: Phase 2 BNR process configuration. MLE at 300% IMLR with primary clarifier feed.](image-url)
Figure 7.6: TIN removal 5, COD/TIN removal ratio (total COD removed divided by TIN removed) and influent COD/NH4 (C/N).

Average influent characteristics during this phase were total COD of 435±41 mg/L, sCOD of 223±31, and TKN of 40.8±2.9. Average MLSS was 3.3±0.5 g/L and average SRT was 16.6±1.6 days. MLE_Int AvN had the highest TIN removal (89.4±2.1%) followed by MLE_DO_High (82.2±1.0%), MLE_ABAC (80.0±0.7%), and MLE_Cont_AvN (74.4±1.7%) (Figure 7.6). Influent C/N was the highest for MLE_Int AvN (13.6±0.9) which may have contributed in part to higher TIN removal, but this was reflected in the COD/TIN removal ratios. DO control and continuous AvN had similar influent C/N ratios (12.5±1.2 and 12.8±1.1 gCOD/gN respectively) but continuous AvN control had a significantly lower TIN removal ($p = 1.7\times10^{-3}$) than DO control (Figure 7.6). The COD/TIN removal ratio was also the highest for MLE_Cont_AvN, indicating that the COD was used the least efficiently for denitrification. As expected, the DO setpoints in this phase for ABAC and continuous AvN (0.54±0.05 and 0.52±0.07 mg/L respectively) were lower than in Phase 1 for MLE_Cont_AvN (average of 0.69±0.14 and ranged from 0.4 to 0.9 mg/L) due to the longer SRT.

In order to understand why TIN removal was higher during DO control than ABAC and continuous AvN control, TIN removal across the aerobic zone was compared (Figure 7.7). The SND as forward flow was 5.5 mg/L for DO control, 3.8 mg/L for ABAC, 13.0 mg/L for continuous AvN, and 22.8 mg/L for intermittent AvN. This is equivalent to 18%, 14%, 52%, and 76% of total TIN removal respectively. Although TIN loss during intermittent aeration does not
classify as SND by definition, here aerobic TIN loss is being used to compare the efficiency of the different scenarios. The DO setpoints between ABAC and Cont AvN were not significantly different \((p = 0.77)\) and so cannot explain the difference in observed SND. In this case, it was not worth leaving behind a higher ammonia residual in continuous AvN vs ABAC, because the DO setpoint did not decrease enough to create more SND.

Out of the continuous aeration strategies, DO control and ABAC had the highest TIN removals but lowest SND, and continuous AvN had the lowest TIN removal but highest SND. This is because of the presence of a pre-anoxic zone in MLE, that was not limited by influent COD from the PCE feed. AvN controller maximizes TIN removal in the aerated zone by balancing nitrification with denitrification. If denitrification occurs in the anoxic zone, the controller will adjust and oxidize more ammonia, however the controller does not account for the capacity of the anoxic zone. If there is the capacity to reduce more NOx in a pre-anoxic zone, then there is no reason to leave NH4 in the effluent. That NH4 should be oxidized and then denitrified in an upfront anoxic zone through internal recycle. By that logic, it is possible that intermittently aerated ABAC (with an effluent setpoint of 1 mg/L or less), would achieve even higher TIN removal than intermittent AvN in this case.

It was clear that interment AvN performed the best from a TIN removal stand point, by taking the advantage of influent carbon in the most efficient way (i.e. dedicated anoxic zones led to the most denitrification). It may appear obvious that the ammonia residual left behind in continuous AvN control led to less TIN removal overall, but it is not clear why this strategy achieved the most SND out of the continuous aeration strategies.

In summary, if the anoxic zone is being utilized to its full denitrification potential, and there is no denitrification occurring in the aerobic reactors, there will be no difference in TIN removal between aeration control strategies. This should be true for any process with a pre-anoxic zone, and no second anoxic zone. In fact, a constant DO setpoint of 2mg/L should lead to the highest TIN removal under these conditions, assuming the anoxic zone is not carbon limited, since all of the NH4+ is being oxidized. This led to the realization of the importance of quantifying SND through nitrogen profiling. Without the application of a well-calibrated process model, it is difficult to quantify the amount of nitrate and nitrite that is being reduced in the aerated zone because of the multitude of biological processes involving nitrogen in the aerobic zone. Specifically, it is difficult to know if nitrogen loss is due to NOx reduction or ammonia assimilation, combined with ammonia generation due to organic nitrogen ammonification.
Phase 3
The SRT was increased to around 20 days (maintaining an 11 hour HRT), so that the system could fully nitrify at very low DO (around 0.2 mg/L), and SND could theoretically be maximized. A/O in this phase was operated with 4 CSTRs, then an anaerobic selector was added to make 5 CSTRs in series for the A2O scenarios (Figure 7.8). During one of the A2O scenarios, the pilot was operated with diurnal loading and ABAC control. The hypothesis was that in full scale ABAC, SND is occurring during low flow conditions, which leads to temporarily very low DO setpoints (possibly even zero DO), so ABAC should produce more SND than constant DO control at a constant loading. A/O constant DO of 0.2 was operated for 38 days, A2O constant DO of 0.2 was operated for 22 days, A2O diurnal flow with ABAC was operated for 56 days, A2O diurnal flow with DO setpoint of 0.3 was operated for 15 days.
At a longer SRT (20.9±2.9 days for all phases) the process was able to fully nitrify at a lower DO concentration (between 0.2 and 0.3 mg/L). Total B-stage influent (primary clarifier effluent) COD was 468±70, sCOD was 218±41, and ffCOD was 111±24. Influent COD/NH4 ratios were fairly consistent for each phase, and are shown in Figure 7.8. Throughout all phases the effluent ammonia was typically below 1 mg N/L, with an average value of 0.37±0.49 mgN/L (Figure 7.9). The average DO during A2O ABAC was 0.24±0.02 mg/L with values ranging from approximately 0.1 to 0.4 mg/L throughout the day due to the diurnal flow. Examples of controller performance for ABAC with diurnal flow pattern, and constant DO control at a DO of 0.2 mg/L are shown in Figures B4 and B5.

As expected, the overall TIN removal during A/O operation (61.2±3.7%) was much lower than the lowest TIN removal A2O phase (80.5±3.5). During A2O operation with 0.2 DO setpoint, the overall TIN removal was 80.5±3.5 mgN/L, and 86.0±2.9 mgN/L at a 0.3 DO setpoint. TIN removal was 83.8±3.0 mgN/L during A2O ABAC operation, and the average DO setpoint during ABAC was 0.24 mg/L. The fact that TIN removal among A2O scenarios was lowest at the lowest DO (0.2 mg/L) may be explained by lower influent C/N ratio (Figure 7.8). TIN removal was not improved by operating ABAC with a diurnal influent flow compared to continuous aeration with continuous influent flow (Figure 7.9). This indicates that another factor besides DO concentration was the limiting factor for SND.

Figures 7.10 and 7.11 are from A/O and A2O phases at a constant DO of 0.2 mg/L. During transition from A/O to A2O the IMLR was increased from 1Q to 3Q, and to 4Q. There was a negative correlation ($R^2=0.52$, $p<0.001$) between SND and anoxic nitrogen removal for the A2O scenarios (Figure 7.10). The hypothesis was that there was a fixed amount of denitrification that
can occur across the process, which is determined by the amount of influent COD. Perhaps if there is more COD utilized in the anoxic zone for denitrification, then that COD is not available for denitrification in the aerobic zone. Figure 7.11 shows that there is not a relationship between the amount of SND and TIN removal. This suggests that there is a total amount of TIN removal that can be achieved (most likely to carbon availability), and if denitrification increases in one zone, it will decrease in the other (Figure 7.10).

**Biological Phosphorus Removal and Nitrification Rates**

There was not an indication of NOB out-selection during this phase. The maximum effluent nitrite value for all phases was 0.36 mgN/L, and the average was 0.07±0.07 mgN/L. AOB/NOB activity rate tests were performed on days 47, 54, 67, and 76. The average AOB rate was 3.50±0.25 mgN/gMLVSS/hr and the average NOB rate was 2.85±0.31 mgN/gMLVSS/hr. The average NOB/AOB rate ratio was 0.81±0.05 g/g. This is close to the theoretical value (0.78 g/g) that would be expected if AOB and NOB populations were equal in a fully nitrifying process (Dold et al., 2015).

PAO and dPAO maximum activity rate tests were performed on day 60. The average anaerobic OP release rate for the two tests was 24.8±2.9 mgP/gMLVSS/hr and the average OP release to acetate uptake rate was 0.64±0.06 gP/gCOD. The aerobic OP uptake rate was 15.8 mgP/gMLVSS/hr. The anoxic OP and NO3 uptake rates were 3.0 mgP/gMLVSS/hr and 1.1 mgN/gMLVSS/hr. Therefore the maximum anoxic OP uptake rate was approximately 19% of the aerobic maximum OP uptake rate which is consistent with other studies (Kuba et al., 1997). It was difficult to quantify dPAO activity in the pilot process, as release and uptake could be occurring simultaneously in the anoxic zone. It is even more difficult to differentiate between aerobic OP uptake and anoxic OP uptake in aerated zones since both could be happening simultaneously at low DO. As such, it is also difficult to differentiate between denitrification in the aerobic zone by OHO or dPAO.

*Dechloromonas* spp. and *Candidatus Accumulibacter* spp. were the main PAO detected via genus-level analysis of 16S rRNA gene amplicon sequencing (Figure 7.12), with some *Candidatus Competibacter* spp. present. Only a small percentage of *Nitrosomonas* were detected, and *Nitrospira* was by far the dominant nitrifier. Although no analysis was performed to detect Comammox, these results could suggest that some of the ammonia oxidation was being performed by *Nitrospira* (van Kessel et al., 2015). Recent research demonstrated Comammox ability to *Nitrospira* selection in a low DO reactor (Roots et al., 2019).
Figure 7.9: TIN removal, influent COD/NH3, and total COD/TIN removed ratio during each scenario. Average DO during A2O ABAC was 0.24 mg/L.

Figure 7.10: Aerobic TIN removal (SND) vs. Anoxic TIN removal
Figure 7.11: Aerobic TIN removal (SND) vs. TIN removal %

Figure 7.12: 16S rRNA gene amplicon sequencing results for putative AOB, NOB, PAO and GAO genera.
**Phase 4**

Immediately following Phase 3, the DO concentration was decreased to 0.1 mg/L, and the effluent ammonia was allowed to vary. The SRT and HRT were the same in Phase 4 as in Phase 3 (approximately 20 days and 11 hours respectively). A/O configuration in Phase 4 was different from Phase 3 in that the anaerobic selector had been installed, so there were four aerobic reactors instead of three (Figure 7.13). In order to confirm the relationship between SND and the anoxic nitrogen removal, mixed liquor composite samples were taken from influent and effluent of the aerobic zone as described in the methods.

A similar relationship was observed from the mixed liquor composites between SND and anoxic nitrogen removal (Figure 7.14) as in Phase 3 (Figure 7.10). The initial assumption for Phases 3 and 4 was that with lower DO, SND would increase, which would increase TIN removal. The surprising result was that the amount of SND was related to the ammonia residual (Figure 7.15), presumably because of the relationship between anoxic nitrogen removal and SND (Figure 7.14). Although the higher ammonia residual led to more SND, it did not lead to more total TIN removal. This leads to the conclusion that lower DO is necessary to achieve SND, but then there appears to be a limitation of carbon availability for denitrification once the DO is low enough. If the capacity is available in an upfront anoxic zone, the carbon will be used for denitrification there, if there is less denitrification up front, more TIN removal will occur in the aerobic zone.

![Figure 7.13: Phase 4 process configuration. In A2O configuration there was an anoxic zone with an IMLR of 400% influent flow. In A/O configuration there were four aerated CSTRs and no IMLR.](image-url)
Figure 7.14: SND versus anoxic nitrogen removal

Figure 7.15: SND versus ammonia residual in the effluent
SUMMARY AND CONCLUSIONS
Table 7.2: Summary table for Phases 1 through 3. Phase 4 was not included because the goal was not to maximize TIN removal in that phase.

<table>
<thead>
<tr>
<th>Phase</th>
<th>ASE 4 hr HRT</th>
<th>MLE 11 hr HRT 16 day SRT</th>
<th>Phase 3 AO/A2O 11 hr HRT 21 day SRT</th>
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<tr>
<td></td>
<td>Phase 1</td>
<td>Phase 2 MLE</td>
<td>Phase 3</td>
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<td></td>
<td>FA_Int_AvN</td>
<td>Int AVN</td>
<td>A/O</td>
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<td>FA_Cont_AvN</td>
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<td>A2O DO 0.2</td>
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<td>A2O ABAC</td>
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<thead>
<tr>
<th>Phase 1</th>
<th>Phase 2 MLE</th>
<th>Phase 3</th>
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<tr>
<td>ASE</td>
<td>MLE</td>
<td>AO/A2O</td>
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<td>16 day SRT</td>
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Intermittent aeration lends itself to intensification because high TIN removal can be achieved at a shorter SRT (Table 7.2). In order for continuous aeration to achieve the same level of TIN removal as intermittent aeration, the SRT needed to be much longer. This demonstrated that in these configurations, attempting to achieve SND via low DO is not part of a strategy for intensification. The highly loaded A/B process configuration (4 hour HRT) with intermittent aeration was capable of achieving a maximum TIN removal of 80%, while the A2O process with PCE feed, an 11 hour HRT, and 0.2-0.3 mg/L continuous aeration achieved a maximum of 88% TIN removal. The highest TIN removal was achieved in phase 2 during intermittent aeration (91.9%). These results suggest that intermittent aeration would result in greater TIN removal in a smaller footprint than continuous aeration.

By optimizing the nitrification process thereby reducing the bulk DO concentration, denitrification capacity can be increased by limiting the transfer of oxygen into anoxic zones, and by recovering excess capacity for anoxic volume (Amand et al., 2013; Rieger et al., 2012a). An increase in TIN removal ranging from 30-50% was reported for five different treatment facilities by optimizing the nitrification and denitrification capacity (Rieger et al., 2012b). Also, decreasing the bulk DO concentration and oxidizing less ammonia, results in aeration and chemical savings. In addition, it is often assumed that implementing ABAC or AvN control will result in increased TIN removed through simultaneous nitrification/denitrification (SND) in the aerobic zone (Jimenez et al., 2013; Wankmuller et al., 2017). However, this study showed that lower DO did not always improve TIN removal and most importantly that aeration control alone cannot guarantee SND. No conclusions can be made about the carbon source for SND, if it was
internally stored or sbCOD, or if it was associated with dPAO. In Phase 1 rbCOD was low coming from A-stage, so sbCOD during intermittent aeration was probably responsible for most TIN removal. In all other phases rbCOD could have been stored, or sbCOD could be hydrolyzed to provide carbon for denitrification in the aerobic zone.

In A2O configuration the TIN removal efficiency was the same, regardless of the amount of SND that occurred. This suggests that process configurations with a pre-anoxic zone (e.g. MLE or A2O) are less likely to benefit from increased TIN removal by implementing low DO aeration control strategies than configurations without (A/O). Keeping in mind that TIN removal was higher for A2O configuration than A/O configuration (Table 7.2). Also, in a process with a pre-anoxic zone, it does not make sense to leave behind an ammonia residual that could be oxidized and then denitrified in a pre-anoxic zone.

If the goal of implementing sensor driven aeration control is increased TIN removal, intermittent aeration is the best option because allows for the most denitrification in the aerobic zone because of the defined anoxic periods. Intermittent aeration (either ABAC or AvN) will adjust the aerobic volume to meet the treatment goals, and in addition, intermittent AvN will maximize TIN removal. Continuous AvN or ABAC is not a substitute for intermittent AvN or ABAC because the TIN removal is dependent on SND, which will require a longer SRT to achieve the same amount of TIN removal. Al-Omari et al., 2015 modeled intermittent ABAC and AvN, and showed than AvN had an increased TIN removal efficiency of 7.3% over ABAC. Intermittent ABAC was not operated in this study, but it could be that intermittent AvN has an increased TIN removal compared to ABAC because the increase in dedicated anoxic time is able to increase denitrification, while in continuous AvN, the decrease in DO setpoint is not enough to increase SND. Even if there would be a slight increase in SND, it would have to be enough to make up for the ammonia residual in the effluent, which was not the case in Phase 2 of this study.

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APPENDIX B: SUPPORTING INFORMATION FOR CHAPTER 7

Example SND Calculation:

Assume:
The anoxic TIN reduction includes what is denitrified in the clarifier, the anaerobic zone (if present), and the anoxic zone. It is assumed that $CSTR4^{NOx}_{out}$ equals the NOx concentration in the RAS line, which means that any denitrification taking place in the clarifier gets included in the anoxic TIN calculation.

$Q_{total} = \text{Total forward flow} = \text{influent} + \text{RAS} + \text{IMLR}$

$Q_{inf} = \text{Influent flow}$

$Q_{RAS} = \text{RAS flow}$

$Q_{IMLR} = \text{IMLR flow}$

MLE, A2O, and A/O with 4 CSTR:

Aerobic TIN removal (SND) as mg/L in the influent flow:

$$\left( CSTR1^{TIN}_{out} - CSTR4^{TIN}_{out} \right) \frac{Q_{total}}{Q_{inf}}$$

Anoxic TIN removal as mg/L in the influent flow:

$$\left( CSTR1^{NOx}_{out} * Q_{total} - \left( CSTR4^{NOx}_{out} * Q_{IMLR} + CSTR4^{NOx}_{out} * Q_{RAS} \right) \right) * \frac{1}{Q_{inf}}$$

A/O with 5 CSTR:

Aerobic TIN removal (SND) as mg/L in the influent flow:

$$\left( CSTR0^{TIN}_{out} - CSTR4^{TIN}_{out} \right) \frac{Q_{total}}{Q_{inf}}$$

Anoxic TIN removal as mg/L in the influent flow:

$$\left( CSTR0^{NOx}_{out} * Q_{total} - CSTR4^{NOx}_{out} * Q_{RAS} \right) * \frac{1}{Q_{inf}}$$
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<thead>
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<th>Flow Rate (gpm) = Multiplier*base flow rate</th>
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<td>0.255</td>
</tr>
<tr>
<td>2</td>
<td>0.72</td>
<td>0.216</td>
</tr>
<tr>
<td>3</td>
<td>0.64</td>
<td>0.192</td>
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<tr>
<td>4</td>
<td>0.58</td>
<td>0.174</td>
</tr>
<tr>
<td>5</td>
<td>0.55</td>
<td>0.165</td>
</tr>
<tr>
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<td>0.59</td>
<td>0.177</td>
</tr>
<tr>
<td>7</td>
<td>0.77</td>
<td>0.231</td>
</tr>
<tr>
<td>8</td>
<td>1.02</td>
<td>0.306</td>
</tr>
<tr>
<td>9</td>
<td>1.07</td>
<td>0.321</td>
</tr>
<tr>
<td>10</td>
<td>1.15</td>
<td>0.345</td>
</tr>
<tr>
<td>11</td>
<td>1.24</td>
<td>0.372</td>
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<tr>
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</tr>
<tr>
<td>13</td>
<td>1.23</td>
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</tr>
<tr>
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<tr>
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</tr>
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<tr>
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<td>0.36</td>
</tr>
<tr>
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<td>22</td>
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<td>0.339</td>
</tr>
<tr>
<td>23</td>
<td>1.09</td>
<td>0.327</td>
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</tbody>
</table>
Figure B1: Overall pilot configuration. CSTR 0 was an optional anaerobic zone and could be bypassed. CSTR 1 was either aerobic or anoxic, with or without internal mixed liquor recycle (IMLR). CSTRs 2-4 were aerobic.

Figure B2: Sensors include dissolved oxygen (Insite Model 10, LA, USA), pH (Foxboro/Invensys, UK) ammonium (WTW VARIOn, Germany), nitrate and nitrite (s::can Spectro::lyser, Austria). The anaerobic selector (CSTR 0) had no automated control and is not shown.
Figure B3: Phase 1 AOB and NOB rates

Figure B4: example of ABAC diurnal PID control.
Figure B5: Constant DO setpoint
CHAPTER 8: INTEGRATION OF SIDESTREAM BIOLOGICAL PHOSPHORUS REMOVAL AND PARTIAL DENITRIFICATION/ANAMMOX

Stephanie Klaus, Varun Srinivasan, Dongqi Wang, Chenghua Long, Haydee DeClippeleir, Kartik Chandran, April Gu, Charles B. Bott

ABSTRACT
The integration of biological phosphorus removal (bio-P) and shortcut nitrogen removal processes is challenging because of the conflicting use of influent carbon. The objective of this study was to achieve shortcut nitrogen removal, either via partial nitritation/anammox (PNA) or partial denitrification/anammox (PDNA), simultaneously with biological phosphorus removal. This study took place in a pilot scale A/B process, with a sidestream bio-P reactor, and tertiary anammox polishing. Bio-P occurred in B-stage, with the addition of A-stage WAS fermentate, despite low influent rbCOD concentrations from the A-stage effluent. Nitrite accumulation (maximum of 5.9 mg/L) occurred in B-stage via partial denitrification, and was removed along with ammonia by the tertiary anammox MBBR, with the ability to achieve effluent TIN less than 2 mg/L. Nitrite accumulation and bio-P occurred simultaneously, but this was not sustained and bio-P performance suffered, potentially due to competition for the influent VFA between PAO and other carbon storing organisms.

INTRODUCTION
Partial nitritation/anammox (PNA) in sidestream processes, treating dewatered anaerobically digested sludge liquor, is well established with over 100 full-scale installations (Lackner et al., 2014). The main challenges of achieving mainstream deammonification are NOB out-selection and anammox retention (Cao et al., 2017). NOB repression is easier in sidestream processes due to high free ammonia (FA) concentrations (Anthonisen et al., 1976) and high temperature (Hellinga et al., 1998). The challenge of anammox retention can be overcome by a two-phase, separate SRT, system in which nitrifiers and ordinary heterotrophs are in a suspended growth reactor, followed by a completely anoxic anammox moving bed biofilm reactor (MBBR) (Regmi et al, 2015; Ma et al., 2011). However, sustaining NOB out-selection has proven very difficult, and may be an insurmountable obstacle to full-scale adoption of PNA (Wells et al., 2017; Ma et al., 2016; Cao et al., 2017; Lotti et al., 2015)

Due to the difficulty of generating nitrite from partial nitrification, recent research efforts have explored the generation of nitrite from partial denitrification (Du et al., 2017; Le et al., 2019; Wang et al., 2019). While PDNA offers less aeration and external carbon savings than PNA, it still provides a significant savings over full nitrification/denitrification. PNA theoretically provides 60% aeration savings and 100% external carbon saving, while PDNA provides 50% aeration savings and 80% external carbon savings (Ma et al., 2016). The aeration savings is dependent on diverting carbon away from the BNR process (Daigger, 2014), for example by using a high rate activated sludge (HRAS) A-stage process (Böhnke and Diering, 1997; Miller et al., 2017).
While the PDNA concept was originally conceived for sidestream treatment (Kalyuzhnyi et al., 2008; Sharp et al., 2017), it has greater potential for mainstream treatment because of the possibility to take advantage of influent carbon for partial denitrification, and PDNA obviates the need for NOB out-selection in mainstream. In sidestream treatment, external carbon must be utilized for partial denitrification, and NOB out-selection is not a challenge so PNA is the more obvious choice.

Partial denitrification can also be achieved using internally stored carbon, such as polyhydroxyalkanoates (PHAs) and glycogen, in which case no external carbon would be required (if influent VFA is the source of the stored compounds). There are many examples of volatile fatty acids in the influent being stored in an anaerobic zone, and then used for denitrification in a post-aeration anoxic zone (Winkler et al., 2011; Vocks et al., 2005; Alleman and Irvine, 1980), or during intermittent aeration (Zhao et al., 1999). This can be carried out by denitrifying PAO, denitrifying GAO, or other heterotrophs (Tsuneda et al., 2006; Rubio-Rincón et al., 2017; Van Loosdrecht et al., 1997). It is not clear from the literature what factors would cause partial denitrification to nitrite over full denitrification. Recent studies have shown that dGAO may preferentially reduce nitrate to nitrite, and then dPAO reduce nitrite to dinitrogen gas (Wang et al., 2019; Rubio-Rincón et al., 2017). While accumulation of nitrite via partial denitrification by dGAO is desired for treatment by anammox bacteria, GAO compete with PAO for VFA, and can lead to deterioration of bioP performance (Erdal et al., 2003; Lopez-Vazquez et al., 2009).

Sidestream bioP processes are configurations in which the influent is bypassed around the anaerobic zone (Barnard et al., 2017). Sidestream bioP configurations can include RAS fermentation, in which a portion of the RAS (5-10%) is held in an anaerobic reactor with a retention time of one to two days (Vollertsen et al., 2006; Tooker et al., 2016). Alternatively, the retention time of the sidestream reactor can be considerably shorter (1-4 hours) with the addition of supplemental carbon (since fermentation is not occurring in the reactor), such as primary sludge fermentate (Cavanaugh et al., 2012; Tooker et al., 2016).

RAS fermentation was initially investigated as a means to decrease sludge production and nutrient loading to the nutrient removal step, compared to primary sludge fermentation (Andreasen et al., 1997; Novak et al., 2007). It has also been shown that sidestream processes result in more stable bioP performance (Lanham et al., 2013; Onnis-Hayden et al., 2019). Sidestream processes provide protection from wet weather flows, provides VFA from hydrolysis and fermentation, and possible suppression of GAO (Vollertsen et al., 2006; Barnard et al., 2017). The enrichment of PAO over GAO may occur because PAO decay more slowly than GAO under long-term anaerobic conditions (Varga et al., 2018). It has also been suggested that a sidestream reactor may lead to the enrichment of Tetrasphaera over Accumulibacter (Nguyen et al., 2011) which may lead to greater bioP stability by providing increased microbial diversity (Barnard et al., 2017).
The goal of this study is to integrate PDNA and sidestream bioP, utilizing A-stage WAS fermentation as a supplemental carbon source. The challenge behind integrating biological phosphorus removal and shortcut nitrogen removal in general, is the conflicting use of influent carbon. The goal of shortcut nitrogen removal is to reduce carbon demand for denitrification so that carbon can be diverted. However, if a HRAS A-stage process is utilized for carbon diversion, then there is little to no rbCOD available in the B-stage process for biological phosphorus removal to occur. BioP cannot occur in A-stage because the SRT is too short (less than 1 day) for PAOs to grow. While there has been a study on mesophilic fermentation (35°C) of HRAS A-stage sludge in bench scale batch tests (Cagnetta et al., 2016), this is the first study to examine the fermentation of A-stage sludge as a supplemental carbon source in a continuous flow process.

METHODS

Figure 8.1: Pilot Configuration

Pilot Configuration

This study took place at the A/B pilot located at the Hampton Roads Sanitation District (HRSD) Chesapeake- Elizabeth Plant located in Virginia Beach, VA (Figure 8.1). The influent was temperature controlled to 20°C and fed to the A-stage high rate activated sludge (HRAS) process (45 minute HRT). The A-stage process configuration and process control is detailed in Kinyua et al., 2017. The effluent from the A-stage clarifier fed the B-stage nitrogen removal step (5 hour
HRT). B-stage consisted of 5 reactors in series. The anaerobic selector was 50 liters, was continuously mixed, and covered with ping pong balls to minimize oxygen transfer. The other 4 intermittently aerated CSTRs were 150 liters each for a total volume of 650 liters. Following the secondary clarifier, the B-stage effluent fed an anoxic anammox MBBR with an HRT of 2 hours, total volume of 340 liters, and a 60% fill of K3 media (Anoxkaldnes, Lund, Sweden). The MBBR at times was fed glycerol to induce partial denitrification combined with anammox.

The sidestream bio-P reactor (SBPR) HRT varied depending on the percentage of RAS sent to the sidestream. A portion of the A-stage WAS was fed to a combined fermenter and thickener, and the fermentate was added to the SBPR. The volume of the fermenter was 190 Liters and the thickener was 340 Liters. The A-stage WAS was fed into the thickener along with the fermenter effluent, and then the underflow of the thickener was fed to the fermenter. In this way, the influent provided elutriation of the fermenter effluent. The effluent from the thickener (fermentate) flowed into a 15 liter bucket, where it was pumped into the bottom of the SBPR reactor (Vtotal = 150 Liters). The influent to the SBPR consisted of a one-foot piece of PVC pipe, where the RAS and the fermentate were mixed prior to entering the reactor. The influent to the anaerobic selector also consisted of a one-foot section of PVC pipe in which the influent, the RAS, and the SBPR effluent could combine, before entering the reactor. The SBPR was programmed to be mixed for 10 minutes every 3 hours. The anaerobic selector was continuously mixed and covered with ping pong balls to minimize oxygen transfer. CSTR 1 to 4 were each 150 Liters, and the anaerobic selector was 53 Liters. The HRT of the anaerobic selector was 20 minutes.

B-stage sensors included a dissolved oxygen sensor in CSTR 1, CSTR2, CSTR 3, and CSTR 4 (Insite Model 10, LA, USA), ammonium in CSTR 4 (WTW VARiON, Germany), nitrate and nitrite in CSTR 4 (s::can Spectro::lyser, Austria), and nitrous oxide (Unisense, Denmark). There was also a NOx sensor in the MBBR (Hach Nitratax plus sc, Loveland, CO). The sensors were connected to a programmable logic controller (PLC) and then used for proportion-integral-derivative (PID) or PI control. The B-stage was intermittently aerated using ammonia versus NOx (AvN) control via feedback from the ammonia, nitrate, and nitrite sensors in CSTR 4. AvN intermittent control utilized two independent control loops. The aerobic fraction (air on time divided by total cycle time) was controlled via PID control to meet a NOx/NH3 setpoint in CSTR 4. When the air was on, a PI controller manipulated the air valve position via a motor operated valve (MOV) to meet a DO setpoint of 2 mg/L for each reactor. The total cycle time for the duration of the study varied from 16 to 20 minutes. The NOx sensor in the MBBR fed into a PI feedback controller which controlled the glycerol dosing pump feed rate to meet a NOx setpoint in the reactor.

Analysis

Performance was monitored through the collection of 24-hour composite samples using automated samplers. The samplers extracted 250 mL at one-hour intervals allowing average
daily influent and effluent characteristics to be measured. Total and volatile suspended solids (TSS and VSS) were analyzed using standard methods 2540D and 2540E respectively (APHA, 2012). Total and soluble COD, OP, total ammonia nitrogen (\(\text{NH}_4^+\-\text{N} + \text{NH}_3\-\text{N}\)) \(\text{NO}_2\-\text{N}\), and \(\text{NO}_3\-\text{N}\) were measured with HACH TNTplus kits (Loveland, Colorado) and a HACH DR2800 spectrophotometer (Loveland, CO). Nutrient and soluble COD samples were filtered through 0.45 \(\mu\)m and 1.5 \(\mu\)m filters respectively. Particulate COD (pCOD) was calculated as the difference between total COD and sCOD (1.5 \(\mu\)m filtered). The sCOD measurement includes readily biodegradable and colloidal COD fractions. Daily pH and temperature readings of the reactors were recorded using a handheld pH and temperature meter (Beckman Coulter, Brea, CA). Readings for DO were recorded using a handheld DO sensor (Insite Model 10, LA, USA).

To preserve the mixed liquor samples for PHA analysis, 14 mL of sample was collected in a 15mL centrifuge tube with 0.2 mL of 37% formaldehyde and refrigerated overnight. Then the samples were centrifuged for 3 minutes at 10,000 rpm, decanted, and 10mL of phosphate buffered saline (PBS) was added. The sample was then re-suspended using a vortex mixer, centrifuged for 3 minutes at 10,000 rpm, decanted, and stored at -80°C. The sample was then freeze-dried and PHA was extracted utilizing 3% sulfuric acid, followed by a 3 hours of incubation, and then analyzed via GC-MS as previously described in Lanham et al., 2013. Concentrations of acetic, propionic, butyric, isobutyric, valeric, isovaleric, and caproic acids were determined by GC-FID as previously described in Kinyua et al., 2017. In short, the A-stage WAS and fermentate samples were filtered through a 0.22 \(\mu\)m filter prior to injection. VFA concentrations were determined from a 0.5-100 mg/L calibration curve prepared from commercial standards (Absolute Standards).

**PAO Uptake and Release Tests**

Eight liters of mixed liquor were collected in a batch reactor from the last CSTR. The reactor was covered and anaerobic conditions were established. Sodium acetate stock solution (10,000 mgCOD/L) was added to reach 300 mg COD/L in the reactor. Samples were collected every 15 minutes and measured for \(\text{NO}_3^-\), \(\text{NO}_2^-\), OP and sCOD. In some trials, the ammonia was completely oxidized, and then the NOx was completely denitrified, and then reaerated to take up the OP prior to the beginning of the anaerobic release period. In other trials the NOx was not denitrified prior to the beginning of the anaerobic release period. It was determined that as long as there was excess acetate present, the OP release rate was not affected by the presence of NOx, so after Day 180, the sample was no longer denitrified prior to the anaerobic OP release period. After the anaerobic release phase, the sample was then split into two four liter reactors, one for aerobic OP uptake, and one for anoxic OP uptake. The aerobic reactor was aerated to maintain a DO concentration between 3 and 4 mg/L. Samples were collected every 15 minutes and analyzed for OP. The anoxic reactor was covered and spiked with potassium nitrate stock solution (10,000 mgN/L) to a concentration of 20 mgN/L in the reactor. Samples were collected every 15 minutes,
immediately filtered through 0.45μm cellulose acetate filters, and analyzed for OP, sCOD, NO₃⁻, and NO₂⁻.

**AOB and NOB Rate Tests**

To measure maximum AOB and NOB activity, a 4 L sample was collected from the 4th CSTR and aerated for 30 minutes to oxidize excess COD. The sample was then spiked with NH₄Cl and NaNO₂ so that initial concentrations were 20-30 mg NH₄⁺-N/L and 2-4 mg NO₂⁻-N/L respectively. Temperature was controlled at 20°C via submersion in a water bath. The DO concentration was manually maintained between 2.5 and 4 mg/L using diffused compressed air. The pH was manually maintained at approximately 7.5 through the addition of sodium bicarbonate. The activity tests were conducted for 1 hour with sample collection every 15 minutes. Samples were analyzed for NH₄⁺-N, NO₂⁻-N, and NO₃⁻-N as described above. The AOB rates were calculated as the slope of the NOx-N production and NOB rates were calculated as the slope of the NO₃⁻-N production. Rates tests were performed every 1-2 weeks.

**Long Term Denitrification Test**

An 8 Liter sample was collected from the last CSTR and aerated for 48 hours (DO maintained above 3 mg/L) to deplete all external and internally stored carbon. Then the aeration ceased and the sample was split into two 4 Liter reactors, each covered with a Styrofoam lid. One reactor was to measure the endogenous denitrification rate, and the other to measure the denitrification rate from internally stored carbon, after a period of aeration. For the endogenous rate measurement: once the sample went anoxic, samples were taken every 15 minutes for 3 hours. The rate of NOx reduction was considered the endogenous denitrification rate. For the internally stored carbon test: sodium acetate stock solution was spike to an initial concentration of 250 mgCOD/L for an anaerobic carbon storage phase. Then the reactor was aerated for one hour. Then the reactor was anoxic again, and samples were collected every 15 minutes for 1.5 hours. The NOx rate was considered the denitrification rate from internally stored carbon, or the post anoxic denitrification rate, since external rbCOD was depleted in the aerated phase. Samples were filtered through 0.45 cellulose acetate filters and analyzed for NO₃⁻-N, NO₂⁻-N, NH₃-N, COD, and OP.

**External Carbon Independent Denitrification Rate Tests**

A 4 L sample was collected from the last CSTR and covered with a Styrofoam lid. Potassium nitrate stock solution was added so that the initial NO₃-N concentration was 10-20 mg/L, and sodium nitrite stock solution was added so that the initial NO₂-N concentration was 2-6 mg/L. Samples were taken every 15 minutes for 1 hour, filtered through 0.45 um cellulose filters, and analyzed for NO₃⁻-N, NO₂⁻-N, NH₃-N, COD, and OP.
**Amplicon Sequencing and Analysis**

MLSS samples were collected weekly, centrifuged for 3 minutes at 10,000 rpm, supernatant decanted, and stored at -80°C. Samples were then shipped in a single batch to Northeastern University, Boston MA overnight on dry ice. The DNA was extracted and then sent to University of Connecticut-MARS facility for PCR amplification and sequencing. DNA extraction, amplification, and sequencing were performed as detailed in Srinivasan et al., 2019. Amplicon data was rarefied to the minimum total sequence count (22342 sequences) across all samples.

**qPCR**

The abundance of AOB and NOB was quantified using quantitative polymerase chain reaction (qPCR). Canonical AOB were targeted using the Canonocal AOB ammonia mono-oxygenase subunit A (amoA) gene (Rotthauwe et al., 1997), while Comammox bacteria were targeted using the Comammox bacteria amoA gene (Wang et al, 2018). NOB were targeted using the Nitrobacter 16S rRNA gene (Graham et al., 2007) and Nitrospira 16S rRNA gene (Kindaichi et al., 2006). Total bacterial abundance was quantified using eubacterial 16S rRNA gene targeted primers (Ferris et al., 1996). Primer sequences are listed in Table C1. qPCR assays were conducted on a CFX384 Touch Real-Time PCR Detection System (BioRad Laboratories, Hercules, CA). Standard curves for qPCR were generated via serial decimal dilutions of plasmid DNA containing specific target gene inserts. qPCR for standard plasmid DNA and sample DNA were conducted in triplicate. Primer specificity and the absence of primer-dimers were confirmed via melt curve analysis of each qPCR profile (Ma et al, 2015; Park et al., 2015).

**RESULTS AND DISCUSSION**

**Overall Operation**

The B-stage temperature was 20.8°C ± 0.9°C, HRT was 4.9 ± 0.2 hours, mainstream SRT (excluding SBPR) was 9.6±3.2 days and aerobic SRT (a function of the AvN controller) was 5.7±2.4 days over the entire operating period. B-stage operation was divided into four operational phases based on SBPR operation and fermentate addition (Table 8.1). Phases 1 and 4 were at a shorter sidestream HRT with higher fermentate addition, and Phases 2 and 3 were at a longer HRT with low fermentate addition (Phase 2) and no fermentate addition (Phase 3). The idea being that at the longer HRT and SRT, fermentation of the RAS in the SBPR would decrease the need for external carbon. The goal of Phase 4 was to return to the operating conditions in Phase 1, but with tighter control of the fermentate dosage, using the sCOD/OP ratio as a guideline. The sCOD/OP and VFA/OP ratios were calculated as the sCOD or VFA load from the fermentate, divided by the sum of the OP load from the influent (A-stage effluent) and OP load from the fermentate.
Table 8.1: Sidestream BioP Reactor (SBPR) operation for each phase. sCOD/OP was calculated as sCOD added from the fermentate, divided by the sum of the OP in the influent and OP in the fermentate

<table>
<thead>
<tr>
<th>Time Period (day)</th>
<th>RAS Split %</th>
<th>SBPR HRT (hour)</th>
<th>SBPR SRT (day)</th>
<th>Fermentate sCOD Addition (mg/L)</th>
<th>sCOD/OP Ratio (gCOD/gP)</th>
<th>VFA/OP Ratio (gHAc/gP)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Phase 1 0 - 188</td>
<td>25%</td>
<td>3.7</td>
<td>9.2±5.5</td>
<td>61.1±19.8</td>
<td>18.2±6.8</td>
<td>7.2±3.2</td>
</tr>
<tr>
<td>Phase 2 189-220</td>
<td>5%</td>
<td>20.7</td>
<td>16.0±9.0</td>
<td>8.0±2.2</td>
<td>3.0±1.1</td>
<td>1.2±0.3</td>
</tr>
<tr>
<td>Phase 3 221-269</td>
<td>8%</td>
<td>14.2</td>
<td>9.5±3.1</td>
<td>n/a</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>Phase 4 270 - 412</td>
<td>27%</td>
<td>4.0</td>
<td>6.1±1.4</td>
<td>54.9±15.3</td>
<td>16.1±3.4</td>
<td>5.6±1.4</td>
</tr>
</tbody>
</table>

**Fermenter Performance**

The HRT of the fermenter was 24 hours and SRT was 4.1±0.8 days (excluding the thickener) over the entire study. Average temperature was 20.6°C ± 1.8°C and average pH was 5.5±0.3. The average VFA yield was 0.099±0.040 gCOD/gVSS (0.061±0.021 gCOD/gCOD) and the average sCOD yield was 0.298±0.133 gCOD/gVSS (0.190±0.072 gCOD/gCOD).

Although there were not any previous studies available on an A-stage WAS continuous fermentation process, it was predicted that yields would be less than primary sludge fermentation, and greater than RAS fermentation. Rabinowitz (1985) reported that optimum yields of around 0.09 g HAc/g COD were obtained at a 3.5-5 day SRT in a pilot scale primary sludge fermentation process at 16°-20°C. Elefsiniotis and Oldham 1994 reported 0.05 mg VFA/mgVSS (as HAc) at SRT of 5 days, and Skalsky and Daigger 1995 reported 0.05 mgVFA/mgVS from the operation of 2 full-scale fermenters.

The majority of the total VFA were acetic and propionic acid, followed by butyric and valeric acid (Figure 8.2). Concentration of each VFA both as the individual VFA, and as COD are shown in in Table C2. It is typical in primary sludge fermentation that acetic and propionic acid make up about 80-90% of the total VFA, perhaps with small percentages of butyric and valeric acids, and that acetic and propionic acid occur in approximately at a 1:1 ratio to each other (Rabinowitz 1985; Elefsiniotis and Oldham 1994; Skalsky and Daigger 1995). The average ammonia concentration in the fermentate was 107±31 mgN/L and OP was 34.4±9.3 mgN/L.
Figure 8.2: Fermentate VFA Fractionation as percent of total VFA on a COD basis.

The total COD (tCOD), soluble COD (sCOD 1.5 um filtered), and VFA load as mg COD/L divided into the influent flow are shown in Figure 8.3. The total COD values were variable and depended on the performance of the thickener. Ideally the total COD addition to the process would be minimized, since it results in a decrease in capacity of B-stage without providing a carbon source for bioP. The average ammonia load from the fermentate divided into the influent flow was 3.0±1.7 mgN/L and OP was 0.9±0.5 mgP/L.
Figure 8.3: tCOD, sCOD, and VFA load to the SBPR in mg COD/L divided into the influent flow.
During Days 0 to 100, as the fermentate VFA load was increased, the effluent OP decreased in B-stage (Figure 8.4). Around day 80, nitrite began to accumulate, and effluent nitrite above 2 mgN/L, and effluent OP below 0.5 mgP/L was sustained for a brief period of time (Day 90 to Day 115). Then bioP was lost as nitrite continued to accumulate. It was hypothesized that the nitrite accumulation was due to partial denitrification by organisms utilizing internally stored carbon (perhaps GAO), which were competing with PAO for VFA. A profile in time was taken every 10 minutes at the beginning and end of the air off time on Day 108 (Figure 8.5). This confirmed that nitrite was accumulating during the air off times from partial denitrification. During the air off times, nitrate decreased as nitrite increased, and during air on times, nitrite decreased as it was being oxidized to nitrate (Figure 8.5). Nitrous oxide also increased during air off times (Figure C1) which also suggests partial denitrification was occurring. Fermentate addition was decreased dramatically from Day 170 to 188, ending Phase 1, and the effluent nitrite decreased in response, confirming that the nitrite accumulation was associated with the VFA addition. The maximum PAO uptake and release rates from batch tests support the hypothesis that bioP was lost due to an excess of external carbon addition (Figure 8.6). From
Day 140 to 160 the PAO uptake and release rates were decreasing, prior to the decrease in fermentate addition (Figure 8.6). Anoxic OP uptake was measured, but not detected in batch tests, and OP profiles during intermittent aeration (Figure C2) did not indicate anoxic OP uptake (although it could not be ruled out entirely).

Phases 2 and 3 (Day 189 to 269) were operated at longer SBPR HRTs with the intention of producing VFA from RAS fermentation, with little to no external fermentate addition. While there was some evidence for some VFA production in the SBPR (as evident by some P release and VFA in the SBPR effluent), it was not enough to lead to appreciable OP removal across B-stage (Figure 8.4). This indicated that either the HRT/SRT of the SBPR needed to be longer, or that a higher mass fraction of the RAS needed to pass through the sidestream. In order to maintain a 20 hour HRT, only 5% of the RAS flow could go to the SBPR with the current reactor volume. In Phase 4 the goal was to add fermentate in a more controlled manner to keep a more consistent COD/OP loading. The goal was to keep the sCOD/OP and VFA/OP ratio high enough to achieve low effluent OP, but below the threshold that may have caused the loss of bioP in Phase 1 (Figures 8.4 and 8.6). In Phase 4, effluent values below 0.5 mgP/L were achieved, but bioP was not stable, and effluent nitrite remained below 0.5 mgN/L.
Figure 8.5: Nitrogen profile in time on Day 108 during intermittent aeration in CSTR 4. Grey shaded areas are periods of aeration.
Figure 8.6: Maximum anaerobic OP release rate from batch tests (dark green bar), aerobic OP uptake rate from batch tests (light green bar), and influent sCOD/OP ratio (red line). The sCOD/OP ratio was calculated as the five-day moving average of the sCOD added from the fermentate, divided by the sum of the OP in the influent and OP in the fermentate.

**B-stage TIN Removal and Anammox Polishing**

TIN removals are shown in Figure 8.7. During Phase 1, glycerol was added to the MBBR to achieve partial denitrification combined with anammox (Figure 8.8). The MBBR carbon dosing controller was set to a nitrate setpoint of 1 mg/L. Effluent TIN was. From Day 154 to 161 there was no external carbon addition to the MBBR, B-stage effluent nitrite was 5.1±0.7 mgN/L, and the effluent TIN from the MBBR was 2.34±0.73 mgN/L. This was only sustained for a week because the fermentate addition was reduced after losing bioP, but this demonstrates the potential of this process, if bioP can be sustained along with nitrite accumulation.
Figure 8.7: Total TIN in the influent (A-stage effluent (ASE) + fermentate), TIN only from A-stage effluent (ASE), TIN in B-stage effluent (BSE), and TIN in the anammox MBBR effluent.
Microbial Quantification

Throughout the study, NOB rates were higher than AOB rates, with an average NOB/AOB rate ratio of 1.3±0.5, which supports that nitrite accumulation was not due to NOB out-selection. Figure C3 shows specific AOB and NOB rates from batch tests, and effluent nitrite. If AOB and NOB abundance are equivalent, the ratio of the maximum growth rates (NOB/AOB) should be approximately 0.8 (Dold et al., 2015). In previous studies at the same pilot, without the SBPR and fermentate addition, NOB out-selection was achieved by utilizing intermittent aeration, controlling the aerobic SRT to less than 5 days, and maintaining an ammonia residual above 1 mgN/L (Regmi et al, 2014). The intermittent aeration profile (Figure 8.5) supports the observation that NOB rates were higher than AOB rates. Winkler et al., 2012 coined the term “nitrite loop” for describing partial denitrification from nitrate to nitrite, and then that nitrite being oxidized back to nitrate. This mechanism was hypothesized to result in an imbalance of NOB and AOB, as more nitrite is available to NOB, which was not the product of ammonia oxidation by AOB (Winkler et al., 2012).
The abundance (copies/mL) of canonical AOB, Comammox, *Nitrospira*, *Nitrobacter*, and Eubacteria are shown in Figure C4. *Nitrospira* had the highest abundance by two orders of magnitude, so only *Nitrospira* relative abundance was plotted with effluent nitrite in Figure 8.9. The *Nitrospira* relative abundance appears to trend with effluent nitrite (Figure 8.9), which supports the hypothesis that nitrite accumulation from partial denitrification led to an increase in NOB abundance, i.e. nitrite loop (Winkler et al., 2012). What was unusual about these results was the very low relative abundance of amoA. The maximum relative abundance of Canonical AOB amoA was 0.008% and 0.006% for Comammox amoA. Since ammonia oxidation was obviously occurring in the process, there are two possible explanations:

1. The primer utilized for Comammox amoA is fairly new and still under development (Wang et al., 2018), therefore it is possible that more of the *Nitrospira* possess ammonia oxidation capability. Quantitative detection of comammox by qPCR may not be accurate because of primer limitations (Beach and Noguera, 2019).

2. Other organisms apart from Canonical AOB or Comammox bacteria were responsible for ammonia oxidation.

![Graph showing qPCR results for Canonical AOB, Comammox, *Nitrospira*, and *Nitrobacter*.](image)

**Figure 8.9:** qPCR results for Canonical AOB, Comammox, *Nitrospira*, and *Nitrobacter*. 
Figure 8.10: Top 25 genera from 16S amplicon sequencing

Figure 8.11: Putative PAO and GAO from 16S amplicon sequencing
Unexpectedly, *Acinetobacter* was the most abundant genus detected in the mixed liquor samples (Figure 8.10). *Acinetobacter* were once thought to be the dominant organisms performing polyphosphate accumulation (Fuhs and Chen, 1975; Lötter et al., 1986; Wentzel et al., 1991), but it was later concluded that *Acinetobacter* were not primarily responsible for bioP (Jenkins and Tandoi 1991, Auling et al., 1991; Mino et al, 1998). Because of these findings, *Acinetobacter* is not frequently considered in recent research of bioP processes, but it is difficult to attribute bioP to any of the other putative PAO in this study, except maybe some to *Tetrasphaera* (Figure 8.11). It has also been demonstrated that *Acinetobacter* is capable of heterotrophic nitrification, but the contribution of *Acinetobacter* in full-scale activated sludge systems is not known (Yao et al., 2013). While it was hypothesized that GAO may be responsible for the denitrification with internally stored carbon, there has not been evidence thus far, and putative GAO were not detected in substantial quantities.

**External Carbon Independent Denitrification**

During the time of nitrite accumulation in the process, nitrite accumulation was also observed in the external carbon independent denitrification tests. In these tests, a sample was taken from the last aerated reactor and left to go anoxic. Nitrite would continue to accumulate until nitrate was depleted, and only then would nitrite be reduced. This sample was taken from the process in the last aerobic reactor, so no rBCOD was available as an electron donor. Figure 8.12 shows an extended test that lasted for 8 hours. Nitrate was reduced at a rate of 1.8 mgN/gMLVSS/hr and about 50% accumulated as nitrite. This rate of denitrification cannot be explained by endogenous decay, as the NH4-N increase rate during the test was only 0.03 mgN/gMLVSS/hr, and soluble COD was constant at the beginning and the end of the test. Since OP increased, denitrification cannot be due to dPAO. PHA was measured, and does not appear to be the carbon source for denitrification (Figure 8.12).

Some studies have hypothesized that post-aerobic denitrification was occurring using internally stored glycogen (Vocks et al., 2005; Winkler et al., 2011). There is also evidence to suggest that dGAO have a preference for reducing NO3\(^-\) to NO2\(^-\), causing nitrite accumulation (Rubio-Rincon et al., 2017). However, there are other heterotrophic organisms that can store carbon internally, so it is not necessarily GAO (Van Loosdrecht et al., 1997). Vocks 2008 suggested that an alternative internally stored carbon source may be driving post-anoxic denitrification since denitrification could not be attributed to either PHA or glycogen.

The results from the weekly external carbon independent denitrification tests are shown in Figure 13. The nitrite accumulation in the process corresponds to nitrite accumulation in the batch tests (Figure 8.13). The nitrate reduction rate appears to trend with the VFA addition, which could suggest that increased VFA addition led to higher rates of post-anoxic denitrification. Even with no VFA addition there was still post-anoxic denitrification occurring above expected endogenous rates, most likely because there was still VFA production and storage occurring in the SBPR
during Phase 3. The average specific NOx reduction rate in the external carbon independent denitrification tests was 1.36±0.34 mgN/gVSS/hr (Figure C5).

Figure 8.12: External carbon independent denitrification test on Day 116.

Figure 8.13: Rates from external carbon independent denitrification tests over time and VFA load to the SBPR in mg/L as influent flow. Nitrate reduction is positive, nitrite production and OP release are positive.
Figure 8.14: Results from the long term denitrification test. The first period is labeled “anaerobic” even though there is nitrate present because this is the OP release and VFA storage period, followed by the aerobic period, then anoxic.

The term post-anoxic denitrification refers to an anoxic zone that follows an aerated zone, without external carbon addition. This is equivalent to the anoxic times of intermittent aeration, once external carbon sources have been depleted. Post-anoxic denitrification typically implies endogenous denitrification, but it is not always specified whether this includes denitrification from internally stored carbon, as internal storage compounds are not typically measured. The long term denitrification test was performed on Day 362 in order to measure the endogenous and post-anoxic denitrification rate (from acetate storage only) (Figure 8.14). There was no nitrite accumulation in this test, so the following denitrification rates are the rate of nitrate reduction. After the sample was aerated for 48 hours, the measured endogenous denitrification rate was 0.48 mgN/gVSS/hr. Typical endogenous denitrification rates are in the range of 0.2-0.8 mgN/gMLSS/hr (Kujawa and Klapwijk, 1999).

The rate of denitrification with acetate as the only carbon source was 2.4 mgN/gVSS/hr. There may have been some endogenous denitrification occurring during the anoxic period with acetate, but it can be assumed that there were no internal carbon stores at the start of the anoxic period after 48 hours of aeration. The acetate was first utilized both for OP release by PAO and for
denitrification by OHO (hour 0 to 2), and then just for denitrification once OP release ended (hour 2 to 4). After a period of 1 hour of aeration to deplete any residual rbCOD, the post-anoxic denitrification rate was 1.3 mgN/gVSS/hr which is less than the rate with acetate as the carbon source, and greater than the endogenous denitrification rate. Since the only available carbon source after 48 hours of aeration was acetate, it can be assumed that internally stored carbon is the electron donor for denitrification. In this case, there was OP uptake in the last anoxic period (0.76 mgP/gVSS/hr), but denitrification in the external carbon independent denitrification tests was not typically associated with OP uptake (Figure 8.13). The average NOx reduction rate in the external carbon independent batch tests during this time (Day 340 to Day 378) was 1.4±0.2 mgN/gVSS/hr. Interestingly, this is close to the post-anoxic rate that was observed in the batch test after acetate storage (1.3 mgN/gVSS/hr). Vocks 2008 performed similar batch tests using mixed liquor from three full-scale facilities: two UCT processes (with bioP), and one pre-denitrification process without bioP. The average post-anoxic denitrification rates for the UCT processes were 1.6 mgN/gVSS/hr (n=10) and 1.4 mgN/gVSS/hr (n=2), with values ranging from 0.7-2.7 mgN/gVSS/hr and 1.2-1.5 mgN/gVSS/hr. For the facility without bioP the average was 0.6 mgN/gVSS/hr (n=3) ranging from 0.4-0.7 mgN/gVSS/hr. It is possible that post-anoxic denitrification may be occurring more frequently than is recognized in combined bioP and N removal processes, because post-anoxic denitrification without external carbon addition in full scale processes is not common.

Although the concept of denitrification from internal carbon storage is not new (Alleman and Irvine, 1980; van Loosdrecht et al., 1997), there has been a recent interest in exploiting post-anoxic denitrification (and potentially nitrite accumulation) combined with bioP (Winkler et al., 2011; Chen et al., 2013; Liu et al., 2017; Zhao et al, 2019; Wang et al, 2019). These studies propose utilizing variations of an anaerobic/aerobic/anoxic configuration. Winkler et al. (2011) reported greater than 99% inorganic nitrogen and phosphorus removal, and although GAO were thought to be responsible in part for the post-anoxic denitrification, bioP performance was not compromised. Liu et al. (2017) and Zhao et al. (2019) proposed nitrite accumulation via partial nitrification, followed by anoxic denitrification of the nitrite utilizing internally stored carbon. They recognized the challenge of maintaining post-anoxic denitrification by GAO, because of the competition with PAO for the VFA. Wang et al., 2019 operated two SBRs in series, the first was operated in in anaerobic/anoxic/aerobic phases (with added nitrate in the influent), and nitrite was accumulated during the anoxic phase by GAO, followed by an anoxic anammox SBR. It appears that utilizing internally stored carbon for denitrification (by non-PAO) in bioP processes is promising, especially if it results in nitrite accumulation, as long as the PAO are not outcompeted. It would be preferable if the denitrification was being performed by PAO, but it is still unclear how to design a process to guarantee dPAO activity.
CONCLUSIONS
Low effluent TIN (less than 2 mg/L) was achieved via partial denitrification in B-stage followed by anammox in an anoxic MBBR without external carbon addition. Effluent OP less than 0.2 mg/L was achieved via feeding A-stage WAS fermentate to a sidestream RAS reactor (SBPR) in B-stage. The period of the highest TIN removal did not coincide with the period of highest OP removal, as the nitrite accumulation in B-stage could not be sustained along with bioP. It was hypothesized that the organisms performing partial denitrification utilizing internally stored were competing with PAO for VFA, which led to the deterioration of bioP. The long term denitrification test demonstrated that denitrification following an anaerobic and aerobic period was occurring utilizing internally stored carbon. The external carbon independent denitrification tests demonstrated that that there was a preference for partial denitrification to nitrite, which corresponded to the VFA load from the A-stage WAS fermentate. PAO were not responsible for the external carbon independent denitrification, as anoxic OP uptake was not observed during the external carbon independent denitrification tests, or the PAO anoxic uptake batch tests. Denitrifying GAO were suspected, but low abundance of GAO were detected using amplicon 16S sequencing. PHA did not appear to be responsible for the denitrification from internally stored carbon, and the carbon source was not able to be identified. An unexpectedly low abundance of amoA gene copies (both from canonical AOB and Comammox) were measured via qPCR, so it was not clear which organisms performed ammonia oxidation. Future work includes identifying the internal storage polymer responsible for denitrification, and replicating the high nitrite accumulation by increasing the fermentate loading.

REFERENCES


APPENDIX C: SUPPORTING INFORMATION FOR CHAPTER 8

Table C1: qPCR Primers

<table>
<thead>
<tr>
<th>Target Gene</th>
<th>qPCR Primer</th>
<th>Sequence (5’-3’)</th>
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<tr>
<td>Nitrospira 16S</td>
<td>NSPRA-675f</td>
<td>GCGGTGAAATGCGTAGAKATCG</td>
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<tr>
<td></td>
<td>NSPRA-746r</td>
<td>TCAGCGTCAGRWAYGTTCCAGAG</td>
</tr>
<tr>
<td></td>
<td>Nspr-723Taq</td>
<td>CGCCGCGCTTCCGCCACCG</td>
</tr>
<tr>
<td>Nitrobacter 16S</td>
<td>Nitro-1198f</td>
<td>ACCCCTAGCAAATCTCAAAAAACCG</td>
</tr>
<tr>
<td></td>
<td>Nitro-1423r</td>
<td>CTTCACCCCAGTGCACGACC</td>
</tr>
<tr>
<td></td>
<td>Nitro-1374Taq</td>
<td>AAACCGCAAGGGAGGCAGCCGACC</td>
</tr>
<tr>
<td>Canonical AOB amoA</td>
<td>amoA-1F</td>
<td>GGGTTTCTACTGGGTGTT</td>
</tr>
<tr>
<td></td>
<td>amoA-2R</td>
<td>CCCCTCGSAAAGCCTTC</td>
</tr>
<tr>
<td>Universal 16S</td>
<td>1055F</td>
<td>ATGCGCTGTCAGCT</td>
</tr>
<tr>
<td></td>
<td>1392R</td>
<td>ACGGGCGGTTTCGTAC</td>
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<tr>
<td>Comammox amoA</td>
<td>comamoA AF</td>
<td>AGGNAYTGAYTCTG</td>
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<tr>
<td></td>
<td>comamoA SR</td>
<td>CCGVACATACATRAAGCCCAT</td>
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Table C2: Fermentate VFA fractionation concentrations

<table>
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<th>Average Concentration (mg/L as each VFA)</th>
<th>Average Concentration (mg/L as COD)</th>
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<tbody>
<tr>
<td>Acetic</td>
<td>350±120</td>
<td>375±128</td>
</tr>
<tr>
<td>Propionic</td>
<td>290±73</td>
<td>438±111</td>
</tr>
<tr>
<td>Butyric</td>
<td>107±44</td>
<td>195±79</td>
</tr>
<tr>
<td>Isobutyric</td>
<td>26.0±12.2</td>
<td>47.2±22.1</td>
</tr>
<tr>
<td>Valeric</td>
<td>65.5±35.9</td>
<td>133.7±73.2</td>
</tr>
<tr>
<td>Isovaleric</td>
<td>32.6±15.8</td>
<td>66.5±32.2</td>
</tr>
<tr>
<td>Caproic</td>
<td>9.2±2.6</td>
<td>20.4±5.8</td>
</tr>
</tbody>
</table>
Figure C1: Nitrous oxide production from sensor readings for a 60 minute period

Figure C2: OP profile in time, in reactor during intermittent aeration (10 minute air on/10 minute air off cycle).
Figure C3: AOB and NOB rates from maximum activity tests and B-stage effluent nitrite.

Figure C4: The abundance (copies/mL) of canonical AOB, Comammox, Nitrospira, Nitrobacter, and Eubacteria.
Figure C5: Specific NOx reduction rates for the external carbon independent batch tests